
















## RESEARCH ARTICLE

# Clinical variability associated with intronic *FGF14* GAA repeat expansion in Japan

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## Abstract

**Background and Objectives:** The GAA repeat expansion within the fibroblast growth factor 14 (*FGF14*) gene has been found to be associated with late-onset cerebellar ataxia. This study aimed to investigate the genetic causes of cerebellar ataxia in patients in Japan. **Methods:** We collected a case series of 940 index patients who presented with chronic cerebellar ataxia and remained genetically undiagnosed after our preliminary genetic screening. To investigate the *FGF14* repeat locus, we employed an integrated diagnostic strategy that involved fluorescence amplicon length analysis polymerase chain reaction (PCR), repeat-primed PCR, and long-read sequencing. **Results:** Pathogenic *FGF14* GAA repeat expansions were detected in 12 patients from 11 unrelated families. The median size of the pathogenic GAA repeat was 309 repeats (range: 270–316 repeats). In these patients, the mean age of onset was  $66.9 \pm 9.6$  years, with episodic symptoms observed in 56% of patients and parkinsonism in 30% of patients. We also detected *FGF14* repeat expansions in a patient with a phenotype of multiple system atrophy, including cerebellar ataxia, parkinsonism, autonomic ataxia, and bilateral vocal cord paralysis. Brain magnetic resonance imaging (MRI) showed normal to mild cerebellar atrophy, and a follow-up study conducted after a mean period of 6 years did not reveal any significant progression. **Discussion:** This study highlights the importance of *FGF14* GAA repeat analysis in patients with late-onset cerebellar ataxia, particularly when they exhibit episodic symptoms, or their brain MRI shows no apparent cerebellar atrophy. Our findings contribute to a better understanding of the clinical variability of GAA-*FGF14*-related diseases.

## Introduction

Late-onset cerebellar ataxia belongs to a heterogeneous group of neurodegenerative disorders that are difficult to diagnose molecularly. Recent research has made groundbreaking discoveries in understanding the genetic basis of these ataxias. Notably, a monoallelic GAA repeat

expansion within the first intron of the fibroblast growth factor 14 (*FGF14*) gene has emerged as a major genetic factor associated with late-onset ataxia, alongside the biallelic repeat expansions in the *RFC1* gene.<sup>1–3</sup> The GAA expansion within the *FGF14* gene is responsible for the development of spinocerebellar ataxia 27B (SCA27B), which has been detected in a significant proportion (10–61%) of

patients with late-onset ataxia across diverse ethnic backgrounds.<sup>1,2,4–7</sup> The high prevalence of this genetic abnormality highlights the need to identify potential treatment options. One study demonstrated that 4-aminopyridine results in symptomatic improvement and thus has therapeutic potential.<sup>4</sup> Furthermore, GAA repeats in *FGF14* have been detected in patients who exhibit *RFC1*-negative cerebellar ataxia accompanied by neuropathy and vestibular areflexia syndrome.<sup>6</sup> This finding expands the clinical spectrum of GAA-*FGF14*-related diseases and underscores the potential phenotypic similarities with *RFC1*-related disorders.

In Japan, approximately 73% of patients with hereditary ataxia, including late-onset cerebellar ataxia, remain undiagnosed.<sup>8</sup> Based on recent advancements, we conducted a thorough analysis of the *FGF14* GAA repeat expansion using a diagnostic strategy integrating long-range polymerase chain reaction (LR-PCR), repeat-primed PCR (RP-PCR), and long-read sequencing using Oxford Nanopore Technology platforms. We successfully identified *FGF14* pathogenic repeat expansions from 12 patients who exhibited remarkable clinical variability.

## Methods

### Enrollment criteria

We conducted a comprehensive study involving 1288 unrelated Japanese index patients with chronic progressive cerebellar ataxia who were referred to our laboratory for genetic testing. Among these cases, 101 were clinically suspected to have multiple system atrophy, cerebellar type (MSA-C). All patients underwent examinations by their respective neurologists, and their clinical data along with blood samples were submitted. Blood samples were collected from medical clinics and institutions situated in western Japan, primarily from the Kyushu region (including Kagoshima, Miyazaki, Oita, Fukuoka, and Okinawa Prefectures) as well as the Ehime Prefecture. Approval for this study was obtained from the Institutional Review Board of Kagoshima University (Application ID: 490). Prior to their involvement, all participants provided informed consent to participate in this study.

