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## Is obsessive-compulsive disorder an anxiety disorder, and what, if any, are spectrum conditions? A family study perspective

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### Abstract

**Background:** Experts have proposed removing obsessive-compulsive disorder (OCD) from the anxiety disorders section and grouping it with putatively related conditions in DSM-5. The current study uses comorbidity and familiarity data to inform these issues.

**Methods:** Case family data from the OCD Collaborative Genetics Study (382 OCD-affected probands and 974 of their first-degree relatives) were compared with control family data from the Johns Hopkins OCD Family Study (73 non-OCD-affected probands and 233 of their first-degree relatives).

**Results:** Anxiety disorders (especially agoraphobia and generalized anxiety disorder), cluster C personality disorders (especially obsessive-compulsive and avoidant), tic disorders, somatoform disorders (hypochondriasis and body dysmorphic disorder), grooming disorders (especially trichotillomania and pathological skin picking), and mood disorders (especially unipolar depressive disorders) were more common in case than control probands; while the prevalences

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of eating disorders (anorexia and bulimia nervosa), other impulse control disorders (pathological gambling, pyromania, kleptomania), and substance dependence (alcohol or drug) did *not* differ between the groups. The same general pattern was evident in relatives of case versus control probands. Results in relatives did not differ markedly when adjusted for demographic variables and proband diagnosis of the same disorder; though the strength of associations was lower when adjusted for OCD in relatives. Nevertheless, several anxiety, depressive, and putative OCD-related conditions remained significantly more common in case than control relatives when adjusting for all of these variables simultaneously.

**Conclusions:** On the basis of comorbidity and familiarity, OCD appears related both to anxiety disorders and to some conditions currently classified in other sections of DSM-IV.

## Introduction

Experts have proposed removing obsessive-compulsive disorder (OCD) from the anxiety disorders section and grouping it with putatively related conditions in DSM-5 (Hollander et al., 2008); this remains controversial (Storch et al., 2008). Proposed OCD-related conditions include obsessive-compulsive personality disorder (OCPD), tic disorders, hypochondriasis, body dysmorphic disorder (BDD), trichotillomania and other grooming disorders, eating disorders, pathological gambling (PG), and other impulse control disorders, including substance dependence (Hollander et al., 2008).

OCD is familial [e.g., (do Rosario-Campos et al., 2005, Fyer et al., 2005, Grabe et al., 2006, Hanna et al., 2005, Nestadt et al., 2000, Pauls et al., 1995)], and this familiarity appears mainly due to genetic influences (van Grootheest et al., 2005). Finding that certain conditions are highly comorbid with and familiarly related to OCD may suggest a genetic relationship between OCD and these other conditions that could inform nosology. The current study examines how commonly anxiety disorders, related personality disorders, and putative “spectrum” conditions occur in OCD-affected probands and their first-degree relatives, compared with control probands/relatives. A higher prevalence of anxiety disorders and related personality disorders in OCD-affected probands and their relatives would support retention of OCD within the anxiety disorders section in DSM-5. A higher prevalence of putative OCD-related conditions in OCD-affected probands and their relatives would support acknowledgement of “OCD spectrum conditions” in DSM-5, whether or not OCD is retained in the anxiety disorders section.

Previous controlled OCD comorbidity and family studies (Bienvenu et al., 2000, Black et al., 1994, Black et al., 1992, Black et al., 1993, Carter et al., 2004, do Rosario-Campos et al., 2005, Fyer et al., 2005, Grados et al., 2001, Jaisoorya et al., 2003, Nestadt et al., 2001, Pauls et al., 1995, Samuels et al., 2000, Torres and Del Porto, 1995) are summarized in Table 1. Results of these studies suggest that some anxiety disorders (esp. generalized anxiety disorder or GAD) are relatively common in persons with OCD and their first-degree relatives. Cluster C (“anxious cluster”) personality disorders (Bienvenu and Stein, 2003), esp. OCPD, also appear relatively common in persons with OCD and, perhaps, their first-degree relatives. In addition, tic disorders, hypochondriasis, BDD, and “grooming” disorders (pathological nail biting or PNB, pathological skin picking or PSP, and trichotillomania),

appear relatively common in persons with OCD and, perhaps, their first-degree relatives. Conversely, PG, kleptomania, pyromania, alcohol dependence, and drug dependence do not appear particularly common in persons with OCD or their family members. Based on these previous results, we predicted that at least some anxiety disorders and OCPD would be highly comorbid in OCD-affected probands and would segregate in their families. We also predicted that tic disorders, hypochondriasis, BDD, and “grooming” disorders would be highly comorbid in OCD-affected probands and would segregate in their families, but not PG, other impulse control disorders, or substance use disorders.

## Method

### Sample

This project uses control family data from the Johns Hopkins OCD Family Study [JHOFS (Nestadt et al., 2000)], and case family data from the OCD Collaborative Genetics Study [OCGS (Samuels et al., 2006)]. In the JHOFS, we directly interviewed 73 community control probands and 233 of their first-degree relatives, blind to proband/family status, between 1995 and 1999. The control probands were selected to demographically match case probands from OCD specialty treatment centers in the Baltimore, MD, and Washington, DC, area (Nestadt et al., 2000). [Note that, since we have reported on JHOFS case probands and relatives previously (Bienvenu et al., 2000, Grados et al., 2001, Nestadt et al., 2001, Samuels et al., 2000), these participants are excluded in the current study. Also, we only include JHOFS data from participants who were *directly* interviewed in the current study, for the sake of comparability of methods across studies.]

