# Nuclear Proteins Nut1p and Nut2p Cooperate To Negatively Regulate a Swi4p-Dependent *lacZ* Reporter Gene in *Saccharomyces cerevisiae*

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The URS2 region of the Saccharomyces cerevisiae HO upstream region contains 10 binding sites for the Swi4p/Swi6p transcription factor and confers Swi4p dependence for transcription. Using a hybrid promoter, UAS<sub>GAL</sub> (upstream activation sequence of GAL1)-URS2R, in which the GAL1-10 regulatory region is fused to the proximal 360 bp of URS2, we isolated mutants in which Swi4p is no longer required for transcription. Mutations of SIN4, ROX3, SRB8, SRB9, SRB10, SRB11, and two novel genes, NUT1 and NUT2, relieve the requirement of Swi4p for expression of this reporter. We found that NUT1 (open reading frame [ORF] YGL151w) is a nonessential gene, that NUT2 (ORF YPR168w) is essential, and that both Nut1p and Nut2p encode nuclear proteins. Deletion of NUT1 causes a constitutive, Swi4p-independent phenotype only in combination with the nut2-1 allele or an allele of CCR4. In contrast, inactivation of a temperature-sensitive allele of NUT2, nut2-ts70, alone causes constitutivity. nut1 $\Delta$  nut2-1 cells and sin4 $\Delta$  cells exhibit Swi4p-independent expression of an ho-lacZ reporter but not of an intact ho gene. Likewise, a pPHO5-lacZ construct is constitutively expressed in nut1 nut2 mutants relative to their wild-type counterparts. These results suggest that Nut1p, Nut2p, Sin4p, and Ccr4p define a group of proteins that negatively regulate transcription in a subtle manner which is revealed by artificial reporter genes.

Cells express only a subset of genes at a given time. The remaining genes are quiescent. How eukaryotic genes are maintained in a repressed state is a fundamental issue that remains poorly understood (reviewed in reference 21). Specific DNA binding proteins are known to bind promoters of some genes and inhibit gene expression. In addition, for many genes, the arrangement of nucleosomes across the promoter is thought to block transcription. Other genes are repressed due to their location in heterochromatic regions of the chromosome.

The yeast Saccharomyces cerevisiae is a valuable experimental organism for identifying components that maintain the quiescent state of genes. Numerous screens have been done in S. cerevisiae for mutations that restore or enhance transcription of inactive genes. For example, a screen for mutants that restore transcription of a HIS4 locus that has been inactivated by a transposon insertion into its promoter has identified a group of genes known as the SPT (suppressor of Ty) genes (62). The SPT gene products include basal transcription components such as Spt15p, the TATA binding protein (17), chromatin components such as histones (11), and other proteins of undetermined function such as Spt6p, which has been shown to interact with histones (8). Nonet and Young have identified mutations which restore viability to strains whose RNA polymerase II enzyme is compromised by truncation of the carboxyl-terminal domain of the Rpb1p subunit (41). Many of the suppressors of Rpb1p truncations (SRB) encode proteins that copurify with the RNA polymerase II holoenzyme (20, 29, 59). For example, *SRB10* and *SRB11* encode a cyclin-dependent kinase and cyclin which copurify with the holoenzyme (26, 29).

Studies of the regulation of specific genes have also been invaluable in the search for proteins that negatively regulate transcription. For example, the *SUC2* gene is not expressed when cells are grown in glucose-containing medium. Mutations that allow expression of the *SUC2* gene in the presence of glucose have been found in the genes *SSN6*, *SRB8*, *SRB9*, *SRB10*, *SRB11*, *SIN4*, *ROX3*, and *RGR1* (54). Sin4p, Rgr1p, and Rox3p are components of a mediator activity that allows yeast RNA polymerase II to be stimulated by activators in vitro (18, 28). Biochemical studies suggest that Srb10p, Srb11p, Sin4p, Rgr1p, and Rox3p are intimately involved with RNA polymerase II function. Independently, genetic studies implicate these proteins in the negative regulation of gene expression (10, 13, 19, 46, 49, 54, 56, 61).

