

HHS Public Access

Brain Stimul. Author manuscript; available in PMC 2024 February 13.

Published in final edited form as: Brain Stimul. 2023 ; 16(3): 748–755. doi:10.1016/j.brs.2023.04.001.

Author manuscript

The impact of gamma transcranial alternating current stimulation (tACS) on cognitive and memory processes in patients with mild cognitive impairment or Alzheimer's disease: A literature review

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Abstract

Background: Transcranial alternating current stimulation (tACS)—a noninvasive brain stimulation technique that modulates cortical oscillations through entrainment—has been demonstrated to alter oscillatory activity and enhance cognition in healthy adults. TACS is being explored as a tool to improve cognition and memory in patient populations with mild cognitive impairment (MCI) and Alzheimer's disease (AD).

Objective: To review the growing body of literature and current findings obtained from the application of tACS in patients with MCI or AD, highlighting the effects of gamma tACS on brain function, memory, and cognition. Evidence on the use of brain stimulation in animal models of AD is also discussed. Important parameters of stimulation are underscored for consideration in protocols that aim to apply tACS as a therapeutic tool in patients with MCI/AD.

Findings: The application of gamma tACS has shown promising results in the improvement of cognitive and memory processes that are impacted in patients with MCI/AD. These data demonstrate the potential for tACS as an interventional stand-alone tool or alongside pharmacological and/or other behavioral interventions in MCI/AD.

Conclusions: While the use of tACS in MCI/AD has evidenced encouraging results, the effects of this stimulation technique on brain function and pathophysiology in MCI/AD remains to be fully determined. This review explores the literature and highlights the need for continued research on tACS as a tool to alter the course of the disease by reinstating oscillatory activity, improving cognitive and memory processing, delaying disease progression, and remediating cognitive abilities in patients with MCI/AD.

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Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

1. Introduction

Mild cognitive impairment (MCI) is a neurological syndrome characterized by deficits in memory, language, thinking, or judgment. While cognitive deficits associated with MCI are not severe enough to limit independence or activities of daily living, 10–20% of MCI patients will go on to develop dementia-level impairments over a one-year period, and MCI is often a precursor to Alzheimer's disease (AD) [1–4]. Currently, there are no existing FDA-approved pharmacological or behavioral intervention designed to treat MCI or to slow or prevent progression from MCI to AD or other forms of dementia. AD—the most common neurodegenerative dementia—is a complex, multifactorial disorder commonly characterized by progressive impairments in memory, thinking, judgement and reasoning skills, language and communication, and changes in personality and behavior [5,6]. Multiple factors contribute to the pathogenesis of AD, including amyloid-β deposition, tau accumulation, aberrant microglia activity and astrocyte-mediated inflammation, loss of neurons and synapses, and altered cortical oscillations within and between brain networks [7]. There is no known cure for AD. Commonly employed pharmacologic treatment options (e. g. acetylcholinesterase inhibitors) are designed to palliate disease symptoms and are only mildly effective [8]. Emerging AD treatments (i. e., aducanumab, lecanemab [9]) aimed at decreasing amyloid plaque burden in the brain have thus far shown only modest clinical benefits and can have adverse side effects (e.g., brain swelling and/or bleeding [10–12]). Thus, while research and development of treatments and diagnostics for AD has made recent progress, there remains a critically urgent need for novel and efficacious intervention strategies aimed at cognitive remediation to delay or avert further decline.

Transcranial alternating current stimulation (tACS) stands out as a potentially promising intervention for persons suffering from neurodegenerative disorders of cognition. It has shown potential in enhancing cognition and memory processes in older adults with normal age-related cognitive decline [13], and early evidence suggests that it may be effective in patients with MCI and AD [14–20]. This noninvasive brain stimulation (NIBS) technique offers a safe and painless approach to alter cortical excitability and impact neuroplasticity to ultimately improve cognitive and behavioral processes. By applying a weak sinusoidal alternating current set to a specific frequency (Hz) to a target brain region(s) using electrodes placed over the scalp, tACS modulates endogenous cortical oscillations in the brain through mechanisms of entrainment to regulate and improve brain network communication [20–25]. A small but growing number of studies have shown that tACS is capable of altering oscillatory aberrations which are known to occur in MCI/AD patients and reinstate patterns of cortical oscillations that are associated with successful cognitive and memory performance [16,17, 26–28]. Evidence of tACS-induced cognitive improvements in persons with MCI/AD is notably associated with gamma stimulation, a frequency range with prominent involvement in hippocampal-mediated memory processes that is usually impaired in early disease stages [29–32].

