

### **BRIEF REPORT**

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## Role of booster vaccines and hybrid immunity against severe COVID-19 outcomes during BA.5 omicron predominance in Thailand

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#### **ABSTRACT**

Owing to both vaccine- and infection-induced immunity, the COVID-19 seroprevalence is ~90% in most countries. It is important to examine the protective role of booster vaccines and hybrid immunity in the COVID-endemic state. Utilizing a hospital information system for COVID-19, we conducted a cohort study by linking laboratory-confirmed COVID-19 case data to the national immunization records during the BA.5 omicron predominant period (1 August-31 December 2022) in Chiang Mai, Thailand. Out of 63,009 adults with COVID-19 included in the study, there were 125 (0.2%) severe COVID outcomes and 6.4% had a previous omicron infection. Protection against severe COVID-19 was highest among those with at least one booster vaccine (63%; aHR 0.37 [95%CI 0.19-0.73]) as compared to those without prior vaccination or natural infection. Hybrid immunity offered better protection (35%; aHR 0.65 [95%CI 0.09-4.73) than primary vaccine series alone or previous infection alone. Evaluating risk by age group, those aged 70 years or more had nearly 40 times (aHR 39.58 [95%CI 18.92-82.79]) the risk of severe-COVID-19 as compared to the 18-39-year age group. While booster vaccines remain the most effective way of protecting against severe COVID-19, particularly in the elderly, hybrid immunity may offer additional benefit.

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COVID-19; mortality; COVID-19 vaccines; SARS-CoV-2 omicron subvariant; hybrid immunity; Thailand

## Introduction

Cumulatively, the Coronavirus disease 2019 (COVID-19) pandemic caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has led to more than 770 million confirmed cases and nearly 7 million deaths globally, with almost 5 million cases and more than 34,000 deaths in Thailand alone (as of 1st November 2023). Vaccines against SARS-CoV-2 have significantly reduced the impact of COVID-19 globally.<sup>2,3</sup> As the pandemic evolved, newer SARS-CoV-2 variants emerged, and to address issues of both waning vaccine effectiveness and attenuated efficacy against newer variants, many countries have introduced booster vaccinations, with some countries recommending variant-specific COVID-19 vaccines. 4,5 At present, the population-level COVID-19 seroprevalence is estimated to be ~90% in most countries and is contributed by both vaccine- and infectioninduced immunity.6 While WHO has declared the end of the global COVID-19 emergency, COVID-19 persists as an endemic disease and still represents a global threat as "It is still killing and it is still changing. The risk remains of new variants emerging that cause new surges in cases and deaths." As the pandemic continues to evolve, the nature of population-wide immunity against SARS-CoV-2 has become increasingly complex. Hybrid immunity, defined as the immunity achieved by a combination of natural COVID-19 infection with completion of appropriate immunization, has shown to provide equivalent or even superior protection to receiving a booster-vaccine.<sup>8,9</sup> People who have recovered from COVID-19 and then received a vaccine were found to have higher SARS-CoV-2 Receptor Binding Domainspecific memory B-cells and variant-neutralizing antibodies and a distinct population of cytokine-expressing T cells as compared to previously naive individuals. 10 As many countries adapt to an endemic state of COVID-19, it is important to fully examine the role played by hybrid immunity at a population level.

The aim of this study was to examine the protective effect of booster vaccinations and hybrid immunity against severe outcomes with BA.5 omicron SARS-CoV-2 variant.

## **Materials and methods**

We conducted a retrospective cohort study on Thai residents aged 18 years or older, with a laboratory confirmed SARS-CoV -2 infection between 1 August and 31 December 2022 using a unique hospital information system (HIS) established in Chiang Mai province, located in Northern Thailand, with a population of 1.6 million. Non-Thai residents and migrants were excluded from the study as the vaccination data and

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outcome capture for this group was incomplete. Date of first positive SARS-CoV-2 test served as the index date. Molecular testing from northern Thailand revealed that > 90% of samples sequenced from July onwards were of BA.5 sub-lineages. 11

We have previously published the details related to creating and implementing the information systems used in this study<sup>12</sup> and the study methodology. <sup>13,14</sup> In Chiang Mai province, all COVID-19 cases detected are reported into the webbased HIS of Chiang Mai Provincial Health Office. During the study period, mandatory reporting of all COVID-19 cases was enforced under the Communicable Disease Control Act. Each hospital's information system records data on severity and progression of the disease including requirement of ventilatory support and these are linked with the province HIS. Similarly, deaths occurring within the province are routinely updated in the HIS following mortality reports to Chiang Mai Provincial Health Office. The Ministry of Public Health, Thailand captures and maintains all national vaccination records via the Ministry of Public Health Immunization Centre database. The baseline clinical characteristics and SARS-CoV-2 test details were extracted from the HIS and linked with the types of COVID-19 vaccines and dates of vaccination extracted from the national immunization database.

