1	Vole genomics links determinate and indeterminate growth of teeth
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30

31 ABSTRACT

32 Continuously growing teeth are an important innovation in mammalian evolution, yet genetic 33 regulation of continuous growth by stem cells remains incompletely understood. Dental stem 34 cells responsible for tooth crown growth are lost at the onset of tooth root formation. Genetic 35 signaling that initiates this loss is difficult to study with the ever-growing incisor and rooted 36 molars of mice, the most common mammalian dental model species, because signals for root 37 formation overlap with signals that pattern tooth size and shape (i.e., cusp patterns). Different 38 species of voles (Cricetidae, Rodentia, Glires) have evolved rooted and unrooted molars that 39 have similar size and shape, providing alternative models for studying roots. We assembled a de 40 novo genome of Myodes glareolus, a vole with high-crowned, rooted molars, and performed 41 genomic and transcriptomic analyses in a broad phylogenetic context of Glires (rodents and 42 lagomorphs) to assess differential selection and evolution in tooth forming genes. We identified 43 15 dental genes with changing synteny relationships and six dental genes undergoing positive 44 selection across Glires, two of which were undergoing positive selection in species with unrooted 45 molars, *Dspp* and *Aqp1*. Decreased expression of both genes in prairie voles with unrooted 46 molars compared to bank voles supports the presence of positive selection and may underlie

47	differences in root formation. Bulk transcriptomics analyses of embryonic molar development in
48	bank voles also demonstrated conserved patterns of dental gene expression compared to mice,
49	with species-specific variation likely related to developmental timing and morphological
50	differences between mouse and vole molars. Our results support ongoing evolution of dental
51	genes across Glires, revealing the complex evolutionary background of convergent evolution for
52	ever-growing molars.
53	
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55	
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58	and Use Program and the Finnish national animal experimentation board approved protocols for
59	humane euthanasia and collection of tissues for animals used in this study under protocols
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61	
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76	husbandry. ZTC performed and oversaw tissue sampling, sequencing, genome assembly and
77	annotation for Myodes glareolus. ZTC, AS, EC, and MA performed genome computational
78	analyses. PL performed qPCR analyses. OH, MMC, RDR, and JJ designed and implemented
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84	
85	INTRODUCTION
86	Hypselodonty, or the presence of unrooted and thus ever-growing teeth, has evolved
87	multiple times in mammals. Glires-the clade containing rodents, rabbits, and their relatives-
88	have hypselodont incisors (1), and multiple Glires have also evolved hypselodont molars (Fig.
89	1). At least in rodents, molar hypselodonty evolved considerably later than hypsodont molars,
90	which are high crowned but rooted, which in turn evolved later than hypselodont incisors. In
91	Glires, molars appear to increase in crown height from brachydonty (low-crowned, rooted),
92	through hypsodonty (high-crowned, rooted), toward hypselodonty (high-crowned, unrooted) (2).

93 Mice (*Mus musculus*), the primary mammalian model species of dental research, have

94 hypselodont incisors but retain brachydont molars. Because of this, mice cannot provide

95 information about the hypsodont teeth that likely preceded hypselodonty.

96 Mammalian teeth sit in bony sockets, held in place by soft tissue (periodontal ligament) 97 attached to cementum-covered tooth roots (3). Ligamentous tooth attachment may have arisen 98 along with a reduction in the rate of tooth replacements, providing greater flexibility for 99 repositioning the teeth as the dentary grows (4,3). Consequently, the limited replacement of 100 mammalian teeth (two sets of teeth in most mammals and one in Glires) may have spurred the 101 evolution of hypsodont and hypselodont teeth, both with high crowns that compensate for tooth 102 wear from gritty or phytolith-heavy diets (5,6), and resulted in further modification of the 103 anchoring roots. The convergent evolution of unrooted molars in Glires presents an opportunity 104 to identify whether consistent developmental and genomic changes underlie the formation of 105 hypselodont teeth in different species, in turn revealing the conserved mechanisms that produce 106 tooth roots. Furthermore, the relatively recent evolution of molar hypselodonty, starting in the 107 Middle Miocene (approximately 16-12 Ma) (2), should provide molecular evidence for the steps 108 required to make a continuously growing organ.

Dental development proceeds from the tooth germ, composed of epithelium and mesenchyme, through phases known as the bud, cap, and bell (7). Multipotent enamel epithelium differentiates into the cells that form the tooth crown (8–11). As development progresses in rooted teeth, the epithelium at the tooth apex transitions first to a tissue called Hertwig's epithelial root sheath, and eventually cementum-covered roots (9,10). Studies have identified numerous candidate genes and pathways with various roles during root development, such as *Fgf10*, which decreases in expression at the beginning of root formation (12–18). Although research on mouse molars has identified genetic signals related to root formation, a number of the key genes studied have broad developmental roles, such as *Wnt* family members (14), or overlap considerably with genes also involved in patterning the size and shape of the tooth (17,19–22). This overlap between shape and root expression patterns confounds our ability to identify a clear signal initiating root formation.

121 Evolutionary novelties such as high-crowned hypsodont and hypselodont molars can 122 arise from differences in gene expression and regulation (23–26). Evolutionarily conserved gene 123 expression levels produce conserved phenotypes, and changes in gene regulatory networks have 124 long been linked to morphological evolution (27,28). The order of genes along a chromosome 125 (synteny) can affect gene expression and regulation, as regulatory sequences are often located 126 near their target genes (cis-regulatory elements) (29–31). Genome rearrangements that place 127 genes near new regulatory elements may change the expression and selective environment of 128 those genes; these small-scale rearrangements of genes may be common in mammals (32-34). 129 Likewise, regions of chromosomes that form topologically associated domains may experience 130 similar selective pressures, including selection against rearrangement (35,36). Genes involved in 131 molar development are not syntenic in the mouse genome nor are genes with organ-specific 132 expression (37), and thus the regulatory or selection effects of co-localization need not apply to 133 all dental genes at once. Changes in genome architecture between Glires species thus may result 134 in different selective and expression environments for dental genes that could result in the 135 evolution of hypselodont molars.

