

# Estrogen receptors: orchestrators of pleiotropic cellular responses

Jonathan G. Moggs & George Orphanides<sup>+</sup>

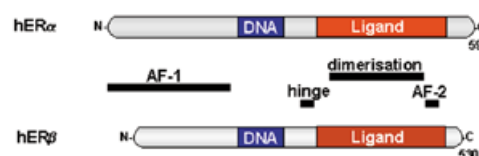
Syngenta Central Toxicology Laboratory, Alderley Park, Macclesfield SK10 4TJ, UK

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Estrogen receptors (ERs) orchestrate both transcriptional and non-genomic functions in response to estrogens, xenoestrogens and signals emanating from growth factor signalling pathways. The pleiotropic and tissue-specific effects of estrogens are likely to be mediated by the differential expression of distinct estrogen receptor subtypes (ER $\alpha$  and ER $\beta$ ) and their coregulators. The recent analysis of transcription complexes associated with estrogen-responsive promoters has revealed unexpected levels of complexity in the dynamics of ER-mediated transcription. Furthermore, a small fraction of ERs also appears to directly interact with components of the cytosolic signalling machinery. Analysis of the interrelationship between these distinct modes of ER action is likely to reveal novel aspects of estrogen signalling that will impact on nuclear receptor biology and human health.

## Introduction

Estrogen receptors (ERs) are ligand-activated transcription factors that mediate the pleiotropic effects of the steroid hormone estrogen on the growth, development and maintenance of a diverse range of tissues. Two mammalian ERs (ER $\alpha$  and ER $\beta$ ) have been identified and exhibit modular structures characteristic of the nuclear receptor superfamily (Figure 1). The activities of a plethora of ER-interacting proteins converge to confer distinct functionalities on ERs, including the activation and repression of transcription, the integration of intracellular signalling pathways and the control of cell cycle progression (Table I). This review focuses on the molecular mechanisms regulating ER-mediated responses to both direct ligand binding and signals emanating from cell surface receptors. For a summary of other aspects of ER biology the reader is directed to reviews by Dechering *et al.* (2000) and Pettersson and Gustafsson (2001).



**Fig. 1.** Functional domains of ER $\alpha$  and ER $\beta$ . Both ER subtypes exhibit functional domains characteristic of the nuclear receptor superfamily. These include an agonist-independent transcriptional activation function (AF-1), a conserved DNA-binding domain, a hinge region and a ligand-binding domain which encompasses both an agonist-dependent transcriptional activation function (AF-2) and a dimerization region.

## Chromatin modifications associated with ER-regulated transcription

Access of DNA binding proteins, such as ER, to their recognition elements is restricted by the repressive packaging imposed by chromatin. Transcriptional activation generally requires ATP-dependent chromatin remodelling enzymes in conjunction with histone acetyltransferases (HATs) to alleviate chromatin-mediated repression (Kingston and Narlikar, 1999). Consistent with this notion, ER recruits the SWI/SNF chromatin remodelling complex to estrogen-responsive promoters in a cooperative manner with HATs (DiRenzo *et al.*, 2000; Figure 2A and B).

*Distinct roles for ER coactivators with histone acetyltransferase activity.* Transcriptional competence correlates with the acetylation of chromosomal histone proteins at their N-termini, which results in destabilization of protein–DNA contacts and chromatin decompaction (Orphanides and Reinberg, 2000). Thus, it is not surprising that many coactivator proteins required for ER activity are HATs. Members of the p160 coactivator

<sup>+</sup>Corresponding author. Tel: +44 1625 582711; Fax: +44 1625 590249; E-mail: George.Orphanides@syngenta.com or Jonathan.Moggs@syngenta.com

