Identification and Characterization of Protective T Cells in hsp65 DNA-Vaccinated and *Mycobacterium tuberculosis*-Infected Mice

VANIA L. D. BONATO,¹ VALERIA M. F. LIMA,¹ RICARDO E. TASCON,² DOUGLAS B. LOWRIE,² AND CELIO L. SILVA^{1*}

Department of Parasitology, Microbiology and Immunology, School of Medicine of Ribeirão Preto, University of São Paulo, Ribeirão Preto, SP, Brazil,¹ and Laboratory for Mycobacterial Research, National Institute for Medical Research, London NW7 1AA, United Kingdom²

Received 18 February 1997/Returned for modification 6 May 1997/Accepted 14 October 1997

Immunization by intramuscular injection of plasmid DNA expressing mycobacterial 65-kDa heat shock protein (hsp65) protects mice against challenge with virulent *Mycobacterium tuberculosis* H37Rv. During infection or after immunization, $CD4^+/CD8^-$ and $CD8^+/CD4^-$ hsp65-reactive T cells increased equally in spleens. During infection, the majority of these cells were weakly CD44 positive (CD44^{lo}) and produced interleukin 4 (IL-4) whereas after immunization the majority were highly CD44 positive (CD44^{hi}) and produced gamma interferon (IFN- γ). In adoptive transfer of protection to naive mice, the total CD8⁺/CD4⁻ cell population purified from spleens of immunized mice was more protective than that from infected mice. When the cells were separated into CD4⁺/CD8⁻ and CD8⁺/CD4⁻ types and then into CD44^{hi} and CD44^{lo} types, CD44^{lo} cells were essentially unable to transfer protection, the most protective CD44^{hi} cells were CD8⁺/CD4⁻, and those from immunized mice were much more protective than those from infected mice. Thus, whereas the CD44^{lo} IL-4-producing phenotype prevailed during infection, protection was associated with the CD8⁺/CD4^{4hi} IFN- γ -producing phenotype that predominated after immunization. This conclusion was confirmed and extended by analysis of 16 hsp65-reactive T-cell clones from infected mice and 16 from immunized mice; the most protective clones, in addition, displayed antigen-specific cytotoxicity.

Tuberculosis is a classic example of an infectious disease in which the disease process is caused by the immune response directed at the infectious agent. The bacteria and their products are, in themselves, not very toxic, and the extensive tissue damage, wasting, and death of the diseased individual largely constitute the immunopathology of the cell-mediated immune response. Nevertheless, it is also the cell-mediated response that protects against the disease by arresting, killing, and removing the multiplying bacteria. Whether this protective effect occurs early or late, and temporarily or permanently, determines disease progression by regulating the supply of antigen that drives the immunopathology. An important question that arises from this balance between the protective and harmful effects of the immune response is whether the antigens and immune responses that protect can be distinguished from those that harm. If so, they might be separately manipulated in new vaccines or in immunotherapy of the disease.

The T lymphocytes that regulate cellular immunity can be divided not only into the $CD4^+/CD8^-$ and $CD8^+/CD4^-$ phenotypes that primarily recognize exogenous and endogenous antigens, respectively (8), or into activated (memory) and non-activated cells according to highly CD44-positive (CD44^{bi}) and weakly CD44-positive (CD44^{lo}) expression (5) but also into two major functionally distinct types on the basis of the profiles of cytokines that they produce. Type 1 cells (Th1 or TC1) favor development of cellular immunity (typified by gamma interferon [IFN- γ], interleukin 2 [IL-2], and IL-12 production).

* Corresponding author. Mailing address: Department of Parasitology, Microbiology and Immunology, School of Medicine of Ribeirão Preto, University of São Paulo, 14049-900, Ribeirão Preto, SP, Brazil. Phone: 55 16 633 3035. Fax: 55 16 633 6631. E-mail: clsilva@fmrp.usp .br. Type 2 cells (Th2 or TC2) favor development of antibody response (typified by IL-4, IL-6, and IL-10 production). Each type promotes differentiation of precursors into the same phenotype and inhibits development of the other phenotype (2, 27), and in consequence the type of response initiated in a microenvironment tends to be self-sustaining. IFN- γ is essential for the development of protective immunity (38) and is probably the most important factor that activates macrophages for antimycobacterial action, at least in mice (13, 34, 35). Therefore protection would be expected to be associated with an immune response in which the type 1 cytokine profile predominated. We have found that immunization procedures that present mycobacterial hsp65 to the immune system as an endogenous antigen generate strong protection against tuberculosis challenge and that this is associated with the presence of a splenic T-cell population in which CD8⁺/CD44^{hi} IFN-y-producing cytotoxic cells are prominent (28a). However, cells with a type 2 profile are also present in substantial numbers following infection (22, 39) or Mycobacterium bovis BCG vaccination (24), and the question of what contribution the other phenotypes make to protection arises. To help to answer this question we have used here the combined approaches of comparing the frequency of the different phenotypes in spleens of hsp65 DNA-vaccinated and Mycobacterium tuberculosis-infected mice and testing the different phenotypes, either as purified subpopulations or as T-cell clones, in adoptive transfer of protection.

