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## Integrating NHANES and Toxicity Forecaster Data to Compare Pesticide Exposure and Bioactivity by Farmwork History and US Citizenship

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### Abstract

**Background**—Farmworkers in the United States, especially migrant workers, face unique barriers to healthcare and have documented disparities in health outcomes. Exposure to pesticides, especially those persistent in the environment, may contribute to these health disparities.

**Objective**—Quantify differences in pesticide exposure bioactivity by farmworker category and US citizenship status.

**Methods**—We queried the National Health and Nutrition Examination Study (NHANES) from 1999–2014 for pesticide exposure biomarker concentrations among farmworkers and non-farmworkers by citizenship status. We combined this with toxicity assay data from the US Environmental Protection Agency’s (EPA’s) Toxicity Forecaster (ToxCast). We estimated adverse biological effects that occur across a range of human population-relevant pesticide doses.

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**Ethical Approval:** Analysis was conducted on publicly available datasets and so ethical approval was not required.

**Competing Interests:** The authors do not declare any financial conflicts of interest.

**Results**—In total, there were 844 people with any farmwork history and 23,592 non-farmworkers. Of 12 commonly detectable pesticide biomarkers in NHANES, 2,4-dichlorophenoxyacetic acid (OR= 3.76,  $p= 1.33 \times 10^{-6}$ ) was significantly higher in farmworkers than non-farmworkers. Farmworkers were 1.15 times more likely to have a bioactive pesticide biomarker measurement in comparison to non-farmworkers (adjusted OR=1.15, 95% CI: 0.87, 1.51). Non-U.S. citizens were 1.39 times more likely to have bioactive pesticide biomarker concentrations compared to people with U.S. citizenship (adjusted OR 1.39, 95% CI: 1.17, 1.64). Additionally, non-citizens were significantly more exposed to bioactive levels of  $\beta$ -hexachlorocyclohexane (BHC) (OR= 8.10,  $p= 1.33 \times 10^{-6}$ ), p,p-DDE (OR= 2.60,  $p= 0.02$ ), and p,p'-DDT (OR= 7.75,  $p= 0.01$ ).

**Significance**—These results highlight pesticide exposure disparities in farmworkers and those without U.S. citizenship. Many of these exposures are occurring at doses which are bioactive in toxicological assays.

### Keywords

toxicology; bioactivity; environmental health; human health; pesticides; occupational health; farmworkers

## 1.1 Introduction

Pesticide exposure has been linked to a myriad of human health outcomes such as obesity, immune alteration, cancer, neurological conditions, type II diabetes mellitus, and death (1–4). More specifically, many pesticides are strong endocrine disruptors because they mimic hormones like estrogens and androgens (4–6). Persistent pesticides last in the environment and human body for years or even decades and can bioaccumulate and bioconcentrate (7). Persistent pesticides include organochlorines like dichlorodiphenyltrichlorethane (DDT), Lindane, Chlordane, Dieldrin, Heptachlor and their metabolites. Non-persistent pesticides include organophosphates, carbamates, pyrethroids, chlorinated phenols, acyl alanine fungicides and more chemical groups, and were thought to be the less harmful answer to previously used persistent chemicals (e.g. organochlorines) (7). However, non-persistent chemicals still affect human health. While pesticides are associated with endocrine disruption, cancers, and motor neuron disorders, there is still a lack of human health data on the dose-response, toxicological mechanisms, or how population exposure concentrations relate to social determinants of health (8,9).

Social determinants of health like occupation or citizenship can alter both exposure and health outcomes related to chemicals like pesticides (10–12). Healthcare policy and services are limited to non-existent for immigrants and especially migrant workers residing in the United States (US) (13–17). For example, many policies that on the surface appear highly beneficial for the American people like the Affordable Care Act of 2010, actually exclude immigrants completely from accessing care (18). In addition, agreements like the North American Free Trade Agreement between the US, Canada, and Mexico limit migrant worker rights (19). Moreover, migrant worker health is often unprotected by the law and workplace discrimination leaves migrant workers very vulnerable (18,20–22). Prior research on migrant workers in the US Midwest found factors like economics, logistics, and health significantly

affected the mental health of migrant workers (23). Overall, a gap exists in the quantification of pesticide exposure among farmworkers, and specifically how these exposures may differ by worker category or US citizenship status.

A major challenge in the field of occupational and environmental health is understanding and predicting the health effects of exposure to chemicals like pesticides. There are currently 85,000 chemicals on the global market that Toxic Substances Control Act (TSCA) has listed in its inventory of substances, and there is little to no experimental toxicology or epidemiology data on many of them (24,25). In 2008, the US Environmental Protection Agency (EPA) collaborated with multiple other federal agencies including the Food and Drug Administration and the National Institute of Environmental Health Sciences to create the Toxicology in the 21<sup>st</sup> Century (Tox21) program (26). The goal of Tox21 is to develop high throughput testing methods to determine the safety of chemicals such as food additives and pesticides. Additionally, Tox21 quantifies the biological mechanisms that chemicals alter to prioritize the chemicals being tested and generate a wealth of data to predict toxicological responses in the human body (24,26). These data are a rich, but untapped, resource to characterize the dose-dependent effects of exposure to pesticides in the context of social determinants of health like occupation and citizenship. This data is then presented in the Toxicity Forecaster (ToxCast).

