

## RESEARCH ARTICLE

# DNA from multiple viral species is associated with Alzheimer's disease risk

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**Abstract**

**INTRODUCTION:** Multiple infectious agents, including viruses, bacteria, fungi, and protozoa, have been linked to Alzheimer's disease (AD) risk by independent lines of evidence. We explored this association by comparing the frequencies of viral species identified in a large sample of AD cases and controls.

**METHODS:** DNA sequence reads that did not align to the human genome in sequences were mapped to viral reference sequences, quantified, and then were tested for association with AD in whole exome sequences (WES) and whole genome sequences (WGS) datasets.

**RESULTS:** Several viruses were significant predictors of AD according to the machine learning classifiers. Subsequent regression analyses showed that herpes simplex type 1 (HSV-1) (odds ratio [OR] = 3.71,  $p = 8.03 \times 10^{-4}$ ) and human papillomavirus 71 (HPV-71; OR = 3.56,  $p = 0.02$ ), were significantly associated with AD after Bonferroni correction. The phylogenetic-related cluster of Herpesviridae was significantly associated with AD in several strata of the data ( $p < 0.01$ ).

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**DISCUSSION:** Our results support the hypothesis that viral infection, especially HSV-1, is associated with AD risk.

**KEYWORDS**

Alzheimer's disease, Alzheimer's disease sequencing project, antiviral agents, herpes simplex, human papillomavirus, torque teno viruses, whole exome sequencing, whole genome sequencing

## 1 | BACKGROUND

Development of efficacious therapies for Alzheimer's disease (AD) is a critically important international research priority. Despite numerous advances in our understanding of the fundamental pathological mechanisms leading to AD, substantial knowledge gaps exist. Neuronal response to stress from multiple sources has been linked to AD pathology,<sup>1</sup> and abnormal microglial response and associated inflammation due to viral infection may be one such stressor.<sup>1</sup> Multiple lines of evidence suggest infectious agents might impact this stress and inflammation cascade. Several studies reported an association of microbial DNA/RNA detected in brain samples with AD risk.<sup>2,3</sup> Production of amyloid beta ( $A\beta$ ) increases in response to infection and may protect against infectious agents including herpes simplex type 1 (HSV-1),<sup>4</sup> H1N1 influenza A virus (IAV),<sup>5</sup> and various bacterial agents.<sup>6</sup> HSV-1 infections also induce accumulation of  $A\beta_{42}$  inside neurons by a calcium-dependent mechanism.<sup>7</sup> Herpes infections have also been shown to increase levels of intracellular phosphorylated microtubule associated protein tau protein (P-tau).<sup>8,9</sup> In addition, HSV-1 DNA has been found within senile plaques in AD brains.<sup>10</sup> The association between HSV-1 and AD is strongest in carriers of the apolipoprotein E (APOE)  $\epsilon 4$  allele.<sup>11</sup> Finally, treatment with antiviral agents has been shown to reduce AD pathology in mice<sup>12</sup> and was associated with significantly higher cognitive function in humans in non-AD clinical trials.<sup>13,14</sup> Acyclovir, which targets viral DNA replication, was shown to significantly reduce the levels of  $A\beta$  and P-tau in HSV-1 infected cells in culture, as well as HSV-1 levels.<sup>15</sup> A clinical trial of another antiviral agent, Valacyclovir, for AD treatment is ongoing.<sup>16</sup>

In this study, we tested the hypothesis that viral species and/or the aggregate viral load are associated with AD risk. We identified and categorized human viral DNA present in whole exome sequence (WES) or whole genome sequence (WGS) data obtained by 37,000 participants of the Alzheimer Disease Sequencing Project (ADSP) and applied machine learning methods to detect viral species that predicted AD status. Viruses were further tested for association with AD risk in ancestry population subsets and the total sample using logistic regression models.

## 2 | METHODS

### 2.1 | Subject ascertainment and characteristics

WGS and WES data were derived from blood and brain samples donated by participants of the ADSP, which was established by the

National Institute on Aging and National Human Genome Research Institute to identify genetic risk factors for late-onset AD.<sup>17</sup> The ADSP ascertained subjects in multiple waves. In the Discovery phase, one group of approximately 11,000 unrelated AD cases and controls including 9590 individuals of European ancestry (EA) and 386 Caribbean Hispanic individuals (CH) were selected for exome sequencing based on sex, age, and APOE genotype. Controls were deemed to have a low likelihood of conversion to AD by age 90 based on cognitive assessment or neuropathological exam, and AD cases who were likely enriched for genetic factors other than APOE genotype were preferentially selected.<sup>18,19</sup> The WGS sample contained 583 related individuals from 111 EA and CH families. These families were selected based on the presence of more than three AD affected individuals and families without APOE  $\epsilon 4$  alleles and other known AD risk variants were preferentially chosen.<sup>19</sup> WGS was also performed for a portion of the ADSP extension sample that included additional members of the 111 families and approximately 3000 unrelated AD cases and controls (nearly equal numbers of EA, CH, and African Americans (AA) individuals.<sup>19</sup> Approximately 8000 additional unrelated AD cases and controls including 2690 EA, 3984 AA, and 1673 CH subjects in the extension sample underwent WES. WGS data were obtained from an independent group enriched for AA individuals included in the ADSP follow-up study containing 9107 unrelated AD cases and controls. Cases either met National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) clinical criteria for AD, or *post-mortem* findings met moderate or high likelihood of neuropathological criteria of AD. Autopsy data were available for 28.7% of the cases and controls used in the analysis. Controls were free of dementia by direct cognitive assessment or neuropathological examination.