MATERIALS AND METHODS

Infection and immunization procedures. Young adult BALB/c mice were obtained from the vivarium of the School of Medicine of Ribeirão Preto, University of São Paulo, and were maintained under standard laboratory conditions. Infection was induced by injecting 5×10^5 viable CFU of *M. tuberculosis* H37Rv into a lateral tail vein. For DNA vaccination, a 3.1-kb XmnI fragment of the

Mycobacterium leprae genome carrying the hsp65 gene was cloned into the *Eco*RV site downstream of the hydroxymethyglutaryl-coenzyme A-reductase promoter in plasmid pHMG (7). Intramuscular injection of 50 μ g of plasmid DNA in 50 μ l of saline into each quadriceps muscle was done on four occasions at 3-week intervals (33). For protein immunization, recombinant *M. leprae* hsp65 antigen (25 μ g) emulsified in Freund's incomplete adjuvant (28) was injected subcutaneously (100 μ l) and then 25 μ g in saline was injected intravenously twice at weekly intervals. Control animals received saline only or plasmid pHMG without an insert.

Frequencies of antigen-responsive CD4+/CD8- and CD8+/CD4- T cells. Two weeks after completion of the immunization procedures or 30 days after infection, antigen-reactive $\alpha\beta$ T cells with CD4⁺/CD8⁻ or CD8⁺/CD4⁻ phenotypes were purified from spleens by negative selection with specific monoclonal antibodies and amplification by culture (31). In brief, groups of six infected or immunized mice were killed, and splenocytes were purified from homogenized spleens by centrifugation in complete RPMI 1640 medium (RPMI-C [29]) on lympholyte M, depletion of adherent cells on tissue culture plastic, and then by two passages through nylon wool columns. Selection for subpopulations was by sequential steps of complement-mediated lysis and panning. The phenotype and purity of the T-cell subpopulations were checked with a FACScan by using fluorescein isothiocyanate immunofluorescence with rat monoclonal antibody (MAb) L3T4 or Lyi-2 against surface markers CD4 and CD8, respectively, and hamster MAb H57-597 or GL3 (Pharmingen, Uppsala, Sweden) against T-cell receptor $\alpha\beta$ (TCR- $\alpha\beta$) and TCR- $\gamma\delta$, respectively (31). Cells purified from infected animals were found to be free from culturable bacteria. Limiting dilution analysis was used to determine the frequencies of hsp65-reactive splenocytes (31). J774-hsp65 cells were irradiated (40 Gy) and used as antigen-presenting cells in RPMI-C with 5 \times 10⁴ cells per V-bottomed well in 96-well plates. Dilutions of subpopulations of splenocytes in RPMI-C were added to give final concentrations of 2 to 4,096 T cells per well in 0.2 ml with 24 replicates. The cells were cultured in the presence of recombinant IL-2 (rIL-2) (1 ng/ml) at 37°C with 5% CO₂ in air for 12 to 14 days and then pulsed with [³H]thymidine for 18 h and assessed for radiolabel incorporation (31). Wells giving counts that exceeded the counts from control (unstimulated) wells by 3 standard deviations (SD) were scored as positive. Frequencies of antigen-responsive T cells were estimated from plots of the percentage of wells that were negative against T-cell concentration, according to Poisson distribution with the minimum χ^2 method. Cell concentrations at which all of the wells were positive or negative were not included in the calculations

Cytokine ELISPOT assays. CD4⁺/CD8⁻ and CD8⁺/CD4⁻ T cells prepared by negative selection from spleens as described above were cultured in the presence of irradiated (40 Gy) J774-hsp65 feeder cells (29) and rIL-2 (1 ng/ml) for 14 days and then either assaved directly or separated by fluorescence-activated cell sorting (FACS) into subpopulations of CD4410 and CD44hi cells and cultured identically for a further 12 days. These expanded hsp65-responsive T-cell subpopulations were assayed for the frequency of IFN-y- and IL-4-producing cells by ELISPOT (18). In brief, 96-well nitrocellulose-bottomed plates (Millititre HA; Millipore Corp., Bedford, Mass.) were coated with MAb specific for IFN- γ or IL-4 (R4-GA2 or 11B11; Pharmingen) by overnight incubation at 4°C. T cells (2 × 10⁴, 1 × 10⁴ and 5 × 10³ per 200- μ l well in triplicate) were incubated with 5×10^4 irradiated J774-hsp65 cells as a source of hsp65 antigen or in the presence of J774-vector cells. After overnight incubation, cells were washed off and IFN- γ and IL-4 bound to the nitrocellulose was detected with biotinylated rat anti-mouse IFN-y (Pharmingen) and rat anti-mouse IL-4 (BVD6-24G2; Pharmingen) followed by streptavidin-alkaline phosphatase and substrate. Spots were counted by light microscopy. The frequency of IFN-y and IL-4-producing cells for each T-cell concentration was calculated by averaging the number of spots for triplicate wells; the overall frequency was calculated by averaging the frequencies at the different T cell-to-J774-hsp65 cell ratios.

