

## ORIGINAL ARTICLE

# NROB1 augments sorafenib resistance in hepatocellular carcinoma through promoting autophagy and inhibiting apoptosis

Xiao lan Tan<sup>1</sup> | Zhaokun Wang<sup>1</sup> | Shunyao Liao<sup>2</sup> | Ming Yi<sup>1</sup> | Dachang Tao<sup>1</sup> | Xinyue Zhang<sup>1</sup> | Xiangyou Leng<sup>1</sup> | Jiaying Shi<sup>1</sup> | Shengyu Xie<sup>1</sup> | Yuan Yang<sup>1</sup> | Yun qiang Liu<sup>1</sup> 

<sup>1</sup>Department of Medical Genetics and State Key Laboratory of Biotherapy, West China Hospital, Sichuan University, Chengdu, China

<sup>2</sup>Institute of Gerontology and Center for Geriatrics, Sichuan Academy of Medical Sciences & Sichuan Provincial People's Hospital, University of Electronic Science and Technology of China, Chengdu, China

## Correspondence

Yun qiang Liu, Department of Medical Genetics and State Key Laboratory of Biotherapy, West China Hospital, Sichuan University, Chengdu 610041, China.  
Email: [yq\\_liu@scu.edu.cn](mailto:yq_liu@scu.edu.cn)

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## Abstract

NROB1 is frequently activated in hepatocellular carcinoma (HCC). However, the role of NROB1 is controversial in HCC. In this study, we observed that NROB1 was an independent poor prognostic factor, negatively correlated with the overall survival of HCC and the relapse-free survival of patients treated with sorafenib. Meanwhile, NROB1 promoted the proliferation, migration, and invasion of HCC cells, inhibited sorafenib-induced apoptosis, and elevated the IC50 of sorafenib in HCC cells. NROB1 was further displayed to increase sorafenib-induced autophagic vesicles and activate Beclin1/LC3-II-dependent autophagy pathway. Finally, NROB1 was revealed to transcriptionally suppress GSK3 $\beta$  that restrains AMPK/mTOR-driven autophagy and increases BAX-mediated apoptosis. Collectively, our study uncovered that the ectopic expression of NROB1 augmented sorafenib-resistance in HCC cells by activating autophagy and inhibiting apoptosis. Our findings supported that NROB1 was a detrimental factor for HCC prognosis.

## KEYWORDS

apoptosis, autophagy, hepatocellular carcinoma (HCC), NROB1, sorafenib resistance

## 1 | INTRODUCTION

Hepatocellular carcinoma (HCC) is a primary liver malignancy in patients with chronic liver disease and cirrhosis,<sup>1</sup> with a globally increasing incidence due to hepatitis viruses (HBV and HCV) infection and alcohol use.<sup>2</sup> In the past few decades, although the

management of HCC has been improved significantly with curative options including hepatic resection, liver transplantation, targeted chemotherapy, and systemic therapies, the five-year overall survival rate of HCC is about 50%–70%.<sup>3,4</sup> Sorafenib has been considered one of the first-line treatment options for patients with advanced HCC, although the combination treatment

**Abbreviations:** 3-MA, 3-methyladenine; ETC, electron transport chain; GSEA, Gene Set Enrichment Analysis; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; NROB1, nuclear receptor subfamily 0, group B, member 1, gene; OS, overall survival; RCD, regulated cell death; RFS, relapse-free survival; ROS, reactive oxygen species; TCA, tricarboxylic acid; TCGA, The Cancer Genome Atlas.

Xiao lan Tan and Zhaokun Wang contributed equally to this work.

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of atezolizumab–bevacizumab or durvalumab–tremelimumab has recently been proposed to provide superior survival benefit compared with sorafenib.<sup>5,6</sup> However, sorafenib resistance is a commonly recognized clinical problem that arises in association with epigenetics, exosomes, apoptosis, autophagy, ferroptosis, glucose metabolism, lactate metabolism, and tumor microenvironment.<sup>7</sup> Nevertheless, extensively exploring the molecular mechanisms of drug resistance in HCC and finding more therapeutic targets are crucial to improve the outcome of HCC patients.

The *nuclear receptor subfamily 0, group B, member 1 (NROB1)* gene, encoding an atypical orphan nuclear receptor,<sup>8</sup> normally regulates the synthesis and secretion of steroid hormones in testis and adrenal gland. Duplication of *NROB1* causes male-to-female inversion,<sup>9</sup> and *NROB1* inactivation leads to X-linked adrenal hypoplasia congenita.<sup>10–12</sup> Meanwhile, *NROB1* plays a critical role in the transcriptional network for maintaining the pluripotency of embryonic stem cells.<sup>13</sup> Notably, *NROB1* is often ectopically activated in many cancers including liver cancer.<sup>14–18</sup> However, the role of *NROB1* in the progression of liver cancers is controversial. *NROB1* was firstly suggested to act as a tumor suppressor which restrains the growth of liver cancer through inhibition of  $\beta$ -catenin.<sup>19</sup> Conversely, recent reports suggested that *NROB1* was a poor prognostic factor for HCC patients.<sup>20,21</sup> Especially, *NROB1* has been revealed to protect against drug effects such as acetaminophen-induced hepatotoxicity<sup>22</sup> and topotecan antitumor activity.<sup>23</sup> Moreover, our preliminary bioinformatic analysis suggested that the expression of *NROB1* was negatively correlated with the relapse-free survival (RFS) of HCC patients treated with sorafenib, indicating that *NROB1* might be involved in sorafenib resistance in HCC. However, the mechanism of *NROB1*-mediated chemical resistance is unclear.

In this study, we investigated the role of *NROB1* in sorafenib-induced apoptosis and autophagy in HCC cells. We revealed that *NROB1* enhanced sorafenib resistance through enhancing autophagy-mediated homeostasis and suppressing apoptosis in HCC cells.

## 2 | MATERIALS AND METHODS

### 2.1 | Bioinformatic analysis

RNA-seq data and corresponding clinical information of HCC tumors were obtained from The Cancer Genome Atlas database (TCGA, <https://cancergenome.nih.gov/>). Cox multivariate analysis was used to investigate the correlation of *NROB1*-expression and the characteristics of HCC patients including gender, tumor size in tumor pathological stage TNM (pT\_stage), lymph node dissemination (pN\_stage), and tumor metastasis (pM\_stage). The difference of OS and RFS was assessed between patients with *NROB1*-positive and -negative HCC by log-rank test (<https://km-plot.com>).<sup>24</sup>

### 2.2 | Cell culture and chemical treatment

To investigate the impact of *NROB1* on HCC progression, we selected three cell lines that have been demonstrated to be highly representative of HCC,<sup>25</sup> including hepatoblastoma-derived HepG2 and HCC-derived HuH7 and HuH1. HepG2 cell lines were originally purchased from the American Type Culture Collection (ATCC) and maintained in our laboratory. HuH7 and HuH1 were purchased from Meisen CTCC. All cells were cultured in the Dulbecco's Modified Eagle Medium (Hyclone) containing 10% fetal bovine serum (Hyclone) in a humidified cell incubator at 37°C and 5% CO<sub>2</sub>. Cells were treated separately or simultaneously with sorafenib (Selleck Chemicals) and 3-methyladenine (3-MA, Selleck Chemicals).