This mini-review provides an overview on the use of gamma tACS as a tool for cognitive remediation in persons with MCI or AD and summarizes the literature in this area. We provide a synopsis of oscillatory activity in the human brain, evidence from studies that assessed gamma stimulation and its impact on underlying disease pathology in animal

models of AD, and preliminary evidence of gamma tACS on disease pathology in AD patients. Lastly, we discuss parameters of stimulation that are important to consider for optimizing treatment response, and provide an outlook on potential therapeutic applications in patients with MCI/AD.

2. The importance of gamma oscillatory activity in the human brain

Neural or cortical oscillations refer to the regular fluctuations in local field potentials that reflect the input of tens of thousands of neurons [33–35]. Oscillatory activity is fundamental to information transfer and temporal organization of neural activity patterns in large-scale brain networks related to behavior and memory [7,36]. Excitatory and inhibitory neuronal activity that occurs within brain circuits operates at several distinct time scales, and their dynamic interactions contribute to different frequencies of oscillations. Oscillatory frequencies, from slowest to fastest, include delta $(-0.5-4 \text{ Hz})$, theta $(-4-8 \text{ Hz})$, alpha (~8–12 Hz), beta (~12–30 Hz), and gamma (~30–100 Hz) [7,37]. Mounting evidence from studies measuring oscillatory activity via intracranial electroencephalography (EEG), surface EEG, and magnetoencephalography (MEG) has shown that specific frequency bands and distinct synchronization patterns across frequency bands are vital for numerous cognitive and memory processes [37,38]. In particular, the gamma frequency has been shown to play a critical role in object representation [39], visual feature binding [40], and many higher order cognitive functions [13,41–43].

Synchronization of oscillations supports the coordination of information-sharing and communication across brain regions within networks that are essential for creating and maintaining memories [44]. Cross-frequency phase-amplitude coupling (PAC), in which the phase of slower oscillations modulates the amplitude of faster oscillations, has been proposed as a general mechanism that underlies memory processing, such as encoding, storage, and retrieval [45]. PAC is known to occur between gamma and theta frequency bands where the slower frequency (theta) drives the faster frequency band (gamma) [13,46,47]. Changes in theta-gamma activity highly correlate with the occurrence of longterm potentiation (LTP), and theta-gamma PAC are associated with alterations of synaptic plasticity [45,48]. Converging evidence indicates that theta-gamma PAC and gamma synchronization are important to successful memory maintenance [49–51] and coordination of memory reactivation in healthy individuals [13]. The application of gamma tACS in healthy individuals has associated with improved cognitive performance [52,53].

3. Aberrant gamma oscillations in neurodegenerative disease

Neurodegenerative syndromes that impact cognition and memory have been linked to impaired gamma oscillatory activity in persons with MCI or AD as well as mouse models of AD [54–56]. Abnormal gamma oscillatory activity has been exhibited as significantly reduced spectral power and desynchronized theta-gamma coupling compared to healthy age-matched controls [13]. These aberrant alterations are linked to lower short-term memory performance, such as working memory-related tasks [13] and long-term episodic memory processing [57] – one of the hallmark features of AD and a defining feature in amnestic MCI [58]. At the neurophysiological level, theta-gamma coupling is thought to represent a neural

code for temporal ordering, given that different neuronal assemblies fire at consecutive time points [50,59–62]. In addition, desynchronization between the phase and amplitude of theta and gamma is associated with decreased connectivity between brain regions that are involved in memory networks [60,63]. Applying tACS in patients with MCI or AD to restore gamma oscillatory activity as a stand-alone therapeutic intervention or as an adjunctive therapy alongside existing pharmacological and/or behavioral interventions may offer a powerful treatment option to improve cognition and memory processes in these patients [16,26,64–66]. See Fig. 1 for a visual summary of this review and Table 1 for a summary of data.

4. Gamma stimulation in animal models of AD

Altered gamma oscillations, including reduced synchronization and decreased spectral power, have been demonstrated in multiple mouse models of AD [55,56,67,68]. A small but growing number of studies have used light flicker gamma stimulation in well-established models of AD and suggest that exogenous gamma stimulation may be beneficial to cognition and the alteration of underlying disease pathology [68–70].