In the OCGS, collaborators at Brown University (Butler Hospital), Columbia University, Massachusetts General Hospital, Johns Hopkins University (JHU), the National Institute of Mental Health, and the University of California in Los Angeles interviewed over 400 families between 2001 and 2008; JHU was the coordinating center for the study (Samuels et al., 2006). Participants were recruited from outpatient and inpatient clinics, referrals from clinicians in the community, web sites, media advertisements, self-help groups, and Obsessive-Compulsive Foundation annual conventions. The OCGS targeted families with OCD-affected sibling pairs and extended these when possible through affected relatives; in addition, two sites (JHU and Columbia) collected additional pedigrees with multiple affected relatives when available. [Note that we only include OCGS data from probands and *first-degree* relatives in the current study, for the sake of comparability of data across studies. In addition, though the OCGS included probands younger than age 18 years, we excluded these families in the current study, again for the sake of comparability of data across studies. The resulting data set included 382 case probands and 974 of their first-degree relatives.]

In both the JHOFS and the OCGS, probands were excluded if they had schizophrenia, mental retardation, dementia, or Tourette’s disorder. In addition, control probands were excluded if they had OCD (JHOFS), while case probands were included if they had OCD – but not if OCD occurred only during a major depressive episode. In the OCGS, proband OCD symptoms had onset before age 18 years. In both the JHOFS and the OCGS, after a complete description of the study to the participants, written informed consent (or assent, for children) was obtained.

## Diagnostic procedures

Doctoral-level clinicians made lifetime DSM-IV diagnoses using modified semi-structured interviews: the Schedule for Affective Disorders and Schizophrenia – lifetime anxiety version (SADS-LA – Mannuzza et al., 1986) and Kiddie-SADS (Kaufman et al., 1997) (JHOFS), the Structured Clinical Interview for DSM-IV (First et al., 1996) (OCGS), and the Revised Structured Instrument for the Diagnosis of Personality Disorders (Pfohl et al., 1989). The OCD section was adapted from the SADS-LA to include detailed screening questions and information regarding the nature and course of symptoms, and a similar section was developed for the assessment of tic disorders. Also, a section was developed for the assessment of other proposed OCD-related conditions using DSM-IV criteria (Nestadt et al., 2000). Since PNB and PSP are not DSM-IV diagnoses, we modeled their criteria after those for trichotillomania (Bienvenu et al., 2000). Examiners also interviewed knowledgeable informants to obtain additional diagnostic information (Mannuzza et al., 1985). For subjects who had received psychiatric treatment, examiners obtained medical records and contacted treatment providers, if necessary. The examiners completed a narrative formulation for each case.

At each site, two expert diagnosticians independently reviewed materials and met to resolve disagreements regarding diagnoses or ages of onset. In the OCGS, JHU consensus diagnosticians also reviewed materials from other sites; we resolved disagreements before editing case materials and sending them for data entry.

Several diagnoses were assessed in both studies. Anxiety disorders include OCD itself, separation anxiety disorder (SAD), panic disorder (PD), agoraphobia, specific phobia (SpP), social phobia (SoP), and GAD. Personality disorders include obsessive-compulsive, avoidant (AvPD), and dependent (DPD). Putative “OCD spectrum” conditions include tic disorder (Tourette’s, chronic motor or vocal tic, or transient tic disorder), hypochondriasis, BDD, trichotillomania, PNB, PSP, PG, pyromania, kleptomania, anorexia nervosa (AN), bulimia nervosa (BN), alcohol dependence (AID), and drug dependence (DD). Other Axis I conditions include major depressive disorder (MDD; including recurrent, rMDD), dysthymia (Dys), and bipolar disorder (BiD: Types I or II). Inter-rater reliabilities have been reported previously (Bienvenu et al., 2000, Nestadt et al., 2000, Nestadt et al., 2001, Samuels et al., 2006): for SAD, panic disorder, specific phobia, social phobia, GAD, hypochondriasis, BDD, PNB, PSP, MDD, dysthymia, and AID, kappa values ranged from 0.6 to 1.0. Kappas were incalculable for agoraphobia, trichotillomania, AN, BN, PG, pyromania, kleptomania, and DD, but inter-rater agreement ranged from 96% to 100%. The intraclass correlation coefficient for obsessive-compulsive personality traits was 0.78.

## Statistical analysis

We first compared demographic characteristics of case (OCGS) versus control (JHOFS) probands and first-degree relatives using chi-square or Fisher’s Exact tests. As shown in Table 2, there was some evidence for higher socioeconomic status in case versus control probands. Case relatives were more often in the lowest and highest age groups at interview, and there were non-monotonic relationships between case-control relative status and parents’ occupational status.

We next compared the lifetime prevalence of individual diagnoses in case versus control probands, using chi-square or Fisher's Exact tests. In addition, we calculated the difference in lifetime prevalence of individual diagnoses in cases and control probands, as well as the number needed to harm (NNH). NNH, calculated in the same way as the number needed to treat (Cook and Sackett, 1995), is recommended as an indication of the clinical importance of a variable (Kraemer et al., 2006). Here, NNH indicates how many persons would have to be sampled among those with OCD to have 1 more person with the individual comorbid diagnosis, compared to a sample of persons without OCD; lower NNH estimates indicate higher comorbidity. We calculated odds ratios, unadjusted and adjusted for potential demographic confounders, using logistic regression. In order to avoid overfitting, we included only those correlates of diagnoses that were also associated with case or control status ( $p < 0.1$ ). [Lower education was associated with SpP, and higher education with BDD. SpP was associated with higher occupational status, and DD with lower occupational status. PD, SpP, SoP, and BN were associated with lower paternal occupational status.]

