1	Metabotropic NMDA Receptor Signaling Contributes to Sex Differences in Synaptic
2	Plasticity and Episodic Memory
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21 Summary

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Men generally outperform women on encoding spatial components of episodic memory 23 whereas the reverse holds for semantic elements. Here we show that female mice outperform 24 males on tests for non-spatial aspects of episodic memory ("what", "when"), suggesting that 25 the human findings are influenced by neurobiological factors common to mammals. Analysis of 26 hippocampal synaptic plasticity mechanisms and encoding revealed unprecedented, sex-27 specific contributions of non-classical metabotropic NMDA receptor (NMDAR) functions. While 28 both sexes used non-ionic NMDAR signaling to trigger actin polymerization needed to 29 consolidate long-term potentiation (LTP), NMDAR GluN2B subunit antagonism blocked these 30 effects in males only and had the corresponding sex-specific effect on episodic memory. 31 Conversely, blocking estrogen receptor alpha eliminated metabotropic stabilization of LTP and 32 episodic memory in females only. The results show that sex differences in metabotropic 33 signaling critical for enduring synaptic plasticity in hippocampus have significant consequences 34 for encoding episodic memories. 35

37 Introduction.

Sex differences in learning were described in the 19th century and have been a much 38 discussed topic ever since¹⁻³. Current analyses reflect a growing interest by psychologists and 39 behavioral neuroscientists in episodic memory, a type of everyday encoding that includes the 40 identities, locations, and temporal order of events^{4,5}. Episodic memory organizes the flow of 41 experience and in doing so is critical for diverse aspects of cognition including inferential 42 thinking and imagination⁶. Although there are discrepancies in the literature^{7,8}, men generally 43 outperform women in spatial tests (e.g., three-dimensional mental rotations, navigation)^{1,3,9,10} 44 whereas the reverse holds for verbal and non-spatial episodic memory tasks^{1-3,11-13}. Women 45 also outperform men in recalling stories¹⁴, a core episodic operation that places demands on 46 brain systems that retrieve items in their correct order. 47

Whether, and to what extent, the above patterns reflect sex differences in biological 48 substrates as opposed to education and social expectations^{15,16} is poorly understood. 49 Evidence for a male advantage in spatial learning across several mammalian species strongly 50 suggests that results in humans to some degree reflect evolutionarily conserved 51 neurobiological mechanisms¹⁷. Comparable animal data are lacking for those aspects of 52 episodic memory for which women outperform men. This is surprising given recent evidence 53 that male rodents acquire 'what' and 'when' information along with spatial relationships 54 ('where') in an episodic manner⁵, and that encoding in rodents, as in humans¹⁸⁻²⁰, depends on 55 hippocampus. In mice, males outperform females on encoding the 'where' element of an 56 episode²¹, but it is not known if females have an advantage on non-spatial components. 57

Related to the above, there are sex differences in synaptic plasticity in the adult 58 hippocampus²¹⁻²⁵, and thus differences are likely for the neurobiological substrates of episodic 59 memory. Both sexes require NMDAR-gated ion fluxes to induce memory-related long-term 60 potentiation (LTP) but only females use local estrogen signaling to stabilize the potentiated 61 state²²⁻²⁴. The possibility that males might rely upon non-ionic functions of the NMDARs rather 62 than those of estrogen receptors for LTP consolidation has not been tested. Early evidence for 63 such metabotropic (m-) NMDAR function involved demonstrations that use-dependent 64 dephosphorylation^{26,27} and internalization of the receptors occur in the absence of channel 65 opening. Malinow and colleagues²⁸⁻³⁰ then described multiple instances of NMDAR-driven 66 effects in the presence of the channel blocker MK801. There is now evidence for m-NMDAR 67 contributions³¹ to excitotoxic damage³² and glutamate-induced changes in spine size³³⁻³⁵. 68

However, it is not known if these non-ionic processes are engaged by the naturalistic stimulation patterns commonly used to induce LTP, are critical for the enhancement of synaptic responses which defines potentiation, or contribute to the encoding of episodic memory.

The present studies investigated the above issues by first determining if there are sex 73 differences in rodent encoding of the identity, location, and temporal order of cues using 74 paradigms that do not include practice or overt rewards⁵. These experiments tested the 75 proposition that the male advantage in episodic 'where' encoding are balanced by a female 76 advantage in acquiring 'what' and/or 'when' information. We then evaluated the contributions 77 and nature of metabotropic signaling supporting LTP in males and females with particular 78 interest in activity-induced remodeling of the synaptic actin cytoskeleton. Results provide novel 79 and striking evidence that in males m-NMDAR functions are critical for both LTP stabilization 80 mechanisms and episodic memory, and that females substitute estrogen signaling for these m-81 NMDAR functions. 82

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85 Materials and Methods

Animals. Experiments used 2-4 month old Sprague Dawley rats (Charles River) and 2-4 86 month old sighted-FVB129 mice of both sexes. Animals were group-housed (rat, 2-4/cage; 87 mice, 3-5/cage) in rooms (68°F, 55% humidity) with 12-hr light/dark cycle (lights on 6:30AM), 88 and food/water ad libitum. Mice were used for behavioral experiments as these tasks were 89 previously validated in mice⁵. Rats were used for electrophysiological (except Fig. 3C) and 90 immunolabeling experiments because their larger hippocampus allowed for precisely targeting 91 specific laminae, and immunofluorescence and phalloidin paradigms were previously validated 92 in rats²². Females were estrous-staged²¹ and used outside proestrus (estrus/diestrus). NMDAR 93 subunit analysis used diestrus females. For electrophysiology and microscopy experiments, N 94 denotes number of hippocampal slices from ≥3 animals (Table S1). Experiments were 95 conducted in accordance with the National Institutes of Health Guide for the Care and Use for 96 Laboratory Animals and protocols approved by the Institutional Animal Care and Use 97 Committee at the University of California, Irvine. 98

Behavioral Assays. To assess effects of sex and mNMDAR function on episodic memory, 100 mice were tested in tasks that used multiple odor cues and did not involve repetition or 101 reward^{5,21,36,37}. Mice were handled for 2-min the day before experimentation. Serial 'What' and 102 'When' tasks used plexiglass arenas (30x25-cm floor, 21.5-cm height) and two jars; the 103 simultaneous 'What' and 'Where' tasks employed larger arenas (60×60-cm floor, 30-cm height) 104 and 4 jars as described^{5,21}. Each jar contained a filter paper scented with an odorant dissolved 105 in mineral oil (~0.1 Pascals). The following odors, with letter identification, were used: (A) (+)-106 Limonene (≥97% purity, Sigma-Aldrich), (B) Cyclohexyl ethyl acetate (≥97%, International 107 Flavors & Fragrances), (C) (+)-Citronellal (~96% Alfa Aesar), (D) Octyl Aldehyde (~99%, Acros 108 Organics), (E) Anisole 99% (~99% Acros Organics). These odors were previously confirmed to 109 be saliently balanced in both sexes²¹. 110

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Serial 'What' task. A mouse was placed into an arena containing two unscented jars for 5-min and then lacking jars for 5 min. They were then exposed a series of three odor pairs (3-min each/5-min apart): A:A>B:B>C:C. Five-min following trial C:C, the mice were presented odorant pair A:D (familiar vs. novel odors, respectively) and allowed to explore for 3 min. A four-odor version of this task added an additional odor pair to the initial sequence (A:A>B:B>C:C>D:D) and used A:E for testing. This task was counter-balanced by using D as the first odor (D:D>B:B>C:C>A:A>D:E).

