



# Retinoic acid receptor $\alpha$ activity in proximal tubules prevents kidney injury and fibrosis

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Chronic kidney disease (CKD) is characterized by a gradual loss of kidney function and affects ~13.4% of the global population. Progressive tubulointerstitial fibrosis, driven in part by proximal tubule (PT) damage, is a hallmark of late stages of CKD and contributes to the development of kidney failure, for which there are limited treatment options. Normal kidney development requires signaling by vitamin A (retinol), which is metabolized to retinoic acid (RA), an endogenous agonist for the RA receptors (RAR $\alpha$ ,  $\beta$ ,  $\gamma$ ). RAR $\alpha$  levels are decreased in a mouse model of diabetic nephropathy and restored with RA administration; additionally, RA treatment reduced fibrosis. We developed a mouse model in which a spatiotemporal (tamoxifen-inducible) deletion of RAR $\alpha$  in kidney PT cells of adult mice causes mitochondrial dysfunction, massive PT injury, and apoptosis without the use of additional nephrotoxic substances. Long-term effects (3 to 4.5 mo) of RAR $\alpha$  deletion include increased PT secretion of transforming growth factor  $\beta$ 1, inflammation, interstitial fibrosis, and decreased kidney function, all of which are major features of human CKD. Therefore, RAR $\alpha$ 's actions in PTs are crucial for PT homeostasis, and loss of RAR $\alpha$  causes injury and a key CKD phenotype.

kidney disease | retinoic acid | proximal tubule | fibrosis | mitochondria

Chronic kidney disease (CKD) has a global prevalence of 13.4% and is characterized by a gradual loss of kidney function persisting longer than 3 mo (1). The main pathological features of CKD include glomerulosclerosis, tubular atrophy, and a high degree of tubulointerstitial fibrosis (2). CKD is divided into five stages based on glomerular filtration rate and albuminuria, with stage 5 indicating progression to end-stage renal disease (3). People with CKD are 5 to 10 times more likely to die from a comorbidity, such as cardiovascular disease, before reaching stage 5 (2, 4). With early interventions, such as lifestyle changes and medications that regulate blood pressure and glucose levels, it may be possible to slow CKD progression (1, 3). However, over 90% of those affected are asymptomatic and unaware that they have CKD until their kidneys have become irreversibly fibrotic (5). At this stage, many patients must undergo dialysis or kidney replacement, as there are very few approved drugs that treat renal fibrosis.

The onset of CKD can follow an acute kidney injury (AKI), particularly involving the proximal tubules (PTs), caused by ischemia, obstruction, or toxic chemicals (6). In fact, the severity and frequency of PT injury influences progression to CKD (7). Following injury, surviving PT cells enter a repair cycle which leads to transient production and secretion of profibrotic growth factors, such as TGF- $\beta$ 1 (transforming growth factor  $\beta$ 1), to promote wound healing via fibroblast proliferation and extracellular matrix (ECM) secretion (8, 9). In instances of sustained injury, prolonged secretion of TGF- $\beta$ 1 causes the trans-differentiation of residential fibroblasts into myofibroblasts (10, 11). The activation of myofibroblasts is a major driver of fibrosis through the overproduction of ECM (7). Prolonged PT injury also results in the infiltration of inflammatory cells, such as macrophages, another source of fibroblast priming and growth factor secretion (8, 12). Collectively, these and related data indicate that repeated PT injury results in interstitial fibrosis, a major hallmark of CKD (13, 14).

Vitamin A (retinol, VA), a micronutrient/vitamin obtained from food, can be metabolized to retinaldehyde, which can further be metabolized to all-trans retinoic acid (RA), an endogenous agonist for the RA receptors (RARs)  $\alpha$ ,  $\beta$ ,  $\gamma$ , members of the family of nuclear receptors which act as transcription factors (15, 16). Proper RA signaling is critical for stem cell differentiation and other developmental processes (17, 18). In addition, VA plays a major role in the regeneration of PTs after external injury using agents such as diphtheria toxin (19). Retinoid levels in serum and kidney cortices are known to be altered during the onset of CKD (17, 20). The activity of RAR $\alpha$  is required for kidney development and RAR $\alpha$  expression is decreased in kidney cortices of mice with diabetic nephropathy (DN) and restored upon RA administration (21, 22). Nakamura et al. showed that

## Significance

Progressive renal fibrosis is a hallmark of late stages of chronic kidney disease (CKD), contributing to the development of kidney failure. Slowing or reversing fibrosis could potentially return CKD kidneys to a healthy state. However, there are few US Food and Drug Administration (FDA)-approved drugs that treat renal fibrosis. Our data indicate that (retinoic acid receptor) RAR $\alpha$ 's actions in proximal tubules (PTs) are crucial for PT homeostasis and that selective loss of RAR $\alpha$  in PTs leads to inflammation and fibrosis. Therapies that selectively activate RAR $\alpha$  in the kidney could maximize anti-fibrotic effects in renal tissue while reducing potential side effects.

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The authors declare no competing interest.

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shortly after injury-induced activation of renal myofibroblasts, these myofibroblasts transiently acquired the ability to synthesize RA via an increase in expression of the enzyme ALDH1a2 (RALDH2), which converts retinaldehyde to RA (19), demonstrating a link between RA and attenuation of fibrosis.

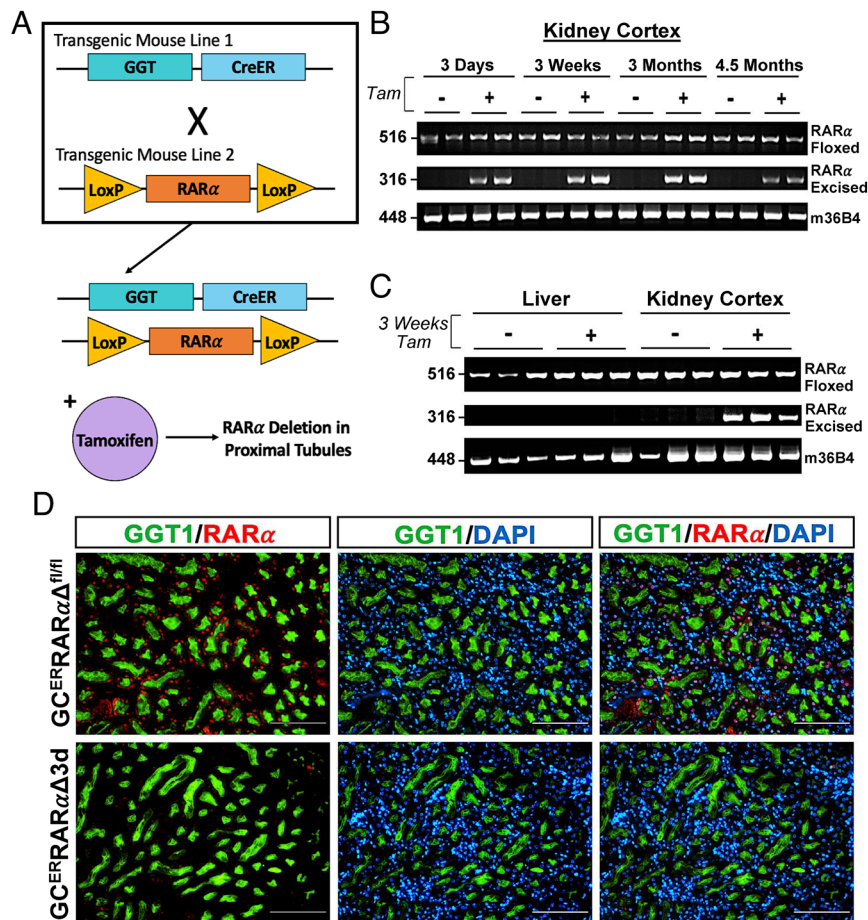
Here, we delineate the functions of RAR $\alpha$  in PT cells and show that a functional RAR $\alpha$  is necessary for PT cell survival, as RAR $\alpha$  deletion specifically in PT cells of adult mice causes PT injury and progressive fibrosis. These findings implicate RAR $\alpha$  as a potential therapeutic target to protect against or limit the damaging effects of renal injury and fibrosis.