The study was conducted on routine data collected as part of the national COVID-19 response under the Communicable Disease ACT (B.E. 2558) and was exempted from ethics review and informed consent.

"Severe COVID-19" was defined as previously described, 13,14 as requiring invasive mechanical ventilation (IMV) during hospital admission, or death within 30 days of a positive SARS-CoV-2 test. Records of all subjects were followed till death or up to 30 days from first positive test, whichever was earlier. "Hybrid immunity" was defined as a combination of previous infection and completing at least the primary COVID-19 vaccine series. "Previous infection" was defined as a positive SARS-CoV-2 test before 90 days prior to index date in line with previously published studies. 15 We only considered previous infection during the omicron predominant period beginning in January 2022 in Chiang Mai province of Thailand. 16

Descriptive statistics for the subjects with and without severe COVID-19 outcomes are reported separately to evaluate differences in baseline characteristics between the groups. Mean and standard deviation (SD) are reported for normally distributed data or median and interquartile range (IQR) for skewed data when analyzing continuous variables. Categorical variables are summarized as frequency and percentages. Between-group comparisons for continuous variables were done using t-test (normally distributed data) or Mann-Whitney *U* test (skewed data). Between-group comparisons for categorical variables were done using chi-squared test or fisher's exact test, as appropriate.

To estimate the hazard ratios (HRs) for severe COVID-19, Cox proportional hazards regression was used. As per previous analyses, 13,14 the follow-up period was taken from the first positive SARS-CoV-2 test date and censored at the earliest of date of first starting IMV, date of death, or 30 days from first positive test date. A number of factors were added in the regression model to estimate adjusted HRs (aHR) and the 95% confidence interval (95% CI) such as age, gender, calendar day of test (in weekly units), vaccination status, and combination of natural and vaccine induced immunity. All statistical analyses were be conducted using stata (version 15.0 SE, College station, TX: StataCorp LP). Significance tests are 2 sided and a p-value <.05 was considered statistically significant.

#### Results

Between 1 August and 31 December 2022, there were 84,792 COVID-19 cases reported in Chiang Mai province, Thailand. There were 63,009 (74.31%) That residents above 18 years of age with complete data included in the final analysis after applying the exclusion criteria (Figure 1). Severe COVID-19 outcomes and deaths were observed in 125 (0.2%) and 71 (0.1%) subjects, respectively. There were 4,064 (6.4%) subjects with a previous omicron infection since January 2022 in the cohort.

Subjects with severe COVID-19 outcomes were over 30 years older and ~60% were aged 70 years or older, compared to just ~10% among those without severe outcomes. As

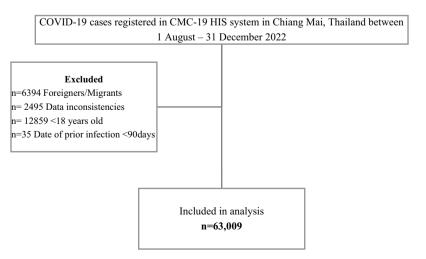


Figure 1. Flow chart of subject selection for adult COVID-19 patients who are residents of Chiang Mai, Thailand between 1 August-31 December 2022.

compared to those without severe outcomes, those with severe outcomes were more likely to be male. Only 7% of those with severe outcomes had at least one booster vaccine as compared to > 20% among those without severe outcomes. Interestingly, 16% of those with severe outcomes received only the primary series vaccine as compared to 9% among those without severe outcomes. This could be explained by the time lapse since last vaccine. The median time from last primary vaccine was 398 days (IQR 319-512) for the cohort suggesting that the protective benefit of the primary vaccination series has already waned by the index date.

