

# COVID-19 Recovery: Consistent Absence of Cerebrospinal Fluid Biomarker Abnormalities in Patients With Neurocognitive Post-COVID Complications

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**Background.** To investigate evidence of residual viral infection, intrathecal immune activation, central nervous system (CNS) injury, and humoral responses in cerebrospinal fluid (CSF) and plasma in patients recovering from coronavirus disease 2019 (COVID-19), with or without neurocognitive post-COVID condition (PCC).

**Methods.** Thirty-one participants (25 with neurocognitive PCC) underwent clinical examination, lumbar puncture, and venipuncture  $\geq 3$  months after COVID-19 symptom onset. Healthy volunteers were included. CSF and plasma severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) nucleocapsid and spike antigen (N-Ag, S-Ag), and CSF biomarkers of immune activation and neuronal injury were analyzed.

**Results.** SARS-CoV-2 N-Ag or S-Ag were undetectable in all samples and no participant had pleocytosis. We detected no significant differences in CSF and plasma cytokine concentrations, albumin ratio, IgG index, neopterin,  $\beta_2$ M, or in CSF biomarkers of neuronal injury and astrocytic damage. Furthermore, principal component analysis (PCA1) analysis did not indicate any significant differences between the study groups in the marker sets cytokines, neuronal markers, or anti-cytokine autoantibodies.

**Conclusions.** We found no evidence of ongoing viral replication, immune activation, or CNS injury in plasma or CSF in patients with neurocognitive PCC compared with COVID-19 controls or healthy volunteers, suggesting that neurocognitive PCC is a consequence of events suffered during acute COVID-19 rather than persistent viral CNS infection or residual CNS inflammation.

**Keywords.** SARS-CoV-2; COVID-19; central nervous system; cerebrospinal fluid; post-COVID condition.

Following the initial wave of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infections, reports indicated that a number of heterogeneous symptoms persisted or emerged weeks to months after the acute phase of the infection in a subset of individuals recovering from coronavirus disease 2019 (COVID-19) [1]. Residual symptoms such as dyspnea,

chest pain, palpitations, anosmia, dysgeusia, paresthesia, and cognitive impairment, collectively referred to as long-COVID, has subsequently been named post-COVID condition (PCC) and has emerged as a major public health concern [2, 3].

Epidemiological studies have estimated that up to one-third of patients may present with symptoms of PCC as they recuperate from their primary SARS-CoV-2 infection. Neurological or neurocognitive symptoms such as fatigue, “brain fog,” headaches, and cognitive changes, including memory or concentration, are integral parts of PCC and have been reported in up to two-thirds of patients [4–6].

The specific risk factors for developing PCC are still largely unknown [7]. Although postinfectious outcomes are commonly observed in patients requiring intensive care due to other acute infections or other conditions, PCC is not limited to individuals with severe COVID-19 and has been described in patients with mild as well as moderate COVID-19 [7, 8].

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The underlying pathogenesis of PCC is under intense investigation but to a large extent is still not understood. Consequently, it is unclear whether PCC is attributable to processes uniquely associated with SARS-CoV-2 infection or from host responses to infection of a more universal character. The heterogeneity of PCC symptoms suggests that multiple mechanisms are separately or collaboratively involved in different individuals.

Various factors, such as direct central nervous system (CNS) damage caused by viral neuroinvasion, indirect outcomes of systemic or intrathecal inflammatory responses, microvascular injuries and/or thromboembolic events, and misguided host immunological response, have been identified as potential contributors to CNS pathogenesis during acute SARS-CoV-2 infection [9, 10]. However, it remains unclear whether neurological or neurocognitive sequelae in PCC represent an ongoing infectious or inflammatory process within the CNS or are consequences of earlier events triggered by SARS-CoV-2 infection.

Using plasma biomarkers of CNS injury, we have previously shown that neurofilament light-chain (NFL) and glial fibrillary acidic protein (GFAP) normalized in all patients from acute infection to postinfection follow-up [11]. Furthermore, we found no significant correlations between biomarkers of brain injury and persisting neurocognitive symptoms postinfection, suggesting the absence of brain damage during follow-up despite cognitive impairment [11].