## Results

**Generation of Tamoxifen-Inducible, PT-Specific RAR $\alpha$  Knockout (KO) Mice.** To create this model, we first developed a transgenic mouse line with a tamoxifen (tam)-inducible Cre recombinase (CreER) under a truncated ( $\gamma$ -glutamyl transferase 1) GGT1 promoter (abbreviated GGT-CreER) (*SI Appendix, Fig. S1*). GGT1 is specifically active in segment 1 of kidney PT cells (23, 24). To verify the specificity of this GGT1 promoter, we crossed the GGT-CreER line with ROSA transgenic mice [B6.129S4-Gt (ROSA)26Sortm1Sor/]; Jackson Labs] and confirmed that, after tam-injections, lacZ was expressed in PT cells of the kidney cortex, as shown by blue X-gal staining (*SI Appendix, Fig. S2*). We then crossed the GGT-CreER line with mice containing a floxed RAR $\alpha$  gene (25) (GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup>) (Fig. 1A)

and demonstrated via semi-qPCR that RAR $\alpha$  KO (GC<sup>ER</sup>RAR $\alpha$  $\Delta$ ) in the kidney cortex occurs after a 2-d treatment of adult mice with tamoxifen (tam); RAR $\alpha$  knockout (KO) is detectable as early as 3 d post-tam (Fig. 1B and *SI Appendix, Fig. S3*). We verified that tam-injections induced RAR $\alpha$  KO in the kidney cortex and not in other tissues such as the liver (Fig. 1C and *SI Appendix, Fig. S4*). Additionally, we sequenced the 316 bp excised band (*SI Appendix, Fig. S5*) and verified that it aligned with RAR $\alpha$  cDNA (NM\_001361954.1) and that the “KO region” was located within exon 4 [referred to as exon 8 by Chapellier et al. (25) using older nomenclature], which contains the DNA binding domain (*SI Appendix, Fig. S6*). To assess RAR $\alpha$  protein, we stained kidney sections from GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> and GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice. While we observed nuclear RAR $\alpha$  staining in >95% of PT cells in GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> kidneys, we detected no RAR $\alpha$  protein in >95% of GGT1-expressing PT cells of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  kidneys 3 d post-tam treatment (Fig. 1D). Any potential remaining protein was undetectable by immunofluorescence.

**RAR $\alpha$  Expression Is Essential for PT Homeostasis.** RA influences expression of genes involved in fibrosis, proliferation, inflammation, cell differentiation, and apoptosis (26, 27). We observed that, compared to WT, GC<sup>ER</sup>RAR $\alpha$  $\Delta$  PT cells exhibited a 119-fold ( $P < 0.0001$ ) increase in apoptosis at 3 d post-tam; apoptosis subsequently decreased by 12.5-fold ( $P < 0.0001$ ) at 3 mo post-tam compared to 3 d post-tam (Fig. 2A and B). In response to injury,

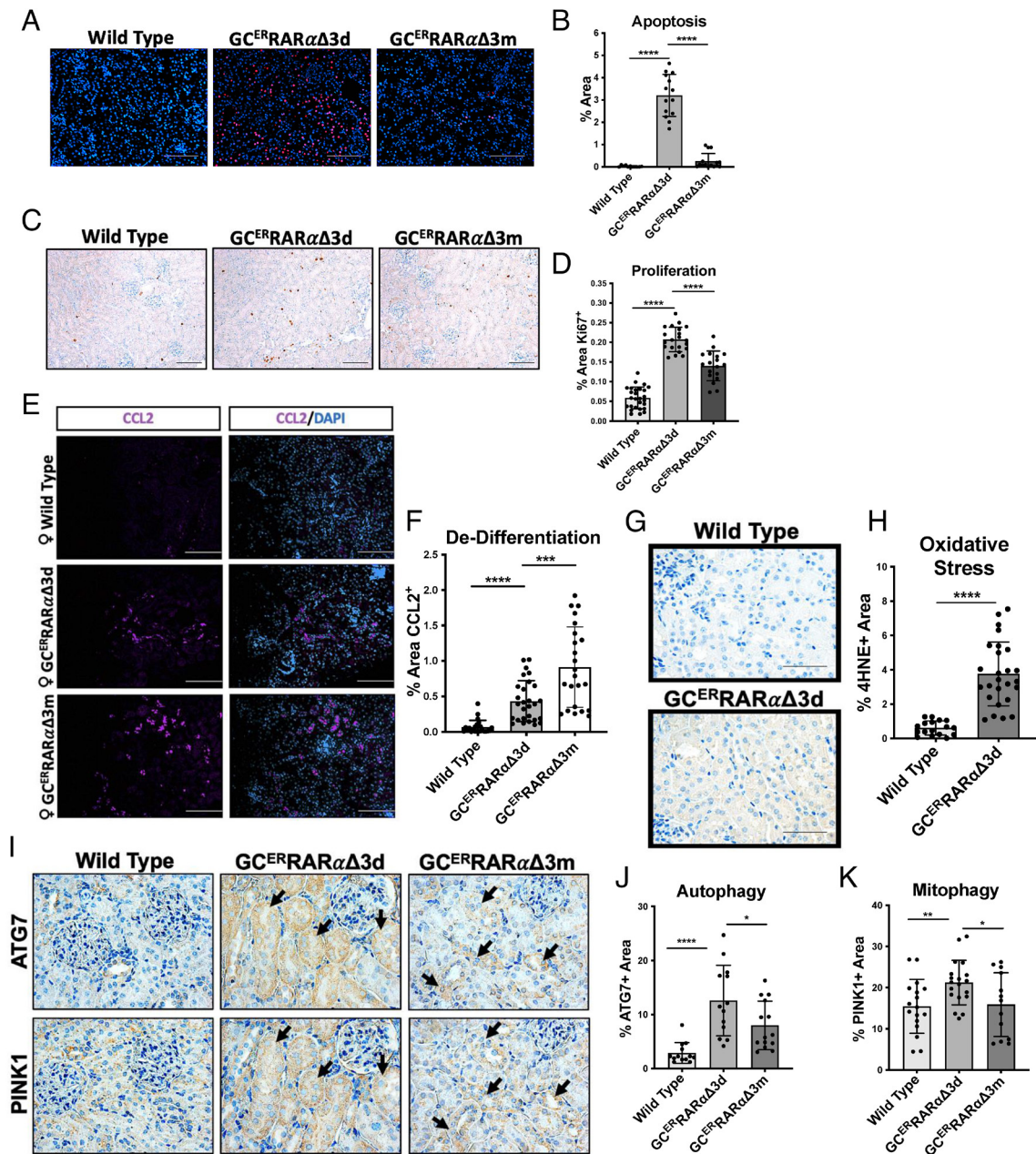


**Fig. 1.** Generation of tamoxifen-inducible, PT-specific RAR $\alpha$  KO mice. (A) Schematic of the mouse model. (B) Verification of RAR $\alpha$  excision at various time points post-tamoxifen injection; semi-quantitative PCR of genomic DNA ( $n = 2$ /group) from kidney cortices of GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> females with (+) or without (-) tamoxifen that were killed 3 d, 3 wk, 3 mo, or 4.5 mo post-injection. m36B4 utilized as internal control. (C) Verification of tissue-specific RAR $\alpha$  excision; semi-quantitative PCR of genomic DNA ( $n = 3$ /group) from liver and kidney cortices of GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> females with (+) or without (-) tamoxifen that were killed 3 wk post-injection. (D) Representative images (8 fields/mouse) of RAR $\alpha$  (TXRED) and GGT1 (GFP) co-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 d post-tamoxifen and age-matched GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> females ( $n = 3$ /group). Magnification 200 $\times$ . (Scale bar, 100  $\mu$ m.)

PTs enter a repair pathway to restore proper nephron structure (28). This pathway involves surviving PT cells de-differentiating, during which they express CC chemokine ligand 2 (CCL2), followed by rapid proliferation, indicated by Ki67 expression, and re-differentiation (11, 29, 30). In  $GC^{ER}RAR\alpha\Delta$  cortices 3 d post-tam compared to WT, proliferation increased by 3.6-fold ( $P < 0.0001$ ) in PT cells, as identified by tubules with cuboidal epithelial cells containing abundant cytoplasm and an identifiable brush border (31); proliferation then decreased by 1.5-fold ( $P < 0.0001$ ) in  $GC^{ER}RAR\alpha\Delta$  kidneys 3 mo post-tam compared with  $GC^{ER}RAR\alpha\Delta$  mice 3 d post-tam (Fig. 2 C and D). This increase in

proliferation at 3 d post-tam is seen only in females (*SI Appendix, Figs. S7 and S8*).

