1	Astrocytic CREB in nucleus accumbens promotes susceptibility to chronic stress
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3	Authors and Affiliates
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5 6	Leanne M. Holt ^{1*} , Trevonn M Gyles ¹ , Eric M. Parise ¹ , Angelica Minier-Toribio ¹ , Tamara Markovic ¹ , Matthew Rivera ¹ , Szu-Ying Yeh ¹ , Eric J. Nestler ^{1*}
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8	¹ Nash Family Department of Neuroscience and Friedman Brain Institute, Icahn School
9	of Medicine at Mount Sinai, New York, USA.
10	
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12	
13	*Corresponding author
14	
15	Leanne M. Holt, PhD
16	E-mail: leanne.holt@mssm.edu
17	
18	Eric J. Nestler, MD, PhD
19	E-mail: <u>eric.nestler@mssm.edu</u>
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22	Short the. Astrocytic CREB promotes susceptibility to chronic stress
23 24 25 26	Key Words: astrocytes, depression, stress, nucleus accumbens, CREB, RNA-sequencing
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28 Abstract

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Background: Increasing evidence implicates astrocytes in stress and depression in both
 rodent models and human Major Depressive Disorder (MDD). Despite this, little is known
 about the transcriptional responses to stress of astrocytes within the nucleus accumbens
 (NAc), a key brain reward region, and their influence on behavioral outcomes.

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Methods: We used whole cell sorting, RNA-sequencing, and bioinformatic analyses to investigate the NAc astrocyte transcriptome in male mice in response to chronic social defeat stress (CSDS). Immunohistochemistry was used to determine stress-induced changes in astrocytic CREB within the NAc. Finally, astrocytic regulation of depressionlike behavior was investigated using viral-mediated manipulation of CREB in combination with CSDS.

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Results: We found a robust transcriptional response in NAc astrocytes to CSDS in stressed mice, with changes seen in both stress-susceptible and stress-resilient animals. Bioinformatic analysis revealed CREB, a transcription factor widely studied in neurons, as one of the top-predicted upstream regulators of the NAc astrocyte transcriptome, with opposite activation states seen in resilient versus susceptible mice. This bioinformatic result was confirmed at the protein level with immunohistochemistry. Viral overexpression of CREB selectively in NAc astrocytes promoted susceptibility to chronic stress.

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50 **Conclusions:** Together, our data demonstrate that the astrocyte transcriptome responds 51 robustly to CSDS and, for the first time, that transcriptional regulation in astrocytes 52 contributes to depressive-like behaviors. A better understanding of transcriptional 53 regulation in astrocytes may reveal unknown molecular mechanisms underlying 54 neuropsychiatric disorders.

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56 Introduction

57 Stress-related disorders remain one of the world's greatest public health burdens. 58 To date, research has focused primarily on the underlying persistent molecular 59 mechanisms contributing to neuronal dysfunction within limbic regions of the brain, including transcriptional and epigenetic modifications mediating such lasting changes. 60 61 However, emerging evidence indicates that non-neuronal cells, including astrocytes, may 62 play a larger role than previously believed [1-3]. Astrocytes are well known for their ability to regulate synapse formation and function, neuronal transmission, blood brain barrier, 63 and metabolic coupling—all biological processes implicated in stress and depression [1-64 3]. Indeed, changes in astrocyte morphology, number, and function have been observed 65 in both rodent stress models and postmortem studies of human depression across many 66 67 brain regions [4-12]. Antidepressant treatments, including selective serotonin reuptake 68 inhibitor (SSRIs) and ketamine, result in alterations to astrocytes, including reversal of 69 stress-induced changes in astrocyte morphology [13-16]. Furthermore, manipulating astrocyte function bidirectionally influences depressive-like behaviors in rodent models, 70 demonstrating the active role of astrocytes in mediating complex behavior, including those 71 associated with stress and depression [6, 8, 11, 17-21]. 72

73 Previous work using targeted molecular approaches demonstrates dysregulation 74 of astrocytic gene expression in rodent stress models and in human Major Depressive 75 Disorder (MDD), and emerging evidence from unbiased RNA-sequencing (RNA-seq) 76 studies of bulk tissue and single cells across several brain regions also implicate 77 astrocytes [9, 12, 22-26]. However, astrocyte-specific RNA-seg in rodents - across disease models – has only been performed in the prefrontal cortex (PFC), hippocampus, 78 79 and amygdala [9, 24, 25, 27, 28]. Furthermore, while there has been an increase in sequencing studies focused on astrocytes, we know surprisingly little regarding 80 81 transcriptional regulators in astrocytes. Within the nucleus accumbens (NAc), a region 82 important for motivation, reward, and learning, and heavily implicated in stress and 83 depression, alterations in astrocyte number, morphology, and synapse association have 84 been observed [1, 29-31]. Despite this early literature, transcriptional responses of NAc astrocytes and their potential role in regulating behavioral consequences of chronic stress 85 86 remain unknown.