### 2.2 | DNA sequencing and microbial DNA detection

WES and WGS methods and quality control (QC) procedures are described in detail elsewhere.<sup>17,18,19</sup> The sample included 15,125 WES and 13,396 WGS data derived from either brain ( $N = 3449$ ) or blood ( $N = 25,072$ ). We developed a pipeline called MicrobeSeq to detect viral DNA in the human DNA sequence data and classify it using the complete reference genomes (FASTA files) from 318 viral species. We started with 511 viral reference genomes available through National Center for Biotechnology Information (NCBI) with humans listed as the host species.<sup>20</sup> We removed 20 species that were duplicates, 47 that were primarily zoonotic viruses that rarely affected humans,

and 1 that was acutely fatal. Additionally, we removed seven viruses with no documented cases in the United States, 92 with no NCBI number, an indicator that the existence of the virus as a separate species had not been confirmed, and 26 for reasons including sparse information on the virus or whether it was a DNA virus. First, we removed all sequencing reads that mapped to the human genome sequence (build GRCh38) and generated a new FASTQ file. The resulting FASTQ file, which was enriched for non-human DNA reads, was then aligned to a set of microbe reference sequences encompassing all reference genomes using BWA-MEM.<sup>21</sup> Viral read matches were counted and normalized by the depth of the original host alignment data. Although reads were initially mapped to 61 viral species in more than one sample but, after QC filtering, 59 unique species remained.

### 2.3 | Statistical analysis

Three types of analysis were conducted to identify viral species associated with AD. First, supervised machine learning (ML) algorithms, including random forest, decision tree, LASSO, k-nearest neighbors, adaboost, support vector machines, and the generalized boosted model (GBM), were applied to total and species-specific viral read counts. An ensemble method was used to aggregate the predictive accuracies from the ML algorithms. Ensemble methods are known to make better predictions and achieve better performance than any single contributing model.<sup>22</sup> Additionally, ensemble methods are more robust and reduce the spread or dispersion of the predictions and model performance.<sup>22</sup> In addition to viral read counts, variables representing potential confounders, and technical artifacts (i.e., sequence center, polymerase chain reaction (PCR) amplification, demographic factors) were also included in these models (Figure S1). Significant non-viral AD predictors were included as covariates in subsequent logistic regression models. These classifiers were fitted on a training set (80% of the data) using the scikit-learn module in Python<sup>23</sup> and then tested on the remaining 20% of the data. The permutation importance algorithm, implemented in the Scikit-Learn module in Python 3 utilizing 10-fold cross-validation in each model was used to determine which viruses were the most important predictors of AD. A feature was considered "important" if randomly permuting its values increased the model error, because the model relied on the feature for the prediction.<sup>24</sup> For each permutation of the response vector, the relevance for all predictor variables was assessed yielding a vector of *s* importance measures for each variable. Feature importance was defined as the difference in accuracy between the baseline model which included all the predictors and a permuted model where one predictor at a time was replaced with random values.<sup>24</sup> Larger positive values indicate that the baseline model yielded higher accuracy than the model with random values for that feature.

We developed a weighting algorithm to summarize the best features across all classifier models to integrate the information generated by all ML methods. The ML weighting algorithm was applied to four subsets stratified by sequencing method (WES/WGS) and tissue source.

#### RESEARCH IN CONTEXT

- 1. Systematic review:** We searched PubMed sources for relevant articles. Prior studies have reported that herpes simplex virus type 1 (HSV-1) might contribute to Alzheimer's disease (AD) pathogenesis. In recent years, there have been reports indicating that antiviral treatment might protect against dementia in herpes infected individuals.
- 2. Interpretation:** Our findings, together with previous work, suggest that viral infection, especially HSV-1, is associated with AD risk, and demonstrate the value of deep sequencing technology for detecting microbial agents in multiple tissues and detecting associations between infectious agents and AD.
- 3. Future directions:** We aim to determine the role of host genetic modifiers within and across populations on the association between AD and HSV-1 and other viruses, as well as examine the relationship between viruses and more specific AD pathology and biomarkers.

The weighting algorithm calculated the number of times a feature's permutation importance score was above zero and that count was further weighted by the accuracy of that model. Ties were broken based on how those features performed in the highest performing model. If tied features did not appear in the highest performing model, the features were iteratively compared in the next best performing model until a difference was found. Features that were identified across many models and ranked most highly in the best performing models were considered the most predictive of AD. ML models were not corrected for multiple testing because they did not produce standard *p*-values.

