

EVOLUTIONARY BIOLOGY

Hox genes control homocercal caudal fin development and evolution

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Ancient bony fishes had heterocercal tails, like modern sharks and sturgeons, with asymmetric caudal fins and a vertebral column extending into an elongated upper lobe. Teleost fishes, in contrast, developed a homocercal tail characterized by two separate equal-sized fin lobes and the body axis not extending into the caudal fin. A similar heterocercal-to-homocercal transition occurs during teleost ontogeny, although the underlying genetic and developmental mechanisms for either transition remain unresolved. Here, we investigated the role of *hox13* genes in caudal fin formation as these genes control posterior identity in animals. Analysis of expression profiles of zebrafish *hox13* paralogs and phenotypes of CRISPR/Cas9-induced mutants showed that double *hoxb13a* and *hoxc13a* mutants fail to form a caudal fin. Furthermore, single mutants display heterocercal-like morphologies not seen since Mesozoic fossil teleostomorphs. Relaxation of functional constraints after the teleost genome duplication may have allowed *hox13* duplicates to neo- or subfunctionalize, ultimately contributing to the evolution of a homocercal tail in teleost fishes.

INTRODUCTION

A pivotal event in teleost fish evolution was the transition from a heterocercal to a homocercal tail, which restructured the morphology of the posterior body axis (1–6), enhancing propulsion and maneuverability. Heterocercal tails, like those of modern sturgeons, have a larger upper lobe containing the vertebral column and a continuous set of bony fin rays. Homocercal tails have, instead, a short upward-bent column that ends at the base of the fin and two sets of caudal rays separated into discrete upper and lower lobes of roughly equal size (Fig. 1, A to D) (3, 4, 6–8). Early naturalists identified a similar morphological transformation during the ontogeny of modern teleosts, which initially develop a heterocercal-like tail (Fig. 1C) that then acquires the homocercal shape of adult tails (1–3, 6, 9). Thus, the main difference between heterocercal and homocercal tails in development and evolution lies in the organization and extension of the posterior end of the body axis (Fig. 1, A to C).

The heterocercal-to-homocercal transition entailed a significant reduction in the number of preural and ural vertebrae supporting an increased number of bony fin rays (Fig. 1A) (4, 5, 7). The preural region encompasses a transitional region between midcaudal and ural vertebrae, from the most anterior vertebrae supporting rays up to the final vertebra bearing a haemal arch (Fig. 1, A and B). Posterior to the preural lies the ural region, characterized by an upward-bent body axis that ventrally supports hypurals (H in Fig. 1B) (4, 5, 7). This upwardly bent body axis is achieved by a dorsoventral (DV) symmetry break during ontogeny that relocates the posterior hypurals dorsally (Fig. 1C). The external DV symmetry of the homocercal tail is then achieved by fin rays developing symmetrically in pairs above and below the hypural diastema, located between the central hypurals 2 and 3 (Fig. 1B). Fin rays originating posterior to the diastema contribute to the upper lobe, while those anterior to it form the lower lobe (Fig. 1C) (4, 6, 10, 11).

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Considering these transformations in body axis elements, posterior *Hox* genes likely regulate homocercal fin patterning and evolution. *Hox* genes encode homeodomain-containing transcription factors organized into four clusters in tetrapods (12, 13). Their anteroposterior expression during embryogenesis generally correlates with their genomic organization (14–17). *Hox13* paralogs are expressed most caudally and are present in all four vertebrate clusters, suggesting preserved nonredundant functions. In chicken and mouse, *Hoxb13* and *Hoxc13* affect body axis elongation and patterning. Gain-of-function mutants of both genes display reduced tail elongation (18) and fewer caudal vertebrae (19, 20). Conversely, *Hoxb13* loss-of-function mutant mice exhibit extra caudal vertebrae (21), and *Hoxc13* null mutant mice undergo vertebral identity changes (22). Teleosts, though, experienced a whole genome duplication initially resulting in eight *hox* clusters (23–25), with six of these clusters containing a *hox13* paralog. All six *hox13* paralogs are expressed in the zebrafish tail bud (26–28), with *hoxa13b* (henceforth, *a13b*) and *d13a* required for tail bud elongation (28) and *a13a*, *a13b*, *c13a*, and *c13b* involved in caudal fin ray regeneration (29, 30). No studies, however, have explored *hox13* paralog contribution to caudal patterning in fish.

In this work, we tested the hypothesis that members of the *hox13* gene set directly regulate the formation of the caudal fin and that they are linked to the evolution of the homocercal tail. We analyzed gene expression profiles for all six zebrafish *hox13* paralogs and selected two candidate genes that were then mutated using CRISPR-Cas9. Our results show that *hoxb13a* and *hoxc13a* direct caudal fin patterning and that phenotypic transformations elicited in homozygous mutants recapitulate several evolutionary transformations that characterize the heterocercal-to-homocercal transition.

RESULTS***hoxb13a* and *hoxc13a* are candidate regulators of caudal fin patterning**

We first determined the expression patterns of all six zebrafish *hox13* paralogs during the first four days postfertilization (dpf) (Fig. 1D, figs. S1 and S2, and Supplementary Text). By 2 dpf, distinct expression

patterns were observed for each of the *hox13* genes in various organs (figs. S1 and S2). Notably, *b13a* and *c13a* exhibited strong labeling in the tail, persisting until at least 4 dpf (Fig. 1D), suggesting their involvement in caudal fin patterning, which becomes evident around 3.8-mm notochordal length (NL), between 10 and 12 dpf (Fig. 1C). In contrast, *a13a*, *a13b*, and *d13a* displayed stronger labeling in the

pectoral fin buds than in the tail (figs. S1 and S2) and have been shown by gene expression and phenotypes of deletion mutants to play a role in patterning vertebrate paired appendages (31–33) but not caudal fins (34). Considering that *hox* genes controlling caudal fin patterning should be widely conserved in teleosts, we found that only three *hox13* genes (*a13b*, *b13a*, and *c13a*) were conserved in annotated