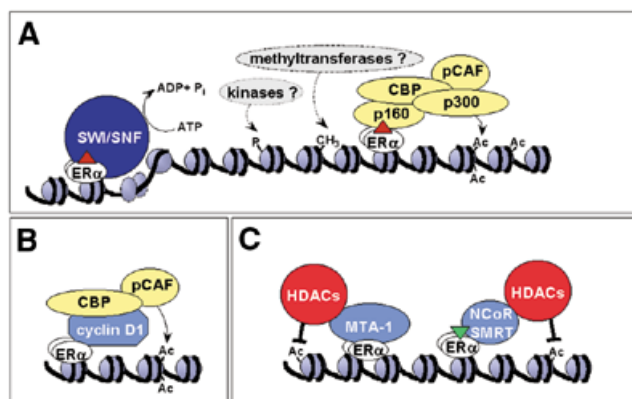
**Table I.** ER-interacting proteins and their functions

Transcriptional regulators	Functions	References
SRC-1, TIF2 (also known as GRIP-1), AIB1 (also known as ACTR, RAC3, pCIP)	p160 family of coactivators Mediate the recruitment of protein acetyltransferases, kinases and methyltransferases	Reviewed in Bevan and Parker 1999; McKenna <i>et al.</i> , 1999; Westin <i>et al.</i> , 2000
p300, CBP, pCAF	Coactivators with intrinsic protein acetyltransferase activity	As above
CARM-1	Coactivator with intrinsic protein methyltransferase activity	Chen <i>et al.</i> , 2000a and references therein
BRG1	Component of SWI/SNF ATP-dependent chromatin remodelling complex	DiRenzo <i>et al.</i> , 2000 and references therein
TRAP220 (also known as PBP, DRIP205)	Component of coactivator complex	Burakov <i>et al.</i> , 2000 and references therein
RIP140	Coregulator May recruit protein deacetylases	Wei <i>et al.</i> , 2000 and references therein
RNA-binding DEAD-box proteins p68/p72	ER $\alpha$ -specific coactivator Bind p160 coactivators and the RNA coactivator SRA	Watanabe <i>et al.</i> , 2001 and references therein
CIA	Coactivator independent of AF-2 function	Sauvé <i>et al.</i> , 2001
TFIIH	General transcription factor Ligand-regulated interaction of p62 and XPD subunits directs CDK7 kinase-mediated phosphorylation of ER $\alpha$	Chen <i>et al.</i> , 2000b
Cyclin D1	Cell cycle protein Facilitates ligand-independent interactions between ER and protein acetyltransferases	Lamb <i>et al.</i> , 2000
N-CoR, SMRT	Corepressors Antagonist-dependent interaction with ER Mediate the recruitment of protein deacetylase-containing complexes	Reviewed in Bevan and Parker 1999; McKenna <i>et al.</i> , 1999; Westin <i>et al.</i> , 2000
MAT-1	Mediates the recruitment of protein deacetylase-containing complex	Mazumdar <i>et al.</i> , 2001
SHP, DAX-1	Orphan nuclear receptors Compete for binding of p160 coactivators to ligand-bound ER	Johansson <i>et al.</i> , 2000; Zhang <i>et al.</i> , 2000
REA	Competes for binding of p160 coactivators to ligand-bound ER	Martini <i>et al.</i> , 2000 and references therein
Protein kinase signalling pathways/other interactions	Functions	References
PI(3)K	PI(3)K kinase activity stimulated by interaction with ligand-bound ER $\alpha$ , but not ER $\beta$	Simoncini <i>et al.</i> , 2000
Src	Src tyrosine kinase activity stimulated by ligand-bound ER $\alpha$ , ER $\beta$ and AR	Migliaccio <i>et al.</i> , 2000; Kousteni <i>et al.</i> , 2001
Caveolin-1	Scaffolding protein/compartmentalization of signal transduction pathway?	Schelegel <i>et al.</i> , 1999
MAD2	Cell cycle spindle checkpoint protein Specific for ER $\beta$	Poelzl <i>et al.</i> , 2000
Calmodulin, Hsp90	Modulation of receptor stability/conformation	Li <i>et al.</i> , 2001 and references therein

family, including SRC-1 and ACTR, are HATs that interact with the AF-2 domain of agonist-bound ERs through multiple LXXLL amino acid motifs. These coactivators serve as platforms for the recruitment of additional HATs, namely p300, CBP and the p300/CBP-associated factor, pCAF. Unliganded ER can also recruit HATs via cyclin D1 (Lamb *et al.*, 2000 and references therein).