To establish a model rodent species with hypsodont molars for close comparison to
hypselodont molars, we sequenced and annotated a highly-complete *de novo* genome of *Myodes glareolus*, the bank vole. The bank vole is increasingly used in medical and environmental

139 research, ranging from studying zoonotic diseases (38) to immune responses (39,40), and even 140 assessing environmental remediation efforts through heavy metals that accumulate in vole teeth 141 (41,42), thus our efforts may be of use beyond dental research. The bank vole's hypsodont 142 molars bridge the gap between low-crowned mouse and hypselodont prairie vole (Microtus 143 ochrogaster) molars, reducing the effects of morphological differences on root formation 144 signaling. We performed a suite of genomic and transcriptomic tests of our new bank vole 145 genome in a broad phylogenetic context to test the hypothesis that dental genes are undergoing 146 positive selection and exhibit different expression patterns in species with unrooted, hypselodont 147 molars. We predicted that genes without conserved syntenic relationships in these species would 148 be more likely to have sites under positive selection or significantly different expression. Our 149 analyses revealed loss of synteny and positive selection for dental genes in Glires with unrooted 150 molars compared to those with rooted molars. We also demonstrated strong conservation of 151 dental gene expression patterns between bank voles and mice, with key differences related to the 152 timing and patterning of tooth morphology.

153

154 RESULTS

155 Orthology assessment and loss of synteny

To identify which sequences in our bank vole (*Myodes glareolus*) genome and annotation had the same evolutionary history as dental genes identified in other Glires and assess genome rearrangements, we performed orthology and synteny analyses in a broad phylogenetic context. OrthoFinder identified 20,547 orthogroups representing 97.9% of the genes across all 24 analyzed genomes (including the human outgroup). Of the orthogroups, 6,158 had all species present. In our *de novo* bank vole genome, there were 27,824 annotated genes, of which 84.2% were assigned to an orthogroup. Bank vole genes were present in 16,250 orthogroups. On

average, the genomes included in the OrthoFinder analysis had 19,814 genes, with 98.2% ofthose assigned to orthogroups.

165 The completeness and large scaffold N50 (4.6 Megabases) of our bank vole assembly 166 supported its inclusion in generating a Glires synteny network. Using the infomap clustering 167 algorithm, we produced 19,694 microsynteny clusters from this overall synteny network. We did 168 not expect dental genes to share the same microsynteny cluster, and instead examined whether 169 each gene was in the same microsynteny cluster in species with rooted or unrooted molars. We 170 identified 15 hierarchical orthogroups in which synteny was not conserved for at least half of the 171 Glires with unrooted molars (Fig. 2). The genes form two groups (Fig. 2A), group 1, lacking 172 synteny across Glires, and group 2, lacking synteny mainly in species with unrooted molars. 173 Most of these genes also are missing from the orthogroups; only *Mmp20*, *Irx6*, *Aqp3*, *Sema3b*, 174 and *Col4a1* were well represented in their orthogroups but not in their synteny networks (full 175 comparisons of orthology and synteny are in Additional information 1). Overall, these genes 176 represent multiple categories of "keystone" dental genes. Null mutations in keystone dental 177 genes affect embryonic dental development (43): "shape" genes cause morphological errors; 178 "eruption" genes prevent tooth eruption; "progression" genes stop the developmental sequence; 179 "tissue" genes cause defects in tissues; "developmental process" genes are annotated with the 180 "GO:0032502" gene ontology term; "dispensable" genes, while dynamically expressed in 181 developing teeth, have no documented effect on phenotype; and "double" genes function 182 redundantly with a paralog and only produce a phenotype when both genes are mutated. The 183 group "other" is composed of the remaining protein coding genes (43). Most genes lacking 184 conserved synteny in species with unrooted molars are in the "dispensable" category (Fig. 2D),

185 thus the relationship between differences in these genes and tooth phenotypes is unclear, at least 186 during embryonic development.

187

188 Multiple dental genes under positive selection

189 We hypothesized that dental genes are undergoing positive selection in species with 190 unrooted molars. Our positive selection analyses in PAML (phylogenetic analysis by maximum 191 likelihood (44)) identified 6 dental gene orthogroups undergoing site-specific positive selection 192 across Glires (Table 1). Four orthogroups with site-specific positive selection lacked syntemy 193 among Glires with unrooted molars: Col4a1, Dspp, Runx3, and the four-gene orthogroup with 194 sequences similar to Runx3 (Fig. 2A). We then assessed genes for site-specific positive selection 195 in species with unrooted molars compared to species with rooted molars (branch-and-site-196 specific positive selection (45)), focusing on those genes with site-specific positive selection or 197 evidence for loss of synteny. Two genes, *Dspp* and *Aqp1* were undergoing this branch-and-site 198 specific positive selection. Both genes had a single highly supported site (posterior probability > 199 0.95) under positive selection in species with unrooted molars based on the Bayes Empirical 200 Bayes method for identifying sites under selection implemented in PAML (46). Dspp also had 201 multiple sites with moderate support (posterior probability > 0.75). The overall selection patterns 202 on each gene differed. Maximum likelihood estimates of selection for *Dspp* showed the 203 percentage of sites under purifying and neutral selection on all branches were nearly equal (47%) 204 and 44%, respectively). Percentages of sites under positive selection in the species with unrooted 205 molars (foreground branches) were nearly evenly divided as well, with 5% of sites from 206 branches where the species with rooted molars (background branches) were undergoing 207 purifying selection and 4% of sites from branches where the species with rooted molars were

208	under neutral selection. For Aqp1, nearly all sites were under purifying selection on all branches
209	(91%), and few sites were under neutral selection on all branches (7%). Few sites were
210	undergoing positive selection in the foreground branches and their distribution also was unevenly
211	split between sites under purifying and neutral selection on background branches (0.6% and
212	0.04%, respectively). The complete list of dental genes with hierarchical orthogroups,
213	microsynteny clusters, and positive selection test results are available in Additional file 1.
214	Because genes under positive selection are often expressed at lower levels than genes
215	under purifying selection (47–50), we also compared expression levels of <i>Dspp</i> and <i>Aqp1</i> in first
216	molars (M1) at postnatal days 1, 15, and 21 (P1, P15, and P21) in bank voles (rooted molars) and
217	prairie voles (unrooted molars) using quantitative PCR. Prairie vole molars expressed Aqp1 at
218	significantly lower levels than bank vole molars across all three ages (Fig. 3). Prairie vole P1
219	molars expressed significantly lower levels of <i>Dspp</i> than bank vole molars; at P15 and P21, their
220	molars expressed <i>Dspp</i> at lower, but not statistically significantly different, levels than their bank
221	vole equivalent. For both genes, the prairie vole had consistent expression levels across three
222	biological replicates, while the bank vole had greater variation in expression levels across
223	replicates.