GLM models were implemented in R to obtain effect sizes and *p*-values for the association of AD risk with prevalence and quantity of viruses and also with binary indicators of the presence of any versus no DNA. Models for analysis of WES data were adjusted for sequencing center, APOE genotype, and ancestry. WGS data analysis models were adjusted for these covariates as well as an indicator variable for the use of PCR amplification. Regression models were evaluated within the same four strata as the ML analysis, and the results for each virus were combined across strata via inverse variance weighted meta-analysis. Multiple testing thresholds were determined based on the number of species detected in every stratum of the data contributing to that meta-analysis, for example, 10 viruses were detected in WES, WGS, blood, and brain so the adjusted significance threshold for that meta-analysis was  $p < 0.005$ . A secondary analysis was conducted within ancestry groups, further stratified by WES/WGS and body tissue source. A one-way analysis of covariance (ANCOVA) was used to test the association between the prevalence and/or quantity of several viruses and ancestry with the following covariates: sequencing center, APOE genotype,

and tissue source. The multiple testing thresholds were determined similar to the primary analysis, for example, 59 viruses were detected in every AA stratum so the significance threshold was  $p < 0.001$ . Only HSV-1 was detected in more than 5% of samples and only human papillomavirus 71 (HPV-71), hepatitis C (HCV), and MC were detected in more than 1% of total samples. Therefore, we performed feature selection on only those samples with at least one virus detected to address problems with sparsity in the data. As a sensitivity analysis, we repeated the regression-based analyses using only the samples with any virus detected (Table S1).

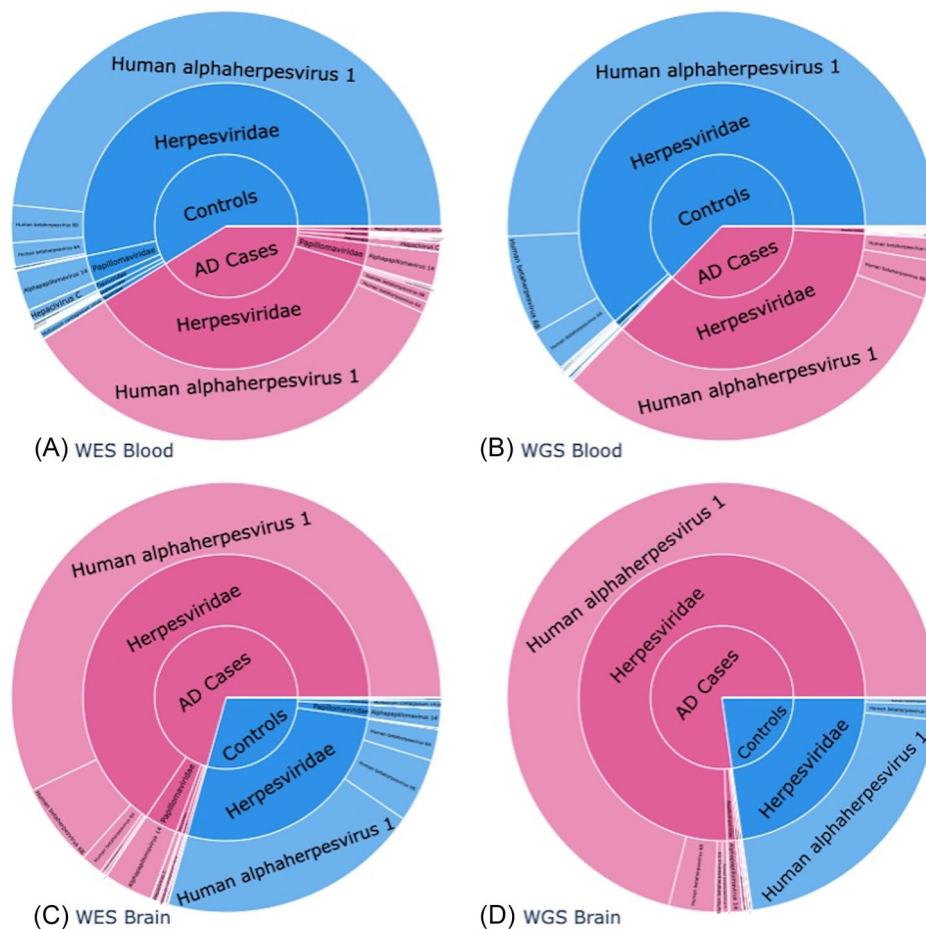
To test whether viral clusters were associated with AD and to address the potential for misassignment of reads or identical reads across closely related species, we performed the unsupervised learning algorithm K-means to create phylogenetic clusters within the 59 human viruses detected based on Gower's distance using the Scikit-Learn module in Python 3. We varied the number of clusters from 2 to 20 and found  $k = 5$  to be the optimal number based on an elbow plot of within-cluster sums of squares and silhouette scores. Five composite variables were created from these clusters such that the viral load of each virus within each cluster was summed for each individual. AD sta-

tus was then regressed on each of these five cluster quantities, and also binary indicators of the presence of any versus no DNA from species within that family, with adjustment for the aforementioned covariates using GLM.

