

STATE OF THE ART

Immunothrombosis in neurovascular disease

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Handling Editor: Dr Neil Zakai

Abstract

A State of the Art lecture titled “Immunothrombosis in Neurovascular Diseases” was presented at the International Society on Thrombosis and Haemostasis Congress in 2023. Despite significant clinical advancements in stroke therapy, stroke remains a prominent contributor to both mortality and disability worldwide. Brain injury resulting from an ischemic stroke is a dynamic process that unfolds over time. Initially, an infarct core forms due to the abrupt and substantial blockage of blood flow. In the subsequent hours to days, the surrounding tissue undergoes gradual deterioration, primarily driven by sustained hypoperfusion, programmed cell death, and inflammation. While anti-inflammatory strategies have proven highly effective in experimental models of stroke, their successful translation to clinical use has proven challenging. To overcome this translational hurdle, a better understanding of the distinct immune response driving ischemic stroke brain injury is needed. In this review article, we give an overview of current knowledge regarding the immune response in ischemic stroke and the contribution of immunothrombosis to this process. We discuss therapeutic approaches to overcome detrimental immunothrombosis in ischemic stroke and how these can be extrapolated to other neurovascular diseases, such as Alzheimer’s disease and multiple sclerosis. Finally, we summarize relevant new data on this topic presented during the 2023 International Society on Thrombosis and Haemostasis Congress.

KEYWORDS

neutrophil extracellular traps, neutrophils, platelets, stroke, thrombosis

1 | INTRODUCTION

Stroke is one of the leading causes of death and disability in the world [1]. Ischemic stroke accounts for approximately 70% of all strokes and occurs when a blood clot obstructs blood flow to the brain [2]. Since neurons are very sensitive to even transient periods of ischemia, the mainstay of ischemic stroke therapy is focused on rapid removal of the occlusive thrombus [3]. Depending on the location and size of the thrombus, patients who experience stroke and are eligible for therapy either receive thrombolytic therapy or undergo an endovascular procedure to restore blood flow to the brain. Unfortunately, a

majority of patients who experience stroke are ineligible for reperfusion therapy [3]. Furthermore, stroke survivors are at a high risk for recurrent stroke and have higher chances of developing dementia [4].

The brain injury associated with ischemic stroke is dynamic and evolves over time [5]. Initially, an infarct core will form, caused by the direct and substantial blockade of blood flow. In the next hours to days, the surrounding tissue will gradually deteriorate as a consequence of continued hypoperfusion, programmed cell death, and inflammation. Importantly, this process can also occur in patients who initially achieve full restoration of blood flow (reperfusion). Indeed, extensive preclinical and clinical evidence has reported that

inflammation increases stroke risk and aggravates stroke outcomes irrespective of reperfusion therapy [6]. In animal models of stroke, blocking excessive inflammation has been shown to be very effective [7,8]. However, anti-inflammatory approaches have been hard to translate to the clinic. Approximately 30% of patients who experience stroke develop an infection in the first days after stroke, and this is associated with a higher risk of poor outcome or death [9–11]. It is, therefore, not inconceivable that therapeutic interventions targeting the immune system come with a risk that is overlooked in preclinical stroke models using young and healthy rodents. To overcome this translational hurdle, a better understanding of the distinct immune response driving ischemic stroke brain injury is needed.

In this review article, we summarize the state of the art on the immune response contributing to ischemic stroke pathophysiology with a focus on immunothrombosis, the intricate interplay between inflammation and thrombus formation.

2 | BRAIN NEUTROPHIL RECRUITMENT IN THE ACUTE PHASE OF STROKE

It is well recognized that brain ischemia induces a strong inflammatory phenotype that is associated with secretion of a variety of inflammatory proteins attracting and activating leukocytes [12]. This process happens very quickly, already in the early moments of ischemia and changes over time. Early on, the inflammatory response is neutrophil-driven and associated with worsened outcomes (Figure 1) [13–15]. As time passes, the predominant infiltrating immune cells change to monocytes and macrophages, which assume a reparative phenotype [16,17]. This phenotype is strongly driven by microglial cells, the brain resident macrophages. Reactive microglia engulf neutrophils within the ischemic lesion, serving as a defense mechanism against the damaging effects of neutrophils in the ischemic brain [18,19].