224

225 Few changes of secondary structure at positively selected sites

To detect whether substitutions at sites under positive selection influenced protein structure and evolution, we analyzed ancestral states and secondary structure across Glires. We first reconstructed ancestral sequences along the internal nodes of the Glires phylogeny for the genes undergoing branch-and-site specific positive selection to assess potential secondary structural changes in their protein sequences. At the best-supported site in *Dspp* (position 209 in

231 the gapped alignment, Additional file 2), there were three major amino acid changes. The 232 ancestral Glires sequence started with an asparagine (N) in this position. Two of the three species 233 with unrooted molars represented in the *Dspp* dataset had amino acid substitutions at this 234 position, with Oryctolagus cuniculus substituting a leucine (L) and Dipodomys ordii substituting 235 an aspartic acid (D) at this position (Fig. 4A). All muroids (the clade including the voles in 236 family Cricetidae and mice and rats in family Muridae) in our phylogeny substituted histidine 237 (H) for the asparagine at this position. The secondary structure predicted at this position was a 238 coil for most sequences but a helix for the D. ordii sequence (Fig. 5). Appl sequences varied 239 greatly at the position under putative positive selection in species with unrooted molars (position 240 294 in the gapped alignment, Additional file 3). The ancestral state reconstruction showed twelve 241 changes of the amino acid at this position across Glires (Fig. 4B), yet these changes did not 242 affect the predicted secondary structure of the protein near this residue, which was a coil for all 243 sequences tested. All secondary structure predictions are available in Additional file 4.

244

245 Bank vole molar gene expression is similar to that of other Glires

246 We also assessed differential gene expression between mouse and bank vole molars 247 across early development to study the effects of morphology on expression levels of dental 248 genes. Our gene expression analysis focused on keystone dental gene categories. Our bank vole 249 genome was like the mouse and rat genomes in terms of the numbers and expression patterns of 250 genes annotated from these keystone categories (Table 2). Ordination of gene expression results 251 from the bank vole and mouse data at embryonic day 13, 14, and 16 (E13, E14, E16) (43) by 252 principal components analysis showed a distinct separation between the mouse and bank vole 253 along the first principal component (PC1) of the 500 most variable genes (Fig. 6A). PC1

254 explained 82.81% of the variance in these genes; there are distinct, species-specific expression 255 patterns in these tissues. Along PC2 (7.47% of variance explained), E13 and E14 samples differ 256 from the E16 samples, although the difference in time points is much greater in bank voles. 257 Ordination of just the keystone dental genes showed clear separations between tissues based on 258 species and age (Fig. 6B). Within this focused set of genes, however, PC1 and PC2 explain less 259 variance (44.8% and 28.84% respectively), and have a less clear relationship to species and age. 260 There are two distinct, parallel trajectories for the mouse and bank vole. Although within each 261 species there is separation by age along PC1 and PC2, mouse E16 and bank vole E13 occupy a 262 similar position along PC1, and mouse E13 and bank vole E16 occupy a similar position along 263 PC2. 264 Examining individual genes underlying the differences between mouse and vole molars, 265 we note several upregulated genes in our vole molars are broadly expressed in developing molars 266 of other vole species (51,52). Relative to the mouse molars, vole molars overexpressed genes 267 related to forming tooth cusps, including *Bmp2*, *Shh*, *p21* (also known as *Cdkn1a*), and *Msx2*, a 268 difference explained by the faster patterning and larger number of cusps in the vole molar 269 compared to the mouse molar (52). Another gene upregulated in the patterning stage vole molar 270 is Fgf10, which is associated with delayed root formation later in vole molar development (9). 271 Nevertheless, developing bank vole molars at E13, E14, and E16 expressed keystone 272 dental genes in overall proportions like those observed at analogous stages of mouse and rat 273 molar development (Fig. 7). Permutation tests within each bank vole sample showed that log 274 counts for the set of genes related to the progression of dental development were significantly 275 higher than those in the tissue, dispensable, developmental process, and "other" categories at E14

and E16. The progression gene counts in E13 molars were higher for all of these except the

dispensable category. Shape category genes also were significantly higher than "other" category
genes in the E14 tissue. Overall, even though we observed conserved expression patterns of
dental genes at the system level, individual genes involved in cusp patterning and morphology
differed between the mouse and the vole.

281

282 DISCUSSION

283 Our two goals in sequencing the genome of *Myodes glareolus* were to support the 284 development of a comparative system for studying tooth root development and to investigate the 285 evolution of dental genes in Glires, a clade in which ever-growing molars have evolved multiple 286 times (1). Our new *M. glareolus* assembly and annotation captured nearly all of the single-copy 287 orthologs for Euarchontoglires and provided scaffolds with sufficient length for synteny 288 analyses. It was well represented in ortholog groups and microsynteny clusters across Glires. We 289 tested the hypothesis that dental genes are undergoing site-specific positive selection in species 290 with unrooted molars (branch-and-site specific positive selection (45)). We predicted that lack of 291 conserved syntenic relationships in species with unrooted molars could place dental genes in 292 regulatory and selective environments that promote changes among genes relevant to tooth root 293 formation. Our analyses identified 15 dental genes without conserved syntenic relationships 294 across Glires and two dental genes, *Dspp* and *Aqp1*, under positive selection in species with 295 unrooted molars. We also demonstrated conserved patterns of gene expression among dental 296 keystone genes between bank voles and mice during early embryonic development, and 297 deviations from these conserved patterns likely related to differences in molar morphology 298 between the two species.

