



Published in final edited form as:

*Alzheimers Dement.* 2023 December ; 19(12): 5755–5764. doi:10.1002/alz.13382.

## Plasma NT1-tau and A $\beta$ <sub>42</sub> correlate with age and cognitive function in two large Down syndrome cohorts

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### Abstract

**Introduction:** People with Down syndrome (DS) often develop Alzheimer disease (AD). Here we asked whether ultrasensitive plasma immunoassays for a tau N-terminal fragment (NT1-tau) and A $\beta$  isoforms predict cognitive impairment.

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#### Consent Statement

All participants (or their guardians when appropriate) provided written informed consent under Institutional Review Board approval for this study.

#### Conflicts of Interest

DJS is a director and consultant to Prothena Biosciences. The other authors declare no conflicts of interest.

**Methods:** Plasma NT1-tau, A $\beta$ <sub>37</sub>, A $\beta$ <sub>40</sub>, and A $\beta$ <sub>42</sub> levels were measured in a longitudinal discovery cohort (N = 85 participants, 220 samples) and a cross-sectional validation cohort (N = 239). We developed linear models and predicted values in the validation cohort.

**Results:** Discovery cohort linear mixed models for NT1-tau, A $\beta$ <sub>42</sub>, and A $\beta$ <sub>37:42</sub> were significant for age; there was no main effect of time. In cross-sectional models, NT1-tau increased and A $\beta$ <sub>42</sub> decreased with age. NT1-tau predicted cognitive and functional scores. The discovery cohort linear model for NT1-tau predicted levels in the validation cohort.

**Discussion:** NT1-tau correlates with age and worse cognition in DS. Further validation of NT1-tau and other plasma biomarkers of AD neuropathology in DS cohorts is important for clinical utility.

## Keywords

Down syndrome; Alzheimer disease; tau; A $\beta$ ; plasma; biomarker

## Background

Two populations are at nearly 100% risk for developing Alzheimer disease pathology: people carrying autosomal dominant mutations in the A $\beta$  generation pathway (*APP* and *PSEN1/2*) and people with trisomy 21. People with trisomy 21 (Down syndrome, DS) develop amyloid plaques, then neurofibrillary tangles, and then cognitive decline beginning at an earlier age than disomic people, whereas those with partial trisomy sparing the *APP* locus do not, suggesting A $\beta$  overproduction as a root cause of AD in DS.<sup>1,2</sup> The neuropathologic features of AD due to trisomy 21 are almost identical to sporadic AD, though patients with DS and AD have a higher average plaque density and less neuronal loss than those with sporadic AD.<sup>3,4</sup> Further, changes in CSF A $\beta$ <sub>42</sub>, CSF phosphotau, hippocampal volume, amyloid PET, and dementia onset in DS mirror the order of events in sporadic AD.<sup>5–8</sup> Thus, studying the biological changes that accompany and predict onset of dementia and AD neuropathology in people with trisomy 21, a common variant that occurs in many different genetic backgrounds, can help not only those with DS but the entire AD population.<sup>9</sup>

In the last decade, measurement of AD-relevant analytes in plasma has been enabled by advances in mass spectrometry and ultrasensitive immunoassays. Most assays measure A $\beta$ <sub>40</sub>, A $\beta$ <sub>42</sub>, or different phosphorylated and/or truncated forms of tau. More recently, assays for oligomeric A $\beta$ <sup>10</sup> and A $\beta$ <sub>37</sub><sup>11</sup> have emerged as potentially superior to standard A $\beta$ <sub>42</sub> and A $\beta$ <sub>40</sub> assays for distinguishing AD patients from controls. Few of these assays have been tested in people with DS, a population for whom there is great need for plasma biomarkers because of the high risk of AD and greater difficulty obtaining spinal fluid. As expected due to an extra copy of *APP*, the levels of plasma and CSF A $\beta$ <sub>42</sub> are higher in people with DS than controls.<sup>12</sup> A $\beta$  levels alone perform poorly in predicting dementia onset, though those with a declining A $\beta$ <sub>42:40</sub> ratio do have a higher risk of dementia.<sup>6,7,12,13</sup> Tau biomarkers have also seen limited application to DS. Tau phosphorylated at threonine-181 (pTau181) distinguished people with DS with dementia from asymptomatic participants and correlated with CSF and brain imaging biomarkers.<sup>14,15</sup>

The observation that most of the tau present in body fluids is truncated led to the development of the NT1-tau assay, which detects tau fragments containing both the N-terminus and the mid-region.<sup>16</sup> This assay is both sensitive and specific to AD pathology.<sup>17</sup> The NT1-tau assay distinguished sporadic AD from healthy and non-AD dementia controls, in contrast to plasma neurofilament light (NfL), which could not distinguish between AD and non-AD dementia.<sup>17</sup> Higher baseline NT1-tau measurement in healthy elderly controls predicted future cognitive decline, neurodegeneration by volumetric MRI, and tau PET.<sup>18</sup> These results suggest plasma NT1-tau is a sensitive and specific predictor of cognitive decline due to AD neuropathology. One small cross-sectional study of NT1-tau in DS found that plasma NT1-tau levels increase above age 50.<sup>12</sup> In the current study, we sought to validate plasma NT1-tau and A $\beta$  isoforms as predictors of cognitive decline and neurodegeneration in people with DS in two large cohorts. We first assessed the relationship of the plasma analytes with age and cognitive function in a longitudinal cohort, and then applied the model to a separate validation cohort. We found that both plasma NT1-tau and A $\beta$ <sub>42</sub> associated with age and worsening cognitive function. A linear model derived from NT1-tau values in the discovery cohort predicted the NT1-tau values in the validation cohort. The results suggest applicability of plasma NT1-tau as a biomarker of AD pathology in DS and demonstrate reproducibility in clinical use across samples.