87 We performed RNA-seq on whole-cell sorted NAc astrocytes following chronic social defeat stress (CSDS), a highly validated procedure used to study depression and 88 89 other human stress disorders [1]. Bioinformatic analysis revealed a robust transcriptional 90 response in astrocytes from both resilient and susceptible animals. Additional analysis 91 identified key predicted astrocytic transcriptional regulators, including the transcription 92 factor, cAMP Response Element-Binding Protein (CREB). Transcriptional regulation of 93 neuronal CREB has been extensively studied in neuropsychiatric disorders, with 94 increased CREB activity within NAc neurons associated with depressive behaviors [31, 95 32]. While CREB has been implicated as a transcriptional regulator in cultured astrocytes, 96 its role in astrocytes in vivo, including any effects on behavior, remain elusive [33-36]. We 97 show that viral manipulation of CREB selectively in NAc astrocytes resulted in a bias towards susceptibility to CSDS. In summary, we demonstrate, for the first time, that a 98 99 transcription factor in NAc astrocytes controls behavioral response to stress.

100

101 Methods

102 Animals

All experiments were performed with wildtype C57BL/6 male mice (aged 9–10 weeks, The Jackson Laboratory) according to NIH guidelines and with approval from the Animal Care and Use Committee of Mount Sinai. All animals were group-housed and maintained on a normal 12 hr light/dark cycle (07:00 lights on; 19:00 lights off) with food and water available *ad libitum*. Immediately prior to and during CSDS, mice were single-housed. Every effort was made to minimize pain and discomfort.

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110 Chronic Social Defeat Stress (CSDS) + Social Interaction (SI) testing

Experimental C57BL/6 mice are introduced into the cage of resident CD1 retired breeder mice pre-screened for aggression [37, 38]. This is repeated for 5 minutes daily over 10 days, with housing across a perforated Plexiglass divider for the remaining 24 hr to allow sensory exposure. Control mice are housed with a Plexiglass divider between another wildtype control mouse and rotated to a different cage daily. The SI test for social avoidance behavior was performed within 24 hr of the last CSDS session. Animal exploratory behavior was recorded in an open-field arena containing a wire enclosure.

118 This enclosure was empty for the first 2.5 mins (no target present) and subsequently held 119 a novel CD1 aggressor in the second 2.5 mins (target present). The experiment was 120 conducted under red light conditions. Social avoidance (Social Interaction Ratio) was 121 calculated by dividing the time spent in the interaction zone when the target mouse was 122 present over the time spent in the zone when the target mouse was absent. Using the SI 123 ratio, mice were categorized as either susceptible (SI ratio < 0.9) or resilient (SI ratio >124 1.1), a measure that has been shown to be highly predictive of numerous other behavioral 125 sequelae after CSDS [37, 38].

126

127 Astrocyte Isolation

Astrocytes were isolated using Miltenyi BioTech's ACSA-2 MicroBead Kit as previously described [39, 40] from freshly micropunched NAc tissue (bilateral 14G punches). Following astrocyte elution, a final 300 rcf centrifuge spin was performed, the supernatant removed, and astrocytes resuspended in 300 µL Tri-Reagent (Zymo). To ensure lysis prior to snap-freezing on dry ice, the resuspension was vortexed for 30 seconds. All samples were stored at -80°C until RNA extraction.

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135 RNA Extraction, Library Preparation, and Sequencing

136 RNA was extracted using Zymo's Directzol RNA MicroPrep kit following manufacturer's 137 instructions. RNA quality and quantity was assessed using Bioanalyzer (Agilent). Any 138 sample with a RIN value of less than 7.8 was excluded. 500 pg of RNA was used as input 139 in Takara's SMARTer® Stranded Total RNA-Seq Kit v2 - Pico, with ribodepletion, 140 according to the manufacturer's instructions. Sequencing libraries were generated for 141 each sample individually using Takara's Unique Dual Index Kit. Following library 142 preparation, sequencing was performed with Genewiz/Azenta on an Illumina Novaseq 143 with a 2x150 bp paired-end read configuration to produce 40M reads per sample. Quality 144 control was performed using FastQC. All raw sequencing reads underwent adapter 145 trimming and were mapped to mm10. Genes with a row sum less than 10 were excluded 146 prior to differential gene expression analysis. Differential expression was performed in R 147 version 4.0.2 using the DESeq2 package version 1.28.1 25516281, with built-in 148 independent filtering disabled. For differential expression analysis, Resilient (n = 7) and

- Susceptible (n = 7) astrocytes were compared to Control astrocytes (n = 8). Significant DEGs were determined by a 20% Log2FC and p < 0.05. Volcano plots were generated in R (v4.0.2) using tidyverse package (v1.3.1) and ggplot (v3.4.2). Venn diagrams were generated using nVenn [41]. Union heatmaps were generated using Morpheus
- 153 (https://software.broadinstitute.org/morpheus).
- 154
- 155 Rank Rank Hypergeometric Overlap (RRHO)
- 156 RRHO plots were generated using the RRHO2 package (github.com/RRHO2/RRHO2).
- 157 Human RNA-seq data from the NAc was accessed from [42].
- 158
- 159 Gene Ontology analysis
- 160 Gene ontology for "Biological Processes 2021" database was performed in R using the
- 161 enrichR package with our filtered DEG lists as input [43, 44]. Plots were made with ggplot2
- 162 (v3.4.2). Specific terms presented are summarized if redundancies were present.
- 163

164 Ingenuity upstream regulator analysis

- 165 Predicted upstream regulators were identified using Ingenuity Pathway Analysis software
- 166 (Qiagen) with the identified DEGs lists as input. Upstream regulators were filtered to only
- 167 include those considered as "molecules" with Benjamini-Hochberg corrected p-values for
- 168 p < 0.05 and an activation z-score of greater or lesser than 0.2 [45, 46].
- 169