299 We identified 15 genes which were not syntenic in at least half of the species with 300 unrooted molars, and six genes undergoing site-specific positive selection across all Glires. 301 Although four of the orthogroups with site-specific positive selection lacked synteny in species 302 with unrooted molars, only Col4al was well represented among these species in its orthogroup. 303 The two genes undergoing branch-and-site-specific positive selection in species with unrooted 304 molars, *Dspp* and *Aqp1*, both maintained their synteny relationships across the Glires studied. 305 Although we predicted loss of synteny for dental genes in Glires with unrooted molars could 306 result in sequence evolution by placing genes in new selective contexts, our analyses did not 307 support a strong relationship between non-syntenic genes and branch-and-site-specific positive 308 selection. Maximum likelihood estimates of selection on each site for the genes with branch-309 specific positive selection revealed different overall selective pressures on *Dspp* and *Aqp1*; *Dspp* 310 sites on background branches (i.e., branches with species that have rooted molars) were under a 311 mix of purifying and neutral selection, while nearly all Aqp1 background branch sites were under 312 purifying selection. These selection regimes suggest there is greater conservation for Aqp1 313 function across Glires than for *Dspp* function. Gene duplication can result in functional 314 redundancy and evolution toward a novel function in some genes (53–56), which may explain 315 positive selection in Aqp1, as there are other aquaporin family genes present. Although Dspp has 316 no paralogs, it overlaps functionally with other SIBLING family proteins (e.g., Opn, Dmp1) 317 (57,58).

318 *Aqp1* and *Dspp* play different functional roles during dental development. Under the 319 keystone dental development gene framework, *Aqp1* is a "dispensable" gene: developing teeth 320 express it, but tooth phenotypes do not change in its absence. *Aqp1* is expressed in endothelia of 321 microvessels in the developing tooth (59,60). *Dspp* may be particularly relevant for the

322 formation of an unrooted phenotype if its expression domain or function have been modified in 323 species with unrooted molars. *Dspp* is a "tissue" category keystone dental gene, meaning the 324 main effects of a null mutation occur during the tissue differentiation stage of dental 325 development (43). Null mutations of Dspp cause dentin defects in a condition called 326 dentinogenesis imperfecta (61,62); in some patients, teeth form short, brittle roots (62,63). Dspp 327 knockout mice also exhibit the shortened root phenotype, among a variety of other defects in 328 both endochondral and intramembranous bone, due to the disruption of collagen and bone 329 mineralization (64–66). 330 Our ancestral sequence reconstructions and estimated secondary protein structures

331 allowed us to assess whether nonsynonymous substitutions at sites under positive selection 332 resulted in structural differences, thus potentially affecting protein function. Although unrooted 333 molars are a convergent phenotype across Glires, the sites under positive selection did not 334 converge on the same amino acid substitution in species with unrooted molars, and Aqp1 335 appeared particularly labile at this residue. The non-synonymous substitutions at these sites often 336 resulted in changes of properties of the amino acid in the sequence, for example in Dspp, polar 337 asparagine was replaced with non-polar leucine in O. cuniculus. Only one of these substitutions 338 changed the predicted secondary structure. Nevertheless, single amino acid substitutions do 339 produce dental phenotypes for both D_{spp} (67) and A_{qpl} (68), thus we cannot rule out functional 340 changes in these genes in species with unrooted molars.

Although the exact relationship between gene expression and sequence divergence
remains unclear (69), studies of genome evolution across small numbers of mammal species
show correlations between gene sequence divergence and levels of expression (70). In particular,
highly-expressed genes are more likely to experience purifying selection (47–50), while lowly-

345 expressed genes and tissue-specific genes may experience positive selection (48). The decreased 346 expression of *Dspp* and *Aqp1* in prairie vole M1 compared to that of the bank vole M1 thus 347 supports our finding of positive selection in these genes in species with unrooted molars. If all 348 species with unrooted molars also exhibit decreased expression levels of Dspp and Aqp1, it could 349 suggest a strong link between lower levels of the genes and the unrooted phenotype. 350 Without analyses of functional variation caused by positive selection at these coding 351 sites, or spatial sampling to determine where these genes may be expressed during development, 352 we are limited from exploring the specific effects of *Dspp* and *Aqp1* on root formation. 353 Nevertheless, we found evidence for evolution of these genes in Glires with unrooted molars, 354 and *Dspp* especially has clinical relevance for tooth root formation. Future studies should explore 355 the spatial distribution of *Dspp* expression, which could be relevant to functional changes in 356 Glires with unrooted molars. If positive selection and corresponding amino acid changes 357 identified in *Dspp* here modify its expression domain or its interaction with yet-unidentified root 358 formation co-factors, it may serially reproduce the unrooted incisor phenotype in molars. 359 Our RNA sequencing results supported the bank vole as a suitable system for studying 360 dental development. Although molar morphology differs considerably across mammals, 361 candidate-gene approaches have identified numerous conserved genes involved in tooth 362 development and morphological patterning (71). Studies of single genes or gene families have 363 identified shape-specifying roles common to multiple species (52,72–74), and high-throughput 364 sequencing of mouse and rat molars demonstrate that both species express sets of dental 365 development genes in similar proportions during early stages of tooth development (43). The 366 similarity of our high-throughput RNA sequencing results (Fig. 7) to the mouse and rat results in 367 previous studies suggest overall expression patterns of keystone dental development genes

368 within each stage are conserved across Glires. Our principal component analyses and differential 369 expression analyses measuring changes between mouse and bank vole molars, however, showed 370 that several dental genes' expression levels differed significantly by species and age. Previous 371 research has documented organ expression patterns that are conserved across species early in 372 development and diverge over time, with some major organs displaying heterochronic shifts in 373 some species (75). If the major source of variation in keystone dental gene expression patterns 374 between mice and bank vole molars were solely attributable to species, we might expect to see 375 clear separation between the species along the first or second principal component (PC1 or PC2), 376 like that observed in PC1 of the 500 most variable genes (Fig. 6). If molar development follows 377 the diverging expression patterns observed in other organs, we might expect just the earliest age 378 classes to align on one, or multiple, PCs. Instead, we found two trajectories that were nearly 379 parallel across PC1 and PC2 and multiple keystone dental genes that were significantly 380 differentially expressed with respect to species and age. This variation between species is likely 381 driven by the larger number of cusps in the vole molar, and corresponding upregulation of genes 382 regulating cusp formation. The overall acceleration of patterning in vole molars likely explains 383 the significance of the age variable in our expression results, causing a heterochronic shift in the 384 expression patterns.