We have been characterizing the negative regulation of transcription of the HO gene in S. cerevisiae. HO is transcribed only in haploid mother cells at Start, the G<sub>1</sub>-to-S phase transition (22, 35). Thus, HO is potentially repressed in haploids in daughter cells and in cells that are outside of Start. Indeed, a daughter-specific repressor of HO, Ash1p, has been identified (7, 53). It is not known, however, if there exist cell cyclespecific repressors of transcription (38). The 0.7-kb region, URS2 (Fig. 1, line 1), of the HO upstream region is required for Start-specific expression of HO and for dependence on the transcription factors Swi4p and Swi6p (36-38). Swi4p binds specifically to 10 sites in URS2 (3), called Swi4p cell cycle boxes (SCBs) (9, 37). Swi4p contains a specific DNA binding domain, four ankyrin repeats, and a domain for interaction with Swi6p (4, 5, 43, 51). Together Swi4p and Swi6p activate the transcription of Start-specific genes such as CLN1, CLN2, and PCL1 as well as artificial reporters containing multimerized SCBs (3, 9, 39, 42). Both Swi4p and Swi6p are absolutely required for HO transcription.

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FIG. 1. Structures of the intact *HO* gene (line 1) and reporter constructs  $UAS_{GAL}$ -URS2R-*lacZ* reporter (line 2), URS1-URS2R-*ho* allele (line 3), and URS1-URS2R-*lacZ* (line 4) are depicted. Numbering indicates the nucleotide positions of the endpoints of each region relative to the *HO* ATG codon. Nucleotide positions for lines 2 to 4 are as for line 1.

The URS2 region is responsible for dependence of *HO* transcription on Swi4p and Swi6p, as deletion of URS2 allows transcription of *HO* in the absence of these proteins. Conversely, insertion of the URS2 region between the *GAL1* upstream activation sequence (UAS<sub>*GAL*</sub>) and a TATA box confers Swi4p dependence on UAS<sub>*GAL*</sub>-driven expression (38). Thus, in the absence of Swi4p, URS2 prevents expression of the *HO* gene despite the presence of potent activation sequences upstream, either UAS<sub>*GAL*</sub> or URS1, the far upstream region of the *HO* promoter (Fig. 1, line 1).

To characterize regulation by URS2, we screened for mutants, named *nut* (negative regulation of URS2) mutants, which are defective in the Swi4p dependence of UAS<sub>GAL</sub>-URS2R-*lacZ*, a synthetic reporter gene containing part of URS2. We describe two novel genes, *NUT1* and *NUT2*, that are required for the Swi4p dependence of UAS<sub>GAL</sub>-URS2R*lacZ*.

#### MATERIALS AND METHODS

Strains. Standard techniques for strain construction and mutant analysis were used (45). Key yeast strains are listed in Table 1. Except where indicated, all strains are isogenic to RT228, a strain derived from W303 and K1107 as follows. The *SW14* locus of strain K1107 (gift of Kim Nasmyth, Institute of Molecular Pathology, Vienna, Austria) was deleted by using pJO98 (42) digested with *Eco*RI and *Sal*I to create RT211. This strain, RT211, was crossed to W303 to produce the segregant RT228. To create strains isogenic to RT228, the mating-type locus of RT228 was converted to  $MAT\alpha$  by two-step gene replacement (47) using pSC9 (gift of S. Chu, University of California, San Francisco). An isogenic *SW14* strain was created by two-step gene replacement using pRKT427.

(i) Deletion of *SIN4* and *SRB* genes. *SIN4* was deleted in a haploid strain by using plasmid M1381 (gift of David Stillman, University of Utah). The *SRB* genes were deleted in strain RT689 by using the following plasmids: to disrupt *SRB8*, pSL315 (20); to disrupt *SRB9*, pWS44-11 (gift of Marian Carlson, Columbia University) (54); to disrupt *SRB10*, pMW14 (gift of Madhu Wahi, University of California, San Francisco) (61); to disrupt *SRB11*, RY7036 (gift of Richard Young, Massachusetts Institute of Technology).