### Preliminary screening

In the initial screening phase, we examined the samples for repeat expansions associated with various hereditary ataxias, including SCA1, SCA2, SCA3, SCA6, SCA7, SCA8, SCA12, SCA17, SCA31, DRPLA, FXTAS, and *PRNP* gene point mutations. Additionally, whole-exome sequencing was performed in a subset of undiagnosed patients using Ion Proton (Thermo Fisher Scientific, Inc.,

Waltham, MA, USA). Subsequently, the remaining undiagnosed patients without a family history of autosomal dominant inheritance were screened for *RFC1* repeat expansions. The detailed workflow has been described previously,<sup>8,9</sup> and the study flowchart is shown in Figure 1A. Age of onset distribution in our cerebellar ataxia cohort are summarized in Table S1.

### Molecular analysis of *FGF14* GAA repeat expansion

For the 940 index patients who tested negative in the preliminary screening, we conducted analyses of *FGF14* GAA repeat expansions. According to previous reports, we designed primers and performed RP-PCR and fluorescence amplicon length analysis PCR (AL-PCR).<sup>1,2,5</sup> All PCR products were subjected to capillary electrophoresis using the ABI PRISM 3130xL Genetic Analyzer (Applied Biosystems, Foster City, CA, USA), and the results were visualized using the PeakScanner software (Applied Biosystems). Representative examples of PCR electrophoresis, AL-PCR, and saw-tooth patterns obtained from RP-PCR are shown in Figure 1B–D. In accordance with previous studies, more than 250 GAA repeats were defined as pathogenic.<sup>1,2</sup>

To accurately determine the repeat size in patients in whom the presence of GAA repeats was confirmed via the aforementioned analyses, we performed long-read sequencing using the GridION platform (Oxford Nanopore Technologies, Oxford, UK) with the adaptive sampling option. The region of interest is listed in Data S1. Base calling of the acquired sequences was performed using Guppy in super accuracy mode. We used the tandem-genotypes-plot command to generate a histogram showing differences in the number of repeat units relative to the reference human genome (Fig. 1E). Repeat numbers were determined using consensus sequences generated using tandem-genotypes-merge and lamassemble<sup>10,11</sup> (Fig. 1F).

