- Title: Neu5Gc binding loss of subtype H7 influenza A virus facilitates adaptation to gallinaceous poultry
 following transmission from waterbirds but restricts spillback
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- 4 Short title: Neu5Gc expression in avian species limits H7 IAV transmission
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- 27 Words of Abstract: 293 words
- 28 Words of Author Summary: 177 words
- 29 Words of Main text: 6,792 words
- **30** Number of Figures: 5
- 31 Number of Table: 2
- 32 Number of Supplementary Information Tables: 6
- **33** Number of Supplementary Information Figures: 3

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36 Abstract

Migratory waterfowl, gulls, and shorebirds serve as natural reservoirs for influenza A viruses, with potential 37 38 spillovers to domestic poultry and humans. The intricacies of interspecies adaptation among avian species, 39 particularly from wild birds to domestic poultry, are not fully elucidated. In this study, we investigated the 40 molecular mechanisms underlying avian species barriers in H7 transmission, particularly the factors 41 responsible for the disproportionate distribution of poultry infected with A/Anhui/1/2013 (AH/13)-lineage 42 H7N9 viruses. We hypothesized that the differential expression of N-glycolylneuraminic acid (Neu5Gc) 43 among avian species exerts selective pressure on H7 viruses, shaping their evolution and enabling them to 44 replicate and transmit efficiently among gallinaceous poultry, particularly chickens. Our glycan microarray 45 and biolayer interferometry experiments showed that AH/13-lineage H7N9 viruses exclusively bind to 46 Neu5Ac, in contrast to wild waterbird H7 viruses that bind both Neu5Ac and Neu5Gc. Significantly, 47 reverting the V179 amino acid in AH/13-lineage back to the I179, predominantly found in wild waterbirds, 48 expanded the binding affinity of AH/13-lineage H7 viruses from exclusively Neu5Ac to both Neu5Ac and 49 Neu5Gc. When cultivating H7 viruses in cell lines with varied Neu5Gc levels, we observed that Neu5Gc 50 expression impairs the replication of Neu5Ac-specific H7 viruses and facilitates adaptive mutations. 51 Conversely, Neu5Gc deficiency triggers adaptive changes in H7 viruses capable of binding to both Neu5Ac 52 and Neu5Gc. Additionally, we assessed Neu5Gc expression in the respiratory and gastrointestinal tissues 53 of seven avian species, including chickens, Canada geese, and various dabbling ducks. Neu5Gc was absent 54 in chicken and Canada goose, but its expression varied in the duck species. In summary, our findings reveal 55 the crucial role of Neu5Gc in shaping the host range and interspecies transmission of H7 viruses. This 56 understanding of virus-host interactions is crucial for developing strategies to manage and prevent influenza 57 virus outbreaks in diverse avian populations.

59 Author Summary

60 Migratory waterfowl, gulls, and shorebirds are natural reservoirs for influenza A viruses that can 61 occasionally spill over to domestic poultry, and ultimately humans. The molecular mechanisms underlying 62 interspecies transmission and adaptation, particularly between wild birds and domestic poultry, remain 63 poorly understood. This study showed wild-type H7 influenza A viruses from waterbirds initially bind to 64 glycan receptors terminated with N-Acetylneuraminic acid (Neu5Ac) or N-Glycolylneuraminic acid 65 (Neu5Gc). However, after enzotic transmission in chickens, the viruses exclusively bind to Neu5Ac. The 66 absence of Neu5Gc expression in gallinaceous poultry, particularly chickens, exerts selective pressure. 67 shaping influenza virus populations, and promoting the acquisition of adaptive amino acid substitutions in 68 the hemagglutinin protein of H7 influenza A viruses. This results in the loss of Neu5Gc binding and an 69 increase in virus transmissibility in gallinaceous poultry, particularly chickens. Consequently, the 70 transmission capability of these poultry-adapted H7 viruses in wild water birds decreases. Timely 71 intervention, such as stamping out, may help reduce virus adaptation to domestic chicken populations and 72 lower the risk of enzootic outbreaks, including those caused by influenza A viruses exhibiting high 73 pathogenicity.

75 Introduction

76 At least 105 wild bird species from 26 different families have been found to harbor influenza A viruses 77 (IAVs), with waterfowl, gulls, and shorebirds being considered the primary natural reservoirs, particularly 78 Anseriformes (ducks, geese, and swans) and Charadriiformes (gulls, terns, and waders) (1). This wide range of wild waterbirds maintains a large genetic pool of IAVs, with a total of 16 HA and 9 NA antigenic 79 80 subtypes. Sporadic spillovers of avian-origin IAVs into domestic poultry are not uncommon, especially in 81 areas with potentially inadequate biosecurity measures. Similar spillovers have also been reported in 82 mammals (i.e., pigs, horses, and dogs) where onward transmission occurred among these new hosts (e.g., 83 avian-like H1N1 in pigs, avian-origin H7N7 in horses, avian-origin H3N8 in horses and dogs, avian-like 84 H3N2 in dogs). There is also potential for these viruses to spill over to humans and other non-reservoir 85 hosts, which creates public and veterinary health burdens.

86

87 IAVs typically replicate poorly in a new host following spillover and require adaptation to overcome 88 barriers for efficient replication and transmission. Various host factors have been associated with host 89 adaptation of IAVs, limiting virus reservoir host range. For example, the α 2-6 linkage of sialic acids and 90 their tissue distribution limits the ability of avian IAVs to infect humans, while α -importins, DDX17 and 91 ANP32A, or ANP32B are involved in polymerase activities that differ among IAVs isolated from avian 92 and mammalian species (2). However, most reports have focused on virus adaptation from avian to 93 mammalian species, or between mammalian species; however, the molecular mechanisms of interspecies 94 adaptation among avian species are still not fully understood.

95

Among all HA subtypes of IAVs, H7 is commonly isolated from wild aquatic birds including dabbling
ducks, diving ducks, geese, swans, and shorebirds (1). After being introduced into domestic poultry, H7
viruses can establish and lead to recurrent outbreaks where infected poultry are not depopulated promptly.
The recent epizootic in China, caused by A/Anhui/1/2013-lineage H7N9 viruses (AH/13-lineage), led to at
least five waves of outbreaks in humans between 2013 and 2018, resulting in 1,567 confirmed human cases,

101 of which 615 were fatal (3), with an increase in cases from late 2016 to early 2017 (4). Notably, this virus 102 was primarily detected in chickens, with less frequent detections in domestic duck species or other 103 gallinaceous poultry such as quail (5-8). A laboratory experiment confirmed that AH/13-lineage H7N9 104 virus can efficiently spread through direct contact among chickens but not among Pekin ducks (7). These 105 reports suggest that AH/13-lineage H7N9 viruses have undergone adaptation and acquired effective 106 transmission ability in certain poultry species, particularly chickens, after being introduced from wild birds.

107

108 In this study, we investigated the molecular mechanisms underlying avian species barriers in H7 109 transmission, particularly the factors responsible for the disproportionate distribution of chickens infected 110 with AH/13-lineage H7N9 viruses. We hypothesized that the differential expression of N-111 glycolylneuraminic acid (Neu5Gc) among avian species exerts selective pressure on H7 IAVs, shaping their 112 evolution and enabling them to replicate and transmit efficiently among gallinaceous poultry, particularly 113 chickens. We compared the glycan binding profiles of H7 IAVs, evaluated the impact of Neu5Gc 114 expression on virus replication and evolution, and identified adaptive mutations affecting receptor binding 115 specificity and replication ability.

116

117 Results

118 The AH/13-lineage H7N9 virus affected chickens more than other domestic avian species

To investigate the distribution of H7 IAVs in avian populations, we downloaded all available H7 strains (n
= 2,651) from public databases. We sorted them by continent and functional species categories as follows:
a) gallinaceous poultry such as chicken, turkey, quail, guinea fowl, and fowl; b) waterfowl such as ducks,
geese, and swans, and c) all other avian species such as ostrich, ibis, parrot, and unspecified species
(Supplementary Information [SI] Table S1).

124

Phylogenetic analyses showed that the overall H7 viruses were grouped into Eurasian and North American
lineages (SI Fig. S1a). The viruses causing epizootics in domestic poultry, such as H7N1 in Italy (1999-

2000) (9), H7N7 in the Netherlands (2003) (10), H7N3 in Mexico (2012-2013) (11), and AH/13-lineage
H7N9 in China (2013-2017) (3), formed unique sub-lineages that were scattered across the phylogenetic
tree. In contrast, viruses that caused sporadic spillovers into domestic poultry but were promptly stamped
out were represented by individual branch tips mixed with clades of viral sequences recovered from various
wild bird species. The majority of data across different species categories originated from Asia and North
America (SI Fig. S1b).