In the last set of analyses, we compared the lifetime prevalence of individual diagnoses in case versus control first-degree relatives, beginning as in the proband analyses. [SAD, PD, SoP, AvPD, DPD, tic disorder, hypochondriasis, BDD, trichotillomania, PNB, PSP, AN, BN, AID, DD, MDD, rMDD, and Dys were associated with younger age. SpP, tic disorder, MDD, and AvPD were associated with higher maternal occupational status.] We performed two additional sets of logistic regression analyses with diagnoses for which there was evidence of higher prevalence in case versus control relatives. In the first set, we adjusted for proband diagnosis of the same individual disorder (to control for possible independent transmission of the disorder in these families); in the second, we adjusted for OCD in the relatives (to determine whether or not the disorder segregates independently of OCD in these families). All statistical tests were two-tailed.

## Results

### Proband (comorbidity) analyses

All of the anxiety disorders were statistically significantly associated with OCD (Table 3). Though GAD, PD, and agoraphobia were particularly strongly associated with OCD (high odds ratios or ORs), the lifetime prevalence of each of the anxiety disorders was substantially higher in OCD-affected than control probands (the difference in lifetime prevalence or DP ranged from 14% to 53%, corresponding to NNH estimates between 2 and 7). Cluster C personality disorders, esp. OCPD and AvPD, were also strongly associated with OCD, affecting 34% and 16% of OCD-affected probands, respectively (NNH = 4 and 7, respectively).

Tic disorders and the somatoform disorders hypochondriasis and BDD were also strongly associated with OCD, with substantial NNH estimates (5-8). PSP and trichotillomania were both strongly associated with OCD; PSP had a more substantial NNH estimate (4) than trichotillomania (10), the former affecting almost a third of OCD-affected probands. Neither eating disorder (AN or BN) nor the other impulse control disorders (PG, pyromania, or kleptomania) were common in case or control probands, and none of these conditions were

statistically significantly associated with OCD. Alcohol and drug dependence were common, but prevalences were similar in case and control probands.

All of the mood disorders were associated with OCD, and the unipolar conditions (esp. MDD and rMDD) had quite substantial NNH estimates (3-7), due to their high prevalence in case probands. Adjusting for demographic factors associated with case/control status and diagnosis (if applicable) did not appreciably affect the results.

### **First-degree relative (familiarity) analyses**

Familiarity results largely mirrored those of the proband analyses, though with generally smaller odds ratios and larger NNH estimates (Table 4). Though a high prevalence of OCD was required in the relatives of OCD-affected probands by design (OCGS), we show results regarding OCD familiarity at the top of Table 4. Of the anxiety disorders, agoraphobia and GAD were the most strongly familiarly related to OCD, though all of the anxiety disorders assessed except SpP had higher prevalences in case vs. control relatives. GAD was particularly common in case relatives, affecting almost one third (NNH=4). Cluster C personality disorders, especially OCPD and AvPD, were also familiarly related to OCD, the former affecting more than a fifth of case relatives (NNH=5).

Tic disorders; the somatoform disorders hypochondriasis and BDD; the grooming disorders trichotillomania, PNB, and PSP; and AN were more common in case vs. control first-degree relatives. Of these conditions, PSP had the lowest NNH estimate (8), and eating disorders the highest (54). None of the other impulse control disorders (PG, pyromania, or kleptomania) nor substance dependence conditions (AID or DD) differed in prevalence in case vs. control relatives.

Unipolar depressive disorders were substantially more common in case vs. control relatives, and these conditions showed the lowest NNH estimates of the remaining conditions, esp. MDD (NNH 5-6). BiD was not significantly more common in case vs. control relatives.

Adjusting for potential demographic confounders and proband diagnosis of the same condition did not substantially affect the results; however, adjusting for the relative's diagnosis of OCD did substantially decrease OR estimates, suggesting that conditions familiarly related to OCD occur most commonly in relatives who themselves have OCD. Nevertheless, agoraphobia, GAD, OCPD, tic disorders, PSP, and MDDs remained significantly more common in case than control relatives when adjusting for all of these variables simultaneously.

## **Discussion**

In this study, to our knowledge the largest OCD family study to date, we found that anxiety disorders, related personality disorders, several (but not all) putative OCD-related conditions (Hollander et al., 2008), and depressive disorders were more common in persons with OCD and their first-degree relatives. Thus, using comorbidity and familiarity information, there is evidence supporting both grouping OCD with anxiety disorders, and grouping some additional conditions with OCD.

## Anxiety disorders and related personality disorders

All of the anxiety disorders assessed except specific phobia showed elevated comorbidity and familiarity with OCD. Thus, consistent with previous studies (Black et al., 1992, Fyer et al., 2005, Nestadt et al., 2001), anxiety disorders (esp. agoraphobia and GAD) appear an important part of a familial OCD spectrum. The converse is not necessarily true. Though Biederman et al. recently found significantly elevated prevalences of OCD in children of parents with panic disorder or GAD (Biederman et al., 2006), earlier studies did not find elevated prevalences of OCD in families of patients with PD, agoraphobia, or GAD [e.g., (Goldstein et al., 1994, Noyes et al., 1987)]. Nevertheless, many earlier studies employed outdated diagnostic methods with hierarchies no longer considered valid. On balance, we find little empirical support for separating OCD from other anxiety disorders in DSM-5.