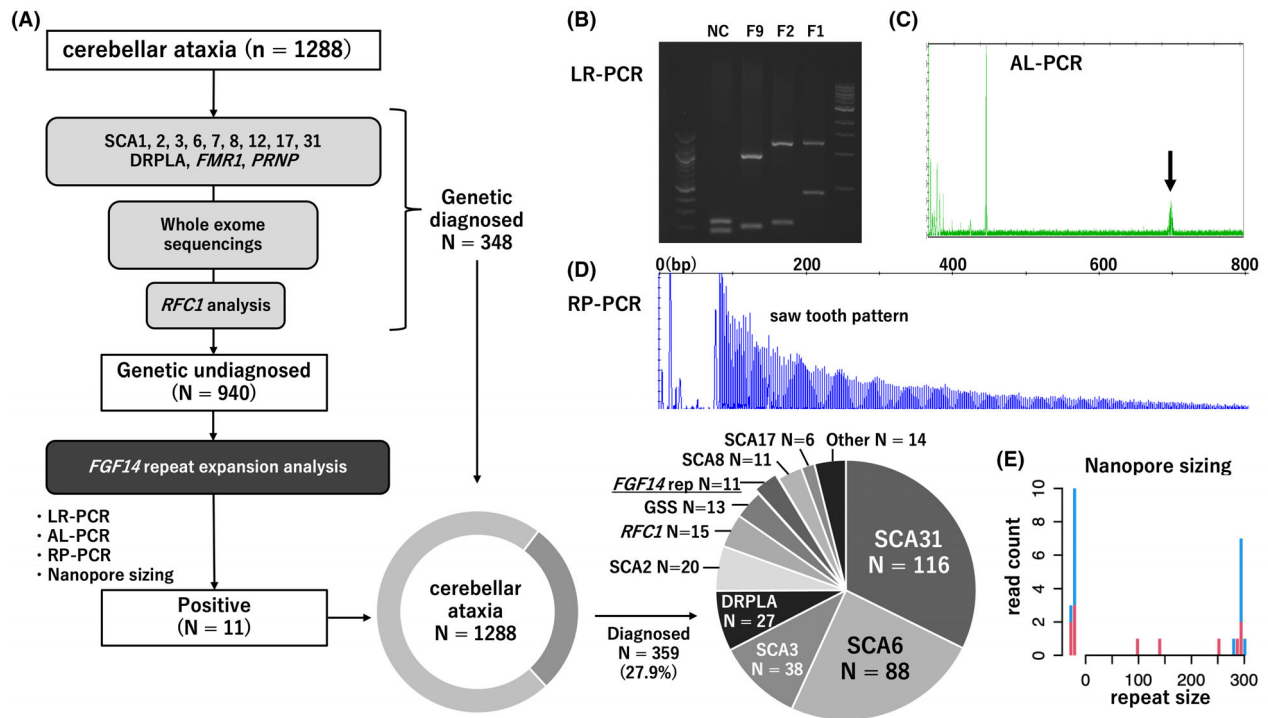
### Statistical analysis

To compare frequencies or numerical variables, the Mann–Whitney *U*-test and Pearson's correlation tests were utilized. A  $P < 0.05$  was considered statistically significant. All statistical analyses were conducted using GraphPad Prism version 9.3.1 (GraphPad Software Inc., San Diego, CA, USA).

## Results

### Analysis of repeat expansion in *FGF14*

Pathogenic GAA repeats (>250) in *FGF14* were identified in 11 unrelated families among the 940 index patients



**Figure 1.** Flowchart, representative results of the *FGF14* gene repeat analyses. (A) Comprehensive preliminary genetic screening of 1288 unrelated patients with cerebellar ataxia. The *FGF14* repeat expansion was analyzed in 940 families/patients who tested negative after preliminary screening. *FGF14* GAA repeat expansion was the eighth most frequent causative gene in our cohort. (B) PCR electrophoresis; NC, negative control. (C) Fluorescence amplicon length analysis PCR. (D) Saw-tooth patterns obtained from repeat-primed PCR. (E) Histogram of *FGF14* repeat size obtained from long-read sequencing.

with cerebellar ataxia included in this study. A subsequent analysis revealed GAA repeats from a sister (F7-II) of patient F7 (F7-I). Among all these 12 patients, their GAA repeat numbers were evaluated using both AL-PCR and nanopore sequencing, and their GAA repeats were found ranging from 270 to 361, with a mean value of 309 repeats (Table 1). We further conducted a correlation analysis, and verified a linear relationship between the product length obtained through AL-PCR and actual repeat number revealed by nanopore sizing (Fig. 2A). Similar to previous reports,<sup>5</sup> the repeat size determined with nanopore sequencing was larger than expected based on the PCR product size, and this discrepancy became more pronounced with increasing repeat size (Fig. 2A). Altogether, *FGF14* GAA repeat expansions were detected in 1.2% (11 out of 940) of index patients with genetically undiagnosed cerebellar ataxia. Therein, in a subgroup of patients with late-onset (>30 years) cerebellar ataxia, but without a diagnosis of MSA, we identified *FGF14* GAA repeats in 0.9% (9 out of 1151) of cases.

In three patients for whom a long product was obtained using LR-PCR and AL-PCR, but not RP-PCR, long-read sequencing revealed a unique repeat genotype in *FGF14*: (GAA)<sub>22</sub>/(GAA)<sub>22</sub>[(GAA)<sub>1-4</sub>(GCA)<sub>1-3</sub>]<sub>151</sub>(GAA)<sub>119</sub>, (GAA)<sub>8</sub>/

(GAA)<sub>10</sub>[(GAA)<sub>1-5</sub>(GCA)<sub>1-2</sub>]<sub>107</sub>(GAA)<sub>71</sub>, (GAA)<sub>23</sub>/(GAA)<sub>22</sub> [(GAA)<sub>1-4</sub>(GCA)<sub>1-2</sub>]<sub>202</sub>(GAA)<sub>69</sub>, respectively. Previous reports observed (GAAGGA)<sub>n</sub> repeats and [(GAA)<sub>4</sub>(GCA)<sub>1</sub>]<sub>n</sub> repeats in the control group, suggesting that the non-GAA expansions at the *FGF14* locus are not associated with late-onset cerebellar ataxia.<sup>1,2</sup> Therefore, we classified this repeat expansion as nonpathogenic.