The reason that multiple, seemingly redundant, HATs are recruited to genes during ER-mediated transcription is beginning to become clear. Estrogen treatment of MCF-7 breast cancer cells induces transient hyperacetylation of histone H4 and, to a

lesser extent, H3 at the promoters of ER $\alpha$  target genes (Chen *et al.*, 1999). A recent report suggests that ER $\alpha$ -associated HATs are recruited to estrogen-responsive promoters in a sequential manner (Shang *et al.*, 2000). The recruitment of p300 coincides with increased levels of histone acetylation and RNA polymerase II (RNAP II) binding and is followed by the recruitment of CBP and pCAF. Intriguingly, p300 only appears to participate in the first cycle of ER cofactor recruitment and thus may catalyse chromatin modifications that prime the promoter for multiple rounds of transcription. This is consistent with p300 facilitating transcriptional initiation, but not re-initiation, on



**Fig. 2.** Chromatin modifying proteins involved in ER $\alpha$ -mediated transcription. (A) In the presence of agonist, ER $\alpha$  recruits an ATP-dependent chromatin remodelling complex (SWI/SNF) and histone modifying enzymes to estrogen-responsive promoters, including the HATs p160, CBP, p300 and pCAF. Additional histone modifying enzymes, including methyltransferases and kinases, are also implicated in ER-mediated transcription. (B) Unliganded-ER $\alpha$  can recruit HATs via cyclin D1. (C) A number of cofactors repress ER-mediated transcription by targeting HDACs to ER $\alpha$ -bound promoters. Although the dynamics of ER $\beta$  transcription complexes have not yet been extensively studied, ER $\beta$  exhibits distinct interactions to ER $\alpha$  (see text and Figure 4).

chromatinized ER $\alpha$ -responsive genes *in vitro* (Kraus and Kadonaga, 1998) and with the specific interaction of p300 with the initiation-competent (non-phosphorylated) form of RNAP II (Cho *et al.*, 1998). p300 and pCAF exhibit distinct but overlapping specificities for histone acetylation *in vitro* (Schiltz *et al.*, 1999) and may acetylate distinct histone lysine residues at estrogen-responsive promoters. Furthermore, the specific interaction of pCAF with the elongation-competent form of RNAP II (Cho *et al.*, 1998) may result in the acetylation of chromatin components downstream of the transcription start site (Orphanides and Reinberg, 2000).

Histones are not the only proteins to be acetylated during ER-mediated gene activation. Reversible lysine acetylation is emerging as a key post-translational modification in the regulation of transcription factor activity (Soutoglou *et al.*, 2000 and references therein). It is therefore possible that ER-associated HATs target components of transcription machinery to facilitate architectural rearrangements at estrogen-responsive promoters. Indeed, acetylation of the p160 coactivator ACTR, by either p300 or CBP, disrupts its association with ER $\alpha$  (Chen *et al.*, 1999). Furthermore, the hinge region of ER $\alpha$  is directly acetylated by p300 and experimental substitution of the ER $\alpha$  lysine residues targeted by p300 suggests that these modifications may regulate interactions between the two transcriptional activation domains (Wang *et al.*, 2001).

*Other histone post-translational modifications associated with ER-regulated transcription.* In addition to acetylation, histones undergo a number of other post-translational modifications (Strahl and Allis, 2000) and it is possible that some of these play a role in ER activation. Interestingly, p160 coactivators possess a protein methyltransferase-interacting domain at their C-termini

and the coactivator arginine methyltransferase (CARM-1) functions synergistically with p160 coactivators to enhance ER-mediated transcription (Chen *et al.*, 2000a). It should be noted, however, that CARM-1 predominantly methylates H3 at arginines *in vitro*, whereas histones are largely methylated at lysines *in vivo*. Intriguingly, the HAT enzyme CBP associates with a histone methyltransferase activity that is specific for H3 lysine residues (Vandel and Trouche, 2001) as well as with the putative histone H3 kinase pp90<sup>sk</sup> (Sassone-Corsi *et al.*, 1999). It will be important to determine whether these histone modifications are utilized during ER-mediated transcription.