(ii) **Deletion of** *NUT1*. *NUT1* was deleted by using plasmid pRKT365 after digestion with *Not*I and *Sf*iI, which release the disruption cassette. Deletion of the genomic *NUT1* locus was verified by PCR.

(iii) Deletion of *NUT2*. One *NUT2* allele of RT575, an  $a/\alpha$  W303 diploid, was deleted by transformation with pRKT432 after digestion with *Sal*I and *NotI*. Strains with deleted alleles were identified by PCR.

(iv) URS1-URS2R-HO. Plasmid pRKT619 was targeted to the *ho* locus by digestion with *Nrul* and transformation into yeast strains RT690 and RT848 followed by selection for uracil prototrophy. Loop-outs were selected on plates containing 5-fluoro-orotic acid (5-FOA) (47) and verified by PCR. Alleles generated by this procedure, which deletes URS2L, are referred to as *urs2L* $\Delta$  in Table 1 and as URS1-URS2R in Results.

**Mutant isolation.** Strain RT243 was mutagenized with UV irradiation to 95% inviability and plated on YEP dextrose so that all mutants were independently derived. Colonies were replica plated onto YEP galactose plates covered with Whatman no. 50 filters. After overnight growth, filters were removed and subjected to a filter  $\beta$ -galactosidase assay (55). Blue colonies were recovered from the original YEP dextrose plate. The 14 original mutant isolates are strains RT259 through RT271. Mutations were assigned to complementation groups by mating *mat* $\Delta$  derivatives of mutant strains to *MAT* $\alpha$  derivatives obtained by a standard backcross. The Nut phenotype of *mat* $\Delta/MAT\alpha$  diploids was assayed by  $\beta$ -galactosidase filter assays on YEP galactose plates.

**β-Galactosidase assays.** Plate and liquid β-galactosidase assays were performed as described elsewhere (55). For assays of liquid cultures, cells were grown to mid-log phase (optical density at 600 nm of 0.1 to 1.2). Assays were performed in triplicate. Standard deviations of all reported values were less than 10% of experimental values.

**Complementation and cloning of** *NUT* genes. (i) *SRB* gene complementation. Plasmid pRKT439 was isolated from the Rose genomic library (44) as a plasmid that complements the mutant phenotype of RT387, a *nut7-2* mutant. To determine if strains RT259 (*nut9-1*), RT381 (*nut6-2*), and RT379 (*nut8-1*) are mutant in other *SRB* genes, these strains were transformed with plasmids containing *SRB8* (pMW18; gift of M. Wahi), *SRB9* (pWS8; gift of M. Carlson) (54), or *SRB11* (pSK5; gift of S. Kuchin and M. Carlson) (26). Complementation of the Nut<sup>-</sup> phenotype was scored by β-galactosidase filter assays on YEP galactose plates.

(ii) *ROX3* complementation. To determine if *nut3-1* is defective in *ROX3*, *RGR1*, or *SIN4*, RT269 was transformed with *URA3* plasmids containing *ROX3* (pIT218; gift of M. Carlson) (54), *RGR1* (pM2597), and *SIN4* (pM1305; gift of D. Stillman) (24). Allelism with *ROX3* was determined by integration of *Hin*dIII-cut pIT225 (gift of M. Carlson) (54) into strain RT689. This strain was crossed to *nut3* mutant strain RT362.