### 2.3 | Cell model construction

In order to construct the *NROB1*-overexpressed HCC cells, full-length cDNAs encoding *NROB1* were synthesized and cloned into the lentiviral vector of pEZ-Lv201 (GeneCopoeia). Simultaneously, the specific shRNAs targeting *NROB1* (sh*NROB1*) with the sequence "GGGAAGCTCAGCAAATACTCAGTGAA" were synthesized and inserted into the vectors psi-LVRU6GP (GeneCopoeia) in order to construct the *NROB1*-downregulated Huh1 cell. After construction of the plasmids, the lentiviral particles were produced and used to infect each HCC cell. Then, stable cell lines with *NROB1* overexpression (OE) and *NROB1* knockdown (KD) were respectively selected using culture medium containing 2  $\mu$ g/mL puromycin (Hyclone).

### 2.4 | Cell viability assay

For cell viability assay,  $2 \times 10^3$  cells/well were seeded into 96-well plates overnight. After treatment with sorafenib and/or 3-MA, cell viability was examined with Cell Counting Kit-8 (CCK-8, Vazyme). Briefly, 10  $\mu$ L CCK-8 solution was added into each well, and the plates were incubated for 3 h at 37°C before the optical densities were measured at 450 nm by a microplate reader (ThermoForma).

### 2.5 | Colony formation assay

For colony formation assay, cells were seeded into six-well plates with a density of 600 cells per well. After culturing for 14 days, cells were washed by PBS, fixed with formaldehyde, and stained with crystal violet for 15 min at room temperature. Colonies with more than 50 cells were counted manually and photographed.

### 2.6 | Flow cytometry assay

For cell cycle analysis, cells were seeded in six-well plates in DMEM without serum for 48 h and then stimulated with 10% FBS for 24 h. After harvesting and fixing with 70% ethanol for 24 h at 4°C, cells

were stained with propidium iodide (PI, Solarbio). Then, cells were analyzed using flow cytometry (Beckman Coulter). After treatment with sorafenib, apoptosis of cells was assessed according to the instructions of the Annexin V-FITC/PI apoptosis detection kit (BD Pharmacy). Apoptotic cells were identified as both annexin V-FITC<sup>+</sup>/PI<sup>-</sup> and annexin V-FITC<sup>+</sup>/PI<sup>+</sup>.

## 2.7 | Wound-healing assay

Cells were seeded in 12-well plates until 100% confluency. Using a sterile pipette tip, a vertical wound was formed on the cell monolayer. After adding fresh medium, the cells were imaged under an inverse microscope (Olympus) at 24, 48, 72, and 96 h.

## 2.8 | Transwell assay

For cell invasion assay,  $2 \times 10^5$  cells were cultured in serum-free medium for 24 h and then added into the upper transwell filter chamber. DMEM supplemented with 20% FBS was added into the lower chamber as a chemoattractant to draw cell movement for 24 h. After fixing with methanol and staining with crystal violet (Selleck Chemicals), invaded cells were photographed and counted under an inverse microscope (Olympus).

## 2.9 | Western blot analysis

Cells were harvested in a lysis buffer with a protease inhibitor cocktail (Roche). After assay of protein concentration using the BCA protein assay kit (Biotek), equal amounts of protein were denatured, separated through SDS-PAGE electrophoresis. Then, proteins were transferred onto polyvinylidene difluoride membranes. Afterward, the membranes were blocked, incubated with primary and secondary antibodies (Table S1), and finally visualized using a chemiluminescence imager (BIO-RAD).

## 2.10 | Quantitative real-time PCR

RNA was extracted with an ultra-pure RNA extraction kit (BioTeke). Reverse transcription was performed using RevertAid First-Strand cDNA Synthesis Kit (Yeasen), and real-time PCR was carried out using qPCR SYBR Green Master Mix (Yeasen) on a Bio-Rad CFX96 RT-PCR machine (BIO-RAD). Fold changes were calculated using the comparative  $C_t$  method ( $\Delta\Delta C_t$ ). The *GAPDH* gene was used for normalization. Sequences of primers are listed in Table S2.

## 2.11 | Immunofluorescence analysis

For immunofluorescence analysis of LC3-II proteins, cells were cultivated in a confocal culture dish for 24 h and then treated with

sorafenib. After rinsing with PBS, cells were fixed in 4% paraformaldehyde (Sigma-Aldrich) for 30 min. Thereafter, cells were blocked with 5% BSA and incubated with rabbit anti-human LC3-II primary antibodies (ZenBio) at 4°C for 12 h. After washing with PBS, cells were incubated with goat anti-rabbit IgG-HRP (1:200, Bioss Antibodies) secondary antibody. Finally, cells were observed under a confocal laser scanning microscope (Nikon), and the number of LC3 puncta was measured using Image J Software 1.48.

## 2.12 | Transmission electron microscopy (TEM) imaging

For TEM imaging, cells were harvested and fixed in 3% glutaraldehyde prepared in PBS buffer at 4°C overnight. After rinsing with PBS, cells were postfixed in 1% osmium tetroxide for 1 h. Following gradient ethanol dehydration, cells were successively embedded, sectioned, and double-stained with uranyl acetate and lead citrate. Finally, the autophagosomes of osteoblasts were observed under a transmission electron microscope of JEM-1400FLASH (JEOL). The number of autophagosomes was determined using Image J Software 1.48.