*Mechanisms of ER-mediated transcriptional repression.* Just as HATs acetylate histones to facilitate transcriptional activation, a group of enzymes known as histone deacetylases (HDACs) deacetylate histones to promote transcriptional repression. Not surprisingly, HDACs feature prominently in ER-mediated transcriptional repression (Figure 2C). In the presence of synthetic antagonists such as tamoxifen, ER $\alpha$  recruits the nuclear corepressors NCoR and SMRT to the promoters of estrogen-responsive genes (Shang *et al.*, 2000). These corepressors then recruit HDAC complexes, such as the Sin 3 complex, to repress transcription. The ER cofactor RIP140 also associates with HDACs, suggesting a similar mechanism of transcriptional repression (Wei *et al.*, 2000). ERs can also target HDACs to their promoters in a ligand-independent manner through growth factor-induced expression of metastasis-associated protein 1 (MTA-1), a component of the histone deacetylase and nucleosome remodelling complex (NuRD) (Mazumdar *et al.*, 2001). Although it is clear that HDACs are involved in ER-mediated repression, definition of their precise role in this process awaits a detailed molecular analysis.

An alternative mechanism for ER-mediated transcriptional repression involves factors that compete with the binding of p160 coactivators to agonist-bound ER. These include REA (repressor of estrogen receptor activity; Martini *et al.*, 2000) and the orphan receptors SHP (Johansson *et al.*, 2000) and DAX-1 (Zhang *et al.*, 2000). The potential displacement of ER-bound HATs by components of distinct nuclear receptor signalling pathways (e.g. SHP and DAX-1), together with growth factor-mediated interactions of ER $\alpha$  with components of HDAC-containing complexes (e.g. NuRD), supports a central role for protein acetylation in ensuring a coordinated response by ERs to diverse cellular stimuli.

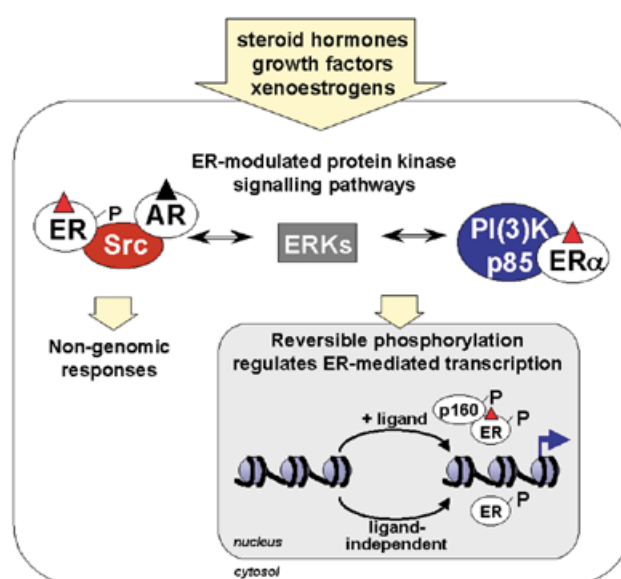
## Mechanisms regulating ER transcription complex dynamics

*Cycles of ER-transcription complex formation and transcriptional initiation.* In addition to ER binding and targeted chromatin modification, the initiation of transcription at estrogen-responsive promoters requires the recruitment of RNA polymerase II (RNAP II) and the general transcription factors (Orphanides and Reinberg, 2000). A recent study suggests that cycles of ER $\alpha$ -transcription complex binding are coupled to cycles of transcription in the MCF-7 breast cancer cell line (Shang *et al.*, 2000), although it remains to be seen whether this phenomenon occurs on the majority of ER-regulated genes or in other cell types. This regular cycling mechanism may facilitate the continuous sampling of extracellular signals (Shang *et al.*, 2000). Consistent with this

notion, agonist-bound ER has been observed to form nuclear matrix-bound foci, within which individual receptors and their associated cofactors can undergo rapid exchange (Stenoien *et al.*, 2001).