To address these gaps and understand how pesticide exposure and effects vary by occupation and citizenship, this study's goal is to determine if people residing in the US are exposed to bioactive concentrations of pesticides. This project has the following aims: 1) quantify and compare pesticide biomarkers among farmworkers and non-farmworkers, 2) quantify and compare pesticide biomarkers between citizen and non-citizen farmworkers, 3) compare exposure concentrations to known bioactive benchmark concentrations in the Tox21 high throughput toxicity data (ToxCast). We hypothesize that the social determinants of health, non-US citizenship and farmworker, will be associated with higher concentrations of pesticides biomarkers. Through this study, a deeper understanding of the variations in pesticide exposure and its association with occupational and citizenship factors can be gained.

## 1.2 Methods

Our overall study design involves comparing the distributions of chemical biomarker concentrations in The National Health and Nutrition Examination Survey (NHANES) with the distributions of doses for those chemicals which exhibit bioactivity in ToxCast. In addition, we quantify which cellular target families are most often affected by these pesticides and look to see how these target families differ by history of farmwork and U.S. citizenship status.

### 1.2.1 The National Health and Nutrition Examination Survey (NHANES)

NHANES is a cross-sectional study representative of the US population. NHANES is a cross-sectional assessment of the health and nutrition of adults and children residing within the US, with oversampling weights for minoritized populations (27,28). The current iteration of the continuous study began in 1999. Study participants are enrolled on a continuous

basis, with data analyzed and deposited in two-year windows. NHANES collects extensive information on the study participants such as self-reported occupation, urinary and serum biomarkers, and self-reported demographics such as age, gender, citizenship, and education.

### 1.2.2 Study Population

This study included NHANES study participants aged 18 years and older who also had occupation and pesticide exposure data present between 1999 and 2014. This study integrated 28 datasets from NHANES laboratory data to understand pesticide exposure, occupation, and demographics of the study population. From the Industry and Occupation Survey, individuals were coded as “farmworker” or “non-farmworker” using the Current Industry (OCD230=1, OCD231=1), Current Occupation (OCD240=18, OCD241=18), Longest Industry (OCD390=1, OCD391=1), and Longest Occupation (OCD392=18), where all participants who put “Agriculture, Forestry and Fishing” were coded as a farmworker. Starting in the 2015 NHANES cycle, occupation group codes were removed and replaced with work descriptions, breaking down to private industry, different levels of government, self-employed, or working without pay. As we had chosen subjects based on occupation group codes that included the terms agriculture/agricultural or farming for their current or longest job assignment, we decided to focus on 1999–2014 NHANES cycles that identified these groups.

From the demographics data, DMDEDUC2 (older than 18 years of age) and DMDEDUC3 (18 years of age and younger) were combined to create one education level based on the DMDEDUC2 categories. The US citizenship variable (DMDCITZN) is defined as 1= “Citizen by Birth or naturalization” and 2= “Not a citizen of the US”, and we removed anyone who responded with “Refused”, “Don’t Know”, or skipped the question.

### 1.2.3 Biomonitoring Samples

NHANES performs chemical biomonitoring in study participants urine and blood (28,29). Participants provided partial urine void in a sterile sampling cup at the mobile examination center. Blood samples are collected by certified laboratory professionals. Urine and blood samples are then analyzed for chemical metabolites using isotope dilution gas chromatography high-resolution mass spectrometry (GC/IDHRMS). Pesticide biomarkers measured in blood samples and reported as either 1) fresh weight basis (i.e., pg/g serum) or 2) lipid weight basis (i.e., ng/g lipid). The lipid adjusted values account for blood lipid concentrations and are of particular importance for the accurate quantification of lipophilic pesticides (30). All urinary biomarker measurements were adjusted for urinary creatinine, and all blood pesticide biomarker measurements were blood lipid adjusted.

### 1.2.4 Toxicity Forecaster Data

The US EPA’s Toxicity Forecaster (ToxCast) is a collection of publicly available high throughput toxicity data intended to make chemical assessment more accessible by allowing researchers to search which chemicals show toxicological effects more easily within human tissue (31). High throughput toxicity screening initiatives have been developed to quantify biological effects of chemicals, including pesticides, *in vitro*. Dose response curves are created for each chemical and assay, and from these curves the activation concentrations

and positive hitcalls (representative of an active assay, bioactive concentration) are defined. ACC (activity concentration at cutoff) is the concentration at which the model reaches the minimum user-defined value for the chemical to be considered active for a given assay (32). The ACC can be used as a proxy of potency to determine the genes, proteins, enzymes, effects on biological pathway and viabilities at which chemicals are active (33,34).