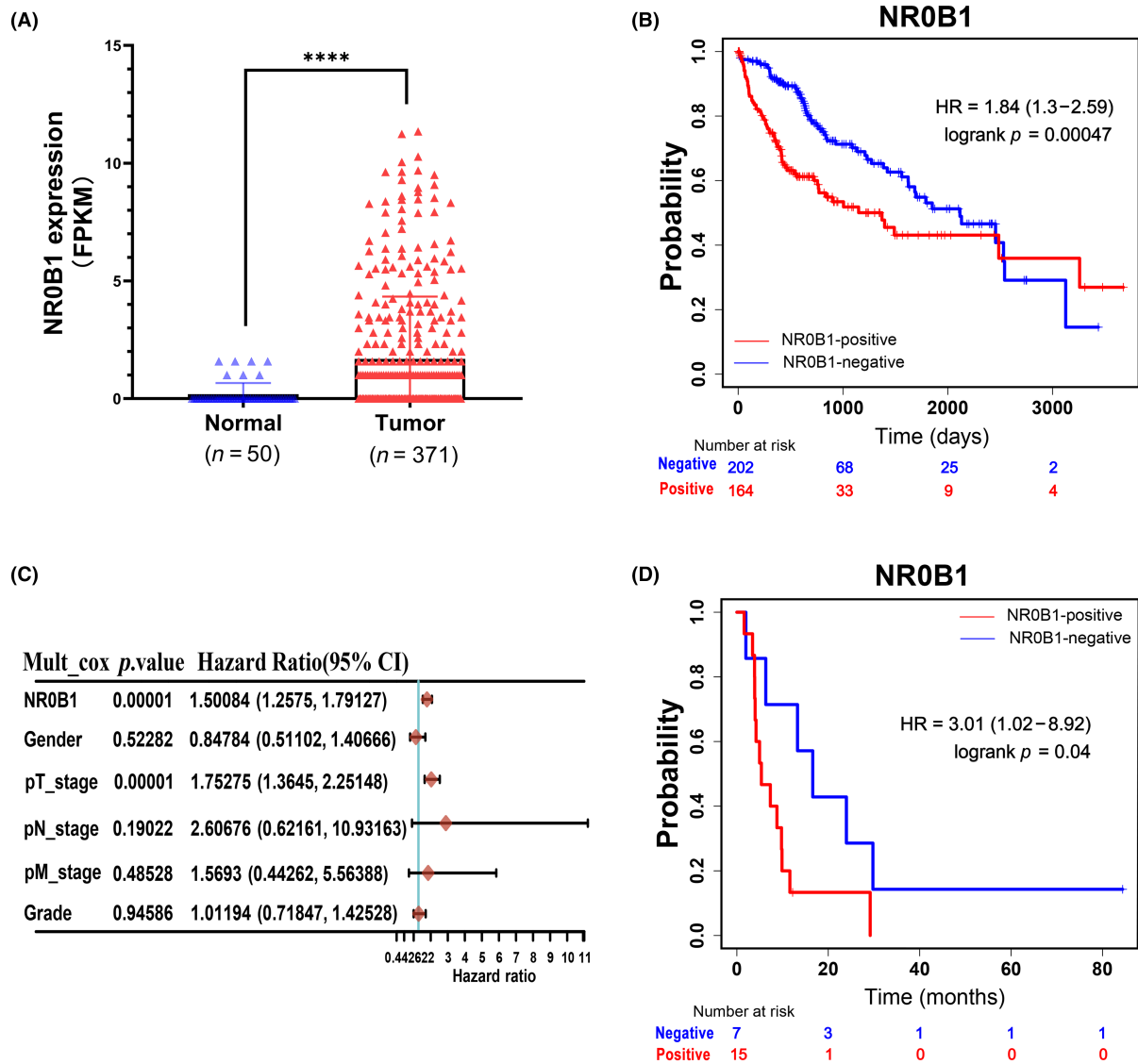
## 2.13 | Statistical analysis

GraphPad prism 8.0 was used for statistical analysis. Student's *t*-test was used to compare the statistical difference between indicated groups. Pearson analysis was used to analyze the correlation between the expression levels of *NR0B1* and other genes. A *p*-value of less than 0.05 was considered significant.

# 3 | RESULTS

## 3.1 | Ectopic expression of *NR0B1* is negatively correlated with the prognosis of HCC

Through analyzing the RNA-sequencing data of HCC ( $n=371$ ) and normal liver tissues ( $n=50$ ) from TCGA, we observed that the expression of *NR0B1* was frequently activated in HCC tumors and almost not in normal liver tissues (Figure 1A, Figure S1A). For exploring the clinical significance of *NR0B1* in HCC progression, we further investigated the effect of *NR0B1* expression on mortality rate and overall survival (OS) of HCC. Significantly, the results showed that the mortality rate was higher in *NR0B1*-positive HCC patients compared with *NR0B1*-negative HCC patients (41.46% vs. 31.19%, Figure S1B). Kaplan–Meier analysis indicated that patients with *NR0B1*-positive HCC demonstrated poor OS rate (HR = 1.84, 95% CI = 1.3–2.59,  $p=0.00047$ , Figure 1B). Cox multivariate analysis showed that *NR0B1* might be an independent prognostic factor of HCC (HR = 1.50084, 95% CI = 1.2575–1.79127,  $p=0.00001$ , Figure 1C). *NR0B1* was detrimental to RFS in HCC patients treated with sorafenib (HR = 3.01, 95% CI = 1.02–8.92,  $p=0.04$ ;



**FIGURE 1** NR0B1 expression was negatively correlated with the prognosis of hepatocellular carcinoma (HCC). (A) Frequent ectopic expression of NR0B1 in HCC tumors (\*\*\*\* $p < 0.0001$ ,  $p < 0.001$ , data extracted from TCGA database). (B) Kaplan-Meier survival curve showing the negative correlation between NR0B1 activation and overall survival (OS) of HCC (n = 366). (C) Cox multivariate analyses of NR0B1 and various clinicopathological indexes in HCC. (D) Kaplan-Meier survival curve showing the negative correlation between NR0B1 activation and relapse-free survival (RFS) of HCC patients treated with sorafenib (n = 29).

Figure 1D). Taken together, these results suggested that the ectopic activation of NR0B1 was negatively correlated with the prognosis of HCC.

### 3.2 | NR0B1 promotes proliferation, clone formation, cycle transition, migration, and invasiveness of HCC cells

According to the expression profile of NR0B1 in the three cells of HepG2, Huh7, and Huh1, we constructed two NR0B1-overexpressed HepG2 and Huh7 cells and one NR0B1-downregulated Huh1 cell (Figure S2). Then, we examined the cellular characteristics of the constructed HCC cells such as proliferation, clone formation, cycle,

migration, and invasiveness. We observed that NR0B1 OE resulted in promoted cell proliferation (Figure 2A) and colony formation (Figure 2B) in Huh7 and HepG2 cells, while NR0B1 KD inhibited cell proliferation and colony formation in Huh1 cells (Figure 2C,D). We also found that NR0B1 OE decreased the cell ratio of the G1/G0 phase and increased that of the S and G2/M phases (Figure 2E), while the opposite result was observed for NR0B1 KD (Figure 2F). These results indicated that NR0B1 promoted the phase transition from G1 to S in HCC cells. Furthermore, we detected that NR0B1 OE enhanced the migration and invasion ability of Huh7 and HepG2 cells (Figure 2G,H), and conversely, NR0B1 KD attenuated the migration and invasion ability of Huh1 cells (Figure 2I,J). Taken together, these findings suggested that NR0B1 played an important role in the malignant development of HCC.

### 3.3 | NROB1 augments sorafenib resistance in HCC cells

Given that *NROB1* was associated with poor prognosis among HCC patients treated with sorafenib, we further investigated the role of *NROB1* in HCC cells treated with sorafenib. We observed that sorafenib seriously decreased the proliferation of HCC cells and that *NROB1* OE significantly negated whereas the *NROB1* KD distinctly aggravated the sorafenib-induced decline of cell proliferation (Figure 3A–C). Then, we found that the IC50 of sorafenib increased about 30%–50% in the *NROB1* OE HCC cells compared with the negative control. Meanwhile, the IC50 of sorafenib reduced about 20%–30% in the *NROB1* KD HCC cells (Figure 3D–F). All of these results indicated that *NROB1* reduced the sensitivity of HCC cells toward sorafenib treatment and augmented sorafenib resistance in HCC cells.