## Methods

### Participants

Our discovery cohort was a longitudinal cohort of aging individuals with DS who were analyzed from the University of Kentucky (“UKY Cohort”). As part of the longitudinal study, participants attended visits every 6–12 months to complete a clinical assessment, blood draw, and MRI brain scan. For our analysis, only the clinical assessments and blood draw data were used. Participants were eligible if they 1) had a diagnosis of DS; 2) were over 25 years old; 3) were medically stable; 4) could complete annual visits; 5) spoke English; 6) had no neurological disease other than DS; 7) and could tolerate MRI. Participants were deemed ineligible if they were medically unstable and had changed medications in the last three months, except anxiolytic use as needed for medical procedures. Research procedures were independently reviewed and approved by the University of Kentucky Institutional Review Board. Participants completed approved protocols for informed consent or assent with guardian approval. After analysis of plasma NT1-tau and A $\beta$  samples, the Tukey method was used to exclude outliers, with a  $\pm 2 \times \text{IQR}$  threshold. We further excluded any participant missing *a priori* covariates or clinical outcome variables. As a result, 85 participants with 220 observations were analyzed (Table 1).

The Alzheimer’s Biomarker Consortium – Down Syndrome (ABC-DS) served for validation. ABC-DS is a multisite cohort with ongoing enrollment.<sup>19</sup> Our validation study consisted of 239 individuals with DS at their initial visit, which included blood draw, MRI, and neurocognitive assessments (Table 2). ABC-DS is conducted under IRB approved protocols, with participants and/or caregivers providing written informed consent to participate.

## Plasma handling and storage

The UKY cohort plasma was obtained under fasting conditions initially (visit 1) but transitioned to non-fasting conditions to reduce participant burden. Blood was collected into a 10 ml EDTA tube, centrifuged at 771 *g* and aliquoted into 0.5-ml volumes and frozen at  $-80^{\circ}\text{C}$  locally at the University of Kentucky. ABC-DS blood collection and processing methods were harmonized across all eight ABC-DS clinical sites. Blood was collected into a 10ml EDTA tube, then centrifuged for 10 minutes at 2,000 *g* at  $4^{\circ}\text{C}$ . The plasma fraction was aliquoted in 0.25-ml units to individual 0.5-ml siliconized cryovials and stored at  $-80^{\circ}\text{C}$  at local ABC-DS clinical performance sites. The vials were shipped from the local ABC-DS sites on dry ice via overnight courier to the National Cell Repository for Alzheimer's Disease (NCRAD) at Indiana University, where they were stored at  $-80^{\circ}\text{C}$  until sent in one shipment to the Ann Romney Center for Neurologic Diseases at Brigham and Women's Hospital in Boston, MA for analysis. The time in storage from blood draw to analysis for all ABC-DS samples was less than three years.

Upon receipt at the laboratory running the assays, aliquots of plasma were thawed, re-aliquoted, and frozen in volumes suitable for running each assay, to prevent repetitive freeze-thaw.

## Assessments

At each study visit, informants of participants in the UKY and ABC-DS cohorts completed the Dementia Scale for People with Learning Disabilities (DLD).<sup>20</sup> Participants completed other behavioral and cognitive assessments in both studies, but the DLD was the only assessment common to both cohorts and was used as an independent measure in our analysis. Informants were caregivers and/or legal guardians who were responsible for the daily care of the participants either in the home or an assisted living facility. The DLD is a 50-item informant questionnaire measuring behavioral and cognitive dysfunction. The DLD results in the following scores: sum of cognitive scores (SCS), including short-term memory, long-term memory, and spatial/temporal orientation; the sum of social scores (SOS), including speech, practical skills, mood, activity/interest, and behavioral disturbance; and a total score consisting of the combined SCS and SOS. Higher scores on the DLD indicate more deterioration.

In addition to the DLD, both the UKY and ABC-DS protocols included additional behavioral and cognitive measures that were considered for clinical diagnosis classification.<sup>19</sup> Clinical diagnosis in the UKY cohort was based on NINCDS-ADRDA criteria<sup>21</sup> and NACC Form D1.<sup>22</sup> The consensus diagnosis was determined through expert review by a neurologist, one neuropsychologist, and either one additional neuropsychologist or one psychologist. The consensus classified participants as “no dementia,” “MCI/possible dementia,” “Alzheimer disease/probable dementia,” or “other/uncertain.” In the ABC-DS cohort, clinical diagnosis was determined by clinical consensus conference in accordance with the recommendations of the AAMR-IASSID Working Group for the Establishment of Criteria for the Diagnosis of Dementia in Individuals with Developmental Disability and was based on all available medical and cognitive testing data in reference to baseline IQ and any recent major life transitions or events.<sup>19</sup> Clinical consensus decisions were made by a team

of expert ABC-DS clinicians with experience in dementia and Down syndrome. ABC-DS participants received a diagnosis of cognitively stable (DS-CS), mild cognitive impairment (DS-MCI), Alzheimer's disease dementia (DS-dementia) or were classified as "unable to determine." Participants were classified as cognitively stable (DS-CS) if they were without cognitive or functional decline, beyond what would be expected with adult aging *per se*. Participants were classified as having MCI (DS-MCI) if they demonstrated some cognitive and/or functional decline over and above what would be expected with aging *per se*, but not severe enough to indicate the presence of dementia. Participants were categorized as having dementia (DS-dementia) if there was evidence of substantial progressive decline in cognitive function and daily living skills. An "unable to determine" category was used to characterize participants with cognitive and functional impairment that could be better explained by significant life circumstance (e.g., staff changes, family death) or conditions unrelated to AD (e.g., severe sensory loss, psychiatric diagnosis, seizure disorder). Although slightly different criteria were used between the two cohorts, we equated the UKY and ABC-DS diagnostic categories in the interest of harmonizing the data. ABC-DS "DS-CS" subjects were considered equivalent to "no dementia," "DS-MCI" equivalent to "possible dementia," "DS-dementia" equivalent to "probable dementia," and "unable to determine" equivalent to "other/uncertain."

### Plasma NT1-tau assay

Consumables and reagents other than antibodies were obtained from Quanterix (Lexington, MA). The Simoa HD-1 analyzer was used for the UKY cohort, and the Simoa HD-X analyzer was used for the ABC-DS cohort. The tau capture antibody BT2 (194–198) was conjugated onto paramagnetic beads at 1.8 mg/mL. Detector antibody Tau12 (Sigma-Aldrich) was biotinylated according to the manufacturer using a ratio of 40 parts biotinylation reagent to 1-part antibody. Plasmas were centrifuged at  $14,000 \times g$  for 4 min and then diluted four-fold with Tau 2.0 sample diluent reagent. The Tau210 standard (see below) was diluted linearly with Tau 2.0 sample diluent to a concentration range of 90–0.009 pg/mL on the HD-1 or 270–0.02 on the HD-X. Samples, standards and blanks were prepared in 1.5 mL low-binding Eppendorf tubes and were analyzed in triplicate.