133

Of the 687 AH/13-lineage H7N9 viruses from all five waves of poultry outbreaks, 613 (89.23%) were found in chickens, while only 61 (8.88%) were reported from ducks with the majority not specifying the duck species. This is consistent with surveillance data showing that over 90% of AH/13-lineage positive samples were from chickens (12, 13). In contrast, for non-AH/13-lineage H7 viruses detected in China (n=495), only 182 (36.77%) were found in chickens, compared to 256 (51.72%) detected in ducks, encompassing both wild dabbling ducks and those of unspecified species (SI Fig. S1c).

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Taken together, the majority of AH/13-lineage H7N9 viruses were detected in gallinaceous poultry,
particularly chickens, whereas the other H7 viruses were generally detected in a wide range of avian species,
including a variety of waterfowl and aquatic species, as well as some gallinaceous poultry.

144

The AH/13-lineage H7N9 virus binds exclusively to Neu5Ac, whereas other H7 viruses from wild dabbling ducks bind to both Neu5Ac and Neu5Gc

147 To assess receptor binding diversity, we performed glycan microarray experiments on eight AH/13-lineage 148 H7N9 virus strains and five strains originating from wild waterbirds (Table 1). The AH/13-lineage viruses 149 chosen for this study represent a selection from viruses responsible for the five epizootic waves. All 13 H7 150 viruses tested bound to α 2,3-linked (SA2-3Gal) and α 2,6-linked sialic acids (SA2-6Gal) but not to non-151 sialic acid glycans (Fig. 1). All viruses showed high affinity for SA2-3Gal but exhibited variations in their 152 binding to SA2-6Gal. All eight AH/13-lineage viruses demonstrated a stronger binding avidity for SA2-

153 6Gal than the other five viruses from wild waterbirds. We further identified distinct binding patterns among 154 these H7 viruses based on the terminal sialic acid sequence N-Acetylneuraminic acid (Neu5Ac) or N-155 Glycolylneuraminic acid (Neu5Gc). Specifically, all eight AH/13-lineage viruses bound exclusively to 156 glycans terminated with Neu5Ac, but not to those terminated with Neu5Gc. In contrast, all five wild 157 waterbird viruses tested showed strong binding affinity to glycans terminated with either Neu5Ac or 158 Neu5Gc.

159

160 We performed biolayer interferometry analyses for AH/13-lineage an virus. 161 A/chicken/Wuxi/0405005/2013 (H7N9) (Ck/WX13), and a wild waterbird virus, A/mute swan/Rhode 162 Island/A00325125/2008 (H7N3) (MuS/RI08), to further investigate the results from the glycan microarray 163 analyses. Three testing glycan analogs, Neu5Ac α 2-3Gal β 1-4GlcNAc (3'SLN), Neu5Ac α 2-3Gal β 1-164 4(Fuc α 1-3)GlcNAc (sLe^x), and Neu5Ac α 2-6Gal β 1-4GlcNAc (6'SLN), were terminated with Neu5Ac, 165 whereas Neu5Gc α 2-3Gal β 1-4GlcNAc (3'GLN) and Neu5Gc α 2-3Gal β 1-4(Fuc α 1-3)GlcNAc (GLe^x) were 166 terminated with Neu5Gc. To minimize the potential impact of the neuraminidase (NA) and other gene 167 segments, we created two reassortant viruses, rgMuS/RI08 and rgCk/WX13, each containing the HA from 168 corresponding parent virus aforementioned and all other segments from A/Puerto the 169 Rico/8/1934(H1N1)(PR8). Results showed that AH/13-lineage rgCk/WX13 bound exclusively to the three 170 glycan analogs terminated with Neu5Ac but not to the others with Neu5Gc, whereas rgMuS/RI08 bound to 171 all five analogs tested. AH/13-lineage rgCk/WX13 exhibited stronger binding avidity to 6'SLN than 172 rgMuS/RI08. These results support the findings observed in the glycan microarray experiments (Fig. 1c).

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Taken together, AH/13-lineage H7 viruses displayed a different glycan binding profile than those H7 from
wild waterbirds. Specifically, AH/13-lineage viruses were found to bind to only the glycans terminated
with Neu5Gc, whereas the wild waterfowl-origin H7 virus had avidity for both Neu5Ac and Neu5Gc.

178 Amino acid substitution V179I expands AH/13-lineage H7 virus binding specificity from only Neu5Ac

179 to both Neu5Ac and Neu5Gc

To identify amino acid substitutions responsible for different Neu5Gc binding patterns, we compared the HA sequences of equine H7N7, wild waterbird H7, and AH/13-lineage H7N9 viruses. Equine H7N7 were predicted to bind exclusively to Neu5Gc (14), wild waterbird H7 viruses to both Neu5Ac and Neu5Gc, and AH/13-lineage H7N9 viruses exclusively to Neu5Ac (Fig. 1). Differences were observed between AH/13lineage H7N9 and equine H7N7 viruses, including three amino acid substitutions [i.e., I130V (H3 numbering), A135E, and K193R] in the receptor binding site (RBS) and 7 adjacent to the RBS (i.e., A122N,

186 S128T, A160V, R172K, K173R, S174E, and V179I) (Fig. 2a).

187

We further compared the HA sequences between AH/13-lineage H7N9 viruses and their precursor Eurasian
H7 viruses isolated from wild dabbling ducks (15), specifically at the residues mentioned earlier. Amino
acid substitutions were detected in the majority of AH/13-lineage isolates at residue 122 and 179 (Fig. 2b).
It is noteworthy that amino acid polymorphisms were observed in all ten residues of AH/13-lineage H7N9
viruses, although to a lesser extent in wild waterbirds from both Eurasia and North America (SI Table S2).
Of note, a similar V179I substitution was also observed between poultry adapted H7N7 viruses in the
Netherlands (2003) and their precursor virus in wild waterbirds.

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196 To determine the residue responsible for the loss of Neu5Gc binding ability in AH/13-lineage viruses, we 197 replaced HA V179 (found in AH/13-lineage viruses) with 1179 (present in waterfowl/equine H7-like 198 viruses) and then created a reassortant virus rgCk/WX13-V179I. We generated three additional mutants: 199 rgCk/WX13-A122N, rgCk/WX13-A135E, and rgCk/WX13-K193R, by substituting HA positions A122, 200 A135, and K193 in Ck/WX13 with N122, E135, and R139, respectively. Residue 122 was included due to 201 the significant amino acid polymorphisms (including N122) observed at this HA position in AH/13-lineage 202 viruses. The HA mutations A135E and K193R were reported to enhance the binding of H7 virus to Neu5Gc 203 (16). Biolayer interferometry analysis revealed that the V179I substitution conferred binding avidity of the

AH/13-lineage Ck/WX13 virus to Neu5Gc while maintaining binding to Neu5Ac (Fig. 2c and SI Fig. S2).
A135E and K193R substitutions, as previously reported, enhanced the binding of AH/13-lineage Ck/WX13
virus to Neu5Gc (16), whereas A122N substitution did not significantly affect the virus' glycan binding
preference to Neu5Gc or Neu5Ac.

208

209 We further performed structural modeling to understand how the amino acid substitution HA I179V enables 210 virus binding from both Neu5Ac and Neu5Gc to Neu5Ac alone. Close inspection of the HA structure shows 211 that V179 (found in poultry-adapted AH/13-lineage viruses) is located at the hydrophobic core of the 212 molecule approximately 20 Å away from the RBS (Fig. 2d). Because this residue is tightly packed against 213 several hydrophobic residues, we hypothesize that V179I, which replaces a poultry-adapted residue with 214 residues characteristic of wild birds, would lead to conformational changes that can propagate to the 130-215 loop and other structural elements around the RBS and consequently broaden HA binding ability from 216 Neu5Ac to both Neu5Ac and Neu5Gc.

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218 Our modeling results indicate that, in the AH/13-lineage H7 (with HA-V179), Neu5Gc occupies a 219 somewhat different position compared to Neu5Ac. Neu5Gc is shifted more towards the 220-loop in the RBS (Fig. 2e). Several close contacts (<3 Å) are observed between Neu5Gc and the RBS, including two 220 221 between the extra hydroxyl group in Neu5Gc with A135 and one between the carboxyl group at the C2 position with S137 (Fig. 2e). Also, the 220-loop in the Neu5Gc structure is pushed outward by ~2.5 Å. 222 223 which is presumably necessary to accommodate Neu5Gc in the RBS. These close contacts and large 224 structural rearrangement of the RBS needed to accommodate Neu5Gc suggest unfavorable binding. 225 Interestingly, the modeled structures of the HA-I179, which are found in waterfowl/equine H7-like viruses, 226 showed very similar binding modes for both Neu5Gc and Neu5Ac (Fig. 2f). In the HA-I179, there is no 227 close contact with Neu5Gc, and the 220-loop in the Neu5Gc complex assumes a nearly identical 228 conformation as in the Neu5Ac complex. This observation explained how the HA-I179 allows the binding 229 to both Neu5Gc and Neu5Ac without any significant structural rearrangement.