FACS analysis of **CD44** expression. $CD4^+/CD8^-$ and $CD8^+/CD4^-$ T-cell subpopulations were prepared by negative selection as described above. The cells were then stained immediately with fluorescein isothiocyanate-labelled anti-CD44, Lyt-2, or L3T4 MAb (Pharmingen) and analyzed by FACScan (32), or 10⁶ cells were incubated with 5×10^5 irradiated J774-hsp65 cells per well in 12-well plates for 7 days before staining and analysis. **Isolation of T-cell clones.** $CD4^+/CD8^-$ and $CD8^+/CD4^-$ T-cell subpopula-

Isolation of T-cell clones. CD4⁺/CD8⁻ and CD8⁺/CD4⁻ T-cell subpopulations were prepared by negative selection as described above, seeded at 256 cells per well into V-bottomed 96-well plates, and cultured for 14 days in the presence of irradiated (40 Gy) J774-hsp65 feeder cells (5 × 10⁶ in 0.1 ml) and rIL-2 (1 ng/ml). The cells were then two-color stained with anti-CD44 and Lyt-2 or L3T4 MAb and sorted by FACSort (Becton Dickinson) into CD44^{hi} and CD44^{lo} populations and cloned at 0.3 cells/well in round-bottomed 96-well plates in the presence of 10⁵ feeder cells and 2 ng of rIL-2/ml. Growing clones were restimulated every 2 to 3 weeks with phytohemagglutinin (0.5 µg/ml) in the presence of feeder cells. Sixteen strongly growing CD4⁺/CD8⁻ clones (four CD44^{ho} and four CD44^{hi} from DNA-immunized mice) and 16 CD8⁺/CD4⁻ clones (four CD44^{ho} and four CD44^{hi} from DNA-immunized mice and four CD44^{ho} and four CD44^{hi} strong positive by FACScan analysis (32).

Characterization of T-cell clones. The 16 $CD4^+/CD8^-$ and 16 $CD8^+/CD4^-$ clones all had the features of antigen processing and presentation expected of

TABLE 1. Effects of immunization or infection on hsp65-reactive T-cell frequencies in spleen^{*a*}

Treatment	Frequency of T-cell response to Hsp65	
	CD4	CD8
rhsp65	1:3,550	1:48,000
hsp65 DNA	1:1,800	1:1,480
M. tuberculosis	1:4,900	1:5,500
Saline (control)	<1:100,000	<1:100,000
Vector DNA (control)	<1:100,000	<1:100,000

^{*a*} Splenocyte subpopulations were purified by combined positive and negative selection 2 weeks after completion of immunization or 4 weeks after infection; the frequencies of hsp65-reactive cells were then assessed by limiting dilution analysis with J774-hsp65 as antigen-presenting cells.

these phenotypes, when assessed as previously described (31). In brief, antigen specificity was checked with recombinant hsp65 (rhsp65), bovine serum albumin, purified protein derivative, and concanavalin A; the antigen-processing pathway was tested with chloroquine and brefeldin A; and the antigen presentation complex was probed with MAbs L3T4, Lyt-2, anti-I-A^d, I-A^b, and anti-H-2K^d. Secretion of cytokines IFN- γ and IL-4 was stimulated with phorbolmyristate acetate and anti-CD3 MAb YCD3-1 and measured by enzyme-linked immunosorbent assay (31). Antigen-specific cytotoxicity and toxicity for cells infected with *M.tuberculosis* were measured by ⁵¹Cr release from appropriate J774 or J774-hsp65 cells or thioglycollate-elicited peritoneal macrophages from BALB/c and C57BL/6 mice in the presence and absence of chloroquine and brefeldin A (31). The antimycobacterial activities of the clones and their supernatants were tested against *M. tuberculosis* in bone marrow-derived macrophages in the presence and absence of anti-FN- γ MAb (31).

Adoptive transfer of immunity. Mice were gamma irradiated (50 Gy) and then injected intravenously with 5×10^6 T cells that were either bulk purified or cloned from spleens of hsp65 DNA-immunized or *M. tuberculosis*-infected mice as described above. Control mice received T cells purified from spleens of normal mice or were untreated. *M. tuberculosis* (10^5 CFU) was immediately injected intravenously, the mice were killed after 4 weeks, and the numbers of CFU in spleens were determined (28).

RESULTS

Both infection with *M. tuberculosis* and immunization with plasmid DNA expressing hsp65 caused substantial increases in the frequency of hsp65-reactive T cells in spleens (Table 1). The increase occurred equally in cells with $CD4^+/CD8^-$ and $CD8^+/CD4^-$ phenotypes; this contrasted with the response to immunization with the protein in adjuvant, in which the increase was almost entirely in the $CD4^+/CD8^-$ cells. Both the infection and the DNA immunization procedures increased the frequency of $CD44^{hi}$ cells seen in freshly harvested spleens, with the appearance of a shoulder of more-intensely staining cells on the peak of $CD44^{ho}$ cells (Fig. 1). After 7-day culture with J774-hsp65, most of the cells showed the $CD44^{hi}$ activated, or memory, phenotype when they were from DNA-immunized mice, whereas most were $CD44^{lo}$ when they were from infected animals (Fig. 2).