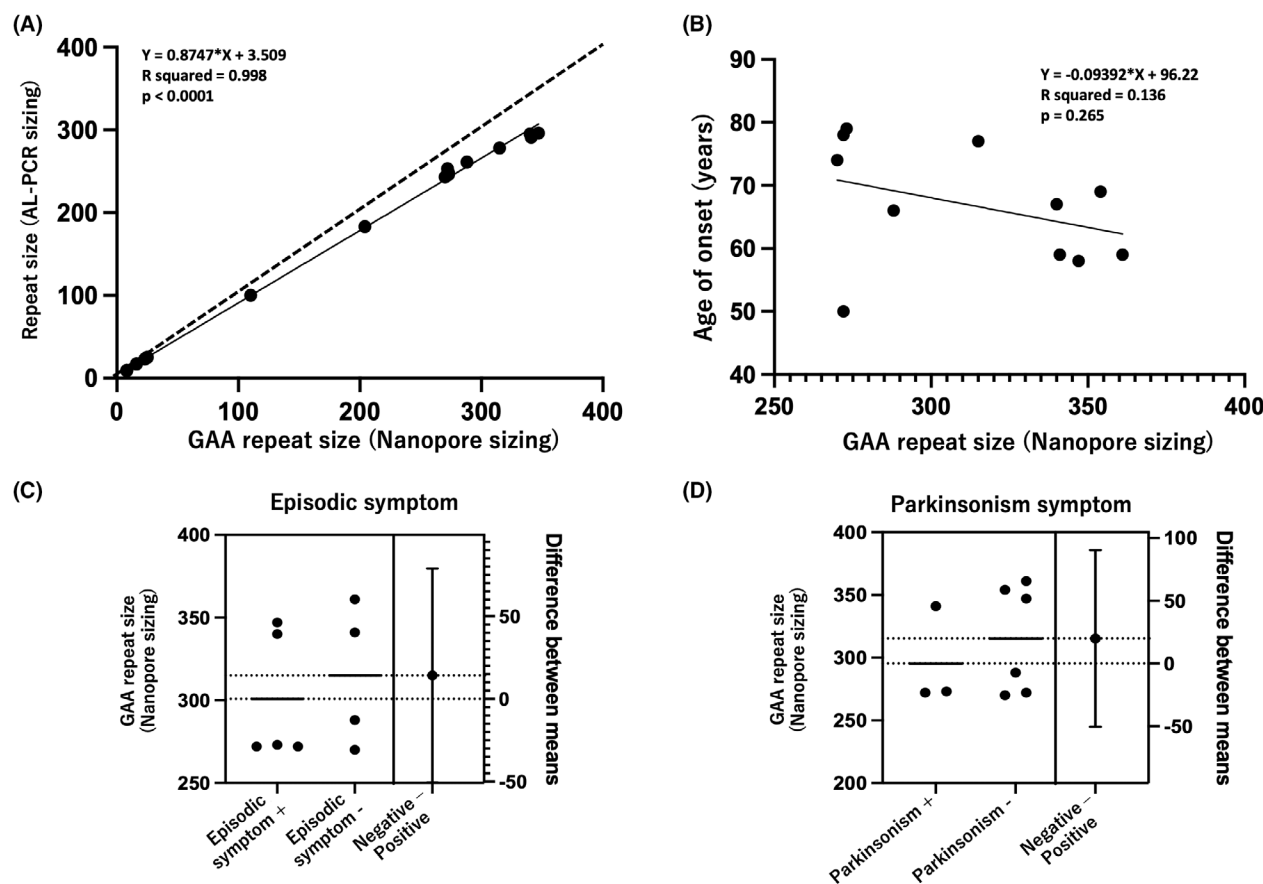
### Clinical summary

Table 1 summarizes the clinical findings of the 12 patients with *FGF14* pathogenic repeat expansions. These expansions were sporadic in 10 of the 11 families (91%), and only 1 family (9%) had a positive family history (F7; Data S2). The mean age of disease onset was 66.9 ± 9.6 years (range: 50–79 years), and 75% of the patients were women. All patients exhibited cerebellar ataxia, of which 56% (5 out of 9) showed episodic symptoms, including episodic ataxia and episodic symptomatic fluctuation. Additionally, parkinsonism was observed in three patients: patient F3 presented with non-levodopa-responsive muscle rigidity, resting tremor, and a shuffling gait; patient F9 exhibited muscle rigidity and shuffling gait, but no administration of levodopa; patient F10 displayed non-levodopa-responsive muscle rigidity,