Those with severe outcomes were more likely to be unvaccinated and not have a prior infection while those without severe outcomes were more likely to have received at least one booster dose or have hybrid immunity. Among subjects with a prior infection, those with severe outcomes were more likely to have had the infection >6 months prior to index date (Table 1).

In models examining the role of booster vaccination (irrespective of previous infection), after adjusting for age, gender, and calendar time of test, receiving one booster dose was associated with 58% (aHR 0.42 [95%CI 0.21-0.83]; Table 2) risk reduction against severe COVID-19 as compared to unvaccinated group. No events were observed among those who received three or four booster doses, while two booster doses were associated with 84% (aHR 0.16 [95%CI 0.02-1.21]) risk reduction against severe COVID-19; statistical significance was not achieved due to low number of events (Table 2).

In models examining the role of previous infection in combination with vaccination, after adjusting for age, gender, and calendar time of test, receiving at least one booster vaccine only (no-previous infection) was associated with 63% (aHR 0.37 [95%CI 0.19-0.73], Table 2) risk reduction against severe COVID-19 as compared to no prior vaccination or infection. Hybrid immunity was associated with a 35% (aHR 0.65 [95% CI 0.09-4.73], Table 2) risk reduction against severe COVID-19; however, statistical significance was not achieved due to low number of events. Hybrid immunity provided better protection than either previous infection alone, or primary vaccination series alone (Table 2).

In models examining the role of age, the age groups 18-29 and 30-39 were combined for the Cox-PH analysis due to zero outcome events in the 18–29 year age group (Table 2). In separate models adjusting for gender, calendar time of test and vaccination status, and immunity from vaccination and infection, those in the older age groups remained at significantly higher risk of severe-COVID-19 outcomes. Despite correcting for vaccination and prior infection, those aged 70 years or more had nearly 40 times (aHR 39.58 [95%CI 18.92–82.79]; Table 2) the risk of severe-COVID-19 as compared to the 18-39-year age group, suggesting that up-to date vaccination is critical to avoid adverse outcomes due to COVID-19 in this age group.

## **Discussion**

The impact of COVID-19 in terms of the number of cases and deaths globally has been extremely high; however, the impact of vaccinations is undisputable, when they have been implemented appropriately. Two dose primary vaccination schedules were highly effective against early variants but by necessity have evolved to third, fourth and even fifth doses to manage new variants and concerns around waning immunity. In the current landscape of high vaccination-derived and natural immunity, more data are needed on the protective effect of COVID-19 booster vaccines against newer BA.5 sublineages in addition to assessing the role of hybrid immunity against severe COVID-19.

We found that receiving at least one booster vaccine offered greater than 60% protection against severe COVID-19 outcomes during a period of BA.5 predominance. 16 Using earlier datasets of the same HIS database, we have previously reported >90% effectiveness of booster vaccines during delta variant and ~85% effectiveness against earlier omicron lineages. 13,17 In comparison, the effectiveness against BA.5 lineage appears lower. This could in part be due to waning of the vaccine effectiveness over time and lower effectiveness of the monovalent vaccines against newer SARS-CoV-2 variants. Bivalent vaccines were not yet available during the study

In our study, although hybrid immunity was associated with better protection as compared to infection induced immunity alone or primary series alone, booster vaccines, irrespective of previous infection, remained the most effective method of protecting against severe COVID-19 with BA.5. Our findings are similar to the observations by Altarawneh and colleagues who conducted a national, matched, testnegative, case-control study in Qatar and found booster vaccines with or without previous infection conferred very strong protection against severe or fatal BA.2 infection. 18 However, some previous studies have reported that hybrid immunity had higher effectiveness over booster vaccination alone. 9,19 It is noteworthy that the study by Nordström and colleagues<sup>9</sup> was limited to earlier variants (alpha and delta) while Carazo and colleagues assessed the protective role of only prior-BA.1 infection against BA.2,<sup>19</sup> which may explain the differences observed with our study.

Importantly, stratifying the analysis by age group demonstrates a significantly higher risk for severe COVID-19 outcomes in older age groups, but especially so in those over 70 years of age. Our data strongly suggest that increasing booster vaccine coverage throughout the population, but particularly among elderly, remains an important strategy to continue protection against severe COVID-19 outcomes. For vulnerable groups, two booster vaccinations may be necessary.