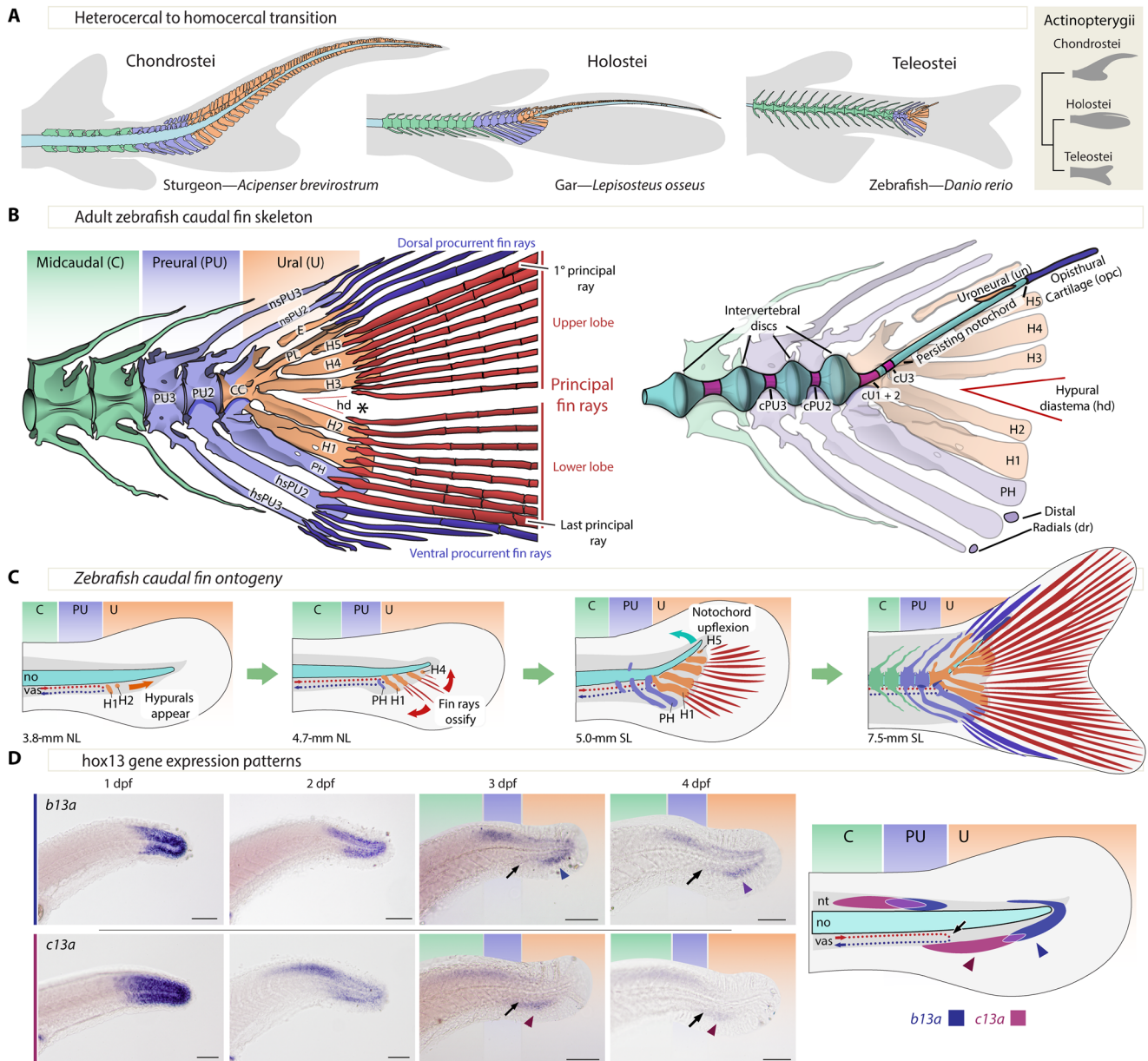


Fig. 1. Zebrafish caudal anatomy, development, evolution, and *b13a* and *c13a* expression. (A) Representative caudal fin morphologies in actinopterygians, featuring gray-shaded body contour and fin rays, with midcaudal, preural, and ural elements in green, blue, and orange, respectively. (B) Left: Adult zebrafish caudal fin skeleton, highlighting the three regions of the caudal fin and the separation of upper and lower principal rays (asterisk, *). Right: Description of internal elements within the caudal fin skeleton, including the notochord. (C) Developmental relocation of the zebrafish caudal fin elements following bending of the notochord and the appearance of principal fin rays. (D) In situ hybridizations showing *b13a* and *c13a* transcripts from 1 to 4 days postfertilization (dpf) and schematic representation of expression domains of both genes at 4 dpf. Black arrows indicate the end of the caudal artery at the PU-U boundary, while blue and red arrowheads mark the ventral expression domains of *b13a* and *c13a*, respectively. Scale bars, 0.1 mm. CC, compound centrum; cPU2 and cPU3, preural chordacentra 2 and 3; cU1 to cU3, ural chordacentra 1 to 3; dr, distal radial; E, epural; H1 to H5, hypurals 1 to 5; hd, hypural diastema; hsPU2 and hsPU3, haemal spines of the preural centra 2 and 3; nsPU2 and sPU3, neural spines of the preural centra 2 and 3; NL, notochordal length; no, notochord; opc, opisthural cartilage; PH, parhypural; PL, pleurostyle; PU2 and PU3, preural centra 2 and 3; SL, standard length; un, uroneural; vas, vasculature.

genome assemblies of all major teleost lineages (fig. S3 and table S1). Thus, the intersection of expression patterns and evolutionary conservation identified *b13a* and *c13a* as the best candidate genes for caudal fin patterning.

To delimit the anteroposterior *hox13* gene expression domains, we used adult morphological landmarks in 3- and 4-dpf zebrafish larvae. The DV U-turn of the caudal vasculature defines the preural-to-ural boundary (black arrow, Fig. 1D) (4, 5), while the last two or three myomeres delimit the preural region, based on the number of preural vertebrae in the adult (Fig. 1B). The ventral expression of *c13a* localizes to the preural-to-ural boundary, while its dorsal domain extends further anteriorly (Fig. 1D). In contrast, the ventral domain of *b13a* marks the ural region, while its dorsal domain marks the preural region (Fig. 1D). The complementarity of these two gene expression patterns is notable because, as the notochord bends dorsally at about 4.5 mm standard length (SL) (Fig. 1C) (35), the ventral posterior *b13a* domain corresponds to the upper lobe of the caudal fin, while the ventral anterior *c13a* domain corresponds to the lower lobe, with their overlap aligning with the hypural diastema.

hoxb13a and *hoxc13a* genes regulate caudal fin length and symmetry

Using CRISPR-Cas9 mutagenesis, we generated *b13a* and *c13a* loss-of-function mutant zebrafish with frameshift mutations upstream of

the homeodomain leading to premature stop codons (fig. S4, A and B). These mutations were inherited in Mendelian ratios (fig. S4C). Homozygous mutants for *b13a* and *c13a* were viable and displayed distinct phenotypic changes in the caudal fin compared to their heterozygous and wild-type (WT) siblings (Fig. 2A). Homozygous *b13a*^{-/-} mutants showed a shorter upper lobe, resulting in an asymmetric caudal fin (Fig. 2, A and B, and fig. S5A), while homozygous *c13a*^{-/-} mutants had symmetric but shorter and narrower fins in the DV axis (Fig. 2, A and B).

We tested whether morphological changes in mutant fins were associated with caudal ray number. Caudal fin rays are of two types. Principal rays (labeled in red, Fig. 1, B and C) are the central and longest rays, including all bifurcated rays and the first nonbifurcated ray of each fin lobe. Procurrent rays (dark blue) consist of two series of smaller, nonbifurcated rays anterior to the principal rays (36). The *c13a*^{-/-} mutants had significantly fewer caudal rays than their WT siblings in both caudal fin lobes, losing a total of three principal and three procurrent rays (Fig. 2D and fig. S5, C and D). Heterozygous *c13a*^{+/-} siblings displayed an intermediate phenotype, indicating a partially dominant mutant allele. In contrast, *b13a*^{-/-} mutants retained the same total caudal ray number as WT but lost one or two principal rays in the upper lobe, compensated by additional procurrent rays (Fig. 2D and fig. S5, B to D). Both mutants lacked separation between caudal rays of the upper and lower lobes and had a reduced

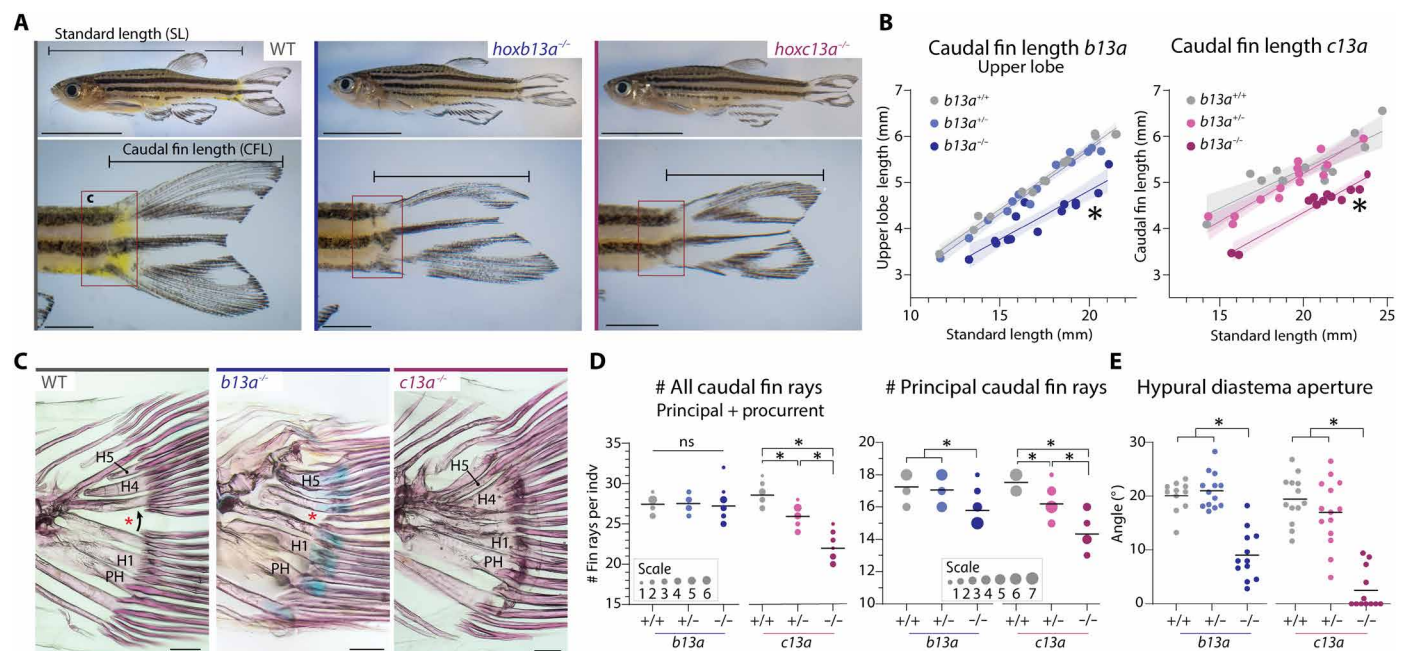


Fig. 2. Caudal fin phenotypes in *b13a* and *c13a* zebrafish mutants. (A) Lateral views of WT and homozygous *b13a* and *c13a* mutants (10 mm scale bar for whole fish; 2 mm for caudal fin close-up). (B) Upper lobe length relative to SL in WT, heterozygous, and homozygous mutant siblings ($n = b13a^{+/+}:14, b13a^{+/-}:14, b13a^{-/-}:14, c13a^{+/+}:13, c13a^{+/-}:14, \text{ and } c13a^{-/-}:12$). Analysis of covariance indicated a significant reduction in upper lobe length in both homozygous mutants [*b13a*: $F_{2,38} = 88.0, P < 0.0001$; *c13a*: $F_{2,35} = 59.86, P < 0.0001$]. Shaded area represents the 95% confidence interval around the regression line. (C) Skeletal staining showing caudal ray bases, hypurals, and the hypural diastema (red asterisk). Scale bars, 200 μm. (D) Caudal and principal ray number in both mutants ($n = b13a^{+/+}:12, b13a^{+/-}:14, b13a^{-/-}:14, c13a^{+/+}:13, c13a^{+/-}:15, \text{ and } c13a^{-/-}:12$). Analysis of variance (ANOVA) revealed a significant reduction in total fin ray number for *c13a* [$F_{2,37} = 67.02, P < 0.0001$], but not for *b13a* mutants [$F_{2,37} = 0.2223, P = 0.8017$]. Multiple comparisons indicated a *c13a* gene-dosage effect ($P < 0.0001$ for all comparisons). Both mutants reduced principal ray number [*b13a*: $F_{2,37} = 10.11, P = 0.0003$; *c13a*: $F_{2,37} = 36.93, P < 0.0001$] with only *b13a*^{-/-} differing from its siblings [$P = 0.0021$ versus *b13a*^{+/-} and $P = 0.0008$ versus *b13a*^{+/+}], while *c13a* demonstrating the same gene-dosage effect ($P < 0.0001$ for all comparisons). (E) Hypural diastema aperture angle in both mutants ($n = b13a^{+/+}:12, b13a^{+/-}:14, b13a^{-/-}:14, c13a^{+/+}:13, c13a^{+/-}:14, \text{ and } c13a^{-/-}:12$). ANOVA revealed a significant reduction in diastema aperture for both genes [*b13a*: $F_{2,33} = 39.89, P < 0.0001$; *c13a*: $F_{2,36} = 42.28, P < 0.0001$]. Multiple comparisons showed this effect in homozygous mutants only ($P < 0.0001$ for *b13a*^{-/-} and *c13a*^{-/-}). For (D) and (E), horizontal lines represent the mean; circle size is proportional to fish scored. See Fig. 1 for anatomical terminology.

aperture of the hypural diastema (red asterisk, Fig. 2, C and E), particularly evident in homozygous *c13a*^{-/-} mutants (Fig. 2, C and E). In addition, in most homozygous single mutants for each gene, the uppermost principal ray originated above the notochord, unlike in WTs where all principal rays are hypaxial (fig. S5, E and F). Approximately half of *c13a*^{-/-} mutants had a short upper principal ray that did not extend along the entire leading margin of the fin (fig. S5, E and F), implying that this phenotype had reduced penetrance in *c13a* single mutant homozygotes.

