

# **Overnight exposure to high altitude in pulmonary hypertension: adverse events and effect of oxygen therapy**

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# **Introduction**

<span id="page-0-0"></span>Stable-treated patients with pulmonary vascular disease (PVD) defined as pulmonary arterial or distal chronic thromboembolic pulmonary hypertension (PAH/CTEPH) wish to participate in daily activities including travel to high altitude or by air, but may be at increased risk of adverse events at high altitude  $(AE_{HA})$ .<sup>[1,2](#page-2-0)</sup> Thus, pulmonary hypertension (PH) guidelines recommend that symptomatic PVD patients should not travel >1500 m or fly without supplemental oxygen therapy  $(SOT)<sup>3</sup>$  $(SOT)<sup>3</sup>$  $(SOT)<sup>3</sup>$  but evidence is scarce and ambiguous.<sup>[4](#page-2-0)</sup>

## <span id="page-0-2"></span>**Methods**

Pulmonary vascular disease patients were investigated at 470 m (Zurich, low altitude, LA) and 2500 m (high altitude, HA) during a 30 h overnight stay at Mount Säntis according to a randomized-sequence, cross-over design (LA–HA vs. HA–LA) including >2-week washout between study sites. Patients were transported within 3 h by car and ropeway from home to 2500 m. The trial was performed between October 2021 and April 2022, ethically approved and registered (ClinicalTrials.gov: NCT05107700).

<span id="page-0-1"></span>Patients were adults of all genders diagnosed with pre-capillary PH, classified as PAH or distal CTEPH, $<sup>3</sup>$  $<sup>3</sup>$  $<sup>3</sup>$  stable on medical therapy and providing written in-</sup> formed consent. Chronic thromboembolic pulmonary hypertension patients were inoperable or had persistent PH after interventional therapy. Patients with baseline PaO<sub>2</sub> <8 kPa, FEV<sub>1</sub> or FVC <70%, significant concomitant diseases, pregnancy or breast feeding were excluded.

The main outcomes were AE<sub>HA</sub>, pre-defined as (i) severe hypoxaemia [oxygen saturation by pulse oximetry  $(SpO<sub>2</sub>) < 80\% > 30$  min], or (ii) acute mountain sickness (AMS) by Lake Louise score ≥4 with headache or AMSc score ≥0.7, or (iii) any new illness including symptomatic cardiac arrhythmia, severe rise in systolic/diastolic blood pressure (>200/100 mmHg) or angina, and the therapeutic effect of SOT to restore baseline values in  $AE_{HA}$ .

Participants'  $SpO<sub>2</sub>$  and condition were frequently monitored during daytime and continuously overnight at 2500 m. Patients with severe hypoxaemia were treated with SOT 3 L/min via nasal cannula (EverFlow, Philips Respironics) and had further HA assessments using SOT.

Other outcomes the second day at 2500 vs. 470 m were change in resting  $SpO<sub>2</sub>$ , systolic pulmonary artery pressure (sPAP) calculated from tricuspid regurgitation pressure velocity by echocardiography and 6 min walk distance (6MWD) including  $SpO<sub>2</sub>$ , heart rate, and Borg dyspnoea scale (Borg) at end-walk. Statistical analysis was performed with Stata (StataCorp, College Station, TX, USA) using mixed-linear regression models, and variables are presented as means  $\pm$  standard deviation, numbers or mean differences (95% confidence intervals). A two-sided *P*-value <.05 was considered statistically significant.

## **Results**

Of 65 assessed, 27 patients (12 women, 20 PAH, 7 distal CTEPH, age  $62 \pm 14$  years) were included (*[Figure 1](#page-1-0)*). All felt well during the study including 3 months thereafter. Pre-defined  $AE_{HA}$  occurred in 14/27: 10/27 (37%) had severe hypoxaemia (9/10 nocturnal), thereafter treated with SOT, and 7/27 scored positive for AMS, of which 3/7 combined with severe hypoxaemia.