### 1.2.5 Pesticide Selection Process

The initial pesticides under consideration consisted of all chemicals (except PCBs, dioxins, furans) from NHANES datasets from the following data categories:

1. “Atrazine and Metabolites”,
2. “DEET and Metabolites”,
3. “Dioxins, Furans, & Coplanar PCBs” (dataset includes other organochlorides),
4. “Environmental Pesticides”,
5. “Non-persistent Pesticide Metabolites”,
6. “Pesticides - Carbamates & Organophosphorus Metabolites”,
7. “Pesticides - Organochlorine Metabolites”, and
8. “Pyrethroids, Herbicides, & Organophosphorus Metabolites”.

This set of chemicals included 69 different biomarkers present in NHANES.

Detectability percentages of chemicals of interest were calculated by dividing the total number of measurements above LOD (limit of detection) by the total number of the chemical’s measurements in NHANES. To ensure that we included chemicals with values above the limit of detection in most of the study participants, detection frequency percentages of 50% and higher across the population were maintained which resulted in 14 possible chemicals of interest (35). All chemicals in NHANES were present in ToxCast. However, oxychlordan and trans-nonachlor were not maintained in the study because there were no active assays in ToxCast, so these chemicals were removed from consideration, resulting in 12 chemicals for analysis. These chemicals include the following: 2,4-Dichlorophenol (24DCP), 2,4-Dichlorophenoxyacetic acid (24D acid), 2,5-Dichlorophenol (25DCP), 3,5,6-Trichloropyridinol (TCP), 4-Nitrophenol,  $\beta$ -hexachlorocyclohexane ( $\beta$ -HCH), diethyltoluamide acid (DEET acid), Dieldrin, Heptachlor Epoxide, 3-phenoxybenzoic acid (3-PBA), p,p’-DDE, and p,p’-DDT.

### 1.2.6 NHANES and ToxCast Data and Variables

All data management and analysis were completed in R version 4.1.3. All code for our work can be found on our GitHub repository (36). Graphics were created using the *ggplot2* R package (v3.4.1) (37). All NHANES data was downloaded using the RNHANES package (1.1.0) in R (38). Toxcast data was obtained from the InVitroDB V3.2 database, Level 5, and was downloaded from the EPA’s website (39). Using the corresponding Chemical Abstracts Service Registry Numbers (CASRNs) obtained from PubChem, ACC values from ToxCast were matched to chemical measurements from NHANES survey subjects.

The main outcomes of this project include 1) quantifying the distribution of the pesticide concentrations across NHANES and ToxCast, 2) quantifying the demographics of people with and without bioactive measurements, and 3) investigating how bioactivity differs by chemical, farmwork history, and US citizenship status. These outcomes inform the overarching project question of whether people residing in the US are exposed to bioactive levels of pesticides, how these bioactive pesticides affect the body, and whether the rates of exposure to bioactive pesticide concentrations vary based on sociodemographic factors.

Assay data for chemicals of interest from NHANES were extracted from the ToxCast database. We retrieved the hitcall, the activity concentration at cutoff (or ACC), and the intended target family of each ToxCast assay based on the 12 pesticides from NHANES. Using the hitcall variable, we labeled assays as positive (hitcall==1) or negative (hitcall==0) to mean that an assay did or did not show bioactivity by the pesticide. We created a bioactivity ratio per chemical by dividing the number of positive assays by total number of assays.

Any NHANES subject who had at least one chemical measurement equal to or above the minimum ToxCast ACC for that chemical as being “bioactive”. Anyone who did not fit this group was defined as “non-bioactive.” Non-citizen status was determined by the NHANES variable DMDCITZN. We calculated bioactivity by the chemical and marked measurements as bioactive based on their hitcall equaling 1. Education status was constructed NHANES variables DMDEDUC2 and DMDEDUC3 to include four categories: Less than 9th grade, 9–11th grade (Includes 12th grade with no diploma), High school grad/GED or equivalent, and More than high school. Farmworker status was constructed using NHANES industry or occupation group codes for current job (OCD230, OCD231) or longest job (OCD390, OCD391, OCD392) that included the terms agriculture/agricultural or farming.

For lipid adjusted blood measurements, molarity was calculated by multiplying the measurement by serum density of 1.024 g/mL and dividing by molecular weight (40). Urinary measurements were calculated by dividing the measurement by molecular weight. All measurements of molarity have units of  $\mu\text{mol/L}$ .

### 1.2.7 Statistical Analysis

Data from the 1999–2002, 2003–2004, 2005–2006, 2007–2008, 2009–2010, 2011–2012 and 2013–2014 data collection cycles were appended, and the sampling weights modified as directed in NHANES documentation. Statistical analysis was done with the R *survey* package (v4.1–1) to handle complex survey designs present in NHANES. The function *survey::svydesign* was used to handle sampling weights, with primary sampling units nested within each stratum. Removal of observations with missing data was done for all analyses using the *subset* function within *survey::svydesign*.