To verify the specificity of *b13a* and *c13a* phenotypes, we examined all other fins and found that the number of dorsal, anal, pectoral, and pelvic fin rays and endoskeletal radials remained unaltered (fig. S6). This finding indicates that both *b13a* and *c13a* genes specifically regulate caudal fin shape, length, and number of rays and promote the separation between the upper and lower fin lobes and do not affect the fins regulated by *a13a*, *a13b*, and *d13a* (31–33).

hoxb13a and hoxc13a genes regulate the number and identity of posterior vertebrae

Examination of the axial skeleton revealed that both homozygous single mutants had approximately three extra vertebrae compared to WT

siblings, while heterozygous siblings had one additional vertebra, again indicating partially dominant phenotypes (Fig. 3, A and B). Analysis of the different vertebral regions along the body axis (Fig. 3C) (7, 37) showed that *c13a* mutants had additional midcaudal vertebrae, while *b13a* mutants primarily had extra ural vertebrae (Fig. 3C), consistent with their respective expression domains. The extra midcaudal vertebrae in *c13a* mutants were positioned between the anal fin and the preural vertebrae, resembling other midcaudal vertebrae (Fig. 3A). Conversely, the extra ural vertebrae in *b13a* mutants extended to the posterior end of the notochord (Fig. 3D and red arrowheads in fig. S7A), a region lacking centra that maintains a persistent notochord in WTs (Figs. 1B and 3D and fig. S7A). In addition, *b13a*^{-/-} mutants either lacked or had a significantly reduced opisthural cartilage, a specialized structure derived from the notochordal sheath (38) that covers the posterior end of the notochord (yellow arrowheads, fig. S7A) (7). In both mutants, but particularly marked in larger *b13a*^{-/-} specimens, the notochord terminated near the base of hypural 5 (H5) instead of reaching the posterior end of H5 (black versus red arrowheads, fig. S7A). Together, these findings demonstrate a positional correlation between the expression domains of *c13a* and *b13a* (Fig. 1D) and the changes in vertebral number observed in the mutants (Fig. 3, A and C).

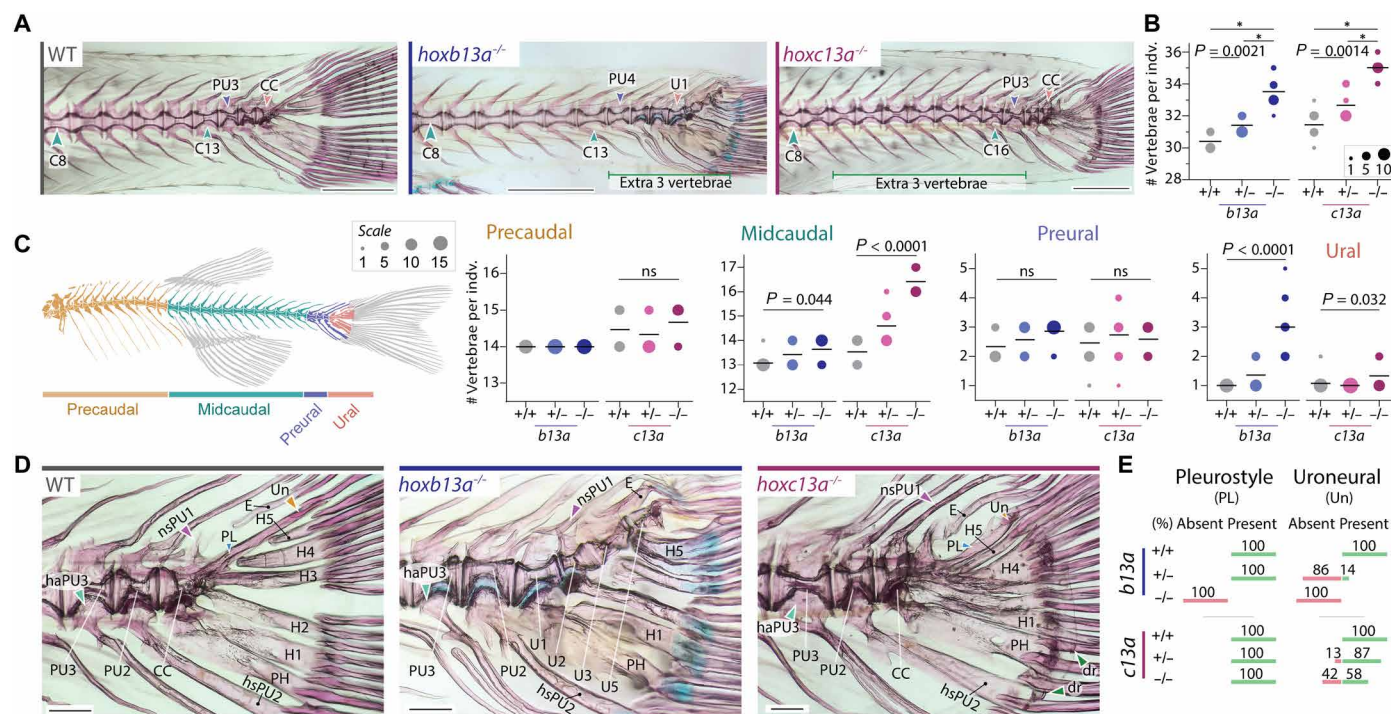


Fig. 3. Vertebral phenotypes of *b13a* and *c13a* zebrafish mutants. (A) Cleared and stained skeletal preparations of WT and homozygous *b13a* and *c13a* mutants showing variation in tail vertebrae number. Scale bars, 1 mm. For (B), (C), and (E), $n = b13a^{+/+}:12, b13a^{+/-}:14, b13a^{-/-}:13, c13a^{+/+}:13, c13a^{+/-}:15, c13a^{-/-}:12$. (B) Total number of vertebrae in both mutants. ANOVA revealed a significant increase in vertebrae number [*b13a*: $F_{2,37} = 78.28, P < 0.0001$; *c13a*: $F_{2,37} = 63.49, P < 0.0001$] and multiple comparisons showed a gene-dosage effect for both genes ($*P < 0.0001$). (C) Vertebral number per body region in both mutants. Poisson regressions revealed significant increases for both mutants in midcaudal [*b13a*: $\chi^2_{(2)} = 6.23, P = 0.044$; *c13a*: $\chi^2_{(2)} = 30.003, P < 0.0001$] and ural vertebrae [*b13a*: $\chi^2_{(2)} = 41.22, P < 0.0001$; *c13a*: $\chi^2_{(2)} = 6.88, P = 0.032$]. Other comparisons were not significant (ns) [precaudal | *c13a*: $\chi^2_{(2)} = 1.54, P = 0.46$; preural | *b13a*: $\chi^2_{(2)} = 3.08, P = 0.21$; preural | *c13a*: $\chi^2_{(2)} = 0.32, P = 0.85$]. Post hoc tests were not applied due to the minimal variance or absence of variance in several observations, rendering those tests invalid. The line is at the mean and circle size is proportional to the number of individuals. (D) Caudal fin endoskeleton of WT and homozygous *b13a* and *c13a* mutants. The pleurostyle (blue arrowhead) and uroneural (orange arrowhead) are absent in *b13a*^{-/-} mutants. An elongated neural spine of the preural centrum 1 (purple arrowhead) is present in both mutants. Extra distal radials (green arrowheads) are present in *c13a*^{-/-} mutants. A cartilaginous articulation of the haemal arch of the preural centrum 3 with its centrum (light blue arrowhead) is present in the *b13a*^{-/-} mutant, as revealed by Alcian blue staining. Scale bars, 200 μ m. (E) Frequencies of pleurostyle and uroneural loss in *b13a* and *c13a* mutants. See Fig. 1 for anatomical terminology.

To investigate the developmental origins of these segmental identity changes, we measured larval NL (Fig. 4A) and somite number in *b13a*^{-/-} and *c13a*^{-/-} mutants using the myotome boundary marker *xirp2a* (Fig. 4B) (39). Both mutants showed increased body length and somite number compared to WT animals (Fig. 4, A and B). The number of extra somites in *c13a*^{-/-} mutant larvae matched the additional caudal vertebrae in mutant adults (Fig. 3, A to D). However, in *b13a*^{-/-} mutants, the extra ural vertebrae in adults did not correspond to additional somites in larvae (Fig. 3, A to D), consistent with ural vertebrae forming independently of somites (40). In addition, in *c13a*^{-/-} mutants, we examined the expression domain of *hoxc12a*, the gene preceding *c13a* in the *hoxca* cluster. In the absence of a functional *c13a* gene, *c12a* expression extended posteriorly into the elongated portion of the tail (Fig. 4C), consistent with the expected sequential repression of *hox* genes by their neighboring 3' gene (41).