The hsp65-reactive cells from infected mice more often produced IL-4 than IFN- γ , irrespective of whether they were of the CD4⁺/CD8⁻ or CD8⁺/CD4⁻ phenotype (Fig. 3). The opposite was true for cells from DNA-immunized mice, where threefold more cells produced IFN- γ than IL-4, again irrespective of whether they were CD4⁺/CD8⁻ or CD8⁺/CD4⁻. When the cells were further subdivided into CD44^{lo} and CD44^{hi} prior to assessment of the relative frequencies of IL-4- and IFN- γ producing cells, it was seen that IL-4 production was particularly associated with CD44^{lo} cells and IFN- γ production was particularly associated with CD44^{hi} cells (Fig. 4). This was the case whether the cells had CD4⁺/CD8⁻ or CD8⁺/CD4⁻ phenotypes or came from infected or immunized mice. Taken together, these FACSort and ELISPOT assays indicated that in hsp65 DNA-immunized mice, the hsp65-reactive cells were



FIG. 1. Expression of CD44 on CD4⁺/CD8⁻ and CD8⁺/CD4⁻ splenocytes freshly purified from hsp65 DNA-immunized, *M. tuberculosis*-infected, or normal control mice. The cells were stained with MAb against CD4 or CD8 (to confirm purity) and against CD44 and then analyzed by FACSean. The percentage of cells showing CD44^{hi} fluorescence is shown in the upper right corner of each panel.

more frequently CD44^{hi} IFN- γ producers, whereas in infected mice the hsp65-reactive cells were more frequently CD44^{lo} IL-4 producers.

When tested for the ability to adoptively transfer protective immunity to naive mice, bulk CD4⁺/CD8⁻ and CD8⁺/CD4⁻ cells purified from spleens of either infected or DNA-immunized mice were effective (Fig. 5). Cells from DNA-immunized mice were more effective than those from infected mice, and $CD8^+/CD4^-$ cells were more effective than $CD4^+/CD8^-$ cells. Analyses of variance and t tests showed that these differences were significant ($P \le 0.05$). The CD8⁺/CD4⁻ cells from mice that had been immunized with rhsp65 in adjuvant had no protective effect. When the cells for adoptive transfer experiments were further subdivided into CD44^{lo} and CD44^{hi}, it was seen that protection was associated with the CD44^{hi} phenotype (Fig. 6), that CD44^{hi} cells from DNA-immunized mice were more protective than CD44^{hi} cells from infected mice, and that the most protective cells were the CD8⁺/CD4⁻/CD4^{hi} cells from DNA-immunized mice. Analyses of variance and t tests showed that these differences were highly significant (P <0.01).



FIG. 2. Expression of CD44 on CD4⁺/CD8⁻ and CD8⁺/CD4⁻ splenocytes when the cells had been amplified by 7-day culture with J774-hsp65 after purification from hsp65 DNA-immunized or *M. tuberculosis*-infected mice; the cells were stained with MAb against CD44 and analyzed by FACScan. The percentage of cells showing CD44^{hi} fluorescence is shown in the upper right corner of each panel.

Thirty-two hsp65-reactive T-cell clones representing the CD4, CD8, and CD44 phenotypes were established, 16 from DNA-immunized mice and 16 from infected mice, and then characterized and tested for the ability to confer protection to



FIG. 3. ELISPOT estimates of the frequencies of cells that produced IL-4 or IFN- γ in response to hsp65 among splenocytes from hsp65 DNA-immunized or *M. tuberculosis*-infected mice. Purified CD4⁺/CD8⁻ and CD8⁺/CD4⁻ cells were amplified by culture for 14 days on J774-hsp65 cells before assay in the presence of J774-hsp65 cells. The results shown are mean estimates (± SD) from triplicate wells at 3 different dilutions after subtraction of estimates obtained by assay in the presence of J774 vector cells (about 10 per 1,000 for CD4⁺/CD8⁻ cells and 12 per 1,000 for CD8⁺/CD4⁻ cells).



FIG. 4. Association of IFN-γ production with CD44^{hi} cells. Purified CD4⁺/ CD8⁻ and CD8⁺/CD4⁻ cells were amplified by culture for 14 days on J774hsp65 cells and then separated into CD44^{hi} and CD44^{lo} by FACSort and amplified for a further 12 days before assay in the presence of J774-hsp65 cells as described in the legend to Fig. 3.

recipient mice in adoptive transfer experiments. The 16 CD4^{-/} CD8⁺ clones recognized hsp65 processed and presented via the major histocompatibility complex (MHC) class I and not the MHC class II pathway, and the 16 CD4⁺/CD8⁻ clones had the converse characteristics. This was established as described in Materials and Methods by using MAbs to selectively block CD4, CD8, and specific MHC class I and II haplotypes and by using brefeldin A and chloroquine to block processing in lymphoproliferation assays (data not shown) essentially as described for clones from mice immunized with J774-hsp65 (32). As expected, most produced either IFN- γ or IL-4 (both cytokines were produced by one CD4⁺/CD44^{hi}, one CD4⁺/CD44^{lo}, and one CD8⁺/CD44^{lo} clone from the DNA-immunized mice), some of the IFN- γ -producing clones showed antigen-dependent cytotoxicity, and they showed IFN- γ -de-



FIG. 5. Adoptive transfer of protective immunity against tuberculosis by bulk transfer of $CD4^+/CD8^-$ or $CD8^+/CD4^-$ cells to naive mice. T-cell subsets were obtained by negative and positive selection from spleens of *M. tuberculosis* infected or hsp65 DNA-immunized mice. Recipient mice were gamma irradiated and then injected intravenously with 5×10^6 T cells and 1×10^5 *M. tuberculosis* cells. The numbers of live bacteria in the spleens were determined 4 weeks after infection. Control mice were either untreated or were irradiated and reconstituted with nonspecific splenic T cells enriched from normal mice. Results are shown as mean CFU \pm SD from groups of five animals.