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Serial 'When' task. The task used the four-odor sampling sequence described above (A:A>B:B>C:C>D:D; 3-min each/5-min apart), and a final presentation of the first and second odors from the sequence (A vs. B; less vs. more recent) for testing.

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Simultaneous 'What' task. Mice were habituated to the arena containing four empty jars for 5 min. Jars were removed and, after 3-min, mice were exposed to four different odors (A:B:C:D) simultaneously for 5-min. At retention testing 48-hours later mice were reintroduced to the chamber containing three familiar (A:B:C) and one novel (E) odor.

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129 *'Where' task.* Arena habituation and initial odor sampling was the same as for the 130 simultaneous 'What' task. Three minutes after initial sampling, the odors were reintroduced

with the position of two odorant jars from opposite corners (pair A:D or B:C) switched. The
 mice then freely explored the chamber for 5 min.

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134 For drug studies, the initial odorant sampling was extended to 10-min to allow both 135 sexes to learn. The 5-min retention trial was conducted 24-hrs later.

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Behavioral scoring. Sessions were digitally recorded and scored by an observer blind to group. Cue sampling time (t) was collected as the number of seconds the mouse's nose was actively pointed towards the odor hole (~0.5-cm radius). Calculations for the Discrimination Index (DI) across the tasks were as follows: 'Where' DI = 100 x ($t_{sum of switched pair - t_{sum of stationary}$ pair)/($t_{total sampling}$); serial 'What' and 'When' DI = 100 × ($t_{novel} - t_{familiar}$)/($t_{total sampling}$); simultaneous 'What' DI = 100 × ($t_{novel} - t_{mean familiars}$)/($t_{total sampling}$). Z-score calculations were as follows: (mean DI_{female} – mean DI_{male})/(standard deviation_{male}).

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Field Electrophysiology. Hippocampal slices were prepared using a McIllwain chopper (370-145 µm; transverse) and immediately transferred to an interface recording chamber with perfusion 146 of oxygenated artificial cerebrospinal fluid (aCSF; 60-70 mL/hr, 31±1°C, 95% O₂/5% CO₂) that 147 included (in mM): 124 NaCl, 26 NaHCO₃, 3 KCl, 1.25 KH₂PO₄, 2.5 CaCl₂, 1.5 MgSO₄, and 10 148 dextrose (pH 7.4). Experiments were initiated 2 h later. Field excitatory postsynaptic potentials 149 (fEPSPs) were elicited using a twisted nichrome wire stimulating electrode in CA1a or CA1c 150 stratum radiatum (SR) and recorded with a glass pipette electrode (filled with 2M NaCl; R=2-151 3MΩ) in CA1b SR. Single-pulse baseline stimulation was applied with fEPSP amplitude at ~40-152 50% of maximum population-spike free amplitude. Responses were digitized at 20kHz using 153 an AC amplifier (A-M Systems, Model 1700) and recorded using NAC2.0 Neurodata 154 Acquisition System (Theta Burst Corporation). LTP was induced using 10 burst train of theta 155 burst stimulation (TBS: four pulses at 100Hz per burst, 200ms between bursts). For LTP-156 threshold analysis, TBS triplets were applied four times at 90 sec intervals^{21,22}. Drugs were 157 infused into the bath 1-3 hr before TBS. 158

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Whole-Cell Current-Clamp. Hippocampal slices (350-μm, transverse) from 8-week old male
 mice were prepared using a Leica Vibroslicer (VT1000S) and placed in a submerged recording
 chamber with constant oxygenated aCSF perfusion (2ml/min) at 32°C. Whole-cell recordings

163 (Axopatch 200A amplifier, Molecular Devices) used 4–7 M Ω glass pipettes filled with (in mM): 164 140 CsMeSO₃, 8 CsCl, 10 HEPES, 0.2 EGTA, 2 QX-314, 2 Mg-ATP, 0.3 Na-GTP. Bipolar 165 stimulating electrodes were placed in the CA1 SR, 100-150 µm from the recorded cell. EPSCs 166 were recorded with the holding potential at +40 mV for NMDAR amplitude (at 50ms from 167 stimulation artifact) in the presence of 50 µM picrotoxin.

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Fluorescence Deconvolution Tomography (FDT). For measures of basal synaptic protein 169 levels, hippocampal slices (370-µm) were immersed in cold 4% paraformaldehyde (PFA) 170 overnight. For LTP experiments, electrodes were placed in CA1a and CA1c SR for stimulation 171 and CA1b SR for recording, all equidistant from the cell layer. After ~5 min of stable baseline, 172 one TBS train was applied to each polarity of each stimulating electrode (pulses at 2x baseline 173 duration). Control slices continuously received 3/min pulses. Slices were harvested after a 174 specified time post-TBS (3 min for pERK²², 7 min for pSrc³⁸, and 15 min for pCAMKII³⁹) and 175 fixed overnight. Slices were sub-sectioned (20µm) and 6-8 sections from the top (interface 176 plane) of each slice were slide-mounted and processed for dual immunofluorescence²². 177

The following primary antibodies (concentration; vendor, catalogue number, RRID) were 178 used: goat anti-PSD95 (1:1500; Abcam, ab12093, AB 298846) with either rabbit anti-pCaMKII 179 (Thr286/Thr287) (1:500; Upstate (now Millipore), 06-881, RRID:AB 310282) or rabbit anti-180 pERK1/2 (Thr202/Tyr204) (1:500; Cell Signaling 4377, AB_331775); Mouse anti-PSD95 181 (1:1000; Invitrogen, MA1-045, AB_325399) with rabbit anti-pSrc (Tyr419) (1:250; Invitrogen, 182 44-660G, AB 2533714); Rabbit anti-GluN1 (extracellular) (1:1000; Alamones Labs, AGC-001, 183 AB 2040023), anti-GluN2A (1:500, Alamones Labs, AGC-002, AB 2040025), anti-GluN2B 184 (1:500, Alamones Labs, AGC-003, AB_2040028), or anti-GluN2B Tyr1472 (1:300; 185 PhosphoSolutions, P1516-1472, AB_2492182) with goat anti-PSD95 (1:1500, abcam 186 ab12093; AB_298846). Secondary antibodies (all at 1:1000) included AlexaFluor donkey anti-187 goat 488 (Invitrogen, A32814, AB 2762838), donkey anti-rabbit 594 (A32754, Invitrogen, 188 AB_2762827), donkey anti-mouse 594 (A21203, AB_141633), and donkey anti-rabbit 488 189 (A21206, AB 2535792). 190

FDT analyses were as described^{22,40-42}. Image z-stacks (136x105x2- μ m, 200-nm steps; 63X capture) were collected from the CA1 SR from \geq 5-7 sections per slice and processed for iterative deconvolution (99% confidence; Volocity 4.0, PerkinElmer). Three dimensional (3-D) montages of each z-stack were analyzed for synaptic labeling using in-house software (c99,