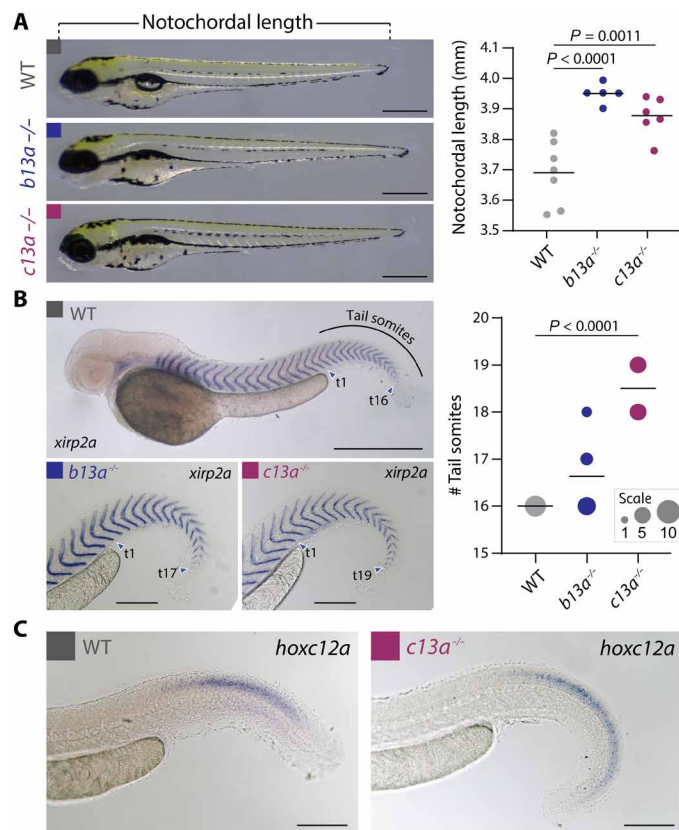


Fig. 4. Length and somite number in mutant *b13a*^{-/-} and *c13a*^{-/-} larvae. (A) NL of 3-dpf WT, *b13a*^{-/-}, and *c13a*^{-/-} mutant larvae. $n = \text{WT}:7, b13a^{-/-}:5,$ and $c13a^{-/-}:6$. ANOVA revealed a significant change in larval length [$F_{2,15} = 18.59, P < 0.0001$]. Multiple comparisons indicated significantly longer *b13a* ($P < 0.0001$) and *c13a* ($P = 0.0011$) larvae compared with WTs. Scale bars, 0.5 mm. (B) Tail somite number in 2-dpf WT, *b13a*^{-/-}, and *c13a*^{-/-} mutant larvae, using in situ hybridization with the myotome boundary marker *xirp2a*. ($n = \text{WT}:8, b13a^{-/-}:11,$ and $c13a^{-/-}:10$). Poisson regression revealed significant increases in somite number for both mutants [$\chi^2_{(2)} = 33.19, P < 0.0001$]. Post hoc tests were not applied due to the absence of variance in WTs, rendering those tests invalid. Circle size is proportional to fish scored (0.5 mm scale bar for WT, 0.2 mm scale bars for *b13a*^{-/-} and *c13a*^{-/-} mutants). (C) In situ hybridization against *hoxc12a* in 2-dpf WT and *c13a*^{-/-} mutant embryos. Scale bars, 0.2 mm. For (A) and (B), graph horizontal lines represent the mean.

These findings highlight the role of *b13a* and *c13a* genes in establishing posterior segmental identity and repressing body axis elongation.

hoxb13a and *hoxc13a* mutants display non-overlapping caudal fin phenotypes

Next, we examined skeletal changes in the caudal fin complex of *hox13* mutants (Fig. 3, D and E). Notably, *b13a*^{-/-} mutants lacked both the uroneural and pleurostyle (Fig. 3, D and E), which are modified neural arches covering the lateral surface of the posterior-most vertebrae and notochord in the ural region and are characteristic of homocercal tails (Fig. 1C) (4, 7, 40). Uroneural loss was also observed in most heterozygous *b13a*^{+/-} and half of the homozygous *c13a*^{-/-} individuals (Fig. 3E). In addition, *b13a*^{-/-} mutants displayed an elongated preural neural spine on top of the last centrum and a cartilaginous articulation between preural centrum 3 and its haemal arch, which is fused in WTs (Fig. 3D and fig. S7B). In contrast, *c13a*^{-/-} mutants developed extra distal radials at the ends of the parhypural and hypurals 1 and 2 (green arrowheads, Fig. 3D and fig. S7, B and E), with some radials ossified in specimens over 20-mm SL, resembling the supernumerary pectoral fin distal radials observed in zebrafish *hoxa13* mutants (34). The epural number, however, showed no change (fig. S7C), and the dorsal hypural number (H3 to H5) was only slightly decreased in *b13a*^{-/-} mutants (fig. S7D). These findings revealed gene-specific alterations in the caudal endoskeleton, including, in *b13a*^{-/-} mutants, the absence of pleurostyle and uroneural along with modified preural arches and spines, and, in *c13a*^{-/-} mutants, the presence of extra distal radials.

Ontogenetic analysis of *b13a*^{-/-} mutants further revealed noteworthy differences with WT fish (Fig. 5, A to D versus E to H), including (i) the development of hypurals 1 to 5 closer to the notochord's posterior end (Fig. 5, E to H), accompanied by an additional pair of cartilaginous preural neural and haemal arches between the midcaudal and preural regions (red arrowhead, Fig. 5, E to G). (ii) The first neural arch to develop formed dorsal to the parhypural (orange arrowhead, Fig. 5, E and F), instead of dorsal to the preural centrum 2 as in WTs (Fig. 5, A and B). (iii) The first principal fin rays appeared between hypurals 1 and 2 (yellow arrowhead, Fig. 5, E and H), rather than aligned with the hypural diastema between hypurals 2 and 3 (Fig. 5, A and D). (iv) The upward bending of the notochord was less pronounced than in WTs (Fig. 5, A to C versus E to G). (v) Hourglass-shaped vertebral centra with neural arches extended to the notochord's posterior tip (green arrowhead, Fig. 5, F and G). The observed changes in developing *b13a* mutants correlated with adult phenotypes, suggesting that *b13a* (Fig. 5H) (i) inhibits preural element formation; (ii) suppresses vertebral centra formation, resulting in a persistent notochord; (iii) induces modification of posterior ural neural arches into a pleurostyle and uroneural; (iv) drives opisthural cartilage formation at the notochord's posterior end; and (v) aligns the hypural diastema and first developing principal rays.

Ontogenetic analysis of *c13a*^{-/-} mutants also revealed notable differences with WTs (Fig. 5, A to D versus I to L). Specifically, *c13a*^{-/-} mutants lacked a hypural diastema from early on (Fig. 5, A to C versus I to K). While the first pair of rays developed between hypurals 2 and 3 as in WTs (Fig. 5I), mutants exhibited a continuous array of caudal rays, contrasting with the separate anterior and posterior clusters seen in WTs (Fig. 5A). In addition, *c13a*^{-/-} juveniles formed distal radials at the ends of the parhypural and hypurals 1 and 2

(black arrowhead, Fig. 5K), absent in WTs (Fig. 5C). These observations suggest that *c13a* (Fig. 5L) (i) promotes the formation of caudal fin rays and their separation into upper and lower clusters, (ii) induces hypural diastema formation, and (iii) inhibits distal radial development.

hoxb13a^{-/-}; *hoxc13a*^{-/-} double mutants lack a caudal fin

b13a^{-/-}; *c13a*^{-/-} double mutants exhibited severe tail abnormalities, including dorsal coiling of the tail from the anterior end of the anal fin and complete absence of the caudal fin (Fig. 6A); hence, the anal fin became positioned caudally and assumed the functional role of a caudal fin in swimming due to tail distortion (Fig. 6A and movie S1). Microcomputed tomography (micro-CT) imaging and conventional skeletal staining revealed malformed, fused, and atrophied skeletal elements in the tail of adult double mutants (Fig. 6, B and D; fig. S8, A and B, and movie S2). Furthermore, double mutants had an average of 22 tail vertebrae, exceeding the counts of single mutants by two or three and WTs by five (Fig. 6C). Notably, the dorsal, anal, pectoral, and pelvic fins showed no defects (fig. S6). Therefore, *b13a* and *c13a* act additively in arresting body axis elongation and are redundant but necessary to promote the formation of a caudal fin.

To understand the origin of this phenotype, we examined the development of double mutants (Fig. 6, F to K). Before fin fold resorption, at around 30 dpf, the tail's posterior end was still mostly straight but longer than in WTs (Fig. 6, E and F). Subsequently, the tail began coiling dorsally in a spiral manner (Fig. 6, G and H). Notably, double mutant larvae did not develop caudal fin skeletal elements (Fig. 6I), although one of five larvae analyzed formed three rudimentary fin rays at the end of an elongated cartilaginous element potentially corresponding to the parhypural due to the presence of a haemal arch (Fig. 6, J and K). Thus, in comparison to single mutants, *b13a*^{-/-}; *c13a*^{-/-} double mutants lost most, if not all, preural and ural elements of the caudal fin (Figs. 6K and 7A).