### 3.4 | NROB1 inhibits sorafenib-induced apoptosis in HCC cells

Previous studies have reported that regulated cell death (RCD) including apoptosis, autophagy, and ferroptosis are involved in sorafenib resistance in HCC.<sup>7</sup> To further explore the molecular mechanism in which *NROB1* is involved to enhance sorafenib resistance in HCC, we firstly investigated the effect of *NROB1* on sorafenib-induced apoptosis in HCC cells. We found that apoptosis increased after treatment with different concentrations of sorafenib in HCC cells and that *NROB1* OE decreased while *NROB1* KD further increased the ratio of apoptosis in HCC cells (Figure 4A–C). Consistent with these findings, we also observed that *NROB1* OE reduced the level of cleaved-caspase 9, -caspase 3, and -PARP1 proteins, whereas *NROB1* KD led to opposite effects (Figure 4D–F). These results suggested that *NROB1* may enhance cellular resistance to sorafenib through suppressing sorafenib-induced apoptosis in HCC cells.

### 3.5 | NROB1 promotes autophagy in HCC cells

Using the transcriptomic data in TCGA database, we firstly found that the expression level of *NROB1* was positively correlated with that of the autophagy-related genes containing *ATG3*, *ATG5*, *ATG7*, *ATG10*, *ATG12*, *Beclin1*, *LC3B*, and *ULK1* ( $p < 0.05$ ) (Figure S3A–H). Then, we examined whether and how *NROB1* is involved in sorafenib-induced autophagy in HCC cells. Firstly, we confirmed that *NROB1* OE increased while *NROB1* KD decreased the levels of autophagy-related proteins, including *Beclin1* and *LC3-II/-I* in HCC cells, regardless of whether these cells were treated with sorafenib or not (Figure 5A–C). Conversely, *NROB1* expression was negatively correlated with p62 protein level in HCC cells (Figure 5A–C). p62, acting as a marker for autophagic vesicle turnover,<sup>26</sup> is constantly degraded by autophagy.<sup>27</sup> Our results indicated *NROB1*-induced autophagy caused p62 degradation. Meanwhile, we measured the fluorescence spots of *LC3-II* proteins and found a significantly increased number in the

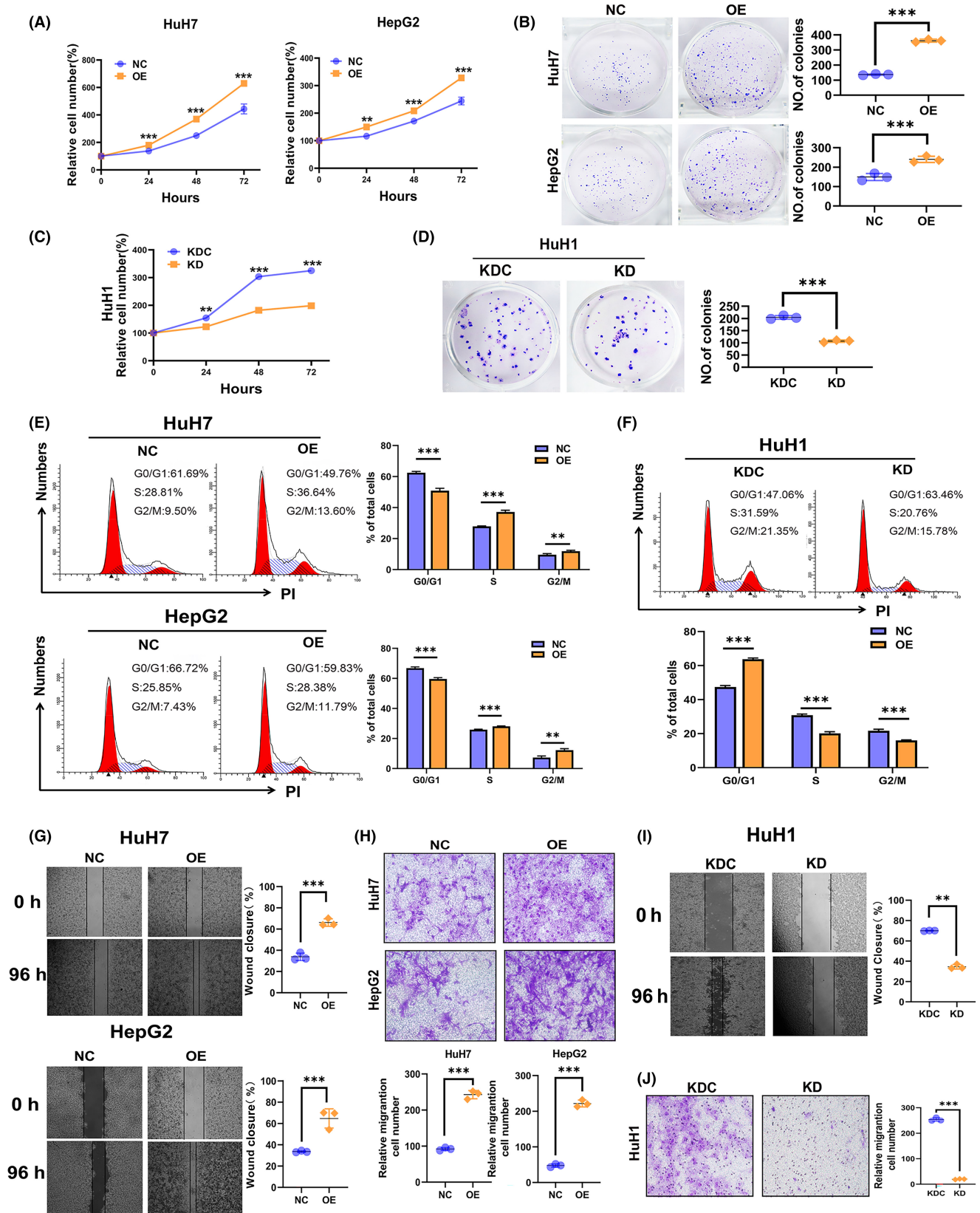
*NROB1* OE HCC cells (Figure 5D,E) and, conversely, a reduced number of spots in the *NROB1* KD HCC cells (Figure 5F). Furthermore, using TEM, we also observed that *NROB1* OE increased the number of autophagosomes (Figure 5G,H) whereas *NROB1* KD decreased it in HCC cells (Figure 5I). Taken together, these results revealed that *NROB1* promoted the autophagy of HCC cells.

Moreover, considering that the PI3K inhibitor 3-MA can act as an autophagy inhibitor by decreasing *LC3-II/-I* expression and autophagosome formation, we used 3-MA to inhibit sorafenib-induced autophagy in HCC cells and observed that when autophagy was restrained (Figure 5J), the IC50 of sorafenib was dramatically reduced in *NROB1* OE HCC cells (Figure 5K,L), indicating that the inhibition of autophagy may rescue sorafenib sensitivity in *NROB1* OE HCC cells. These results further suggested that *NROB1* enhanced sorafenib resistance by promoting autophagy in HCC cells.