Personality disorders from the “anxious cluster” (esp. OCPD) also showed elevated comorbidity and familiarity with OCD. The comorbidity results are consistent with prior controlled studies (Black et al., 1993, Samuels et al., 2000, Torres and Del Porto, 1995), and the familiarity results are consistent with our previous family study (Samuels et al., 2000). We view these results as further support that OCD should not be separated from other anxiety disorders in DSM-5. Alternatively, since OCPD in particular is highly comorbid with and familiarly related to OCD, this personality disorder could be considered OCD-related.

## Putative OCD-related disorders

**Tic disorders**—Despite the fact that we excluded probands with Tourette’s disorder, tic disorders showed elevated comorbidity and familiarity with OCD, consistent with previous studies (do Rosario-Campos et al., 2005, Grados et al., 2001, Jaisoorya et al., 2003, Pauls et al., 1995). Interestingly, OCD also appears to be an important part of the familial spectrum of Tourette’s disorder [e.g., (Pauls et al., 1991)]. Thus, OCD and tic disorders are strongly familiarly related, and, from this standpoint, it would be sensible to group these conditions together in DSM-5. Further support for categorizing tic disorders as OCD-related comes from a study of comorbidity in patients with OCD, social phobia, or panic disorder (Richter et al., 2003); in that study, tics were significantly more common in persons with OCD than in persons with the other anxiety disorders.

**Hypochondriasis and body dysmorphic disorder**—Hypochondriasis and BDD also showed elevated comorbidity and familiarity with OCD, as in our previous study (Bienvenu et al., 2000). Others have also found elevated prevalences of hypochondriasis and BDD in persons with OCD (Jaisoorya et al., 2003). Conversely, a controlled study of comorbidity in patients with hypochondriasis showed elevated prevalences of OCD (and other anxiety disorders) (Barsky et al., 1992). In a controlled family study of hypochondriasis, anxiety disorders were relatively common in case relatives, but not OCD in particular (Noyes et al., 1997). It is not yet clear whether or not the diagnosis hypochondriasis will appear in DSM-5 (Dimsdale and Creed, 2009); however, it seems likely that hypochondriasis is highly comorbid with and familiarly related to other anxiety disorders besides OCD. Unfortunately, hypochondriasis has not typically been assessed in family studies of other anxiety disorders [e.g., (Biederman et al., 2006, Goldstein et al., 1994, Noyes et al., 1987)]. We know of

no controlled family studies of BDD, though it would be of interest to know whether its comorbid and familial relationships with OCD are bidirectional. Nevertheless, it seems reasonable to consider BDD an OCD-related condition on the basis of extant comorbidity and familiarity data.

**Grooming disorders**—Consistent with our previous study (Bienvenu et al., 2000), grooming disorders showed elevated comorbidity and familiarity with OCD. Only one of these conditions, trichotillomania, is a DSM-IV diagnosis, currently grouped with “other impulse control disorders not elsewhere classified.” Unlike our previous study (Bienvenu et al., 2000), which had a smaller sample size, trichotillomania by itself showed elevated comorbidity and familiarity with OCD in the current study. Other controlled studies have also shown elevated prevalences of trichotillomania in persons with OCD (Jaisoorya et al., 2003), including in comparison to persons with other anxiety disorders (Richter et al., 2003). Conversely, OCD also appears relatively common in families of probands with trichotillomania (Lenane et al., 1992, Schlosser et al., 1994). Thus, on the basis of extant comorbidity and familiarity studies, it seems reasonable to consider trichotillomania OCD-related.

PSP by itself also showed elevated comorbidity and familiarity with OCD. PSP has not been studied as extensively as trichotillomania, and it is not clear whether or not PSP should be considered particularly OCD-related or, more generally, anxiety-related (Cullen et al., 2001, Richter et al., 2003). In this study, PNB by itself was not particularly highly comorbid with OCD, but it was familiarly related to OCD. As with PSP, it is not clear whether PNB is more appropriately considered an OCD-related or an anxiety-related condition.

**Eating disorders**—Eating disorders were uncommon in patients with OCD, control probands, and their relatives. Thus, eating disorders do not appear to be an important part of the familial OCD spectrum. Our group and Black et al. came to similar conclusions in previous studies (Bienvenu et al., 2000, Black et al., 1994). Interestingly, though eating disorders do not appear particularly common in persons with OCD or their relatives, OCD appears very common in persons with eating disorders (esp. AN) and their family members [e.g., (Lilenfeld et al., 1998)]. Nevertheless, we conclude there is insufficient evidence to consider AN or BN OCD-related.

**Other impulse control disorders not elsewhere classified**—The other impulse control disorders not elsewhere classified (i.e., PG, pyromania, and kleptomania) were uncommon in patients with OCD, control probands, and their relatives. Thus, these conditions do not appear an important part of the familial OCD spectrum. These findings are consistent with previous studies (Bienvenu et al., 2000, Black et al., 1994). Like eating disorders, the NNH for other impulse control disorders was relatively high compared to other potential OCD-related conditions. Thus, it would seem sensible not to consider them particularly OCD-related in terms of comorbidity and familiarity.

**Substance use disorders**—Neither AID nor DD showed elevated comorbidity or familiarity with OCD, consistent with previous studies (Fyer et al., 2005, Nestadt et al.,



2001). Thus, on the basis of comorbidity and familiarity, there is little evidence that substance dependence is OCD-related.