(iii) *NUT1* complementation. Plasmid pRKT353 was isolated from the Rose genomic library in YCp50 (44). pRKT354, a derivative of this plasmid obtained by removing the *Sal1-Sph1* fragment of pRKT353, complements the Nu<sup>-</sup> phenotype of RT271. YGL151w is the only complete reading frame on this plasmid. pRKT355, which contains a *Sal1-Xba1* deletion that disrupts YGL151w, lacks the

TABLE	1.	Yeast	strains	useda
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Strain	Genotype	
W303a <sup>b</sup>		
K1107		
RT228	HMLa MATa HMRa swi4 $\Delta$ HIS3 his3 leu2 ura3-52 trp1 can1 ho ade <sup>-</sup>	
RT233 <sup>c</sup>		
RT241 <sup>c</sup>	ΜΑΤα SWI4 LEU2::pRKT188	
RT243	MATa swi4ΔHIS3 NUT LEU2::pRKT188	
RT259		
RT267	MATa swi4ΔHIS3 nut7-2 LEU2::pRKT188	
RT269		
RT271	MATa swi4 $\Delta$ HIS3 nut1-1 nut2-1 LEU2::pRKT188	
RT300 <sup>c</sup>	MATa swi4 $\Delta$ HIS3 sin4 $\Delta$ LEU2 LEU2::pRKT188	
RT335 <sup>c</sup>	MATa sin4 $\Delta$ LEU2 ho::uas $\Delta$ URA3::ho-lacZ	
RT362 <sup>c</sup>	MATα swi4ΔTRP1 nut3-1 LEU2::pRKT188	
RT364 <sup>c</sup>		
RT379 <sup>c</sup>	MATa swi4 $\Delta$ HIS3 nut8-1 LEU2::pRKT188	
RT381 <sup>c</sup>	MATa swi4ΔHIS3 nut6-2 LEU2::pRKT188	
RT387 <sup>c</sup>	MAT $\alpha$ swi4 $\Delta$ TRP1 nut7-2 LEU2::pRKT188	
RT420 <sup>c</sup>	MAT $\alpha$ swi4 $\Delta$ HIS3 nut1-1 NUT2 LEU2::pRKT188	
RT421 <sup>c</sup>	MATα swi4ΔHIS3 NUT1 nut2-1 LEU2::pRKT188	
RT564	MATa SWI4 NUT1 NUT2 LEU2::pRKT188	
RT575 <sup>b</sup>		
RT576 <sup>b</sup>		
RT609	MAT $\alpha$ swi4 $\Delta$ HIS3 nut1 $\Delta$ TRP1 nut21-609 LEU2::pRKT188	
RT688		
RT689	MATa swi4 $\Delta$ HIS3 LEU2::pRKT229	
RT692		
RT693	$MATa swi4\Delta HIS3 LEU2::pRKT208$	
RT718		
R1720		
R1748		
R1781 <sup>6</sup>		
R1783°	$MA1a$ nut2 $\Delta hisG$ pRK151/	
R1/84		
R1/84-1a	MAIQ NUII nuiz-is/0 LEU2::pRK1229 PRK1353	
R1/84-10	$MATe nuti \Delta TRPI NOT 2 LE U2: pr K1239 pr K1333$	
R1/84-2C	$MAIe null \Delta I KFI null -58/0 LEU: ipKI 1229 pK 1353$	
K1/84-00		
K101/	MATa swi4ΔHIS3 sto10ΔURAS LEU2:pRK1229 MATa swi4ΔHIS2 sch11ΔURA2 LEU2:pRK1229	
К1821 ртело <sup>с</sup>	MATA which its strift DUKAS LEU2: JPKN 1229	
К1849	MATA str104UKAS NO:UUASUUKAS::NO-UUZ MATA str114TDD1 str21:UD42 komesAUD42uka kaZ	
N10JU	MATa multi ATKFT multi-1UKAS $MOuuSDUKAS.mO.uuCZ$	
DT200	MATa waj Abo	
DT020	MATA WSZLINU MATA WIALIES whOALD AS LEUD::::DVT220	
PT052	MATa switaHISS SIOJAOKAS LEO2.pKK1229 MATa switAHISS NUT urg DAba	
RT053	MATa switAHIS IVOT uszLano MATa switAHIS mutATRPI mut2.1. IR43 urs21 Abo	
RT953	MATA switchIIS mutative mitative mitative mitative material	
RT973 <sup>c</sup>	MATA SWIALING MUTATIO I MULTIOUS USZLANO-UCZ MATA SWIA NIT USZLANO-JacZ	
RT976 <sup>c</sup>	MATA switchHIS1 urs21.0ho-lac7	
RT1118	MATa switchHiS3 srb10A urs21.0ho	
RT1119 <sup>c</sup>	MATa swi4AHIS3 sin4ALEU2 urs2L ho-lacZ	
RT1120 <sup>e</sup>	MATa swi4AHIS3 sin4ALEU2 urs2LAho	

<sup>*a*</sup> All strains are isogenic to RT228 except as noted otherwise.  $urs2L\Delta$  is referred to in the text as URS1-URS2R.