Additionally, we observed progressive de-differentiation of PTs post-deletion of  $RAR\alpha$ , as measured by a 6.4-fold increase ( $P < 0.0001$ ) of CCL2 in  $GC^{ER}RAR\alpha\Delta$  cortices 3 d post-tam vs. WT; CCL2 increased an additional 2.1-fold ( $P < 0.001$ ) by 3 mo post-tam (Fig. 2 E and F). There was no overlap of CCL2 staining with Lotus tetragonolobus lectin (LTL) (*SI Appendix, Figs. S9 and S12*), a marker of segments 1 to 3 of mature PTs (11) that is still expressed at comparable levels in wild-type and  $GC^{ER}RAR\alpha\Delta$  mice 3 d post-tam (*SI Appendix, Fig. S10*), thus indicating two



**Fig. 2.**  $RAR\alpha$  expression is essential for PT homeostasis, and the deletion of  $RAR\alpha$  in PTs leads to mitochondrial distress. (A) Representative images (4 to 5 fields/mouse) of TUNEL-stained kidney cortices from  $GC^{ER}RAR\alpha\Delta$  females 3 d and 3 mo post-tamoxifen and wild-type females age matched to the  $GC^{ER}RAR\alpha\Delta$  3 mo post-tamoxifen group ( $n = 3/\text{group}$ ). (B) Quantification of % area fluorescence from TUNEL+ cells. (C) Representative images (6 to 9 fields/mouse) of Ki67-stained kidney cortices from mice described in (A). (D) Quantification of Ki67+ % area. (E) Representative images (7 to 9 fields/mouse) of CCL2-stained kidney cortices from mice described in (A). (F) Quantification of CCL2+ % area. (G) Representative images (6 to 9 fields/mouse) of 4-HNE-stained kidney cortices from  $GC^{ER}RAR\alpha\Delta$  females 3 d post-tamoxifen and age-matched wild-type females ( $n = 3/\text{group}$ ). (H) Quantification of 4-HNE+ % area. (I) Representative images (6 to 9 fields/mouse) from similar areas of ATG7 and PINK1 stained kidney cortices from mice described in (A). (J) Quantification ATG7+ % area. (K) Quantification of PINK1+ % area. Magnification 200 $\times$  (A, C, and E) with a 100- $\mu\text{m}$  scale bar or 600 $\times$  (G and I) with a 50- $\mu\text{m}$  scale bar. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , and \*\*\*\* $P < 0.0001$ .

distinct populations of differentiated and de-differentiated PT cells in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice. Co-staining of CCL2 with Ki67 revealed that 52% of the proliferating cells in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  cortices 3 d post-tam were de-differentiated PTs (SI Appendix, Figs. S9 and S11), indicating that PTs were undergoing repair.

We sought to discern whether PTs in the repair cycle were RAR $\alpha$  positive or negative and observed that the majority of CCL2+ tubules were RAR $\alpha$  negative in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 d post-tam (SI Appendix, Fig. S12). Collectively, these data suggest the surviving PTs of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice enter a tubular repair pathway in response to injury caused by RAR $\alpha$  deletion.

### The Deletion of RAR $\alpha$ in PTs Leads to Mitochondrial Distress.

We next aimed to understand how RAR $\alpha$  deletion was causing PT injury, as indicated by apoptosis. One of the numerous signaling pathways involved in apoptosis is oxidative stress, which can be detected through an increase in the lipid peroxidation product 4-hydroxy-2-nonenal (4HNE) (32, 33). We observed a 6.1-fold ( $P < 0.0001$ ) increase in 4HNE level in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 d post-tam compared to WT (Fig. 2 G and H), suggesting that oxidative stress is one of the initial responses to RAR $\alpha$  deletion in PTs. This increase in oxidative stress at 3 d post-tam is seen only in females (SI Appendix, Figs. S13 and S14). In cases of AKI, the autophagy pathway, assessed here by expression of autophagy related 7 (ATG7), prevents accumulation of damaged organelles and degeneration of PTs (34, 35). Dysfunctional mitochondria, which have impaired degradation of PTEN-induced kinase 1 (PINK1), are removed via mitophagy, a selective form of autophagy (36). We observed 4.4-fold ( $P < 0.0001$ ) and 1.4-fold ( $P < 0.01$ ) increases in ATG7 and PINK1, respectively, in PTs of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 d post-tam compared to WT (Fig. 2 I–K). These increases at 3 d post-tam were followed by a 1.7-fold ( $P < 0.05$ ) decrease of ATG7 and a 1.3-fold ( $P < 0.05$ ) decrease of PINK1 in PTs of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice at 3 mo post-tam. Interestingly, we noted that the majority of ATG7+ cells also expressed PINK1 in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  kidneys (Fig. 2I, black arrows), indicating that autophagy was specific to PT cells with damaged mitochondria.

**RAR $\alpha$  Expression Maintains Mitochondrial Structural Integrity in PT Cells.** To further investigate the role of RAR $\alpha$  in mitochondrial health, we evaluated GC<sup>ER</sup>RAR $\alpha$  $\Delta$  PTs at a higher magnification utilizing transmission electron microscopy (TEM). We observed increased spaces between microvilli (Fig. 3A, yellow arrows), indicating brush border loss, massively swollen mitochondria with disrupted inner membrane folding (cristae) (Fig. 3A, black asterisks), and accumulation of RNA granules appearing on mitochondria (Fig. 3A, white arrows), which collectively indicate tubule injury and major mitochondrial damage (37, 38). In contrast, mitochondria of WT PT cells showed normal mitochondrial morphology (Fig. 3A, blue asterisks). Further analysis indicated that mitochondria of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 4.5 mo post-tam were 2.9-fold ( $P < 0.0001$ ) larger (Fig. 3B), with a twofold ( $P < 0.0001$ ) decreased cristae volume density (Fig. 3C), compared to age-matched WT. These data show that RAR $\alpha$  expression in PTs contributes to the structural integrity of mitochondria.

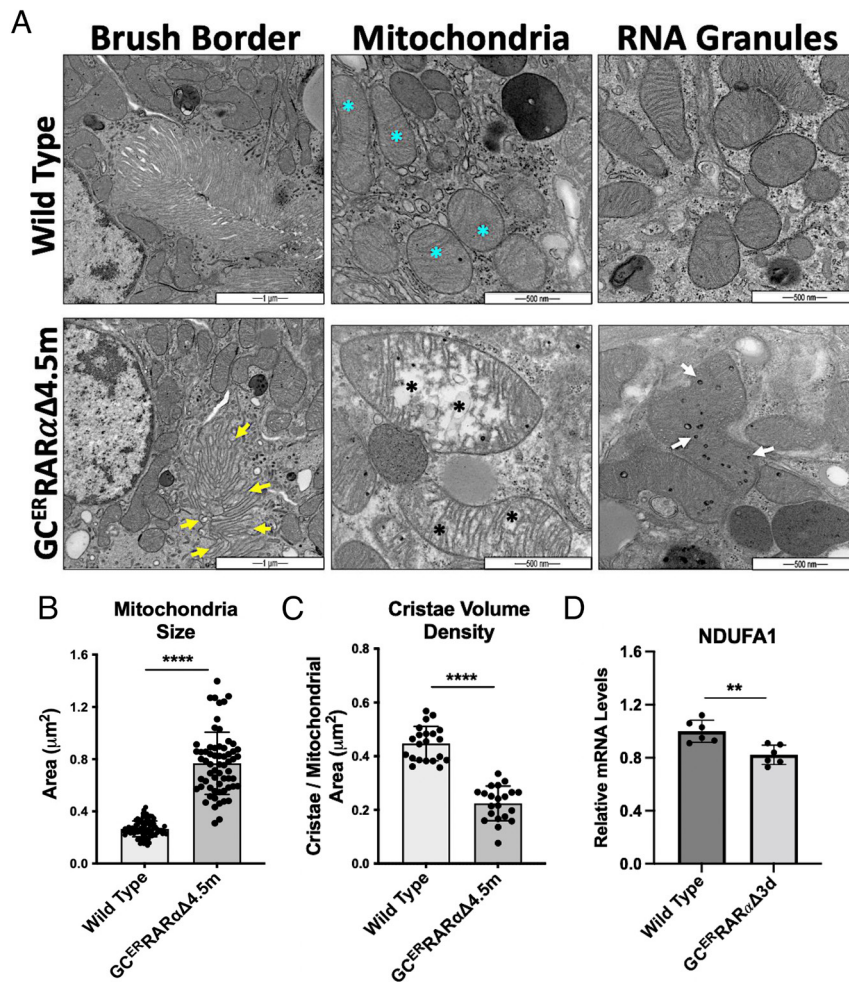
Cristae structure is important for energy production of the mitochondria because it is the location of the electron transport respiratory complexes (I–V), which generate ATP through oxidative phosphorylation (39). Swelling of the mitochondria and cristae disruption have been correlated with respiratory complex deficiencies, particularly of complex I in kidney pathologies (40, 41). Complex I consists of at least 44 subunits and 14 assembly factors and mutations in any of these proteins can cause complex I deficiency (42). We observed that mRNA levels of NDUFA1, a crucial

component of complex I assembly (43), were decreased 17.8% ( $P < 0.01$ ) in kidney cortices of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 d post-tam compared to WT (Fig. 3D). Collectively, these data suggest that one of the mechanisms by which RAR $\alpha$  deletion in PTs leads to mitochondrial damage is by affecting complex I assembly.