However, while plasma analysis has the advantage of accessibility and can measure CNS injury via biomarker leakage through blood-brain barrier [12], cerebrospinal fluid (CSF) is usually considered more closely reflective of biochemical changes in the brain as it communicates with brain interstitial fluid [13]. In our previous investigations we observed the presence of SARS-CoV-2 nucleocapsid antigen (N-Ag) in the CSF in the majority of patients during the acute phase of the infection, in concentrations closely correlated to plasma levels. Furthermore, we found elevated CSF biomarkers of immune activation in most individuals, with patients exhibiting CNS symptoms displaying a more pronounced inflammatory biomarker profile. This suggests an association between viral antigen, inflammation, and CNS dysfunction during acute COVID-19 [14]. However, the detection of viral RNA in CSF is uncommon, and the extent of viral neuroinvasion during SARS-CoV-2 infection (if it occurs at all) as well as the possibility of viral persistence within the CNS remain contentious [14–17].

The objective of this longitudinal cohort study was to comprehensively investigate any evidence of residual viral infection, intrathecal immune activation, CNS injury, and humoral responses in CSF and plasma in patients recovering from COVID-19, with or without neurocognitive PCC, as well as in healthy volunteers.

## METHODS

### Study Population

In this single-center, cross-sectional study, we identified participants aged  $\geq 18$  years with confirmed SARS-CoV-2 infection who had been prospectively included in a longitudinal research cohort [14, 18] and had undergone clinical examination, lumbar puncture, and venipuncture  $\geq 3$  months after COVID-19 symptom onset. Patients were either monitored longitudinally from initial admission due to COVID-19, or by outpatient referral due to persisting neurocognitive symptoms of PCC, at the Department of Infectious Diseases, Sahlgrenska University Hospital, Gothenburg, Sweden. Participants were diagnosed with COVID-19 between March 2020 and May 2021. Patients with active neurological or neurocognitive diseases before COVID-19 were not included. COVID-19 disease severity was classified based on the World Health Organization clinical progression scale [19], with mild disease indicating ambulatory patients, moderate disease indicating hospitalized patients receiving oxygen therapy, and severe disease indicating hospitalized patients requiring high-flow nasal oxygen, admission to intensive care unit, and/or on mechanical ventilation.

From February 2021 to November 2021, we conducted study visits that involved clinical neurological examination, lumbar punctures, and venipunctures. An infectious disease physician and specialist nurse evaluated PCC symptoms by conducting patient interviews, and medical history and physical status were recorded in an electronic medical database. In addition, patients completed a self-report symptom questionnaire as previously reported [11], with symptoms subjectively graded from 1 (mild) to 5 (severe). To be included in the PCC group, patients were required to have at least 2 points on the subjective symptom grading. Information on specific symptoms, disease severity, and recovery was collected, and study participants were categorized into 2 groups based on persisting neurocognitive symptoms at follow-up: PCC (ongoing neurocognitive sequelae) and COVID-controls (reporting full recovery). Using an advertisement on newspaper platforms, we recruited a control group of healthy, age-matched volunteers without known history of COVID-19 and with negative real-time polymerase chain reaction (RT-PCR) for SARS-CoV-2 RNA and serology if unvaccinated.

The study was conducted in accordance with the ethical principles set out in the Declaration of Helsinki. The study was approved by the Swedish Ethical Review Authority (2020-05050). All participants provided written informed consent.

### Virus Detection

SARS-CoV-2 infection was confirmed with RT-PCR analysis of nasal and throat swab specimens as previously reported [20]. SARS-CoV-2 RNA was analyzed by RT-PCR in CSF and plasma samples as previously described [17].

Detection of SARS-CoV-2 nucleocapsid and spike proteins was performed using MSD S-PLEX CoV-2 N and MSD S-PLEX CoV-2 assay kits (Meso Scale Discovery). The assays were run according to protocols in the kit package inserts (Supplementary Methods). The CSF and plasma samples were run undiluted (25  $\mu$ L per well). Sample quantitation was achieved using a calibration curve generated using a recombinant antigen standard. For graphing and analyses, any concentrations below the limit of detection (LOD) were assigned the LOD value, and any concentrations above the highest calibration standard were assigned its value. LOD values and assay cutoffs (concentrations used for classifying samples as antigen positive) were previously established [21]: N, LOD = 0.16 pg/mL, cutoff = 0.32 pg/mL; S, LOD = 0.28 pg/mL, cutoff = 0.41 pg/mL.