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Taken together, these results suggest that amino acid substitution V179I, which replaces a poultry-adapted
residue with residues characteristic of wild birds, may facilitate the acquisition of binding avidity of AH/13lineage H7N9 IAVs to Neu5Gc while still maintaining binding to Neu5Ac.

234

Neu5Gc expression affects H7 virus replication and facilitates acquisition of adaptive mutations in the HA of H7 IAVs

237 We hypothesized that the expression of Neu5Gc hinders replication in H7 viruses that exclusively bind to 238 Neu5Ac but do not affect those that bind to both Neu5Ac and Neu5Gc. To test this, we compared the growth 239 kinetics of two mutants (rgCk/WX13-V179I and rgCk/WX13-A122N) and their parent virus, rgCk/WX13, 240 on MDCK-Gc, a cell line expressing Neu5Gc (17), and MDCK-wt, the wild-type cell line without Neu5Gc 241 expression. We also included A/mallard/New Jersey/A00926089/2010 (H7N3) (HA)×PR8 (H7N1) 242 (rgMall/NJ10), with the HA of an H7 virus from a mallard (Anas platyrhynchos), and 243 A/chicken/Heinan/ZZ01/2017(H7N9)(HA)×PR8 (H7N1) (rgCk/HN17), with the HA of another AH/13-244 lineage H7N9 virus from chicken (Table 1). All six viruses tested had identical gene segments except for 245 the HA to minimize the impact of NA and other gene segments (see details in Online Methods). Notably, 246 rgCk/WX13-V179I and rgMall/NJ10 bound to both Neu5Ac and Neu5Gc, whereas rgCk/HN17, 247 rgCk/WX13, and rgCk/WX13-A122N bound exclusively to Neu5Ac.

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Results indicated that both rgCk/HN17 and rgCk/WX13 viruses showed more efficient replication in MDCK-wt cells than in MDCK-Gc cells (p=0.0062 and 0.0235, respectively) (Fig. 3a). As expected, rgCk/WX13-A122N displayed similar growth kinetics as its parent virus rgCk/WX13. In contrast, the mutant rgCk/WX13-V179I showed no significant difference in growth kinetics in either cell line, similar to rgMall/NJ10, but different from their respective wild-type parent virus, rgCk/WX13.

255 To investigate whether Neu5Gc expression shapes the evolution of H7 IAVs, we passaged the rgCk/WX13 256 and rgMall/NJ10 in MDCK-wt or MDCK-Gc cells for five passages. For each seed, we compared their 257 amino acid polymorphisms in HA with those in the associated fifth passage from both cells. Compared to 258 the seed, rgCk/WX13 gained polymorphisms in MDCK-Gc at residues 135 (A to A/T), 160 (changes in 259 A/T ratio), 219 (A to A/E), 224 (D/N to D), and 250 (A to A/T), whereas rgMall/NJ10 acquired 260 polymorphisms in MDCK-wt at residues 144 (G to G/D), 193 (K to K/T), and 225 (G to G/E) (Table 2). 261 Interestingly, in MDCK-Gc (but not MDCK-wt), both viruses acquired adaptive substitutions at residues 262 461-471 of the fusion domain, although the changes in amino acids were not identical. Overall, rgCk/WX13 263 exhibited a higher number of polymorphisms in MDCK-Gc compared to MDCK-wt, whereas rgMall/NJ10 264 had an increase in polymorphisms in MDCK-wt compared to MDCK-Gc (Fig. 3b). These findings are 265 consistent with a prior study that demonstrated human seasonal H1N1 and H3N2 IAVs, which bind 266 exclusively to Neu5Ac, developed adaptive HA mutations when passaged in MDCK cells expressing 267 Neu5Gc (17). Conversely, an enzotic canine H3N2 IAV, which binds to both Neu5Gc and Neu5Ac, did 268 not exhibit significant HA mutations under the same conditions.

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Taken together, the expression of Neu5Gc hinders replication of H7 viruses that exclusively bind to
Neu5Ac but does not affect those that bind to both Neu5Ac and Neu5Gc, supporting our hypothesis. In
addition, Neu5Gc expression creates a selective pressure that facilitates the acquisition of adaptive
substitutions in H7 IAVs, particularly those residues within or near the HA RBS.

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275 Distribution of Neu5Gc in the respiratory and gastrointestinal tract tissues of chicken, wild Canada 276 goose, and selected wild dabbling duck species

To investigate Neu5Gc expression patterns in chicken, wild Canada goose, and selected wild dabbling duck
species, we conducted immunofluorescence (IF) staining using a Neu5Gc-specific antibody on formalinfixed tissues from selected avian species. We examined the trachea, small intestine (duodenum/jejunum),

280 and large intestine (colon and cloaca) of chicken, wild Canada goose (Branta canadensis), and five 281 commonly surveyed wild dabbling ducks in North American IAV surveillance: mallard, gadwall (Mareca 282 strepera), green-winged teal (Anas carolinensis), northern shoveler (Spatula clypeata), and wood duck (Aix 283 sponsa). Results showed distinct Neu5Gc expression patterns between the species tested (Figure 4). 284 Neu5Gc expression was detected in mallard, green-winged teal, northern shoveler, and wood duck. In 285 contrast, domestic chicken, Canada goose, and gadwall displayed no positive staining (Figure 4a). Among 286 the species with positive immunostaining, Neu5Gc expression was detected in the ciliated epithelial cells 287 of the trachea and crypt cells within the duodenum/jejunum, colon, and cloaca (Table 3). Notably, the 288 northern shoveler exhibited significant Neu5Gc expression in the trachea, a pattern not observed in the 289 other tested species.

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In conclusion, Neu5Gc expression was absent in tissues tested from chicken, wild Canada geese, and
gadwall, and was variable across the other wild duck species tested. As previously reported, all species
expressed Neu5Ac (18, 19).

294

A model proposed for H7 IAV adaptation and subsequent transmission upon spillover from wild waterbirds to gallinaceous poultry

297 This study demonstrates that AH/13-lineage H7N9 viruses in gallinaceous poultry, particularly chickens, 298 bind exclusively to Neu5Ac, whereas H7 IAVs enzootic in wild waterbirds show binding affinities to both 299 Neu5Gc and Neu5Ac. Strikingly, like the AH/13-lineage H7N9 viruses, all three H7 viruses responsible 300 for recent epizootics in gallinaceous poultry also bind solely to Neu5Ac but not to Neu5Gc (16, 20, 21). 301 These three outbreaks are: H7N1 in the chicken population of Italy (1999–2000), H7N7 in the chicken 302 population of the Netherlands (2003), and H7N3 in the chicken population of Mexico (2012-2013). By 303 combining the findings on virus receptor binding specificity and host Neu5Gc expression, we propose a 304 model for H7 IAV spillover from wild waterbirds to gallinaceous poultry (Fig. 5) and the potential 305 evolutionary impact on subsequent transmission. An H7 IAV, with binding ability to both Neu5Gc and

306 Neu5Ac, can be transmitted efficiently among waterbird species possessing both receptors. This virus may 307 spillover into gallinaceous poultry. Once spillover occurs, a virus may acquire specific adaptive mutations 308 in the HA protein and then lose Neu5Gc binding specificity (with the exclusive Neu5Ac binding ability). 309 Consequently, the transmission capability of these poultry-adapted viruses increases for gallinaceous 310 poultry but decreases for wild waterbirds that possess both receptors. Further investigation is warranted to 311 determine whether loss of Neu5Gc binding specificity can also occur for other subtypes of IAVs, including 312 H5, and to understand whether Neu5Gc expression variations can restrict the transmission and adaption of 313 IAVs among waterbirds, e.g. between those species with Neu5Gc expression and those without.

314

315 Discussion

316 A wide variety of IAVs circulate among wild waterbirds, including migratory waterfowl, gulls, and 317 shorebirds, and occasionally transmit to domestic poultry. However, these waterbird-origin viruses 318 typically have poor replication efficiency in gallinaceous poultry. While all avian species share the SA2-319 3Gal receptor, this alone cannot explain the species barriers between waterbirds and gallinaceous poultry 320 based on receptor binding. Among all IAVs, H7 viruses are one of the most commonly identified subtypes 321 and often isolated from migratory waterfowl, in particular dabbling ducks, diving ducks, shorebirds, geese, 322 and swans (SI Fig. S1) (1). This study demonstrates that wild-type H7 viruses tested from wild waterbirds 323 have glycan binding preferences for both Neu5Gc and Neu5Ac, while those that have undergone sustained 324 transmission in gallinaceous poultry, particularly chickens, have lost the ability to bind Neu5Gc (Fig. 1). 325 The absence of Neu5Gc expression in chickens exerts a selective pressure on waterbird-origin H7 viruses, 326 facilitating the acquisition of adaptive HA substitutions (20), such as I179V. Such adaptive substitutions 327 result in the loss of Neu5Gc binding, enhance virus replication in Neu5Gc-free cells (Fig. 3), may have 328 increased virus transmissibility in chickens (7), and consequently have led to the low detection rate of 329 AH/13-lineage virus in domestic ducks during surveillance (12, 13). It would be useful to ascertain the 330 point at which substitutions leading to the loss of Neu5Gc binding ability occur. This knowledge will enable

timely interventions, such as depopulation, rather than resorting to controlled marketing, using this as anindicator (as illustrated in Fig. 5).