A homeotic transformation underlies the heterocercal-to-homocercal transition

Intriguingly, zebrafish *b13a*^{-/-} and *c13a*^{-/-} single mutants exhibit similarities to the heterocercal tails of primitive teleostomorphs, including the absence of uroneurals, additional preural and ural centra, a reduced number of principal rays, and the lack of the hypural diastema (6, 8, 42, 43). Thus, to critically compare *b13a*^{-/-} and *c13a*^{-/-} phenotypes to ancestral caudal fin morphologies, we extracted a subset of caudal fin characters that were significantly

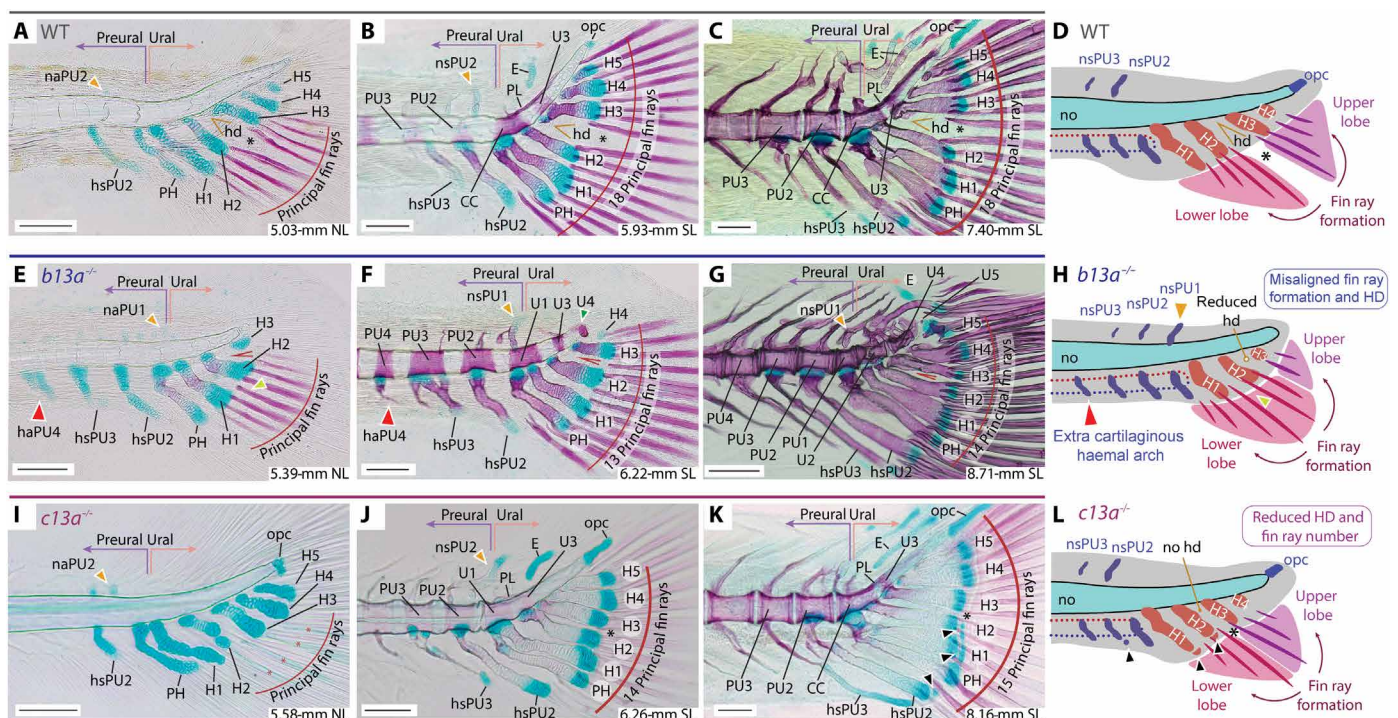


Fig. 5. Ontogenetic series of cleared and stained skeletal preparations for *b13a*^{-/-} and *c13a*^{-/-} mutants. The figure displays the development of the caudal fin in zebrafish WT, *b13a*^{-/-}, and *c13a*^{-/-} mutants, focusing on the formation of fin rays and posterior vertebrae. (A) 5.03-mm NL WT specimen. (B) 5.93-mm SL WT specimen. (C) 7.40-mm SL WT specimen. (D) Diagram showing normal zebrafish caudal fin development, fin ray appearance is aligned with the hypural diastema. (E) 5.38-mm NL *b13a*^{-/-} mutant specimen. (F) 6.22-mm SL *b13a*^{-/-} mutant specimen. (G) 8.71-mm SL *b13a*^{-/-} mutant specimen. (H) Diagram showing the *b13a*^{-/-} mutant caudal fin development, with a reduced hypural diastema and the appearance of fin rays not aligned with the position of the diastema. The notochord is abbreviated, with no opisthural cartilage, the base of hypurals is closer to the notochord posterior end, and an additional preural element is present anteriorly. (I) 5.58-mm NL *c13a*^{-/-} mutant specimen. (J) 6.26-mm SL *c13a*^{-/-} mutant specimen. (K) 8.16-mm SL *c13a*^{-/-} mutant specimen. Black arrowheads, extra distal radials. (L) Diagram showing the *c13a*^{-/-} mutant caudal fin development, lacking a hypural diastema, with fewer fin rays forming, more spaced between them, and additional radials at the distal ends of the hypurals 1 and 2. Red arrowheads, extra cartilaginous haemal arch or spine; yellow arrowheads, first neural arch/spine that develops; black asterisk, separation between upper and lower caudal fin lobes. See Fig. 1 for anatomical terminology. Scale bars, 0.1 mm.

modified in our mutants from morphological matrices of stem teleost phylogenies (Supplementary Text and table S2) (44–46) and coded mutant phenotypes as character states (Fig. 7B). The polarity of changes for each modified character was subsequently mapped back into a simplified phylogeny of stem teleost lineages, with holosteans as an outgroup (Fig. 7B) (44–46).

We identified seven modified characters traceable to the fossil record. The primitive condition, as illustrated in the living holostean *Lepisosteus osseus* (Fig. 7B), included more than six preural vertebrae (Char. 1 [0]); a polyural skeleton with over three ural vertebrae (Char. 2 [0]); ural vertebrae with neural arch instead of uroneurals (Char. 3 [0]); an autogenous haemal arch of preural centrum 3 (Char. 4 [0]); neural spine of preural centrum 1 as long

as the preceding spine (Char. 5 [0]); fewer than 19 principal fin rays (Char. 6 [0]); and a closed hypural diastema (Char. 7 [0]) (table S2). The *b13a*^{-/-} mutants reversed characters 2 to 5 (Fig. 3, C to E, and fig. S7B) and partially reversed characters 1, 6, and 7 (Figs. 2, D and E, and 3C), while *c13a*^{-/-} mutants reversed characters 6 and 7 (Fig. 2, D and E) and partially reversed character 3 (Fig. 3E). In addition, *b13a*^{-/-} mutants exhibited alterations untraceable to the fossil record, including opisthural cartilage absence (fig. S7B), and misalignment between first caudal fin rays forming and hypural diastema (Fig. 5H), which are however characters seen in living holosteans (4, 6, 10, 11). Overall, both mutants showed partial or complete reversals to ancestral heterocercal caudal fin morphologies.