### Biomarker Analyses

Plasma and CSF were measured in single replicates on 19 MSD (Meso Scale Discovery) MULTI-ARRAY panels; 1 mL of plasma and 1 mL of CSF was available for the study. Each plate included an 8-point calibration curve in duplicates and quality control samples. Panels included commercially available S-PLEX, V-PLEX, U-PLEX, and R-PLEX panels and selected panels that are currently under development (see Supplementary Table 1, which also includes sample dilution factors). Assays were carried out according to the protocols in the kit packages ([www.mesoscale.com](http://www.mesoscale.com)) (Supplementary Methods). Autoantibodies to cytokines were measured by immobilizing cytokines on the carbon surface of 10-spot MULTI-ARRAY plates and detecting autoantibodies with a SULFO-TAG labeled anti-human Ig antibody.

C-reactive protein (mg/L) and lymphocyte count ( $\times 10^9$ /L) were measured by routine clinical methods in plasma. CSF analyses of white blood count, immunoglobulin G (IgG), and albumin concentrations were performed as previously described [17]. CSF  $\beta$ -2 microglobulin ( $\beta_2$ M) and neopterin concentrations were measured with the N Latex  $\beta_2$ M kit on the Atellica NEPH 630 System (Siemens Healthcare) and commercially available immunoassay (BRAHMS), respectively.

### Statistical Analyses

All biomarkers were analyzed on a log scale and PCC, COVID-19 controls, and healthy controls were compared using ANCOVA adjusting for sex and age. Principal component analysis (PCA) was performed on 3 sets of markers in CSF: cytokines ( $n = 18$ ), neuronal ( $n = 5$ ), and anti-cytokine autoantibodies ( $n = 8$ ). In each of these sets, ANCOVA adjusting for sex and age was performed on the first principal component (PCA1). All eligible individuals were included in the analysis; no statistical power calculation was performed.

## RESULTS

### Patient Characteristics

We recruited a total of 31 participants with confirmed COVID-19, comprising 17 (55%) men and 14 (45%) women. In addition, 17 healthy COVID-negative controls were included, of which 6 (35%) were men and 11 (65%) were women. PCC symptoms were observed in 25 of the participants (PCC group), while the remaining 6 participants had fully recovered from COVID-19 (COVID-19 controls). Table 1 shows the baseline characteristics of all study participants. Median age in the PCC groups was 50.0 years (interquartile range [IQR], 40.6–56.4 years), while in the COVID-19 control group it was 60.0 years (IQR, 54.6–64.7 years). Median age in the healthy control group was 54.7 years (IQR, 48.5–59.0 years). Time from COVID-19 symptom onset to follow-up study visit was 134 days (IQR, 104–268 days) for patients with PCC and 110 days (IQR, 110–112.5 days) for COVID-19 controls. Compared to the COVID-19 control group, patients in the PCC group ( $n = 25$ ) were younger, had more underlying comorbidities, and were mostly women.

Supplementary Table 2 shows the neurocognitive symptoms reported by participants in the PCC group during follow-up. Fatigue was the most frequently reported symptom, observed in 20 participants (80%). Changes in cognition, defined as

**Table 1. Patients' Characteristics (n = 48)**

Characteristic	PCC (n = 25)	COVID-19 Controls (n = 6)	Healthy Controls (n = 17)
Age, y, median (IQR)	50.2 (40.6–56.4)	59.9 (54.6–64.8)	54.7 (48.5–59)
Disease severity, No. (%)			
Mild	5 (20)	0 (0)	NA
Moderate	11 (44)	3 (50)	NA
Severe	9 (36)	3 (50)	NA
Sex, No. (%)			
Female	14 (56)	0 (0)	11 (65)
Male	11 (44)	6 (100)	6 (35)
Comorbidities, No. (%)			
Hypertension	5 (20)	2 (33)	1 (6)
Overweight/obesity	11 (44)	6 (100)	NA
BMI, median (IQR)	26.3 (24.3–31) <sup>a</sup>	29.6 (26.4–30.8) <sup>b</sup>	NA
Diabetes	3 (12)	1 (17)	0 (0)
Blood analysis, median (IQR)			
CRP, mg/L	98.5 (39–190) <sup>c</sup>	160 (152.5–167.5)	NA
Lymphocyte count 10 <sup>9</sup> /L	0.8 (0.7–1.4) <sup>d</sup>	0.7 (0.5–1.0)	NA
Vaccinated, No. (%)	14 (56) <sup>e</sup>	3 (50) <sup>e</sup>	9 (53) <sup>e</sup>