Our analyses were limited by the small number of rodent species with sufficiently annotated genomes to be included in synteny and positive selection analyses. This limitation left us with a small phylogeny for our ancestral state reconstructions, which thus did not encompass the full diversity of Glires tooth roots, and potentially weakened model-based genomic analyses. Although positive selection analyses using the Bayes Empirical Bayes criterion are robust to smaller sample sizes (46), incomplete sampling can affect estimations of ancestral characteristics

(76). Innovations in paleoproteomics also offer the opportunity to compare fossil species' dental
gene sequences directly to living and estimated ancestral sequences (77,78). By incorporating
data for extinct Glires in both morphological and molecular analyses, we can further elucidate
links between dental gene evolution and unrooted teeth.

395

396 CONCLUSIONS

397 Our genomics and transcriptomics analyses, based on our newly sequenced, high-quality 398 draft bank vole genome assembly and annotation, showed that bank vole early tooth 399 development is comparable to other commonly used rodent models in dental development 400 research. We identified 6 dental gene orthogroups that were undergoing site-specific positive 401 selection across Glires and two genes, *Dspp* and *Aqp1*, that were undergoing site-specific 402 positive selection in Glires with unrooted molars. *Dspp* appears particularly relevant to root 403 formation, as loss-of-function mutations cause a dentin production defect that can result in 404 shortened tooth roots. Future research must explore the functional role that *Dspp* plays in tooth 405 root formation in Glires and other clades. The rodent dentary is an exciting system for 406 understanding tooth development; it provides an easily manipulated set of tissues that can be 407 produced quickly and features a lifelong population of stem cells in the incisor with genomic 408 mechanisms that are potentially replicated across other teeth in species with unrooted molars. 409 Our results identify candidate genes for future analyses, and our draft bank vole genome and 410 annotation improve the utility of this species for comparative dental research that can uncover 411 the genetic mechanisms of tooth root formation.

412

413 METHODS

414 *Tissue collection and sequencing*

415 To assemble the bank vole genome, we sequenced tissues from a single adult male 416 specimen housed in a colony at the UCSF Mission Center Animal Facility. We euthanized the 417 animal according to UCSF IACUC protocol AN189916 and harvested muscle, kidney, heart, and 418 liver tissue, which were immediately frozen at -80°C. Tissues were sent to a third-party 419 sequencing service, where they were combined and homogenized to achieve appropriate mass 420 for high molecular weight DNA extraction. We targeted 60x coverage with 150 base pair (bp) 421 reads using 10X Chromium linked-read chemistry (79,80) sequenced on the Illumina platform. 422 We also targeted 10x coverage with Pacific Biosciences SMRT long-read chemistry. For genome 423 annotation and gene expression analyses, we collected seven biological replicates each of first 424 molars at embryonic days 13-16 (E13, E14, E15, E16), second molars at E16, and jaw tissues at 425 E14 under University of Helsinki protocols KEK16-021, KEK19-019, and KEK17-030 and 426 stored them in RNAlater at -80°C for RNA sequencing, following a tissue harvesting protocol 427 established for mice and rats (43). We extracted RNA from these tissues using a guanidium 428 thiocyanate and phenol-chloroform protocol combined with an RNeasy column purification kit 429 (Qiagen) based on the keystone dental gene protocol (43). Single-end 84 bp RNA sequencing 430 was performed using the Illumina NextSeq 500 platform.

431

432 *Genome assembly and quality control*

We first assembled only the 10X Chromium linked reads using the default settings in Supernova 2.1.1. (79,80). We selected the "pseudohaplotype" (pseudohap) output format, which randomly selects between potential alleles when there are two possible contigs assembled for the same region. This option produces two assemblies, each with a single resolved length of the

437 genome sequence (79–81). We used our lower-coverage, long-read data for gap filling and 438 additional scaffolding. First, we estimated the genome's length using the raw sequence data in 439 GenomeScope (82), which predicted a length of 2.6 gigabases. We then performed error 440 correction of the long reads using Canu (83), removing reads shorter than 500 base pairs (bp) and 441 disregarding overlaps between reads shorter than 350 bp. We kept only those reads with 442 minimum coverage of 3x for scaffolding. Following long read error correction, we used Cobbler 443 and RAILS (84) with a minimum alignment length of 200 bases to accept matches for gap filling 444 and scaffolding of both pseudohap assemblies. 445 For quality control, we assessed both unscaffolded and long-read scaffolded pseudohap 446 assemblies by standard assembly length statistics with QUAST (85) and presence of single-copy 447 orthologs with BUSCO v3 (86). Both scaffolded assemblies were approximately 2.44 Gigabases 448 long, with an N50 (the length of the shortest scaffold at 50% of the total assembly length) of 4.6 449 Megabases; we refer to them as Pseudohap1+LR and Pseudohap2+LR. The Pseudohap1+LR 450 assembly had 17,528 scaffolds over 1000 bp long, and the Pseudohap2+LR assembly had 17,518 451 scaffolds over 1000 bp long (Table 3). BUSCO searched for universal single-copy orthologs 452 shared by Euarchontoglires, recovering 89.4% of these genes in the scaffolded Pseudohap1+LR 453 assembly and 92.8% of the single-copy orthologs in the scaffolded Pseudohap2+LR assembly 454 (Fig. 8). The two assemblies were similar length and contiguity, but we based annotation and 455 downstream analyses on Pseudohap2+LR because it recovered more single-copy orthologs. 456 457 *Genome annotation*

458 We annotated the genome using multiple lines of evidence in three rounds of the 459 MAKER pipeline (87–89). For evidence from gene transcripts, we assembled a *de novo*