Differences in demographic factors by group or citizenship were tested using a Pearson’s chi-square test, using a Rao and Scott Adjustment where necessary for categorical variables. Low response was defined as 8 or less respondents within one stratum. For continuous variables, a Wilcoxon Rank test was used to test group means, with a Kruskal-Wallis Correction. All demographic significance testing was completed using the NHANES Full



Sample 2 and 4 Year MEC Exam Weights. A new weight variable titled “MEC16YR” was created using the weighted MEC 2- and 4-year measurements to represent the weights used from 1999–2002 and each year after, respectively.

Both unadjusted and adjusted logistic regression was conducted on individual chemicals in relation to farmworker and non-citizen status using the *survey::svyglm* function using a quasi-binomial model with a logit link. The outcome variable for chemicals was constructed as an indicator variable, with a 1 indicating the measurement was considered chemically bioactive. Adjusted logistic regression included variables for age at screening, race-ethnicity, BMI, education, and survey year for all chemicals, and the additional inclusion of creatine molarity for urinary measurements (Supplementary Tables 2–3). Adjusted linear regression models were also constructed, using chemical molarity instead of a bioactive indicator variable (Supplementary Table 4). P-values for all tested chemicals were FDR (false discovery rate) adjusted and ROC AUCs (area under the curve) to determine the discrimination performance measurement for classification (Supplementary Tables 2–3) were calculated using the *WeightedROCR* package (v2020.1.31) (41). Estimated probabilities of bioactivity were calculated from the adjusted logistic regression models, stratified by farmworker and citizen status to further explore these subgroups (Supplementary Figures 1–7).

Non-parametric Wilcoxon Mann Whitney U tests were conducted to test differences in individual chemical concentrations in relation to farmworker or non-citizen status using the *survey::svyranktest* function (Supplementary Table 5). The outcome variable for chemicals was calculated as the log molarity for blood measurements and the log of the ratio of the chemical molarity to creatine molarity for urinary measurements. P-values for all tested chemicals were FDR adjusted and AUCs for model performance measurement were calculated using the U statistic (42).

### 1.3 Results

We first assessed demographic features of the study participants based on whether the participant had a history of farmwork or not (Tables 1 and 2). In total, there were 844 people who reported any farmwork history, and 23,592 who were categorized as non-farmworkers (Table 1, Supplementary Figure 8). The farmworker group was mostly men (554, 65.6%), Non-Hispanic White (414, 49.1%), U.S. Citizens (627, 74.3%) and 19.2% reported high school graduate or a GED (162). The non-farmworker group had similar mean BMI and age. The non-farmworker group is predominantly women (12,034, 51.0%), Non-Hispanic White (10,871, 53.8%), had U.S. Citizenship (20,596, 87.3%), and 28.8% reported high school graduate or a GED (6,798).

To better understand how each of the chemicals relate to each other, Table 3 outlines the pesticides by persistence and frequencies of activity of ToxCast assays. In total, there are 12 pesticides that are detectable in NHANES study participants and also assayed in ToxCast. Overall, there were 5 persistent organic pesticides and 7 non-persistent pesticides included in this study. The top three most bioactive pesticides in ToxCast were p,p'-DDT had the highest percentage of assays which were “active” (36.18%), followed by heptachlor epoxide

(35.47%) and p,p'-DDE (32.72%). The bioactivity threshold is the lowest ACC of the active assays for a given chemical. These values ranged from 6.5nM (2,4-Dichlorophenoxyacetic acid) to 1.35µM (3,5,6-Trichloropyridinol).

Next, we wanted to compare the concentrations of chemicals required to activate the ToxCast assays to the biomarker concentrations measured in people in NHANES. Figure 1 presents the distribution of pesticide concentrations among people residing in the United States in white (retrieved from NHANES), and in gray, the ACCs of active assays retrieved from ToxCast. In this figure, where the pesticide distributions of exposure and bioactivity overlap represents pesticide exposures among the US population that are “bioactive”. Additionally, 4-nitrophenol is the only pesticide biomarker in NHANES that does not have human measurements that overlap with the bioactive distribution in NHANES.

We present the Mann-Whitney-U Rank Test outcomes by chemical in Supplementary Table 5 to test for differences in biomarker concentration by farmworker status or US citizenship. When quantifying the odds of having a bioactive measurement (unadjusted outcomes in Supplemental Table 2, fully adjusted outcomes presented in Figure 2 and Supplementary Tables 3 and 4), we found farmworkers were 3.8 times more likely to have a bioactive measurement in comparison to non-farmworkers for 2,4-D ( $p=1.3\times 10^{-6}$ ) and 3.55 times more for DEET acid ( $p=0.02$ ), while farmworkers were significantly less likely to have a bioactive measurement of 4-Nitrophenol ( $p=0.01$ ). Next, we found subjects living without U.S. citizenships were significantly more likely to be exposed to a bioactive measurement of BHC (OR=8.1,  $p\text{-value}=1.3\times 10^{-6}$ ,  $U=11.94$ ), p,p'-DDE (OR=2.6,  $p\text{-value}=0.02$ ,  $U=8.72$ ), p,p'-DDT (OR=7.8,  $p\text{-value}=0.01$ ,  $U=6.29$ ).