Abbreviations: BMI, body mass index; COVID-19, coronavirus disease 2019; CRP, C-reactive protein; IQR, interquartile range; NA, not applicable/unknown; PCC, post-COVID condition.

<sup>a</sup>BMI available in only 1/5 mild, 10/11 moderate, and in 7/7 severely ill participants.

<sup>b</sup>BMI available in 2/3 moderate and 3/3 severely ill participants.

<sup>c</sup>CRP not available in mild disease severity group.

<sup>d</sup>Lymphocyte count available in 1/5 mild, and all moderate and severely ill.

<sup>e</sup>Vaccination status not known in 9 participants in PCC group, 3 in COVID-19 controls, and 6 in healthy controls.

memory loss or changes in concentration, were reported by 16 participants (64%), and 7 (28%) reported experiencing brain fog. No participant reported hyposmia or dysgeusia during follow-up.

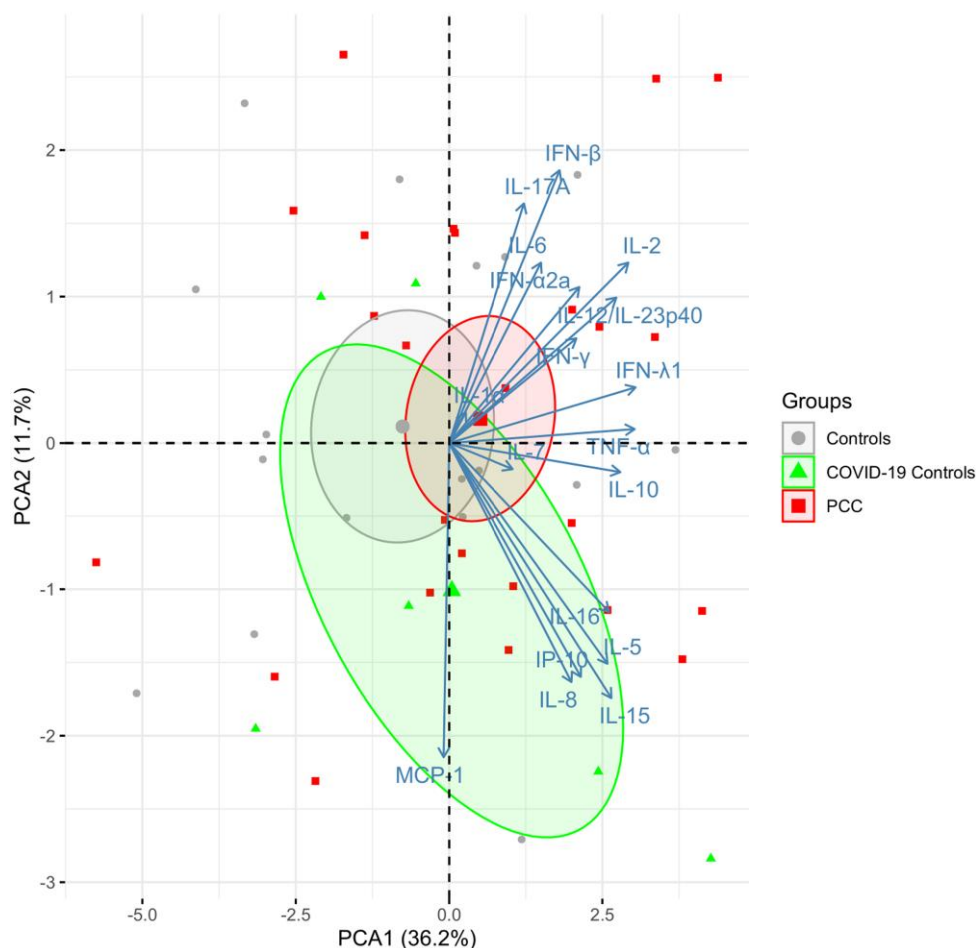
Table 1 displays the vaccination status of the participants. Among the PCC participants, 14 (56%) reported having received at least 1 dose of vaccine prior to sampling. The corresponding numbers for COVID-19 controls and healthy controls were 3 (50%) and 9 (53%), respectively. Whether participants were vaccinated against COVID-19 before symptom onset is unknown, but it is possible that they had not been vaccinated as the vaccine was introduced for their age group later in 2021.