<sup>b</sup> Isogenic to W303.

<sup>c</sup> Derived from K1107 and W303.

complementing activity. To determine if the insert on pRKT353 is allelic to *NUT1*, the 2.3-kb *Hin*dIII fragment from pRKT353 was subcloned into the *Hin*dIII site of pRS306 (52) to generate pRKT356. pRKT356 was targeted to the genomic locus of the insert after digestion with *Bst*EII and transformation to uracil prototrophy in the *NUT1 NUT2* strain RT243. When the progeny from crosses of this strain to *nut1 nut2* RT364 were analyzed, only 1 of 49 *URA3* spores was phenotypically Nut<sup>-</sup>, indicating that *URA3* is tightly linked to the *NUT1* locus.

(iv) NUT2 complementation. Plasmid pRKT404 was isolated from the Rose genomic library. The Sal1-HindIII fragment of the genomic insert from pRKT404 was subcloned into pRS306 to generate pRKT417. Integration of AfIII-digested pRKT417 marks the locus of the insert with URA3. Analysis of 16 tetrads from a cross between *nut2-1* and a strain bearing an integration of AfIII-digested

plasmid pRKT417 demonstrated that the insert on pRKT404 was allelic to *nut2-1* since none of 16 Nut<sup>-</sup> segregants carried the integrated pRKT417 allele. To determine which open reading frame complemented the *nut2-1* defect, derivatives of pRKT404 were made by deleting the *Eco*RI fragment (pRKT447), the *Sph1-AfI*II fragment (pRKT448), and the *Xba1-AfI*II fragment (pRKT450) and by filling in the *AfI*II site, which inactivates the reading frame YPR169w (pRKT449). This analysis demonstrated that YPR168w is the open reading frame that complemented the *nut2-1* defect.

(v) NUT21/CCR4 complementation. Plasmid pRKT562 was isolated from the Rose genomic library by complementation of the mutant phenotype of RT609. The SalI-NotI fragment was excised from pRKT562 to generate plasmid pRKT564, which still complemented the mutant phenotype. The SpeI-NotI fragment from pRKT562 was subcloned into the SpeI and NotI sites of pRS306 to

TABLE 2. Plasmids used

Name	Description
pRKT186	pBR322.ho::uas∆URA3::ho-lacZ
pRKT208	pRS305.UAS <sub>GAL</sub> -lacZ
pRKT229, pRKT188	pRS305.UAS <sub>G41</sub> -URS2R-lacZ
pRKT353	YCp50.NUT1
pRKT354	YCp50.NUT1 $\Delta$ SalI-SphI
pRKT355	YCp50.NUT1 $\Delta$ SalI-XbaI
pRKT356	pR\$306.2.3kb HindIII adjacent to NUT1
pRKT363	pGEM11.NUT1
pRKT365	pGEM11.nut1 $\Delta$ TRP1
pRKT404	YCp50.NUT2
pRKT417	pR\$306.NUT2
pRKT427	pRS306.SWI4
pRKT432	pBluescript.nut2 $\Delta$ hisG.URA3.hisG
pRKT439	YCp50.NUT7/SRB10
pRKT447	YCp50. $NUT2(EcoRI\Delta)$
pRKT448	YCp50.NUT2(XbaI-SphI $\Delta$ )
pRKT449	YCp50.NUT2( $AflII\Delta$ )
pRKT450	YCp50.NUT2(XbaI-AffII)
pRKT515	pR\$306.nut2-1
pRKT517	pRS316.NUT2
pRKT519	YCp50.nut2-1
pRKT535	pR\$306.URA3.NUT2-HA
pRKT542	pRS306.URA3.HA-NUT1
pRKT559	pRS306.nut2-ts70
pRKT562	YCp50.NUT21
pRKT564	YCp50.NUT21( $\Delta SalI$ -NotI)
pRKT566	pRS306.NUT21(SpeI-NotI)
pRKT619	pRS306.urs2L $\Delta$ ho