When trying to understand what intended target families are most affected by these chemicals, Supplementary Table 6 provides the frequency of intended target families by the pesticide. Based on individual intended assay target count, cell cycle ( $N=487$ ), nuclear receptor ( $N=318$ ), cytokine ( $N=143$ ), DNA binding ( $N=172$ ), and cell adhesion molecules ( $N=65$ ) were the most frequent targets of the pesticides. Overall, p,p'-DDE ( $N=305$ ) had the most intended target family counts based on positive assays, followed by p,p'-DDT ( $N=278$ ), and heptachlor epoxide ( $N=259$ ). Heptachlor epoxide had the highest number of positive assays targeting the cell cycle ( $N=123$ ) and p,p'-DDT had the second most ( $N=120$ ). Additionally, for p,p'-DDE had mostly nuclear receptor targeting positive assays ( $N=102$ ), followed by the cell cycle ( $N=74$ ) and DNA binding ( $N=64$ ).

Despite the limitation of a small sample size of data, which restricts the power to examine non/US-citizen subgroups within farmers, efforts were made to gather valuable insights for future studies. An exploratory analysis was conducted, whereby estimated probabilities of bioactive chemical concentrations were calculated using significant adjusted logistic regression models (Supplemental Figures 1–7). Results were stratified based on the significant adjustment factors of race/ethnicity and education. In general, non-citizens (farmworker and/or non-farmworker) had the highest probability of testing for a bioactive concentration for the chemicals of interest, except for DEET acid, which tested highest in US-citizen farmworkers (Supplemental Figure 5).



### 1.3.1 Discussion

When looking at individuals who have pesticide biomarker concentrations at these bioactive levels, demographics statistically differed based on bioactivity, farmwork history, and citizenship status. We found NHANES participants are broadly exposed to bioactive concentrations of pesticides. Heptachlor epoxide, p,p'-DDT, and p,p'-DDE were the most bioactive pesticides in ToxCast based on overall percent of positive assays. Disproportionate exposures to bioactive concentrations of pesticides were particularly evident in farmworkers without U.S. citizenship, particularly for persistent pesticides.

The exploratory analysis of farmers' subgroup revealed intriguing findings that warrant further investigation. Supplemental Figure 2 indicates an increase in p,p'-DDE levels with age and an increase among non-citizens, with no significant influence from farmworker status or other variables. The elevated probability of having a bioactive concentration of p,p'-DDE in Mexican Americans implies that both US and non-US citizens of Mexican heritage may have encountered DDT exposure in Central America at a later stage compared to their US-born counterparts. Supporting these findings, Supplemental Figure 3 demonstrated higher exposure levels to DDT among non-citizens of Mexican and Non-Hispanic Black origin compared to non-Hispanic White individuals. This aligns with expectations, considering the delayed discontinuation of DDT use in Central America and certain regions of the African diaspora, particularly in areas with a high malaria risk (43,44). These disparities emphasize the potential influence of geographic and cultural factors on DDT exposure within the farming community.

Farmworker status was also found to be associated with an increase in DEET acid, a metabolite of DEET, with this relationship being even less dependent on non-citizenship status (Supplementary Figure 5). DEET remains a commonly used insect repellent, both for recreational and commercial purposes (45).

Pesticide exposures have been associated with increased mortality due to cancer, diabetes mellitus, poisonings, and tuberculosis and other lung infection (46,47). Pesticide exposure throughout the life course has been associated with breast cancer and dysregulated mammary gland development. For example, mothers with the highest p,p-DDT concentrations were 3.7 times more likely to have daughters who developed cancer by the age of 52 in comparison to mothers with the lowest p,p-DDT blood concentrations (48). Women who are farmworkers and not US citizens could be at increased risk of exposure-associated diseases like breast cancer – these findings warrant further investigation in this area.

Citizenship status is also a known barrier to health insurance and treatment (49,50), potentially compounding adverse effects of exposure to toxic chemicals like pesticides. In a study of 2,702 participants living with diabetes, non-citizens had a greater risk for poor glycemic management (OR=5.16, 95% CI: 3.73, 6.04) in comparison to citizens by birth (50). Citizens by naturalization were also at an increased risk of poor glycemic management (OR=1.95, 95% CI: 1.49,2.55) (50). Additionally, this study found that individuals with diabetes and without health insurance were almost twice as likely to have poor glycemic management compared to insured people (OR=1.99, 95% CI: 1.53–2.59). Similar outcomes

have also been noted in cardiovascular disease. Using NHANES, researchers retrieved data from 2011 to 2016 to investigate prevalence, treatment, and control of hypercholesterolemia, included 11,680 US-born citizens, 2,752 foreign born citizens, and 2,554 non-citizens (49). In that study, over half of non-citizens did not have health insurance (52.2); which was significantly more than US-born citizens (13.6%,  $p < 0.001$ ) (49).