Java (OpenJDK IcedTea 6.1.12.6), Matlab R2019b, PuTTY 0.74, and Perl 5.30.0). For each 195 image, labeling was normalized and thresholded, and erosion and dilation filtering was used to 196 fill holes and remove background pixels to detect edges of both faintly and densely labeled 197 structures. Objects were then segmented based on connected pixels above a threshold across 198 each channel separately. All immunofluorescent elements meeting size constraints of 199 synapses and detected across multiple intensity thresholds were quantified. PSD95-200 immunoreactive elements were considered double-labeled with the second antigen if there 201 was contact or overlap in fields occupied by the two fluorophores as assessed in 3-D. 202 Approximately 20-30 thousand synapses were thus analyzed per z-stack. Based on the 203 maximum intensity of each image, counts of double-labeled puncta were assigned to 204 ascending density (fluorescence intensity) bins and the data were expressed as frequency 205 distributions. Labeled puncta with immunofluorescence density at ≥95 were considered 206 densely-labeled. Counts of densely-labeled puncta peer section were averaged with those 207 from other sections of that slice to generate the mean slice value presented. 208

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F-actin phalloidin immunolabelling. AlexaFluor 568-conjugated phalloidin (Invitrogen; 210 A12380) was diluted in water to 12 µM stock and then to 6 µM in aCSF (1% DMSO) prior to 211 experimentation. Electrode placement and stimulation was as for FDT analyses. Beginning 3 212 min post-stimulation, phalloidin (6 µM, 2 µl) was applied topically onto the slice (3 times, 3-min 213 apart)⁴³. Three minutes after the last application, slices were fixed in cold 4% PFA overnight. 214 After cryoprotection (20% sucrose in 4% PFA), slices were subsectioned, slide-mounted, 215 washed in 0.1 M PB (10 min) and cover-slipped with Vectashield using DAPI (Vector Labs). To 216 quantify spine phalloidin labeling, image z-stacks were captured as for FDT. Every image of 217 each z-stack then received a small saturated 1x1-µm reference square to two corners of the 218 image (Python 3.0). The global reference square adds a fixed maximum intensity level for all 219 images without significantly altering the background or raw intensity values of phalloidin-220 labeled puncta; this step was added because the software assigns the final density values for 221 phalloidin labeling based on the maximum intensity a given image. The image z-stacks were 222 then processed for quantification of spine-sized puncta as described for FDT; labeled puncta 223 within the density bins of \geq 90 were considered to have dense concentrations of F-actin. Counts 224 of densely-labeled puncta were then averaged across tissue sections to generate a mean 225

value per slice. Values from experimental groups were normalized to those of their respectivecontrol group.

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Drug Administration. For behavior, Ro25-6981 (Ro25; 5mg/kg, saline) and methyl-piperidino pyrazole (MPP; 0.6 mg/kg, 2% DMSO/saline) were injected intraperitoneally 30 and 60 min
 before exposure to odors, respectively. For electrophysiology, compounds were introduced to
 the slice bath via a syringe pump (6ml/hr) into the aCSF infusion line for final bath
 concentrations: MK801 (30µM; Tocris, 0924), APV (100µM; Hello Bio, HB0225), DNQX (20µM;
 Hello Bio, HB0261), picrotoxin (30µM; Sigma-Aldrich, P1675) in water. MPP (3µM; Tocris,
 1991) and Ro25-6981 (3µM; Hello Bio, HB0554) were dissolved with DMSO (≤0.01%).

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Statistics. Data are presented as mean ± s.e.m values; statistical analyses (p-values, degrees 237 of freedom, and t-tests) are presented in Table S1. Significance (p<0.05) was determined 238 using GraphPad Prism (v6.0). For electrophysiology, the magnitudes of LTP (averaged fEPSP 239 slopes for last 5 min of recordings, normalized to 20-min baseline) and STP (averaged over 1-240 min post-TBS) were compared via two-tailed unpaired Student's t-test. TBS area analysis and 241 STP (for threshold TBS) were analyzed with repeated-measures two-way ANOVA. For imaging 242 and behavioral studies, two-tailed unpaired Student's t-test was employed for comparing two 243 groups. For ≥3 group comparisons, one-way ANOVA (post-hoc Tukey test) and two-way 244 ANOVA (post-hoc Tukey) were used. 245

246

248 **Results**.

249 Sex differences in episodic learning.

People organize memory for the flow of everyday experience into discrete episodes that 250 minimally contain information about events, locations, and sequences. Episodic encoding 251 occurs without rehearsal or reinforcement and, in these and other ways, is distinct from 252 operant learning typically used in animal experiments⁴. We used olfactory cues to evaluate 253 episodic memory in mice⁵ because odors are of innate interest to macrosmatic animals. To 254 assess 'what' encoding, mice were exposed to a sequence of three different odor pairs 255 (A>B>C), followed by a retention trial that paired a previously exposed odor with a novel odor 256 (A vs. D). As rodents preferentially investigate novel stimuli, more time spent exploring the 257 novel vs. previously sampled cue indicates that the latter was remembered^{5,36}. Both sexes 258 preferred the novel odor and had similar retention scores (discrimination indices [DI] for males 259 and females: 40.9±7.2 and 41.8±7.8, respectively; p=0.94, unpaired t-test) (Fig. 1a). When 260 presented with four odor pairs in sequence, females again exhibited high retention scores 261 whereas males did not (male vs. female DI: 7.3 ± 4.2 vs. 35.3 ± 4.5 ; p=0.0003, Fig. 1a). These 262 results constitute evidence for a female advantage in acquiring a fundamental component of 263 episodic memory (i.e., cue identify). We reevaluated this point using a version of the episodic 264 'what' task in which mice were allowed to freely investigate four different odors (A-B-C-D) for 5 265 minutes. At testing 48 hours later, one of the cues was replaced with a novel odor. Females 266 recognized the replacement odor, but males did not (Fig. 1b). 267

Next, we tested for sex differences in encoding the temporal order of cue presentation 268 (episodic 'when'). Previous studies showed that male mice exposed to four consecutive odor 269 pairs in series (A>B>C>D) spent more time investigating the less recent odor B (vs. more 270 recent odor C) in retention testing⁵. This result obtained when the initial odor presentations 271 were separated by 30 sec or 5 min, suggesting that mice acquire information about the order 272 of cue presentation as opposed to the time since last exposure. Here, we used the same initial 273 odor exposures but in the retention trial placed a heavier demand on memory by comparing 274 the temporally more distant cues A vs. B. In contrast to results for B vs. C, males had no 275 evident preference in A vs. B trials whereas females showed a clear preference for A over B 276 and thus outperformed males in this regard (Fig. 1c). Finally, we tested spatial encoding 277 (episodic 'where') by allowing mice to sample four simultaneously presented odors for 5 min 278

and then tested if they detect cues shifted to novel positions. Males preferentially explored the
 switched (novel location) odors whereas females did not (Fig. 1d).