170 Immunohistochemistry

Brains were collected within 48 hr of the SI test. At time of collection, animals were deeply 171 172 anesthetized with peritoneal injections of 500mg/kg Fatal Plus and intracardially perfused 173 with PBS, followed by 20 mL 4% paraformaldehyde (PFA). Brains were post-fixed for 72 174 hr, and subsequently sliced at 30uM sections. Sections were blocked for 1 hr in blocking 175 buffer, followed by overnight incubation with primary antibodies in diluted blocking buffer 176 (1:3 of blocking buffer in PBS). Sections were then washed for 15 mins (3x) in diluted 177 blocking buffer before being incubated with secondary antibodies (all 1:500) in diluted 178 blocking buffer for 1.5 hr at room temperature in the dark. Three additional 15 min washes 179 in diluted blocking buffer followed the incubation in secondary antibodies (all 1:500).

Finally, sections were counterstained with DAPI (1:10,000) for five minutes before a final 180 181 wash in PBS. Sections were mounted with Fluoromount medium (Sigma #F4680) on 182 glass slides (FisherScientific #12-550-15) and covered with cover glass (FisherScientific #12-548-5E). The following primary antibodies were used: NeuN (MilliporeSigma 183 184 #ABN91; 1:1000), Sox9 (Abcam # ab76997; 1:500), total CREB (Cell Signaling #9197L; 1:500), pCREB (Cell Signaling #9198S; 1:500). The following secondary antibodies were 185 186 used: Ch-488 (Jackson #703-546-155), Ms-Cy3 (#715-166-150), Rb-647 (#711-606-152), Rb-Cy3 (#711-166-152). 187

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189 *Confocal microscopy*

Images were taken on a Zeiss LSM780 microscope with a 40x oil immersion lens. For quantification of CREB and pCREB, the settings for laser power and gain were determined for control samples and subsequently not adjusted. The experimenter was blinded to imaging for the Res and Sus samples. Integrated intensity of CREB and pCREB were determined using Cell Profiler.

195

196 AAV viruses

197 Control EGFP (pAAV.GfaABC1D.PI.Lck-GFP.SV40; #105598-AAV5) and tdTomato 198 (pZac2.1 gfaABC1D-tdTomato; #44332-AAV5) viruses were purchased from Addgene 199 [47]. Astrocyte-specific CREB viruses were generated by Virovek by cloning the GFP-200 CREB and GFP-mCREB sequence [48-50] with the GfaABC1D promoter into an AAV2/5.

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202 Stereotaxic surgery

Mice were anesthetized with a mixture of ketamine (100mg/kg) and xylazine (10mg/kg) and positioned in a small-animal stereotactic instrument (Kopf Instruments). The skull surface was exposed, and 33-g syringe needles (Hamilton) used to bilaterally infuse 1 μ L of AAVs (diluted in sterile PBS to 2 x 10¹⁰) at a rate of 0.2 μ L/min. NAc coordinates relative to the Bregma were: AP +1.3, ML +1.5, DV -4.4 at a 10° angle.

209 Statistical analyses

All data were plotted as mean +/- SEM, and statistical analysis was performed in Prism 10. Behavioral data was analyzed using one-way or two-way ANOVAs, as appropriate, followed by Tukey's post-hoc test. Immunohistochemistry data was analyzed using oneway ANOVA followed by Tukey's post-hoc test and Pearson r correlation. Outliers were determined for immunohistochemistry data using Prism's ROUT method, with the strictest cutoff of Q = 0.1%.

216

217 Results

218 Astrocyte transcriptome robustly responds to chronic stress

219 To better understand the role of astrocytes in stress and depression, we performed astrocyte whole-cell sorting and RNA-seg after ten days of CSDS (Fig 1A). Male C57BL/6 220 221 mice were exposed to different CD1 aggressors for 5 minutes every day for 10 222 consecutive days with overnight sensory exposure (plexiglass separators in cage). Within 24 hr of the last defeat session, an SI test was performed and the animals were 223 224 subsequently separated into resilient (Res) and susceptible (Sus) categories as 225 previously published [37, 38]. Animals that displayed a high interaction ratio and interaction time, and low time in the corners, were considered Res; animals with a low 226 227 interaction ratio and interaction time, and high time in the corners, were considered Sus 228 (Fig 1B-D). Within 48–72 hr of the last defeat session, astrocytes were collected from the 229 NAc with stress categories counterbalanced across collection days and then RNA-seq 230 was performed.

231 Volcano plots from the differential expression analysis (DESeq2) revealed a robust 232 transcriptional response in astrocytes obtained from both Res and Sus animals in 233 comparison to control astrocytes, with an equal distribution across up- and downregulated 234 genes (Fig 1E, F). The venn diagram comparison of the identified statistically-significant, 235 differentially expressed genes (DEGs; Fig 1G) revealed little overlap (11%, 177 DEGs) 236 between Res and Sus astrocytes. However, union heatmaps of DEGs revealed generally 237 similar patterns of gene expression (Fig 1H). Genes downregulated in Res astrocytes 238 were largely also downregulated in Sus astrocytes, with some exceptions. There 239 appeared to be less convergence in upregulated DEGs between Sus and Res astrocytes.

We also observed that, overall, the magnitude of the Log₂FC was greater in Res compared to Sus astrocytes (Fig 1E-F).