### Biomarker Concentrations

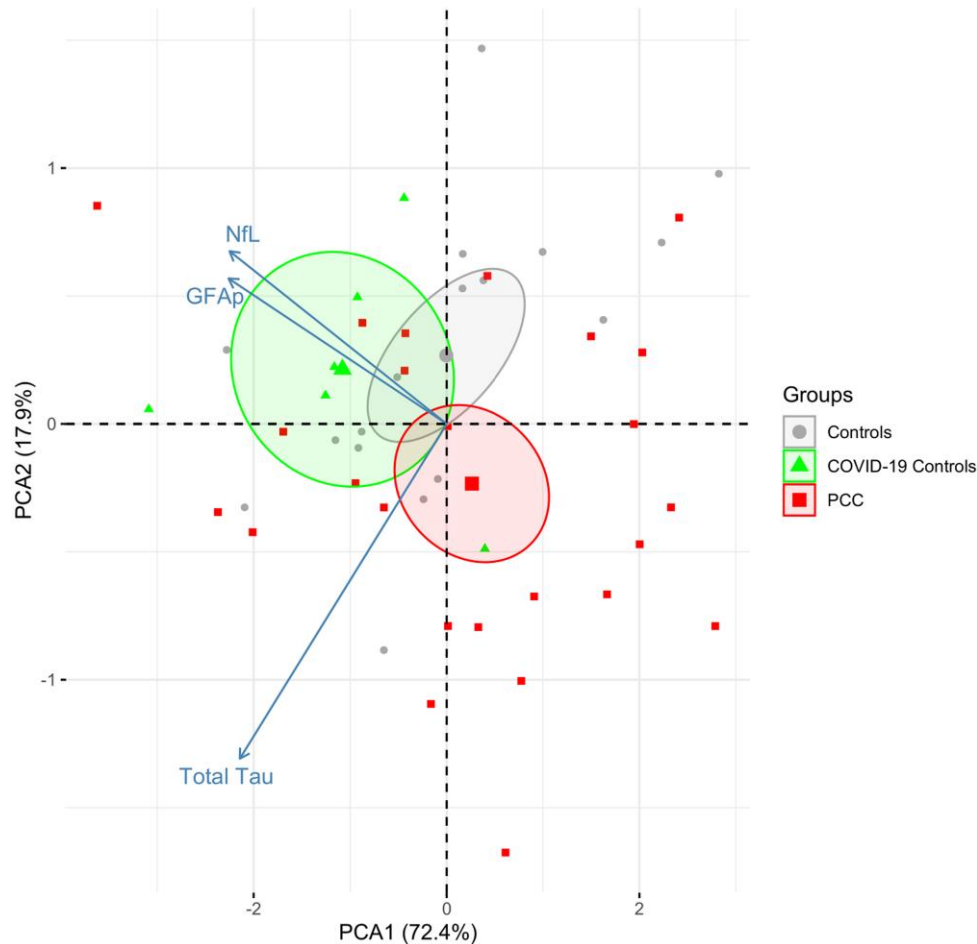
CSF testing did not detect SARS-CoV-2 N-Ag or spike antigen (S-Ag) in any of the groups during follow-up (Supplementary Table 3). In plasma, median concentrations of SARS-CoV-2 N-Ag were slightly above the limit of detection (0.16 pg/mL) in all groups, but the levels were below the diagnostic

positive/negative cutoff. No participant had pleocytosis, as demonstrated in Supplementary Table 3. Moreover, there were no significant differences in CSF/plasma albumin ratio, IgG index, neopterin,  $\beta_2M$ , or in CSF biomarkers of neuronal injury (NfL) and astrocytic damage (GFAP).