### 3.6 | NROB1 transcriptionally represses GSK3 $\beta$ to activate AMPK/mTOR-derived autophagy and restrain BAX-mediated apoptosis in HCC cells

*NROB1* has been reported to transcriptionally repress the expression of *GSK3 $\beta$*  in cervical cancer,<sup>28</sup> and inhibition of *GSK3 $\beta$*  activity activates the adenosine monophosphate-activated protein kinase (AMPK)/mammalian target of rapamycin (mTOR) signaling pathway,<sup>29</sup> resulting in the activation of autophagic molecules.<sup>30,31</sup> In this case, we speculated that *NROB1* may regulate autophagy through controlling the *GSK3 $\beta$* -targeted molecules in HCC cells. Then, we confirmed that *NROB1* OE clearly decreased *GSK3 $\beta$*  expression in the levels of mRNA and protein (Figure 6A,B), whereas *NROB1* KD increased its expression (Figure 6C). Following that, we observed an increased level of phosphorylated AMPK (p-AMPK), *Beclin1*, and *LC3-II/-I* and a decreased level of phosphorylated mTOR (p-mTOR) in the *NROB1* OE HCC cells (Figure 6D,E) and an opposite level of each protein in the *NROB1* KD cells (Figure 6F). These results indicated that *NROB1* activated the autophagy-related signaling pathway including p-AMPK, p-mTOR, *Beclin1*, and *LC3-II/-I* through transcriptionally repressing *GSK3 $\beta$*  expression.

Moreover, *BAX* and *BCL-2* are, respectively, apoptotic and antiapoptotic proteins, and the *BCL-2/BAX* ratio indicates apoptosis process. *GSK3 $\beta$*  has previously been reported to induce apoptosis through promoting the expression of the proapoptotic protein *BAX*.<sup>32,33</sup> We observed that the inhibition of *GSK3 $\beta$*  obviously decreased the *BAX* level while upregulated the *BCL-2* level, leading to an increased *BCL-2/BAX* ratio in the *NROB1*-OE HCC cells (Figure 6D,E). Meanwhile, we found a converse result of *BAX* and *BCL-2* levels in the *NROB1*-KD cells (Figure 6F). These results indicated that *NROB1* inhibited sorafenib-induced apoptosis by repressing *GSK3 $\beta$* -mediated *BAX* activity. In summary, these findings suggested that *NROB1* promoted autophagy and inhibited apoptosis by transcriptionally repressing *GSK3 $\beta$*  expression, leading to the activation of the AMPK/mTOR/*Beclin1/LC3-II* autophagic pathway and suppression of the *BAX/Caspase 9/Caspase 3/PARP1* apoptosis pathway in HCC cells (Figure 6G).

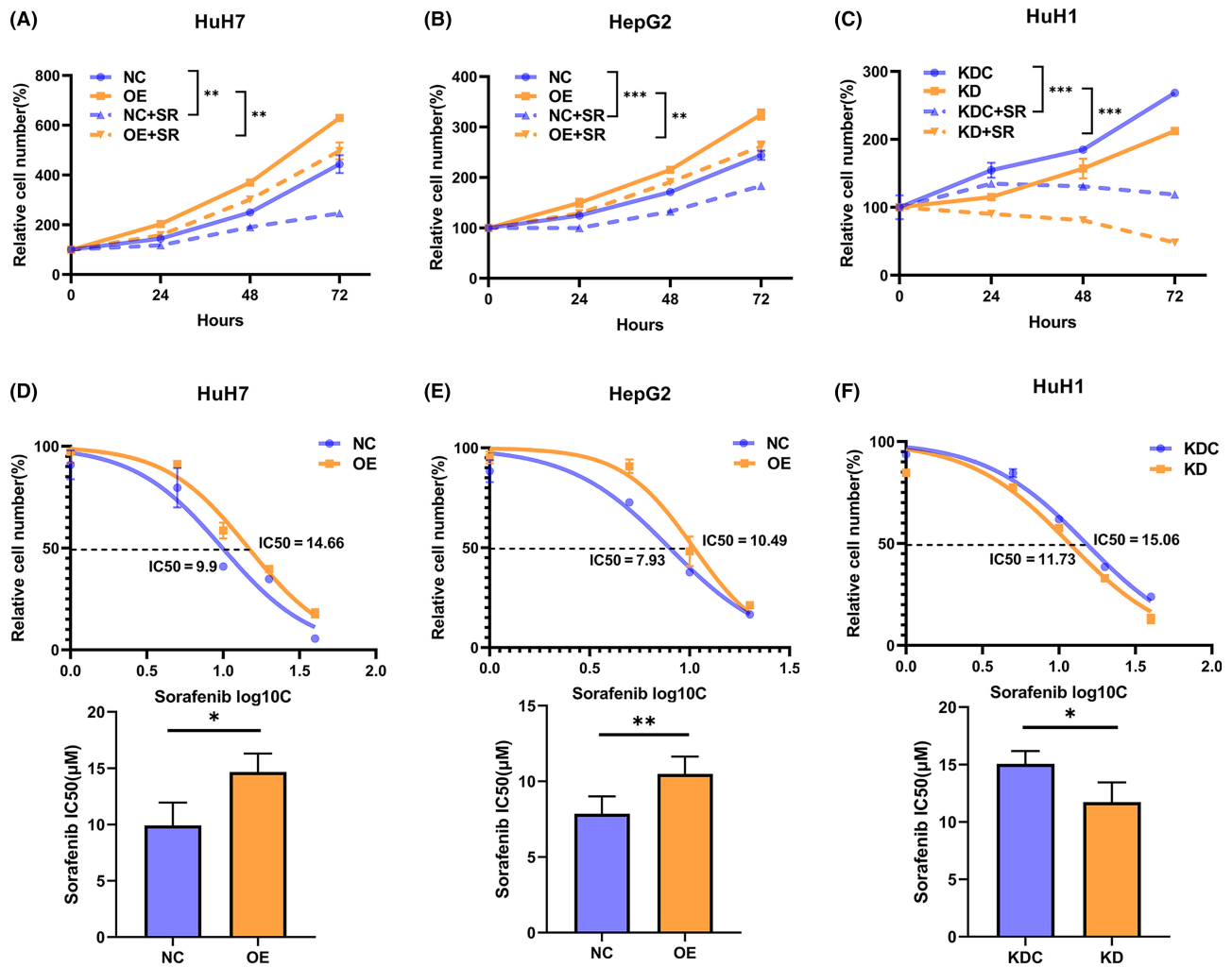


## 4 | DISCUSSION

Autophagy, acting as a double-edged sword in cancer cells, is one of the classical mechanisms by which sorafenib resistance arises during