### Other psychiatric conditions

**Mood disorders**—Depressive disorders (MDD, rMDD, and Dys) showed elevated comorbidity and familiarity with OCD. The findings for rMDD were similar to our previous study (Nestadt et al., 2001); however, unlike our previous study, rMDD was transmitted independent of OCD itself here. Similar to other anxiety disorders, OCD may share genetic causes with depressive disorders (Hettema, 2008).

### Implications for DSM-5

Though comorbidity and familiarity are just two of many potential validators of diagnostic groupings (Phillips et al., 2010, Stein et al., 2010), the current study offers guidance from these perspectives. First, since anxiety disorders are highly comorbid with OCD, and they appear to share familial influences with OCD, we feel it would be erroneous to remove OCD from the anxiety disorders section in DSM-5. Second, since several additional conditions are highly comorbid with and appear to share familial influences with OCD, we feel it would be sensible to consider these as OCD-related conditions, specifically OCPD, tic disorders, BDD, trichotillomania, and possibly PSP. Putting these findings together, our results lend credence to the recent proposal to include an “Anxiety and obsessive-compulsive spectrum disorders” chapter in DSM-5 (Phillips et al., 2010, Stein et al., 2010). Our results do not support the inclusion of some proposed OCD spectrum conditions in this chapter, specifically anorexia or bulimia nervosa, the impulse control disorders PG, pyromania, or kleptomania, or alcohol or drug dependence.

### Implications for OCD genetics

Several conditions appeared to be “OCD equivalents” from a familial perspective; i.e., they “ran in the families” independently of OCD itself. Agoraphobia, GAD, OCPD, tic disorders, PSP, and MDD all shared this characteristic. Thus, including these other conditions as “affected” phenotypes may be a sensible alternative to OCD alone in future OCD gene-finding studies. Notably, the prevalences of BDD and trichotillomania were also elevated in the first-degree relatives of OCD-affected probands, though not statistically significantly so when adjusting for OCD in the relatives. Though statistical power was generally substantial because of the relatively large sample size here, it is difficult to “rule out” that these other conditions are not transmitted independently of OCD itself, since these conditions were relatively infrequent (i.e., statistical power was lower). Indeed, the OR point estimates for trichotillomania and BDD adjusting for OCD in relatives were higher than those for most of the conditions that unambiguously “ran in the families” independently of OCD. In addition, it is not clear that these conditions *should* segregate independently in families of OCD-affected probands, given the extent of comorbidity of these conditions in OCD-affected probands. That is, this may be an example of “overadjustment,” since it is not clear where the boundaries lie in nature.

## Limitations

Several limitations should be acknowledged when considering the implications of the current study. First, the family study approach is a “broad-brush” method from which to extrapolate putative shared genetic causes; multivariable twin methods would be more direct, though such methods also involve certain assumptions. Second, in the OCGS, all families included OCD-affected probands, so examiners were aware that many of the participants would have OCD; nevertheless, OCD itself was not of interest here. Third, we did not assess all of the anxiety or putative OCD-related disorders; e.g., we did not assess post-traumatic stress disorder (PTSD) in the JHOFS. [In the OCGS, the PTSD lifetime prevalence was 12% in OCD-affected probands and 8% in their first-degree relatives.] Also, we only assessed compulsive hoarding in those with suspected OCD, and it would be of interest to determine whether or not “pure” compulsive hoarding (without other OCD symptoms) is familially related to OCD. Fourth, the JHOFS and the OCGS recruited participants through clinics, advertisements, and self-help groups, and the OCGS recruited participants with familial OCD (Nestadt et al., 2000, Samuels et al., 2006). Thus, it remains unclear the extent to which our results would generalize to persons with OCD (and their families) in the general population. Fifth, several of the conditions we considered were not common in case or control probands or their relatives, so statistical power was limited to detect differences between groups; nevertheless, using the metric of the NNH, it would be difficult to justify these conditions’ inclusion in an OCD familial spectrum. Sixth, unlike our original family study (Nestadt et al., 2000), case and control probands were not matched on demographic variables. We addressed this limitation by adjusting for potential demographic confounders, including age, sex, race, and socioeconomic status (as well as by excluding OCGS families in which the probands were younger than 18 years old). To adjust for potential age-related confounding (period at risk, recall bias, or cohort effects), we adjusted for age group, recognizing that these effects are often non-linear. Notably, adjustment for potential demographic confounders had little effect on the strength of associations.

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**Table 1:**

Prior controlled studies of lifetime prevalence (%) of other diagnoses in patients with OCD and/or their first-degree relatives