The NT1-tau assay used a 3-step protocol and was performed at ambient temperature on a Simoa analyzer. In step 1, 100  $\mu$ l of standard, blank, or sample was added to beads coated with capture antibody and mixed for 30 min. The beads were then collected and washed with wash buffer. In step 2, biotinylated detection antibody (0.6  $\mu$ g/ml) was added and incubated for 10 min 30 s, and the beads were then washed three times. In step 3, 150 pM streptavidin- $\beta$ -galactosidase was added, and following a further wash step, enzyme substrate (resorufin  $\beta$ -D-galactopyranoside) was added. The bead-bearing complexes were then resuspended and loaded into Simoa arrays, each containing 216,000 femtoliter-sized wells. The average enzyme unit per bead (AEB) was determined as described previously.<sup>17</sup> Standard curves of AEB vs. Tau210 concentration were fitted to a four-parameter logistic function with 1/Y2 weighting.

### Tau210 standard

The NT1-tau assay used an in-house Tau210 untagged standard produced recombinantly in a method modified from <sup>23</sup>. cDNA encoding tau protein 1–210 was cloned into pTXB1 plasmid (New England Bio) and expressed in *E. coli* BL21(DE3) using 0.4 mM IPTG for 2h starting at an OD600 of 0.6–1.0. The cells were pelleted at 3,000 *g* for 15 min and resuspended in extraction buffer (20 mM histidine, pH 6.0, 50 mM NaCl, 1 mM EDTA, 5 mM DTT, 0.1 mM PMSF, and 5% (v/v) glycerol) and snap-frozen in liquid nitrogen. Large-scale purification was performed at 4°C. The frozen cell suspension was quickly thawed and sonicated for 6 × 30 sec with a 30-sec interval between each pulse sonication using a probe sonicator at 50 W. The homogenate was clarified by centrifugation at 21,000 *g* for 15 min. The supernatant was precipitated by incubation with 1% streptomycin sulfate (TCI) for 5 min on ice, followed by centrifugation as above. All lysates were filtered through a 0.45 mm membrane filter (Millipore). The filtrate was loaded onto a 20 ml HiPrep Q HP 16/10 column equilibrated in extraction buffer and washed extensively with ~60 ml of the same buffer at a flow rate of 5 ml/min until the optical density at 280 nm reached a stable baseline. Tau protein was collected into 3 ml fractions using 2 gradients followed by one isocratic elution step [gradient 1: 0.05–0.15 M NaCl (20 ml); gradient 2: 0.15–0.4 M NaCl (60 ml); isocratic: 1 M NaCl (40 ml); all in extraction buffer]. Collected fractions were screened by SDS-PAGE, and Tau-containing fractions were pooled and concentrated using a spin filter (4 kDa MWCO, Millipore). Up to 0.35 ml of the pooled-concentrated fractions was injected onto a Superdex 75 Increase 10/300 column (Cytiva) running in 50 mM ammonium acetate, pH 8.5 at a flow rate of 0.5 ml/min. 0.5-mL fractions were collected and screened by SDS-PAGE. Recombinant Tau-containing fractions were pooled and lyophilized. Columns were calibrated with Gel Filtration Standard (Bio-Rad), which ranges from 1350 to 670,000 Da.

### Plasma A $\beta$ assays

The A $\beta$  assays comprised Singulex sandwich ELISAs of a plate-fixed monoclonal antibody against a proprietary protein tag (Tag 1) spotted in planar arrays in 96-well microtiter plates (Planar Array Homebrew Plate, Cat. 197–0461, Quanterix). Tag 1 peptide was conjugated to the capture antibody using a bifunctional SMCC-Sulfo crosslinker: D2A6H (Cell Signaling Technologies) for A $\beta$ <sub>37</sub>, QA18A67 (BioLegend) for A $\beta$ <sub>40</sub>, and 1–11-3 (BioLegend) for A $\beta$ <sub>42</sub>. The microtiter plates were incubated with the Tag 1-conjugated capture antibody for 30 min at RT with shaking at 525 rpm. Then, 25  $\mu$ l/well of the sample were incubated for 2 hr at RT with shaking at 525 rpm. Then, 50  $\mu$ l/well of biotinylated N-terminal A $\beta$  detection antibody solution 82E1 (IBL) and HRP-streptavidin solution were both incubated for 30 min at RT with shaking at 525 rpm. Wells were washed with wash buffer between incubations. Luminol solution (ELISAbright) as the chemiluminescent substrate was added as the final step for imaging on the Quanterix Simoa SP-X system. The limit of detection (LoD) and lower limit of quantification (LLoQ) were calculated by Simoa SP-X software. All A $\beta$  monomer peptide standards were purchased from Anaspec, dissolved in DMSO, and aliquoted.



## Statistical analysis

Participant demographics in each cohort were compared across harmonized consensus diagnoses. Kruskal-Wallis rank-sum test and Fisher's exact test were used to compare continuous and categorical baseline participant characteristics. For all analyses, baseline age, sex, level of intellectual disability, and consensus diagnosis were selected as covariates *a priori*. We also evaluated other standard blood measures of organ function as potential covariates.<sup>24,25</sup> Using the first baseline visit for each available UKY participant, we evaluated the correlation between creatinine, glucose, sodium, potassium, aspartate aminotransferase, alanine aminotransferase, alkaline phosphatase, total bilirubin, hemoglobin, and platelet count with plasma biomarkers. Moreover, we evaluated whether there was any effect of time of year (*i.e.*, month) or fasting status on NT1-tau levels. There were no significant relationships between these blood tests, time of year, or fasting, and therefore they were not included in models as additional covariates (Table S1).