Non-citizens also had significantly higher prevalence of diabetes (15.7% vs. 12.8%,  $p < 0.001$ ) (49). Treatment percentages were also significantly lower among non-citizens than US-born citizens with hypercholesterolemia (16.4% vs 45.5%), hypertension (60.3% vs. 81.1%), and diabetes (51.2% vs. 69.5%) ( $p < 0.001$ ) (49). Among noncitizens, those without a usual source of health care or health insurance had lower treatment percentages for hypercholesterolemia (2.7% and 8.1%), hypertension (22.2% and 39.1%), and diabetes (15.5% and 28.6%) (49). It is very important to understand that overall, environmental risk factors of the many pesticides on the global market are still poorly characterized across the literature.

### 1.3.2 Limitations and Strengths

Our research shows that NHANES respondents are exposed to multiple pesticides and pesticide types. Quantifying chemical mixtures across a population is complex and methodology for understanding these mixtures is still an emerging area of research. However, there is still plenty of research to be done in understanding chemical mixtures. Much of the research on chemical health outcomes focuses on one chemical at a time, including our study, but people are often exposed to more than one chemical, chemicals can interact with each other to create new chemicals and once chemicals are in the environment, they can also react with the ambient air or be degraded by the sun's rays. All these changes to chemicals in relation to mixtures and being in the environment create nuanced exposures and further research is needed to understand how these mixtures may uniquely affect the human body.

Some pesticides which did not meet our inclusion criteria could have different exposure based on farmwork occupational status. Desethyl hydroxy DEET (17.37% vs. 11.30%,  $p = 0.015$ ) and DEET (9.17% vs 6.25%,  $p = 0.036$ ) were significantly different between farmworkers and non-farmworkers, respectively. However, all of these chemicals had detectability percentages below the cutoff for inclusion in our study. It is possible that by restricting the chemicals included we are missing some important differences in pesticide exposure between farmworkers and non-farmworkers. Studying exposures and effects of these less commonly detected pesticides could be an important area of investigation.

One of the major limitations of this project is that while NHANES is thorough, reliable, and valid study, it is still cross-sectional. This means the measurements within it are a single measurement in time and cannot be fully representative of chronic exposures or chronic symptomology due to exposures. Another limitation includes most farmworkers being recruited between 1999 and 2004 ( $N = 1,775$ , 69.6%), which is of importance since the recruitment and laboratory methods have been updated since 2003. Newer methods for quantifying chemicals from blood and urine samples are more sensitive and can detect lower quantities of chemicals. Additionally, farmworkers living without citizenship had

significantly lower BMI as well, which may impact metabolism and accumulation of chemicals in the body.

An additional limitation of this study is that not every chemical is measured in every participant, and that not every assay is completed in each chemical. This limitation makes direct comparisons impossible and therefore our results are somewhat limited to group means. There are some known limitations to the ToxCast dataset such as interference of cytotoxicity. Non-specific cell stress can interfere with the frequency reading since the cell is overworking to re-gain homeostasis after chemical exposure. ToxCast assays are often assessing effects in a single tissue cell type, which may not accurately reflect chemical sensitivity across organ systems or within particularly susceptible individuals. Moreover, while ToxCast maintains a robust suite of assays measuring effects across a broad spectrum of potential toxic outcomes, not every chemical is tested for every assay and not all potential biological outcomes following chemical exposure are captured.

Other limitations inherent to interpreting bioactivity also exist. For starters, urine and serum concentrations reflect excreted or circulating concentrations, respectively, but may not be representative of concentrations in target organs like fat, liver, kidneys, or brain. This is important because many chemicals target specific organs (e.g., organochlorines targeting the central nervous system) or bioaccumulate in specific tissue types like lipids. There are also challenges to being able to relate metabolites to their parent compounds since some chemicals can have more than one parent compound (e.g. the pyrethroid metabolite 3-PBA). This can make ascertaining what active ingredient is bioactive in the human body difficult, and even if considering a limited number of chemicals, there is no way to calculate a direct contribution of each parent compound to a non-specific metabolite.

A strength of our study is that it is the first to provide a comprehensive quantification of all the pesticide exposure concentrations within the US population using NHANES from 1999 to 2014 and to then stratify these concentrations by social determinants of health with a focus on farmwork, fishing, and forestry work history and U.S. citizenship. By considering all the pesticides within NHANES and narrowing down to those with at least 50% detectability, we find that even within NHANES a small portion (15%) of these chemicals are detected in a majority of NHANES participants. ToxCast & NHANES are both validated, reliable study datasets created by the US government to assess chemical bioactivity and examine the health of people residing in the US. By integrating these two datasets, the results are more generalizable to the U.S. population. Additionally, this study is one of few to consider health disparities associated with occupation or citizenship and how they may affect pesticide exposure and potential resultant health effects. This project can inform evidence-based guidelines and policies that are focused on reducing pesticide exposure concentrations among people residing within the United States.