We summarized the results for the four episodic memory tests by expressing retention 281 for each female mouse as a z-score difference from the mean of the male group. This provided 282 a relative advantage-disadvantage estimate for female performance in each assay. The main 283 effect was highly significant ($F_{3,21}$ =49.11, p<0.0001) with the strongest female advantage being 284 in the simultaneous 'what' test (p<0.015 vs. other tests) and a marked female disadvantage in 285 the 'where' test (p<0.0001 vs. other tests) (Fig. 1e). It is noteworthy that the same initial 286 sampling trial used in both the simultaneous 'what' and 'where' tasks, yielded the greatest sex 287 differences depending on which aspect of learning - cue identity vs. spatial location - was 288 tested. 289

There were no systematic, cross-paradigm sex differences in the time spent sampling cues during initial sampling or retention trials. Similarly, travel distance and velocity were comparable between sexes (**Fig. S1**).

293

294 *Males use m-NMDAR signaling to consolidate LTP.*

Blocking the NMDAR channel does not interfere with stimulation-induced actin 295 polymerization. Theta burst stimulation (TBS) of the CA3-CA1 projections causes a rapid and 296 lasting increase in the density of filamentous (F-) actin in dendritic spines^{44,45} and blocking this 297 effect prevents the stabilization of CA1 LTP^{44,46-48}. To test if activity-driven actin polymerization 298 requires NMDAR-mediated calcium influx we infused MK801, which occludes the NMDAR 299 channel without interfering with glutamate binding to the receptor, prior to TBS. As expected, 300 MK801 (30µM) produced a near complete suppression of both short-term potentiation (STP) 301 and LTP (Fig. 2a). To evaluate effects on actin polymerization, we applied TBS to two 302 populations of CA3 efferents converging on the apical dendrites of CA1b pyramidal neurons, 303 with a 30-sec delay between activation of the inputs (Fig. 2b). AlexaFluor 568-phalloidin, which 304 selectively binds F-actin, was topically applied after TBS and numbers of densely phalloidin-305 labeled spines in the CA1 apical dendritic sample field were counted (Fig. 2c,d) as 306 described⁴⁹⁻⁵¹. TBS robustly increased the number of spines containing dense phalloidin-307 labeled F-actin, and this effect was abolished by the competitive NMDAR antagonist APV. 308 Remarkably, MK801, at the dose that eliminated LTP, did not attenuate the TBS-induced F-309 actin increase (Fig. 2d), indicating that activity-induced actin polymerization requires NMDARs 310

³¹¹ but not the calcium influx mediated by those receptors. These results constitute evidence that ³¹² naturalistic patterns of afferent activity initiate actin regulatory m-NMDAR signaling in adult ³¹³ synapses and describe a surprising instance in which a late-stage LTP stabilization event ³¹⁴ (actin polymerization) occurs in the absence of synaptic potentiation.

Next, we tested the MK801 sensitivity of TBS effects on phosphorylation of three 315 NMDAR-driven kinases that play important roles in actin management and LTP. Slices were 316 harvested within 15-minutes of TBS and processed for immunofluorescence localization of 317 phosphorylated (p) ERK1/2, pSrc, or pCaMKII co-localized with postsynaptic marker PSD95. 318 Fluorescence Deconvolution Tomography (FDT) was used to make 3-D reconstructions of the 319 sample field and quantify immunolabeled profiles that fell within the size constraints of 320 synapses. The density of immunolabeling for the phosphorylated protein at each double-321 labeled profile was measured and the resultant values were used to construct fluorescence 322 intensity frequency distributions representing 80-120 thousand synapses per slice. Consistent 323 with earlier work²², TBS caused a rightward skew of the frequency distribution, towards greater 324 labeling densities, for synaptic p-ERK in vehicle-treated slices and MK801 did not attenuate 325 this effect: the TBS+MK801 curve was nearly superimposed with that for TBS+vehicle (Fig. 326 **2e**). We confirmed previous reports^{22,38} that TBS similarly elevated synaptic pSrc 327 immunoreactivity, and this effect is blocked by APV. But, as with pERK, the TBS-induced 328 increase in synaptic pSrc was unaffected by MK801 (Fig. 2f). MK801 did, however, block the 329 increase in synapses with dense pCaMKII that is normally induced by TBS (Fig. 2g). CaMKII is 330 a calcium-dependent kinase that enables activity-driven transfer of AMPARs into synapses 331 and is critical for LTP expression in both sexes^{25,52}. 332

An antagonist of the GluN2B NMDAR subunit blocks actin polymerization. The long 333 cytoplasmic tail domain (CTD) of GluN2B plays an important role in m-NMDAR signaling, 334 synaptic plasticity and memory^{53,54}. We accordingly evaluated the effects of Ro25-6981 (Ro25), 335 a selective allosteric antagonist of GluN2B^{55,56}, on actin polymerization and LTP in slices from 336 male rats. First, we tested if Ro25 (3µM) depressed pharmacologically isolated NMDAR-337 mediated responses in CA1 field recordings. A cocktail composed of antagonists of AMPARs 338 (DNQX: 20µM) and GABA_ARs (picrotoxin: 30µM) eliminated ~90% of the fEPSP. Subsequent 339 infusion of MK801 confirmed that the residual response was mediated by NMDARs (Fig. 3a). 340 Ro25 did not measurably affect these NMDAR-gated fEPSPs (Fig. 3b). However, it reduced 341 342 NMDAR-mediated EPSCs by ~25% in clamp recordings (Fig. 3c). The clamp effect agrees

with earlier work that also established an exclusively synaptic location of GluN2B in CA1⁵⁷. The discrepancy between the extracellular vs. whole cell recording results likely reflects the pronounced difference in membrane depolarization generated in the two approaches and thus the degree to which the NMDAR's voltage-sensitive magnesium block is reduced. The results also accord with suggestions that GluN2B di-heteromeric receptors – the presumed targets for Ro25 – are present at low levels in CA1 synapses relative to GluN2A di-heteromers and triheteromers⁵⁸.

Despite its minimal effects on NMDAR-mediated fEPSPs, in slices from males Ro25 impaired LTP that was induced by near threshold levels of TBS. The initial expression of potentiation was unaffected by Ro25 but responses returned to near baseline levels after an hour (**Fig. 3d**). Ro25 also reduced LTP generated by a full-length train of 10 theta bursts (**Fig. 3e**). In agreement with results summarized in Fig. 3b, the drug did not influence within-train facilitation of fEPSP responses during TBS (**Fig. S2**).

TBS-induced increases in spine F-actin were entirely blocked by Ro25 in slices from 356 adult male rats (Fig. 3f), consistent with the drug's actions on LTP consolidation. Ro25 also 357 eliminated the effects of TBS on pSrc at CA1 synapses but did not attenuate the pERK 358 response (Fig. 3g). GluN2A-containing NMDARs, which are known to upregulate ERK 359 phosphorylation⁵⁹ independently of calcium⁶⁰, together with TrkB receptor signaling⁶¹ might 360 explain this result. Ro25 treatment blocked TBS-driven increases in synaptic pCaMKII-361 immunoreactivity, indicating that both ionic and non-ionic NMDAR functions are needed to 362 engage this LTP-critical protein. 363

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365 Females do not use GluN2B signaling for stabilization of LTP.