generate plasmid pRKT566. When integrated at the URA3 or CCR4 locus, pRKT566 complemented the mutant phenotype. CCR4 is the only gene contained on the SpeI-NotI fragment. Finally, this construct was used to mark the CCR4 locus by integration of the SfiI-digested plasmid. Crosses established that CCR4 is tightly linked to nut21-1, since in 10 tetrads, no recombination was observed between nut21-1 and the marked allele of CCR4.

Sequence analysis. Database searches with the Nut1p and Nut2p protein sequences were performed by XREF (6), with additional support from the Wisconsin package of the Genetics Computer Group. Nucleotide positions in the HO promoter are numbered relative to the HO ATG codon such that the A immediately preceding the ATG is -1.

**Plasmids.** Standard methods for DNA manipulations were as described previously (50). Plasmids generated for this study are listed in Table 2.

(i) *lacZ* reporters. Two UAS<sub>GAL</sub>-URS2R-*lacZ* reporters, pRKT229 and pRKT188, were used. To create the UAS<sub>GAL</sub>-URS2R-*lacZ* reporter pRKT229, the *XhoI-Hind*III fragment of pRKT225, which contains the 354-bp *SspI-Hind*III fragment of URS2 filled in and ligated into the *SaI* site of pBluescript, was cloned into the *XhoI* and *Hind*III sites of pRKT208. To create pRKT188, the 406-bp *SspI* fragment of URS2 was ligated into the *Hind*III site of a plasmid closely related to pRKT208. This construct generates a small duplication of the region between the *Hind*III site at -171 and the *SspI* site at -122. pRKT208 was generated in two steps. First, the *Hind*III-*BgII*I fragment of pJO11, a derivative of the *ho-lacZ* fusion from Russell et al. (48), was ligated with *ApaI*, filled in with T4 polymerase, and ligated with the *Sau3A-AvaI* fragment containing UAS<sub>GAL</sub> which had been filled in with T4 polymerase. To create pRKT533, URS1 with an *ApaI* site and an engineered *XhoI* site (at position -871 of *HO*) was digested with these enzymes and ligated into the *ApaI* and *Hin*dIII sites of pRS306.

(ii) UAS-less reporter. A fragment containing the URA3 gene was ligated into a HindIII- and SacI-digested pBR322 plasmid containing the HO upstream region and gene to generate plasmid pRKT186. pRKT186 has the HO upstream region replaced with URA3. Strain RT233 was generated by transformation of strain K1107 with SaII- and PstI-digested pRKT186. The resulting allele at the HO locus, ho::uas $\Delta URA3$ :ho-lacZ, lacks a UAS and is similar in construction to a UAS-less reporter plasmid used by others (24).

(iii) NUT1 deletion plasmid. The 5.2-kb XhoI fragment containing YGL151w was subcloned into the SaII site of pGEM11( $f^+$ ) to generate pRKT363. Plasmid CY253, containing TRP1, was digested with Bg/II and HincII and ligated to pRKT363 cut with Bg/II and EcoRV. This plasmid was then digested with Bg/II and NcoI, filled in with Klenow DNA polymerase, and religated to remove the entire 5' coding region of YGL151w. This construct, pRKT365, deleted all of the

NUT1 open reading frame except the region coding for the carboxyl-terminal 53 amino acids.