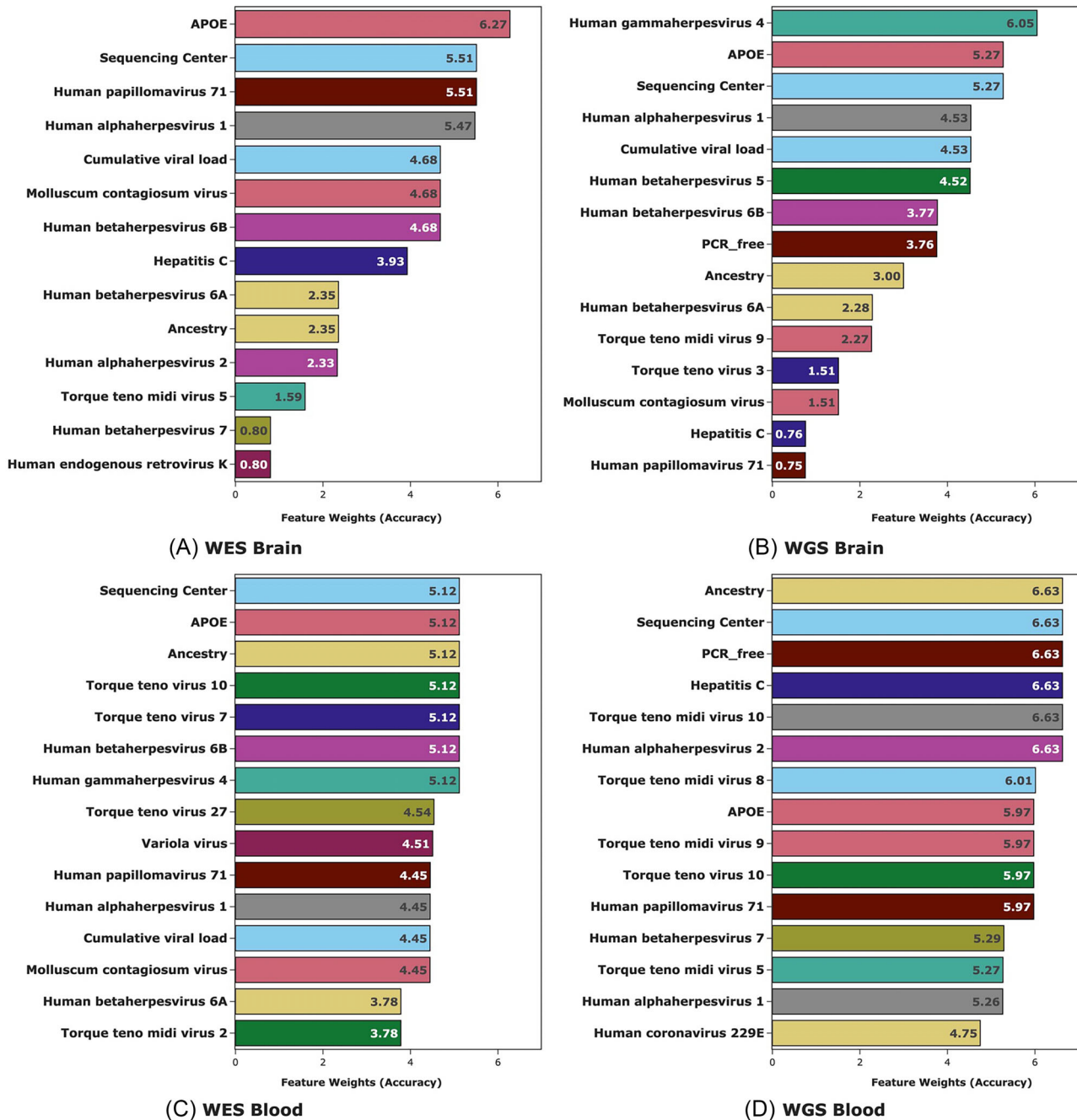
### 3 | RESULTS

#### 3.1 | Viral DNA detected in both brain and blood

Less than 0.0001% of the DNA reads did not map to the human genome but rather to 59 distinct viral species deemed likely to appear in elderly human DNA samples. Of these, 19 were detected in brain-derived samples and all 59 were detected in blood-derived samples. Additionally, 10 and 6 viruses were unique to WGS and WES data, respectively. Ten viral species were detected in all four-tissue source and sequencing experiment type strata of the data: HSV-1, Epstein-Barr virus (EBV), human betaherpesvirus 6A (HHV-6A), human betaherpesvirus 6B (HHV-6B), human betaherpesvirus 7 (HHV-7), HPV-71, HCV, molluscum contagiosum (MC), Torque teno midi virus 9 (TTMV-9), and



**FIGURE 1** Frequency of viral reads by tissue source and type of sequencing. Proportion of total viral reads mapping to individual species in (A) whole exome sequence (WES) data from blood, (B) whole genome (WGS) sequence data from blood, (C) WES data from brain in WES, and (D) WGS data from brain. The innermost circle shows the proportion of all viral reads between Alzheimer's disease (AD) cases and controls within each of these subsets. The middle ring shows the proportion of viral reads mapping to a viral family within AD cases and controls, and the outer ring is the breakdown between viral species within a viral family



**FIGURE 2** Top virus predictors of Alzheimer's disease (AD) by tissue source and type of sequencing. Bar charts of the ML weighted algorithm for (A) whole exome sequence (WES) data from brain, (B) whole genome (WGS) sequence data from brain, (C) WES data from blood in WES, and (D) WGS data from blood. Each feature within each subset is assigned a score created by summing the accuracy of the ML prediction model in which it improved the prediction of AD. The top 15 features are shown in each bar chart though several other viruses improved the prediction models

tick-borne encephalitis (TBE). Viral reads were detected in 49% of brain-derived and 59% of blood-derived sequences. The average cumulative viral read counts in the four strata were 12.56 in blood/WGS, 5.38 in blood/WES, 6.75 in brain/WGS, and 4.52 in brain/WES (see Table S2 for further breakdown by cases and controls). Figure 1 shows the proportion of total reads mapping to a viral species that map to each individual species and taxonomic family within each of the four strata of the data described above. Herpesviridae, Flaviviridae, Anelloviridae, Papillomaviridae, and Poxviridae were five most com-

mon virus families detected in both the WES and WGS sequence data. Herpesviridae was the most detected human viral family, comprised almost entirely of HSV-1.