In patients without severe hypoxaemia at 2500 vs. 470 m, the  $SpO<sub>2</sub>$ was lower  $(89 \pm 3 \text{ vs. } 96 \pm 2\% , P < .001)$ , the sPAP was higher  $(61 \pm$ 23 vs.  $40 \pm 19$  mmHg,  $P = .004$ ), and 6MWD was equal (576  $\pm 74$  vs.

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<span id="page-1-0"></span>



Figure 1 (A) Baseline characteristics of 27 patients with pulmonary vascular disease defined as pulmonary arterial hypertension or distal chronic thromboembolic pulmonary hypertension are shown (left sector, WHO-FC, WHO dyspnoea functional class; PVR, pulmonary vascular resistance;  $PaO<sub>2</sub>$ , partial pressure of oxygen in arterial blood). Adverse events at high altitude (AE<sub>HA</sub>) including severe hypoxaemia and acute mountain sickness are graphically illustrated (middle sector). The sector on the right reveals the time to AE<sub>HA</sub> overall (solid black line) and stratified for patients with severe hypoxaemia treated with supplemental oxygen therapy (long dashed orange line) and those with acute mountain sickness without severe hypoxaemia (dotted blue line), with colours corresponding to the illustration in the middle sector. (*B*) Assessments at 470 and 2500 m are shown stratified for patients without supplemental oxygen therapy at 2500 m (left dark blue bars and whiskers) and patients with severe hypoxaemia AE<sub>HA</sub> at 2500 m (right orange bars and whiskers). Bars at the left of each of the six subpanels represent means and standard deviations, whiskers represent mean difference and 95% confidence interval. \*Significant difference (*P* < .05) as calculated by a mixed-linear regression model by intervention (2500 vs. 470 m) corrected for the intervention sequence as a fixed effect and subjects as a random effect. SPAP, systolic pulmonary artery pressure assessed from tricuspid regurgitation velocity without adding right atrial pressure by echocardiography

605  $\pm$  72 m, P = .240) with lower SpO<sub>2</sub> (82  $\pm$  5 vs. 91  $\pm$  3%, P < .001), higher Borg  $(7 \pm 2 \text{ vs. } 7 \pm 2, P < .001)$ , but unchanged heart rate (135  $\pm$  21 vs. 137  $\pm$  18 b.p.m.,  $P = .741$ ) at end-walk. In patients with severe hypoxaemia needing SOT,  $SpO<sub>2</sub>$  and sPAP were restored to baseline (91  $\pm$  5 vs. 94  $\pm$  3%, P = .250 and 49  $\pm$  20 vs. 40  $\pm$  22 mmHg,  $P = .322$ ), whereas the 6MWD was reduced (485  $\pm$  78 vs. 558  $\pm$  85 m, *P* = .045) with a lower SpO<sub>2</sub> (79  $\pm$  5 vs. 89  $\pm$  6%, *P* < .001), higher Borg  $(8 \pm 1 \text{ vs. } 6 \pm 1, P < .001)$  but unchanged heart rate  $(117 \pm 16 \text{ vs. } 1001)$  $132 \pm 23$  b.p.m.,  $P = .095$ ).

## **Discussion**

<span id="page-1-1"></span>This randomized controlled trial in stable PVD patients, mostly in functional Class I/II, showed that during 30 h at 2500 m in accordance with a weekend getaway, pre-defined  $AE_{HA}$  occurred in 14/27 patients, mostly as severe hypoxaemia during the night, which was effectively treated with subsequent SOT.<sup>[5](#page-2-0)</sup> All patients felt subjectively well at 2500 m. The threshold of  $SpO<sub>2</sub>$  desaturation which forces physicians to provide SOT at HA is debated, but strongly depends on whether healthy or