The molecular events that regulate the occupancy and exchange of ER transcription complexes at promoters are beginning to be elucidated. The mediator-like TRAP/DRIP complex, a coactivator of ER $\alpha$ -dependent transcription (Burakov *et al.*, 2000), appears to occupy ER $\alpha$ -bound promoters simultaneously with p160 coactivators (Shang *et al.*, 2000). Competition between TRAP/DRIP and p160 coactivators for binding to nuclear receptors (Treuter *et al.*, 1999) suggests that their co-occupancy at estrogen-responsive promoters may involve cooperative interactions with ERs bound at neighbouring response elements. Dissociation of p160 coactivators from estrogen-responsive promoters is likely to be achieved by p300/CBP-mediated acetylation of residues adjacent to their ER-interacting LXXLL motifs (Chen *et al.*, 1999). The promoter release of both p160 coactivators and ER $\alpha$  is preceded by phosphorylation of the C-terminal domain of RNAP II, converting the polymerase to an elongation-competent form (Shang *et al.*, 2000). This event is mediated in part by the CDK7 subunit of TFIIF, which also phosphorylates ligand-bound ER $\alpha$  (Chen *et al.*, 2000b), possibly contributing to ER $\alpha$ -transcription complex turnover. The turnover of ER $\alpha$  and its cofactors is also regulated by interactions with components of the ubiquitin proteasome pathway (Lonard *et al.*, 2000 and references therein). Paradoxically, proteasomal activity appears to be required for both ligand-dependent ER transcriptional activation and receptor degradation. Ascertaining the roles of these post-translational modifications in cofactor exchange, promoter clearance and transcriptional attenuation during ER-mediated gene activation will require a detailed analysis of these events *in vivo*.

*Regulation of ER-mediated transcription by protein kinase signalling pathways.* The regulation of estrogen receptor-mediated transcription is not limited to direct ligand binding; ER and ER-associated cofactors are regulated by direct phosphorylation in response to peptide growth factors, PKA-activating agents, neurotransmitters and cyclins (Figure 3). Phosphorylation of the N-terminal activation domain of ER $\beta$  by a mitogen activated protein kinase (MAPK) potentiates the ligand-independent recruitment of the p160 coactivator SRC-1 (Tremblay *et al.*, 1999). The p68/72 sub-family of RNA-binding DEAD-box proteins are similarly recruited to the MAPK-phosphorylated AF-1 domain of ER $\alpha$  (Watanabe *et al.*, 2001 and references therein). Protein kinase signalling pathways also potentiate the interaction of the cofactor AIB1 with p300 (de Mora and Brown, 2000). The integration of ER modifications resulting from protein kinase signalling pathways and direct ligand binding is likely to be mediated, at least in part, by coactivators such as p160, which can interact with both ER activation domains simultaneously (Benecke *et al.*, 2000). Yet further levels of regulation could be conferred by additional post-translational modifications. The glycosylation of ER serine and threonine residues by O-linked  $\beta$ -N-acetylglucosamine has recently been reported and the function of this modification may be to block phosphorylation (reviewed in Wells *et al.*, 2001).