Using light flicker treatment to manipulate gamma activity in multiple mouse models with AD pathology, Iaccarino et al. (2016) examined the relationship between gamma oscillations and change in amyloid-β levels. Three-month-old mice with elevated amyloid-β levels, but no onset of plaque formation or cognitive decline, underwent 1-h light flicker treatment (20, 40, 80 Hz in constant light, random interval flicker or darkness) over the course of seven days. After 1 h of stimulation, researchers observed a marked reduction of amyloid-β peptides in the visual cortex and a concomitant decreased microglial response after 40 Hz gamma entrainment in AD mice models [69]. These effects were not observed for 20 Hz, 80 Hz, random flicker or darkness conditions.

More recently, Park et al. (2022) evaluated a combination of exercise and 40 Hz gamma light flickering over 12 weeks in a 5-month-old 3xTg AD mouse model to assess whether favorable effects occur in earlier stages of cognitive dysfunction. Spatial learning and memory, hippocampal amyloid-β, tau, neuroinflammation, proinflammatory expression, mitochondrial function, and neuroplasticity were examined. Results showed a significant reduction in amyloid-β and tau protein levels that associated with protection against cognitive decline by reducing neuroinflammation and proinflammatory cytokines [70]. Additionally, mitochondrial function improved, apoptosis was lowered, and markers of synapse-related protein expression significantly increased. Response to treatment was most effective in the exercise paired with 40 Hz light flickering condition compared to exercise alone or 40 Hz light flickering alone. Improvements were comparable to levels observed in a wild-type age-matched control group.

Collectively, preliminary evidence from mouse models of AD indicates that exogenously applied gamma stimulation is linked to improved outcomes in cognition and neural function. These studies provide key evidence that driving gamma oscillations may induce an overall neuroprotective response and modulate underlying AD neuropathy. While the mechanisms that underlie these changes are not yet fully understood, these data shed light on the

potential for modulating gamma oscillatory activity in humans as a novel treatment approach to alleviate disease pathology and improve cognitive function in patients with limited effective treatment options.

5. Gamma tACS on Tau PET imaging in mild to moderate AD

PET imaging research has indicated the effect of gamma tACS in patients with AD. Dhaynaut et al. (2020) examined the impact of 40 Hz tACS targeting bilateral temporal lobes (over 4 weeks) on modulation of microglial levels, tau and amyloid- $β$ in a small sample of five amyloid-positive patients with AD. Results revealed a decreased trend in intracerebral tau burden following tACS in 3 of 5 patients in the temporal lobes [71]. While tau burden showed a reduction after tACS intervention, the amount of intracerebral amyloidβ and microglial activation was not significantly influenced by stimulation. Another PET study by Dhaynaut et al. (2022) revealed that repeated sessions of 40 Hz tACS increased gamma spectral power and decreased over 2% of p-tau burden in 3 of 4 patients with mild to moderate AD [19]. Amyloid-β levels were not impacted by stimulation, but microglia activation decreased in one patient. Despite the underpowered nature of these preliminary studies, the data provide early evidence regarding the potential beneficial impact of gamma tACS protocols on AD pathology.

In a pilot study by Bréchet et al. (2021), 10 or 20 sessions of gamma tACS was demonstrated to induce significant gamma EEG activity in AD patients. In line with results in mice models, PET also confirmed tACS-induced modulation of amyloid-β and tau deposition, implying that tACS could influence underlying aspects of AD pathology. However, 10 or 20 sessions of stimulation were not sufficient to translate into apparent behavioral effects. Prolonged and multi-week laboratory-based interventions can prove challenging for patients and their caregivers (Clinical Trials [NCT03412604](https://clinicaltrials.gov/ct2/show/NCT03412604) data, as cited by Bréchet et al., 2021). Due to the nature of neurodegenerative syndromes, it is likely that persons with AD may benefit from greater numbers of tACS sessions, as repeated sessions have been shown to correlate with greater behavioral enhancement and LTP effects in healthy individuals and post-stroke motor recovery [72–74]. Moreover, patient populations and caregivers may benefit from at-home stimulation approaches to reduce the burden and challenges of protocols that require patients to arrive on-site for repeated treatments [75].