242 To determine if this pattern of gene expression was confined to those genes that 243 were determined to be statistically significantly affected by CSDS or were found genome-244 wide, we utilized Rank Rank Hypergeometric Overlap (RRHO2). RRHO2 compares 245 changes in gene expression between two datasets in a "threshold-free" manner [51]. 246 RRHO2 revealed, similar to our union heatmaps, that CSDS induced some concordant gene expression changes in Res and Sus astrocytes from the NAc (Fig 1I). This finding 247 248 suggests that, while distinct genes are found in Res and Sus astrocyte populations with 249 statistical thresholds, there is also a large population of dysregulated genes in astrocytes 250 associated with a general stress response.

Finally, given that bulk tissue and single-cell/nuclei RNA-seq has implicated astrocyte transcriptomic dysfunction in tissue from human MDD patients, we determined if astrocytes from our rodent CSDS model exhibited similar transcriptional patterns compared to the human MDD transcriptome. We compared our astrocyte RNA-seq data to available human bulk RNA-seq using RRHO2. We found concordance between Sus and Res astrocytes, albeit to a lesser extent in Res astrocytes, compared to human MDD patients (Fig 1J).

258 To determine the molecular, downstream impact of CSDS on the astrocyte 259 transcriptome we performed Gene Ontology (GO) analysis on the Res and Sus astrocyte 260 DEGs. We utilized EnrichR GO: Biological Pathways 2021 [43, 44] and identified more 261 significant GO Terms in Res compared to Sus astrocytes (111 vs 52), with only three 262 overlapping Terms (Fig 2A). This is perhaps not surprising, as while global gene 263 expression appears to be similar between Res and Sus astrocytes, there are only a few 264 overlapping significant DEGs between Res and Sus. The top 10 GO terms implicated in 265 Res astrocytes were: cytoplasmic translation (cytoplasmic sequestering of NF-kappaB; 266 cytoplasmic translation), neuronal dysfunction (axon ensheathment in central nervous 267 system), and cytoskeletal integrity (microtubule depolymerization; microtubule 268 polymerization or depolymerization) (Fig 2B). In contrast, post-translational protein stability (protein targeting, establishment of protein localization to membrane; protein 269

palmitoylation) and cellular processing (*cellular response to peptide hormone stimulus; copper ion transport*) were implicated in Sus astrocytes (Fig 2C).

272 We next determined potential transcriptional mediators governing the astrocyte 273 transcriptome in response to chronic stress by performing Ingenuity Pathway Analysis's 274 Upstream Regulator analysis. More upstream regulators were identified in Res compared 275 to Sus astrocytes (270 vs. 181), with only 124 in common between Res and Sus 276 astrocytes (Fig 2D). We identified several upstream regulators previously implicated in 277 social behavior, stress, or depression, including CD38, TCF7L2, VEGF, and BDNF [37, 278 52-55]. Of the overlapping regulators, we found that the majority were activated or 279 inhibited (direction of activation Z-score in Fig 2D) similarly in both Res and Sus (80%, 280 99/124), with only 25 (20%) found to be incongruent (Fig 2D). This again highlights both 281 an overall general transcriptional response to chronic stress in astrocytes, as well as 282 potential transcriptional regulators that may govern differential molecular and behavioral 283 consequences.

284

285 Astrocytic CREB is regulated by chronic stress

CREB1 caught our attention (Fig 2D, arrow) in the upstream regulator analysis, as 286 287 it was strongly predicted in both Res and Sus astrocytes, but with opposing directions in 288 the activation z-score. CREB is a well-known transcription factor that influences a variety 289 of neuropsychiatric disorders, including stress and depression in both rodent models and 290 human MDD patients [31, 32] Previous work has implicated CREB as a transcriptional 291 regulator in astrocytes [33, 34], however, the role of astrocytic CREB in stress and depression has yet to be investigated. We first validated our bioinformatic findings to 292 293 determine if CREB was indeed activated in NAc astrocytes in response to CSDS. Male 294 C57B/L6 mice were exposed to our 10-day CSDS paradigm, with SI testing to determine 295 Res and Sus animals followed by perfusion and tissue collection. SOX9 296 immunohistochemistry was used to demarcate astrocytes in combination with antibodies 297 for total CREB or phosphorylated CREB (pCREB) (Fig 3A,B). Confocal microscopy 298 revealed a significant reduction in total CREB levels in Sus astrocytes of NAc compared 299 to both Ctrl and Res astrocytes with no significant difference between Res and Ctrl. The 300 effect seen in Sus astrocytes was observed when both subregions of the NAc were

considered separately (Core: Fig 3D; Shell: Fig 3E). Importantly we observed a
 statistically significant positive correlation between SI Ratio and mean CREB integrated
 intensity (analyzing the entire NAc) for individual animals, demonstrating that the change
 in astrocytic CREB is not dependent on our categorical Res and Sus assignments (Fig
 375.