To further investigate whether RAR $\alpha$  deletion and decreased NDUFA1 reduce mitochondrial function, we utilized an immortalized PT cell line (HK-2) (44) with a CRISPR/Cas9 KO of RAR $\alpha$  (SI Appendix, Fig. S15). This cell line allowed us to observe mitochondrial activity in real time while avoiding measuring the effects of other cell types in cortical tissue. Additionally, the HK-2 RAR $\alpha$  KO cells had a 20% decrease in complex I protein NDUFB8 level, when compared to HK-2 parental control cells (SI Appendix, Fig. S16), showing that RAR $\alpha$  deletion also reduces the expression of complex I components in our in-vitro model. We utilized a Seahorse Extracellular Flux (XFe96) Analyzer to test for mitochondrial stress and observe mitochondrial activity and energetics (SI Appendix, Fig. S17). Compared to HK-2 parental cells, the HK-2 RAR $\alpha$  KO cells had a 40.7% ( $P < 0.0001$ ) lower basal respiration rate (SI Appendix, Fig. S18). We next evaluated spare capacity, which indicates the cell's adaptability to stress or changes in energy demand, as decreases in spare capacity may indicate decreased structural and/or ETC integrity of the mitochondria (45), and we detected a 47.8% ( $P < 0.0001$ ) decrease in spare capacity in HK-2 RAR $\alpha$  KO cells vs. HK-2 parental cells (SI Appendix, Fig. S19). Our data indicate that loss of RAR $\alpha$  in PT cells decreases mitochondrial function and energetics.

**PT-Specific Loss of RAR $\alpha$  Leads to Prolonged Kidney Injury and Development of CKD.** We next assessed the long-term effects of RAR $\alpha$  deletion on PT cells. Kidney injury and/or dysfunction lasting 3 or more months is considered chronic (1); therefore, we chose 3- and 4.5-mo time points post-tam to evaluate the prolonged effects of RAR $\alpha$  KO in PTs. We observed a twofold ( $P < 0.01$ ) decrease in LTL (11) staining in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males vs. GC<sup>ER</sup>RAR $\alpha$ <sup>fl/fl</sup> males at 2 mo post-tam; there was no significant change between female groups (Fig. 4 A and B). By 3 mo post-tam, we detected a 3.6-fold ( $P < 0.0001$ ) decrease of LTL expression in male GC<sup>ER</sup>RAR $\alpha$  $\Delta$  vs. GC<sup>ER</sup>RAR $\alpha$ <sup>fl/fl</sup> mice and a 2.4-fold decrease ( $P < 0.0001$ ) in LTL expression in female GC<sup>ER</sup>RAR $\alpha$  $\Delta$  vs. GC<sup>ER</sup>RAR $\alpha$ <sup>fl/fl</sup> mice (Fig. 4C). While both male and female GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice showed a decrease in LTL+ tubules, GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females additionally displayed increases of interstitial spaces between LTL+ tubules over time. The expression of kidney injury molecule-1 (KIM-1), a transmembrane glycoprotein also known as hepatitis A virus cellular receptor 1, greatly increases in PT cells after kidney injury (46). We observed a 11.9-fold ( $P < 0.0001$ ) increase in KIM-1 in PT cells of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 mo post-tam vs. WT (Fig. 4 D and E). Prolonged tubular injury is often associated with a loss of brush border and vacuolization of PT epithelium (47–49). We detected evidence of brush border loss (Fig. 4F, black arrows) in PTs of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tam by utilizing a periodic acid–Schiff (PAS) stain to accentuate matrix and basement membrane constituents (50). In contrast, brush border remained intact in WT. Thus, RAR $\alpha$  deletion in PTs of adult mice is sufficient to cause prolonged kidney injury.

**The Deletion of RAR $\alpha$  in PTs Does Not Cause Injury in the Glomeruli or Distal Tubules.** To determine whether PT-specific RAR $\alpha$  deletion caused injury in additional renal cell types, we examined neighboring cortical cells. We measured the sizes of glomeruli in the kidney cortices previously stained with PAS and did not note any changes in glomerular sizes in WT compared to GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice 3 mo post-tam (Fig. 4 G and H). We also



**Fig. 3.** RAR $\alpha$  expression maintains mitochondrial integrity and function in PT cells. (A) Representative images (15 fields/mouse) of TEM of GC<sup>ER</sup>RAR $\alpha$ Δ females 4.5 mo post-tamoxifen and age-matched wild-type females (n = 3/group). (B) Quantification of mitochondrial size (μm<sup>2</sup>) (n = 26 mitochondria/group). (C) Quantification of cristae volume density (μm<sup>2</sup>) calculated by dividing the total area of cristae per mitochondria by the total area of mitochondria (n = 7 mitochondria/group). (D) Relative mRNA levels by qRT-PCR of NDUFA1 from kidney cortices of GC<sup>ER</sup>RAR $\alpha$ Δ females 3 d post-tam and age-matched wild-type females (n = 6/group). TEM magnification at 20,000 $\times$  (1 μm scale bar) for brush border images and 50,000 $\times$  (500 nm scale bar) for mitochondria and RNA granule images (A). \*\*P < 0.01 and \*\*\*\*P < 0.0001.

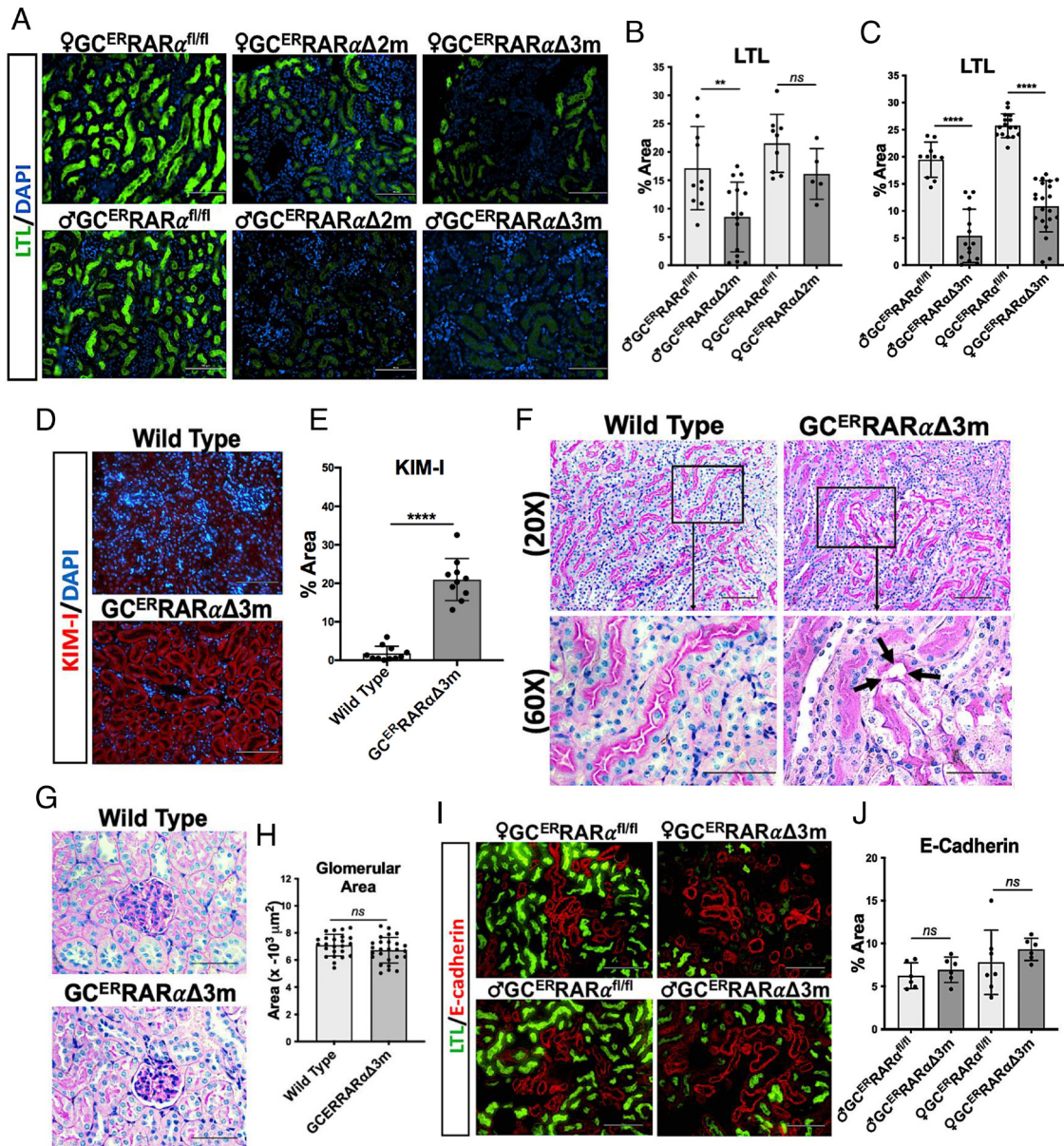
did not detect changes in the percentages of cells stained with E-cadherin, a distal tubule marker (51), in GC<sup>ER</sup>RAR $\alpha$ Δ 3 mo post-tam vs. GC<sup>ER</sup>RAR $\alpha$ <sup>f/f</sup> mice (Fig. 4 I and J). These data indicate that PT cells are injured in GC<sup>ER</sup>RAR $\alpha$ Δ mice without apparent injury to other cortical cell types, such as the distal tubules and glomeruli.