Because of the longitudinal nature of the UKY cohort, we first used linear mixed models to assess the change of biomarkers over time, treating the time starting from the participant's baseline assessment as a distinct variable from baseline age. A separate linear model was generated for NT1-tau,  $A\beta_{40}$ ,  $A\beta_{42}$ ,  $A\beta_{37}$ , and the ratios  $A\beta_{37:40}$ ,  $A\beta_{37:42}$ , and  $A\beta_{40:42}$ . For each model, the random effects were specified using the restricted likelihood method. Random intercept versus random intercept and slope models were compared, and the random intercept and slope model was selected as there were no issues with convergence. Then main effects and interactions of the fixed effects (*i.e.*, time and sex) were fitted using the maximum likelihood method. Model fit was selected based on loglikelihood of nested models, Akaike Information Criteria (AIC), and Bayesian Information Criteria (BIC). Analyses were completed using R packages "lme4"<sup>26</sup> and "lmerTest".<sup>27</sup> As discussed below under Results, we did not observe any significant effect of time in this longitudinal analysis. Thus, linear regression models were subsequently used to measure the cross-sectional relationship of plasma biomarkers and age at baseline. Post-hoc comparisons used the Tukey correction for multiple comparisons for consensus diagnosis or intellectual disability when significant. In this cross-sectional analysis, linear regression was used to quantify the clinical associations between NT1-tau or the  $A\beta$  levels and ratios with DLD (cognitive, social, and total scores), while multinomial regression quantified the clinical associations between blood biomarker levels and consensus diagnosis. The effect of adding blood biomarkers to models was assessed by comparing model fit *via* loglikelihood, AIC, BIC and  $R^2$ .

A total of 297 cross-sectional samples were received from ABC-DS. A Tukey outlier test was used to exclude outliers from all biomarker values, resulting in the exclusion of 58 samples, for a total of 239 in the final sample. The linear regression and multinomial regressions developed using the UKY cohort were evaluated in this ABC-DS cohort. Model fit was evaluated by comparing the predicted versus actual values along with Root Mean Squared Error (RMSE) and  $R^2$  retaining the model beta weights. All analyses were completed in R v4.0.3. All statistical tests were two-tailed, and the alpha-level was set at 0.05.

## Results

A total of 104 participants enrolled in the UKY longitudinal study and were included in the current analysis, with a total of 416 plasma samples. Of these, 85 participants with 220 plasma samples had sufficient demographic, clinical, and NT1-tau and A $\beta$  plasma values for analysis (Table 1).

Except for NT1-tau, A $\beta_{42}$ , and A $\beta_{37:42}$ , no linear mixed model had significantly better model fit than the null model (all  $p > 0.05$ , for full results see Table S2). For NT1-tau and A $\beta_{37:42}$ , there was no significant main effect of time, starting from the participants' baseline visits (Fig S1A, C), while there was a significant but unconvincing main effect of time for A $\beta_{42}$  ( $p = 0.03$ ) (Fig S1B). There was no effect of time on NT1-tau when stratifying by dementia diagnosis. However, examining spaghetti plots of these plasma measures showed a visual increase with age for NT1-tau, particularly after the age of 40 years (Fig S1D). Therefore, the longitudinal nature of the UKY cohort did not improve the predictive properties of the biomarkers due to a strong age effect, and this cohort was then treated as cross-sectional. The baseline visit from each of the 85 UKY participants was used in a cross-sectional analysis to quantify the relationship between baseline age, sex, level of intellectual disability, consensus diagnosis and each blood biomarker. Across their final consensus diagnosis, there were significant differences in age, DLD - Total, DLD - Cognitive, and DLD - Social scores (Table 1). These differences were expected given the known relationship between age, cognitive function, and consensus diagnosis in DS. The NT1-tau linear regression model was significant ( $F(6, 78) = 4.98$ ;  $p < 0.001$ ;  $R^2_{adj} = 0.22$ ;  $RMSE = 1.32$ ). Age was significantly positively associated with NT1-tau, whereby every one-year increase in age was associated with a 0.05 pg/ml increase in NT1-tau (95% CI: 0.02 – 2.93,  $t = 2.93$ ,  $p = 0.004$ ) (Figure 1A). Full model results are available in Table S3. There were no other significant main effects observed (sex, level of ID, consensus diagnosis all  $p > 0.05$ ).

For A $\beta_{42}$ , the linear regression model was significant ( $F(6, 78) = 3.37$ ;  $p = 0.005$ ;  $R^2_{adj} = 0.14$ ;  $RMSE = 18.18$ ) (Figure 1B). Age was significantly associated with A $\beta_{42}$ , whereby every one-year increase in age was associated with a 0.70 pg/ml decrease in A $\beta_{42}$  (95% CI: -1.15, -0.25,  $t = -3.12$ ,  $p = 0.003$ ). After using Tukey correction for multiple comparisons, “possible dementia” had higher A $\beta_{42}$  than the no dementia group. Full model results are available in Table S4. The following models were not significant: A $\beta_{40}$  ( $F(6, 78) = 0.76$ ;  $p = 0.606$ ), A $\beta_{37}$  ( $F(6, 78) = 0.75$ ;  $p = 0.613$ ), A $\beta_{42:40}$  ( $F(6, 78) = 1.05$ ;  $p = 0.400$ ), A $\beta_{37:42}$  ( $F(6, 78) = 1.26$ ;  $p = 0.287$ ), A $\beta_{37:40}$  ( $F(6, 78) = 0.64$ ;  $p = 0.695$ ).

When examining the relationship between NT1-tau and DLD, NT1-tau was not associated with DLD - Cognitive, DLD - Social, or DLD - Total when age, sex, level of intellectual disability, and diagnosis were included in the model (both  $p > 0.05$ ). However, NT1-tau was significantly positively associated with higher (worse) DLD - Cognitive ( $\beta = 1.76$ ,  $t = 3.08$ ,  $p = 0.003$ ), DLD - Social ( $\beta = 1.44$ ,  $t = 3.16$ ,  $p = 0.002$ ), and DLD - Total ( $\beta = 1.20$ ,  $t = 3.41$ ,  $p = 0.001$ ) scores when A $\beta_{40}$ , A $\beta_{42}$ , and A $\beta_{37}$  were the only covariates (Figure 2). A $\beta_{40}$ , A $\beta_{42}$ , and A $\beta_{37}$  were not significantly associated with any DLD scores when all were included in a model with NT1-tau (all  $p > 0.05$ ).