HCC treatment. Cellular autophagy can maintain homeostasis<sup>34,35</sup> in cells under some environmental stresses, including chemical treatments, through degrading the damaged organelles, although excessive autophagic activation attacks and phagocytoses cells,<sup>36</sup> evoking

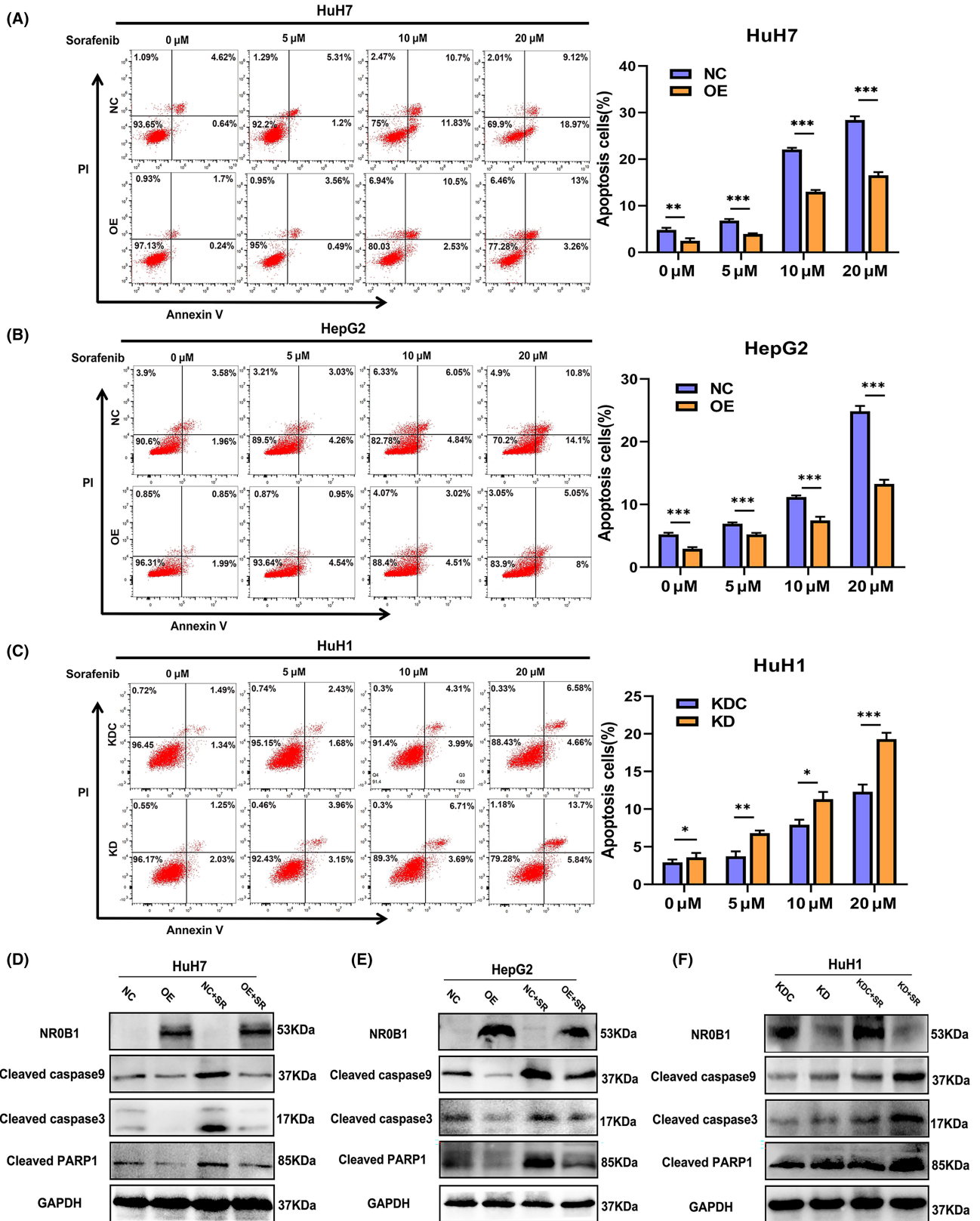
**FIGURE 2** Promotion of cell proliferation, cell cycle transition, cell invasiveness, and inhibition of cell apoptosis by *NROB1* in hepatocellular carcinoma (HCC) cells. (A) CCK8 assays showed that *NROB1* overexpression (OE) enhanced the proliferation of HuH7 and HepG2 cells. Relative proliferation was presented as fold change, which was calculated based on the absorbance and was normalized to a control value ( $n=3$ ). (B) Colony formation assays showed that *NROB1* OE increased the colony numbers in HuH7 and HepG2 cells. Colony counts were analyzed using ImageJ software ( $n=3$ ). (C) *NROB1* knockdown (KD) decreased the proliferation of HuH1 cells. (D) *NROB1* KD reduced the colony numbers in HuH1 cells. (E, F) Cell cycle assays showed that *NROB1* OE promoted G1/S phase transition in HuH7 and HepG2 cells (E), and *NROB1* KD increased the cell ratio of G1 phase in HuH1 cells (F). (G) Scratch-wound-healing assays showed that *NROB1* OE promoted the migration of HuH7 and HepG2 cells. (H) Transwell assays showed that *NROB1* OE accelerated the invasion of HuH7 and HepG2 cells. (I) Scratch-wound-healing assays showed that *NROB1* KD inhibited the migration of HuH1 cells. (J) Transwell assays showed that *NROB1* KD inhibited the invasion of HuH1 cells. Original magnification,  $\times 400$ . All data are presented as the mean  $\pm$  standard deviation (\*\* $p < 0.01$ , \*\*\* $p < 0.001$ ).



**FIGURE 3** *NROB1* overexpression (OE) decreases the sensitivity of hepatocellular carcinoma (HCC) cells to sorafenib. (A, B) CCK8 assays showed that sorafenib inhibited the proliferation in HCC cells, and *NROB1* OE reversed the decline of proliferation in HuH7 (A) and HepG2 (B) cells treated with  $10\mu\text{M}$  sorafenib (SR,  $n=5$ ). (C) *NROB1* knockdown (KD) aggravated the decline of proliferation in HuH1 cells treated with  $10\mu\text{M}$  sorafenib (SR,  $n=5$ ). (D, E) *NROB1* OE increased the IC<sub>50</sub> of sorafenib in HuH7 (D) and HepG2 (E) cells ( $n=3$ ). (F) *NROB1* KD decreased the IC<sub>50</sub> of sorafenib in HuH1 cells ( $n=3$ ). All data are displayed as the mean  $\pm$  standard deviation (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ).