**Loss of RAR $\alpha$  in PTs Leads to an Increase in TGF- $\beta$ 1 Expression and Interstitial Fibrosis.** Prolonged PT injury causes the secretion of profibrotic factors, such as TGF- $\beta$ 1, which activate myofibroblasts and initiate fibrosis, resulting in the transition from AKI to CKD (10, 13, 48, 52). We noted a 29.3-fold (P < 0.0001) increase in TGF- $\beta$ 1 levels in PT cells from GC<sup>ER</sup>RAR $\alpha$ Δ females 3 mo post-tam relative to WT (Fig. 5 A and B). Additionally, TGF- $\beta$ 1 overlapped with GGT1-stained PTs, indicating that TGF- $\beta$ 1 was secreted from PT cells targeted by the GGT1-CreER. There was no difference in TGF- $\beta$ 1 levels between GC<sup>ER</sup>RAR $\alpha$ Δ males 3 mo post-tam compared to WT (SI Appendix, Figs. S20 and S21); however, both male and female GC<sup>ER</sup>RAR $\alpha$ Δ mice displayed increased TGF- $\beta$ 1 expression 3 d post-tam when compared to WT controls (SI Appendix, Figs. S20 and S21). Therefore, although both males and females have increased TGF- $\beta$ 1 expression/secretion initially after PT-specific deletion of RAR $\alpha$ , this phenotype only

persists long-term (3 mo) in females. Although TGF- $\beta$ 1 can regulate several cellular pathways, TGF- $\beta$ 1/Smad signaling, specifically through phosphorylation/activation of Smads 2 and 3, contributes to fibrosis and inflammation in the context of renal injury (52–55). We observed a 5.0-fold (P < 0.0001) increase in phosphorylated Smad3 (p-Smad3) in kidney cortices of GC<sup>ER</sup>RAR $\alpha$ Δ mice 3 mo post-tam vs. WT (Fig. 5 C and D).

We next assessed interstitial fibrosis and observed 1.7-fold (P < 0.0001), and 1.5-fold (P < 0.001) increases in collagen deposition in kidney cortices of GC<sup>ER</sup>RAR $\alpha$ Δ males and females, respectively, 3 mo post-tam compared to age-matched WT (Fig. 5 E and F). There was no further progression of fibrosis in male GC<sup>ER</sup>RAR $\alpha$ Δ mice at 4.5 mo post-tam. However, collagen deposition in GC<sup>ER</sup>RAR $\alpha$ Δ females increased an additional 1.5-fold (P < 0.0001) between the 3-mo and 4.5-mo post-tam time points. These findings show that interstitial fibrosis develops in GC<sup>ER</sup>RAR $\alpha$ Δ mice and becomes progressively worse in females. We do not yet understand why females have a worse fibrotic phenotype; however, sex hormones may have sexually dimorphic effects on RA signaling (56).

We then determined which cell types contributed to the development of tubulointerstitial fibrosis. Prolonged TGF- $\beta$ 1 secretion prompts residential fibroblasts to trans-differentiate into