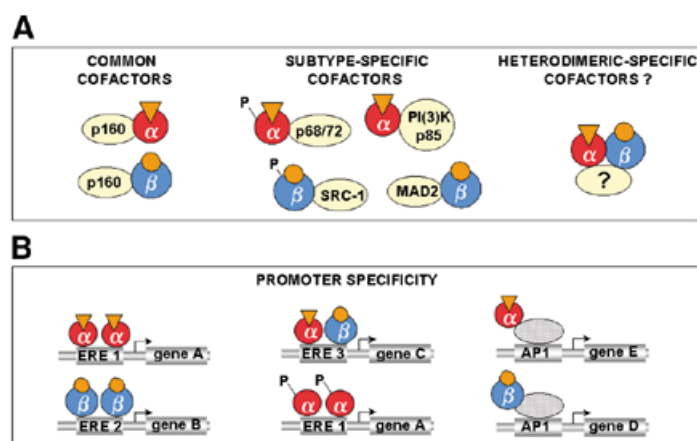
**Fig. 3.** ERs integrate signals through multiple protein kinase cascades. ERs respond to a wide variety of extracellular signals, including steroid hormones, growth factors and xenoestrogens. ER-mediated transcription in the nucleus involves ligand-dependent and ligand-independent mechanisms, both of which are coordinated by a complex pattern of reversible phosphorylation events emanating from cytosolic protein kinases. ERs can also mediate rapid non-genomic responses to steroid hormones.

### Molecular basis for tissue-specific differences in ER-mediated transcription

The use of pharmaceutical agents that target ER has revealed the tissue-specific nature of ER responses. It is likely that tissue responsiveness to estrogenic compounds is determined by cell-type specific expression patterns of ER subtypes and their coregulators. The two ER subtypes identified, ER $\alpha$  and ER $\beta$ , can mediate distinct functions depending on the nature of bound ligands, post-translational modifications, cofactor interactions and promoter response elements (Figure 4).

Heterodimerization of ER $\alpha$  and ER $\beta$  in cells that possess both receptor subtypes may expand the repertoire of ER activity by combining the transcriptional properties of two distinct partners. ER $\beta$  may even inhibit ER $\alpha$  transcription activity (Pettersson and Gustafsson, 2001). Alternative splicing events and/or promoter usage within the genes encoding ER $\alpha$  and ER $\beta$  generates additional ER heterodimeric variants that may add further complexity to the biological response to estrogens. A truncated form of ER $\alpha$  (hER $\alpha$ 46), lacking the first 173 amino acids, is expressed in breast cancer-derived cell lines resulting in a ligand-inducible receptor which lacks the AF-1 domain (Flouriot *et al.*, 2000). Consequently, hER $\alpha$ 46 can act as a competitive inhibitor of full-length ER $\alpha$ , and cell growth-dependent changes in the ratio of hER $\alpha$ 46 and full-length ER $\alpha$  implicate ER $\alpha$ /hER $\alpha$ 46 heterodimers in the regulation of cellular proliferation. Distinct isoforms of cofactors such as SRC-1 may further diversify the tissue-specific responses of ER-mediated transcription (Kalkhoven *et al.*, 1998).





**Fig. 4.** Distinct ER subtypes may mediate tissue-specific responses to estrogenic compounds. **(A)** Tissue-specific responses to estrogenic compounds are likely to be mediated by a combination of the differential expression of ER subtypes, the ligand specificity of ER subtypes and ligand-independent signalling pathways. Both ER subtypes integrate signals by recruiting common and/or subtype-specific cofactors. ER heterodimer-specific cofactors may also exist in cells expressing both ER subtypes. **(B)** ERs can bind to the promoters of estrogen-responsive genes either directly through estrogen response elements (ERE) or indirectly through DNA-bound transcription factors such as AP1. The ligand-independent association of ERs with promoters is mediated, at least in part, via protein kinase cascades in response to a variety of non-steroidal signals. Although specific promoter DNA sequences may favour the binding of each ER subtype, the relative occupancy of estrogen-responsive promoters by ER $\alpha$  and ER $\beta$  is not yet clear.

Activated ER $\alpha$  and ER $\beta$  elicit opposite transcriptional responses by interacting with Fos/Jun at an AP1 element (Paech *et al.*, 1997). When bound by an antagonist, ER $\beta$  functions as a potent activator in conjunction with transcription factors present at AP1 sites (Paech *et al.*, 1997). Interestingly, ER $\alpha$  and ER $\beta$  exhibit ligand-dependent differences in coactivator binding (Routledge *et al.*, 2000). Thus, the nature of the ligand bound by ER subtypes is likely to have profound effects on their functional properties, perhaps leading to the regulation of different downstream genes.