To test the external validity of the models developed on the UKY discovery cohort, the linear regression models for each biomarker were tested on the independent ABC-DS validation cohort. Linear regressions developed from the UKY cohort were applied to the ABC-DS cohort to predict the levels of NT1-tau, A $\beta$ <sub>42</sub>, A $\beta$ <sub>40</sub>, A $\beta$ <sub>37</sub>, A $\beta$ <sub>37:40</sub>, A $\beta$ <sub>37:42</sub>, and A $\beta$ <sub>40:42</sub>. There was a significant correlation between predicted and actual NT1-tau values in the ABC-DS cohort using the UKY model ( $r = 0.38$ ;  $p < 0.001$ ; Figure 3A). While the A $\beta$ <sub>42</sub> linear regression model was statistically significant in the UKY cohort, it did not predict the levels of A $\beta$ <sub>42</sub> in the validation ABC-DS cohort ( $r = 0.01$ ,  $p = 0.85$ ) (Figure 3B).

ApoE genotype was available for the ABC-DS cohort but not the UKY cohort. Within the ABC-DS cohort, we found no association between ApoE genotype (six possible genotypes) and plasma NT1-tau, nor between ApoE4 carriership (carrier vs non-carrier) and plasma NT1-tau.

## Discussion

Here we sought to characterize changes in plasma NT1-tau and A $\beta$  isoform levels with respect to time, age, diagnosis, and cognitive impairment in people with DS. We started with the longitudinal UKY cohort, finding in a linear mixed model that age predicted NT1-tau levels. As seen by others for plasma neurofilament light and for CSF biomarkers,<sup>5</sup> there appeared to be a stereotypical inflection point around age 40 at which NT1-tau accelerated upwards, which was visible using spaghetti plots (Fig S1D). A similar inflection pattern was not observed in plasma A $\beta$ . Because of variability in longitudinal measurements of NT1-tau and A $\beta$  isoforms across multiple years, combined with a strong age effect, we could not detect progressive increases or decreases in these plasma biomarkers within the same individual (*i.e.*, an effect of time in the linear mixed model). Hence, we used the baseline levels in linear regression models. In these models, NT1-tau correlated with age, and was positively correlated with higher scores on the DLD (indicating worsening dysfunction). A $\beta$ <sub>42</sub> showed the opposite, negative correlation with age, but more weakly so. Because of the strong effect of age on cognitive decline in the DS population, we did not see a clear improvement in prediction of cognitive decline by adding plasma biomarkers to the model. The linear models used to predict NT1-tau in the discovery cohort successfully predicted levels in the validation cohort. Overall, our results suggest that plasma NT1-tau is a valid marker of cognitive impairment in a broad DS population.

The NT1-tau observations in this study are consistent with prior observations. Within DS participants in a smaller cross-sectional cohort with younger participants, Mengel *et al.* found that plasma NT1-tau decreases from birth to middle age, then rises again in a U-shaped curve in people with DS over 50.<sup>12</sup> In the current study, using cohorts more enriched for older participants, we found that NT1-tau increased with age, confirming and extending these prior findings. In a presymptomatic non-DS population, Chhatwal *et al.* observed that plasma higher NT1-tau correlated with cognitive decline, increased tau-PET signal, and decreased MRI grey matter volume.<sup>18</sup> These observations and ours here are consistent with a model in which NT1-tau reflects progression of Alzheimer disease pathology. We did not compare or add the NT1-tau levels to other tau-based biomarkers of AD pathology, such as pTau181, pTau217, or pTau231, but this will be a subject of future work. One

possible advantage of the NT1-tau assay is the use of a non-phosphorylated standard, which is easier to reproduce batch-to-batch. Both N-terminal fragments of tau (*i.e.* NT1-tau) and phosphotau appear to reflect the effect of amyloid plaque deposition on neurons.<sup>16,28</sup>

In contrast to NT1-tau, A $\beta$ <sub>42</sub> may follow an opposite, inverted U-shaped curve. Forstea *et al.*<sup>5</sup> observed a somewhat variable distribution in which plasma A $\beta$ <sub>42</sub> peaks around age 50 in people with DS and then declines. In our cohort, we observed an overall slight downward decline in plasma A $\beta$ <sub>42</sub> but observed that participants with “possible dementia” had higher A $\beta$ <sub>42</sub> than those without, replicating some DS findings.<sup>6,29</sup> Some studies have found that participants with DS and dementia have lower A $\beta$ <sub>42</sub> than those without.<sup>30</sup> These altogether conflicting results suggest a non-linear relationship between age, trisomy 21, and plaque deposition, rendering plasma A $\beta$  alone unlikely to be a useful biomarker in the DS population. Other possible contributors to variability in plasma A $\beta$  with disease progression in DS may reflect the development of cerebral amyloid angiopathy, leading to additional and perhaps variable leakage of A $\beta$  into the bloodstream.<sup>31</sup> Although people with DS show more extensive cerebral amyloid angiopathy at autopsy and in vivo observed by MRI than cases with late onset AD, there can be individual variability in the extent and location of these changes.<sup>32,33</sup>

The variable measures across timepoints within individuals (Fig S1D) is important to consider for NT1-tau, but also other plasma biomarkers. In a recent study, even within carefully controlled visits by the same researchers only 6–10 weeks apart, there was 20% or more test-retest variability of plasma P-tau217, neurofilament light, and glial fibrillary acidic protein.<sup>34</sup> In a person who might undergo sampling years apart by different practitioners, pre-analytical variation will vary even more than this. While the NT1-tau values from the discovery cohort predicted the values in the validation cohort (Fig. 3A), the prediction was not perfect ( $r = 0.380$ ) and may demonstrate the difficulties in applying academic cohort models to predict disease in real, individual, people. In the future, we hope to refine the longitudinal stability of the NT1-tau and other plasma biomarker assays to improve real-world applicability.

## Conclusions

Plasma NT1-tau is a biomarker of cognitive impairment in people with DS, more so than A $\beta$  isoforms. Longitudinal analytical variability and strong age effects in the DS population may impact the use of plasma biomarkers.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

The authors would like to thank the patients and families who contributed their time and plasma to this study.