MK801 blocked both STP and LTP in slices from females (Fig. 4a), but had no effect on 366 TBS-induced increases in spine F-actin. The latter effect was eliminated by APV (Fig. 4b,c). 367 However, in striking contrast to males, Ro25 did not measurably affect TBS-induced increases 368 in spine F-actin (Fig. 4c) or the LTP magnitude elicited by TBS (Fig. 4d). Recent work showed 369 that in females, but not males, estrogen receptor alpha (ERa) is critical for TBS-driven 370 activation of various kinases upstream from F-actin assembly²². Consistent with this, the ERa 371 antagonist MPP (3µM) prevented TBS-induced increases in spine F-actin in females, but not in 372 males (Fig. 4e). This result suggests that females may substitute local estrogen signaling for 373

m-NMDAR operations evident in males, for rapid activity-induced remodeling of actin networks
 in mature spines.

The failure of Ro25 to disrupt actin polymerization and LTP in females raises the possibility of sex differences in concentrations or post-translational modifications of synaptic GluN2B subunits. FDT analysis showed a modest sex difference in synaptic concentrations of GluN1 but not GluN2A or GluN2B (**Fig. 4f**). However, synaptic GluN2B Y1472 phosphorylation⁶² was significantly lower in females than males (p=0.0041, 2-tailed unpaired ttest), suggesting a plausible explanation for the more prominent role of GluN2B in LTP stabilization in males than females.

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384 Sex differences in metabotropic signaling underlying memory.

Together the aggregate LTP results and the expectation that CA1 LTP is critical for 385 learning in the episodic tasks, give rise to the prediction that blocking GluN2B-mediated m-386 NMDAR signaling will more severely impair episodic learning in males than in females and, 387 conversely, that blocking ERa will disrupt this learning in females but not males. We tested this 388 by treating mice with vehicle, Ro25 (5mg/kg, 30 min), or MPP (0.6 mg/kg, 60 min) prior to initial 389 odor exposure in the simultaneous cue 'where' task^{5,21} (**Fig. 4g**). In this paradigm, both sexes 390 showed positive retention scores when given 10-min training and tested 24 hours later. Ro25 391 produced a profound deficit for encoding 'where' information by males without effect in females 392 (Fig. 4h). Conversely, the ERα antagonist MPP eliminated discrimination of the moved cues in 393 females without attenuation of learning in males (Fig. 4i). Analyses of total sampling times and 394 locomotor activity across sex and trials showed that the effects of Ro25 and MPP on behavior 395 were not due to a reduction in arousal or general interest in the cues (Fig. S3). Thus, males 396 and females rely upon non-ionic signaling from different types of receptors for encoding at 397 least one component of episodic memory. 398

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- 400

401 **Discussion**.

As is the case with memory, LTP passes through several consolidation stages during 402 which it is vulnerable to disruption⁶³. The underlying processes involve multiple small GTPase-403 initiated signaling cascades that lead to formation and subsequent stabilization of actin 404 filaments⁴⁰. These events serve to anchor a change in the shape of the dendritic spine and its 405 postsynaptic specialization^{24,63}. The present studies led to the surprising conclusion that this 406 complex collection of events, while dependent on NMDARs, can be completed without calcium 407 flux through those receptors. Thus, in both males and females, blocking the receptor channel 408 entirely eliminated LTP but left intact TBS-induced actin polymerization ('consolidation without 409 potentiation'). 410

There were however important differences in the manner in which the two sexes 411 executed non-ionic, actin regulatory signaling as evidenced by the male-specific effects of the 412 GluN2B antagonist Ro25-6981. The long GluN2B-CTD associates with SynGAP, which 413 controls activity of the small GTPase Ras and thereby regulates the actin severing protein 414 cofilin and actin polymerization⁶⁴. SynGAP also potently influences the activity of Rap⁶⁵, a 415 GTPase intimately involved in integrin activation⁶⁶. Integrins regulate the actin cytoskeleton at 416 various adhesion junctions and are essential for initiating TBS-induced F-actin assembly in 417 hippocampus^{45,67}. Although females rely on the NMDAR, it appears that they substitute local 418 release of estrogen onto ERa for the GluN2B-dependent m-NMDAR actions that are critical for 419 actin polymerization and LTP in males. Thus, both sexes use a combination of ionic and 420 metabotropic operations to modify synapses but execute the latter function in radically different 421 ways. 422

Synaptic ERa levels are substantially greater in females than males and estradiol acts 423 through ERα to activate postsynaptic Src and ERK in females only²². These findings help 424 explain why male rodents, despite having high estrogen levels in hippocampus⁶⁸, do not use 425 the hormone to promote LTP. Why the male m-NMDAR mechanism is missing in females is 426 not known but we found the synaptic GluN2B Y1472 site to be more intensely phosphorylated 427 in males. This NMDAR CTD residue is targeted by Src family kinases, which are known to up-428 regulate NMDAR function⁶⁹. Evidence that estrogen decreases phosphorylation of GluN2B 429 Y1472⁷⁰ raises the possibility that the same estrogen signaling needed for consolidation of 430 female LTP suppresses the metabotropic NMDAR activities engaged in males (Fig. 5). 431

The different types of metabotropic signaling were associated with striking sex 432 differences in episodic memory: males had a strong advantage on encoding the 'where' 433 component whereas females had better scores on 'what' and when'. Female mice were 434 similarly able to encode a longer cue sequence than males. Although the female results are 435 unprecedented for rodent work, they do have correspondences in human studies, including the 436 observation that woman outperform men when dealing with extended lists⁷¹⁻⁷³. Critically, the 437 GluN2B antagonist that blocked actin signaling and LTP in males but not females, had 438 corresponding sex-specific effects on encoding the 'where' component of episodic memory. In 439 this same paradigm, blocking ERa disrupted learning in females only, paralleling the female-440 specific effects of the ERa antagonist on LTP and the downstream signaling²² regulating actin 441 polymerization. 442

The difference in consolidation mechanisms provides a reasonable explanation for the 443 higher female threshold for production of stable LTP described in previous studies²². 444 Specifically, in females the added need to generate the locally-derived estrogen^{23,74,75} and 445 activate synaptic estrogen receptors likely increases the activity threshold for enduring 446 plasticity. The relative advantages and disadvantages of a higher threshold for encoding 447 elements of episode would relate naturally to the present behavioral results. For example, a 448 higher plasticity threshold might be seen as a disadvantage in that it could limit the encoding of 449 weaker cues but this same constraint might be an advantage for preferentially encoding salient 450 cues relative to background elements of lesser significance. 451

Questions inevitably arise about the extent to which sex differences in human learning 452 reflect societal perceptions and expectations along with educational practices^{15,16}. While these 453 factors undoubtedly contribute in people, our results show that the female advantage for 454 encoding non-spatial aspects of episodic memory is present when such considerations are 455 absent, as was male advantage in earlier tests of episodic 'where' acquisition²¹. Moreover, the 456 differences in facility for acquiring different components of episodic memory are associated 457 with dramatic sex differences in the synaptic machinery for encoding. We therefore conclude 458 that sex differences in episodic memory have biological as well as social origins. 459