Another study by Bréchet et al. (2021) provides preliminary evidence on the feasibility of a remotely monitored, caregiver-administered, home-based tACS intervention to improve autobiographical memory in two older adults with dementia. Daily 20-min sessions of 40 Hz gamma tACS to the left angular gyrus were administered over 14 weeks for a total of 70 sessions per participant. Preliminary results showed the participants substantially improved on episodic memory testing and memory index scores (NACC UDS delayed recall; scores were not statistically analyzed but demonstrated improvements – patient 1: baseline recall 0 words increased to 5 post-intervention; patient 2: baseline recall 5 words increased to 11 post-intervention) [76]. Although underpowered, this study is one of the first to demonstrate safety and feasibility of employing gamma tACS treatment in dementia patients with caregivers delivering at-home treatment.

6. tACS effects on episodic memory processing in MCI/AD

Episodic memory—an important process that enables the encoding and recollection of personal life events—is characteristically impaired in patients with MCI and AD [58,77]. Episodic memory processing is supported by a widespread and complex network of brain regions which includes neocortical association areas and subcortical structures (i.e., medial temporal lobe – hippocampus, parahippocampus, entorhinal cortex, perirhinal cortex) and the prefrontal cortex [78]. Another important structure, the precuneus, which is part of the medial posterior parietal cortex, is involved in episodic memory processes and plays a role in memory integration, visuo-spatial imagery, retrieval and self-processing operations (e.g., first-person perspective and experience of agency) [79–81]. The precuneus has robust connectivity to prefrontal and subcortical structures involved in episodic memory, and is a brain region that can be targeted with NIBS [26]. Reductions in gamma oscillatory activity typically precedes episodic memory impairment in MCI/AD [26,54,82,83]. Gamma oscillations are crucial in binding perceptual features and contextual information from diverse brain regions into episodic representations [57].

In two open-label pilot studies, Sprugnoli et al. (2021) examined the impact of multiple sessions of 40 Hz tACS targeting the temporal lobe (1 h sessions over 2–4 weeks) on cerebral perfusion measured via arterial spin label (ASL) MRI, neurophysiology measured by EEG, and episodic memory performance in 15 patients with mild to moderate AD. ASL MRI revealed a significant increase in blood perfusion in the temporal lobes bilaterally from baseline to post-intervention. Perfusion changes were positively correlated with changes in episodic memory performance and gamma spectral power [17].

Recently, Benussi et al. (2021) explored the impact of 40 Hz gamma tACS (3.0 mA peak-to-peak intensity) in 20 patients with MCI/AD in a randomized, double-blind, shamcontrolled crossover pilot study. Active gamma tACS involved a single 1-h session targeting the Pz (i.e., medial parietal cortex and precuneus), a key node involved in the episodic memory network. Results from twenty patients with MCI/AD showed that active tACS significantly improved auditory verbal learning and long delayed recall scores compared to sham. Moreover, an indirect measure of cholinergic transmission, evaluated via short latency afferent inhibition using transcranial magnetic stimulation (TMS), was increased only in active gamma versus sham condition [26].

In a follow-up study, Benussi et al. (2022) examined 40 Hz gamma tACS (3.0 mA peak-topeak intensity; 1 h duration) targeting the precuneus in 60 patients with AD. The impact of active versus sham tACS was evaluated on episodic memory and cholinergic transmission. A significant correlation between enhancement of episodic memory and indirect measures of cholinergic neurotransmission was observed after active gamma tACS versus sham. Results were corroborated by pre- and post-EEG changes which demonstrated gamma frequency entrainment and suggested site-specific stimulation effects as increased gamma activity was observed over the posterior parietal cortices and precuneus [27]. These promising results in MCI/AD patients suggest that the use of tACS to enhance gamma oscillatory activity has the potential for therapeutic benefit in the context of memory processing with site-specific effects to brain regions impacted by MCI/AD.