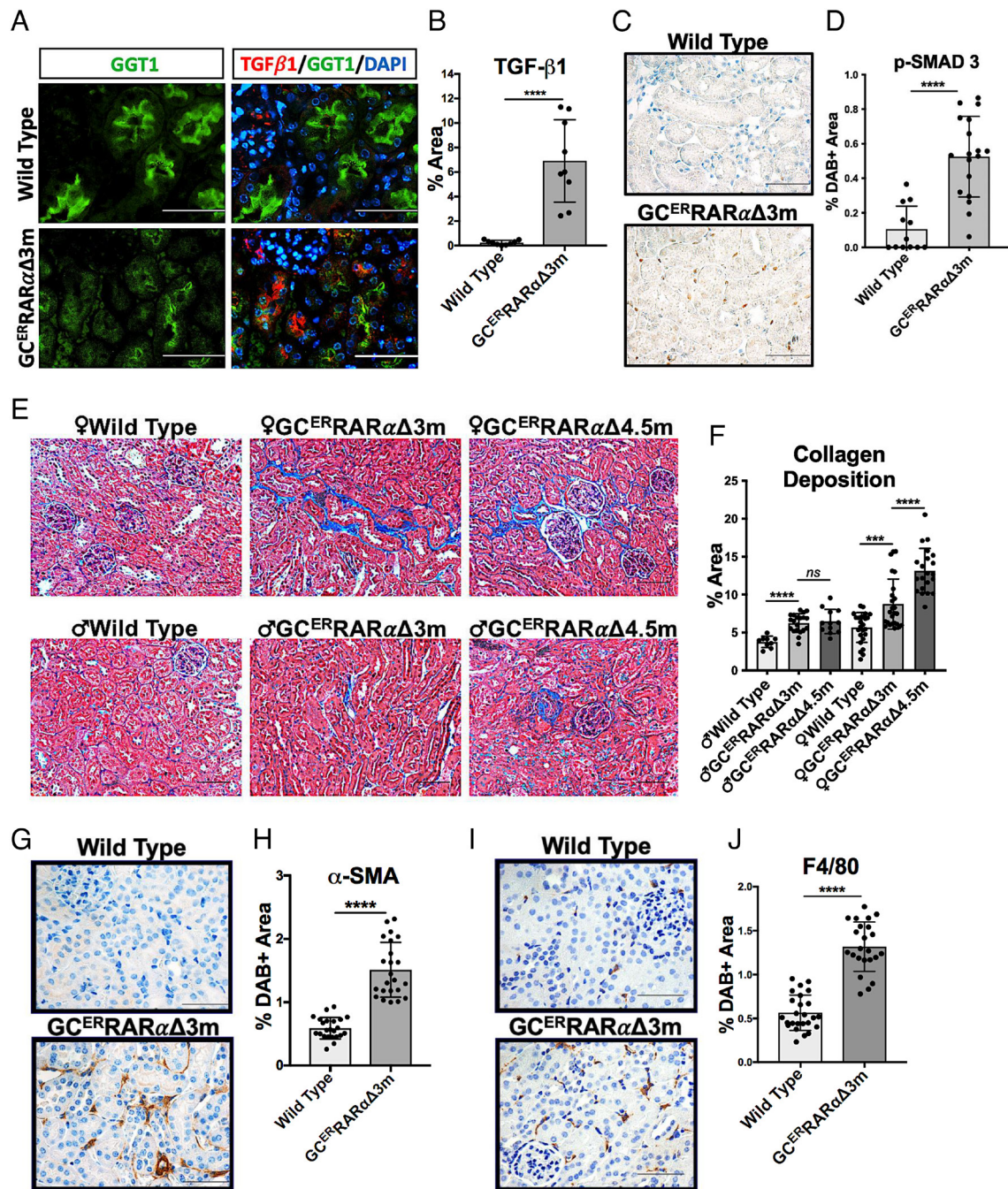


**Fig. 4.** PT-specific deletion of RAR $\alpha$  leads to prolonged kidney injury and development of CKD. (A) Representative images of LTL-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males and females 2 mo post-tamoxifen and 3 mo post-tamoxifen ( $n = 3$ /group), and GC<sup>ER</sup>RAR $\alpha$ <sup>fl/fl</sup> males and females ( $n = 3$ /group) age-matched to the GC<sup>ER</sup>RAR $\alpha$  $\Delta$  3-mo post-tamoxifen group. (B) Quantification of LTL % area fluorescence of 2-mo groups. (C) Quantification of LTL % area fluorescence of 3-mo groups. (D) Representative images (3 to 5 fields/mouse) of kidney injury molecule-1 (KIM-1)-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tamoxifen and age-matched wild-type females ( $n = 3$ /group). (E) Quantification of KIM-1 % area fluorescence. (F) Representative images (8 fields/mouse) of PAS-stained kidney sections from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tamoxifen and age-matched wild-type females ( $n = 3$ /group). (G) Additional representative images of PAS-stained sections from (F) focusing on glomeruli ( $n = 3$ /group). (H) Quantification of glomerular area ( $\mu\text{m}^2$ ) ( $n = 25$  glomeruli/group). (I) Representative images (3 fields/mouse) of LTL (GFP) and E-cadherin (TXRED) co-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males and females 3 mo post-tamoxifen ( $n = 3$ /group) and age-matched GC<sup>ER</sup>RAR $\alpha$ <sup>fl/fl</sup> males and females ( $n = 3$ /group). (J) Quantification of E-cadherin % area fluorescence. Magnification 200 $\times$  (A, D, F, and I) with a 100- $\mu\text{m}$  scale bar or 600 $\times$  (F and G) with a 50- $\mu\text{m}$  scale bar. Error bars represent SD. \*\*\*\* $P < 0.0001$ .

myofibroblasts (10, 48, 57). Additionally, prolonged inflammation in response to PT injury is another source of growth factor secretion and myofibroblast activation (8). We observed a 2.6-fold ( $P < 0.0001$ ) increase in the expression of alpha smooth muscle actin ( $\alpha$ -SMA), a marker of activated myofibroblasts (58) (Fig. 5 G and H), and a 2.3-fold ( $P < 0.0001$ ) increase in F4/80, a macrophage marker (12) (Fig. 5 I and J), in kidney cortices of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tam relative to WT. These results indicate that prolonged PT injury post-deletion of RAR $\alpha$  leads to tubulointerstitial fibrosis via inflammatory cell infiltration, TGF- $\beta$ 1 secretion from injured PTs, and myofibroblast activation.

The overall short and long-term effects of PT-specific RAR $\alpha$  are summarized in (Fig. 6).

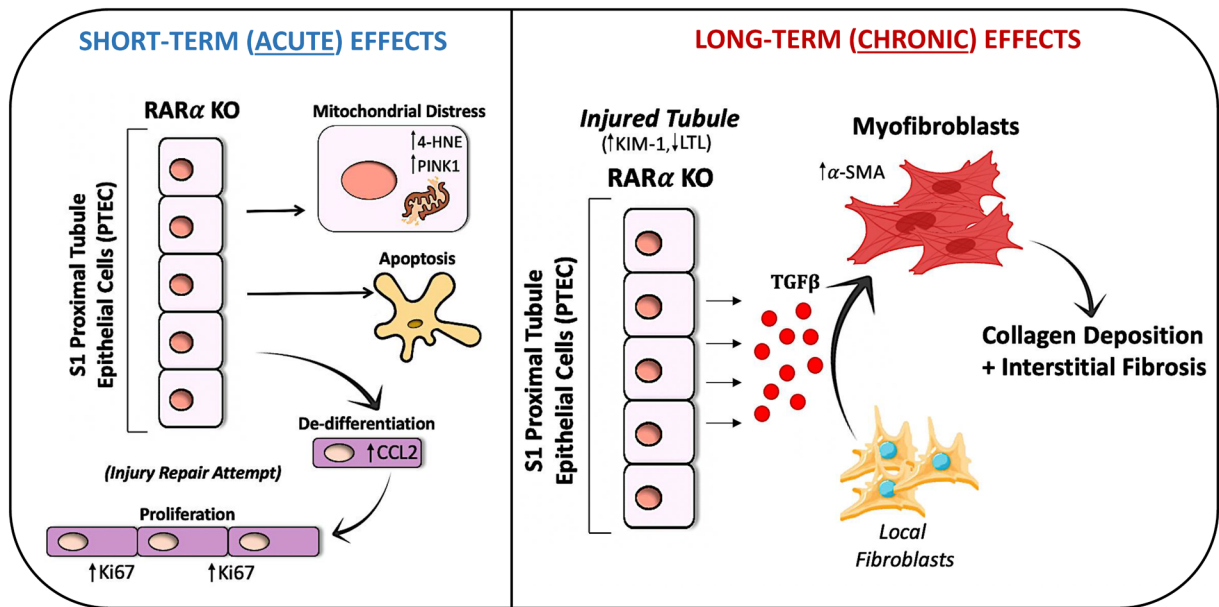
**Retinoid Levels Are Altered after RAR $\alpha$  Loss in PTs.** Retinoid metabolism is known to be altered in a variety of kidney diseases (20, 59). Utilizing liquid chromatography-mass spectrometry (LC-MS) and retinoid standards (SI Appendix, Fig. S22), we examined retinoid levels in sera and kidney cortices of wild-type and GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females at early (3 d post-tam) and later (3 mo post-tam) time points. Compared to WT, the sera of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 d post-tam displayed a 1.9-fold



**Fig. 5.** Loss of RAR $\alpha$  in PTs leads to an increase in TGF- $\beta$ 1 expression and interstitial fibrosis. (A) Representative images (3 fields/mouse) of LTL (GFP) and TGF- $\beta$ 1 (TXRED) co-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tamoxifen and age-matched wild-type females (n = 3/group). (B) Quantification of TGF- $\beta$ 1 % area fluorescence. (C) Representative images (4 to 6 fields/mouse) of p-SMAD3-stained kidney cortices from mice described in (A). (D) Quantification of p-SMAD3+ % area. (E) Representative images (3 to 6 fields/mouse) of Masson's Trichrome-stained kidney cortices from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males and females 3 mo and 4.5 mo post-tamoxifen (n = 4/group) and wild-type males and females (n = 3/group) age-matched to the GC<sup>ER</sup>RAR $\alpha$  $\Delta$  4.5 mo post-tamoxifen group. (F) Quantification of % area of collagen (blue). (G) Representative images (7 to 8 fields/mouse) of  $\alpha$ -SMA-stained kidney cortices from mice described in (A). (H) Quantification of  $\alpha$ -SMA+ % area. (I) Representative images (7 to 8 fields/mouse) of F4/80-stained kidney cortices of mice described in (A). (J) Quantification of F4/80+ % area. Magnification 200 $\times$  (E) with a 100- $\mu$ m scale bar or 600 $\times$  (A, C, G, and I) with a 50- $\mu$ m scale bar. Error bars represent SD. \*\*\*\* $P$  < 0.001 and \*\*\*\* $P$  < 0.0001.

( $P$  < 0.05) increase in retinol (ROL) and a 1.4-fold ( $P$  < 0.05) decrease in retinyl palmitate (RP), without any significant changes in RA levels, although there was more variation in RA levels among the mice as compared to ROL and RP most likely due to small sample size (SI Appendix, Fig. S23). In contrast, we did not detect differences in ROL, RP, or RA levels between GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 d post-tam and WT in kidney cortices (SI Appendix, Fig. S24).