FIG. 6. Association of protection with CD8⁺/CD44^{hi} hsp65-reactive T cells. Purified CD4⁺/CD8⁻ and CD8⁺/CD4⁻ cells were amplified by culture for 14 days on J774-hsp65 cells and then separated into CD44^{hi} and CD44^{lo} types by FACSort and amplified for a further 12 days. The cells were tested for the ability to protect naive recipient mice against challenge with *M. tuberculosis* as described in the legend to Fig. 5.

pendent and cytotoxicity-dependent antimycobacterial activities against M. tuberculosis in macrophages (not shown). These in vitro antimycobacterial assays were done with bone marrowderived macrophages that either were activated with clone culture supernatants and then infected or were infected and then put in cell-cell contact with a clone, both in the presence and absence of anti-IFN- γ MAb (31). Clones that produced IFN- γ and were cytotoxic were the most effective in adoptive transfer of protection (Fig. 7). These tended to be properties of the CD44^{hi} clones. The CD8⁺/CD44^{hi} clones with these characteristics were more effective than the corresponding CD4⁺/ CD44^{hi} clones. All four of the CD8⁺/CD44^{hi} clones from DNA-immunized mice were of this highly protective phenotype, whereas two of the four CD8+/CD44^{hi} clones from infected mice were almost nonprotective, were not cytotoxic, and produced IL-4.

DISCUSSION

Our finding that hsp65-reactive T cells increase to remarkably high numbers during tuberculosis in mice (Table 1) is consistent with earlier studies in which up to 20% of the T cells reactive to mycobacteria were found to have this specificity (15). Clearly the antigen is an important protective immunogen that is produced in substantial amounts by the bacteria living in infected macrophages (17). Not only are mice protected against challenge with virulent *M. tuberculosis* by endogenous immunization with hsp65 (28, 30, 33) but we have now shown that hsp65-reactive T-cell clones from either infected or immunized mice can adoptively transfer the protection to naive animals.

In analyzing the immune responses to infection and immunization with hsp65 DNA, we have taken cells at only a single time: 30 days after infection or 2 weeks after completion of immunization. Thus the picture that we see is a "snapshot" of what are dynamic processes. Nevertheless, at these times the processes probably approximate steady-state conditions, so comparisons are meaningful; the numbers of live bacteria increase at a low but constant rate in spleens for at least 5 weeks in this infection model (28), and after DNA immunization the level of protection is essentially constant between 1 week and



FIG. 7. Protection by T-cell clones. Four strongly growing hsp65-responsive clones of $CD4^+/CD44^{lo}$ and four of $CD8^+/CD44^{hi}$, four of $CD8^+/CD44^{hi}$, and four of $CD8^+/CD44^{hi}$ phenotypes were selected from spleens of *M. tuberculosis*-infected and from hsp65 DNA-immunized mice. After characterization for hsp65 antigendependent cytotoxicity and IL-4 and IFN- γ production, they were tested for the ability to protect naive mice from challenge with *M. tuberculosis* as described in the legend to Fig. 5. The numbers of live bacteria in spleens 4 weeks after challenge are shown as mean $\log_{10} \pm$ SD for groups of five animals. Dark-shaded and unshaded bars represent data from clones that produced IL-4 and IFN- γ , respectively. Controls were as follows: 1, untreated; 2 and 3, reconstituted, respectively, with $CD4^+/CD8^-$ and $CD8^+/CD4^-$ clones having irrelevant antigenic specificity.

8 months (unpublished data). It should be noted that the challenge model assesses the final effector stage of secondary (recall) immunity by introducing large numbers of specific T cells immediately before a large bacterial inoculum, and it is possible that some other cell is the effector for early protection in a primary response (21).

The equal increase in $CD4^+/CD8^-$ and $CD8^+/CD4^-$ hsp65reactive cells seen in tuberculosis infection (Table 1) resembles that seen in mice protected by vaccination with BCG (31) or with endogenous hsp65 (reference 31 and unpublished data). Although this strong $CD8^+/CD4^-$ response is consistent with the endogenous origin of the antigen and appears to be important for protection (unpublished data), there were marked differences in the profiles of memory, or activation ($CD44^{hi}$), and cytokine production (IL-4 and IFN- γ) between the infected and DNA-immunized mice. Since the bulk-purified $CD8^+/CD4^-$ cells from DNA-immunized mice were more efficient than those from the infected mice in transferring protection to naive animals (Fig. 5), we were able to assess how these differences might relate to the inadequate immunity in the infected animals, where the disease was progressing.