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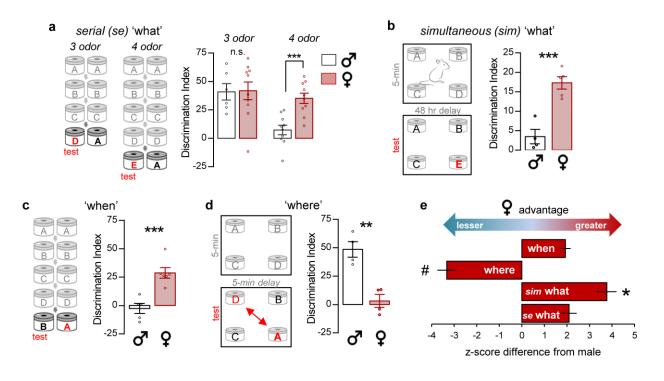
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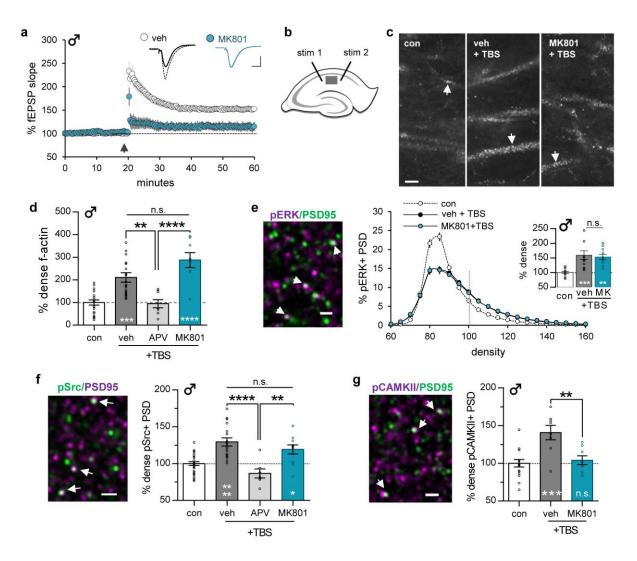
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686	electrophysiology were analyzed using code available at https://github.com/cdcox/Theta-burst-		
687	analyzer-for-Le-et-al. Code for FDT analysis is made available upon request. The use of the		
688	FDT code is strictly prohibited without a Licensing Agreement from The University of California,		
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689 Irvine.



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Fig. 1. Females outperform males in tests for episodic 'what' and 'when' encoding. (a) 692 Left: Schematic of the serial 3-odor and 4-odor 'what' tasks. Right: In the 3-odor task, mice 693 preferentially explored the novel (D) vs. familiar (A) odor at testing with no sex difference 694 (p=0.94; male N=6, female N=10). The presence of four odors in the test sequence severely 695 degraded performance in males but not females (p=0.0003; male vs. female, N=10/group). (b) 696 Simultaneous 'what' task schematic. Females, but not males, distinguished the novel from 697 previously experienced odors (p=0.007; male N=4, female N=5). (c) In the 'when' task, females 698 discriminated the least recently sampled odor whereas males did not (p=0.0021; male N=5, 699 female N=6). (d) 'Where' task schematic. Males preferentially explored the novel-location 700 odors whereas females did not (p=0.0022, N=4/group). (e) Female performance expressed as 701 a z-score difference from the male group mean. The female advantage for simultaneous 'what' 702 was greater than for the other tasks ($F_{3,21}$ =49.11, p<0.0001; *p≤0.15 Tukey post-hoc; N=4-703 10/group) and 'where' differed from the other three scores (# p<0.0001). Statistics: (a-d) two-704 tailed unpaired t-test; (e) One-way ANOVA, post-hoc Tukey. n.s. = not significant, *p<0.05, 705 **p<0.01, ***p<0.001. Mean±s.e.m. values shown. Table S1 contains detailed statistics. 706

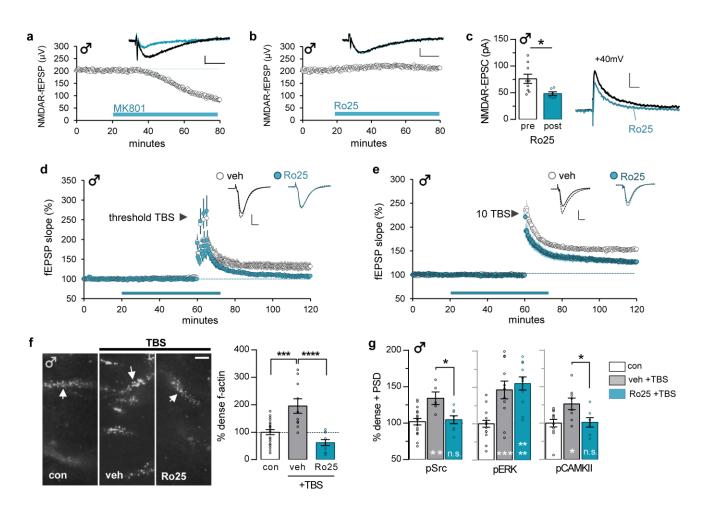


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Fig. 2. Theta burst stimulation (TBS) elicits non-ionic NMDAR signaling and actin 710 polymerization. Stimulation was applied to Schaffer-commissural (SC) projections in slices 711 from adult male rats. (a) TBS (arrow) elicits robust CA1 LTP in vehicle (veh)-treated slices 712 whereas MK801 (30 µM, introduced 2 hr before TBS) blocked this effect (veh N=8, MK801 713 N=5). Traces from before (solid) and 40 min after (dashed) TBS. (b) For C-G, stimulating (stim) 714 electrodes were placed in CA1a and c; analysis focused on CA1b stratum radiatum (gray box). 715 (c) Phalloidin labeling in slices receiving low-frequency control (con) stimulation or TBS in the 716 presence of veh or MK801 (30µM); arrows indicate phalloidin-labeled elements. (d) TBS 717 increased numbers of densely phalloidin-labeled spines above measures after control 718 stimulation; this effect was blocked by APV (100µM) but not MK801 (F_{3.57}=15.30, p<0.0001; 719 N=8-24). (e-g) Fluorescence deconvolution tomography was used to access NMDAR 720 contributions to TBS-induced signaling. (e) Deconvolved images show pERK- and PSD95-721 immunoreactivity (ir); double-labeling appears white (arrowheads). TBS caused a rightward-722 skew (towards greater densities) in the density-frequency distribution for synaptic pERK-ir 723 (F_{38,608}= 18.50, p<0.0001; p=0.0048 post-hoc); this was unaffected by MK801. Inset: mean 724 numbers of densely pERK-immunoreactive spines (≥100 density units) normalized to control 725

slice values (F_{2.32}=10.33, p=0.0003; N=11-12/group). (f) TBS-induced increase in numbers of 726 synapses with dense pSrc (Y419)-ir was blocked by APV (100µM) but not MK801 (F_{3.62}=15.11, 727 p<0.0001; N=7-32/group). (g) TBS increased synaptic pCaMKII-ir and this effect was blocked 728 by MK801 (F_{2.29}=10.53, p=0.0004; N=8-16/group). Bars: (a) 1mV, 10ms; (c) 5 μm; (e-g) 2 μm. 729 Statistics: (a) two-tailed unpaired t-test; (e) two-way repeated-measures ANOVA (interaction) 730 with Bonferroni post-hoc tests; (d, e inset, f, g) One-way ANOVA with Tukey post-hoc tests. 731 Asterisks inside bars denote significance vs. con stimulation. Asterisks above bars denote 732 significance between TBS groups. n.s. = not significant, *p<0.05, **p<0.01, ***p<0.001, 733 ****p<0.0001. Mean ± s.e.m. values shown. Table S1 contains detailed statistics. 734