**Table 1.** Clinical manifestation of 12 patients with FGF14 GAA repeat expansions.

Patient	F1	F2	F3	F4	F5	F6	F7-I	F7-II	F8	F9	F10	F11	All patients
Repeat size	110/361	24/354	8/341	24/347	8/340	23/315	25/272	25/288	8/273	16/273	16/272	204/270	
Onset age (years)	59	69	59	58	67	77	50	66	NA	79	78	74	66.9 ± 9.6
Exam age (years)	64	76	67	61	73	77	70	67	NA	83	86	75	7.8
Gender	F	F	M	M	F	M	F	F	F	F	F	F	Female 9
Family history	-	-	-	-	-	-	+	+	NA	-	-	-	Sporadic 10
Cerebellar ataxia	+	+	+	+	+	+	+	+	NA	+	+	+	11/11 (100%)
Nystagmus	+	+	+	+	+	+	+	+	NA	+	-	+	10/11 (91%)
Dysarthria	+	+	-	+	+	+	+	+	NA	+	+	+	10/11 (91%)
Limb ataxia	+	+	+	±	+	+	+	+	NA	+	+	+	11/11 (100%)
Truncal ataxia	+	-	+	+	+	+	+	+	NA	+	+	+	10/11 (91%)
Episodic symptom	-	NA	-	+	+	NA	+	-	NA	+	+	-	5/9 (56%)
Muscle weakness/atrophy	-	-	-	-	NA	NA	-	-	NA	-	-	-	0/9 (0%)
Deep tendon reflex	Hypo	Normal	Normal	Normal	Slight hyper	Normal	Normal	Hyper	NA	Hyper	Hypo	Hypo	NA
Sensory disturbance	+	-	-	-	+	NA	-	-	NA	-	-	-	2/10 (20%)
Pyramidal sign	-	-	-	-	-	Bilateral Babinski+	-	-	NA	-	-	-	1/11 (9%)
Parkinsonism	-	-	+	-	NA	-	-	-	NA	+	+	-	3/10 (30%)
Cognitive impairment	-	NA	-	-	NA	-	-	-	NA	MCI	-	-	1/9 (11%)
Dysautonomia	-	-	-	-	NA	-	-	-	NA	Hypohidrosis OH, constipation	-	-	2/10 (20%)
Other	Diabetes mellitus	-	-	-	NA	NA	-	Diabetes Mellitus	NA	Bilateral vocal cord paralysis	-	-	NA
Brain MRI	-	-	-	±	+	+	+	+	NA	±	±	±	+ 5/11 (45%) ± 5/11 (45%)
Cerebellar atrophy	±	+	-	-	-	-	-	-	NA	-	-	-	0/11 (0%)
Brain stem atrophy	-	-	-	-	-	-	-	-	NA	-	-	-	3/11 (27%)
Cerebral atrophy	-	-	-	-	-	Frontal temporal	-	-	NA	-	Frontal	-	NA
SPECT	-	-	-	-	-	-	IMP-SPECT reduced in cerebellar blood flow	ECD-SPECT reduced in cerebellar blood flow	NA	DaTscan: reduced in striatal uptake	IMP-SPECT No decrease in cerebellar blood flow	-	NA

M, male; F, female; NA, not available; MCI, mild cognitive impairment; OH, orthostatic hypotension.



**Figure 2.** Statistical analysis of genetic findings and clinical findings. (A) Correlation analysis indicates a linear relationship between the product length in FGF14 obtained through AL-PCR and actual repeat number revealed by nanopore sizing. (B) No significant correlation is identified between the onset age and repeat number (Pearson’s correlation test,  $P > 0.05$ ). (C, D) No significant difference is observed in repeat numbers between the groups with positive and negative cases of episodic ataxia or parkinsonism (Mann–Whitney  $U$ -test,  $P > 0.05$ ).

bradykinesia, and a shuffling gait. Autonomic dysfunction in two patients, while motor neuropathic symptoms, such as muscle weakness/atrophy, were not observed.

Patient F10, who presented with cerebellar ataxia, parkinsonism, and autonomic failure, also developed bilateral vocal cord palsy. Although brain magnetic resonance imaging (MRI) showed only slight cerebellar atrophy, the diagnosis of MSA was made based on clinical features. The detailed clinical course of this patient is described in Data S3 and Figure S1.

Electrophysiological analyses were performed on two patients, which revealed a slight decrease in median sensory nerve conduction velocity and tibial motor nerve conduction velocity (Table S2).