### 3.2 | AD associations in WES blood

Figure 2 shows AD-predictive viral features, as well as AD predictive demographic and technical factors. The length of the bars corresponds

**TABLE 1** Significant associations of viral read counts and AD risk.

Virus	Tissue	Dataset <sup>1</sup>	Odds ratio	p-Value	Adjusted p-value	Effect direction <sup>2</sup>
HSV-1 <sup>3</sup>	Meta-analysis	Meta-analysis	3.69	$6.71 \times 10^{-5}$	$6.71 \times 10^{-4}$	-++
	Blood	WES	4.08	$3.58 \times 10^{-5}$	$3.58 \times 10^{-4}$	+
		WGS	0.49	0.64	1	-
	Brain	WES	4.83	0.44	1	+
		WGS	$1.80 \times 10^{-5}$	0.26	1	-
HPV-71 <sup>3</sup>	Meta-analysis	Meta-analysis	3.55	$3.41 \times 10^{-3}$	0.03	-+-
	Blood	WES	3.9	$1.97 \times 10^{-3}$	0.02	+
		WGS	$3.09 \times 10^{-100}$	0.93	1	-
	Brain	WES	0.16	0.47	1	-
		WGS	$1.83 \times 10^{126}$	0.98	1	+

\*Results from blood and brain analyzed by dataset (WES/WGS) and combined by meta-analysis.

† + indicates virus associated with increased AD risk, - indicates lower risk. The order of datasets is WES-blood, WES-brain, WGS-blood, WGS-brain.

‡ p-values adjusted for 10 tests.

to the number of ML methods in which the feature was significant. HSV-1, human alphaherpesvirus 2 (HSV-2), HHV-6B, HHV-6A, EBV, human betaherpesvirus 5 (cytomegalovirus [CMV]), HPV-71, Torque teno virus 3 (TTV-3), Torque teno virus 7 (TTV-7), Torque teno virus 10 (TTV-10), torque teno midi virus 5 (TTMV-5), TTMV-9, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in this stratum (Figure 2C). The best model was LASSO with 67.2% predictive accuracy for AD status in the test set. The quantity of HSV-1 (odds ratio [OR] = 4.08,  $P_{\text{adj}} = 3.58 \times 10^{-4}$ ) and HPV-71 (OR = 3.90,  $P_{\text{adj}} = 0.02$ ) (Table 1) were significantly associated with AD status using logistic regression models after correcting for the 10 viruses detected in all four strata of the data. HSV-1 DNA was detected in 94.9% of samples in this stratum, and HPV-71 DNA in 12.8%.

### 3.3 | AD associations in WES brain

HSV-1, HHV-6B, HHV-6A, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in WES brain samples (Figure 2A). The best model was GBM, showing 80.0% accuracy predicting AD status in the test set. Although no viral species was significantly associated with AD after multiple test correction using logistic regression, the association with the Herpes family cluster was significant in after multiple test correction for five clusters (OR = 4.16,  $P_{\text{adj}} = 0.048$ ) (Table 2). HSV-1 DNA was present in 93.2% of samples in this stratum, while HPV-71 was present in 9.8%.

### 3.4 | AD associations in WGS blood

HSV-1, HSV-2, HHV-6A, HHV-6B, HCV, MC, Torque teno midi virus 10 (TTMV-10), EBV, CMV, HPV-71, TTV-3, TTMV-5, TTMV-9, and cumulative mapped viral reads were top predictors of AD. (Figure 2D). GBM was the best predictor of AD status with 69.1% accuracy in the test set. No viral read counts were significantly associated with AD risk in

this stratum in logistic regression models, but the quantity of reads within the Herpes family cluster was significantly associated with AD (OR = 2.30,  $P_{\text{adj}} = 0.044$ ) after Bonferroni correction for five tests (Table 2). HSV-1 DNA was detected in 56.4% of samples in this stratum, and HPV-71 DNA in 0.3%.

### 3.5 | AD associations in WGS brain

HSV-1, HHV-6B, HHV-6A, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in WGS in brain (Figure 2B). GBM was again the best performing model in this stratum with 77.9% predictive accuracy for AD status in the test set. No viral read counts were significantly associated with AD risk in the WGS brain dataset using logistic regression. HSV-1 DNA was detected in 59.9% of samples in this stratum, and HPV-71 DNA in 1.0%.