Several clinical studies have employed innovative imaging techniques to visualize neutrophil recruitment to the brain in the acute setting [20]. Using single-photon emission computed tomography, Akopov et al. [15] were the first to report that brain neutrophil recruitment correlated strongly with the severity of brain tissue damage and subsequent poor neurologic outcome. Taking advantage of recent advancements in endovascular procedures, several groups have been able to validate these early studies by sampling blood directly from the affected brain territory [21]. These studies confirmed a neutrophil-predominant response that is initiated before reperfusion is achieved [22,23] and that most likely occurs through pial collateral channels. Critically, for studying therapeutic interventions, a very similar inflammatory response occurs in models of experimental ischemic stroke (Figure 1) [24]. Additionally, the abundance of infiltrated immune cells correlates with the extent of brain injury. In the first 24 hours after murine stroke, neutrophils are mainly recruited to the cortical penumbral tissue, actively contributing to brain damage [25]. In support of this, a multitude of preclinical studies have targeted neutrophils and seen drastic improvement in ischemic stroke outcomes (reviewed in the study by Jickling et al. [14]). However, translation to human studies has largely failed. It is important to note that these clinical studies were all focused on preventing transendothelial migration of neutrophils to the brain parenchyma [26,27]. As no study, so far, has found a transendothelial migration mechanism that is unique to the brain, these strategies are associated with an infection risk, which might be particularly dangerous to the immunosuppressed patient who experienced stroke. Besides fighting infections, cytoprotective neutrophils have also been reported to be involved in post-stroke recovery [28]. Therefore, targeting general neutrophil function, or blocking overall neutrophil recruitment might not be the best therapeutic approach.

Over the last decade, it has become clear that neutrophils do not necessarily need to extravasate to exert neurotoxicity. Several

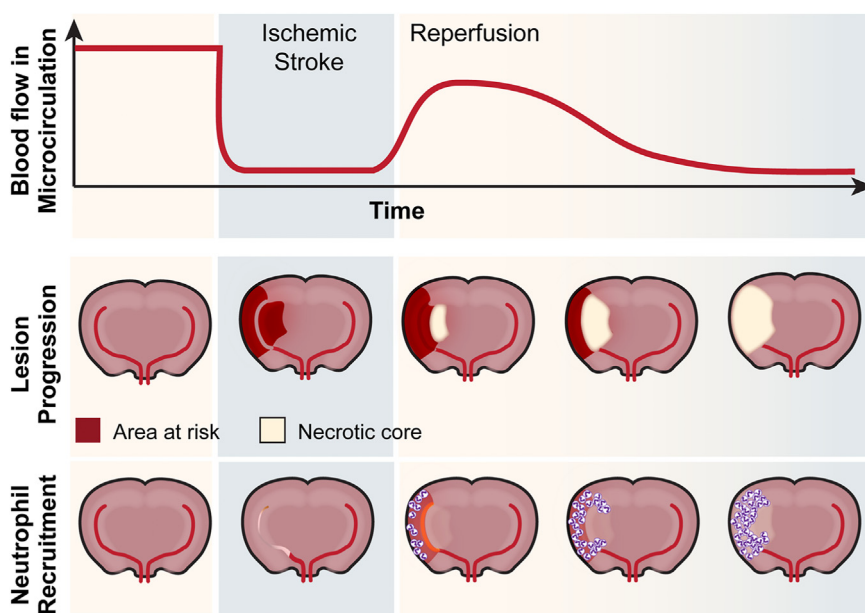


FIGURE 1 Neutrophil recruitment during cerebral ischemia-reperfusion injury leads to reduced blood flow in the microcirculation and increased lesion progression. Before an ischemic stroke, the brain has healthy blood flow with few neutrophils in the tissue. Upon ischemic stroke brain injury, blood flow ceases to the infarct area, leaving a region of the brain at risk for tissue death. Upon reperfusion, blood flow is restored to the area, but neutrophils begin to infiltrate immediately. Over time, neutrophil recruitment results in decreased blood flow in the microcirculation and increased tissue damage.