<span id="page-2-1"></span><span id="page-2-0"></span>patients are concerned. Whilst anaesthetists maintain  $SpO<sub>2</sub> >92%$  by routinely administering high-dose SOT, many tourists and mountaineers feel well for prolonged times at very HA with much lower  $SpO<sub>2</sub>$  even under strenuous exercise.<sup>6</sup> Healthy report  $SpO<sub>2</sub>$  around 85% at 4500 m that decreases to 45% at 8848 m without experiencing long-term consequences. However, observing PVD patients with  $SpO<sub>2</sub>$ <80% at HA would be considered hazardous by physicians and ethical board in fear of serious AE<sub>HA</sub> and guidelines recommend to treat PVD patients with PaO<sub>2</sub> <8 kPa with SOT.<sup>3</sup> We thus administered SOT if  $SpO<sub>2</sub>$  dropped <80% >30 min at HA, a threshold proven confident in >300 chronic obstructive pulmonary disease (COPD) patients, preliminary data in PVD and by the present PVD cohort.<sup>1,5</sup>

<span id="page-2-2"></span>Data about PVD patients systematically investigated in a hypoxic environment are scarce and restricted to very short time, mostly to normobaric hypoxia.<sup>1,2,8</sup> During a daytrip to 2500 m, 3/30 PVD patients needed SOT according to similarly defined  $AE_{HA}$ .<sup>1</sup> Presently, severe hypoxaemia occurred almost exclusively during nights, which is in accordance with COPD patients at 2590 m and a pilot of 9 PVD patients at 2048 m. $\frac{8}{3}$ 

<span id="page-2-3"></span>Of the 7/27 PVD patients who scored positive for AMS due to mild headache, only 3 needed SOT, none wanted painkillers and all stayed at HA without subjective complaints. Thus, current AMS questionnaires may not define clinically relevant altitude illness in PVD patients, who may indicate occasional headache even at low altitude, potentially associated with vasodilator treatment. Albeit PVD patients with  $AE_{HA}$  were less fit, logistic regression models did not identify low-altitude measures that significantly predict  $AE_{HA}$ .

<span id="page-2-5"></span><span id="page-2-4"></span>At 2500 m, SOT restored  $SpO<sub>2</sub>$  and sPAP to low-altitude levels, whereas patients not needing SOT revealed lower  $SpO<sub>2</sub>$  and higher sPAP, but revealed similar 6MWD, suggesting unchanged pressure flow.<sup>9</sup> Contrarily, patients needing SOT at 2500 m revealed a lower 6MWD along with a reduced  $SpO<sub>2</sub>$  and higher Borg at end-walk. Thus, in contrast to high-flow SOT,<sup>10</sup> 3 L/min via nasal cannula was not sufficient to reverse 6MWD and exercise-induced hypoxaemia at HA, potentially due to increased mouth breathing or insufficient flow. The main limitations are the relatively fit PVD collective with a mean baseline 6MWD of 580 m, the short HA exposure, and studying only central European PVD patients.

To conclude, stable, low-risk PVD patients tolerated a weekend getaway to 2500 m for up to 30 h generally well, none needed evacuation. In case of severe hypoxaemia, SOT restores resting but not exercise low-altitude physiology. The results of this field study help to counsel PVD patients for HA sojourns and call for future longer term studies.

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S.U. is the guarantor and takes responsibility for the content of the manuscript, including the data and analysis.

## **Declarations**

#### **Disclosure of Interest**

S.U. reports grants from Johnson and Johnson SA, Switzerland, during the conduct of the study; and grants from the Swiss National Science

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## **Data Availability**

The data presented in this study are available on reasonable request from the corresponding author. Data will be uploaded to an online repository after publication including substudies.

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#### **Ethical Approval**

The trial was approved by the ethics committee Zurich (KEK Project-ID 2021-00243).

## **Pre-registered Clinical Trial Number**

The pre-registered clinical trial numbers are (Clinicaltrials.gov: NCT05107700 and NCT05112172).

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