### 1.3.3 Future Directions

While NHANES quantifies many chemical biomarker concentrations for each study participant, these measures do not fully capture how many chemicals each person may be exposed to since every chemical is not tested for in every person. Moreover, toxicological research should continue to focus on novel methods for assessing toxicity

of chemical mixtures and interactions to better understand population pesticide exposure and bioactivity of combined pesticide exposures in at-risk individuals. Currently, research looks at predominantly the active ingredients of pesticides, but inactive ingredients used to create pesticides may also influence human health, this is currently being missed in many toxicological studies. Future research can also include temporal data on pesticide exposure. Both NHANES and ToxCast include singular exposure time points in humans and *in vitro*, respectively. However, for many farmworkers, pesticide exposure is chronic and happens over multiple exposure incidents.

Expanding this research to disease biomarkers, symptoms, and diagnoses will also be an important future direction. This way we can better connect target families of ToxCast assays to health outcomes and then stratify findings by occupation and social determinants of health like income, gender, citizenship, and country of birth. In this same vein of understanding social determinant effects on health, more research on how these biomarker concentration distributions differ based on residing or working in a low versus high income country will be important because laws within a nation can alter the health and exposure for many.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Data Availability Statement

The NHANES and ToxCast datasets analysed during the current study are available from the CDC, <https://www.cdc.gov/nchs/nhanes/>, and the EPA, <https://www.epa.gov/chemical-research/exploring-toxcast-data>.

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### Impact Statement

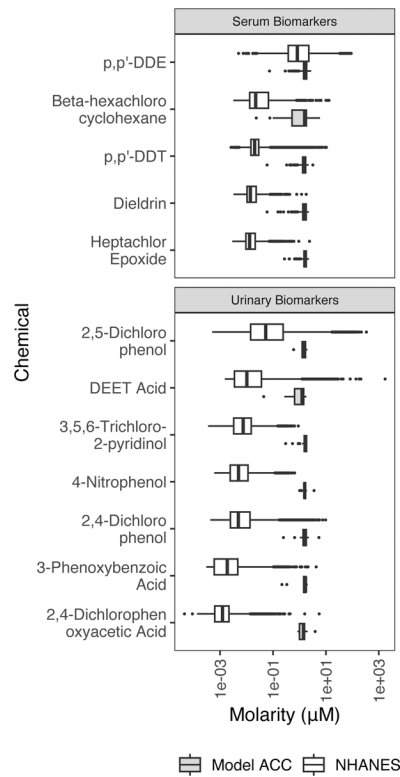
Farmworkers are a vulnerable population due to social determinants of health and occupational exposures. Here, we integrate US population chemical biomonitoring data and toxicity outcome data to assess pesticide exposure by farmwork history and citizenship. We find that farmworkers and those without US citizenship are significantly more likely to be exposed to concentrations of pesticides which are bioactive in toxicological assays. Thus, farmworkers employed in the US but who are not citizens could be at increased risk of harm to their health due to pesticides. These findings are important to shape evidence-based policies in regulatory science to promote worker safety.

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**Figure 1.**

Comparison of chemical biomarker concentrations, converted to molarity units, in NHANES participants and concentrations for bioactivity *in vitro* from ToxCast. There are a portion of NHANES subjects who have serum and/or urinary chemical measurements that meet or exceed bioactivity thresholds. Chemical molarity measurements taken from NHANES subjects are shown as the top boxplot for each chemical, shaded in white. Model ACCs (activity concentration at cutoff) from ToxCast are the minimum concentration for a specific assay where a chemical is considered active (bottom boxplot for each chemical, shown in gray).



**Table 1.**

Stratified demographics of NHANES participants, by farmwork category.

Variable	Non-farmworker (N=23,592)			Farmworker (N=844)			p-value	
	Unweighted		Weighted	Unweighted		Weighted		
	Mean	Std Dev	Mean, Std Dev	Mean	Std Dev	Mean, Std Dev		
Body Mass Index	28.61	6.73	28.46, 6.62	28.53	5.87	28.64, 6.26	0.2864	
Age in Years	47.03	18.95	45.67, 17.13	52.61	19.74	49.19, 18.06	0.0001755	
	N	%	%	N	%	%		
Survey Year	1999–2000	1,423	7.4	6.2	78	9.2	9.0	7.30E-08
	2001–2002	1,583	8.3	6.7	109	12.9	11.8	
	2003–2004	3,075	16.0	13.4	178	21.1	26.5	
	2005–2006	249	1.3	14.5	78	9.2	7.4	
	2007–2008	3,641	19.0	14.7	88	10.4	7.8	
	2009–2010	3,781	19.7	14.5	148	17.5	15.8	
	2011–2012	3,239	16.9	14.5	89	10.5	13.3	
	2013–2014	3,601	18.8	15.5	76	9.0	8.4	
Gender	Men	11,558	49.0	48.5	554	65.6	61.9	5.24E-06
	Women	12,034	51.0	51.5	290	34.4	38.1	
Racial Ethnicity	Mexican American	3,927	19.4	7.4	277	32.8	16.6	< 2.2E-16
	Other Hispanic	1,754	8.7	4.9	26	3.1	2.3	
	Non-Hispanic White	10,871	53.8	69.6	414	49.1	70.6	
	Non-Hispanic Black	1,835	9.1	11.5	87	10.3	6.1	
	Other Race	1,835	9.1	6.6	40	4.7	4.3	
U.S. Citizenship	Non-Citizen	2,996	12.7	8.2	217	25.7	15.7	1.12E-09
	Citizen	20,596	87.3	91.8	627	74.3	84.3	
Education Level	Less than 9th grade	2,282	9.7	5.1	266	31.5	17.4	< 2.2E-16
	9–11th grade	3,903	16.5	12.3	126	14.9	12.7	
	Highschool	5,715	24.2	23.9	167	19.8	22.5	
	Graduate/GED	6,798	28.8	31.3	162	19.2	26.4	
	Some College or AA	4,894	20.7	27.4	123	14.6	20.9	