histologic studies have found neutrophils particularly within the vasculature or perivascular space of cerebral vessels [29–32]. This phenomenon is independent of stroke severity in animal models and has been validated by histopathologic studies in patients who experienced ischemic stroke [29,32,33]. In particular, at early time points after stroke onset, neutrophils are confined to the vascular compartment. Within the vasculature, neutrophils aggravate stroke outcomes by impairing microvascular blood flow downstream of the original occlusion site [34–37]. Of translational relevance, a recent study found that this phenomenon increases with age [38]. Aged mice and elderly patients who experience stroke have dysregulated granulopoiesis after stroke, resulting in distinct neutrophils that are associated with worse reperfusion and outcome [38]. This phenotype was linked to a prothrombotic response in the cerebral microvasculature.

3 | IMMUNOTHROMBOSIS AS A DRIVER OF ISCHEMIC STROKE BRAIN INJURY

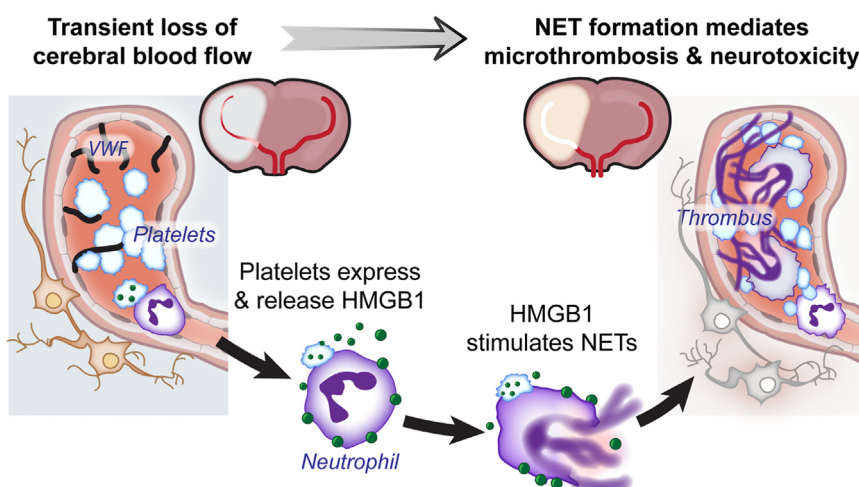
Insights into how neutrophils drive ischemic stroke brain injury came from an unexpected ally to the neutrophil: the platelet. Platelets play a critical role in the formation of obstructive thrombi causing stroke [39] and antiplatelet agents are part of standard of care for the prevention and treatment of ischemic stroke [40]. However, platelets also play a role in ischemic stroke downstream of the occlusive thrombus. Moreover, the mechanisms by which platelets do this depend on their interaction with neutrophils.

The first hint at a role for platelets in stroke beyond their classic function came from the seminal publication from Kleinschnitz et al. [41], who found that blocking platelet adhesion or activation but not platelet–platelet aggregation ameliorated murine stroke outcomes. These findings were later reproduced by many groups with the use of many different genetic and/or therapeutic approaches [42]. These studies imply a heterotypic interaction between platelets and immune cells drives stroke progression downstream of the original occlusive