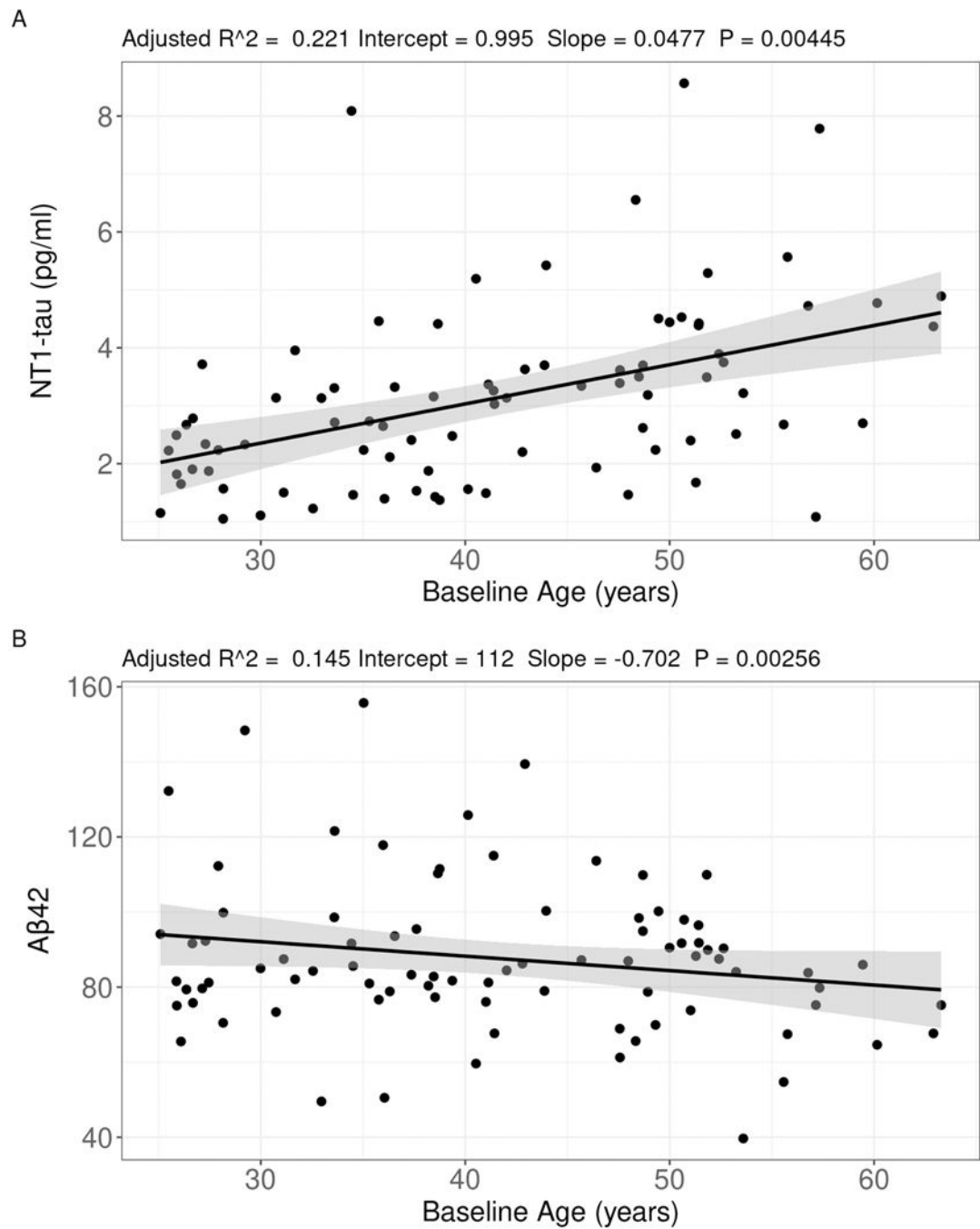
## Funding Sources

This work was supported by grants from the National Institutes of Health, R01 AG021912, R01 HD065160, R56 AG061837, P01 HD035897, AG014673, U01 AG051406, U01 AG051412, U19 AG068054, and funds from the New York State Office for People with Developmental Disabilities. The work was also supported by the Davis Alzheimer Prevention Program. The work contained in this publication was also supported through the following National Institutes of Health Programs: The Alzheimer's Disease Research Centers Program (P50 AG008702, P30 AG062421, P50 AG16537, P50 AG005133, P50 AG005681, P30 AG066519, P30 AG066648, P30 AG066642, P30 AG072946, P30 AG066644, and P30 AG062715), the *Eunice Kennedy Shriver* Intellectual and Developmental Disabilities Research Centers Program (U54 HD090256 and U54 HD087011), the National Centers for Advancing Translational Sciences (UL1 TR001873, UL1 TR002373, UL1 TR001414, UL1 TR001857, UL1 TR002345), the National Centralized Repository for Alzheimer Disease and Related Dementias (U24 AG21886), and DS-Connect<sup>®</sup> (The Down Syndrome Registry) supported by the *Eunice Kennedy Shriver* National Institute of Child Health and Human Development. The authors thank the ABC-DS study participants (adults with Down syndrome and their siblings), their families and care providers, and the ABC-DS research and support staff for their invaluable contributions to this study. The content is the sole responsibility of the authors and does not necessarily represent the official views of the NIH.