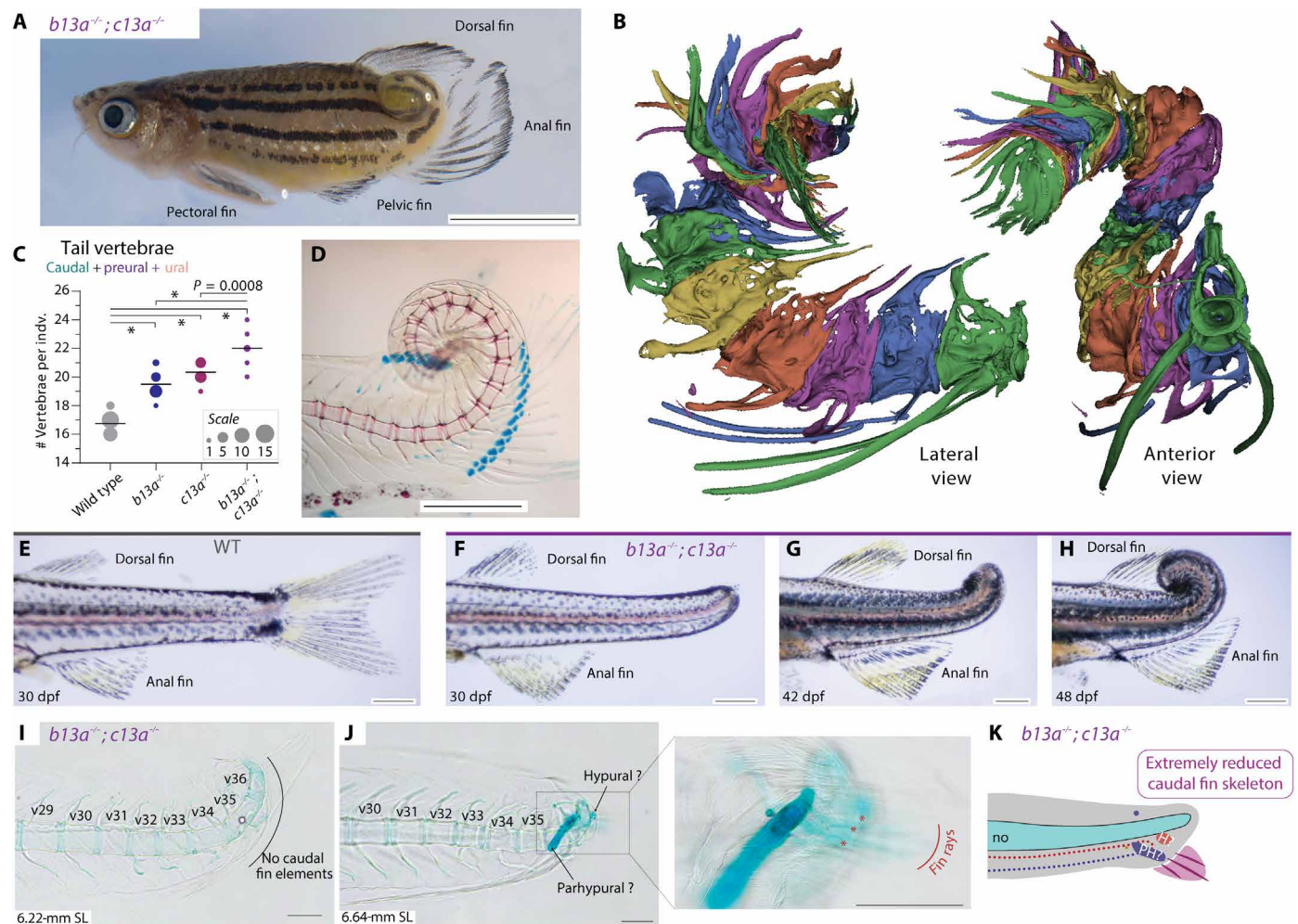


Fig. 6. *b13a*^{-/-};*c13a*^{-/-} double mutants have coiled tails that lack a caudal fin. (A) Adult double mutant zebrafish showing a coiled tail and posterior repositioning of the anal fin. Scale bar, 5 mm. (B) Segmented micro-CT images of the double mutant tail with each vertebra colored differently. The posterior vertebrae are abbreviated and highly fused. (C) Tail vertebral number in double mutants, single mutants, and WTs. ANOVA revealed a significant increase in tail vertebrae [$F_{3,53} = 101.91, P < 0.0001$]. Multiple comparisons indicated that, except for the *b13a-c13a* pair, all comparisons were statistically significant, indicating that both genes act additively contributing to tail vertebrae number. * $P < 0.0001$. The line indicates the mean and circle size is proportional to the number of individuals. (D) Cleared and stained skeletal preparation of an adult double mutant tail, revealing a spiral tail without caudal fin rays at the tip. Scale bar, 1 mm. (E) Lateral view of a WT tail. (F to H) Ontogenetic sequence of tail transformations in *b13a*^{-/-};*c13a*^{-/-} double mutants. Scale bars, 1 mm. (I) A cleared and stained skeletal preparation of 6.22-mm NL double mutant larva reveals the absence of any cartilaginous or bony element unique to the caudal fin, including no hypural or fin rays. (J) 6.64-mm NL double mutant larva shows two cartilaginous elements and three rudimentary fin rays. (K) Diagram of the same specimen depicting the presence of few fin rays and abbreviated caudal fin, consisting of a single hypural and a single preural element. Scale bars, 0.1 mm [(I) to (K)]. For anatomical terminology, see Fig. 1.

The described characters emerged among the earliest diverging stem teleosts, exhibiting homoplasy across clades. Mesozoic †Pachycormiformes and †Aspidorhynchiformes showed tail modifications convergent with teleost characters 1 to 6 (Fig. 7B). †Pachycormiformes increased principal ray number to more than 40 (Char. 6 [3]), while †Aspidorhynchiformes acquired characters attributed to *b13a* (Chars. 1 to 4, [1]), including the convergent modification of ural neural arches into uroneurals (4, 7). In the lineage leading to modern teleosts (†*Prohalecites* plus Teleostei), all seven characters were gradually acquired until the establishment of the homocercal tail in the early Jurassic †*Leptolepis coryphaenoides* (Fig. 7B) (8). Subsequently, the teleost caudal skeleton stabilized with fewer than six preurals (Char. 1 [1]), two ural vertebrae (Char. 2 [1]), uroneurals (Char. 3 [1]), fused haemal arch with the preural centrum 3 (Char. 4

[1]), short neural spine of preural centrum 1 (Char. 5 [1]), 19 principal rays (Char. 6 [2]), and an open hypural diastema (Char. 7 [1]). Thus, the altered characters in *b13a*^{-/-} and *c13a*^{-/-} mutants stabilized concurrently with the development of the homocercal tail after an initial phase of morphological diversification in Teleostomorpha.

DISCUSSION

Homeotic patterning of the zebrafish tail

We describe homeotic transformations in the zebrafish tail caused by mutations in two posterior *hox* genes. Vertebrate *Hox13* paralogs, along with *Hox9* to *Hox12* paralogs, are orthologs of the *Drosophila* posterior *Hox* gene *Abd-B* (15, 47), which determines posterior segment identity (48). Gain-of-function mutations in *Drosophila*

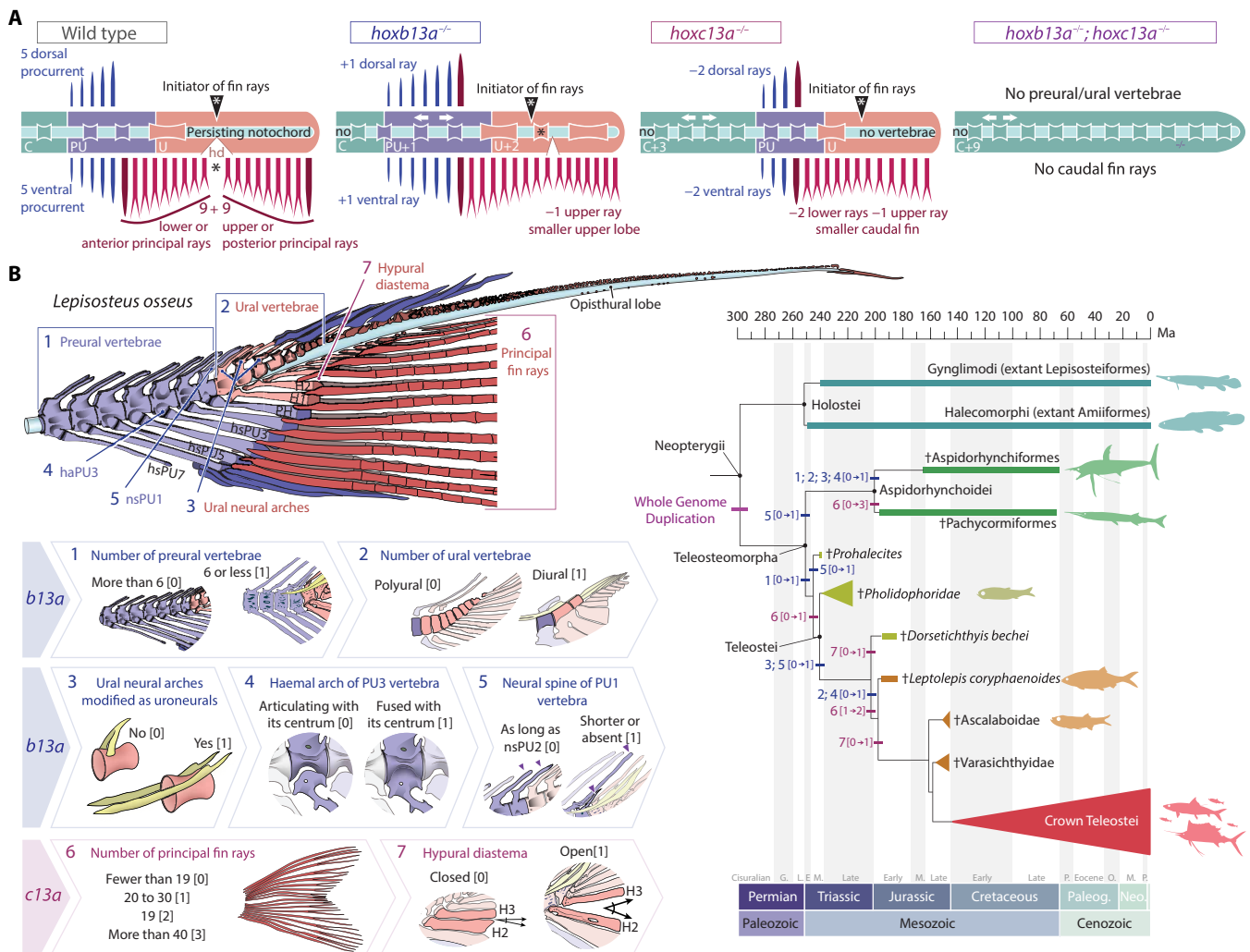


Fig. 7. *b13a*^{-/-} and *c13a*^{-/-} zebrafish mutants recapitulate the heterocercal-to-homocercal transition. (A) Linearized diagram illustrating the phenotypic differences in a single and double *b13a*^{-/-} and *c13a*^{-/-} mutants compared to a WT tail. White arrows indicate expanded body regions. “Initiator of fin rays” indicates the position where caudal rays appear during ontogeny. The black asterisk represents the separation between upper and lower lobe caudal fin ray clusters. (B) Diagram of the caudal fin skeleton of the longnose gar *Lepisosteus osseus*, highlighting the main morphological characters reversed in *b13a*^{-/-} and *c13a*^{-/-} mutants (numbered from 1 to 7). States of the seven characters numbered from [0] to [3]. These characters are mapped on a simplified phylogeny of stem teleosts (44–46), with Holostei as an outgroup. Most characters experienced high homoplasy and underwent modifications during the evolution of basal teleosts in the Triassic during the heterocercal-to-homocercal transition. See Fig. 1 for anatomical terminology.

Hox genes tend to transform body segments into more posterior ones, such as in *Antennapedia* (49, 50). Conversely, loss-of-function mutations result in anteriorization, with segments assuming a more anterior fate (48). This phenomenon, known as posterior prevalence (41), arises because *Hox* genes expressed in more posterior domains (and more 5' in the cluster) have phenotypic precedence over *Hox* genes expressed in more anterior domains (and more 3' in the cluster). Posterior prevalence can explain the phenotypes described here. For instance, *b13a*^{-/-} mutants exhibit preural-like vertebrae in the ural region, which normally lack centra but retain the notochord (Fig. 7A). Mutants for *c13a* were also anteriorized, because segments that would typically form preurals instead develop mid-caudal vertebrae, accompanied by expanded expression of the adjacent gene *c12a* (Fig. 4C). Therefore, both *b13a*^{-/-} and *c13a*^{-/-} zebrafish mutants exhibit anteriorization, as do *Drosophila* mutants lacking the *Hox13* ortholog *Abd-B*, and are, therefore, homeotic mutants.