306 To determine the level of activation of CREB in astrocytes following CSDS we 307 utilized an antibody targeted against phosphorylation of CREB at Ser133, a site 308 canonically associated with increased CREB-mediated transcription [56, 57]. In contrast 309 to total CREB, we found an increase in the integrated intensity of pCREB in Sus 310 astrocytes compared to Ctrl and Res, and no significant effect between Res and Ctrl 311 astrocytes (Fig 3G). Within the NAc core, we again observed increased pCREB in Sus 312 compared to Res and Ctrl, and no significant change in Res compared to Ctrl astrocytes 313 (Fig 3H). A significant increase in pCREB in Sus astrocytes compared to Ctrl was found 314 in the NAc shell, but no difference between Res and Sus or Ctrl astrocytes (Fig 3I). 315 Correlation of the integrated intensity of astrocytic pCREB and SI Ratio revealed a 316 significant negative effect, again suggesting that the change in astrocytic CREB activation is not limited to our categorical stress assignment (Fig 3J). Importantly, and as validation 317 318 to our above astrocyte findings, similar effects of CSDS on total CREB and pCREB were 319 determined for neurons (NeuN+ cells; Fig S1A-H) of the same animals, in line with 320 previous reports [50, 58].

321

322 Astrocytic CREB regulates behavioral responses to chronic stress

To study the impact of stress regulation of astrocytic CREB in NAc, we developed 323 324 AAV vectors to manipulate CREB specifically in astrocytes. We and others have 325 previously utilized viral expression of either a wildtype CREB or a dominant negative 326 CREB mutant termed mCREB (serine to alanine mutation at Ser133) to establish 327 neuronal CREB's influence on a variety of behaviors [48, 50, 59, 60]. We therefore used 328 these same designs, but with a *GfaABC1D* promotor to target viral-mediated transgene 329 expression selectively to astrocytes in NAc. Immunohistochemistry co-staining with SOX9 and CREB was used to validate astrocyte specificity and revealed that both CREB-GFP+ 330 331 and mCREB-GFP+ cells only colocalized with SOX9+ cells (Fig 4C), with roughly 70% of

332 NAc SOX9+ astrocytes being GFP+ positive (Fig 4D). To validate that our viruses 333 manipulate CREB expression, a second cohort of mice were injected with unilateral NAc 334 CREB or mCREB AAVs and GfaABC1D-tdTomato AAV (Ctrl-AAV). This injection 335 paradigm allows for the examination of CREB and pCREB expression using a within-336 subject design to account for individual variability in CREB expression levels. As 337 expected, both CREB and mCREB AAVs resulted in increased expression of total CREB 338 compared to Ctrl-AAV astrocytes (Fig 4E) [61]. Importantly, we only observed increased pCREB integrated intensity in astrocytes expressing CREB-AAV, but not mCREB-AAV 339 340 (Fig 4F). These data confirm that our viruses selectively manipulate CREB in astrocytes 341 within NAc.

To determine if astrocytic CREB regulates behavioral responses to CSDS, we used 342 343 the above AAVs to virally manipulate CREB in NAc astrocytes followed by our 10-day 344 CSDS paradigm. Herein, we included both defeat and viral controls to compensate for 345 any baseline behavioral effects of virally manipulating astrocytic CREB. SI testing was 346 performed 24 hr after the last defeat session. Overexpression of astrocytic CREB was 347 associated with an increased susceptibility phenotype after chronic stress. This effect is particularly striking after categorical assignment (Fig S1I), with nearly 82% of defeated 348 349 CREB animals assigned to Sus (9 Sus, 2 Res) compared to 40% in the EGFP control 350 group (4 Sus, 6 Res) or 58% in the mCREB group (7 Sus, 5 Res). Independent of our 351 categorical assignment, overexpression of astrocytic CREB resulted in significantly 352 decreased interaction ratio compared to both control CREB and defeated EGFP animals 353 (Fig 4H). In contrast, no significant difference was found following mCREB astrocytic viral manipulation in comparison to mCREB controls or EGFP defeated animals. Time spent 354 355 exploring the SI aggressor was also decreased in CREB animals compared to CREB 356 controls, and time spent in the corners was increased (Fig 4I–J). No significant effect was 357 observed for EGFP or mCREB defeated animals. The above data demonstrate that 358 astrocytic CREB biases animals to a susceptible phenotype following CSDS.

359

360 Discussion

The data presented herein are the first to investigate the transcriptional response of astrocytes in the NAc to stress, and one of very few to demonstrate that a transcription 363 factor in adult astrocytes regulates complex behavior. We demonstrate that the NAc 364 astrocytic transcriptome robustly responds to chronic stress, with both a general 365 transcriptional response to chronic stress regardless of phenotype as well as a specific 366 response in resilient versus susceptible astrocytes. Subsequent bioinformatic analysis 367 revealed potential molecular consequences of chronic stress on astrocyte function, 368 including protein stability, cytoskeletal dynamics, and neuronal dysfunction. Given the 369 limited knowledge of astrocytic transcriptional regulators, we additionally performed an 370 Upstream Regulator analysis which deduced CREB as a strong predicted upstream 371 driver, with opposite transcriptional control predicted in Res compared to Sus astrocytes. 372 Thus, the stress-specific response may be mediated by diverging transcriptional 373 regulation in astrocytes, and we indeed found that viral-mediated overexpression of 374 CREB selectively in NAc astrocytes promotes a pro-susceptible phenotypic in response 375 to chronic stress.