(iv) *NUT2* deletion plasmid. The 9-kb *Sall-Hin*dIII fragment was subcloned into pBluescript KS<sup>+</sup>. The *Eco*RI-*Bam*HI fragment was then removed by digestion and recircularization of the vector. Divergent primers containing *Bam*HI sites that anneal at the ATG and stop codon of YPR168w were used in the PCR to amplify the flanking regions of YPR168w. The PCR product was digested with *Bam*HI and recircularized. The resulting plasmid was digested with *Bam*HI and ligated to the *Bam*HI-*Bg*III fragment containing the *hisG-URA3-hisG* cassette from pNKY51 (1) to generate pRKT432.

(v) NUT1 tags. The SacI-XhoI fragment of pRKT363 containing the entire NUT1 locus was cloned into the SacI and XhoI sites of pRS306 (52). Uracil-substituted single-stranded DNA was prepared from this plasmid by using the phage VCSM13 (Stratagene) and Escherichia coli CJ236. By using site-directed mutagenesis (27), a BamHI site was inserted at the amino-terminal end of the NUT1 open reading frame. The resulting plasmid was digested with BamHI, and annealed oligonucleotides encoding the hemagglutinin (HA) tag were ligated in frame. This plasmid, pRKT542, complemented a nut1A mutation.

(vi)  $NUT^2$  tags. The XbaI-ClaI fragment containing the NUT2 locus was subcloned into the XbaI and ClaI sites of pRS306. A BamHI site at the carboxyl terminus was inserted by the same method as for NUT1. The HA tag was ligated into this site in frame to create plasmid pRKT535. For immunofluorescence, this construct was digested with BssHII and integrated into the genomic NUT2 locus. This plasmid, which complemented the inviability of a  $nut2\Delta$ , is otherwise wild type in sequence.

Gap repair of *nut2-1*. The *nut2-1* allele was gap repaired from the strain RT271. Plasmid pRKT447 was digested with XbaI and BssHII, gel purified, and transformed into RT271. The gap-repaired plasmid pRKT519 was recovered by electroporation into XL1-Blue cells (Stratagene). The sequence of the allele was determined by dideoxynucleotide sequencing using primers complementary to NUT2. The XbaI-ClaI fragment containing the *nut2-1* allele was subcloned into pRS306 to generate pRKT515. This plasmid was used to replace the genomic NUT2 locus with the *nut2-1* allele, using two-step gene replacement (47).

**Immunofluorescence.** Indirect immunofluorescence was performed as described by Sil and Herskowitz (53). The HA-11 antibody (Babco) was used as the primary antibody at a 1:1,000 dilution.

**Northern analysis.** Northern analysis was performed as described by Sil and Herskowitz (53).

**Temperature-sensitive alleles of** *NUT2*. Temperature-sensitive alleles of *NUT2* were generated by PCR mutagenesis as described by Muhrad et al. (34). One allele, *nut2-ts70*, was cloned into pRS306 to generate plasmid pRKT559. This plasmid was used to introduce *nut2-ts70* into the genomic *NUT2* locus by two-step gene replacement (47).

#### RESULTS

The UAS<sub>GAL</sub>-URS2R-lacZ reporter is Swi4p dependent. To characterize the ability of URS2 to confer Swi4p dependence for transcription, we generated a reporter gene based on the UAS<sub>GAL</sub>-URS2 allele of HO created by Nasmyth (38). To assay Swi4p dependence, the UAS<sub>GAL</sub> from the GAL1-10 intervening region was fused to the ho TATA box which drives the expression of an ho-lacZ reporter gene. The 360 bp URS2R (right) from -528 to -171 was inserted between the UAS<sub>GAL</sub> and the TATA element to generate the UAS<sub>GAL</sub>-URS2R-lacZ reporter (Fig. 1, line 2). An identical reporter lacking URS2R, UAS<sub>GAL</sub>-lacZ, was used as a control. Both UAS<sub>GAL</sub>-lacZ and UAS<sub>GAL</sub>-URS2R-lacZ were dependent.