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IAVs have large variations in binding avidities to Neu5Gc and Neu5Ac (22, 23), and most duck and gull origin IAVs from different subtypes including H1–H6, H9, and H11–H14 exhibited binding preference both to Neu5Gc and Neu5Ac but with stronger binding avidity to Neu5Gc (24). Thus, further studies are required to fully comprehend how Neu5Gc expression influences viral evolution and transmission of other subtypes of IAVs, particularly H5, among avian species, which could inform the development of more effective prevention and control measures against the spread of IAVs.

340

341 Infections caused by IAVs of subtypes H5 and H7 are listed as notifiable diseases, when detected in 342 domestic poultry, by the World Organization for Animal Health due to the potential for these viruses to 343 exhibit high-pathogenicity among domestic poultry (25). Although national programs are in place to control 344 avian influenza in poultry worldwide, measures such as active and passive surveillance of poultry and wild 345 birds, poultry vaccination, and stamping out of positive cases among domestic flocks, have not always 346 achieved eradication among domestic birds (25). In the United States, stamping out has been the primary 347 method for controlling avian influenza viruses among domestic birds, and this method has successfully 348 controlled all introductions of H7 IAVs into poultry during the past 10 years (26). We explored genomic 349 polymorphisms of H7 isolates from eight spillover cases in US poultry (n=18) and wild dabbling ducks 350 (n=85) (SI Table S3), and the results indicated that H7 viruses detected in US poultry exhibited more amino 351 acid polymorphisms compared to those found in wild dabbling ducks (SI Fig. S3). However, it is important 352 to note that only a small subset of these polymorphisms led to substitutions at the amino acid level (SI Table 353 S4). Notably, two out of 18 isolates exhibited the key adaptive substitution V179I; and yet, all of the strains 354 maintained their ability to bind to both Neu5Gc and Neu5Ac, suggesting that they had not fully adapted to 355 the domestic poultry host (SI Fig. S3c). These results imply that the prompt implementation of stamping 356 out policies has likely been effective in preventing the emergence of poultry-adapted H7 strains. Thus, strict

implementation of early detection and control policies may be crucial in minimizing the possibility of viral
adaptation in poultry. That is, by promptly detecting and eliminating low pathogenicity avian influenza
before they have the chance to adapt to domestic poultry, the risk of enzootic outbreaks, including potential
highly pathogenic avian influenza outbreaks, can be significantly reduced.

361

362 In addition to avian species, H7s have sporadically caused infections in other mammals such as horses, 363 harbor seals (27-29), and swine (29); some have been reported in humans as well (27, 30-38). Several 364 previous reports support that Neu5Gc binding affects virus replication in mammals with Neu5Gc 365 expression, although the role of Neu5Gc expression was not fully defined. For example, virus binding to 366 Neu5Gc is a feature of IAVs that replicate in horses (39), and both an avian-origin H3N2 and an equine-367 origin H3N8 IAV acquired W222L, which leads to an increase in the binding affinity to Neu5Gc and 368 enhances virus infection in canines (40, 41). On the other hand, loss of Neu5Gc binding could increase the 369 viral infectivity to humans (42), and this could have enhanced the spillover of the AH/13-lineage H7N9 and 370 the Netherland H7N7 (2003) viruses to humans (10).

371

372 The enzyme CMAH (cytidine monophospho-N-acetylneuraminic acid hydroxylase) converts Neu5Ac to 373 Neu5Gc. Some mammals such as horses, dogs, and pigs appear to maintain CMAH function and 374 predominantly express Neu5Gc in various tissues (43-46) (including the respiratory tract epithelium)(39), 375 whereas humans and some mammals (such as seals) have lost CMAH function and do not express Neu5Gc 376 (45, 47, 48). Genomic analyses have shown that all birds lack CMAH homologs (48), and Neu5Gc 377 expression has not been detected in the muscle tissues in chicken, emu, and parrots; however, CMAH 378 homologs have been detected in the liver or eggs of some avian species, possibly acquired from the diet or 379 via an alternative pathway (49). Through an immunofluorescence assay, we demonstrated that Neu5Gc 380 expression was widely present in the gastrointestinal tissues, such as cloaca and colon, of selected wild 381 dabbling ducks, including mallard, green-winged teal, northern shoveler, and wood ducks, but not those of 382 chickens (Fig. 6), which is consistent with a prior report that Neu5Gc was expressed in the intestines of

383 Pekin ducks (Anas platyrhynchos domesticus) and mallards, mainly on the crypt epithelial cells of the colon 384 (22). In addition to the gastrointestinal tracts, Neu5Gc expression was also observed in the respiratory tract 385 tissues of the green-winged teal. Interestingly, neither the gastrointestinal nor the respiratory tracts of the 386 gadwall or Canada goose showed Neu5Gc expression. Future research is needed to investigate Neu5Gc 387 expression in other avian species, both wild and domestic, not included in this study, such as diving ducks, 388 gulls and terns, snow geese, swans, sea ducks, shorebirds, and others that might serve as natural reservoirs 389 for avian influenza viruses. Additional investigation is warranted to determine if Neu5Gc expression 390 influences IAV transmission and adaptation between waterbird species that express Neu5Gc and those that 391 do not.

392

393 Materials and Methods

394

395 Data. As of March 1, 2023, a total of 2,651 HA genomic sequences of subtype H7 avian IAVs were 396 obtained from the Influenza Research Database (http://www.fludb.org). The sequences were sorted by 397 location on five continents (Africa, Asia, Europe, North America, Oceania, and South America), as well as 398 by species category. The species were categorized as gallinaceous poultry (chicken and others), waterfowl 399 (duck and others), and other avian species (SI Table S1).

400

401 Viruses and virus propagation. The study utilized 26 strains of IAVs, including eight genetic reassortants 402 containing the HA gene of AH/13-lineage H7N9 viruses, and 18 wild-type or genetic reassortant viruses 403 associated with contemporary H7 viruses from wild birds or domestic poultry from both North America 404 and Eurasia (Table 1). All viruses were propagated in 9-day-old specific pathogen-free embryonated eggs 405 at 37 °C for 72 hours. The resulting allantoic fluids were collected and used in growth kinetics and virus 406 purification, or stored at -80°C until needed for analysis.

408 Cells. The MDCK cells (CCL-34) were obtained from American Type Culture Collection (ATCC). The
409 wild type MDCK NBL-2 cells (MDCK-wt) and the MDCK cells expressing Cytidine monophospho-N410 acetylneuraminic acid hydroxylase (CMAH) (MDCK-Gc) were adapted from another study (17). Limited
411 Neu5Gc (<1%) was detected in MDCK-wt whereas approximately 40% of their total Sia in MDCK-Gc as
412 Neu5Gc (17). The cells were maintained in Dulbecco's modified Eagle's medium (DMEM, Gibco, New
413 York, USA) supplemented with 10% fetal bovine serum (FBS; Atlanta Biologicals, Lawrenceville, GA,
414 USA) at 37°C under 5% CO₂.

415

Nucleotide extraction, PCR, qRT-PCR, and genomic sequencing. Viral RNA was extracted from the 416 417 allantoic fluid of embryonated chicken eggs or cell culture supernatants by using the GeneJET Viral 418 DNA/RNA purification kit (Thermo Fisher Scientific, Waltham, MA). The RNA was subjected to cDNA 419 synthesis using SuperScriptTM III Reverse Transcriptase (Thermo Fisher Scientific, Waltham, MA) 420 according to the manufacturer's instructions. PCR products of the full-length HA were generated using 421 IAV-specific primers (50). The plasmids were then extracted with GeneJET Plasmid Miniprep Kit (Thermo 422 Scientific, Rockford, IL). The PCR products and plasmid insertions were confirmed without unexpected 423 mutations by using Sanger sequencing.