Limitations of our study included the fact that we were unable to examine other confounders such as chronic comorbidities which are important risk factors of severe COVID-19 outcomes. Additionally, the source population were those diagnosed with COVID-19, and testing could have been done for reasons other than clinical suspicion, and finally, we did not differentiate or control for incidental findings of COVID-19.

Table 1. Comparison of clinical characteristics of adult COVID-19 patients with and without severe outcomes during BA.5 predominance (1 Aug 2022–31 Dec 2022) in Chiang Mai, Thailand.

N = 63,009	Without severe COVID-19 outcome	With severe COVID-19 outcome	p-value
Number (%)	62884 (99.8)	125 (0.2)	-
Age, years			
Median (IQR)	42 (30–60)	72 (60–83)	<.01
Age group, n (%)			
18–29	15090 (24.0)	0 (0)	<.01
30–39	12996 (20.7)	8 (6.4)	
40–49	10089 (16.0)	10 (8.0)	
50–59	8829 (14.0)	13 (10.4)	
60–69	9651 (15.4)	20 (16.0)	
≥70	6229 (9.9)	74 (59.2)	
Gender, n (%)			
Male	25411 (40.4)	72 (57.6)	<.01
Female	37473 (59.6)	53 (42.4)	
Vaccination Status, n (%)			
Unvaccinated	43423 (69.1)	92 (73.6)	-
Vaccinated One dose	153 (0.2)	3 (2.4)	
Vaccinated two doses	5915 (9.4)	20 (16.0)	
Vaccinated three doses	9190 (14.6)	9 (7.2)	
Vaccinated four doses	3699 (5.9)	1 (0.8)	
Vaccinated five doses	500 (0.8)	0 (0)	
Vaccinated six doses	4 (0.0)	0 (0)	
Type of primary vaccine series, n (%)	n = 5915	n = 20	
Sinovac/BBIBP-CorV-ChAdOx1 nCoV-19	2031 (34.3)	4 (20.0)	-
Sinovac-Sinovac or BBIBP-CorV-BBIBP-CorV	769 (13.0)	4 (20.0)	
ChAdOx1 nCoV-19-ChAdOx1 nCoV-19	616 (10.4)	0 (0)	
BNT162b2-BNT162b2	740 (12.5)	4 (20.0)	
ChAdOx1 nCoV-19-BNT162b2/mRNA-1273	1353 (22.9)	8 (40.0)	
Sinovac/BBIBP-CorV-BNT162b2/mRNA-1273	35 (0.6)	0 (0)	
mRNA-1273-mRNA-1273	371 (6.3)	0 (0)	
Type of third vaccine dose, n (%)	n = 9190	n = 9	
BNT162b2	5223 (56.8)	6 (66.7)	-
ChAdOx1 nCoV-19	1783 (19.4)	2 (22.2)	
mRNA-1273	2182 (23.7)	1 (11.1)	
Other	2 (0.0)	0 (0)	
Type of fourth vaccine dose, n (%)	n = 3698	n = 1	
BNT162b2	1395 (37.7)	1 (100.0)	-
ChAdOx1 nCoV-19	87 (2.4)	0 (0)	
mRNA-1273	2215 (59.9)	0 (0)	
Other	1 (0.0)	. (.,	
Type of fifth vaccine dose, n (%)	n = 500	n = 0	
BNT162b2	172 (34.4)		-
mRNA-1273	328 (65.6)		
Type of sixth vaccine dose, n (%)	n = 4	n = 0	
BNT162b2	1 (25.0)		_
mRNA-1273	3 (75.0)		
Previousa COVID-19 infection, n (%)	- (,		.264
No previous COVID-19 infection	58825 (93.5)	120 (96.0)	
Previous COVID-19 infection	4059 (6.5)	5 (4.0)	
Time from previousa COVID-19 infection, n (%)	n = 4059	n = 5	
>90 days to 180 days	708 (17.4)	0	.438
>180 days to 270 days	2179 (53.7)	4 (80.0)	
>270 days	1172 (28.9)	1 (20.0)	
Immunity from vaccination and infection, n (%)	= (2012)	. (2010)	
No vaccine or natural immunity	41473 (65.9)	91 (72.8)	<.01
Natural immunity only	2103 (3.3)	4 (3.2)	
Primary vaccine series immunity only	5173 (8.2)	19 (15.2)	
Booster vaccine immunity only	12179 (19.4)	10 (8.0)	
Hybrid immunity <sup>b</sup>	1956 (3.1)	1 (0.8)	

IQR = Interquartile range.