	N	DX	SAD	PD	Ag	SpP	SoP	GAD	OCPD	AvPD	DPD	TD	Hyp	BDD	TTM	PNB	PSP	AN	BN	MDD	rMDD	Dys	BiD	PG	Py	KI	AID	DD		
<b>Studies of Comorbidity</b>																														
Black et al., 1993 <sup>a,b</sup>	32 33	SIDPD (DSM-III)	28*	22*	50*	6	0	0																						
Torres and Del Porto, 1995 <sup>d</sup>	40 40	SIDP-R (DSM-III-R)	18*	52*	40*	0	5	5																						
Bienvenu et al., 2000																														
Samuels et al., 2000	80	SADS <sup>e,f</sup> , SIDP-R (DSM-IV)	18*	21*	17*	31	36	13*	32*	15*	4	6 <sup>c</sup>	15*	16*	4	25	24*	9	4	4	54*	8	1	0	0	0	3	15	8	
Nestadt et al., 2001	73		4	0	1	17	21	1	6	1	0	0 <sup>c</sup>	0	3	1	14	6	3	1		11	1	0	0	0	0	0	16	8	
Grados et al., 2001 <sup>c</sup>																														
Jaisooriya et al., 2003	23	STOBS, SCID, SADS <sup>f</sup> (DSM-IV)	39*	13*	3*	12	0	0																						
<b>Studies of Familiarity</b>																														
Black et al., 1992																														
Black et al., 1993	120	DIS, SIDPD (DSM-III)	2 <sup>c</sup>	3 <sup>c</sup>	8	3	22*	11	1	1	1																			
Black et al., 1994 <sup>a,b</sup>	129		0	2	5	2	12	8	3	2	2																			
Pauls et al., 1995	466	STOBS, DIS, SADS <sup>e</sup> (DSM-III-R)	9	8	1	16																								
Carter et al., 2004 <sup>a</sup>	100		4	1	5	1	11																							
Bienvenu et al., 2000																														
Samuels et al., 2000	343	SADS <sup>e,f</sup> , SIDP-R (DSM-IV)	13*	9*	4*	25	23	16*	12*	2	2	6 <sup>c*</sup>	3	4*	1	11	7	1	1											
Nestadt et al., 2001	300		5	3	1	(21)	20	5	6	1	0	2 <sup>c</sup>	1	1	0	9	4	1	1											
Grados et al., 2001 <sup>c</sup>																														

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	N	DX	SAD	PD	Ag	SpP	SoP	GAD	OCPD	AvPD	DPD	TD	Hyp	BDD	TTM	PNB	PSP	AN	BN	MDD	rMDD	Dys	BiD	PG	Py	KI	AID	DD
Fyer et al., 2005 <sup>a</sup>	179	SADS <sup>e</sup> (DSM-IV)	7 <sup>+</sup>	7 <sup>+</sup>		25	30	6 <sup>+</sup>				1 <sup>g</sup>								32		16				21	11	
	112		2	2		26	27	2				0								26		12				30 <sup>*</sup>	11	
do Rosario-Campos et al., 2005 <sup>d</sup>	325	STOBS (DSM-IV)										12 <sup>*</sup>																
	140											2 <sup>d</sup>																

N = number of case (top) and control (bottom) probands (studies of comorbidity) or relatives (studies of familiarity); DX = semistructured or structured diagnostic interview(s); SAD = separation anxiety disorder; PD = panic disorder; Ag = agoraphobia; SpP = specific phobia; SoP = social phobia; GAD = generalized anxiety disorder; OCPD = obsessive-compulsive personality disorder; AvPD = avoidant personality disorder; DPD = dependent personality disorder; TD = any tic disorder; Hyp = hypochondriasis; BDD = body dysmorphic disorder; TTM = trichotillomania; PNB = pathological nail biting; PSP = pathological skin picking; AN = anorexia nervosa; BN = bulimia nervosa; MDD = major depressive disorder; rMDD = recurrent major depressive disorder; Dys = dysthymia; BiD = bipolar disorder (I or II); PG = pathological gambling; Py = pyromania; AID = alcohol dependence; DD = drug dependence; SIDPD = Structured Interview for DSM-III Personality Disorders; SIDP-R = Structured Interview for DSM-III-R Personality; SADS = Schedule for Affective Disorders and Schizophrenia; DIS = Diagnostic Interview Schedule; STOBS = Schedule for Tourette syndrome and Other Behavioral Syndromes; \* indicates a statistically significantly higher prevalence in the case vs. control group, two-tailed  $\alpha = 0.05$ ; + indicates a trend towards a difference in prevalence in the case vs. control group, p between 0.05 and 0.10; <sup>a</sup> control probands had no (or virtually no) known Axis I psychopathology; <sup>b</sup> case probands with panic disorder, agoraphobia, and/or eating disorders were excluded; <sup>c</sup> excluded probands with Tourette's disorder or schizophrenia; <sup>d</sup> control probands with OCD or tic disorders were excluded; <sup>e</sup> lifetime anxiety and f school-aged children versions; <sup>g</sup> Tourette's disorder

**Table 2:**

Demographic characteristics of case and control probands and first-degree relatives

demographic characteristics	probands				P *	relatives				P *
	case (n=382)		control (n=73)			case (n=974)		control (n=233)		
	n	%	n	%		n	%	n	%	
<b>age at interview</b>										
5-17 years	0	0	0	0	0.32	125	13	18	8	<0.0005
18-29 years	105	28	17	23		141	14	40	17	
30-39 years	97	25	26	36		116	12	50	22	
40-49 years	111	29	17	23		137	14	41	18	
50+ years	69	18	13	18		454	47	84	36	
<b>sex</b>										
male	117	31	29	40	0.13	389	40	94	40	0.91
female	265	69	44	60		585	60	139	60	
<b>race</b>										
white	372	98	72	99	1.0	925	96	228	98	0.25
other	9	2	1	1		35	4	5	2	
<b>education</b>										
did not complete high school	29	8	7	10	0.004	229	24	47	20	0.22
high school graduate	26	7	14	19		116	12	39	17	
some college	121	32	14	19		233	24	63	27	
college graduate	136	36	29	40		229	24	48	21	
advanced degree	68	18	9	12		155	16	36	16	
<b>yearly household income</b>										
\$0-19,999	52	14	11	16	0.45	81	10	15	7	0.23
\$20,000-39,999	60	17	13	19		150	18	35	17	
\$40,000-59,999	63	18	14	20		170	21	49	24	
\$60,000-79,999	54	15	14	20		122	15	34	17	
\$80,000-99,999	49	14	4	6		82	10	29	14	
\$100,000+	81	23	13	19		212	26	42	21	
<b>highest occupational status</b>										
unskilled or unemployed	37	10	5	7	0.06	181	19	36	16	0.38
skilled manual employee	13	4	7	10		39	4	10	4	
clerical/sales/technical employee	127	34	22	32		269	29	78	34	
administrator	100	27	23	33		200	21	55	24	
executive/professional	97	26	12	17		244	26	53	23	
<b>father's occupational status**</b>										
unskilled or unemployed	33	9	5	8	0.07	109	12	20	9	0.02
skilled manual employee	51	14	13	19		144	15	33	14	
clerical/sales/technical employee	68	18	17	25		169	18	50	22	
administrator	79	21	18	27		210	22	70	31	
executive/professional	144	38	14	21		301	32	55	24	