424

425 Gene synthesis, molecular cloning, and reverse genetics. The HA genes of AH/13-lineage H7N9 viruses 426 (as listed in Table 1) were synthesized and cloned into the pHW2000 vector by Gene Universal Inc. 427 (Newark, DE). Meanwhile, the HA genes of A/mallard/New Jersey/A00926089/2010 (H7N3), A/domestic 428 duck/West Virginia/A00140913/2008 (H7N3), and A/mute swan/Rhode Island/A00325125/2008 (H7N3) 429 were cloned into the pHW2000 vector using a universal primer described elsewhere (50). To generate the 430 reassortant viruses, a HA gene from a H7 virus and seven other gene segments from A/Puerto Rico/8/1934 431 (H1N1) (PR8) were included using reverse genetics (51) (see Table 1 for details). The nucleotide sequences 432 of the HA gene in each rescued virus were confirmed without unexpected mutations by Sanger sequencing.

434 Site-directed mutagenesis. To identify the specific amino acid substitution responsible for the acquisition 435 of viral binding avidity to a sialic acid glycan terminated with Neu5Gc, we utilized the HA gene of 436 Ck/WX13 as a template to create a set of mutants by site-directed mutagenesis. These mutants included 437 amino acid substitution A122N (H3 numbering), A135E, V179I, or K193R in HA protein. To generate a 438 specific mutation in the HA gene of Ck/WX13, we used the Phusion[™] Site-Directed Mutagenesis Kit 439 (Thermo Scientific, Rockford, IL) with primers listed in SI Table S6. Prior to PCR amplification, the 440 primers were treated with T4 Polynucleotide Kinase (Thermo Scientific, Rockford, IL) for 5' 441 phosphorylation according to the manufacturer's instructions. The site-directed mutagenesis PCR 442 amplification mixture consisted of 23.5 μ L of water, 10 μ L of 5× Phusion HF buffer, 1 μ L of dNTPs (10 443 mM), 5 μ L of each T4 Polynucleotide Kinase-treated primer (5 μ M), 0.5 μ L of Phusion hot start DNA 444 polymerase (2 U/µL), and 5 µL of HA plasmid of A/chicken/Wuxi/0405005/2013(H7N9) (Ck/WX13) (1 445 $ng/\mu L$). The PCR parameters used for site-directed mutagenesis were as follows: one cycle at 98°C for 30 446 seconds, followed by 24 cycles at 98°C for 10 seconds, 69°C for 30 seconds, and 72°C for 2 minutes, 447 followed by a final extension step at 72°C for 5 minutes. The PCR products were digested with 1 µl of 448 FastDigest DpnI at 37°C for 15 minutes. The ligation reaction was performed at room temperature for 5 449 minutes after digestion. The ligation mixture contained 2 μ l of PCR products, 2 μ l of 5× rapid ligation 450 buffer, 0.5 µl of T4 DNA ligase, and 5.5µl of water. The ligation products (5 µl) were transformed into 451 DH10B Competent Cells (Thermo Scientific, Rockford, IL) following the manufacturer's protocol. The 452 plasmids were extracted and then used for virus rescue.

453

Virus purification. To prepare for biolayer interferometry and glycan microarray analyses, the viruses were purified using sucrose gradient ultracentrifugation. Briefly, allantoic fluids collected from eggs infected with a testing virus were first centrifuged by $4,000 \times g$ for 30 minutes to remove any cell debris. Any remaining cellular debris was then removed further by ultracentrifugation at 4 °C for 30 minutes at $18,000 \times g$. The virions were subsequently pelleted by ultracentrifugation at 4 °C for 90 minutes at $112,000 \times g$. The virus was then collected (a 'milky' band at around 40% sucrose) and pelleted again after sucrose

gradient ultracentrifugation with four layers (30%, 40%, 50%, and 60%). The purified virus was then stored
at -80°C until it was ready for use.

462

463 Glycan microarray analyses. The 75 N-linked glycans (52) were printed on slides derivatized with N-464 hydroxysuccinimide (NHS), as described elsewhere (53). Each glycan was printed in six replicates in a 465 subarray at a concentration of 100 pM in phosphate buffer (100 mM sodium phosphate buffer, pH 8.5). 466 Prior to the assay, the slides were rehydrated in TSMW buffer (20 mM Tris-HCl, 150 mM NaCl, 2 mM 467 CaCl₂, 2 mM MgCl₂, 0.05% Tween, pH 7.4) for 5 minutes. A 15 µl aliquot of 1.0 M sodium bicarbonate 468 (pH 9.0) was added to 150 µl of purified virus, and the virus was incubated with 25 µg of Alexa Fluor 488 469 NHS Ester (succinimidyl ester; Invitrogen) for 1 hour at 25°C. After overnight dialysis to remove excess 470 Alexa 488, the virus HA titer was checked, and the virus was bound to the glycan array. The labeled viruses 471 were then incubated on the slide at 4°C for 1 hour, washed, and briefly centrifuged before being scanned 472 with an InnoScan 1100 AL fluorescence imager (Innopsys, Carbonne, France). Mean relative fluorescent 473 units (RFU) and standard deviation were calculated for six replicates per virus. A threshold of 500 RFU 474 was set to determine background signal.

475

476 Biolayer interferometry assay and data analyses. The virus receptor binding avidities were determined 477 by a biolayer interferometry assay with an Octet RED instrument (Pall ForteBio, Menlo Park, CA). Two 478 biotinylated glycan analogs (3'SLN: Neu5Ac α 2-3Gal β 1-4GlcNAc β and 6'SLN: Neu5Ac α 2-6Gal β 1-479 4GlcNAc β) were purchased (GlycoTech, Gaithersburg, MD). Three biotinylated glycans Neu5Ac α 2-480 $3Gal\beta 1-4(Fuc\alpha 1-3)GlcNAc\beta$ (sLeX), Neu $5Gc\alpha 2-3Gal\beta 1-4GlcNAc\beta$ (3'GLN), and Neu $5Gc\alpha 2-3Gal\beta 1-4GlcNAc\beta$ 481 4(Fucα1-3)GlcNAcβ (GLeX) were synthesized as previously described using GlcNAcβ-Biotin as starting 482 substrate (52). The glycans were preloaded onto streptavidin-coated biosensors at up to 0.3 μ g/ml for 5 483 minutes in $1 \times$ kinetic buffer (Pall FortéBio, Menlo Park, CA). Each test virus was diluted to a final 484 concentration of 100 pM with $1 \times$ kinetic buffer containing 10 μ M oseltamivir carboxylate (American 485 Radiolabeled Chemicals, St. Louis, MO) and zanamivir (Sigma-Aldrich, St. Louis, MO) to prevent cleavage

486 of the receptor analogs by NA proteins of the influenza virus. The association was measured for 30 minutes 487 at 25° C, as described elsewhere (54). To evaluate the binding ability of a virus, we used one high 488 concentration of glycans (0.5µM) with 100 pM viruses to record the endpoint binding response of 30 489 minutes at 25°C. The threshold for determining positivity in glycan binding was set using the binding 490 response from the negative control, which did not load virus but phosphate-buffered saline (PBS) only. To 491 quantify virus binding avidity, glycan concentrations ranging from 0.05 to 0.5 ug/mL were used. The 492 obtained binding responses were normalized by dividing them by the highest response value obtained 493 during the experiment. Binding curves were fitted using the binding-saturation method, which was 494 implemented in GraphPad Prism 8 software (https://www.graphpad.com/scientific-software/prism/). 495 Normalized response curves were used to calculate the fractional saturation (f) of the sensor surface, as 496 described elsewhere (55). The 50% relative sugar loading concentration ($RSL_{0.5}$) is a measure used to 497 quantify the binding avidity between a virus and a glycan. It is calculated at half the fractional saturation (f498 = 0.5) of the virus against glycan analogs. $RSL_{0.5}$ ranges between 0 and 1, and the lower the $RSL_{0.5}$, the 499 stronger the binding affinity between the virus and the glycan analog. Conversely, the higher the RSL_{0.5}, 500 the weaker the binding affinity between the virus and the glycan analog.

501

502 Structural modeling. In this study, the HA protein structure of A/Anhui/1/2013(H7N9) (PDB ID 4BSE) 503 with the receptor α 2,6-SLN bound to its RBS was employed as the reference template. It's noteworthy that 504 the HA protein sequence of A/Anhui/1/2013(H7N9) is 100% identical to that of the Ck/WX13 used in our 505 study. For our analysis, the receptor was manually adjusted to produce an H7:Neu5Ac complex. To simulate the effect of V179 versus I179 on Neu5Ac binding, the valine at position 179 was converted to isoleucine 506 507 using Coot (56). Following the removal of all other small molecules and glycans in the original dataset, 508 both the wild-type and the V179I mutant underwent energy minimization with Phenix (utilizing 509 Phenix.elbow and Phenix.geometry minimization) (57). Refining both the wild-type and mutant structures 510 was pursued to eliminate any potential biases associated with the algorithm. For a comparative analysis,

the two refined structures were aligned using Pymol (via the Pymol.align function) (The PyMOL Molecular
Graphics System, Version 1.3, Schrödinger, LLC).