### 3.6 | Differences in viral DNA prevalence by ancestry

The prevalence and/or quantity of several viruses, and their association with AD differed across ancestry groups according to ANCOVA tests; p-values are based on an F-statistic of a one-way ANCOVA of ancestry group and viral counts adjusting for covariates (Table 3). The cumulative viral load was highest in the CH group and lowest in EA individuals ( $p = 9.96 \times 10^{-17}$ ), driven primarily by HSV-1 ( $p = 9.17 \times 10^{-88}$ , Table 3). AA individuals had disproportionately higher levels of HPV-71 ( $p = 0.05$ ), TTV-3 ( $p = 0.01$ ), and TTV-10 ( $p = 4.02 \times 10^{-8}$ ), and EA individuals had disproportionately lower levels of HCV ( $p = 0.01$ ) and TTMV-9 ( $p = 0.01$ ) compared to other groups. The association of AD with HSV-1 was evident in both AA individuals (OR = 9.30,  $p = 5.81 \times 10^{-3}$ ) and EA individuals (OR = 4.95,  $p = 2.27 \times 10^{-3}$ ), whereas AA individuals primarily accounted for the associations with HPV-71 (OR = 7.24,  $p = 2.13 \times 10^{-4}$ ) and TTV-10 (OR = 534,  $p = 0.01$ )

**TABLE 2** Association of viral phylogenetic clusters with AD by ancestry and DNA source.

Subset	Herpes cluster*		Torque teno cluster†		Retrovirus cluster‡		
	Odds ratio	p-Value§	Odds ratio	p-Value§	Odds ratio	p-Value§	
WES	Total	1.10	0.42	1.40	0.01	0.30	0.09
Ancestry	African American	0.82	0.29	<b>1.67</b>	<b>8.73 × 10<sup>-3</sup></b>	0.50	0.52
	Caribbean hispanic	1.89	0.11	0.90	0.78	4.02 × 10 <sup>-7</sup>	0.98
	European	1.22	0.27	1.20	0.45	0.30	0.33
Body tissue source	Blood	1.02	0.85	1.39	0.02	0.36	0.18
	Brain	<b>4.16</b>	<b>9.54 × 10<sup>-3</sup></b>	2.53 × 10 <sup>7</sup>	0.99	0.04	0.04
WGS	Total	1.80	0.04	1.29	0.04	0.57	0.45
Ancestry	African American	0.71	0.58	1.46	0.03	0.73	0.72
	Caribbean hispanic	1.48	0.06	0.95	0.83	NA	NA
	European	<b>2.82</b>	<b>3.4 × 10<sup>-3</sup></b>	1.58	0.10	2.53	0.59
Body Tissue Source	Blood	<b>2.30</b>	<b>8.79 × 10<sup>-3</sup></b>	1.29	0.03	0.61	0.50
	Brain	1.89 × 10 <sup>-5</sup>	0.99	4.21 × 10 <sup>6</sup>	0.99	NA	NA

\*Includes HSV-1, HSV-2, HSV-3, EBV, CMV, HHV-6A, HHV-6B, HHV-7, and HHV-8.

†Includes TTV-1, TTV-2, TTV-3, TTV-5, TTV-6, TTV-7, TTV-8, TTV-9, TTV-10, TTV-11, TTV-12, TTV-14, TTV-25, TTV-27, and TTV-ALA22.

‡Includes HIV, human endogenous retrovirus K, primate T-lymphotropic virus 1, and primate T-lymphotropic virus 2.

§p < 0.01 significant level after Bonferroni correction of five tests.

NA = viral family not detected.

(Table 4). Permutation feature importance scores above zero within ancestry are shown in Figures S2, S3, and S4.

The group of Herpes viruses was also associated with AD in EA individuals in the WGS dataset (OR = 2.82, P<sub>adj</sub> = 0.017) (Table 2). In contrast, the Torque teno virus family was associated with AD among AA individuals in the subset of WES data (OR = 1.67, P<sub>adj</sub> = 0.04) (Table 2). Further scrutiny of these results revealed that the association with the Herpesviridae cluster in both WES and WGS data was accounted for primarily by HSV-1. HHV-6B was the second most common herpes virus identified in WES and WGS data. We also note that HHV-6B and HHV-7 were 2 and 10 times, respectively, more frequent in WGS compared to WES samples derived from blood. In contrast, in sequence data derived from brain, there was a higher percentage of AD cases with HHV-6B in WES compared to WGS. HSV-2 was five times more prevalent in WES than WGS brain samples.

## 4 | DISCUSSION

### 4.1 | AD risk is differentially associated with multiple viruses in brain and blood

We applied a novel approach to detect viral DNA in human WES and WGS data that entailed identifying DNA sequences that did not align to the human reference genome and mapped them to viral reference genomes. Higher quantity of HSV-1 was associated with increased AD risk in AA and EA individuals but not CH individuals. Although the mean

level of HSV-1 in CH AD cases was similar to other ancestry groups, CH controls had 1.5 and 2.1 times more HSV-1 than in AA and EA controls, respectively. The overall prevalence of HSV-1 was consistent with a study of 3533 pregnant women in London showing that the observation that the HSV-1 seroprevalence was nearly 100% in Black women born in Africa or the Caribbean and 60%–80% in White, Asian, and Black women born in the United Kingdom.<sup>25</sup> We also found significant AA-specific associations with HPV-71 and TTV-10. Analysis of phylogenetically related viruses showed that increased AD risk was associated with the group of herpes viruses detected in brain from subjects in the WES brain dataset but in blood from subjects in the WGS dataset, as well in the aggregate WES and WGS data obtained from EA individuals. The cluster of Torque teno viruses was also significantly associated with AD in WES data from AA individuals.