460 transcriptome assembly of the single-end RNA sequences pooled from all molar and jaw tissues 461 using Trinity (90). We also included cDNA sequences from the *Mus musculus* assembly 462 GRCm38 to provide additional transcript evidence from a close relative with a deeply annotated 463 genome. We used SwissProt's curated protein database to identify protein homology in the 464 genome. Two libraries of repeats provided information for repeat masking: the Dfam Rodentia 465 repeat library (91–93) and a custom library specific to the bank vole estimated with a protocol 466 modified from Campbell et al. (88). The custom library features miniature inverted-repeat 467 transposable elements identified with default settings in MiteFinder (94), long terminal repeat 468 retrotransposons extracted with the GenomeTools LTRharvest and LTRdigest functions (95) 469 based on the eukaryotic genomic tRNA database, and de novo repeats identified with 470 RepeatModeler (96). We combined elements identified by these programs into a single repeat 471 library, then removed any elements that matched to a custom SwissProt curated protein database 472 excluding known transposons. The custom repeat library is available in Additional file 5. We 473 trained a custom gene prediction model for MAKER as well. The first iteration of the model 474 came from BUSCO's implementation of augustus (97). Between each round of MAKER 475 annotation, we further updated the gene prediction model with augustus. 476 MAKER considered only contigs between 10,000-300,000 bp long during annotation. 477 Our second and third iterations of MAKER used the same settings but excluded the 478 "Est2genome" and "protein2genome" functions, as recommended in the MAKER tutorial. We 479 included a SNAP (98) gene prediction model based on the output of the first round of annotation 480 during the second and third iterations of MAKER annotation. Annotation quality (i.e., agreement 481 between different lines of evidence and the MAKER annotation) was assessed visually in 482 JBrowse after each iteration and using *compare annotations 3.2.pl* (99), which calculates the

483	number of coding and non-coding sequences in the annotation in addition to basic statistics about
484	sequence lengths. Our MAKER annotation covered 2.41 Gb of the scaffolded Pseudohap2
485	assembly in 4,125 scaffolds. These scaffolds contained 27,824 coding genes (mRNA) and 15,320
486	non-coding RNA sequences. The average gene length was 12,705 bp. Most annotations (91.4%)
487	had an annotation edit distance (AED) of 0.5 or better. AED is a measure of congruency between
488	the different types of evidence for an annotation, where scores closer to zero represent better-
489	annotated genes (100).
490	
491	Orthology and synteny analyses
492	We analyzed orthology and synteny of the bank vole genome to understand gene and
493	genome evolution related to dental development across Glires with rooted and unrooted molars.
494	We obtained genomes from Ensembl for 23 Glires species and one phylogenetic outgoup, Homo
495	sapiens (Table 4). These genomes all had an N50 over 1 Mb, which improves synteny
496	assessment (101). We first analyzed all 24 genomes for groups of orthologous genes
497	(orthogroups) in OrthoFinder (102), providing a tree topology based on the Ensembl Compara
498	reference tree (Fig. 1) to guide orthology detection. Because we would not analyze the human
499	outgroup in downstream analyses, we implemented the OrthoFinder option that splits
500	orthogroups at the root of Glires (hierarchical orthogroups), thus any group of orthologs studied
501	here represents only genes with shared, orthologous evolutionary history within Glires. We
502	selected MAFFT (103) for multiple sequence alignment and fastme (104) for phylogenetic tree
503	searches within OrthoFinder. We retained the gene trees estimated for each orthogroup for
504	downstream analyses.

505 Although dental development genes are spread throughout the genome, we were 506 interested in whether each gene remained in the same local arrangement across species of Glires. 507 We prepared each genome annotation and sequence file for synteny analysis using the 508 reformatting functions of Synima (105) to extract each peptide sequence associated with a gene 509 coding sequence in the Ensembl annotation. Collinear synteny blocks estimated by MCScanX 510 (106) formed the basis for synteny network analyses using the SynNet pipeline (107-109). We 511 inferred networks from the top five hits for each gene, requiring any network to have a minimum 512 of 5 collinear genes and no more than 15 genes between a collinear block, settings that perform 513 well for analyzing mammal genomes (109). Using the infomap algorithm, we clustered the 514 synteny blocks into microsynteny networks, from which we extracted network clusters 515 corresponding to the list of keystone dental genes (43). For each dental gene hierarchical 516 orthogroup, we assessed whether genes of species with unrooted molars were missing from the 517 synteny networks that contained other Glires species' sequences, representing loss of synteny for 518 those species.

519

520 *Positive selection analysis*

We aligned protein sequences for each dental gene orthogroup with clustal omega (110) using default settings. Based on universal translation tables, we obtained codon-based nucleotide alignments with pal2nal (111), removing sites in which any species had an indel (i.e., ungapped) and formatting the output for analysis in PAML (44). We pruned and unrooted the orthogroup gene trees from OrthoFinder to contain only tips representing the genes in each synteny network or orthogroup under analysis in PAML. We tested whether any of the genes were undergoing positive selection using a likelihood ratio test comparing site-specific models of "nearly neutral"

and positive selection. In these models, ω , the ratio of nonsynonymous to synonymous nucleotide substitutions (also known as dN/dS), can vary at each codon site. In the "nearly neutral" model, ω can take values between 0 and 1, while the positive selection model allows sites to assume ω values greater than 1 (46,112). We estimated κ (the ratio of transitions to transversions) and ω from initial values of 1 and 0.5, respectively, for both tests.

533 Dental genes with significant site-specific positive selection or those lacking synteny in 534 species with unrooted molars formed the basis for our second set of positive selection tests using 535 a branch-and-site model of positive selection. This model allows ω to vary not only among 536 codon sites, but also between "foreground" and "background" lineages (46). We marked the 537 species with unrooted molars as foreground lineages, then ran the model twice: once with ω 538 unconstrained to detect sites undergoing positive selection only on foreground branches, and a 539 second time and with ω fixed to 1, or neutral selection. A likelihood ratio test of the two models 540 determined whether the lineage-specific positive selection model was more likely than a neutral 541 model, and Bayes Empirical Bayes analyses (46) produced posterior probabilities to identify 542 sites under positive selection.

543 Genes under positive selection also tend to have lower expression levels (48), thus we 544 compared expression of the genes with branch-and-site specific positive selection between the 545 prairie (unrooted molars) and the bank vole (rooted molars) to provide further support for 546 selective differences. We collected three biological replicates of first molars from both species at 547 three postnatal stages (P1, P15, and P21) and immediately preserved them at -80°C in lysis 548 buffer (Buffer RLT; Qiagen) supplemented with 40 µM dithiothreitol. RNA was extracted from 549 homogenized tissues using a RNeasy column purification kit (Qiagen). We assessed 550 concentration and purity of extracted RNA using a NanoDrop 2000 spectrophotometer

551 (ThermoFisher Scientific). Using 1 µg of RNA, we synthesized cDNA using a high-capacity 552 cDNA reverse transcription kit (ThermoFisher Scientific). We used 1 µL diluted cDNA (1:3 in 553 ddH₂O) and iTaq Universal SYBR Green Supermix (Bio-rad) in the Bio-rad CFX96 real-time 554 PCR detection system for qPCR experiments, producing three technical replicates for each 555 biological replicate. We normalized cycle threshold (CT) values of genes of interest to GAPDH 556 expression levels and calculated relative expression levels as $2^{-\Delta\Delta CT}$. A two-tailed unpaired t-test 557 calculated in Prism 9 measured whether expression of these genes significantly differed between 558 bank voles and prairie voles. The oligonucleotide primers for each species and gene are in 559 Additional file 6.