513

To model Neu5Gc binding to both wild-type and V179I mutant from Ck/WX13, the Neu5Gc moiety from another previously solved H7 HA structure (PDB ID 7TIV, A/equine/NY/49/73 (H7N7)) was superimposed into the above-mentioned H7:Neu5Ac structure using Pymol. The resulting H7:Neu5Gc complex was subjected to V179I mutation, energy minimization and comparative analysis using the same modeling procedures mentioned above.

519

520 All structural figures were prepared using Pymol (The PyMOL Molecular Graphics System, Version 1.3,
521 Schrödinger, LLC).

522

523 Growth kinetics in Neu5Gc expressed MDCK cells. To evaluate the impact of Neu5Gc expression on 524 virus infectivity of H7 viruses, we conducted growth kinetics analyses of three selected viruses 525 (rgMall/NJ10, rgCk/WX13, and rgCk/HN17) on both MDCK-wt and MDCK-Gc. Additionally, we 526 included two mutant viruses (A122N and V179I) in the growth kinetics analyses to assess whether each of 527 these three amino acid substitutions may affect virus replication efficiency. To initiate infection, cells were 528 seeded in 6-well plates and allowed to grow for approximately 18 hours, reaching 90% confluency. The 529 cells were then infected with each testing virus at a multiplicity of infection (MOI) of 0.001. Following 530 infection, supernatants were collected at 12, 24, 36, and 48 hours post-infection and subjected to viral 531 titration.

532

533 Viral titration. For viral titration, we determined the 50% tissue culture infection dose (TCID50) on 534 MDCK CCL-34 cells. Briefly, cells were seeded at a density of 2×10^4 cells per well in a 96-well plate 535 with Opti-MEM I Reduced Serum Medium. Cells were then incubated at 37°C with 5% CO₂ for 18-20 536 hours before virus inoculation. Viral samples were serially diluted in Opti-MEM I Reduced Serum Medium

| 537 | supplemented with 1 μ g/mL of TPCK-trypsin. Subsequently, 200 μ L of each virus dilution was inoculated |
|-----|---|
| 538 | onto MDCK cells in quadruplicate. Infected cells were then incubated at 37° C with 5% CO ₂ for 72 hours |
| 539 | and evaluated for positivity using hemagglutination assays. The number of positive and negative wells for |
| 540 | each dilution were recorded for TCID50 calculation based on the method described by Reed and Muench |
| 541 | (58). |
| 542 | |
| 543 | Hemagglutination assays. Hemagglutination assays were carried out by using 0.5% turkey erythrocytes |
| 544 | as described elsewhere (59). |
| 545 | |
| 546 | Characterization of adaptative mutations on cells. To investigate the effects of Neu5Ac and Neu5Gc on |
| 547 | the adaptive mutations, we passaged rgCk/WX13 and rgMall/NJ10 in MDCK-wt and MDCK-Gc cells five |
| 548 | times. For each passage, the original seed virus or supernatants were diluted 200-fold during the virus |
| 549 | infection. Viral RNA was extracted from the seed virus and supernatants from the fifth passage and |
| 550 | subjected to next-generation sequencing. |
| 551 | |
| 552 | Next generation sequencing, genomic assembly, and polymorphism analyses. Conventional two-step |
| 553 | RT-PCR whole genome amplification was set up using 8 pairs of universal primers (50). Viral RNA was |
| 554 | reverse transcribed to cDNA using SuperScript III Reverse Transcriptase (ThermoFisher Scientific, Cat. #: |
| 555 | 18080-044). PCR amplification was subsequently performed using Platinum Tag DNA Polymerase High |
| 556 | Fidelity (ThermoFisher Scientific, Cat. #: 11304-102). Amplicons of the same samples were pooled |
| 557 | together before purification. AMPure XP beads (Beckman Coulter, Cat. #: A63881) purified amplicons |
| 558 | were analyzed for cDNA quality and quantity using TapeStation 4200 (Agilent Technologies, Santa Clara, |
| 559 | CA) DNA5000 kit (Agilent Technologies, Cat. #: 5067-5588, 5589). |
| 560 | |

After TapeStation analysis, ~50 ng of pooled cDNA of each sample was used as input for library preparation
using illumina DNA prep kit (illumina, Cat. # 20018705) following the manufacturers' instructions. The

purified libraries with different indexes were quantitated using TapeStation D5000 kit and equal molar of
each library were pooled together. Pooled libraries were denatured, diluted to an appropriate loading
concentration and loaded onto Miseq 600 cycles V3 cartridge (illumina, Cat. #: MS-102-3003) for
sequencing.

567

Iterative Refinement Meta-Assembler (IRMA) v.1.0.3 (https://wonder.cdc.gov/amd/flu/irma/) was used for sequence assembly and nucleotide variant analysis, and the results were further validated by CLC Genomics Workbench v21.0.3. The quality of the reads was trimmed with a Phred quality score of 20, which indicates a base call accuracy of 99%, the likelihood of finding one incorrect base call among 100 bases. The polymorphisms were analyzed by using DiversiTools (http://josephhughes.github.io/DiversiTools/). The most abundant nonsynonymous mutations in HA protein were plotted to visualize adaptive amino acid substitutions caused by cell passages.

575

To identify intra-host genetic diversity of H7 viruses, minor amino acid variants ratio was calculated by the sum of the second and third amino acid counts divided by the sum of the top three amino acid counts in each residue. Ggplot R package was used for amino acid variants visualization. To minimize the impacts of potential sequence errors on the intra-host variant analyses, only those amino acid variants ratio with >5% were considered.

581

Multiple sequence alignment and phylogenetic analyses. Multiple sequence alignments were generated
using Muscle v5.1 (60). The approximately-maximum-likelihood phylogenetic tree was inferred by using
fastTree v 2.1.11 (61). Phylogenetic trees were visualized by using FigTree v1.4.3
(http://tree.bio.ed.ac.uk/software/figtree/).

587 Characterization of Neu5Gc expression in avian respiratory and gastrointestinal tracts. A total of 588 seven species were studied in this study, including chicken (Gallus gallus), Canada goose (Branta 589 canadensis), mallard (Anas platvrhynchos), gadwall (Mareca strepera), green-winged teal (Anas 590 carolinensis), northern shoveler (Spatula clypeata), and wood duck (Aix sponsa). The avian respiratory and 591 gastrointestinal tract (cloaca and colon) tissues were collected and fixed by submerging them in 10% neutral 592 buffered formalin, and then they were embedded in paraffin. Sections of 5 µm were made from the 593 embedded tissues. The tissue sections were deparaffinized by dipping into the following solutions: 3 times 594 of 10 minutes in xylene, 3 minutes of 100% ethanol, 100% ethanol, 95% ethanol, 70% ethanol, 50% ethanol 595 and rinsed in ddH₂O. Antigen was then heat-induced target retrieved with diluted Target Retrieval Solution, 596 Citrate pH 6.1 (10x) following the manufacturer's manual (Dako, Carpinteria, CA). The sections were 597 blocked by 3% Bovine Serum Albumin for 1 hour at room temperature. The sections were rinsed with PBS 598 and incubated with the anti-Neu5Gc (1:500 dilution; Biolegend, San Diego, CA) overnight at 4°C. Sections 599 were incubated with goat anti-chicken IgY (H+L) secondary antibody conjugated with Alexa Fluor[™] 594 600 at 1:500 dilution with PBS before counterstaining with DAPI. The sections were washed three times of 5 601 minutes with PBST after every step of antibody incubation. The slides were air dried and covered with 602 coverslips by using Prolong antifade reagent. Images were captured with the Zeiss Axiovert 200M.

603

604 Structural visualization. The HA protein was visualized in PyMOL using the template HA protein
605 structure of A/Shanghai/02/2013(H7N9) (accession number: 4LN3) from the Protein Data Bank (PDB,
606 https://www.rcsb.org/).

607

Data availability. We have submitted the raw and assembled genomic data collected from this study to
GenBank with the BioProject accession number PRJNA978106. This submission includes six datasets
(seed virus rgCk/WX13 and Mall/NJ10 and their corresponding 5th passages in MDCK-wt and in MDCKGc), datasets for 85 H7 viruses from dabbling ducks, and 18 H7 viruses from domestic poultry from North
American.