Zebrafish *b13a*^{-/-} and *c13a*^{-/-} mutant phenotypes resemble mouse *Hoxb13*^{-/-} and *Hoxc13*^{-/-} mutants, which exhibit tail anteriorization: *Hoxb13*^{-/-} and *Hoxc13*^{-/-} mutant mice have dorsal root ganglia and vertebral lateral processes in more posterior tail segments, respectively (21, 22). Furthermore, expression patterns of *Hoxb13* and *Hoxc13* in chicken (18) show the same posterior-anterior relationship observed in zebrafish (Fig. 1D). Similar anteriorization phenotypes and expression patterns across zebrafish, mouse, and chicken support a general function of *Hox13* paralogs in specifying vertebrate tail identity. Furthermore, posterior *Hox* genes not only determine segmental identity but also regulate body axis elongation. *Hox13* genes inhibit somitogenesis and axis elongation by affecting mesoderm ingression (18), the *Lin28/let-7* pathway (51), and growth differentiation factor signaling (19). In mice, gain of function of either *Hoxb13* or *Hoxc13* truncates the body axis (20), while *Hoxb13* loss of function increases the number of vertebrae (21). Accordingly, our results show that *b13a* and *c13a* loss of function in zebrafish increases the number of somites (Fig. 4B) and vertebrae (Fig. 3A), indicating a conserved role in arresting body axis elongation.

The zebrafish tail elongates as a result of posterior tail bud progenitors converging to the midline, where they intercalate and compact (52, 53) in the absence of cell proliferation (54, 55), thereby lengthening the tail without an increase in volume (52, 56). This suggests that forming additional somites would deplete the posterior mesodermal progenitor population, responsible for migrating distally and giving rise to caudal fin rays (57). Consequently, the elongated tails with extra somites observed in our single *b13a* and *c13a* mutants (Figs. 3, A to C, and 4B) explain the smaller caudal fin size with fewer rays (Fig. 2, A to D) due to the reduced number of remaining fin ray-forming posterior progenitors. Thus, *hox13* mutants would anteriorize the zebrafish body axis by shifting posterior mesodermal progenitor identity from fin ray precursors to somite precursors, explaining the observed gene-dosage effect of both single mutants, resulting in fewer rays and additional vertebrae compared with WT (Figs. 2D and 3B). With *c13a* expressed more anteriorly than *b13a* at 1 dpf (Fig. 1D), mutants would repattern caudal fin ray progenitors toward the more anterior midcaudal vertebrae, while posteriorly expressed *b13a* (Fig. 1D) would shift upper lobe fin ray identity toward the more posterior ural vertebrae.

The *b13a*^{-/-}; *c13a*^{-/-} double mutant zebrafish exhibit severe anteriorization, lacking caudal fin rays and endoskeletal fin elements (Fig. 6), implying partial redundancy of the two paralogs in specifying

a caudal fin. As hypothesized above, depletion of the fin ray progenitor population due to those cells contributing to a longer axis instead would explain the complete loss of the caudal fin. Intriguingly, the spiraling tail and posterior repositioning of the anal fin in double mutants (Fig. 6) mimic the coiled tail of seahorses, which swim using their dorsal fin in the absence of a caudal fin (58), despite retaining copies of both paralogs (fig. S3). A schematic representation of a straightened double mutant tail (Fig. 7A) reveals a tetrapod-like structure with neural and haemal arches, indicating conservation of axial tail patterning across bony vertebrates. The caudal fin is ancestrally shared by ray- and lobe-finned fishes (59) and so is the broad functional conservation of *Hoxb13* and *Hoxc13* paralogs (fig. S3), suggesting that the independent losses of the caudal fin in tetrapods and certain teleost lineages, like seahorses or sunfishes, may have involved the same developmental mechanism proposed for *b13a*^{-/-}; *c13a*^{-/-} double mutants, by shifting posterior identity from forming caudal fin rays toward an increase in somite number and a lengthening of the tail.

Evolution of *Hox13* gene functions

The *b13a*^{-/-}; *c13a*^{-/-} zebrafish double mutants displayed normal patterning of dorsal, anal, pectoral, and pelvic fins despite its severe tail defects. In contrast, *a13a*^{-/-}; *a13b*^{-/-} double mutant zebrafish, reciprocally, had impaired or missing dorsal, anal, pectoral, and pelvic fins while having a normal caudal fin (34). Furthermore, the caudal fin is clearly distinguishable from other fins because of (i) its direct anchoring to the body axis through posterior vertebrae, (ii) an absence of a zone of polarizing activity (ZPA) for establishing its anteroposterior axis (60, 61), and (iii) the lack of nested *Hoxd9–13* expression (62, 63). Because the caudal fin likely evolved first among vertebrate fins (59), a single proto-*Hox13* gene may have initially specified caudal fin formation linked to posterior identity. Following the two rounds of whole genome duplication at the base of vertebrate lineage (13), the fin-forming function of *Hox13* genes may have been repurposed from the axis to other appendages (62, 64). In this scenario, *Hoxb13* and *Hoxc13* retained the ancestral caudal fin patterning function, while *Hoxa13* and *Hoxd13* underwent neofunctionalization and subfunctionalization (65) to pattern the dorsal, anal, and paired fins during vertebrate evolution.

This study has established previously unforeseen correlations between successive evolutionary transformations leading to the homocercal tail and the phenotypic changes observed in *hox13* mutants. While mammals and nonteleost ray-finned fishes have a single copy of each *Hox13* gene, teleosts experienced a whole genome duplication (TGD), doubling their number (fig. S3) (23, 25, 66). The TGD likely occurred at the base of Teleostomorpha (Fig. 7B) (24) and provided opportunities for neofunctionalization and subfunctionalization events (65) without pervasive pleiotropic consequences (67, 68) that may have facilitated parallel evolution (69, 70). As expected for this model, the stepwise emergence of the homocercal caudal fin followed the TGD associated with substantial early homoplasy (Fig. 7B). Thus, the TGD likely enabled relaxation of constraints that allowed the evolution of original functions for genes such as *b13a* and *c13a*, contributing, for instance, to the appearance of uroneurals and a prominent hypural diastema. Moreover, considering that in heterocercal tails, the body axis extends along the caudal fin upper lobe (Fig. 1A), spatiotemporal shifts in *hox13* gene expression might have led to an earlier arrest of axis extension and increased caudal ray number, at the expense of posterior vertebrae number, allowing the evolution of a homocercal caudal fin.

Hox13 genes as a basis for teleost caudal fin morphological diversification

The phenotype of *b13a*^{-/-} mutants reflects evolutionary changes in the homocercal tail. In primitive heterocercal tails in holosteans and early diverging teleostomorphs, the notochord extends far beyond the hypurals (Figs. 1A and 7B) (3, 71, 72). The evolution of the homocercal tail involved reduction and internalization of this notochordal extension, resulting in an enclosed notochord ending in an opisthural cartilage over the last series of hypurals in stem teleost lineages (Fig. 1, B and D) (4, 38, 40, 73, 74). Many teleost lineages with derived morphologies, such as mormyrids, toadfishes, and atherinomorphs (75–77), further specialized by ossifying the notochord's posterior end and losing the opisthural cartilage (1–3). Zebrafish *b13a*^{-/-} mutants exhibited notochord ossification up to its posterior end and the absence of an opisthural cartilage (fig. S6A), resembling this later stage of homocercal evolution. Thus, these transformational series could be attributed to homeotic changes associated with the *b13a* gene during teleost evolution.

The *c13a*^{-/-} mutants also provide insights into the regulation of caudal fin ray number and lobe separation. In heterocercal tails, principal ray number matches the number of supporting haemal spines and hypurals (4). Early diverging teleostomorphs convergently increased principal fin ray number (Fig. 7B), resulting in DV mirror-image symmetry of 19 principal fin rays reflected around an open hypural diastema after the acquisition of a homocercal tail (Fig. 7B) (4, 10). This pattern has been retained in early diverging crown teleosts, such as elopiforms, clupeiforms, and salmoniforms (4, 7, 73, 74). However, in lineages with derived morphologies, such as flatfishes, cobitids, and cyprinodontids (77–79), the caudal fin shares characteristics similar to *c13a*^{-/-} mutants, including reduced fin ray number and a closed hypural diastema, resulting in a continuous array of fin rays (Fig. 3D). Thus, regulation of *c13a* likely played a role not only in the evolution of a symmetric homocercal tail but also in the series of caudal fin transformations that convergently occurred during the diversification of teleosts.

Our findings provide a robust developmental genetic explanation for the evolution of a major vertebrate innovation: the transition of the caudal fin from a heterocercal to a homocercal form. These findings link the segmental identity of the posterior end of the teleost body to two *hox13* paralogs, *hoxb13a* and *hoxc13a*, which pattern the oldest vertebrate appendage, the caudal fin. The origin of these genes after two successive rounds of vertebrate whole genome duplications followed by a teleost-specific genome duplication may have released genetic constraints, permitting the complex series of morphological transformations that resulted in the highly derived homocercal caudal fin, which may have provided advantages in mobility that contributed to teleost fishes radiating during the Mesozoic and coming to dominate extant ichthyofauna. Alterations to the regulation of *b13a* and *c13a* expression might have further provided a substrate for the vast and beautiful morphological diversity of teleost caudal fins.

MATERIALS AND METHODS

Zebrafish husbandry and experimental conditions

Zebrafish embryos were naturally spawned and collected in the zebrafish facility at the Facultad de Ciencias, Universidad de Chile and then raised in petri dishes at a constant temperature of 28°C in E3 medium (5 mM NaCl, 0.17 mM KCl, 0.33 mM CaCl₂, 0.3 mM

MgSO₄, and 0.1% methylene blue, buffered at pH 7.0), according to standard procedures (80). Larvae and juveniles were maintained at a density of 20 fish/liter under a 14:10 light-dark cycle. Animals were fed two to three times a day with dry food particles (Gemma, Skretting, Norway). Water conditions were maintained at 28°C within a pH range of 7 to 7.3 and a conductivity of 600 to 800 µS. Adult fish were housed at a density of four to eight fish/liter and fed twice a day. All fish manipulations were performed under anesthesia or after euthanasia using MS-222 (Tricaine), the animal procedures adhered to the guidelines and received approval from the Institutional Animal Use and Care Committee of the University of Chile, certificate number 18141-FCS-UCH.