Our approach to identify and quantify viral load in DNA sequence data was similar to that employed by Readhead et al.<sup>3</sup> who quantified viruses in RNA sequence data derived from brain tissue obtained from AD cases and controls in three cohorts, including ROS-MAP, which is one of the sources of samples for our study. The viruses most strongly implicated in AD in their study were herpes viruses HHV-6A and HHV-7, which were significant in ML analyses but not logistic regression. While Readhead et al.<sup>3</sup> split the viral reference genomes into 31 base pair segments and removed any cross-species duplicate 31-mers from the viral reference genomes prior to mapping the human RNA reads to them, we mapped the DNA sequence reads to the complete viral genomes without removing duplicate 31-mers. It is possible that differences in mapping methods led to differential assignment of

**TABLE 3** Average viral load and standard deviation for top viruses by ancestry group.

Species	African american (n = 5078)	African american SD	Caribbean hispanic (n = 3132)	Caribbean hispanic SD	European ancestry (n = 8074)	European ancestry SD	p-Value*†
Epstein-Barr virus (EBV)	$2.76 \times 10^{-3}$	0.07	0.01	0.11	$2.85 \times 10^{-3}$	0.07	0.08
Human betaherpesvirus 6A (HHV-6A)	0.33	8.96	0.25	10.67	0.23	5.49	0.53
Human betaherpesvirus 6B (HHV-6B)	0.33	8.64	1.26	33.75	0.65	14.71	0.13
Human betaherpesvirus 7 (HHV-7)	0.01	0.17	0.02	0.22	0.01	0.11	$6.59 \times 10^{-4}$
Human papillomavirus 71 (HPV-71)	0.4	1.44	0.03	0.22	0.06	0.28	0.05
Human alphaherpesvirus 1 (HSV-1)	7.23	8.11	9.89	10.81	5.69	9.49	$9.17 \times 10^{-88}$
Hepatitis C (HCV)	0.09	0.43	0.09	0.43	0.04	0.3	0.01
Molluscum contagiosum virus (MC)	0.08	0.47	0.01	0.13	0.02	0.14	0.18
Torque teno midi virus 9 (TTMV-9)	0.03	0.25	0.03	0.27	0.01	0.16	0.01
Torque teno virus 10 (TTV-10)	0.02	0.32	0.01	0.15	$2.97 \times 10^{-3}$	0.08	$4.02 \times 10^{-8}$
Tick-borne encephalitis virus (TBE)	0.01	0.16	0.01	0.12	$2.72 \times 10^{-3}$	0.07	0.07
Cumulative viral load	8.76	15.87	11.79	37.74	6.79	18.58	$9.96 \times 10^{-17}$

\*p-Value is based on an F-statistic of a one-way ANCOVA of ancestry group.

†Adjusted for sequencing center, APOE genotype, and body tissue source.

**TABLE 4** Significant associations of viral read count with AD in at least one ancestry group.

Virus	African american				European ancestry				Caribbean hispanic			
	Mean viral load				Mean viral load				Mean viral load			
	AD cases	Controls	Odds Ratio	p-Value	AD cases	Controls	Odds ratio	p-Value	AD cases	Controls	Odds ratio	p-Value
Human alphaherpesvirus 1 (HSV-1)*	7.32	7.18	9.30	$5.81 \times 10^{-3}$	6.04	5.26	4.95	$2.27 \times 10^{-3}$	6.77	11.0	1.35	1.00
Human Papillomavirus 71 (HPV-71)*	0.50	0.34	7.24	$2.13 \times 10^{-4}$	0.05	0.07	0.04	0.06	0.05	0.02	0.70	1.00
Torque teno virus 10 (TTV-10)†	0.05	0.01	$5.34 \times 10^2$	0.01	0.003	0.003	0.56	1.00	0.01	0.01	3.04	1.00

\*Adjusted for 10 independent tests.

†Adjusted for 59 independent tests.

Analyses were stratified by dataset (WES/WGS) and combined by meta-analysis.



herpes reads across herpes species. Despite this difference, both studies identified herpes viruses as the most abundant family and observed association with AD, adding to the body of literature suggesting they increase AD risk.<sup>4–11</sup>

This is the first study to suggest a role for TTV in AD. TTV and its sub-variants, including Torque teno mini and midi viruses, infect humans at a high rate,<sup>26</sup> but are not known to cause disease. A recent study showed that TTV load in plasma increased with age, decreased in the presence of CMV infection, and was associated with HLA type B27 but not AD.<sup>27</sup> The discordance with our finding showing an association between TTV and AD may be explained by differential effect of TTV on AD risk in blood versus brain, where two TTV strains have been detected.<sup>28</sup> One possible mechanism that might explain our observed association with TTV is that EBV, which has been associated with AD risk, may stimulate TTV replication.<sup>29</sup>

## 4.2 | AD/virus associations vary across populations

This was the first study to examine AD-related differences in viral load by ancestry. Total viral load was highest in the CH group primarily driven by HSV-1. This finding is consistent with a CDC report showing that Hispanics had higher HSV-1 prevalence (71.7%) compared to non-Hispanic White persons (36.9%).<sup>30</sup> In contrast, all other common viruses we detected had the highest prevalence in AA individuals, including genital HPV, a finding consistent with other studies.<sup>31,32</sup> These ancestry differences observed could be due to health disparities, genetics, geographic differences, or an artifact of the smaller sample sizes available for non-Europeans.