demographic characteristics	probands				P *	relatives				P *
	case (n=382)		control (n=73)			case (n=974)		control (n=233)		
	n	%	n	%		n	%	n	%	
<b>mother's occupational status**</b>										
unskilled or unemployed	167	45	35	52	0.21	489	52	134	58	0.02
skilled manual employee	4	1	3	4		44	5	4	2	
clerical/sales/technical employee	111	30	17	25		221	24	38	17	
administrator	53	14	8	12		106	11	27	12	
executive/professional	39	10	5	7		78	8	26	11	

\* chi-square or Fisher's exact test

\*\* parents' occupational status refers to the highest status when the participant was growing up

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**Table 3:**

Lifetime prevalences of disorders in case (OCD-affected) and control probands

Diagnoses <sup>9</sup>	Case probands <sup>1</sup> (n=382)		Control probands <sup>2</sup> (n=73)		$\chi^2/FE^3$ p-value	DP <sup>4</sup>	NNH <sup>5</sup>	OR <sup>6</sup>	95% CI <sup>7</sup>	AOR <sup>8</sup>	95% CI
	n	%	n	%							
separation anxiety disorder	81	23	6	8	0.006	14	7	3.2	1.4-7.7	3.2	1.4-7.7
panic disorder	84	23	1	1	<0.0005	21	5	21	2.8-152	20	2.7-145
agoraphobia	67	18	1	1	<0.0005	17	6	15	2.1-113	15	2.1-113
specific phobia	164	44	21	30	0.03	14	7	1.8	1.0-3.2	2.5	1.3-4.7
social phobia	184	50	24	34	0.01	16	6	2.0	1.2-3.4	2.1	1.2-3.7
generalized anxiety disorder	198	54	1	1	<0.0005	53	2	83	11-602	83	11-602
obsessive-compulsive personality disorder	120	34	4	6	<0.0005	28	4	8.8	3.1-24	8.8	3.1-24
avoidant personality disorder	55	16	1	1	0.001	14	7	13	1.8-99	13	1.8-99
dependent personality disorder	17	5	0	0	0.05	5	20	3.7	0.5-28		
anytic disorder	68	18	0	0	<0.0005	18	5	16	2.1-115	16	2.1-115
hypochondriasis	44	12	0	0	0.002	12	8	9.7	1.3-72	9.7	1.3-72
body dysmorphic disorder	55	15	2	3	0.005	12	8	6.2	1.5-26	5.5	1.3-23
trichotillomania	42	11	1	1	0.009	10	10	9.0	1.2-67	9.0	1.2-67
pathological nail biting	67	18	10	14	0.42	4	25	1.3	0.6-2.8		
pathological skin picking	115	31	4	6	<0.0005	26	4	7.8	2.8-22	7.8	2.8-22
anorexia nervosa	26	7	2	3	0.29	4	24	2.6	0.6-11		
bulimia nervosa	27	7	1	1	0.06	6	17	5.6	0.7-42		
major depressive disorder	253	67	23	32	<0.0005	35	3	4.3	2.5-7.4	4.3	2.5-7.4
recurrent major depressive disorder	176	46	9	12	<0.0005	34	3	6.1	2.9-13	6.1	2.9-13
dysthymia	70	19	4	5	0.005	14	7	4.0	1.4-11	4.0	1.4-11
bipolar disorder (I or II)	43	12	2	3	0.02	9	11	4.7	1.1-20	4.7	1.1-20
pathological gambling	9	2	0	0	0.37	2	41	1.8	0.2-14		
pyromania	10	3	0	0	0.38	3	37	2.0	0.2-16		
kleptomania	11	3	0	0	0.22	3	34	2.2	0.3-17		
alcohol dependence	62	17	12	17	0.96	0	-426	1.0	0.5-1.9		
drug dependence	47	13	8	11	0.74	1	70	1.1	0.5-2.5		

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<sup>1</sup> OCD-affected probands

<sup>2</sup> non-OCD-affected probands

<sup>3</sup> chi-square or Fisher's exact test

<sup>4</sup> difference in prevalence between case and control probands

<sup>5</sup> number needed to harm

<sup>6</sup> crude (unadjusted) odds ratio

<sup>7</sup> 95% confidence interval

<sup>9</sup> all diagnoses = probable or definite; **bold** indicates odds ratios > 1.0 (two-tailed p = 0.05)

<sup>8</sup> odds ratio adjusted for demographic factors associated with case/control status and diagnosis (if applicable); underline indicates that a random control was assigned the diagnosis for calculation purposes

**Table 4:** Lifetime prevalences of potential spectrum disorders in case (OCD-affected) and control first-degree relatives