thrombus. Mechanistically, hypoxia induced by the occlusive thrombus will initiate release of endothelial von Willebrand factor, which upon exposure to blood will capture platelets via their glycoprotein (GP) Ib receptor (Figure 2) [43,44]. Platelets subsequently become strongly activated by signaling through their collagen receptor GPVI in combination with locally generated thrombin [45]. This induces a procoagulant platelet phenotype [46,47], which subsequently generates fibrin and recruits neutrophils through a plethora of receptors present on the activated platelet surface [48–50]. Once recruited, neutrophils get activated by platelet released damage-associated molecular patterns such as HMGB1, inducing the formation of neutrophil extracellular traps (NETs) (Figure 2) [51–53]. Although the primary function of NETs is to trap and kill pathogens to help fight infection [50], they are prothrombotic and neurotoxic when formed in the brain [50,54,55]. Importantly, preventing or degrading NETs protects mice from ischemic stroke brain injury [33,56–58]. When we blocked NET formation with a natural NET inhibitor, brain neutrophil recruitment was unaffected and the specific acute inhibition of NETs was associated with greatly improving long-term stroke outcomes [33]. From a translational point of view, NET inhibition strategies will likely improve stroke outcomes also independent of reperfusion injury by aiding in thrombolysis [57–60]. Important for clinical translation, most targets of this pathway have been validated across different laboratories using different stroke models, including comorbidities and coadministration of tissue plasminogen activator. In fact, comorbidities such as hyperglycemia [61–63], hyperlipidemia [64,65], and older age [66–69], which are common in patients who experience stroke, have been reported to be associated with increased platelet–leukocyte interactions and an increased propensity to form NETs.

In support of these experimental studies, clinical studies have found strong associations with several components of the immunothrombosis pathway and ischemic stroke outcomes. Patients who experience ischemic stroke and have dysregulated von Willebrand factor levels or increased platelet surface GPVI expression are more likely to experience a stroke or have worse outcomes after

FIGURE 2 Platelet-dependent neutrophil extracellular trap (NET) release induces microthrombosis and neurotoxicity during ischemic stroke brain injury. Hypoxic injury results in release of von Willebrand factor (VWF) from cerebral endothelial cells, leading to platelet recruitment and activation. Platelet activation results in expression of platelet P-selectin and phosphatidylserine allowing for increased neutrophil–platelet interactions. Activated platelets can then release high mobility group box-1 (HMGB1), which can bind to neutrophils, resulting in NET release. Intravascular NETs trap additional neutrophils, platelets, and other immune cells to form a thrombus.



stroke [70,71]. Likewise, increased *in vitro* procoagulant platelet responses are associated with stroke severity and outcomes and have been shown to predict stroke recurrence [72–74]. Lastly, increased NET biomarkers levels [75–79] or a reduced ability to degrade NETs is also associated with worse ischemic stroke outcomes [80].

4 | TRANSLATION TO THE CLINIC: A NEW HORIZON

As mentioned in the introduction, several drugs that target the immune system, which were effective in preclinical stroke models, have failed in clinical trials. Part of this translational problem has long been the difference in how stroke is modeled in a laboratory compared to a real-life patient who experienced stroke. In particular, the most commonly used preclinical ischemic stroke model is the transient middle cerebral artery occlusion model. In this model, cerebral blood flow is blocked for a predefined time after which reperfusion is initiated. In contrast, most clinical trials mainly included patients who experienced stroke and for whom reperfusion therapy was either not available or not successful due to the low efficacy of thrombolytics. It is more than likely that for any therapeutic strategy to work in patients who experienced stroke, at least partial reperfusion is needed [81]. Additionally, it has become evident that not all inflammation is bad in stroke. Not only do neutrophils contribute to immunothrombosis but there is also a subtype of neutrophils that exerts an anti-inflammatory phenotype (N2 neutrophils), a phenotype that is modulated by toll-like receptor 4 signaling [82,83]. Furthermore, neutrophils ameliorate long-term stroke outcomes by releasing cathelicidin antimicrobial peptide, which promotes endothelial cell proliferation and angiogenesis after stroke [84]. These studies highlight the versatility of neutrophils in the acute phase of stroke and beyond and argue against any broad inhibition of neutrophil function in stroke.