**Genotype–phenotype correlation**

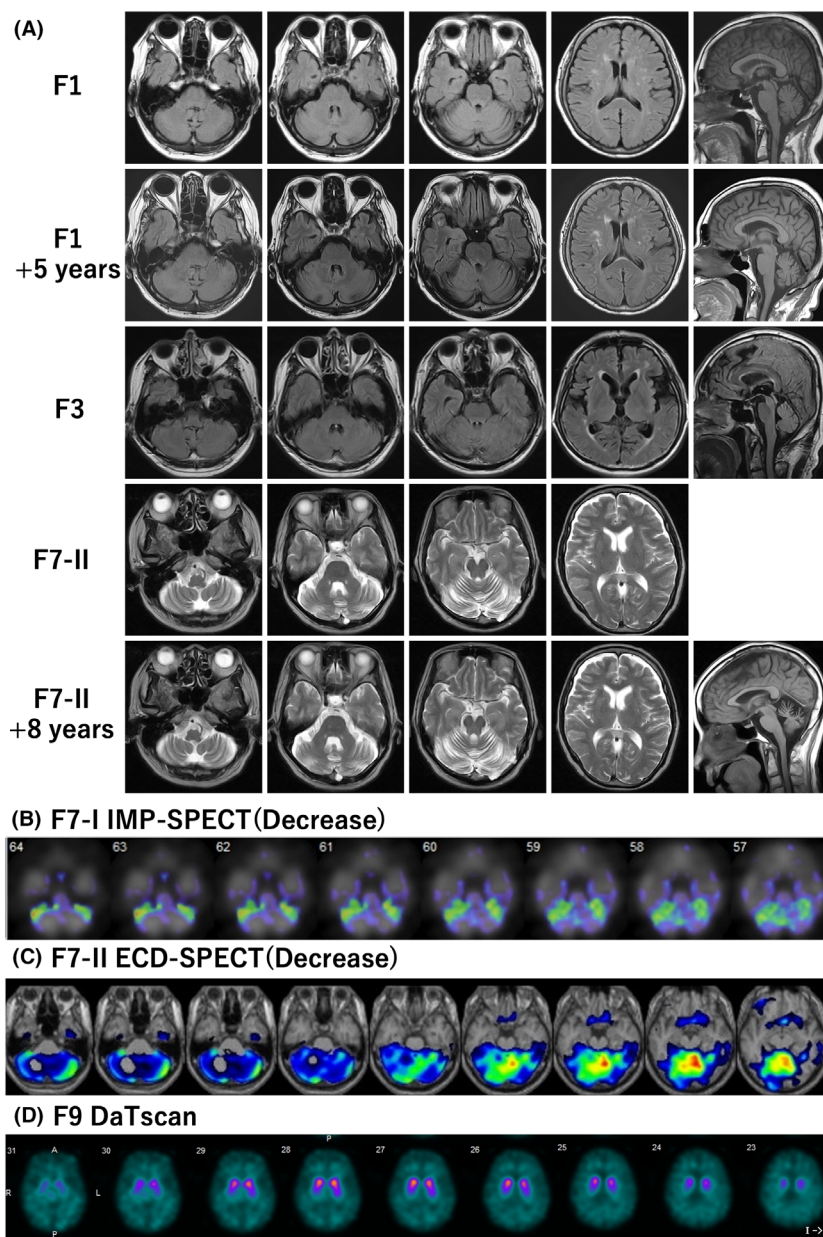
No significant correlation was observed between the age of onset and repeat size ( $P = 0.27$ , Pearson’s correlation, Fig. 2C). Furthermore, no significant differences in repeat

size were observed in patients with or without episodic symptoms ( $P = 0.62$ ) or parkinsonism ( $P = 0.52$ ) (Mann–Whitney  $U$ -test; Fig. 2D, E).

**Radiological findings**

Brain MRI data were available for 11 of the 12 patients and showed mild cerebellar atrophy ( $n = 5$ ), slight cerebellar atrophy or almost normal ( $n = 5$ ), and no cerebellar atrophy ( $n = 1$ ) (Table 1, Fig. 3A, Figure S2). None of the patients exhibited brainstem atrophy. Single-photon emission computed tomography of patients F7-I and II who had mild cerebellar atrophy showed hypoperfusion confined to the cerebellum (Fig. 3B, C, Figure S3A,B). However, two patients did not show cerebellar hypoperfusion (F3 and F10, data not shown). DaTscan (123I-ioflupane single-photon emission computed tomography) of patient F9 with parkinsonism showed reduced striatal uptake of dopamine transporter (Fig. 3D, Figure S3C). These radiological characteristics are summarized in Table 1.