## **Conclusion**

Booster vaccination remains the most optimal tool for protecting against severe COVID-19 outcomes, irrespective of previous infection status. Our study suggests that hybrid immunity may offer additional benefit over natural immunity

or vaccine induced immunity alone, but larger cohorts are required to assess this benefit fully. A very important observation from this work confirms the significantly higher risks of severe COVID-19 outcomes in elderly populations, highlighting the need to ensure up to date booster coverage of this vulnerable group.

<sup>&</sup>lt;sup>a</sup>Previous infection defined as a positive SARS-CoV-2 test before 90 days prior to index date.

<sup>&</sup>lt;sup>b</sup>Hybrid immunity defined as previous infection and completed at least primary COVID-19 vaccine series.



Table 2. Association between vaccination, natural immunity, age, and baseline characteristics with severe COVID-19 outcomes among adult COVID-19 cases during RA 5 predominance (1 Aug 2022–31 Dec 2022) in Chiang Mai. Thailand

Variables	Crude HR	95% CI-lower	95% Cl-upper	p-value	Adjusted HR	95% CI-lower	95% Cl-upper	p-value
Age, years	1.08	1.07	1.09	<.01	1.08	1.06	1.09	<.01
Gender								
Female	Re	ference						
Male	2.00	1.40	2.85	<.01	1.80	1.26	2.57	.01
Vaccination statusa								
Unvaccinated	Re	ference						
Vaccinated One dose	9.10	2.90	28.80	<.01	4.19	1.31	13.37	.01
Vaccinated two doses	1.59	0.98	2.58	.06	1.26	0.77	2.07	.35
Vaccinated three doses	0.46	0.23	0.91	.03	0.42	0.21	0.83	.01
Vaccinated four doses or more	0.11	0.01	0.80	.03	0.16	0.02	1.21	.07
Immunity from vaccination and infe	ctiona							
No vaccine or natural immunity	Re	ference						
Natural immunity only	0.86	0.31	2.35	.78	2.94	1.07	8.11	.03
Primary vaccine series immunity only	1.67	1.02	2.74	.04	1.24	0.75	2.05	.39
Booster vaccine immunity only	0.37	0.19	0.71	.03	0.37	0.19	0.73	<.01
Hybrid immunity <sup>b</sup>	0.23	0.03	1.67	.14	0.65	0.09	4.73	.67
Age Group (years)c								
18–39	Re	ference						
40-49	3.47	1.37	8.81	<.01	3.50	1.38	8.87	<.01
50-59	5.16	2.14	12.46	<.01	4.82	1.99	11.64	<.01
60–69	7.26	3.20	16.50	<.01	6.64	2.92	15.09	<.01
≥70	41.42	19.97	85.91	<.01	36.67	17.66	76.13	<.01
Age Group (years)d								
18–39			Reference					
40-49					3.54	1.39	8.99	<.01
50-59					5.03	2.07	12.19	<.01
60–69					7.04	3.08	16.08	<.01
≥70					39.58	18.92	82.79	<.01

<sup>&</sup>lt;sup>a</sup>Adjusted for age, gender and calendar time of test.

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#### **Disclosure statement**

No potential conflict of interest was reported by the author(s).

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## **Data availability statement**

The datasets generated and/or analyzed during the current study can be made available from the Faculty of Public Health, Chiang Mai University (suwat.c@cmu.ac.th) on reasonable request.

# Declaration of generative AI and AI-assisted technologies in the writing process

Authors confirm that this manuscript is our original work and generative-AI and AI-assisted technologies were not used in the writing process.

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<sup>&</sup>lt;sup>b</sup>Hybrid immunity defined as previous infection and completed at least primary COVID-19 vaccine series.

<sup>&</sup>lt;sup>c</sup>Adjusted for gender, calendar time of test and vaccination status.

<sup>&</sup>lt;sup>d</sup>Adjusted for gender, calendar time of test and immunity from vaccination and infection.

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