In light of the successful thrombectomy trials, interest has reemerged in neuroprotective and/or anti-inflammatory drugs to further improve outcomes in patients who experience stroke and achieve reperfusion [85]. Trials in this patient population will be the first to really evaluate the translational potential of preclinical stroke research and will be the critical first step in the evaluation of a new generation of anti-inflammatory drugs for the broader stroke patient population. Of interest to the topic of this review article, 2 drugs have recently successfully undergone the first clinical trials to assess safety and efficacy in patients who experienced ischemic stroke.

ApTOLL is a DNA aptamer that acts as an antagonist to toll-like receptor 4. ApTOLL administration to healthy adult volunteers was previously found to be safe with a favorable pharmacokinetic profile [86]. In patients who experienced ischemic stroke, 0.2 mg/kg of ApTOLL administered within 6 hours of onset in combination with thrombectomy was safe and associated with significant clinical benefit. ApTOLL significantly reduced infarct volume and stroke severity measured at 72 hours after stroke and reduced mortality and disability at 90 days compared with placebo [87]. A unique feature of

this trial was the innovative selection of eligible patients who experienced stroke. For this trial, patients with very small strokes or very big strokes were excluded. This strategy was recently optimized to identify the ideal target population for neuroprotective drugs in patients who experienced stroke and were undergoing thrombectomy [88].

Glenzocimab is a Fab fragment of a humanized anti-GPVI monoclonal antibody [89]. In healthy volunteers, glenzocimab inhibited collagen-induced platelet aggregation in a dose-dependent manner without alter bleeding time, platelet counts, or GPVI expression levels [90]. While not yet published, the results of the Acute Ischemic Stroke Interventional Study (ACTIMIS) trial (NCT03803007) were recently presented at several international conferences [91]. The ACTIMIS trial was a phase 1b/2a clinical trial in patients who experienced ischemic stroke where glenzocimab was included as an add-on therapy to standard of care. The trial was very positive, with both a significant reduction in mortality and an improvement in neurologic outcomes specifically in patients undergoing thrombectomy. The most remarkable result from this trial was the reduction in intracranial hemorrhages, both symptomatic and asymptomatic. Importantly, this effect persisted in patients treated with tissue plasminogen activator, aspirin, and glenzocimab [92]. While counterintuitive, this reduction in bleeding is in line with preclinical studies where glenzocimab was shown to not affect inflammatory bleeding, such as that present in the ischemic stroke brain [93].

5 | PLATELETS AND NEUTROPHILS IN THE BRAIN BEYOND STROKE

In addition to their role in stroke, platelets and neutrophils are known to drive other forms of neurovascular diseases either separately or in combination with each other.

Alzheimer's disease (AD) is a progressive brain disorder resulting in dementia and is caused by the accumulation of extracellular neurofibrillary tangles composed of tau proteins and amyloid- β ($A\beta$) plaques [94,95]. The formation of tau neurofibrillary tangles is due to the alternative splicing of the microtubule-associated protein tau gene [96], resulting in the formation of a soluble protein, while $A\beta$ plaques are generated from the cleavage of amyloid precursor protein (APP) by β -secretase and γ -secretase [97]. This results in the formation of $A\beta$ oligomers, which are detrimental to the surrounding neurons. Interestingly, platelets are one of the most abundant sources of APP in blood due to their release upon platelet activation [98]. However, whether platelets play a causative role in the development of AD remains unclear. Human studies have demonstrated increased platelet activation in patients with AD [98]. In addition, patients with AD have increased platelet β -secretase activity, which increases cleavage of APP [99]. *In vitro* studies as well as murine models of AD have suggested a potential role for platelets in the development of AD. *In vitro*, antiplatelet drugs inhibit the formation of $A\beta$ aggregates, while in murine models, antiplatelet therapies limit the amount of $A\beta$ aggregates deposited in blood vessels [100,101]. Furthermore, platelets

isolated from mice with AD induce blood vessel damage and neuro-inflammation in non-AD mice brains, thus providing evidence for a direct role for platelets in the pathogenesis of AD [102].