613

614 Statistical analyses. A two-way ANOVA test was performed using GraphPad Prism 8
615 (https://www.graphpad.com/scientific-software/prism/) to compare the statistical differences between viral
616 titers at different time points in the growth kinetics of both the wild type and a testing mutant. A P-value of
617 0.05 was considered significant.
618

620 Acknowledgments

- We are thankful to Wendy S. Weichert for technical support and appreciate comments on prior drafts of this manuscript provided by Andrew Ramey. We would like to acknowledge the useful resource of Influenza Research Database and the support from BEI resources. This project was partially supported by the National Institutes of Health (grant number R21AI144433), the National Science Foundation (#2109745), and the Welch Foundation (C-1565 to YJT).
- 626
- 627 The findings and conclusions in this report are those of the authors and do not necessarily represent the
- 628 official position of the U.S. Government.
- 629

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785

| Virus | Accession No for HA | Abbreviation |
|--|---------------------|--------------------|
| H7N9 from the domestic poultry outbreak in China (2013-2017) | | |
| A/Shanghai/02/2013(H7N9) (HA,NA)× PR8 ^a (H7N9) ^b | KF021597 | rgSH13 |
| A/chicken/Wuxi/0405005/2013 (H7N9) (HA)× PR8 (H7N1) | KT779570 | rgCk/WX13 |
| A/chicken/Dongguan/3418/2013(H7N9) (HA) × PR8 (H7N1) | KP413395 | rgCk/DG13 |
| A/chicken/Wenzhou/RAQL01/2015(H7N9) (HA) × PR8 (H7N1) | KU143278 | rgCk/WZQ15 |
| A/chicken/Wenzhou/HATSLG01/2015(H7N9) (HA) × PR8 (H7N1) | KU143283 | rgCk/WZH15 |
| A/chicken/Heinan/ZZ01/2017(H7N9)(HA) ^c × PR8 (H7N1) | MF319554 | rgCk/HN17 |
| A/Duck/Guangdong/DG527/2014(H7N9)(HA)× PR8 (H7N1) | EPI580283 | rgDk/GD14 |
| A/duck/Wenzhou/YJYF24/2015 (H7N9)(HA)× PR8 (H7N1) | ALR82230 | rgDk/WZ15 |
| H7Nx from waterbirds | | 0 |
| A/domestic duck/West Virginia/A00140913/2008 (H7N3) | KU289983 | Dk/WV08 |
| A/mallard/Netherlands/12/2000(H7N7) (HA) × PR8-IBCDC-1 (H7N1) ^b | AY338460 | rgMall/NL12 |
| A/mallard/New Jersey/A00926089/2010 (H7N3) | KU290087 | Mall/NJ10 |
| A/mallard/New Jersey/A00926089/2010 (H7N3) (HA) × PR8 (H7N1) | KU290087 | rgMall/NJ10 |
| A/mute swan/Rhode Island/A00325125/2008 (H7N3) | KU290204 | MuS/RI08 |
| A/mute swan/Rhode Island/A00325125/2008 (H7N3) (HA) × PR8 (H7N1) | KU290204 | rgMuS/RI08 |
| H7 viruses from domestic poultry (2016-2020) | | |
| A/turkey/Indiana/16-001573-2/2016(H7N8) | | Tk/IN1573-16 |
| A/duck/Alabama/17-008643-2/2017(H7N9) | | Dk/AL8643-17 |
| A/chicken/Texas/18-007912-2/2018 (H7N1) | QKX64971 | Ck/TX7912-18 |
| A/turkey/Missouri/18-008108-11/2018 (H7N1) | QKX64983 | Tk/MO8108-1 |
| A/chicken/Missouri/18-008648/2018(H7N1) | | Ck/MO8648-1 |
| A/turkey/California/18-031151-4/2018 (H7N3) | AYG99315 | Tk/CA1151-18 |
| A/duck/Pennsylvania/19-007197/2019(H7N3) | | Dk/PA7197-18 |
| A/guinea fowl/Connecticut/19-009111/2019(H7N3) | | Gf/CT9111-19 |
| A/duck/California/19-019071/2019(H7N3) | | Dk/CA9071-1 |
| A/turkey/North Carolina/20-007949/2020(H7N3) | | Tk/NC7949-20 |
| A/turkey/North Carolina/20-008257/2020(H7N3) | | Tk/NC8257-20 |
| A/turkey/North Carolina/20-008425/2020(H/N3) | | 1 k/NC8425-20 |
| | | t toost d |
| $A/chicken/Wuxi/0405005/2013(H/N9)(HA-A122N) \times PR8(H/N1)$ | | A122N ^a |
| A/chicken/Wuxi/0405005/2013(H7N9) (HA-A135E)× PR8 (H7N1) | | A135E |
| A/chicken/Wuxi/0405005/2013(H7N9) (HA-V179I)× PR8 (H7N1) | | V179I |
| A/chicken/Wuxi/0405005/2013(H7N9) (HA-K193R)× PR8 (H7N1) | | K193R |

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^{*a*}PR8, A/Puerto Rico/8/1934(H1N1); ^{*b*}these viruses were acquired from the BEI resources; ^{*c*}the PEVPKRKRTAR/GLFGA cleavage sites were mutated to PEIPKGR/GLFGA to remove multiple basic cleavage sites; ^{*d*} the position was based on H3 numbering.

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Table 2. Amino acid polymorphisms in the HA protein for H7 viruses passaged in MDCK-wt and
MDCK-Gc cells.

| | Virus | | | | | |
|---|------------------------------|------------------------|-------------------------------|------|-------------------------------|-------------------------------|
| Position (U2 | | rgCk/WX13 | | | rgMall/NJ10 | 1 |
| numbering) | SEED | MDCK-WT (P5) | MDCK-GC (P5) | SEED | MDCK-WT (P5) | MDCK-GC (P5) |
| 88 (98; RBS) | Y | Y | Y | Y | Y | Y |
| 124 (134; RBS) | G | G | G | G | G | G |
| 125 (135; RBS) | А | T (87.39) A (12.20) | A (51.49) T (24.91) | Α | А | А |
| 126 (136; RBS) | Т | Т | Т | Т | Т | Т |
| 127 (137; RBS) | S | S | S | S | S | S |
| 128 (138; RBS) | A | A | A | A | A | A |
| 133 (144) | G | G | G | G | D (35.70) | D (3.07) |
| 142 (153; RBS) | W | W | W | W | W | W |
| 151 (160; RBS) | A (87.39) T (12.20) | A (70.75) T (29.01) | A (76.63) T (23.15) | А | A (93.24) D (5.63) | T (78.75) A (20.73) |
| 174 (183; RBS) | Н | Н | Н | Н | Н | Н |
| 179 (188; RBS) | Т | Т | Т | A | Α | A |
| 180 (189; RBS) | A | A | A | Т | T | T |
| <u>181 (190; RBS)</u> | E | E | E | E | E | E |
| <u>182 (191; RBS)</u> 182 (102: PBS) | | | <u>Q</u> т | | <u> </u> | |
| 184 (193; RBS) | K | K | K | K | K (82.68) | K (87.62) |
| 185 (104: PBS) | T | I | I | I | I (16.44) | I (7.81) |
| 186 (195; RBS) | Y | Y | Y | Y | Y | Y |
| 210 (219) | A | A (83.65) E (15.30) | A (84.22) E (15.61) | A | A | A |
| 212 (221: RBS) | Р | P | P | Р | Р | р |
| 213 (222; RBS) | Q | Q | Q | Q | Q | Q |
| 214 (223; RBS) | v | V | V | V | V | V |
| 215 (224; RBS) | D | N | N | N | N | N |
| 216 (225; RBS) | G | G | G | G | G (68.94) E (30.11) | G (93.96) E (5.55) |
| 217 (226; RBS) | L | L | L | Q | Q | Q |
| 218 (227; RBS) | S | S | S | S | S | S |
| 219 (228; RBS) | G | G | G | G | G | G |
| 241 (250) | А | А | A (81.98) T (17.66) | А | А | A |
| 322 (330) | G (96.40) V (3.28) | G | G (84.71) V (14.65) | G | G | G |
| 453 (461) | Е | Е | E (82.94) G (7.74) | Е | Е | Е |
| 454 (462) | D | D | D (89.99) I (7.77) | D | D | D (95.95) F (2.91) |
| 455 (463) | G | G | G (88.88) R (10.74) | G | G | G |
| 456 (464) | Т | Т | T (85.30) S (13.96) | Т | Т | T (96.50) I (13.32) |
| 457 (465) | G | G | G (81.79) D (17.47) | G | G | G (91.75) V (5.61) |
| 458 (466) | С | С | С | С | С | C (97.34) S (1.29) |
| 459 (467) | F | F | F (97.86) I (8.46) | F | F | F (93.55) L (2.94) |
| 460 (468) | Е | E (79.86) O (18.45) | E | Е | Е | E (96.55) R (2.78) |
| 461 (469) | I | I (79.64) K (19.59) | Ι | I | Ι | I (84.64) R (13.29) |
| 462 (470) | F | F (78.60) C (20.77) | F | F | F | F (83.29) Q (14.26) |
| 463 (471) | Н | Н | Н | Н | Н | H (82.98) N (15.87) |

The positions with < 98% predominance for a single amino acid were listed in parenthesis, and the predominant amino acids are highlighted in bold. RBS, receptor binding sites.