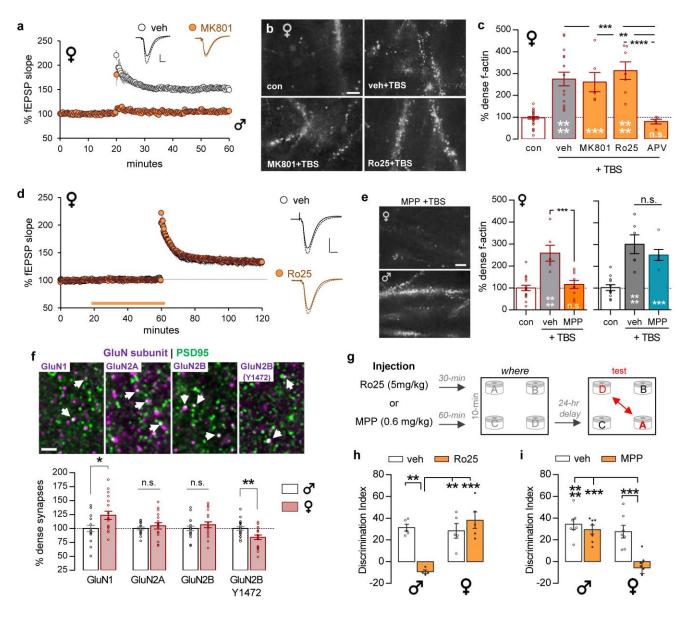
bioRxiv preprint doi: https://doi.org/10.1101/2024.01.26.577478; this version posted January 27, 2024. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license.



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737 Fig. 3. GluN2B antagonist Ro25-6981 blocks TBS-induced kinase activation, actin

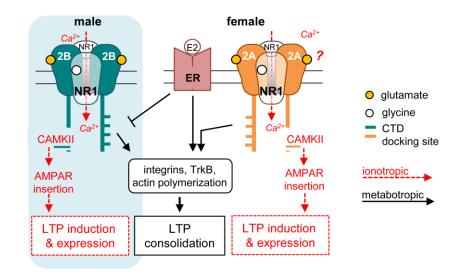
polymerization, and LTP in males. (a,b) The NMDAR-mediated component of CA1 fEPSPs was 738 isolated using AMPAR antagonist DNQX (20µM) and GABA_AR antagonist picrotoxin (30µM). The 739 isolated NMDAR response was depressed by MK801 (30µM; N=6) (a) but not by Ro25-6981 (Ro25; 740 3µM; N=5) (b). (c) Voltage-clamp recordings from adult mouse CA1 pyramidal cells held at +40mV 741 show that Ro25 infusion decreased NMDAR-EPSC amplitude (p=0.027; pre-Ro25 N=9, post-Ro25 742 N=6). (d,e) Ro25 (horizontal bar) reduced SC-CA1 LTP induced by (d) threshold-level TBS (4 TBS 743 triplets, 90s intervals; N=5/group) or (e) a 10-burst TBS train (veh N=7, Ro25 N=9). (f-(g) Slices 744 received either control (con) low-frequency stimulation or 10 burst TBS in the presence of vehicle (veh) 745 746 or Ro25. (f) Phalloidin F-actin labeling (left) and labeled puncta quantification (right) show that TBS doubled the numbers of spines with dense F-actin in veh-treated slices and this effect was completely 747 blocked by Ro25 (F_{239} =16.81, p<0.0001, N=10-22/group, values normalized to con mean). (**q**) Ro25 748 blocked the TBS-induced increase in numbers of PSD95+ synapses with dense pSrc and pCaMKII but 749 not pERK immunolabeling (pSrc: F_{2.27}=6.517, p=0.0049; N=5-17/group, pERK: F_{2.36}=14.36; p<0.0001, 750 N=11-17/group; pCaMKII: F_{2.24}=5.111, p=0.0142; N=7-12/group). Bars: (**a**,**b**) 100µV, 20ms; (**c**) 50pA, 751 50ms; (d-f) 1mV, 10ms; (g) 5µm. Statistics: two-tailed paired (a-c) and unpaired (d,e) t-test, (f,g) one-752 way ANOVA with post-hoc Tukey. Asterisks inside bars denote experimental vs. control comparisons; 753 754 black asterisks indicate experimental group comparisons. n.s. = not significant, *p<0.05, **p<0.01, 755 ***p<0.001, ****p<0.0001. Mean ± s.e.m values shown. Table S1 contains detailed statistics.



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Fig. 4. GluN2B antagonist Ro25-6981 does not block TBS-driven actin polymerization. 759 CA1 LTP, or episodic memory in females. a-e) Electrode placements as in Figure 2b. (a) 760 MK801 (30µM) blocked TBS-induced LTP in female rats (vehicle, veh N=5, MK801 N=4). (b) 761 Phalloidin labeling in CA1 of slices that received control, low frequency SC stimulation (con) or 762 10 burst TBS in the presence of vehicle, MK801, or Ro25 (3µM). (c) TBS increased phalloidin 763 labeled spine F-actin levels in the presence of vehicle, MK801, or Ro25 (vs. controls) but this 764 increase was blocked by NMDAR antagonist APV (F_{4.62}=22.88, p<0.0001; N=5-33, values 765 normalized to con mean). (d) Ro25 did not disrupt TBS-induced LTP in female slices (veh N=5, 766 Ro25 N=6). Traces from before (solid) and 60 min after (dashed) TBS. (e) In vehicle-slices, 767 TBS increased numbers of densely phalloidin labeled puncta in both sexes. This effect was 768 blocked by ERα antagonist MPP (3µM) in females (F_{2.29}=16.02, p<0.0001; N=6-17) but not in 769 males (F_{2.21}=20.28, p<0.0001; N=6-12). (f) Deconvolved images of NMDAR subunit and 770 PSD95 immunolabeling in CA1; arrows indicate double-labeled profiles. In females, the % 771