In addition to platelets, neutrophil infiltration and activation are associated with the development of AD. Neutrophil-associated myeloperoxidase is increased in the brains of patients with AD as well as in murine models of AD [103,104]. Interestingly, neutrophil localization appears to associate with small vessels within the brain with the occasional neutrophil near A β plaques [105]. Furthermore, increased NET released is observed in human and murine models of AD with NETs, potentially contributing to blood–brain barrier (BBB) breakdown and neuronal damage [106]. Importantly, the increase in neutrophil adhesion in the brain during AD is associated with alterations in cerebral blood flow, reducing perfusion to areas of the brain and increasing memory deficits in murine models [105,107]. However, blocking neutrophil adhesion through genetic deletion of neutrophil specific receptors or depletion of neutrophils reduces tau deposits and improves cognitive function [104,106]. As platelets are key regulators of neutrophil adhesion and activation, whether platelets facilitate these interactions during AD is unknown and a potential area of future research.

Multiple sclerosis (MS) is characterized by the development self-reactive T cells against myelin proteins in the central nervous system [108]. The autoimmune nature of the MS results in inflammation, leading to significant demyelination and axonal damage. A majority of patients with MS go through disease cycles where initial neurologic symptoms are present, followed by a period of clinical stability, which is interrupted with recurring episodes of clinical neurologic symptoms [108]. While there are significant roles for T cells in the development of MS, platelets and neutrophils are also believed to play roles in the pathogenesis of the disease. Previous studies have documented enhanced platelet activation in patients with MS, while more recent studies have demonstrated platelet deposition in plaques from a patient with MS [109–111]. Furthermore, platelets are commonly found in the brains of mice in a model of experimental-induced autoimmune encephalomyelitis (EAE), an experimental MS model in mice [111]. Interestingly, platelet depletion blunts the progression of EAE, resulting in decreased inflammation and leukocyte infiltration [112]. Platelet-mediated EAE disease progression occurs through multiple processes, including integrin α IIb and GPIIb, as well as through the release of serotonin and platelet activating factor [111,113,114]. Genetic deletion of the platelet activating factor or serotonin receptor results in improvement of EAE in mice, while serotonin uptake inhibitors have reduced clinical disease burden in human patients [113,115].

Previous studies have demonstrated a prominent role for neutrophils in the initial formation of lesions along with that prior to disease relapse [116]. In animal models, depletion of neutrophils before disease onset and relapse significantly reduces disease burden [117]. Neutrophil and T-cell recruitment to the central nervous system in MS is dependent on the breakdown of the BBB [118]. Importantly, increased BBB permeability is associated with early recruitment of

neutrophils, while neutrophil depletion preserves BBB function [117]. Furthermore, while deletion of neutrophils preserves the BBB, inflammatory cells still entered into the space adjacent to the BBB but are unable to traffic to the brain, indicating neutrophils are key regulators of BBB integrity and recruitment of other immune cells [117,119]. Neutrophil-dependent breakdown on the BBB is believed to occur through release of matrix metalloproteinase and reactive oxygen species [118]. While NETs are known to be increased in sera of some patients with MS, mainly males, they do not correlate with disease severity, and it is unknown whether they play a role in the breakdown of the BBB in MS; therefore, additional studies are needed to define the role of NETs in the development and progression of MS [26,120].

While there are prominent roles for platelets and neutrophils in MS disease progression, reciprocal interaction between the 2 cells in propagating MS has not been definitely shown. However, given the close interaction between the 2 cells in driving inflammation and cellular recruitment, more studies are warranted to clearly define if platelets and neutrophils synergistically work together to influence the development of MS.

6 | INTERNATIONAL SOCIETY ON THROMBOSIS AND HAEMOSTASIS CONGRESS REPORT

At the International Society on Thrombosis and Haemostasis 2023 conference, there was a particular focus on immunothrombosis, and several interesting new studies were presented. Here, we highlight some that covered cerebrovascular disorders.