376 Previous work has heavily implicated astrocytes in stress and depression in both 377 rodent models and human MDD subjects [1, 62]. Targeted molecular approaches revealed dysregulated astrocyte gene and protein expression across a variety of brain 378 379 regions [1]. Within MDD subjects, cell-type deconvolution of bulk tissue RNA-seq data, 380 and single cell RNA-seq approaches, have implicated dysregulation of astrocyte gene 381 expression [4-12, 22]. Investigations of the astrocytic transcriptional and behavioral 382 component have largely been focused on the PFC, hippocampus, or amygdala [6, 8, 11, 383 17-21]. Here, we performed RNA-seq on whole cell sorted astrocytes after chronic stress. 384 We chose to focus on the NAc given its important role in motivation, reward, and emotion-385 related behaviors and evidence for astrocytic regulation by stress or MDD from bulk RNA-386 seq studies in mice and humans, respectively [22, 26]. We found a robust transcriptional 387 response to stress in both Res and Sus astrocytes, including a set of gene changes 388 unique to Res, which highlights that resilience to stress is an active biological process as 389 seen previously for neurons [9, 26, 63]. Examination of statistically significant DEGs 390 revealed little overlap between Res and Sus astrocytes; however, threshold-free RRHO2 391 analysis revealed concordant patterns of gene expression. We observed that Res 392 astrocytes generally demonstrated a larger Log₂FC compared to Sus astrocytes. 393 Therefore, the lack of overlap may simply be due to a Sus transcriptional response that does not reach statistical significance. On the other hand, our results may reflect both a general astrocytic transcriptional response to stress, as well as phenotype-specific transcriptomes. Importantly, comparison to bulk RNA-seq from human MDD patients revealed concordant gene expression in both Sus and Res astrocytes, although with a stronger overlap between Sus and MDD. This finding additionally suggests both a general and specific astrocyte transcriptional response to stress and depression.

400 Despite an increase in sequencing studies directed at astrocyte populations, we 401 know surprisingly little about astrocytic transcriptional regulators. Bioinformatic analysis 402 of our data highlighted several predicted upstream regulators of the astrocyte 403 transcriptional response to CSDS, including some previously implicated in social 404 behavior, stress, or depression [37, 52-55]. Noteworthy, both CD38 and TCF7L2 were 405 found to be important for proper neurodevelopment associated with social behavior, but 406 within the PFC [52, 53]. While these regulators were associated with younger postnatal 407 ages, a recent study by Huang et al. demonstrated that global loss of astrocytic Nuclear 408 Factor-IA (NFIA), a well-known transcription factor associated with astrogliogenesis 409 during neurodevelopment, in adult animals resulted in hippocampus-specific effects on 410 transcription and behavior [64]. In the evolving field of astrocyte biology, there is a growing 411 need to investigate the regional, temporal, and contextual role of astrocyte transcriptional 412 regulation.

413 Our analysis additionally revealed CREB as a strongly predicted upstream 414 regulator, but with opposing activation states in Res compared to Sus astrocytes. 415 Previous work has also implicated CREB as a transcriptional regulator in cultured 416 astrocytes [33-36]. We confirmed this bioinformatic result with examination of the levels 417 of total and activated CREB (pCREB) in astrocytes at the protein level. We found 418 increased expression of activated CREB in Sus NAc astrocytes following CSDS, 419 consistent with the Upstream Regulator analysis. Furthermore, the levels of total and 420 pCREB correlated with an individual animal's SI score, albeit in opposite directions, 421 indicating that our results are not merely due to nominal discrete groupings. Instead, this 422 indicates that CREB expression influences responses to stress on a continuous scale. 423 Concomitantly, we found the same results in neuronal populations from the same animals, 424 in line with previous work and in support of our astrocytic results [48-50]. Neuronal CREB displays a region-specific influence on stress and depression, wherein a pro-resilient
effect is associated with neuronal CREB expression in the PFC and hippocampus, but a
pro-susceptible effect in NAc [65]. Astrocyte regional heterogeneity is well documented;
thus, it is reasonable to assume a region-specific influence of astrocytic CREB [64, 6669]. Nevertheless, future work is needed to determine if astrocytic CREB also displays
region-specific influence on behavioral responses to stress.

431 How transcriptional regulation in astrocytes contributes to complex behavior is an 432 exciting and emerging field. We examined, for the first time, the role of astrocytic CREB 433 in regulating stress responses and demonstrated that astrocytic CREB induces a pro-434 susceptibility phenotype. This effect was particularly prominent following categorical assignment to Res or Sus categories, with over 80% of animals assigned to Sus. Outside 435 436 of discrete grouping, this effect was still observed, with a significant reduction in SI Ratio. 437 An important limitation to our study is the inclusion of only males. While sex differences 438 in CREB's association with MDD are inconclusive, there are known sex differences in 439 both human MDD and rodent models [22, 42, 70-72]. Future studies are needed to 440 determine the sex-specific astrocytic transcriptional response to chronic stress and how 441 astrocytic CREB may regulate depressive-like behaviors in a sex-specific manner. 442 Interestingly, we did not observe a change in SI ratio after viral expression of mCREB, a 443 dominant negative mutant, in NAc astrocytes. This was surprising, as previous work in 444 neurons demonstrates that this construct induces opposite molecular and behavioral 445 changes compared to wildtype CREB [58, 59, 61]. However, the exact molecular 446 mechanisms by which astrocytic CREB induces transcription are not fully understood, 447 and cell culture studies report contradictory results [34-36]. It is possible that 448 compensatory molecular mechanisms may be sufficient to overcome the effects of virally-449 expressed mCREB.