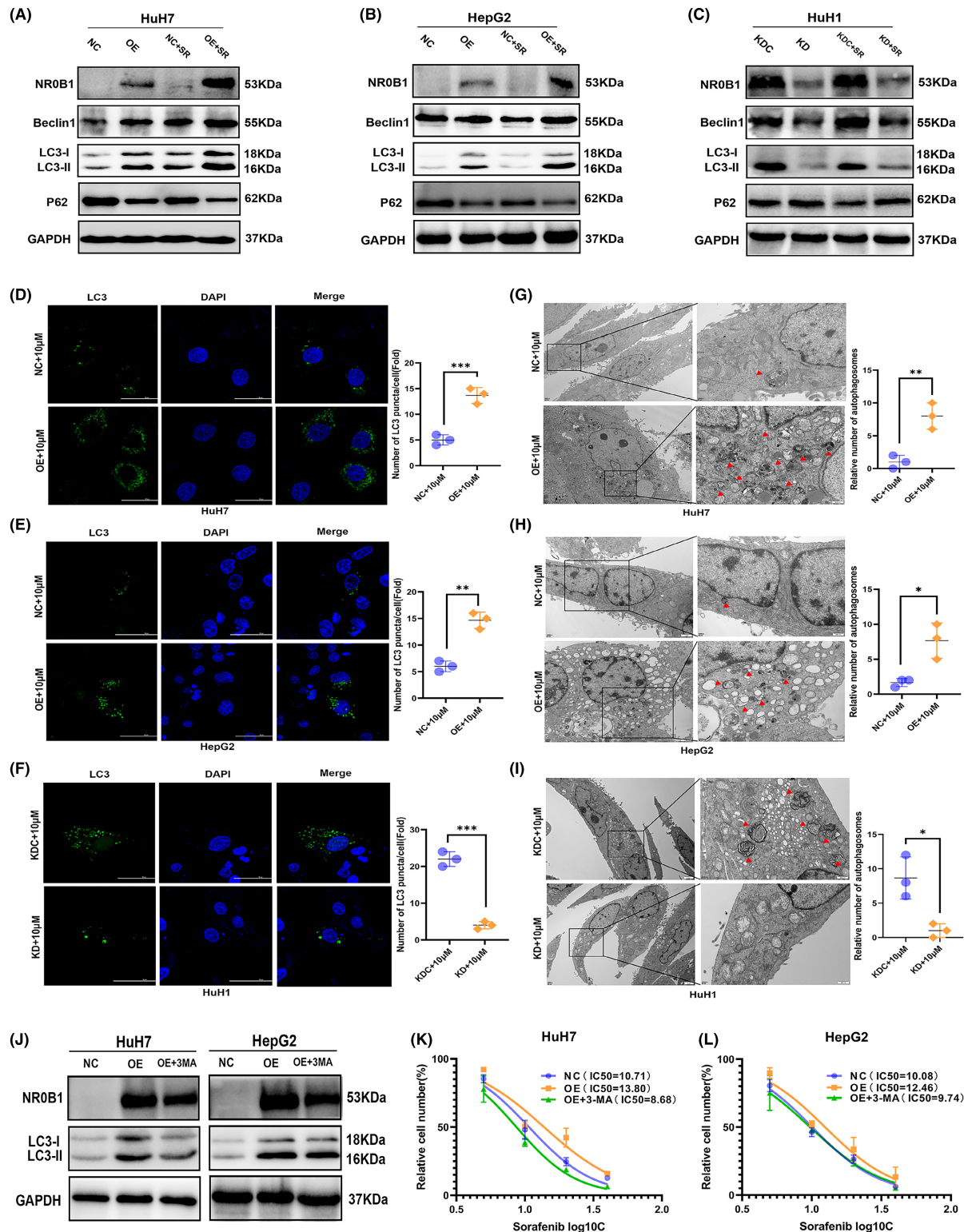
autophagic RCD. Recent studies uncovered many molecules including proteins and noncoding RNAs that contribute to sorafenib resistance by activating autophagy<sup>37</sup> in HCC. In the present study, we found that *NROB1* was negatively correlated with the RFS of HCC

patients treated with sorafenib and revealed that *NROB1* inhibited sorafenib-induced cell death and increased the IC<sub>50</sub> of sorafenib in HCC cells. Furthermore, we observed that *NROB1* promoted basal and sorafenib-induced autophagy in HCC cells, and the inhibition