## 4.3 | Latent versus active HSV-1 infections

HSV-1 is typically transmitted during childhood and is present in approximately 65% of the U.S. population.<sup>33</sup> It generally persists as a latent infection with a viral reservoir present in sensory and autonomic neurons and can periodically reactivate to produce active infections. During latent infection, sections of DNA called latency associated transcript (LAT) are transcribed, but not thought to be translated or leave the nucleus of the infected neuron.<sup>34,35</sup> We mapped the HSV-1 viral reads to specific genes in the viral genome and found four samples in which sequence fragments mapped to the LAT region. This number is not likely sufficient to make meaningful inferences about latent versus active infections. The prevalence of HSV-1 DNA in these samples is consistent with detecting both latent and active infections, but not active infections alone. Although the presence of HSV-1 is not surprising in brain-derived samples where the viral reservoirs reside, the presence of HSV-1 as well as HPV-71 DNA in blood-derived samples is a potentially surprising finding. Although some evidence suggests herpes virus is shed at low levels even during latency, this is not well established.<sup>36,37</sup> Several viruses, including EBV, HSV-1, HPV, and TTV have been detected in blood samples.<sup>38,39</sup> HSV-1 DNA is not known to insert into the host genome,<sup>40</sup> so it is unlikely that this explains its pres-

ence in non-neuronal tissue. Although it is not possible to definitively determine why HSV-1 and HPV-71 was detected in blood, the fact that its prevalence closely matches that in the epidemiological literature, as well as the fact that the quantity of DNA from these viruses is quite low, are evidence that the identification of DNA from these species is not an artifact.

## 4.4 | Study strengths and limitations

Our study has several strengths. The sample size is much larger than previous studies that used next generation sequence data to detect microbial DNA/RNA, providing greater statistical power to detect associations with viruses. Additionally, the fact that 74% of cases were autopsy-confirmed is a strength of this study. Also, we adjusted for several potential confounders and technical artifacts in our models including APOE-ε4 status, sequencing center, sex, age, tissue source, ancestry, and use of PCR amplification. Substantial effort was also made to remove species not known to infect humans or were unlikely to be observed in elderly residents of the United States (i.e., Ebola). For example, our pipeline initially detected a large quantity of DNA from Macacine alphaherpesvirus, which is rarely found in humans and highly lethal. Subsequently, we determined that this species shares a high level of genetic homology to a sub-species of HSV-1 that was not initially included among the reference viral genomes tested.

Several limitations to this work should also be noted. The relatively small number of brain samples may explain why the parametric models detected significant associations only in blood samples. However, the nonparametric ML models identified several viruses as predictors of AD in brain. Second, most of the detected viruses had relatively low read counts, with the exception of HSV-1. As a result, several viral species identified using ML models did not yield robust regression results, as evidenced by very large ORs and standard error estimates. Another caveat is the fact that DNA reflects a “snapshot” of an individual’s microbial load at the time the sample was collected. Hence, we are unable to establish temporality for the association with AD. Unlike other viruses that cause acute infection, however, HSV-1 is persistent and generally life-long. Also, despite our efforts to harmonize our analyses, we utilized data that were generated using fundamentally different sequencing methods and tissue sources. Although it is difficult to account for all potential sources of contamination, the significant viruses were associated across several sequencing centers, indicating that contamination at individual labs was not a likely source of bias.

Although ML-based associations with several viral species were observed across all four strata of the data, many findings were inconsistent across tissue source and type of sequence data. Differences between data derived from blood and brain may be explained by differential cell type infection among viruses and the variable ability of species to cross the blood-brain barrier. These factors may explain why substantially more species were detected in DNA derived from blood. Associations between AD and HSV-1 which were observed only in blood-derived WES samples could indicate that only more severe or active infections are detectable in blood. The significant association of

the quantity of reads from the herpes virus family with AD in brain samples may be evidence that WES samples may be less able to discriminate between different members of species within that family. The detection of HPV-71 in blood only was not surprising because this virus does not infect neurons and instead infect basal epithelial cells.<sup>41</sup> The capture kits used in WES may explain the higher viral load detected in the WGS data because only species containing a sequence complementary to one of the capture probes would be detected. Unfortunately, no duplicate samples were sequenced in DNA derived from both brain and blood, nor from both WGS and WES, making direct comparisons impossible.

## 5 | CONCLUSIONS

Findings from this study provide further support for a role of viral infections, especially HSV-1, in the development of AD and demonstrate that they can be detected and quantified in human DNA sequence data. Additional studies are needed to determine the role of host genetic modifiers within and across populations on the association of AD with HSV-1 and other viruses, as well as examine the relationship of specific viruses to AD-related pathology and biomarkers. Finally, these findings suggest that reducing the load and/or activity of HSV-1 may lower future risk of AD.

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#### CONFLICT OF INTEREST STATEMENT

The authors have no conflicts of interest [supporting information](#).

#### CONSENT STATEMENT

All patients gave their written informed consent.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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