Plasma concentrations of interleukin 10 (IL-10), CSF and plasma IL-17A, plasma IL-16, plasma interferon- $\alpha$ 2a (IFN- $\alpha$ 2a) IgG, CSF IL-17F IgG, and plasma IL-17F IgG were significantly higher in the PCC group than in the healthy control group (nominal  $P < .05$ ). Plasma concentration of IL-8 and IFN- $\beta$  were significantly higher in the healthy control group than in the PCC group (nominal  $P < .05$ ). Except for these biomarkers, there were no significant differences in cytokine concentrations analyzed in plasma or CSF concentrations between the study groups (Supplementary Table 3). Furthermore, the PCA1 analysis did not indicate any significant differences between the study groups in the CSF cytokine marker sets (Figure 1), neuronal markers (Figure 2), or anti-cytokine autoantibodies (Figure 3).



**Figure 1.** Principal component analysis plot of 18 cytokines in CSF. The centroids for each group are indicated and surrounded with ellipses indicating 95% confidence intervals. No difference between PCC and controls for PCA1 ( $P = .24$ ). The percentages captured by principal components 1 and 2 are indicated. Abbreviations: COVID-19, coronavirus disease 2019; IFN, interferon; IL, interleukin; IP-10, inducible protein-10; MCP-1, monocyte chemoattractant protein-1; PCA, principal component analysis; PCC, post-COVID condition; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ .



**Figure 2.** Principal component analysis plot of 5 neurobiomarkers in CSF. The centroids for each group are indicated and surrounded with ellipses indicating 95% confidence intervals. No difference between PCC and controls for PCA1 ( $P = .61$ ). The percentages captured by principal components 1 and 2 are indicated. Abbreviations: COVID-19, coronavirus disease 2019; GFAP, glial fibrillary acidic protein; NfL, neurofilament light-chain; PCA, principal component analysis; PCC, post-COVID condition.