The lower protective efficacy of cells from infected animals was probably not due to differential entry of fewer CD8⁺/ $CD4^{-}$ than $CD4^{+}/CD8^{-}$ cells into the activated/memory state; the CD8-to-CD4 ratio among CD44^{hi} hsp65-reactive cells was about 1:1 in both infected and DNA-immunized animals (Fig. 2). However, it might be related to a greater preponderance of IL-4-producing cells in the infected animal. This was clearly indicated by the ELISPOT assays of both CD4⁺/CD8⁻ and $CD8^+/CD4^-$ cells responding to hsp65 (Fig. 3). Furthermore, IL-4 production was associated with $CD44^{lo}$ cells (Fig. 4), suggesting a predominance of CD44¹⁰ cells in infected mice. Predominance of CD44¹⁰ cells in infected animals was also indicated by FACScan of cells cultured for 1 week with antigen (Fig. 2). The proportions of CD44¹⁰ and CD44^{hi} cells in Fig. 2 are a composite of the cells that have proliferated in vitro and those that have not and hence give no more than a rough guide to the quantitative differences that exist in vivo. The same considerations apply to frequencies of IL-4- and IFN-y-producing cells. Nevertheless, there were no notable differences in the capacities of purified CD4410 and CD44hi cells or the various T-cell clones to proliferate (data not shown). Thus, it appears that the majority of hsp65-responding cells in infected mice were not memory/activated and produced IL-4, whereas the majority of hsp65-responding cells in immunized mice were activated and produced IFN-y. Although hsp65 is only one of many antigens involved in the immune response, and others may have equal or greater roles in protective immunity, this pattern of activation and cytokine profile is likely to extend to cells with other antigen specificities through the regulatory role of the cytokines in the local environment (1, 23). The CD8⁺/ CD44^{hi} cells of infected animals, besides being less abundant than the CD8⁺/CD44^{hi} cells of immunized mice, were also less efficient per cell in transfer of protective immunity (Fig. 6). The basis for this was not established. It may have been related to a lower frequency of either IFN-y-producing cells (suggested by the data in Fig. 4) or cytotoxic cells or to some other, unidentified factor affecting this minority population.

It should be noted that CD44^{hi} is not a satisfactory marker for memory, or activation, in this system, since CD44^{lo} splenocytes and clones proliferate and produce IL-4 in response to hsp65 without differentiating to CD44^{hi}, but we have not investigated any possible specificity of the phenomenon in relation to the antigenic stimulus used. The implication is that antigen-driven proliferation and CD44^{hi} differentiation are independent and that the trigger for differentiation was encountered in vivo but not in vitro.

The immune responses to mycobacteria, whether to BCG vaccine, *M. leprae*, or virulent *M. tuberculosis*, whether in mice or humans, are well known to show at times strong CD8⁺/CD4⁻ and type 2 cytokine components, and these are associated with the later or chronic phases of the immune response (9, 24, 37). The clear preponderance of the type 2 response seen here seems unusual and might be related to the low kinetics of infection manifested in this model; whether it can occur in other animal models and in humans remains to be established. Teleologically, the CD8⁺/CD4⁻ response is thought to bring cytotoxicity needed at later stages of infection to liberate residual bacteria from their intracellular havens in tissues so that they can be engulfed and killed by activated macrophages (12), and the type 2 response represents an attempt to down-regulate this to limit tissue damage (24). How-

ever, an equal balance of IFN- γ and IL-4 can potentiate the tumor necrosis factor alpha-mediated cytotoxicity of the bacteria (10). Furthermore, it is now clear that cytotoxicity can itself be associated with significant antimycobacterial action (19, 31), which makes the role of the type 2 response even more questionable.

We showed previously that although both cytotoxicity and IFN- γ can come from either CD4⁺/CD8⁻, CD8⁺/CD4⁻, or even $\gamma\delta$ T cells, the most potent protective cell in our model is $CD8^+/CD4^-$, produces IFN- γ , and is cytotoxic (31). This conclusion is now refined by this study of new clones to include the observation that cells with that phenotype are most effective when they also express CD44^{hi} (Fig. 7). It was striking that the type 2 cells had essentially no effect in our model. They might not be expected to be protective, since they produced little IFN- γ and were not cytotoxic, but their failure to interfere with expression of immunity was more remarkable. Since type 2 cytokines down-regulate T-cell differentiation for expression of type 1 cytokines in vivo and in vitro (4, 26), we may conclude that the low rate of growth of the bacteria in the model infection is not dependent on such differentiation; some other mechanism is retarding bacterial multiplication in the presence of only limited numbers of CD44^{hi}, cytotoxic type 1 cells. The existence of such additional antimycobacterial mechanisms has been indicated in several studies, for example, in $\alpha\beta$ T-cell depleted mice (11) and gene-deleted (knockout) mice (6, 13, 14, 16). Presumably, if the low growth rate is a consequence of residence within IFN-y-activated macrophages, the necessary IFN- γ could be coming from alternative sources, such as $\gamma\delta$ T cells and NK cells (3, 36), although there is no evidence that these sources are more resistant to down-regulation by type 2 cvtokines than are the $CD4^+/CD8^-$ or $CD8^+/CD4^-$ cells (20, 25).