7. Maintenance of gamma tACS treatment effects on cognition in mildmoderate dementia

A pilot study by Kehler et al. (2020) investigated the effects of 40 Hz gamma tACS (1.5 mA intensity peak-to-peak) paired with computerized brain exercises to assess whether working memory and cognitive function could be improved in a cohort of older adults with a diagnosis of mild to moderate dementia. The protocol involved brain exercises in the lab (two 30-min sessions per day, 5 days/week over the course of 4 consecutive weeks). Seventeen patients completed the study (11 randomized to active versus 6 sham). Patients were evaluated at baseline, immediately post-intervention, and 1-month post-intervention to assess whether treatment effects were maintained. Both active and sham groups exhibited a significant improvement from baseline to immediate post-intervention on cognitive assessments. However, at the 1-month follow up, the active group demonstrated significantly higher performance on cognitive testing, suggesting that active tACS paired with brain exercises provided longer-lasting cognitively enhancing effects over sham stimulation [16]. To our knowledge, this is currently the only study that has identified a positive result on maintenance of enhanced cognition after gamma tACS at a long-term time point in patients with dementia. The results suggest that gamma tACS, in combination with rigorous brain exercises, could promote better working memory and cognitive function in clinical populations than brain exercises alone with a more sustainable impact. To disentangle potential effects of brain exercises versus tACS-induces effects, future studies that employ these methods should ensure that stimulation effects are isolated from the brain exercise effect (e.g., randomized within-group designs with control tasks) to better demonstrate the strength of stimulation effects.

8. Brain oscillations as a predictor of MCI to AD conversion

Alterations in oscillatory features and rate of change may be useful biomarkers to predict conversion from MCI to AD. Naro et al. (2016) demonstrated that gamma tACS could identify MCI patients at a high risk of developing dementia by applying 10 min of gamma stimulation to M1, PMA, SMA, DLPFC and DMPFC in the left hemisphere in MCI and AD patients. The study utilized a neuropsychological battery to assess the global cognitive status, frontal functions, verbal and non-verbal memory, language, attention, and executive functions. Neuropsychological improvement in healthy elderly controls and individuals with MCI who responded to the tACS protocol (MCI-R) was linked to gamma band oscillation (GBO) power increase. On the other hand, AD and MCI patients who did not respond to the tACS protocol (MCI-NR) did not show any tACS-induced GBO increase. Compared to controls, MCI-R demonstrated abnormal DMPFC-tACS induced GBO increase. The MCI-NR patients that did not show significant clinical or electrophysiological DMPFC aftereffects progressed to AD over a two-year period even though these MCI-NR patients had baseline clinical scores and GBO power magnitudes similar to other MCI participants [84]. Naro and colleagues suggest that measuring sensitivity of GBO to external perturbations may offer insights into cognitive reserve, its impact on cognitive domains and predict the developmental trajectories of AD. In particular, they found individuals that exhibit abnormal GBO modulation within frontoparietal networks may be considered at potential risk of

developing dementia. Thus, tACS may serve as a useful tool for early identification of at-risk MCI patients for better prevention and disease management strategies to avert and mitigate potential progression to AD.

9. Importance of tACS parameters

Parameters of tACS govern its impact on neural structures and ultimately its effect on brain function and behavior. Thus, they must be considered carefully in the development of tACS protocols. This includes numerous modifiable settings, such as frequency (Hz), duration, intensity (mA), electrode size/location (conventional versus HD-tACS), number and frequency of sessions, online versus offline application (e. g., during or before task), and target brain region(s).

Frequency (Hz) of stimulation is essential to consider, as tACS has been shown to entrain oscillations at the level of applied stimulation. With respect to stimulation frequency, one important consideration is whether to employ a standardized frequency (Hz) across participants, versus a personalized frequency that can be obtained during task performance or resting state. A wide range of cognitive capabilities are mediated by the dynamic modulation of oscillatory activity between and within different regions of the brain (e.g., beta and gamma oscillations mediating interactions between prefrontal and sensory cortices to direct attention) [23,85]. Studies in healthy individuals have shown evidence of tACS altering oscillatory power at frequency bands outside of the applied stimulation (e.g., 10 Hz alpha increasing theta power during phonological word decisions) [86].

Evidence of gamma tACS altering lower frequency bands in MCI patients was shown by Kim et al. (2021). In that crossover study, MCI patients received gamma tACS (targeting dorsolateral prefrontal cortex), tDCS, and sham stimulation in separate sessions in order to compare effects of stimulation techniques on cognition and neurophysiology. Gamma tACS associated with improved performance on executive function tasks compared to tDCS and sham. However, tACS increased beta power and activity, while tDCS and sham did not associate with improved performance, and tDCS decreased slow frequency activity and beta power. Kim and colleagues suggest that gamma tACS may have facilitated cognitive function due to plasticity alterations within the stimulated area or surrounding network regions [28]. Open questions remain as to the impact of frequency (Hz) of stimulation on frequency bands outside of the applied range and warrant further exploration. Differences exist at the biophysical level of brain tissue in healthy individuals versus MCI/AD [87]. It is especially critical to understand how tACS may differentially impact other frequency bands or brain regions within and between networks outside of the target stimulation region to optimize the application in patients with MCI or AD. Relatedly, in the case of neurodegenerative disorders, determining the right brain target entails consideration of whether to target degenerated tissue or relatively spared regions that may aid in compensatory processes, as well as the intensity of current, and the number and duration of sessions to achieve the intended stimulation effects.