In addition to being responsive to physiological estrogens, both ER subtypes can be activated by a variety of xenoestrogenic compounds including synthetic steroids, pesticides, industrial chemicals and phytoestrogens, leading to potentially adverse health effects in humans and wildlife. The extent to which exposure to these structurally distinct ligands affects different tissues is likely to depend upon their stability, uptake and compartmentalization relative to endogenous ER ligands. Strikingly, ER $\beta$  exhibits a higher relative binding affinity for certain phytoestrogens than does ER $\alpha$  (Kuiper *et al.*, 1998), suggesting that ER $\beta$  mediates the physiological effects of these compounds and that environmental estrogens will also exhibit tissue-specific effects.

### Non-genomic modes of estrogen receptor action

The rapid response of a variety of cell-types to estrogens suggest that ERs perform transcription-independent functions in the cytosol and recent data have revealed direct interactions between estrogen receptors and components of the cytosolic signalling machinery (Table I and Figure 3). Estrogen-bound ER $\alpha$  associates with the regulatory subunit of phosphatidylinositol-3-OH kinase, resulting in the activation of the AKT serine/threonine

kinase and endothelial nitric oxide synthase in endothelial cells (Simoncini *et al.*, 2000). Interestingly, AKT can directly phosphorylate ER $\alpha$ , resulting in enhanced ligand-independent transcription of estrogen-responsive genes (Campbell *et al.*, 2001). This finding suggests that components of the same intracellular signaling pathways can regulate both the transcriptional and non-genomic functions of ERs. Both ERs and AR have been observed to coimmunoprecipitate with Src in a steroid hormone-dependent manner (Migliaccio *et al.*, 2000) and signalling via a ligand-bound ternary AR/ER $\beta$ /Src complex is thought to promote cell proliferation in prostate cancer cells. The association of ER and AR with the Src/Shc/ERK signalling pathway has recently been demonstrated to result in an anti-apoptotic effect that can be dissociated from ER transcriptional activity (Kousteni *et al.*, 2001). Surprisingly, and in contrast to the highly specific transcriptional effects of ER and AR in the nucleus, both receptors function similarly in this anti-apoptotic response to either estrogens or androgens, suggesting a distinct conformation of their ligand-binding domains. The potential existence of cytosolic ERs with altered or reduced specificity for binding to estrogenic compounds has profound implications for the development of therapeutic ligands and for assessing the potentially harmful effects of xenoestrogens.

### Summary and perspectives

Far from being simple ligand activated transcription factors, ERs integrate multiple signals from both ligands and intracellular signalling pathways to perform their functions in the nucleus and cytosol. The differential and spatio-temporal expression of ER subtypes and their cofactors is likely to dictate the physiological effects of estrogen. Understanding which subsets of cofactors modulate particular estrogen-dependent physiological processes should allow the development of more selective estrogen

receptor modulators (SERMs) as therapeutics for reproductive function, disorders of bone metabolism, cardiovascular disease and endocrine diseases (McKenna and O'Malley, 2000).

There is also considerable scientific and public interest in the potentially harmful effects of exposure of humans and wildlife to estrogenic compounds present in the environment. It is important that we gain a detailed molecular understanding of the ways in which these compounds mediate their harmful effects so that potential hazards can be properly assessed. ERs clearly have a complex mode of action which is not captured by many of the current screens for estrogen action, thus creating a need for more sophisticated assays. Genomic methods, including gene expression profiling, are being used to identify patterns of gene expression associated with estrogenicity (Pennie and Brooks, 2000). When combined with cell lines expressing specific ER subtypes, this approach should give a genome-wide view of the transcriptional targets of different estrogen receptor subtypes, as well as revealing novel targets for ER action and cross-talk between distinct intracellular signalling pathways. Non-genomic modes of ER action, together with the regulation of ER-mediated transcription by multiple posttranslational modifications, may be dissected using proteomic technology. The biochemical definition of how specific subsets of ER-interacting proteins generate cell-type and tissue-specific effects of estrogen represents a major challenge for the future.

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