P-values are derived from a chi-square test, using a Yate's Correction where necessary, and for continuous variables, a Wilcoxon Rank Test was used with a Kruskal-Wallis Correction (as needed). Percentages are out of the total number of respondents for that specific question. In this table, other race includes multi-racial. In this study, 9–11 grad includes 12th grade completion without a high school diploma.

**Table 2.**

Stratified demographics of NHANES participants with a history of farmwork, by citizenship.

Variable	Citizen (N=627)			Non-citizen (N=217)			p-value	
	Unweighted		Weighted	Unweighted		Weighted		
	Mean	Std Dev	Mean, Std Dev	Mean	Std Dev	Mean, Std Dev		
Body Mass Index	28.76	6.22	28.76, 6.52	27.89	4.7	27.98, 4.58	0.4221	
Age in years	55.45	19.75	50.59, 18.23	44.41	17.34	41.67, 15.06	8.89E-08	
	N	%	%	N	%	%		
Survey Year	1999–2000	62	9.9	9.4	16	7.4	7.0	0.04774
	2001–2002	83	13.2	12.3	26	12.0	8.9	
	2003–2004	156	24.9	29.1	22	10.1	12.3	
	2005–2006	41	6.5	6.2	37	17.1	14.1	
	2007–2008	68	10.8	7.7	20	9.2	8.3	
	2009–2010	101	16.1	14.8	47	21.7	21.3	
	2011–2012	64	10.2	13.0	25	11.5	14.7	
	2013–2014	52	8.3	7.4	24	11.1	13.5	
Gender	Men	397	63.3	59.8	157	72.4	73.1	0.01068
	Women	230	36.7	40.2	60	27.6	26.9	
Racial Ethnicity	Mexican American	98	15.6	5.9	179	82.5	74.1	< 2.2E-16
	Other Hispanic	18	2.9	1.9	8	3.7	4.8	
	Non-Hispanic White	406	64.8	81.9	8	3.7	9.8	
	Non-Hispanic Black	81	12.9	6.8	6	2.8	2.7	
	Other Race	24	3.8	3.5	16	7.4	8.6	
Education Level	Less than 9th grade	122	19.5	9.9	144	66.4	57.9	< 2.2E-16
	9–11th grade	92	14.7	12.4	34	15.7	14.8	
	Highschool	142	22.6	23.3	25	11.5	18.3	
	Graduate/GED	155	24.7	30.8	7	3.2	2.9	
	Some College or AA	116	18.5	23.6	7	3.2	6.2	

P-values are derived from a chi-square test, using a Yate's Correction where necessary, and a Wilcoxon Rank Test was completed with a Kruskal-Wallis Correction. Percentages are out of the total number of respondents for that specific question. In this table, other race includes multi-racial. In this study, 9–11 grad includes 12th grade completion without a high school diploma.



**Table 3.**

Bioactivity of pesticides cross-listed between NHANES and ToxCast, by pesticide and persistence.

Common Name	CAS-RN	Total Assays	Positive Assays	Bio-active Assay Percentage	Bioactivity Threshold (μM)
2,4-Dichlorophenol	120-83-2	701	28	3.99	0.34
2,4-Dichlorophenoxyacetic acid	94-75-7	836	23	2.75	0.0065
2,5-Dichlorophenol	583-78-8	626	13	2.08	0.33
3,5,6-Trichloropyridinol	6515-38-4	573	30	5.24	1.35
3-Phenoxybenzoic acid	3739-38-6	762	13	1.71	0.399
4-Nitrophenol	100-02-7	705	48	6.81	0.0086
DEET acid	134-62-3	797	17	2.13	1.05
p,p'-DDT <sup>a</sup>	50-29-3	691	250	36.18	0.43
Dieldrin <sup>a</sup>	60-57-1	700	150	21.43	0.32
Heptachlor epoxide <sup>a</sup>	76-44-8	671	238	35.47	1.31
β-hexachlorocyclohexane <sup>a</sup>	319-85-7	675	28	4.15	0.15
p,p'-DDE <sup>a</sup>	72-55-9	703	230	32.72	0.31

A positive assay is defined as hitcall==1. The bioactivity assay percentage is created by dividing the total number of positive assays by the total number of assays and multiplying by 100%. Bioactivity ratio per chemical was calculated by dividing the count of positive assays by the total number of assays within the US Environmental Protection Agency's Toxicity Forecaster database.

<sup>a</sup>Persistent Organic Pollutant.

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