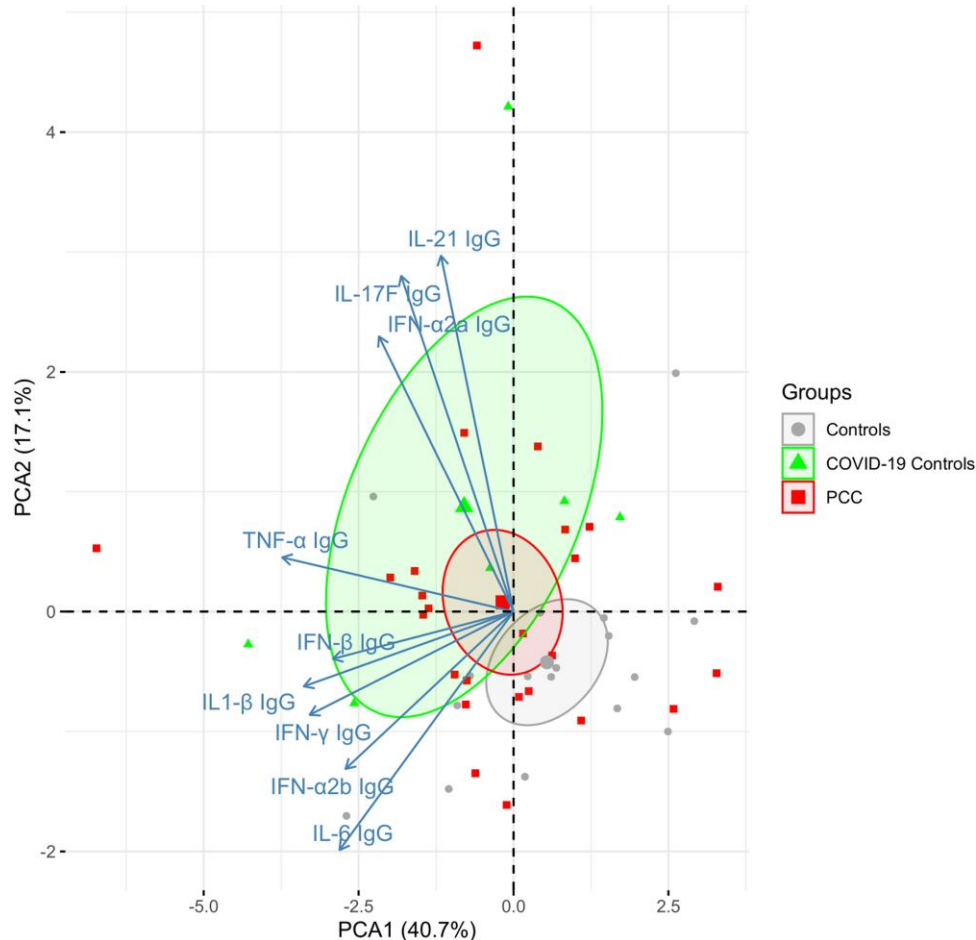
## DISCUSSION

In this study, we have investigated COVID-19 patients with and without neurological/neurocognitive sequelae at  $\geq 3$  months follow-up and compared them to healthy controls. We did not detect any signs of residual viral infection, intrathecal immune activation, CNS injury, or humoral response in either plasma or CSF in any of the groups, including patients with neurological sequelae.

Studies have identified SARS-CoV-2 N-Ag in CSF during the acute phase of COVID-19 infection, even in the absence of SARS-CoV-2 RNA with an ongoing intrathecal immune activation [14, 17, 18, 22]. While a recent brain autopsy study detected SARS-CoV-2 RNA in 10 out of 11 cases [16], the detection of viral RNA in other studies has been infrequent and subject to debate [16, 23–25]. Additionally, immune cell activation, which induces a proinflammatory state in the CNS, is believed to contribute to neuroaxonal damage during the acute phase of COVID-19. This is suggested by the concurrent increase in

CSF cytokines and NfL levels. Importantly, such findings are not exclusive to COVID-19 infection and have been reported in other infections [26].

PCC refers to the persistence of symptoms or new symptoms that last for more than 30 days after SARS-CoV-2 infection and can continue for months beyond the acute phase [27]. Our group of PCC patients exhibited 1 or more self-reported neurological or neurocognitive symptoms, such as fatigue, brain fog, and tiredness, 4 months after the acute infection. However, no indication of increased biomarkers of neurological damage or cytokines/chemokines were identified despite the presence of neurological/cognitive sequelae. Additionally, no detectable SARS-CoV-2 N-Ag or S-Ag were found in CSF or plasma during follow-up in any of the groups, indicating the absence of ongoing viral infection. These results are consistent with a recent autopsy study that did not detect SARS-CoV-2 RNA in the brain tissue of deceased COVID-19 patients [28]; however, these findings are still a matter of debate. In a recent study,



**Figure 3.** Principal component analysis plot of 8 anti-cytokine autoantibodies in CSF. The centroids for each group are indicated and surrounded with ellipses indicating 95% confidence intervals. No difference between PCC and controls for PCA1 ( $P = .22$ ). The percentages captured by principal components 1 and 2 are indicated. Abbreviations: COVID-19, coronavirus disease 2019; IFN, interferon; IgG, immunoglobulin G; IL, interleukin; PCA, principal component analysis; PCC, post-COVID condition; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ .

SARS-CoV-2 S-Ag was found in the plasma of 31 PCC patients 12 months after acute infection and SARS-CoV-2 N-Ag was only found in a single patient at multiple time points [29]; importantly, the study did not include CSF analyses or COVID-19–negative controls. Thus, the importance of detecting viral antigens in this context remains uncertain and warrants further investigation in larger cohorts and demographically matched controls.

In our analysis, no biomarker abnormalities were detected in either plasma or CSF for any of the 3 groups, including the PCC group. These results stand in contrast to recent reports that have indicated signs of immune activation in serum during follow-up of individuals with prior COVID-19 [30–33]. In these studies, proinflammatory markers such as IFN- $\beta$ , IFN- $\gamma$ , C-X-C motif chemokine ligand 9 (CXCL9), CXCL19, and IL-8 were examined at 4 months follow-up, and both the PCC and COVID-19 control groups demonstrated higher inflammatory markers compared to healthy controls [30].