Since the US Food and Drug Administration/European Medicines Agency approval of thrombectomy for ischemic stroke, retrieved stroke clots have provided a wealthy source of information [121]. However, classic histologic procedures are limited by the number of antibodies you can use simultaneously, and therefore, complex colocalization studies are difficult. Roberts et al. [122] presented work from her group showing a novel way to analyze ischemic stroke thrombi by using imaging mass cytometry, allowing the use of 20 antibodies at the same time. While limited in the number of thrombi analyzed so far, they found an association between increased leukocyte content and cardioembolic etiology [122].

Although classically regarded as 2 very different diseases, venous thromboembolism (VTE) is observed in approximately 10% of patients who experience ischemic stroke despite VTE thromboprophylaxis [123]. New work presented by Dhanesha et al. [123] at this year's conference hints at a role for neutrophils and immunothrombosis in the pathophysiology of poststroke VTE [124]. Dhanesha et al. [123] found a hyperreactive neutrophil phenotype that persisted after stroke. Through a mechanism involving the interaction with neutrophil integrin α 9 and VCAM-1, poststroke neutrophils induced greater VTE thrombi, stabilized by NETs.

While not mentioned earlier in this review article, the contact pathway also contributes to immunothrombosis through the generation of bradykinin and fibrin [125]. Targeting the contact pathway through inhibition of factor XI has recently gained a lot of attention because of its high efficacy in preventing pathologic clot formation without inducing bleeding [126]. Several articles have previously demonstrated the efficacy of targeting the contact pathway in stroke [127,128], and data were presented at the 2023 International Society on Thrombosis and Haemostasis conference that this could also be the case for EAE and experimental cerebral malaria. In an experimental model of EAE, pharmacologic blockade of factor XI reduced the clinical severity of EAE, which was attributed to reduced BBB disruption, decreased axonal damage, and fibrin(ogen) accumulation in the spinal cord [124]. In a model of experimental cerebral malaria, Pinheiro et al. [129] found a specific role for the inflammatory axis of the contact pathway where they found that high molecular kininogen influenced vasogenic edema and neurologic integrity in experimental cerebral malaria.

7 | FUTURE DIRECTIONS

Over the last 10 years, our knowledge of the immune players involved in ischemic stroke brain injury has evolved. While platelets play critical roles in the initiation and progression of ischemic stroke, there is strong evidence that other immune cells, including neutrophils, are directly involved in driving the pathophysiology of stroke. As anti-platelet therapies are not beneficial in all populations, continuing to develop novel therapeutic targets against noncanonical platelet receptors or activation pathways as well as immune cells may provide significant benefit in primary and recurrent stroke. Previous clinical trials targeting classical neutrophil function such as adhesion demonstrated no clinical benefit and, in some cases, worsen stroke outcomes due to infection. On the other hand, the development of new traditional antiplatelet drugs, have slightly improved outcomes, but with the risk of increased bleeding. To significantly improve ischemic stroke outcomes, development of therapies that specifically target immunothrombosis while leaving platelet hemostatic function and neutrophil immune function intact is needed. Recent clinical trials have demonstrated some success, specifically with GPVI inhibition. However, therapies against additional pathways and receptors are warranted, including protease activator receptor 4, which was recently shown to play a significant role in racial disparities associated with incident stroke and stroke outcomes [130]. In addition to targeting platelets, development of drugs against neutrophil functions, which promote thrombosis, such as NET formation, has the potential to significantly improve morbidity and mortality based on preclinical studies. These findings now must be translated into larger animal models and in clinical studies. While the field has advanced and our understanding of the role immunothrombosis plays in ischemic stroke brain injury has improved, there is still much unknown, which limits our ability to bring advancements on the clinical front.

ACKNOWLEDGMENTS

The authors would like to thank Diana Lim for her assistance with the figures.

FUNDING

This work was funded by an American Heart Association Postdoctoral Fellowship (21POST830138, to F.D.); the National Heart, Lung, and Blood Institute (R01HL163019, to R.A.C.); and an American Society of Hematology Graduate Award (to A.A.).

AUTHOR CONTRIBUTIONS

F.D., A.A., and R.A.C. wrote the manuscript.

RELATIONSHIP DISCLOSURE

There are no competing interests to disclose.

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