Sera from GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tam did not display any significant changes in levels of ROL, RP, or RA compared to WT (SI Appendix, Fig. S23). However, we observed a 1.5-fold ( $P$  < 0.01) decrease in ROL, a 1.4-fold ( $P$  < 0.05) decrease in RA, and a 1.6 ( $P$  < 0.05) increase in RP in the kidney cortices of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tam vs. WT (SI Appendix, Fig. S24). These data show that some retinoid levels are altered in sera of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 d post-tam and kidney cortices of



**Fig. 6.** Model of the short- and long-term effects of PT-specific RAR $\alpha$  KO. Short-term (acute/3 d post-tam) effects of RAR $\alpha$  loss in segment 1 (S1) of PTs are mitochondrial distress, autophagy, mitophagy, and apoptosis. Surviving RAR $\alpha$  negative PT cells enter a repair cycle during which they de-differentiate and proliferate to replace injured PT cells. However, RAR $\alpha$  loss is sufficient to cause prolonged (chronic/>3 mo post-tam) injury without any external stimuli. Injured S1 PT cells secrete TGF- $\beta$ 1, activating residential fibroblasts to myofibroblasts and leading to ECM deposition and interstitial fibrosis.

GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females 3 mo post-tam, and that these changes are inversely related. Collectively, we observed that RAR $\alpha$  loss in PTs affects retinoid levels in mouse kidneys and sera.

**RAR $\alpha$  Deletion in PTs Impairs Kidney Function without Impacting Glycemic Control.** Kidney injury is first diagnosed through a variety of serum and urine tests that determine kidney functionality (60). Elevated serum biomarkers, such as creatinine and blood urea nitrogen (BUN), are indicators of kidney dysfunction (61, 62). Both males and females showed no significant changes in serum creatinine levels between WT and GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice at either 3 d or 3 mo post-tam (Fig. 7A and B). At 4.5 mo post-tam there were still no significant changes in serum creatinine between male groups; however, female GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice showed a 26.6% ( $P < 0.001$ ) increase in serum creatinine levels (Fig. 7C), indicating impaired kidney function. Similarly, at 3 d post-tam, there were no significant changes in BUN levels between WT and GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males or females (Fig. 7D). However, at 3 mo post-tam, GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males displayed a 31.4% ( $P < 0.05$ ) increase in BUN while GC<sup>ER</sup>RAR $\alpha$  $\Delta$  females displayed a 30.6% ( $P < 0.05$ ) increase in BUN relative to WT (Fig. 7E). Collectively, these data indicate that loss of RAR $\alpha$  in PTs of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice is sufficient to have negative, long-term effects on kidney function, with a more significant impact on females. We additionally looked at BUN levels in WT mice that were killed 3 d after tamoxifen treatment to discern whether tamoxifen affected kidney function and we did not see any significant differences between groups (SI Appendix, Fig. S25).

$\beta$ 2-microglobulin ( $\beta$ 2m) is a biomarker that indicates glomerular damage when elevated in serum and PT damage when elevated in urine (63). As expected, we observed no significant changes in  $\beta$ 2m levels in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  sera at 4.5 mo post-tam (Fig. 7F), suggesting that impaired kidney function in GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice does not result from glomerular pathology. We then measured  $\beta$ 2m levels in urine and normalized these values to urine creatinine levels (U $\beta$ CR). At 4.5 mo post-tam, we detected an 82.1% ( $P < 0.01$ ) increase and a 64.9% ( $P < 0.01$ ) increase in the U $\beta$ CR of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  males and

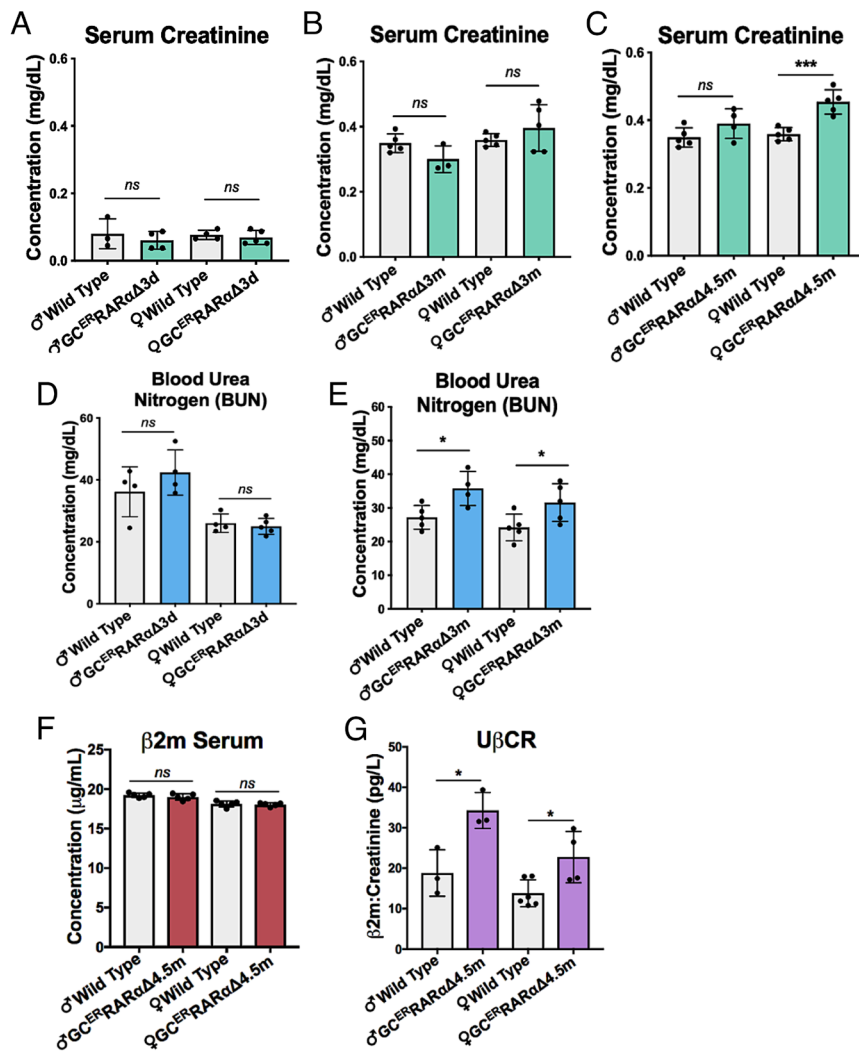
females relative to WT, respectively (Fig. 7G). These elevated U $\beta$ CRs indicate that impaired kidney function of GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice results from PT injury. Although GC<sup>ER</sup>RAR $\alpha$  $\Delta$  mice show evidence of renal dysfunction, we did not detect hyperglycemia (SI Appendix, Fig. S26), indicating that the kidney injury does not change glucose regulation in other tissues, such as the liver or pancreas.

## Discussion

RA, an agonist of the three RARs ( $\alpha$ ,  $\beta$ , and  $\gamma$ ), has several functions in embryonic development, including the regulation of genes essential for stem cell differentiation (17). Low levels of maternal vitamin A (retinol, VA) and/or variants of enzymes that convert VA to RA contribute to RA deficiency in the fetus, which in turn disrupts renal morphogenesis and causes a reduction in the number of embryonic nephrons (18, 64). RA receptors  $\alpha$  and  $\beta$  are vital for kidney morphogenesis as they regulate the expression of *c-ret*, a protein required for ureteric bud branching (21). However, the functions of the RARs in the adult kidney have not been well defined to date. We show here that RAR $\alpha$  is required for PT survival in adult mice. Our study also further defines the roles of RAR $\alpha$  in PTs. We show that loss of RAR $\alpha$  in PTs results in prolonged kidney injury and subsequent interstitial fibrosis, similar to the development and progression of AKI to CKD in humans.