**Figure 3.** Brain MRI and scintigraphy of patients with *FGF14* GAA repeat expansions. (A) Brain MRI of F1, 3, and 7-II. F1 and F7-II exhibit slight and mild cerebellar atrophy, respectively. While F3 shows no signs of cerebellar atrophy. Brain MRI follow-up indicates minimal longitudinal progression of cerebellar atrophy. Brain MRI follow-up showed minimal longitudinal progression of cerebellar atrophy. (B, C) Isopropyl-p-iodoamphetamine (IMP)-single-photon emission computed tomography (SPECT) of patient F7-I and ethylene cysteinyl dimer (ECD)-SPECT of F7-II. In both patients, hypoperfusion can be observed, specifically limited to the cerebellum. (D) DaTscan (123I-iodoflupane SPECT) of patient F9. A decrease of striatal uptake is evident. All images depicting these radiological findings are available in Figure S1 and S2.

Brain MRI follow-up studies were conducted in seven patients, with a mean interval of 6 years (range: 4–8 years). Throughout this observation period, none of the patients showed significant progression of cerebellar atrophy (Fig. 3, Figure S2).

## Discussion

In our study, which involved a case series of 940 index patients with undiagnosed cerebellar ataxia, we identified pathogenic *FGF14* repeat expansions in 11 unrelated

families. This finding represents advancements in our diagnostic rate and expands the genetic spectrum within our case series of cerebellar ataxia.

*FGF14* point mutations have been reported to cause *SCA27* and episodic ataxia type 9, respectively.<sup>12,13</sup> More recently, an autosomal dominant expansion of GAA repeats in *FGF14* emerged as a common cause of hereditary ataxia, particularly late-onset cerebellar ataxia (*SCA27B*, MIM 620174).<sup>1,2</sup> The initial report uncovered a complete penetrance of (GAA)<sub>>300</sub> expansions and an incomplete penetrance of (GAA)<sub>250–300</sub> expansions in *FGF14*.<sup>1</sup> *SCA27B* due to *FGF14* GAA repeats has been identified in ataxia cohorts from various ethnic backgrounds, including French–Canadian, German, Australian, Indian, and French populations.<sup>1,2,4–7</sup> Additionally, a family lineage with a monoallelic *FGF14* GAA repeat and biallelic *RFC1* AAGGG repeats was reported from Chile.<sup>14</sup> Taken together, these findings suggest that *FGF14* is implicated in cerebellar ataxia across diverse ethnic groups. However, *FGF14* repeat expansions have not been documented so far in the Japanese cerebellar ataxia cohort whose genetic characteristics remained unclear.

In this study, we detected *FGF14* GAA repeat expansions in 1.2% (11 out of 940) of index patients with undiagnosed cerebellar ataxia. This frequency is lower than that reported in previous studies. Pellerin et al. reported frequencies of 61%, 18%, 15%, and 10% in French–Canadian, German, Australian, and Indian cohorts, respectively.<sup>1</sup> The occurrence of GAA-*FGF14*-related disease may be relatively low in Asian countries, including Japan. However, it is important to acknowledge the impact of potential patient enrollment bias as a limitation of our study. Specifically, our case series included approximately 100 patients with MSA, and it is possible that it also included patients with nonhereditary ataxia, such as immune-mediated cerebellar ataxia. These factors might have contributed to the observed low frequency of GAA-*FGF14*-related disease in our study.

An examination of the clinical records of the 12 patients with *FGF14* GAA repeat expansions revealed that most patients exhibited a late-onset pure cerebellar form of the disease, with episodic symptom fluctuations observed in 56% of patients. Although the age of onset has been reported to have an inverse correlation with the size of *FGF14* GAA repeats in certain studies,<sup>1,2,5,6</sup> other study has reported no correlation.<sup>5</sup> In our statistical analysis, we observed a trend suggesting decreasing age at onset with increasing repeat size; however, this trend did not reach statistical significance. Episodic symptoms have been previously observed in 13–59% of patients with GAA-*FGF14*-related disease, representing a characteristic feature of the condition.<sup>1,4,6</sup>