Diagnoses <sup>1/1</sup>	Case relatives <sup>1</sup> (n = 974)		Control relatives <sup>2</sup> (n = 233)		$\chi^2/FE^3$ p-value	DP <sup>4</sup>	NNH <sup>5</sup>	OR <sup>6</sup>	95% CI <sup>7</sup>	AOR <sup>8</sup>	95% CI	AOR <sup>10</sup>	95% CI		
	n	%	n	%											
obsessive-compulsive disorder	524	55	16	7	<0.0005	48	2	16	10-28						
separation anxiety disorder	140	15	13	6	<0.0005	10	10	3.0	1.6-5.4	3.2	1.8-6.0	2.8	1.5-5.2	1.4	0.7-3.0
panic disorder	118	12	10	4	<0.0005	8	12	3.1	1.6-6.0	3.4	1.8-6.7	2.7	1.4-5.4	1.6	0.7-3.3
agoraphobia	93	10	2	1	<0.0005	9	11	12	3.0-5.0	12	3.0-5.0	10	2.6-4.3	5.8	1.4-24
specific phobia	293	31	56	24	0.05	6	15	1.4	1.0-1.9						
social phobia	303	32	54	23	0.01	9	12	1.5	1.1-2.2	1.7	1.2-2.4	1.6	1.1-2.3	1.0	0.6-1.4
generalized anxiety disorder	303	32	9	4	<0.0005	28	4	11	5.8-23	12	5.8-23	8.0	4.0-16	4.2	2.0-8.8
obsessive-compulsive personality disorder	182	22	13	6	<0.0005	16	6	4.2	2.3-7.5	4.2	2.3-7.5	3.6	1.9-6.6	2.2	1.1-4.1
avoidant personality disorder	59	7	3	1	0.002	6	17	5.3	1.6-17	5.2	1.6-17	4.6	1.4-15	1.6	0.5-5.6
dependent personality disorder	19	2	0	0	0.02	2	43	4.9	0.6-37						
any tic disorder	137	14	5	2	<0.0005	12	8	7.5	3.0-18	6.9	2.7-18	5.8	2.2-15	2.8	1.0-7.8
hypochondriasis	42	4	3	1	0.02	3	32	3.5	1.1-12	4.3	1.3-14	3.0	0.9-10		
body dysmorphic disorder	60	6	2	1	0.001	6	18	7.8	1.9-32	8.6	2.1-36	6.9	1.6-29	3.9	0.8-18
trichotillomania	42	4	0	0	0.001	4	22	10	1.4-77	11	1.6-83	11	1.6-84	5.9	0.8-44
pathological nail biting	140	15	19	8	0.01	6	15	1.9	1.1-3.1	1.9	1.1-3.1	1.8	1.1-3.1	1.3	0.7-2.3
pathological skin picking	155	17	9	4	<0.0005	13	8	4.9	2.4-9.7	5.1	2.5-10	4.6	2.3-9.2	2.3	1.1-4.8
anorexia nervosa	26	3	2	1	0.10	2	54	3.2	0.8-14	4.4	1.0-19	4.2	1.0-18		
bulimia nervosa	15	2	2	1	0.55	1	139	1.8	0.4-8.1						
major depressive disorder	443	46	60	26	<0.0005	20	5	2.5	1.8-3.4	2.8	2.0-3.9	2.4	1.7-3.4	1.6	1.1-2.3
recurrent major depressive disorder	271	28	27	12	<0.0005	17	6	3.0	2.0-4.6	3.3	2.1-5.1	2.9	1.8-4.6	1.8	1.1-3.0
dysthymia	120	13	9	4	<0.0005	9	12	3.5	1.7-7.0	3.9	1.9-7.8	3.6	1.8-7.3	2.0	0.9-4.4
bipolar disorder (I or II)	39	4	4	2	0.08	2	42	2.4	0.9-6.9						
pathological gambling	11	1	0	0	0.14	1	84	2.7	0.3-21						
pyromania	6	1	0	0	0.60	1	156	1.4	0.2-12						
kleptomania	21	2	2	1	0.29	1	74	2.6	0.6-11						
alcohol dependence	119	12	31	14	0.61	-1	-80	0.9	0.6-1.4						

Diagnoses <sup>11</sup>	Case relatives <sup>1</sup> (n = 974)		Control relatives <sup>2</sup> (n = 233)		χ <sup>2</sup> /FE, <sup>3</sup> p-value	DP <sup>4</sup>	NNH <sup>5</sup>	OR <sup>6</sup>	95% CI <sup>7</sup>	AOR <sup>8</sup>	95% CI	AOR <sup>9</sup>	95% CI	AOR <sup>10</sup>	95% CI	
	n	%	n	%												
drug dependence	58	6	15	6	0.79	0	-210	0.9	0.5-1.6							

<sup>1</sup> first-degree relatives of OCD-affected probands  
<sup>2</sup> first-degree relatives of non-OCD-affected probands  
<sup>3</sup> chi-square or Fisher's exact test  
<sup>4</sup> difference in prevalence between case and control relatives  
<sup>5</sup> number needed to harm  
<sup>6</sup> crude (unadjusted) odds ratio calculated using generalized estimating equations  
<sup>7</sup> 95% confidence interval  
<sup>8</sup> odds ratio calculated using generalized estimating equations, adjusted for demographic factors associated with case/control status and diagnosis (if applicable)...  
<sup>9</sup> ... and proband diagnosis of same disorder...  
<sup>10</sup> ...and OCD in relatives  
<sup>11</sup> all diagnoses = probable or definite; **bold** indicates odds ratios > 1.0 (two-tailed p 0.05); underline indicates that a random control was assigned the diagnosis for calculation purposes