Kidney PT cells are highly metabolically active and thus contain more mitochondria than any other renal cell type (65). Mitochondrial homeostasis can be modulated by RA and damage/dysfunction of mitochondria plays a critical role in kidney injury and progression to CKD (66–68). Tran et al. observed swollen and enlarged tubular mitochondria in a mouse model of AKI (69). We also see this phenotype in our mouse model; 4.5 mo after RAR $\alpha$  deletion, mitochondria from the PTs are overtly enlarged and have a lower volume density of cristae (37, 38). Additionally, loss of RAR $\alpha$  expression in PTs leads to oxidative stress and impacts mitochondrial energetics. Loss of cristae structure highly impacts mitochondrial function due to decreased electron transport chain activity. Renal Fanconi Syndrome is a proximal tubular





**Fig. 7.** RAR $\alpha$  deletion in PTs leads to impaired kidney function without impacting glycemic control. (A) Serum Creatinine (mg/dL) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 4) and females (n = 5) 3 d post-tamoxifen and age-matched wild-type males (n = 3) and females (n = 4). (B) Serum Creatinine (mg/dL) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 3) and females (n = 5) 3 mo post-tamoxifen and age-matched wild-type males and females (n = 5/group). (C) Serum Creatinine (mg/dL) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 4) and females (n = 5) 4.5 mo post-tamoxifen and age-matched wild-type males and females (n = 5/group). (D) BUN (mg/dL) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 4) and females (n = 5) 3 d post-tamoxifen and age-matched wild-type males and females (n = 5/group). (E) BUN (mg/dL) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 4) and females (n = 5) 3 mo post-tamoxifen and age-matched wild-type males and females (n = 5/group). (F)  $\beta$ 2m of serum ( $\mu$ g/mL) from GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males and females 4.5 mo post-tamoxifen (n = 5/group) and age-matched wild-type males and females (n = 5/group). (G) Urine  $\beta$ 2m (pg/L) to creatinine (pg/L) ratio (U $\beta$ CR) of GC<sup>ERT</sup>RAR $\alpha$  $\Delta$  males (n = 3) and females (n = 4) 4.5 mo post-tamoxifen and age-matched wild-type males (n = 3) and females (n = 6). Error bars represent SD. \* $P$  < 0.05 and \*\*\* $P$  < 0.001.

defect that causes prolonged kidney injury and progressive loss of renal structure and function (70), similar to what we have observed in our model. There are several causes of Renal Fanconi Syndrome, but one cause is a mutation in an assembly factor of respiratory complex I (NDUFA6), which leads to enlarged and damaged mitochondria (70). We saw that the mRNA levels of NDUFA1, another crucial component of complex I assembly (43), were reduced in our PT-specific KO mice, indicating that RAR $\alpha$  may regulate some of the critical genes for respiratory complex I assembly in PT cells.

Mitophagy occurs frequently in response to mitochondrial dysfunction to lower the impact of oxidative stress (69). Notably, we see an early increase (3 d post-tam) in tubule mitophagy, as assessed by higher levels of PINK1, in kidney cortices of the PT-specific RAR $\alpha$  KO compared to WT mice, a majority of which overlap with cells undergoing autophagy. Additionally, we observed increases in apoptosis, de-differentiation, and proliferation, all of which are signaling pathways known to be regulated by RARs (19, 71, 72). The concurrent increases of these pathways in RAR $\alpha$  negative PT cells

indicate that PTs enter a repair pathway after the deletion of RAR $\alpha$ . This repair pathway is a common response seen in cases of AKI (11, 28). However, prolonged injury and inflammation may lead to maladaptive repair and progressive fibrosis (9, 73).

Bhatia et al. report that mitochondrial dysfunction is correlated with prolonged inflammation and the conversion of AKI to CKD (67, 74). We see a significant increase in inflammation in the cortices of PT-RAR $\alpha$  KO mice at a later time-point (3 mo post-tam). Both inflammation and maladaptive repair cause interstitial fibrosis by increasing transforming growth factor  $\beta$  (TGF- $\beta$ 1) secretion, which then activates collagen-producing myofibroblasts and ECM deposition (10, 75). We observed these prolonged effects in our PT-RAR $\alpha$  KO mice, indicating that KO of RAR $\alpha$  in PTs causes long-term injury and mimics progression to CKD without the addition of any external injury stimuli. Collectively, these results allow us to identify a major role for RAR $\alpha$  in maintaining PT homeostasis.

Although in most experiments we did not perform tamoxifen (tam) treatments on WT mice, researchers have shown that tam

treatment does not affect the extent of kidney injury in an ischemia-reperfusion kidney injury murine model even as the acute injury turned into CKD (76). We also showed that the tam-treatment did not impact kidney functionality in WT mice, as evaluated by BUN levels. Thus, we would not anticipate any effects of tam on the kidney in WT mice.

Disruptions in vitamin A levels are found in some renal diseases (59, 77). Several studies have shown increased plasma concentrations of retinol binding protein 4, retinol, and RA in humans with CKD (20). Our data show that early (3 d) after RAR $\alpha$  deletion in PTs there is an increase in serum ROL mirroring that seen in CKD (20). Conversely, RA levels are decreased in diabetic kidney cortices and livers (59). We found that RA levels are decreased in kidney cortices 3 mo after deletion of RAR $\alpha$  in PTs. Our research suggests that the decreases in RA levels in kidney diseases cause reductions in RAR $\alpha$  activity, leading to mitochondrial dysfunction, apoptosis, and failed PT repair.

Interestingly, many of the phenotypes observed in the PT-specific RAR $\alpha$ , such as proliferation, oxidative stress, and fibrosis, were more severe in females. Sex hormones have been reported to have sexually dimorphic effects on RA signaling; estrogen increases RA biosynthesis and induces RAR $\beta$ , while androgens repress RAR $\alpha$  and RAR $\gamma$  (56). The higher expression levels of RAR $\alpha$  and RA signaling in females may be contributing to the stronger phenotypes we observed in PT-specific RAR $\alpha$  KO females. However, further investigation will be needed to determine the root cause of these sexually dimorphic phenotypes. In a human setting, women are also more prone than men to develop CKD (14 vs. 12%), but this difference is suspected to be due to the increased likelihood of injury by urinary tract infections in women (78).

Numerous studies have demonstrated beneficial effects of RA administration in the treatment of kidney diseases (14). RA can ameliorate progressive renal fibrosis in mice that underwent unilateral ureteral obstruction (UUO) surgery, as well as decrease the severity of fibrosis when administered prior to UUO (79, 80). Sierra-Mondragon et al. showed that RAR $\alpha$  expression is inversely related to tubulointerstitial fibrosis; RAR $\alpha$  was decreased in a DN mouse model and RA administration restored RAR $\alpha$  levels and decreased fibrosis (22). RAR-selective agonists have also been studied. Our lab has previously shown that administration of AC261066, a RAR $\beta$ 2 agonist, ameliorates DN in a mouse model (81). Other groups have reported the protective effects of Am580 and other RAR $\alpha$  agonists in renal injury (82, 83). Our PT-specific KO of RAR $\alpha$  not only demonstrates the importance of RAR $\alpha$  in maintaining PT

homeostasis but also provides a mouse model for further investigation of injury and fibrosis in the context of kidney diseases.

## Materials and Methods

**Animals.** Equal numbers of male and female mice on a standard laboratory chow diet (13% kcal fat, no. 5053; Pico Diet, St. Louis, MO) were utilized. The model used for this study is a transgenic PT-specific RAR $\alpha$  KO mouse on a C57BL/6 background and was generated by crossing floxed RAR $\alpha$  mice (from Pierre Chambon) (25) with a transgenic line generated in the Gudas lab to express a tamoxifen-dependent Cre recombinase (CreER) driven by a truncated GGT1 promoter (23). This construct is expressed almost exclusively in segment 1 of the proximal convoluted tubules of the kidney (24, 84). Details on genotyping, experimental conditions, group randomization, and analysis of RAR $\alpha$  KO efficiency are in [SI Appendix](#).

**Serum/Urine Analyses and Glucose Tolerance Tests.** Details of these analyses are in [SI Appendix](#).

**Immunohistochemical Assays and Antibodies.** Details are in [SI Appendix](#).

**TEM.** Tissue was placed in fixative obtained from Weill Cornell Histology Core (2.5% glutaraldehyde, 4% paraformaldehyde, and 0.02% picric acid in 0.1M sodium cacodylate buffer, pH 7.3) overnight at 4 °C. TEM was then performed by personnel at the Microscopy and Imaging Core Facility at Weill Cornell Medical College.

**Generation of RAR $\alpha$  KO Cell Line and Seahorse Analytics.** Details are in [SI Appendix](#)

**General Metabolomics.** Details for Metabolite extraction are in [SI Appendix](#). Further information for Q-TOF LC/MS Data Acquisition and Analysis of extracted metabolites is detailed in the attached ref. 85.

**Statistics.** Immunofluorescent and immunohistochemical images were quantified using Image J (detailed in [SI Appendix](#)). All statistical analyses were performed using unpaired parametric *t* tests in GraphPad Prism and are reported as mean  $\pm$  SD with significant differences defined as *P*-values less than an alpha of 0.05 (\**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, and \*\*\*\**P* < 0.0001).

**Study Approval.** The use of animals was approved by the Resource Animal Research Center at Weill Cornell Medicine, protocol 0705-615A.

**Data, Materials, and Software Availability.** The data obtained in this study will be accessible at the NIH Common Fund's NMDR, the Metabolomics Workbench, <https://www.metabolomicsworkbench.org> (86).

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