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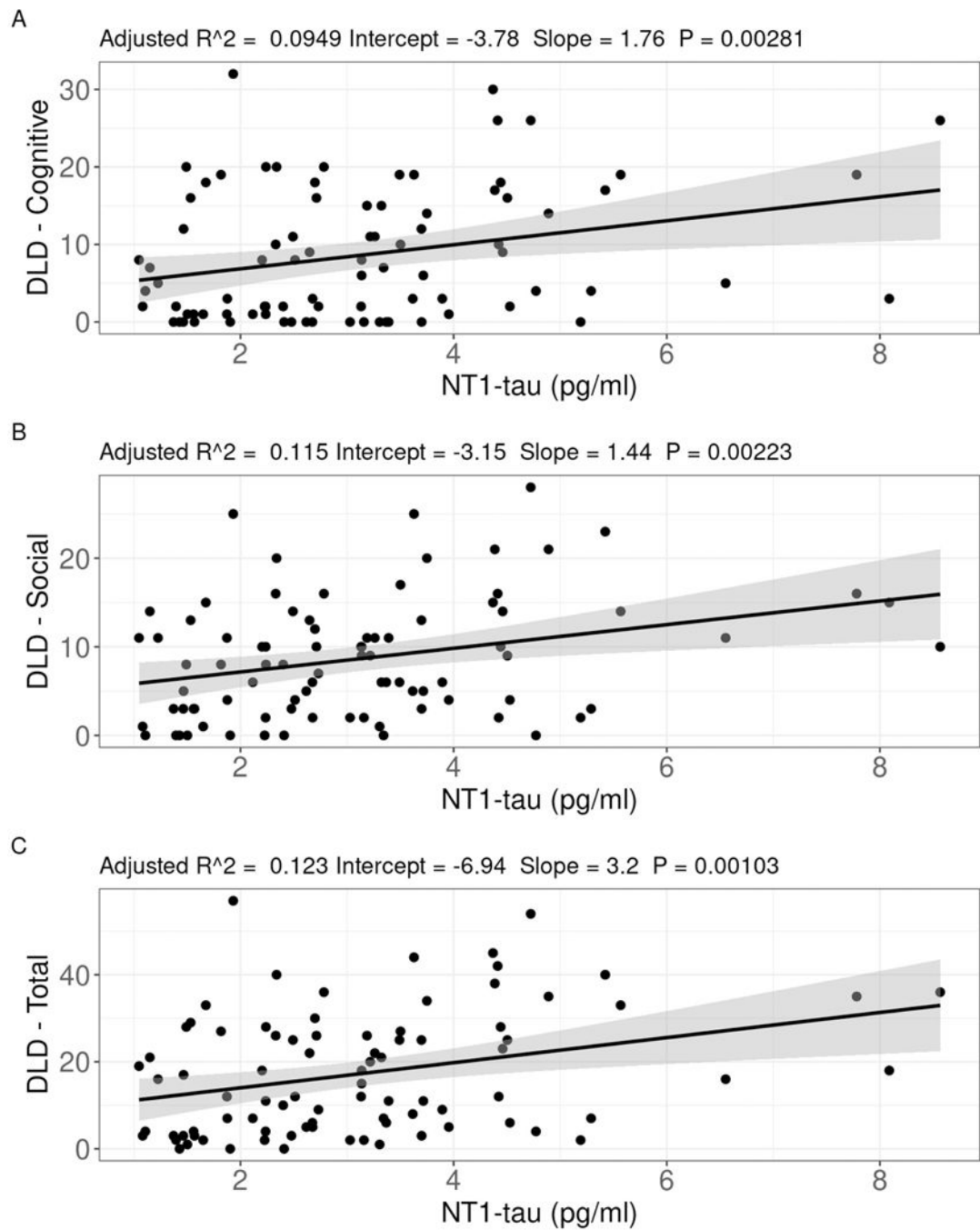
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**Fig 1. Cross-sectional linear regression models in the discovery cohort.**

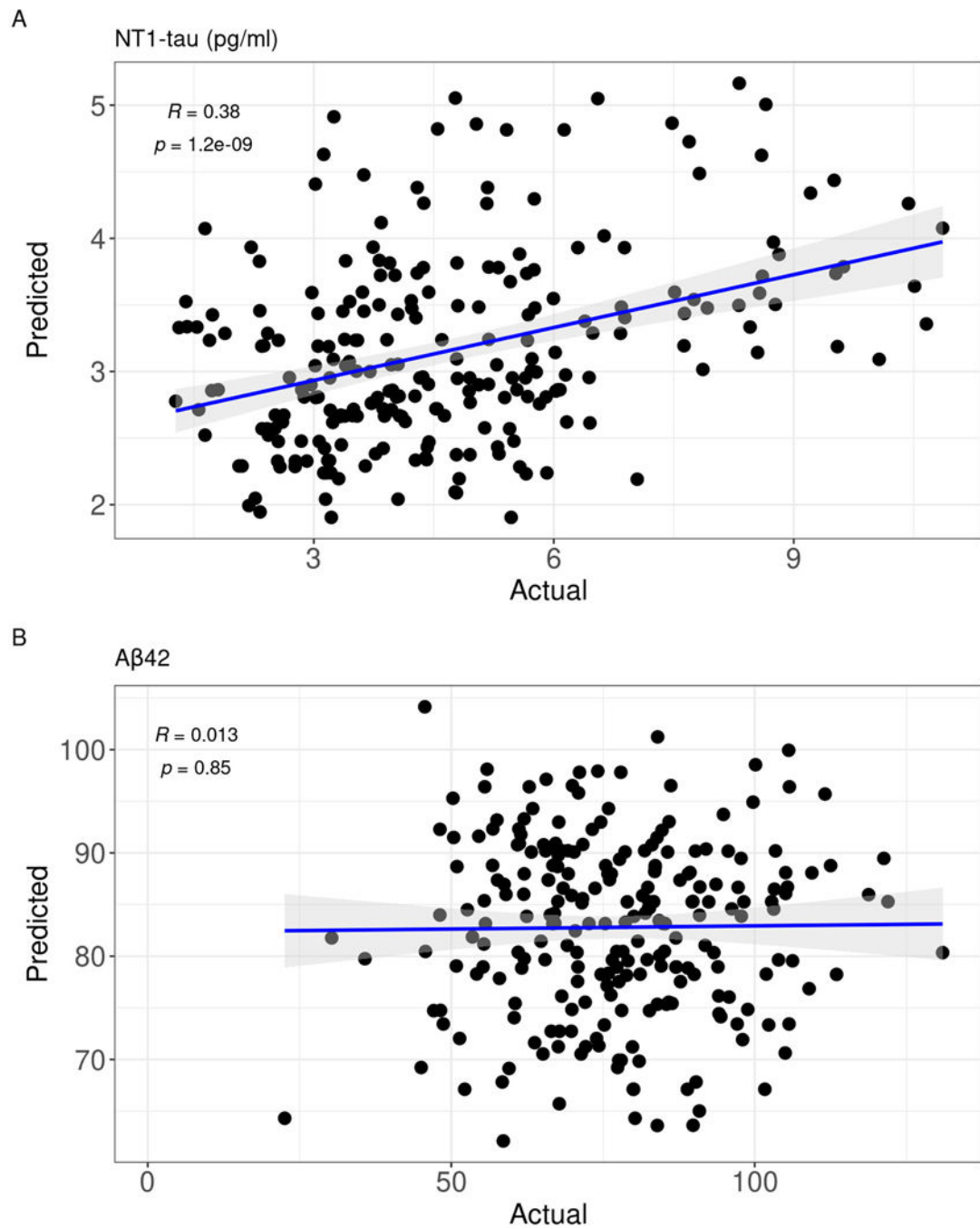
Correlation of (A) NT1-tau and (B) Aβ<sub>42</sub> with baseline age. Covariates included sex, level of intellectual disability, and consensus diagnosis.