797 Figure legends

Figure 1. Receptor binding profile of H7 influenza A viruses. (a) N-glycan microarray binding profiles 798 799 of five H7 viruses isolated from wild waterbirds in Eurasia and North America. (b) N-glycan microarray 800 binding profiles of eight AH/13-lineage H7N9 viruses collected during the first five waves of poultry 801 outbreaks from 2013 to 2017 in China. (c) Quantitative analyses of virus glycan binding avidity using 802 biolayer interferometry for two representative H7 viruses, rgCk/WX13 and rgMuSn/RI08 (Table 1). We 803 categorized 75 glycans on the microarray based on the linkage and terminal glycan sequence into a2,3-804 linked Neu5Ac, $\alpha 2,3$ -linked Neu5Gc, $\alpha 2,6$ -linked Neu5Ac, $\alpha 2,6$ -linked Neu5Gc, and non-sialic acid 805 glycans. The glycan sequences are detailed in SI Table S5. In the plot showing microarray data, the mean 806 relative fluorescent units \pm the standard deviations (vertical bars) are shown on the y-axis, and the x-axis 807 represents the glycan number corresponding to the array. Biolayer interferometry analyses were performed 808 using an Octet RED instrument (Pall FortéBio, Fremont, CA, USA) (see Materials and Methods), and 809 binding curves were fitted using the saturation binding method in GraphPad Prism 8 810 (https://www.graphpad.com/scientific-software/prism/). We quantified and compared the 50% relative 811 sugar loading concentration (RSL_{0.5}) at half the fractional saturation (f = 0.5) of the virus against glycan 812 analogs to determine the binding avidity. A higher $RSL_{0.5}$ indicates a lower binding avidity.

813

814 Figure 2. Multiple individual amino acid substitutions facilitate acquisition of virus binding avidity

to Neu5Gc for H7 IAVs. (a) Sequence alignment of the receptor binding site (RBS) of H7 IAVs,

816 including three groups of H7 viruses with distinct binding patterns to glycans terminated with Neu5Ac

and Neu5Gc: equine H7N7 viruses bound exclusively to Neu5Gc, wild waterbird viruses bound to both

818 Neu5Ac and Neu5Gc, and AH/13-lineage H7N9 viruses bound exclusively to Neu5Ac. (b) Amino acid

819 diversity at the residues close to or within the hemagglutinin RBS of AH/13-lineage H7N9 viruses

820 isolated from domestic poultry in China, as well as H7 viruses from dabbling ducks in Eurasian and North

- 821 American (see additional details in SI Table 2). (c) Quantitative analyses of virus glycan binding avidity
- using biolayer interferometry for Ck/WX13, Ck/WX13-A121N, and Ck/WX13-V179I. Please refer to the

823 legend of Figure 1 and Online Methods for the details of Biolayer interferometry analyses. (d) The crystal 824 structure of the HA protein from A/Anhui/1/2013 (H7N9), which is identical to that of Ck/WX13. (e) 825 Structural model of wild-type H7 in complex with Neu5Ac (green) vs. Neu5Gc (magenta). HA residues 826 less than 3 Å away from the modeled receptor are shown in sticks. (f) Structural model of the V179I H7 827 mutant in complex with Neu5Ac (green) vs. Neu5Gc (magenta). 828 829 Figure 3. Neu5Gc affects virus replication of AH/13-lineage H7N9 viruses and drives adaptive 830 mutations in the HA protein. a) Growth kinetics of H7 influenza A viruses and mutants in MDCK-wt 831 and MDCK-Gc cells. All viruses had HA genes from H7 viruses and the other seven from PR8, and three 832 mutants were generated using the HA gene of Ck/WX13 as a template. Supernatants were collected at 12-833 , 24-, 48-, and 72-hours post-infection (hpi) and titrated by TCID50 in MDCK CCL-34 cells. Two-way 834 repeated measures ANOVA were used to compare time-course growth data of H7 viruses among different 835 cells. Statistical comparisons were shown as follows: not significantly different as n.s. (P > 0.05); P < 0.05836 0.05 as *; P < 0.01 as **; P < 0.001 as ***; and P < 0.0001 as ****. (b) HA amino acid polymorphisms 837 detected in the seed viruses and the viruses from the 5th passage in MDCK-wt and MDCK-Gc cells. The 838 viruses rgCk/WX13 and rgMall/NJ10, which have an HA gene from rgCk/WX13 and rgMall/NJ10, 839 respectively, and other seven genes from PR8 were passaged five times in MDCK-wt and MDCK-Gc 840 cells. The two most abundant nonsynonymous mutations in the HA protein were plotted to visualize 841 adaptive amino acid substitutions caused by cell passages. The location of the RBS in the HA protein was 842 marked green.

843

Figure 4. Distribution Neu5Gc glycan in the tissues of chicken, wild dabbling ducks, and Canada goose
(*Branta canadensis*). Trachea, small intestine (duodenum/jejunum), colon and cloaca of chicken (*Gallus gallus*), Canada goose, mallard (*Anas platyrhynchos*), gadwall (*Mareca strepera*), green-winged teal (*Anas carolinensis*), northern shoveler (*Spatula clypeata*), and wood duck (*Aix sponsa*). Glycan terminated with
Neu5Gc (red) was detected by immunofluorescence assay with anti-Neu5Gc polyclonal antibody. Nuclei

were stained with DAPI (blue). The white arrows indicated positive staining of Neu5Gc, the areas of which have been enlarged at the side of each image. The scale bar at the bottom of each image was 100 μ m. b) The abundance of Neu5Gc expression. We categorized the glycan receptor abundance: none or limited staining (-) without stained cells, moderate and sporadic staining (+) with <30% stained cells, and strong staining (++) with \geq 30% of the stained cells.

854

855 Figure 5. A transmission model illustrating the mechanisms of H7 IAV transmission and evolution 856 between wild waterbirds and domestic poultry. An H7 IAV capable of binding to sialic acid receptors 857 containing either Neu5Gc or Neu5Ac can be transmitted among wild waterbirds possessing these receptors, 858 and can also transit between wild and domestic waterbirds expressed with the same receptors. This virus 859 may then spill over into domestic poultry species (or another wild bird species) that expresses only Neu5Gc. 860 Subsequent to this, the virus could acquire adaptive amino acid substitutions in the HA protein, leading it 861 to lose its Neu5Gc binding ability and exclusively bind to Neu5Ac. Consequently, the transmission 862 capability of these adapted viruses in waterbirds decreases.

| 864 | Supporting information captions |
|-----|--|
| 865 | List of Supplementary Tables |
| 866 | Table S1. Distribution of H7 influenza A viruses (IAVs) in avian species. |
| 867 | Table S2. Amino acid polymorphisms among H7 viruses from public databases. |
| 868 | Table S3. List of H7 avian influenza viruses used in the intra-host genomic diversity analyses. |
| 869 | Table S4. Intra-host amino acid polymorphisms for H7 avian influenza viruses |
| 870 | Table S5. List of glycans printed on the glycan microarray. |
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| 872 | mutagenesis. |
| 873 | |
| 874 | List of Supplementary Figures |
| 875 | Fig. S1. Distribution of H7 influenza A viruses (IAVs) in avian species. |
| 876 | Fig. S2. Endpoint binding analyses of virus-glycan interaction using biolayer interferometry for H7 |
| 877 | mutant viruses. |
| 878 | Fig. S3. The H7 IAVs detected recently in the US domestic poultry gained limited adaptation to the |
| 879 | poultry host. |



Fig. 2







Amino Acid Position in HA (H3 Numbering)

| Fig. 4 a | Trachea | Small intesti | ne Colon | | Cloaca | |
|--|---|---|--|-------|------------|----------------|
| Chick | ken | | | | | DAPI Neu5Gc |
| Cana Goo | da se | | | | A Stall | |
| bioRxiv preprii (which was not o Malla | nt doi: https://doi.org/10.1101/2024.01.02.573 certified by peer review) is the author/funder 105 and is also made ard | 990 this version posted Janua Ihis article is a US Governmer savellable for us e un der a CC | ary 3, 2024. The copyright holder for it work. It is rot subject to copyright 0 license. | | | |
| Gadw | vall | | | | The second | |
| Gree wing tea | en- ed al | | | 8.88 | A the | |
| Northe Shovel | ern ler | | | | | |
| Wa Du | ood ick | | | | | |
| b — | Species | Trachea | Small intestine | Colon | Cloaca | |
| | Chicken | - | - | - | - | |
| | Canada Goose | - | - | - | - | |
| | Mallard | - | - | + | ++ | |
| | Gadwall | - | - | - | - | |
| | Green-winged teal | - | ++ | - | - | |
| | Northern | ++ | ++ | + | - | |
| | Wood duck | - | + | + | - | |