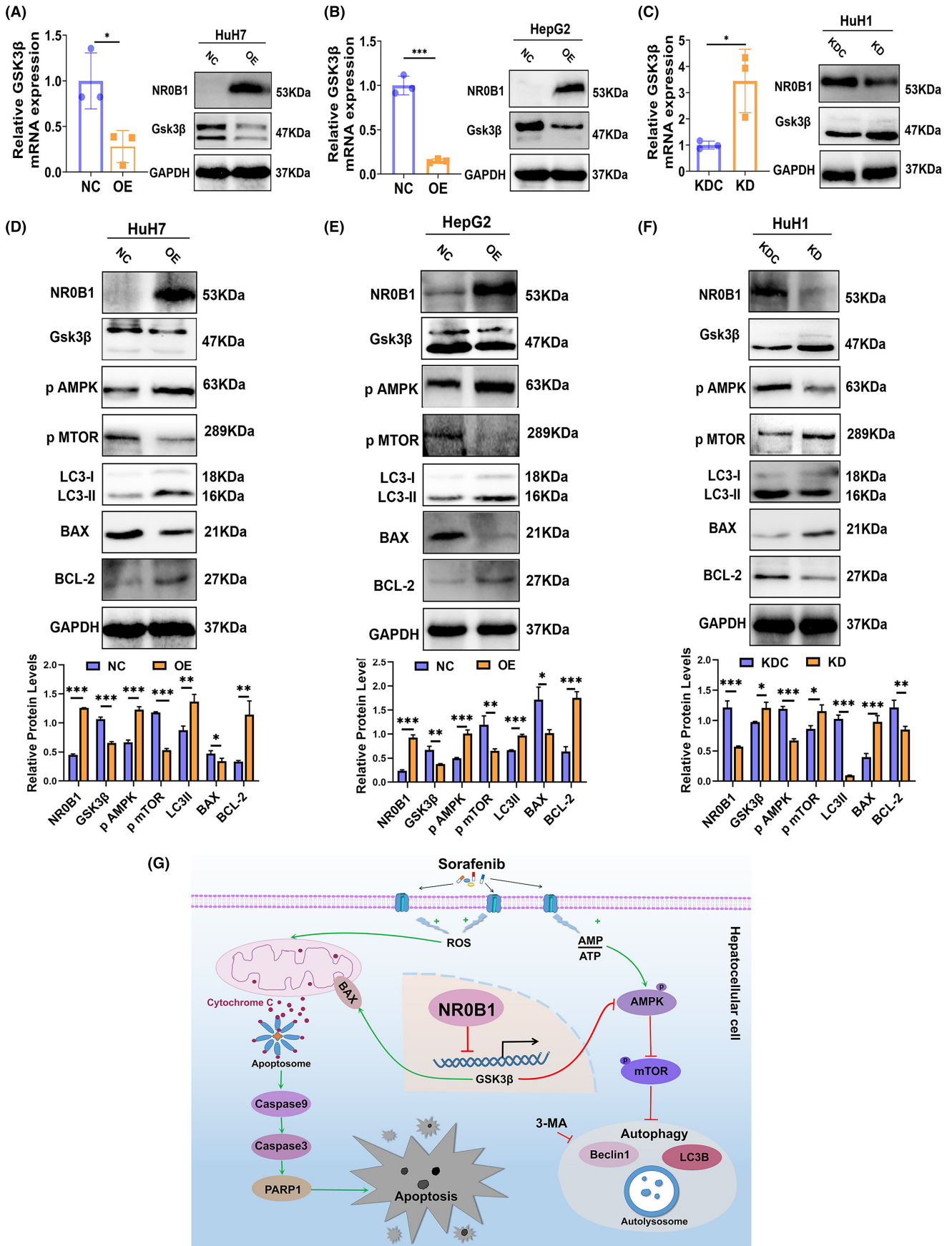


**FIGURE 4** NR0B1 inhibits sorafenib-induced apoptosis in hepatocellular carcinoma (HCC) cells. (A, B) NR0B1 overexpression (OE) inhibiting sorafenib-induced apoptosis in HuH7 (A) and HepG2 (B). (C) NR0B1 knockdown (KD) promoting sorafenib-induced apoptosis in HuH1 cells. (D–F) Alteration of apoptosis-related protein expression level in the NR0B1-OE cells of HuH7 (D) and HepG2 (E) and in the NR0B1-KD cells of HuH1 (F); sorafenib (SR) concentration = 10  $\mu$ M. All data are displayed as the mean  $\pm$  standard deviation ( $n=3$ , \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ ).





**FIGURE 5** NROB1 promotes autophagy in hepatocellular carcinoma (HCC) cells. (A–C) Alteration of autophagy-related protein expression level in the NROB1 overexpression (OE) cells of HuH7 (A) and HepG2 (B) and in the NROB1 knockdown (KD) cells of HuH1 (C); sorafenib (SR) concentration = 10 μM. (D–F) Immunofluorescence analysis showing the increment of LC3-II proteins in the NROB1 OE cells of HuH7 (D) and HepG2 (E) and the decline of LC3-II puncta in the NROB1 KD cells of HuH1 (F). Scale size = 50 μm. (G–I) Transmission electron microscopy (TEM) images showing the increment of autophagosomes in the NROB1 OE cells of HuH7 (G) and HepG2 (H) and the decline of autophagosomes in the NROB1 KD cells of HuH1 (I). Red arrowheads indicating autophagosomes. Scale size = 2 μm (original) and 500 nm (magnification). (J) Protein levels of LC3-II in HuH7 and HepG2 cells treated with 3-MA (5 mM). (K, L) IC<sub>50</sub> assays of sorafenib in NROB1-OE HuH7 (K) and HepG2 (L) cells treated with 3-MA (5 mM). All data are displayed as the mean ± standard deviation ( $n=3$ , \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ ).



**FIGURE 6** NROB1 regulates autophagy and apoptosis through suppressing GSK3 $\beta$  expression in hepatocellular carcinoma (HCC) cells. (A, B) NROB1 overexpression (OE) suppressed GSK3 $\beta$  expression in the levels of mRNA and protein in HuH7 (A) and HepG2 (B) cells. (C) NROB1 knockdown (KD) increased GSK3 $\beta$  expression in the levels of mRNA and protein in HuH1 cells. (D, E) The level alteration of proteins involved in GSK3 $\beta$ -targeted autophagy and apoptosis pathway in NROB1 OE cells of HuH7 (D) and HepG2 (E) and NROB1 KD cells of HuH1 (F). All data are displayed as the mean  $\pm$  standard deviation ( $n=3$ , \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ). (G) Proposed working model for NROB1-mediated sorafenib resistance in HCC cells.

of autophagy by 3-MA would induce cell death. Thus, we demonstrated that NROB1-induced autophagy served as a protective effect that inhibits apoptosis, which ultimately promoted sorafenib resistance in HCC cells.

In this study, TEM showed that autophagic vesicles were almost encapsulated in mitochondria, indicating that NROB1 might promote mitochondrial autophagy in HCC cells. Mitochondrial autophagy is a selective autophagy that engulfs sorafenib-damaged mitochondria and converts them into bioenergy to maintain cellular homeostasis, thus promoting cancer cells' survival and enhancing their drug resistance.<sup>38-41</sup> Meanwhile, structural changes such as swelling of cellular mitochondria and reduction of mitochondrial cristae may be related to the increase of reactive oxygen species (ROS) produced by sorafenib-targeted mitochondrial electron transport chain (ETC) complexes.<sup>42</sup> ROS is one of main markers of ferroptosis, another RCD involved in sorafenib resistance in HCC. In the present study, using Gene Set Enrichment Analysis (GSEA) in the LinkedOmics database (<http://linkedomics.org/admin.php>), we found that NROB1 was correlated with the genes that are involved in the tricarboxylic acid (TCA) cycle, mitochondrial respiratory chain complex assembly, peroxisome organization, and oxidoreductase complex activity (Figure S4A-D). Our additional study also revealed that NROB1 restrained ferroptosis in lung cancer cells (data not shown). Therefore, combined with the fact that mitochondrial autophagy also inhibits ferroptosis in cancer cells,<sup>43</sup> we suggested that NROB1 may augment sorafenib resistance in HCC cells by promoting mitochondrial autophagy and inhibiting ROS production during ferroptosis.

In conclusion, NROB1 promotes proliferation, migration, and invasion of HCC cells and augments sorafenib resistance in HCC cells through promoting autophagy by the GSK3 $\beta$ /AMPK/mTOR/Beclin1/LC3-II pathway and inhibiting apoptosis by the GSK3 $\beta$ /BAX/Caspase 9/Caspase 3/PARP1 pathway. Our findings supported that NROB1 was a poor prognostic factor for HCC.

#### AUTHOR CONTRIBUTIONS

**Xiao lan Tan:** Data curation; investigation; visualization; writing – original draft. **Zhaokun Wang:** Data curation; investigation; visualization; writing – review and editing. **Shunyao Liao:** Formal analysis; funding acquisition; writing – review and editing. **Ming Yi:** Data curation; methodology; visualization. **Dachang Tao:** Methodology; resources. **Xinyue Zhang:** Methodology; resources. **Xiangyou Leng:** Methodology; resources. **Jiaying Shi:** Methodology; resources. **Shengyu Xie:** Methodology; resources. **Yuan Yang:** Formal analysis; funding acquisition; investigation; writing – review and editing. **Yun qiang Liu:** Conceptualization; formal analysis; funding acquisition;

investigation; methodology; project administration; supervision; validation; visualization; writing – original draft; writing – review and editing.

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#### CONFLICT OF INTEREST STATEMENT

The authors declare no conflict of interest.

#### ETHICS STATEMENT

Approval of the research protocol by an Institutional Reviewer Board: N/A.

Informed Consent: N/A.

Registry and the Registration No. of the study/trial: N/A.

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#### ORCID

Yun qiang Liu  <https://orcid.org/0000-0001-7691-7630>

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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