**Fig 2. Plasma NT1-tau correlates with cognitive impairment.**

Correlation of plasma NT1-tau with (A) DLD – Cognitive, (B) DLD – Social, and (C) DLD – Total in the cross-sectional analysis of the discovery cohort. Covariates included plasma A $\beta$ <sub>40</sub>, A $\beta$ <sub>42</sub>, and A $\beta$ <sub>37</sub>. Significant effects were lost when age was included as a covariate (see text).





**Fig 3.** NT1-tau linear regression model in discovery cohort predicts plasma NT1-tau in validation cohort. The statistically significant linear models for NT1-tau (A) and A $\beta$ <sub>42</sub> (B) in the discovery cohort were used to generate predicted values in the validation cohort, which were then correlated with the actual results. NT1-tau but not A $\beta$ <sub>42</sub> produced a significant correlation.

**Table 1.**

UKY Discovery Cohort characteristics.

Characteristic	No Dementia, N = 41 <sup>1</sup>	Other/Uncertain, N = 6 <sup>1</sup>	Possible, N = 19 <sup>1</sup>	Probable, N = 19 <sup>1</sup>	Overall, N = 85 <sup>1</sup>	p-value <sup>2</sup>
Age at Baseline	36.26 (8.20)	41.00 (11.98)	42.70 (8.42)	51.64 (8.21)	41.47 (10.35)	<0.001
Sex						>0.9
Female	24 (59%)	4 (67%)	12 (63%)	13 (68%)	53 (62%)	
Male	17 (41%)	2 (33%)	7 (37%)	6 (32%)	32 (38%)	
Level of Intellectual Disability						0.2
Borderline/Mild	25 (61%)	1 (17%)	10 (53%)	9 (47%)	45 (53%)	
Moderate/Severe	16 (39%)	5 (83%)	9 (47%)	10 (53%)	40 (47%)	
DLD - Total	9.54 (9.58)	23.50 (16.36)	19.84 (9.98)	29.47 (15.06)	17.28 (14.03)	<0.001
DLD - Cognitive	4.00 (5.56)	10.67 (8.12)	11.42 (7.06)	15.11 (9.49)	8.61 (8.41)	<0.001
DLD - Social	5.54 (5.33)	12.83 (8.47)	8.42 (4.55)	14.37 (6.87)	8.67 (6.76)	<0.001
Number of Observations	4.56 (2.68)	3.33 (4.08)	5.42 (4.17)	4.63 (3.52)	4.68 (3.32)	0.4
Total Followup (years)	3.39 (2.32)	1.66 (3.17)	3.95 (3.25)	3.19 (3.20)	3.35 (2.81)	0.2

<sup>1</sup>Mean (SD); n (%)<sup>2</sup>Kruskal-Wallis rank sum test; Fisher's exact test

**Table 2.**

Comparison of UKY and ABC-DS cohort demographics and plasma biomarker levels.

Characteristic	ABC-DS N = 239 <sup>1</sup>	UKY, N = 85 <sup>1</sup>	Overall, N = 324 <sup>1</sup>	p-value <sup>2</sup>
Age	44.58 (9.74)	41.47 (10.35)	43.76 (9.98)	0.016
Sex				0.017
Female	113 (47%)	53 (62%)	166 (51%)	
Males	126 (53%)	32 (38%)	158 (49%)	
Level of Intellectual Disability				0.9
Borderline/Mild	129 (54%)	45 (53%)	174 (54%)	
Moderate/Severe	110 (46%)	40 (47%)	150 (46%)	
Consensus Diagnosis				0.3
No Dementia	169 (71%)	51 (60%)	220 (68%)	
Other/Uncertain	16 (6.7%)	8 (9.4%)	24 (7.4%)	
Possible	34 (14%)	15 (18%)	49 (15%)	
Probable	20 (8.4%)	11 (13%)	31 (9.6%)	
DLD - Total	13.13 (14.12)	17.28 (14.03)	14.22 (14.19)	0.007
DLD - Cognitive	6.77 (8.59)	8.61 (8.41)	7.25 (8.56)	0.047
DLD - Social	6.36 (6.82)	8.67 (6.76)	6.97 (6.87)	0.001
Plasma NT1-tau (pg/ml)	4.66 (2.05)	3.13 (1.56)	4.26 (2.04)	<0.001
Plasma A $\beta$ 37 (pg/ml)	45.73 (11.51)	47.21 (17.30)	46.12 (13.26)	>0.9
Plasma A $\beta$ 40 (pg/ml)	955.39 (454.30)	1,620.12 (981.71)	1,129.78 (698.92)	<0.001
Plasma A $\beta$ 42 (pg/ml)	77.23 (17.48)	87.67 (20.51)	79.97 (18.86)	<0.001
Plasma A $\beta$ 42:A $\beta$ 40	0.09 (0.04)	0.07 (0.03)	0.09 (0.04)	<0.001
Plasma A $\beta$ 37:A $\beta$ 42	0.62 (0.21)	0.54 (0.15)	0.60 (0.20)	<0.001
Plasma A $\beta$ 37:A $\beta$ 40	0.06 (0.03)	0.03 (0.01)	0.05 (0.02)	<0.001

<sup>1</sup>Mean (SD); n (%)<sup>2</sup>Wilcoxon rank sum test; Pearson's Chi-squared test