PSD95⁺ synapses with dense GluN1-immunoreactivity (ir) was greater than in males 772 (p=0.0171) whereas levels of GluN2A- and GluN2B-ir were comparable (N=17-20/group). The 773 percent PSD95⁺ synapses with dense pGluN2B Y1472-ir was lower in females than males 774 775 (p=0.0041; N=17-20/group). (g) Mice received vehicle, Ro25 or MPP before odor exposure in the 4-corner episodic 'where' paradigm. (h,i) Vehicle-treated males and females (2 cohorts) 776 discriminated the moved cues in the episodic 'where' task; (h) Ro25 disrupted this effect in 777 males (veh N=5, Ro25 N=4) and had no effect on female performance (F_{1.15}=19.62, p=0.0005; 778 female N=5/group). (i) In contrast, MPP blocked this 'where' acquisition in females but did not 779 attenuate performance in males (F_{1.24}=8.001, p=0.0093; N=7/group). Scale bar: (a,d) 1mV, 780 10ms; (b.e) 5µm; (f) 2µm. Statistics: two-tailed unpaired t-test (a.d), (f) two-tailed unpaired t-781 test Welch's correction, (c,e) one-way ANOVA with Tukey post-hoc, (h,i) 2-way ANOVA with 782 post-hoc Tukey. Asterisks inside bars denote comparison to controls; n.s. = not significant, 783 *p<0.05, **p<0.01, ***p<0.001, ****p<0.00001. Mean ± s.e.m values shown. Table S1 contains 784 detailed statistics. 785



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Fig. 5. Schematic illustration of sex differences in the contributions of non-ionic NMDAR 789 signaling to memory-related synaptic plasticity. Observed effects of APV and MK801 790 indicate that both sexes use ionotropic NMDAR functions to activate CaMKII and associated 791 processes (AMPAR insertion) required for the induction of LTP. Both sexes also use non-ionic 792 (APV- but not MK801-sensitive) NMDAR functions to trigger actin polymerization and LTP 793 consolidation. Actions of Ro25-6981 indicate GluN2B subserves these non-ionic NMDAR 794 functions in males, presumably via its cytoplasmic terminal domain (CTD). Females do not use 795 the GluN2B mechanism for stable LTP; rather they rely upon activation of synaptic estrogen 796 receptors ('ER') to engage the same effectors as used by males. We hypothesize that the ERs 797 tonically suppress (male) GluN2B activities by reducing phosphorylation of the CTD Y1472 798 site. As noted, the APV / MK801 results suggest that females use some type of non-ionic 799 NMDAR signaling to engage LTP consolidation machinery. One possibility illustrated is that the 800 GluN2A CTD serves this role. 801

Supplementary Figures for "Metabotropic NMDA Receptor Signaling Contributes to Sex 803 **Differences in Synaptic Plasticity and Episodic Memory**" 804

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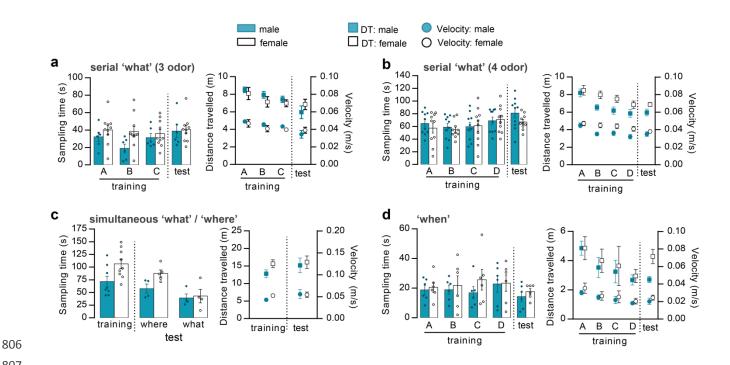
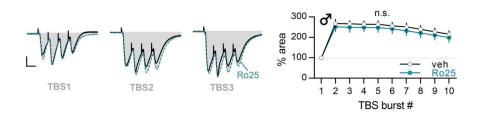




Fig. S1. Detailed locomotor activity and sampling times in the behavioral tasks. (a) Serial 3-odor 'what' task 808 809 (presented in Figure 1a). Left. The time spent sampling odors in the serial training (A-B-C) and test trials was similar across groups (interaction: p>0.05). Right: Distance traveled (DT, squares) and movement velocity 810 811 (circles) during each trial were also similar (interaction: p>0.05). (b) Sampling and locomotor data for the Serial 4odor 'what' task (Fig 1A) (interaction: p>0.05). (c) Sampling times and locomotor data during training for 812 simultaneous 'what' and 'where' (from Fig 1b,d) were pooled together due to having the same initial (training) trial 813 (interaction: p>0.05). (d) Sampling and locomotor activity for the 'when' task (from Fig 1c) (interaction: p>0.05). 814 Statistics were performed with two-way ANOVA. For all panels. N=4-10/group. Mean and s.e.m. shown. Table 815 S1 contains detailed statistics. 816

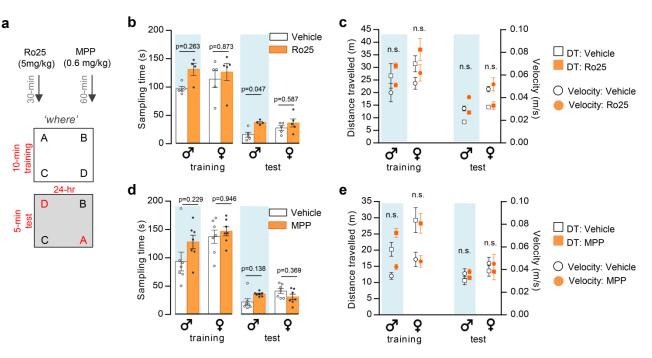
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Fig. S2. GluN2B antagonism did not reduce the size of fEPSP responses with TBS. Vehicle (veh) or Ro25-820 6981 (Ro25, 3µM) was infused into acute male rat slices for 40 minutes, and then 10 burst TBS was delivered to 821 the CA3-CA1 projections. The area of the response to each burst was normalized to that of the first burst (TBS1) 822 response. Traces at left show representative fEPSP response areas (shaded gray) to the first three bursts of the 823 10 theta burst train in veh- (black) and Ro25-6981- (Ro25; blue, dashed) treated slices. The line graph shows 824 group mean values for each pulse in the 10 burst train. The response areas were unaffected with Ro25 treatment 825 (F_{9,189}=0.2407, p=0.9880, two-way repeated measures ANOVA. N=15 veh, N=9 Ro25). Scale bar: 1mV, 10ms. 826 Mean and s.e.m. shown. Table S1 contains detailed statistics. 827

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831 Fig. S3. Locomotor activity and sampling times in the "where" task were not reduced by Ro25-6981 832 (Ro25) or MPP. (a) Schematic detailing the protocols (retention data is presented in Fig 4h for Ro25 and Fig 4i for 833 MPP). (b-e) Graphs show that the sampling times (b,d), and the velocity and distance traveled (c,e), for all drug-834 treated groups were not lower than their respective vehicle-treated groups; the Ro25 drug was found to increase 835 836 sampling times in males during the test phase (b) but other measures were not affected (p-values at top of columns are for vehicle vs. drug post-hoc comparisons for each measure; n.s., not significant, p>0.05). In 837 838 addition, across all measures there was no statistical difference for effect of drug between sexes during either training or testing. For both training and test phases, data were analyzed by 2-way ANOVA (sex and drug) 839 840 followed by Tukey post hoc comparisons; See **Table S1** for detailed statistics. Mean and s.e.m. shown. 841

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845 **Supplementary Table 1:**

- 846 see attached .xlsx file.
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