Additionally, we identified Parkinsonism in 30% (3/10) of our patients. Whole exome analysis was conducted on

these patients and no known gene mutations associated with parkinsonism were detected. Parkinsonism is considered a rare symptom in GAA-*FGF14*-related disorders,<sup>7</sup> and thus far, only one patient with bradykinesia has been reported.<sup>6</sup> Furthermore, we identified the *FGF14* pathogenic repeat expansion in a patient who was clinically diagnosed with MSA-C, characterized by cerebellar ataxia, parkinsonism, autonomic neuropathy, and bilateral vocal cord palsy. Despite the penetrance of (GAA) expansions spanning between 250 and 300 may not be complete, this patient carrying 273 (GAA) expansions, exhibited clinical and radiological characteristics consistent with *SCA27B*, in contrast to her initial clinical diagnosis of MSA-C. Therefore, it is advisable to consider genetic screening for *FGF14* repeat expansions in patients with such clinical symptoms.

We provided detailed radiographic findings for 11 patients with GAA-*FGF14*-related diseases. Brain MRI revealed variable extents of cerebellar atrophy, which were classified as mild ( $n = 5$ ), slight or nearly normal ( $n = 5$ ), and completely normal ( $n = 1$ ). None of these patients displayed brainstem atrophy. Furthermore, after a mean follow-up period of 6 years, brain MRI did not reveal any notable progression of cerebellar atrophy. Cerebellar atrophy in GAA-*FGF14*-related disease has been reported to be mild.<sup>7</sup> Previous MRI follow-up results in one case indicated only mild progression of cerebellar atrophy.<sup>4</sup> Our longitudinal MRI analysis further supports and reinforces these findings. Cerebellar neuropathology in GAA-*FGF14*-related disease typically manifests as cerebellar cortical atrophy, predominantly affecting the vermis, with less involvement of the hemispheres.<sup>4</sup> Therefore, attention should be directed toward the cerebellar vermis when examining brain MRI to detect cerebellar atrophy in these patients. However, it is important to note that there might be patients in whom cerebellar atrophy is minimal or absent over prolonged periods of time.

Our diagnostic strategy, which included LR-PCR, AL-PCR, RP-PCR, and long-read sequencings, has shown promising results. The utilization of adaptive sampling with GridION has enabled us to rule out the possibilities of other known repeat expansion disorders simultaneously. Limitations of the current study comprise incomplete clinical data by local hospitals and insufficient segregation analysis, particularly in patients without a family history.

In conclusion, our study identified *FGF14* GAA repeat expansions in 1.2% of a large Japanese case series of patients with genetically undiagnosed cerebellar ataxia. This is the first report of GAA-*FGF14*-related disease in Japan. Repeat expansion analysis of *FGF14* is recommended for patients presenting with late-onset cerebellar symptoms, especially when accompanied by episodic symptoms or when brain MRI reveals only mild cerebellar atrophy or normal findings. The clinical variability

observed in our patients, particularly the undescribed phenotype of MSA, has expanded the clinical spectrum of GAA-FGF14-related diseases.

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## Author contributions

Masahiro Ando, Yujiro Higuchi, and Hiroshi Takashima conceived the project and designed the study. Masahiro Ando, Yujiro Higuchi, Junhui Yuan, Akiko Yoshimura, and Fumikazu Kojima contributed to the analysis and interpretation of data. Yuki Yamanishi, Yasuhiro Aso, Kotaro Izumi, Minako Imada, Yoshimitsu Maki, Hiroto Nakagawa, Takahiro Hobara, Yutaka Noguchi, Jun Takei, Yujiro Higuchi, Satoshi Nozuma, Yusuke Sakiyama, Akihiro Hashiguchi, Eiji Matsuura, and Yuji Okamoto participated in analysis of clinical data. Masahiro Ando produced the original manuscript and all authors approved the final version.

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## Conflict of interest

All authors declare that there is no conflict of interest.

## Data availability statement

Data that support the findings of this study are available from the corresponding author upon reasonable request.

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Table S1.** Age of onset distribution in our cerebellar ataxia cohort.

**Data S1.** The region of interest used for adaptive sampling option.

**Data S2.** A family tree (F7) with a family history.

**Data S3.** The clinical course of F9 (FGF14 16/273 repeats).

**Data S4.** Laryngeal fiberscope findings in F9.

**Table S2.** Electrophysiological findings of F1 and F9.

**Figure S1.** Brain MRI of all patients with *FGF14* GAA repeat expansions and longitudinal follow-up.

**Figure S2.** Full scintigraphic image of patients with *FGF14* GAA repeat expansions.