To conclude, we demonstrate that the astrocyte transcriptome within the NAc robustly responds to CSDS in both resilient and susceptible astrocytes. We furthermore demonstrate that transcriptional regulation in astrocytes mediates depressive-like behaviors, as viral overexpression of CREB selectively in NAc astrocytes biased animals towards a susceptible phenotype. Our data strongly support the increased attention on astrocytic responses in stress and depression research and highlight the importance of

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456 better understanding transcriptional regulation in astrocytes which may reveal yet
457 unknown molecular mechanisms underlying neuropsychiatric disorders which can be
458 targeted with novel therapeutics.

459

460 Acknowledgements

- 461 This work was supported by funding from the NIH (R01MH051399 and R01MH129306 to
- 462 E.J.N.). The authors would like to thank Katherine Beach, Catherine McManus, Kyra
- 463 Schmidt, Nathalia Pulido, and Ezekiell Mouson for animal husbandry.
- 464

465 Disclosures

- 466 The authors declare no competing financial interests.
- 467

468 Data Availability

469 All RNA-seq data reported in this study will be deposited in the Gene Expression 470 Omnibus.

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Figure 1. Astrocyte transcriptome in NAc responds robustly to chronic stress. A)
Cartoon illustration of experimental design. B–C) Behavior of phenotyped animals
selected for astrocyte collection and RNA-seq. CSDS resulted in Res (purple) and Sus

655 (teal) phenotypes, as expected. B) Sus animals demonstrate decreased Interaction Ratios compared to Ctrl and Res animals ($F_{(2,20)} = 15.63$, P < 0.0001; Tukey post-hoc ***p 656 657 < 0.001). C) Res animals demonstrate an increase in time interacting with a novel CD1 658 aggressor compared to Ctrl and Sus animals, while Sus animals demonstrate a decrease 659 compared to Ctrl animals ($F_{(2,20)}$ = 38.44, *P* < 0.001; Tukey post-hoc *p < 0.05; ****p < 0.0001). D) In contrast, Sus animals demonstrate an increase in time spent in corners 660 661 compared to both Res and Sus ($F_{(2,20)} = 4.636$, P = 0.0222; Tukey post-hoc *p < 0.05). 662 Volcano plots of detected genes from E) Res and F) Sus astrocytes compared to Ctrl. 663 Upregulated DEGs are indicated in yellow, while downregulated DEGs are indicated in 664 blue. G) Venn diagram reveals little overlap between significant DEGs in Res (purple) and Sus (teal) astrocytes. However, H) union heatmap demonstrates considerably similar 665 Log₂FC expression of significant DEGs where again upregulated DEGs are indicated in 666 667 yellow and downregulated DEGs are indicated in blue. The union heatmap nevertheless 668 does highlight DEGs that are regulated differently in Res versus Sus. I) RRHO2 threshold-669 free genome-wide comparison confirms the union heatmap by revealing partly concordant 670 gene expression between Res and Sus. J) RRHO2 comparison of human MDD bulk RNAseq of NAc to Sus (left) and Res (right) RNA-seq of NAc astrocytes demonstrates some 671 672 concordant expression between the mouse and human datasets. Data represented at mean +/- SEM, n = 7-8 animals per condition. RNA-seq: RNA-sequencing; CSDS: 673 chronic social defeat stress; Res: resilient; Sus: susceptible; Ctrl: control; DEG: 674 675 differentially expressed genes; Log₂FC: log₂ fold change; RRHO₂: rank rank 676 hypergeometric overlap.



678 Figure 2. The astrocyte transcriptomic response to stress in NAc is phenotypically **specific.** A) Venn diagram of identified GO terms between Res (purple) and Sus (teal) 679 astrocytes reveals little overlap of downstream molecular consequences of stress on 680 astrocytes. The top 10 identified GO Terms of B) Res and C) Sus further implicate distinct 681 682 molecular responses between the two phenotypes. D) Union heatmap of overlapping upstream regulators reveals similar activation states in the majority, while several 683 demonstrate opposing states of activation (blue indicates inhibited regulators, yellow 684 indicates activated regulators). The black arrow demarcates CREB1, which demonstrates 685 686 a predicted activation z-score in Sus and inhibition in Res astrocytes. Res: resilient; Sus: susceptible. 687

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Figure 3. Astrocytic CREB regulation in NAc of susceptible mice. A–B) Representative IHC images for total (A) and pCREB (B) in astrocytes (SOX9, blue) and neurons (NEUN, green) from the core (left) and shell (right) of NAc after CSDS. Across the C) entire NAc, total CREB expression is decreased in Sus astrocytes compared to Res and Ctrl astrocytes ($F_{(2,337)} = 6.538$, P = 0.0016; Tukey post-hoc *p = 0.0227; **p =0.0019), with no difference between Ctrl and Res astrocytes (Tukey post-hoc p = 0.8360). Similar effects were observed in the D) core ($F_{(2,235)} = 9.976$, P = 0.0002; Tukey post-hoc