Staging of zebrafish embryos, juveniles, and adults

We identified zebrafish embryonic stages until 4 dpf according to previous descriptions (81). For postembryonic stages, we relied on external anatomy and length measurements, using NL for preflexion stages or SL for postflexion stages. In addition, we compared the sequence of ossification in the axial and caudal fin skeleton with previous studies (7, 37, 82, 83).

In situ hybridization

Specific primers were designed to target and amplify the cDNA sequences of *hoxa13a*, *hoxb13a*, *hoxc13a*, *hoxc13b*, and *hoxc12a* using National Center for Biotechnology Information (NCBI) primer designing tool (table S3). Recombinant DNA plasmids were generated for each of these genes by cloning the polymerase chain reaction (PCR) product into the dual promoter pCRII-TOPO vector, following instructions provided with the TOPO TA Cloning kit. Plasmids were then purified using an E.Z.N.A. Plasmid Miniprep kit II. The direction of insertion into the TOPO TA vector was confirmed through restriction enzyme digestion assays. For in vitro transcription of digoxigenin-labeled RNA, SP6, T3, or T7 RNA polymerases were used (84), along with a DIG labeling mix (Roche) followed by a deoxyribonuclease step and ethanol precipitation. The labeled in situ RNA probes were resuspended in nuclease-free water and stored at -20°C. Plasmids for *hoxa13b* and *hoxd13a* in situ probes were provided by T. Schilling (85), while the plasmid for *xirp2a* in situ probe was a gift from S. Schulte-Merker (39).

We followed a modified version of the in situ hybridization protocol described by Thisse and Thisse (86). The modifications are as follows: For fixation, we used a 4% paraformaldehyde solution with 1% dimethyl sulfoxide (DMSO) for 1 to 3 hours at room temperature. To achieve dehydration, we directly transferred embryos from PBST (1× PBS, 1% Tween 20) into 100% methanol. Bleaching was performed using a solution of 3% H₂O₂ and 0.5% (w/v) KOH immediately after rehydration. The digestion step involved treating embryos with proteinase K (PK) solution in 1% DMSO adjusted to 10 µg/ml PK for 10 min for 1-dpf embryos, 20 µg/ml PK for 20 min for 2-dpf embryos, and 20 µg/ml PK for 30 min for 3- and 4-dpf embryos. For prehybridization, we used a solution without ribosomal RNA and heparin (50% formamide, 5× SSC, 0.2% Tween 20, and 0.0092 M citric acid). The in situ hybridization probes were used at a concentration of 0.55 ng/µl, with the hybridization solution supplemented with 5% dextran sulfate. The anti-DIG antibody was used at a concentration of 1:3000, with the blocking buffer containing 1% DMSO. Last, before transfer into glycerol, embryos were dehydrated in methanol to enhance contrast.

Genome editing

Genome editing was performed using the CRISPR-Cas9 system (87–89). Two single guide RNAs (sgRNAs) per gene were designed, targeting the first exon of *hoxb13a* and *hoxc13a* genes. CRISPRscan (table S3) (90) was used for sgRNA design, selecting guides with the lowest off-target cutting frequency determination scores. The selected sgRNAs targeted the following sequences: *hoxb13a*, 5' ACCGTACGGATGGGACCTG 3'; 5' GAGCCATGGACAAGGCTAGA 3'; *hoxc13a*, 5' GAGCAGCGCTTTGCCACAG 3'; 5' GAAGCGC-TAGATGACGTCTG 3'. The sgRNAs were synthesized using a PCR-based strategy (91) and transcribed using the MEGAscript T7 Transcription Kit. Cas9 mRNA was transcribed from the pCS2 + hspCas9 plasmid (92) using the mMACHINE SP6 Transcription kit.

One-cell stage zebrafish embryos were injected with a final concentration of Cas9 mRNA (400 ng/μl) and sgRNA (100 ng/μl). The efficiency of each sgRNA was assessed using a heteroduplex shift assay (93) with specific primers (table S3). The injected F0 generation of CRISPR-Cas9 was raised and outcrossed to WTs. F1 progeny was genotyped by Sanger sequencing to identify transmitted mutations. Frameshift mutations resulting in an early stop codon in the first exon of the *hoxb13a* and *hoxc13a* genes were selected. Heterozygous F1 fish carrying the same mutation were inbred to generate the F2 generation. The F2 generation was raised together in the same rack until adulthood and then was genotyped and categorized into WT, heterozygous, or homozygous mutants for subsequent morphological comparisons. This experimental setup minimized the likelihood that morphological differences among them result from difference other than the selected mutation.

Genotyping

Genotyping was performed using a heteroduplex mobility shift assay (93) (fig. S4C) to identify mutations using genomic DNA provided by clipping the anal fin of adult fish. Tissue samples were incubated in a 50 mM NaOH solution and heated at 98°C for 15 min. Mechanical homogenization was conducted using pipette tips, and samples were buffered with 100 mM Tris (pH 8.0). PCR primers were designed to amplify a 300-bp product encompassing the targeted site of CRISPR-Cas9 mutagenesis (table S3). PCR amplification was performed using SapphireAmp Fast PCR Master Mix directly from unidentified DNA samples. The resulting PCR products were loaded onto acrylamide gels [15% (w/v) acrylamide:bisacrilamide 29:1 solution, 1× Tris-borate EDTA (TBE), 0.167% ammonium persulfate, and 0.001% tetramethylethylenediamine (TEMED)] in a vertical electrophoresis chamber (Bio-Rad) filled with 1× TBE (89 mM Tris, 89 mM boric acid, and 2 mM EDTA). The gels were then subjected to electrophoresis at 180 V for 90 min.

The presence of both a mutant and a WT allele in the same sample, indicating heterozygosity, was visualized by a mobility shift and observed as a delay in DNA migration on the acrylamide gel (red arrowhead, fig. S4C). To distinguish homozygous mutants from WTs, high-quality genomic DNA was extracted from *hoxb13a* and *hoxc13a* mutants by anal fin clipping and lysis buffer incubation [10 mM Tris (pH 7.5), 10 mM EDT (pH 8), 200 mM NaCl, 0.1% IGEPAL CA-630, and PK (200 μg/ml)] for 2 hours at 50°C, followed by phenol:chloroform extraction and ethanol precipitation. The purified genomic DNA of homozygous mutants was combined with each sample to perform a mobility shift assay. When a WT sample

was combined with a homozygous mutant allele, it generated a mobility shift similar to a heterozygous genotype (blue asterisks, samples 5 and 6, fig. S4D). Conversely, combining mutant DNA with a mutant sample did not result in a delay in electrophoretic mobility (red asterisk, sample 7, fig. S4C). By using both approaches, we could unambiguously identify all homozygous and heterozygous genotypes.

Genomic identification of *hox13* orthologs

Annotations of *hox13* genes were obtained from high-quality genome assemblies in the NCBI database. Each *Hox* cluster was analyzed individually to determine the presence or absence of *hox13* genes. Taxon sampling included all teleost fish families available in the database, ensuring representation of at least one member from each family. This sampling strategy covered three elopomorphs, three osteoglossomorphs, three clupeomorphs, and several ostariophysan and euteleostomorph families.

Clearing and staining

Clearing and staining procedures were conducted using alizarin red and Alcian blue dyes. For juveniles and adults, a standard acid protocol was followed (40). In contrast, an acid-free protocol was used for larvae (94).

Image acquisition and processing

Photographs of in situ hybridization as well as cleared and stained specimens were obtained using a transmitted light Olympus BX51 and Zeiss AxioLab 5 microscopes, using 4×, 10×, and 20× objectives. Micro-CT images were acquired using a Skyscan 1272 scanner with imaging parameters set at 80 kV and 125 μA, achieving a resolution of 5 μm. The image acquisition process involved capturing images at intervals of 0.2° around a complete 360° rotation, with each image exposed for 2 s. Images were analyzed and segmented using Slicer 3D.

Multiple images were stacked and merged using Photoshop auto-align and auto-blend tools, and background was adjusted using the fill tool in Photoshop. Image size and resolution were adjusted in Photoshop to 300 dots per inch. Brightness, contrast, white balance, and tone processing were performed in Adobe Lightroom Classic. Final figures and diagrams were assembled in Adobe Illustrator.

Quantification and statistical analyses

NL was measured for preflexion larvae, extending from the tip of the snout to the posterior tip of the notochord. SL was used for post-flexion larvae, juveniles, and adults, measured from the tip of the snout to the posterior margin of the hypural plate. Caudal fin length was measured from the posterior margin of the hypurals to the most distal tip of the upper or lower caudal fin lobes. To assess differences in caudal fin size between different genotypes, length measurements were logarithmically transformed to accommodate for scale variations (95), followed by an analysis of covariance, using a model II linear regression. Statistical analyses for fin ray counts involved conducting two-tailed analysis of variance (ANOVA) test followed by Tukey's multiple comparisons test. Brown-Forsythe tests were conducted to assess equal variances. Statistical analyses for the number of vertebrae, somites, hypurals, epurals, caudal fin distal radials, and principal and procurent rays involved fitting Poisson generalized linear models (GLM) in R software. For each comparison, the minimum or maximum value was established as zero, thereby preserving count differences as positive integers relative to this reference point.

Analyses of deviance were performed using the ANOVA function of the “car” R package, calculating a likelihood ratio chi-square for Poisson GLM regressions. When variance exceeded zero, multiple comparisons were conducted using the “emmeans” R package. Residual diagnostics, including equal variance assessments, were performed using the “DHARMA” R package.

Supplementary Materials

This PDF file includes:

Supplementary Text

Figs. S1 to S8

Tables S1 to S3

Legends for movies S1 and S2

References

Other Supplementary Material for this manuscript includes the following:

Movies S1 and S2

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