ACKNOWLEDGMENTS

We thank Izaira Tincani Brandão for technical assistance.

This study was supported by Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP), Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq), and Financiadora de Estudos e Projetos (FINEP).

REFERENCES

- Bevan, M. J. 1976. Cross-priming for a secondary cytotoxic response to minor H antigens with H-2 congenic cells which do not cross-react in the cytotoxic assay. J. Exp. Med. 143:1283–1288.
- Croft, M., L. Carter, S. L. Swain, and R. W. Dutton. 1994. Generation of polarized antigen-specific CD8 effector populations: reciprocal action of interleukin (IL)-4 and IL-12 in promoting type 2 versus type 1 cytokine profiles. J. Exp. Med. 180:1715–1728.
- Cron, R. Q., T. F. Gajewski, S. O. Sharrow, F. W. Fitch, L. A. Matis, and J. A. Bluestone. 1989. Phenotypic and functional analysis of murine CD3+, CD4-, CD8-, TCR-gamma/delta-expressing peripheral T cells. J. Immunol. 142:3754–3762.
- Dutton, R. W. 1996. The regulation of the development of CD8 effector T cells. J. Immunol. 157:4287–4292.
- Ernst, D. N., W. O. Weigle, D. J. Noonan, D. N. McQuitty, and M. V. Hobbs. 1993. The age-associated increase in IFN-gamma synthesis by mouse CD8+ T cells correlates with shifts in the frequencies of cell subsets defined by membrane CD44, CD45RB, 3G11, and MEL-14 expression. J. Immunol. 151:575–587.
- Flynn, J. A. L., M. M. Goldstein, K. J. Triebold, and B. R. Bloom. 1993. Major histocompatibility complex class I restricted T cells are necessary for protection against M. tuberculosis in mice. Infect. Agents Dis. 2:259–262.
- Gautier, C., M. Mehtali, and R. Lathe. 1989. A ubiquitous mammalian expression vector, pHMG, based on a housekeeping gene promoter. Nucleic Acids Res. 17:8389.
- Germain, R. N. 1994. MHC-dependent antigen processing and peptide presentation—providing ligands for T-lymphocyte activation. Cell 76:287–299.
- HernandezPando, R., H. Orozcoe, A. Sampieri, L. Pavon, C. Velasquillo, J. LarrivaSahd, J. M. Alcocer, and M. V. Madrid. 1996. Correlation between the kinetics of Th1/Th2 cells and pathology in a murine model of experimental pulmonary tuberculosis. Immunology 89:26–33.