Conversely, earlier research has shown high levels of proinflammatory markers in the CSF of COVID-19 patients with neurological symptoms up to 2 months following the acute infection, including increased levels of IL-6, IL-8, IL-10, and IFN- $\gamma$  [14, 22, 34, 35].

There are several potential explanations for the differences in our findings, including methodological variations in serum biomarker analyses across cohorts. Additionally, one of the studies demonstrating increased proinflammatory markers in plasma included a cohort with ongoing cancer that had metastasized to the brain, which is known to increase the systemic proinflammatory burden [34]. On the other hand, successful clearance of crucial proinflammatory markers in the CSF may also account for these discrepancies. Notably, no increase in concentrations of neuronal and astrocytic injury biomarkers (NfL and GFAP) were found in the CSF during follow-up, which is consistent with our prior results from plasma analyses in a partly overlapping cohort [11].

Our study has several noteworthy strengths. We recruited patients with varying degrees of initial COVID-19 severity, ranging from mild to critical disease. Notably, we performed both CSF and serum analyses in all study participants, providing us with a comprehensive assessment of biomarker profiles across patient groups. However, the study also has important limitations. First and foremost, despite having a relatively large sample size for a study involving CSF analyses, our sample size was limited, particularly for the COVID-19 control group, which did not include any female participants, limiting the generalizability of our findings. Second, the study was cross-sectional, and lacked longitudinal sampling. Third, the assessment of neurocognitive sequelae relied on interviews and nonvalidated self-reported questionnaires, preventing a reliable grading of PCC symptoms severity. Finally, we did not include any subjects who exhibited indications of severe neurocognitive sequelae.

In conclusion, our study did not find any evidence of ongoing viral replication, immune, or inflammatory activation in the plasma or CSF in patients with PCC, irrespective of their acute COVID-19 infection severity, when compared to COVID-19 controls without PCC or healthy volunteers. Our results suggest that the pathogenesis of neurocognitive PCC may be related to events that occurred during acute phase of SARS-CoV-2 infection (including the presence of CNS inflammation during acute infection described previously), rather than a consequence of persistent viral CNS infection or residual CNS immune activation. These observations have important potential implications for future studies of pathogenesis as well as potential therapeutic interventions in relation to neurocognitive sequelae after COVID-19. However, further studies are needed to investigate pathogenetic mechanisms involved in PCC, whether specific to SARS-CoV-2 infection or infectious diseases in general.

### Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

### Notes

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**Potential conflicts of interest.** K. B. has served as a consultant and at advisory boards for Acumen, ALZPath, BioArctic, Biogen, Eisai, Julius Clinical, Lilly, Novartis, Ono Pharma, Prothena, Roche Diagnostics, and Siemens Healthineers; served at data monitoring committees for Julius Clinical, and Novartis; given lectures, produced educational materials, and participated in educational programs for Biogen, Eisai, and Roche Diagnostics; and is a cofounder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program, outside the work presented in this paper. H. Z. has served at scientific advisory boards and/or as a consultant for Abbvie, Acumen, Alector, Alzinova, ALZPath, Annexon, Apellis, Artery Therapeutics, AZTherapies, CogRx, Denali, Eisai, Nervgen, Novo Nordisk, Optoceutics, Passage Bio, Pinteon Therapeutics, Prothena, Red Abbey Labs, reMYND, Roche, Samumed, Siemens Healthineers, Triplet Therapeutics, and Wave; given lectures in symposia sponsored by Cellectricon, Fujirebio, Alzecure, Biogen, and Roche; and is a cofounder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program (outside submitted work). A. E. has received lecture honoraria from Gilead. M. G. has received research grants from Gilead Sciences and honoraria as speaker, DSMB committee member, and/or scientific advisor from Amgen, AstraZeneca, Biogen, Bristol-Myers Squibb, Gilead Sciences, GlaxoSmithKline/ViiV, Janssen-Cilag, MSD, Novocure, Novo Nordic, Pfizer, and Sanofi. All other authors report no potential conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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