*p = 0.027; ***p < 0.001) and E) shell F_(2.248) = 6.659, P = 0.0015; Tukey post-hoc **p =696 697 0.0157; ***p = 0.002). F) Pearson r correlation of individual animal's mean total astrocytic 698 CREB expression and Interaction Ratio (SI score) reveals a strong positive correlation $(r(12) = 0.649, p = 0.022, R^2 = 0.422)$. G) In contrast, increased expression of the 699 700 canonical activated form of CREB (pCREB) was observed in Sus compared to Ctrl and Res astrocytes ($F_{(2,285)} = 26.72$, P < 0.0001; Tukey post-hoc ****p < 0.0001), with no 701 702 difference between Res and Ctrl astrocytes (Tukey post-hoc p = 0.109). This increase in pCREB in Sus astrocytes was observed in H) NAc core ($F_{(2,178)} = 23.49$, P < 0.0011; Tukey 703 704 post-hoc ***p = 0.027; ****p < 0.001). However, within the shell, increased pCREB in Sus was only observed compared to Ctrl and not Res astrocytes ($F_{(2,150)} = 3.760$, P = 0.0255; 705 706 Tukey post-hoc *p = 0.034). J) Pearson r correlation of individual animal's mean astrocytic 707 pCREB expression and Interaction Ratio (SI score) reveals a negative correlation (r(12) = -0.762, p = 0.004, R² = 0.579). Data represented as mean +/- SEM; n = 5 mice per 708 709 condition. pCREB: phosphorylated CREB; NAc: nucleus accumbens; CSDS: chronic 710 social defeat stress; Res: resilient; Sus: susceptible; Ctrl: control.



Figure 4. Astrocytic CREB in NAc promotes susceptibility to chronic stress. Cartoon illustrations of A) AAVs to increase CREB activity (left, CREB, yellow) or downregulate CREB activity via expression of a dominant negative mutant (right, mCREB, purple) selectively in NAc astrocytes and B) experimental timeline. C) The percentage of AAV-targeted cells (GFP+) that are astrocytes (SOX9+) demonstrates astrocyte-specific AAV expression. D) Both CREB and mCREB AAVs target roughly 70%

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718 of astrocytes within the NAc. E) Increased expression of total CREB was observed with 719 both AAVs, as expected, compared to contralateral Ctrl-AAV expressing astrocytes 720 $(F_{(3,292)} = 60.61, P < 0.001;$ Tukey post-hoc ****p < 0.0001). F) Importantly, only the CREB-AAV increased levels of pCREB ($F_{(3,246)}$, = 14.23 P < 0.0001; Tukey post-hoc ****p < 721 722 0.0001), with no difference found in mCREB expressing astrocytes (Tukey post-hoc ****p 723 < 0.0001). H) Overexpression of CREB selectively in NAc astrocytes decreased the 724 Interaction Ratio following CSDS (two-way ANOVA; main effect of AAV ($F_{(2.56)} = 3.46$, P =0.0381; main effect of Defeat ($F_{(1,56)}$ = 16.04, *P* = 0.0002) in comparison to both control 725 726 CREB animals (Tukey post-hoc *p = 0.0152) and defeated EGFP animals (Tukey posthoc *p = 0.0208). I) Decreased Interaction Time (two-way ANOVA; main effect of Defeat 727 728 (F(1,56) = 9.819, P = 0.0027; Tukey post-hoc *p = 0.0415) and J) increased time in corners (two-way ANOVA; main effect of Defeat (F(1,56) = 7.431, P = 0.0087; Tukey post-729 730 hoc p = 0.0450 was also observed in defeated CREB animals compared to control CREB animals. Data represented as mean +/- SEM; for C-F) n = 5 animals per condition; 731 for H-J) n = 9 control and 12 defeat animals per condition). 732



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734 Supplemental Figure 1. Across the A) entire NAc, total CREB expression was decreased 735 in Sus neurons compared to Res and Ctrl neurons, and increased in Res neurons compared to between Ctrl ($F_{(2,3275)}$ = 50.60, *P* < 0.0001; Tukey post-hoc ***p* = 0.0016; 736 ****p < 0.0001). Similar effects were observed in the B) core (F_(2.1717) = 51.68, P < 0.0001; 737 738 Tukey post-hoc ****p < 0.001). C) In the shell, total CREB expression was only decreased 739 in Sus neurons compared to Res, with no significant different compared to Ctrl ($F_{(2,1568)}$ = 740 4.271, P = 0.0141; Tukey post-hoc *p = 0.012). D) Pearson r correlation of individual 741 animal's mean total neuronal CREB expression and Interaction Ratio (SI score) revealed 742 a strong positive correlation (r(12) = .676, p = 0.0158, $R^2 = 0.4570$). E) In contrast, 743 increased expression of pCREB was observed in Sus and Res compared to Ctrl neurons $(F_{(2,3762)} = 36.28, P < 0.0001;$ Tukey post-hoc ** p = 0.0013, ****p < 0.0001) in total NAc. 744

This increase in pCREB in Sus neurons was observed in F) NAc core ($F_{(2,1951)} = 56.72$, P 745 < 0.0001; Tukey post-hoc ****p < 0.001), but not in the G) shell (F_(2.1833) = 2.782, P = 746 747 0.0622). H) Pearson r correlation of individual animal's mean neuronal pCREB expression 748 and Interaction Ratio (SI score) revealed a negative correlation (r(12) = -0.728, p =0.0073, $R^2 = 0.5298$). I) Phenotype assignment for defeated animals following viral-749 750 mediated astrocytic CREB manipulation and CSDS. Data represented as mean +/- SEM; 751 n = 5 mice per condition. pCREB: phosphorylated CREB; NAc: nucleus accumbens; CSDS: chronic social defeat stress; Res: resilient; Sus: susceptible; Ctrl: control. 752