Given the diversity of parameter choices, it is unsurprising that variability exists in response to stimulation between- and within-subjects and across studies in healthy individuals

and patient populations [88–92]. Moreover, the influence of inherent differences such as brain and skull anatomy are known to alter the pattern and intensity of current flow that reaches the brain. It may be especially important in the context of MCI/AD to use personalized approaches such as cortical activity mapping, topographic scalp distribution, and computational modeling as a guide to identify target region(s) and dose of stimulation due to the neurodegenerative nature of the syndrome [93].

The application of tACS in the context of neurodegenerative disease states is still in its infancy, and studies typically use varied parameter settings. Of note, it is the gamma frequency range that shows abnormal cortical oscillations in MCI/AD; typically, 40 Hz gamma stimulation is applied in patient populations across studies. Whether 40 Hz frequency versus higher or lower gamma frequencies versus personalized frequency is the most appropriate choice remains to be de termined. As the field continues to grow, the assessment of tACS efficacy in systematic meta-analytic approaches will be invaluable in identifying how differing parameters, including personalized versus standardized frequency, might modulate response to stimulation and aid in more optimized behavioral outcomes.

10. Conclusion

The high prevalence of MCI and AD and the current limitations of treatment for these disorders warrant novel therapeutic approaches. TACS, particularly in the gamma frequency range, offers the potential of an effective treatment protocol. Gamma stimulation has been linked to improvements in cognition in human and animal models of AD. Impaired episodic memory, a notable characteristic of MCI/AD, is associated with decreased gamma band oscillations which may be reinstated with tACS. Early evidence from PET imaging suggests that gamma tACS may also have the ability to reduce tau burden, an important factor underlying AD pathology. Given the ability of tACS in modulating brain oscillations and consequently influencing cognitive processes, different studies have corroborated evidence on the effective use of tACS in improving episodic memory in MCI/AD populations. Gamma tACS also shows a positive impact on other memory processes, such as associative, declarative, autobiographical and working memory through the administration of gamma tACS to different target brain areas, such as Pz, left prefrontal cortex, DLPFC, and left angular gyrus. In addition, tACS and gamma band oscillations can also serve as a useful tool to identify MCI patients at risk of progressing into AD and thus help inform appropriate preventive measures.

In light of these encouraging results, further research is needed to strengthen direct evidence on the impact of gamma tACS in the treatment of MCI/AD. As memory processes are multifaceted and complex, questions remain as to which memory functions and respective brain areas should be targeted in MCI/AD. Moreover, future research is needed to gain greater insight into whether personalized tACS yields a higher benefit over standardized frequency, the appropriate dose, intensity and duration required for optimal treatment effects in MCI/AD.

The future of a novel and more effective therapeutic interventions for MCI/AD calls for RCTs using within-group designs, and larger sample sizes to provide more a robust

understanding of the use of tACS for cognitive remediation. As studies emerge in this important area, meta-analyses on aggregate statistics [20], and the incorporation of different neuroscience tools and techniques to elucidate and leverage the potential of tACS stimulation will be greatly beneficial to understand variables and parameters of stimulation that may modulate or optimize response to this form of brain stimulation.

Acknowledgements

This work was supported by a Moss Rehabilitation Research Institute/University of Pennsylvania post-doctoral training fellowship (NIH 5T32HD071844–05) and the Laboratory for Cognition and Neural Stimulation at the University of Pennsylvania.

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Fig. 1.

Summary of articles exploring gamma stimulation in animal models of AD and tACS in aging and MCI/AD patients.

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Table 1

Summary table of articles exploring gamma stimulation in patients with MCI/AD and animal models of AD.

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Brain Stimul. Author manuscript; available in PMC 2024 February 13.

positively correlated with changes in episodic memory and spectral power changes in gamma EEG activity.