- HernandezPando, R., and G. A. W. Rook. 1994. The role of TNF-alpha in T-cell-mediated inflammation depends on the Th1/Th2 cytokine balance. Immunology 82:591–595.
- Izzo, A. A., and R. J. North. 1992. Evidence for an alpha/beta T-cell independent mechanism of resistance to mycobacteria—bacillus Calmette-Guerin causes progressive infection in severe combined immunodeficient mice, but not in nude mice or in mice depleted of CD4+ and CD8+ T-cells. J. Exp. Med. 176:581–586.
- Kaufmann, S. H. E. 1988. CD8+ T lymphocytes in intracellular microbial infections. Immunol. Today 9:168–174.
- Kaufmann, S. H. E. 1995. Immunity to intracellular microbial pathogens. Immunol. Today 16:338–342.
- Kaufmann, S. H. E., and C. H. Ladel. 1994. Role of T cell subsets in immunity against intracellular bacteria: experimental infections of knock-out mice with Listeria monocytogenes and Mycobacterium bovis BCG. Immunology 191:509–519.
- Kaufmann, S. H. E., U. Vath, J. E. R. Thole, J. D. A. Van Embden, and F. Emmrich. 1987. Enumeration of T cells reactive with Mycobacterium tuberculosis organisms and specific for 64 kDa protein. Eur. J. Immunol. 17:351– 357.
- Ladel, C. H., C. Blum, A. Dreher, K. Reifenberg, and S. H. E. Kaufmann. 1995. Protective role of gamma/delta T cells and alpha/beta T cells in tuberculosis. Eur. J. Immunol. 25:2877–2881.
- Lee, B. Y., and M. A. Horwitz. 1995. Identification of macrophage and stress-induced proteins of Mycobacterium tuberculosis. J. Clin. Invest. 96: 245–249.
- Mahanty, S., J. S. Abrams, C. L. King, A. P. Limaye, and T. B. Nutman. 1992. Parallel regulation of IL-4 and IL-5 in human helminth infections. J. Immunol. 148:3567–3571.
- Molloy, A., P. Laochumroonvorapong, and G. Kaplan. 1994. Apoptosis, but not necrosis, of infected monocytes is coupled with killing of intracellular bacillus Calmette-Guerin. J. Exp. Med. 180:1499–1509.
- Naume, B., and T. Espevik. 1994. Immunoregulatory effects of cytokines on natural killer cells. Scand. J. Immunol. 40:128–134.
- Orme, I. M., E. S. Miller, A. D. Roberts, S. K. Furney, J. P. Griffin, K. M. Dobos, D. Chi, B. Rivoire, and P. J. Brennan. 1992. T lymphocytes mediating protection and cellular cytolysis during the course of Mycobacterium- tuberculosis infection—evidence for different kinetics and recognition of a wide spectrum of protein antigens. J. Immunol. 148:189–196.
- Orme, I. M., A. D. Roberts, J. P. Griffin, and J. S. Abrams. 1993. Cytokine secretion by CD4 T-lymphocytes acquired in response to Mycobacteriumtuberculosis infection. J. Immunol. 151:518–525.
- Sad, S., R. Marcotte, and T. R. Mosmann. 1995. Cytokine-induced differentiation of precursor mouse CD8+ T cells into cytotoxic CD8+ T cells secreting Th1 or Th2 cytokines. Immunity 2:271–279.
- Sander, B., U. SkansenSaphir, O. Damm, L. Hakansson, J. Andersson, and U. Andersson. 1995. Sequential production of Th1 and Th2 cytokines in response to live bacillus Calmette-Guerin. Immunology 86:512–518.
- Schlaak, J. F., E. Hermann, H. Gallati, K. H. Meyer zum Buschenfelde, and B. Fleischer. 1994. Differential effects of IL-10 on proliferation and cytokine production of human gamma/delta and alpha/beta T cells. Scand. J. Immunol. 39:209–215.
- Seder, R. A., and G. G. Legros. 1995. The functional role of CD8(+) T helper type 2 cells. J. Exp. Med. 181:5–7.
- Seder, R. A., and W. E. Paul. 1994. Acquisition of lymphokine-producing phenotype by CD4+ T cells. Annu. Rev. Immunol. 12:635–673.
- Silva, C. L., and D. B. Lowrie. 1994. A single mycobacterial protein (hsp65) expressed by a transgenic antigen-presenting cell vaccinates mice against tuberculosis. Immunology 82:244–248.
- 28a.Silva, C. L., D. B. Lowrie, R. E. Tascon, V. M. F. Lima, and V. L. D. Bonato. T cell phenotypic changes associated with protection against tuberculosis after DNA immunization. Submitted for publication.
- Silva, C. L., A. Palacios, M. J. Colston, and D. B. Lowrie. 1992. Mycobacterium leprae 65hsp antigen expressed from a retroviral vector in a macrophage cell line is presented to T cells in association with MHC class II in addition to MHC class I. Microb. Pathog. 12:27–38.
- Silva, C. L., R. L. R. Pietro, A. Januario, V. L. D. Bonato, V. M. F. Lima, M. F. Silva, and D. B. Lowrie. 1995. Protection against tuberculosis by bone marrow cells expressing mycobacterial hsp65. Immunology 86:519–524.
- 31. Silva, C. L., M. F. Silva, R. C. L. R. Pietro, and D. B. Lowrie. 1996. Characterization of T cells that confer a high degree of protective immunity against tuberculosis in mice after vaccination with tumor cells expressing mycobacterial hsp65. Infect. Immun. 64:2400–2407.
- Silva, C. L., M. F. Silva, R. C. L. R. Pietro, and D. B. Lowrie. 1994. Protection against tuberculosis by passive transfer with T-cell clones recognizing mycobacterial heat-shock protein 65. Immunology 83:341–346.
- Tascon, R. E., M. J. Colston, S. Ragno, E. Stavropoulos, D. Gregory, and D. B. Lowrie. 1996. Vaccination against tuberculosis by DNA injection. Nat. Med. 2:888–892.
- Warwick Davies, J., J. Dhillon, L. O'Brien, P. W. Andrew, and D. B. Lowrie. 1994. Apparent killing of Mycobacterium tuberculosis by cytokine-activated

human monocytes can be an artefact of a cytotoxic effect on the monocytes. Clin. Exp. Immunol. **96:**214–217.

- 35. Warwick-Davies, J., D. B. Lowrie, and P. J. Cole. 1995. Growth hormone activation of human monocytes for superoxide production but not tumor necrosis factor production, cell adherence, or action against *Mycobacterium tuberculosis*. Infect. Immun. 63:4312–4316.
- Welsh, R. M. 1984. Natural killer cells and interferon. Crit. Rev. Immunol. 5:55–93.

Editor: S. H. E. Kaufmann

- Yamamura, M., X. H. Wang, J. D. Ohmen, K. Uyemura, T. H. Rea, B. R. Bloom, and R. L. Modlin. 1992. Cytokine patterns of immunologically mediated tissue damage. J. Immunol. 149:1470–1475.
- diated tissue damage. J. Immunol. 149:1470–1475.
 38. Young, H. A., and K. J. Hardy. 1995. Role of interferon-gamma in immune cell regulation. J. Leukocyte Biol. 58:373–381.
- Zhang, M., Y. G. Lin, D. V. Iyer, J. H. Gong, J. S. Abrams, and P. F. Barnes. 1995. T-cell cytokine responses in human infection with *Mycobacterium tuberculosis*. Infect. Immun. 63:3231–3234.