560

561 Sequence and secondary structure evolution

562 We performed ancestral sequence reconstruction on the codon sequences of the genes 563 that had evidence of branch-and-site specific positive selection to understand how the sequence 564 has changed through time. The gapped clustal omega alignments were the basis for ancestral 565 sequence reconstruction on the Glires species tree (Fig. 1) using pagan2 (113). For each gene, we 566 plotted amino acid substitutions at the site with potential positive selection. Finally, we predicted 567 secondary structures (i.e., helices, beta sheets, and coils) for each unrooted species' protein 568 sequence and the reconstructed ancestral sequence prior to the change at the site under positive 569 selection using the PSIPRED 4.0 protein analysis workbench (114,115). Comparing these 570 predictions across the phylogeny, we assessed how these substitutions at the site under selection 571 may affect the structure of each protein.

572

573 Developmental gene expression

574	We performed quality control and filtering of the short reads for the seven replicates of
575	first molar tissues at E13, E14, and E16 using the nf-core/rnaseq v. 3.11.2 workflow (116) for
576	comparability to previous mouse and rat analyses (43). RNAseq reads were evaluated and
577	adapter sequences were filtered using FastQC v. 0.11.9 (117) and Cutadapt v. 3.4 (118), and
578	ribosomal RNA was removed using SortMeRNA v. 4.3.4 (119). We then aligned trimmed
579	sequences to our bank vole annotation using Salmon v. 1.10.1 (120). Counts were then
580	normalized by gene length. We categorized gene count data into functional groups based on their
581	established roles in tooth bud development (43) using the one-to-one orthology list between our
582	bank vole genome and the mouse GRCm39.103 genome annotation generated from our
583	OrthoFinder output. Using the rlog function of DESeq2 (121), we normalized gene counts within
584	each functional group on a log2 scale. A permutation test assessed whether the mean counts of
585	the progression, shape, and double functional groups were significantly different from genes in
586	the tissue, dispensable, and "other" groups (which are potentially relevant later in development)
587	based on 10,000 resampling replicates of the dataset (43).
588	We also assessed differential expression between the bank vole first molar and published
589	mouse M1 data at the same three time points (GEO accession GSE142199 (43)), combining the
590	data based on the one-to-one orthology relationships used in the functional permutation analysis.
591	Using the mouse E13 molar as the reference level, we modeled expression as a response to
592	species (mouse or vole), embryonic day (E13, E14, or E16), and the interaction between species
593	and day. We considered as significant any gene with a log fold change greater than 1, log fold
594	change standard error less than 0.5, and false discovery rate adjusted p value less than 0.05.
595	

596 TABLES

597 Table 1 – Genes undergoing site-specific and branch-and-site-specific positive selection

Gene	Mus transcript	Myodes transcript	Site	Branch-and-site
Aqp1	ENSMUST0000004774	Mglareolus_00011822	Yes	Yes
Col4a1	ENSMUST0000033898	Mglareolus_00032740	Yes	No
Dspp	ENSMUST00000112771	Mglareolus_00014030	Yes	Yes
Fgf20	ENSMUST0000034014	Mglareolus_00013079	Yes	No
Runx3	ENSMUST00000056977	Mglareolus_00033992	Yes	No
similar to Runx3	_	_	Yes	_*

598 Table 1 Legend: *HOG only contained four genes with one unrooted species' sequence, could

599 not be tested for branch-and-site specific selection.

600

601 Table 2 – P-values of permutation tests between keystone gene categories in bank vole M1

602 at embryonic days 13, 14, and 16

		Tissue	Dispensable	Dev. Process	Other
E13	Progression	0.0310	0.0942	0.0436	0.0402
	Shape	0.6431	0.9041	0.2289	0.0995
	Double	0.1292	0.1521	0.0716	0.0655
E14	Progression	0.0136	0.0383	0.0437	0.0401
	Shape	0.3115	0.4725	0.0922	0.0454
	Double	0.1288	0.0945	0.0709	0.0630
E16	Progression	0.0140	0.0401	0.0303	0.0274
	Shape	0.3770	1	0.1831	0.0662
	Double	0.1343	0.1099	0.0638	0.0596

603 Table 2 Legend: Italicized values are statistically significant (p < 0.05)

605 Table 3 – QUAST assembly statistics for *de novo* bank vole (*Myodes glareolus*) genome

606 assemblies

	Pseudohap1	Pseudohap1+LR	Pseudohap2	Pseudohap2+LR*
Largest contig	27939478	32658832	27937749	32657565
Total length	2434151515	2441426554	2434099357	2441472313
GC (%)	41.88	41.89	41.88	41.89
N50	4187179	4579815	4187179	4558134
N75	1689669	1818134	1687188	1810460
L50	170	153	170	154
L75	388	357	388	358
Ns per 100 kbp	1151.99	1030.75	1151.96	1030.48

607 Table 3 Legend: *assembly used for annotation and downstream analyses in this paper.

608

609 Table 4 – Genomes used in orthology, synteny, and positive selection analyses

Species	Assembly	Citation
Myodes glareolus	CUNY_Mgla_1.0	This paper
Cavia porcellus*	Cavpor3.0	(122)
Cavia aperea*	CavAp1.0	(123)
Marmota marmota	marMar2.1	(124)
Microtus ochrogaster*	MicOch1.0	(125)
Mus musculus	GRCm39	(126)
Oryctolagus cuniculus*	OryCun2.0	(122)
Dipodomys ordii*	Dord_2.0	(122)
Jaculus jaculus	JacJac1.0	(127)

Rattus norvegicus	Rnor_6.0	(128)
Mus pahari	PAHARI_EIJ_v1.1	(129)
Mus caroli	CAROLI_EIJ_v1.1	(129)
Mus spretus	SPRET_EiJ_v1	(130)
Mus spicilegus	MUSP714	(131)
Cricetulus griseus	CHOK1GS	(132)
Mesocricetus auratus	MesAur1.0	(133)
Peromyscus maniculatus	HU_Pman_2.1	(134)
Nannospalax galili	S.galili_v1.0	(135)
Octodon degus*	OctDeg1.0	(136)
Heterocephalus glaber (F)	HetGla_female_1.0	(137)
Chinchilla lanigera*	ChiLan1.0	(138)
Urocitellus parryi	ASM342692v1	(139)
Ictidomys tridecemlineatus	SpeTri2.0	(140)
Homo sapiens**	GRCh38	(141)

610 Table 4 Legend: *Species with unrooted molars; **Peptide annotation used as outgroup only in

- 611 OrthoFinder analysis.
- 612
- 613 FIGURES





615 **Figure 1** – Species tree of Glires based on the Ensembl Compara species tree. Whether each

616 species has rooted or unrooted molars is indicated by colored circles at the tip of each branch.

- 617 Note that unrooted, or hypselodont, molars have evolved multiple times across Glires. This
- 618 topology was the basis for our orthology analysis.



619

Figure 2 – A Presence (colored boxes) or absence (gray boxes) of gene sequences for each
species in hierarchical orthogroups where fewer than half of the species with unrooted molars
had conserved synteny. Columns are ordered according to phylogenetic positions (top) and rows
are ordered by Euclidean distance clustering. Rows are split into two major groups: group 1, in

624 which synteny is not conserved across Glires, and group 2, in which synteny is not conserved

625 mainly in species with unrooted molars. * = One hierarchical orthogroup represented only four 626 gene sequences annotated based on similarity to Runx3. B An example of a synteny network for 627 genes in Group 1, displayed using the Fruchterman-Reingold layout algorithm in the R package 628 *iGraph* (142). Small circles represent genes in the synteny network that are not part of the 629 hierarchical orthogroup, large circles represent genes in the hierarchical orthogroup, and lines 630 between circles represent a syntenic relationship between two species. Circle color represents 631 whether species has rooted or unrooted molars following the same key in A. C An example 632 synteny network for genes in Group 2, displayed using the Fruchterman-Reingold layout 633 algorithm in the R package *iGraph* (142). Circles represent genes in the hierarchical orthogroup, and lines between circles represent a syntenic relationship between two species. Circle color 634 635 represents whether species has rooted or unrooted molars following the same key in A. D 636 Treemaps representing the keystone gene categories for all hierarchical orthogroups, the Group 1 637 hierarchical orthogroups, and the Group 2 hierarchical orthogroups. Most genes in each group 638 are in the "dispensable" keystone gene category, which includes genes that are dynamically 639 expressed during dental development but have no documented effect on phenotypes.



Figure 3 – Quantitative PCR comparisons of *Dspp* and *Aqp1* expression between bank vole and
prairie vole M1 at postnatal days 1, 15, and 21 (P1, P15, P21). Expression levels for both genes
are lower in the prairie vole (unrooted molars), which supports the positive selection detected for
these genes in species with unrooted molars.



646 **Figure 4** – Ancestral state reconstructions of the residue under positive selection in PAML tests.

- 647 Letters at tips and internal nodes represent IUPAC codes for amino acids and * denotes species
- 648 with unrooted molars. A *Dspp*; **B** *Aqp1*.





Figure 5 – PSIPRED secondary structure predictions for the three species with unrooted molars
represented in the *Dspp* sequences. Letters correspond to the most recent ancestor of each tip
species where the amino acid at the site under positive selection differed: A, the predicted
ancestor of *O. cuniculus*; B, the predicted ancestor of *D. ordii*; and C, the predicted ancestor of *M. ochrogaster*. Structure predictions, the relative confidence of the prediction, and the amino
acid sequence for each pair of extant species and ancestor are on the right.





Figure 6 – Principal component (PC) analyses of differentially expressed genes in mouse and
bank vole M1. A PC1 and PC2 of the 500 most variable genes, showing a clear differentiation
between species along PC1 and differentiation between age classes along PC2. B PC1 and PC2
of the keystone dental genes. Both PC1 and PC2 separate age classes within, but not between,
the species, likely due to differences in developmental timing and molar morphology between
mice and voles.

663



Figure 7 – Box and whisker plots showing normalized log base 2 expression levels for each
keystone gene category in bank vole M1 at embryonic days 13, 14, and 16. Horizontal bar and

- 667 diamond within each box represent the median and mean values. Individual datapoints are
- displayed for smaller keystone gene categories. Gene expression profiles at these stages are
- 669 comparable to mouse and rat molars at analogous developmental stages, as seen in Hallikas et al.
- 670 2021.
- 671



672

673 Figure 8 – BUSCO single-copy ortholog recovery for each "pseudohaploid" version of our draft



- Each bar represents the cumulative proportion of the 6,192 single-copy orthologs for
- 676 Euarchontoglires identified by BUSCO represented by complete single-copy, complete-

- 677 duplicated, fragmented, and missing orthologs. The Pseudohap2 and Pseudohap2 + LR
- assemblies had the best single-copy ortholog recovery.
- 679
- 680 ADDITIONAL FILES
- 681 Additional file 1 [.xlsx] Dental gene results Full table of orthology, synteny, and positive
- 682 selection test results for all dental genes assessed.
- 683 Additional file 2 [.txt] Dspp gapped alignment Gapped codon-based alignment for Dspp in
- 684 fasta formatted sequences.
- 685 Additional file 3 [.txt] Aqp1 gapped alignment Gapped codon-based alignment for Aqp1 in
- 686 fasta formatted sequences.
- 687 Additional file 4 [.pdf] Structure predictions PSIPRED Secondary structure predictions for
- 688 each ancestral node and unrooted molar tip species for *Dspp* and *Aqp1*.
- 689 Additional file 5 [.txt] Custom repeat library Custom repeat library of fasta formatted
- 690 sequences used in annotation of the draft *Myodes glareolus* genome. See Methods for description
- 691 of the process used to generate the library.
- 692 Additional file 6 [.pdf] Oligonucleotide primers List of oligonucleotide primers for *Dspp*,
- 693 *Aqp1*, and *GAPDH* used in bank vole and prairie vole qPCR experiments.
- 694

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