Both  $UAS_{GAL}$ -lacZ and  $UAS_{GAL}$ -URS2R-lacZ were dependent on growth in galactose medium for expression (Fig. 2, lanes 1 versus 2 and 4 versus 5). However, during growth in galactose medium,  $UAS_{GAL}$ -URS2R-lacZ was not expressed in the absence of Swi4p (Fig. 2, lane 6) whereas  $UAS_{GAL}$ -lacZ was (Fig. 2, lane 3). Thus, the URS2R segment confers Swi4p-dependent expression on the UAS<sub>GAL</sub>-URS2R-lacZ reporter. URS2R is close to the minimum region (-474 to -171) that confers Swi4p dependence for  $UAS_{GAL}$ -containing reporters (37, 58a).

Isolation of mutants that exhibit Swi4p-independent expression. To identify gene products that might function at URS2R to confer Swi4p dependence, we screened for mutations that relieve the Swi4p dependence of UAS<sub>GAL</sub>-URS2R-lacZ in galactose medium.  $swi4\Delta$  deletion strains grown on galactose plates formed white colonies because the lacZ reporter was not expressed, whereas mutant strains formed blue colonies due to



TABLE 4. Summary of genes identified

FIG. 2. The UAS<sub>GAL</sub>-URS2R-*lacZ* reporter is dependent on Swi4p and galactose. Expression of the UAS<sub>GAL</sub>-*lacZ* reporter (pRKT229) was assayed in a wild-type (RT692; lanes 1 and 2) and an isogenic *swi4* $\Delta$  (RT693; lane 3) strain. Expression of the UAS<sub>GAL</sub>-URS2R-*lacZ* reporter was assayed in a wild-type (RT688; lanes 4 and 5) and an isogenic *swi4* $\Delta$  (RT689; lane 6) strain. RNA was isolated from these strains grown in YEP galactose (G; lanes 2, 3, 5, and 6) or YEP dextrose (D; lanes 1 and 4) liquid medium at 30°C. RNA was analyzed by Northern blotting and hybridized with probes for *lacZ* and *TCM1* as a control for RNA content.

*lacZ* expression. From 80,000 mutagenized *swi4* $\Delta$  cells, 14 mutants were isolated. Mutants were categorized as either weak suppressors or strong suppressors of the *SWI4* deletion (Table 3). For example, strain RT267, which produced 10 U of  $\beta$ -galactosidase activity, is representative of the weak class of suppressors. In contrast, strain RT271, which produced 80 U of  $\beta$ -galactosidase activity, is representative of the strong class of suppressors. The wild-type parental strain expressed less than 1 U of activity. We also noted that all mutants isolated exhibited similar secondary phenotypes. In particular, they invaded the agar of YEP dextrose plates to much greater extent than the parental strain and were more flocculent than the parental strain when grown in liquid culture.

To determine if the mutant phenotypes were due to recessive mutations, we mated MAT deletion derivatives of each mutant to a wild-type  $MAT\alpha$  swi4 $\Delta$  strain. All 14 mutants appeared recessive, as they did not express the UAS<sub>GAL</sub>-URS2R-lacZ reporter gene. Mutations in the lacZ reporter are expected to be dominant. Therefore, these mutants were likely to carry mutations in genes required for transcriptional regulation of the reporter.

Each mutant was backcrossed to a  $MAT\alpha swi4\Delta$  UAS<sub>GAL</sub>-URS2R-lacZ strain to determine if the defect segregated as a single-gene trait. In 9 of the 10 mutants, the defect in reporter gene regulation segregated as a single-gene trait in more than

TABLE 3. *nut* and  $sin4\Delta$  mutations alleviate Swi4p-dependent reporter gene expression

Genotype	β-Galactosidase activity <sup>a</sup>
<i>SWI4</i>	
swi4Δ	
swi4\Delta nut7-2	
swi4\(\Delta\) nut1-1 nut2-1	
$swi4\Delta sin4\Delta$	

<sup>*a*</sup> β-Galactosidase activity produced by the UAS<sub>*GAL*</sub>-URS2R-*lacZ* fusion reporter was assayed in strains grown in galactose medium at 30°C and normalized such that the activity present in *SWI4*<sup>+</sup> derivatives of RT243 (19.7 Miller units) is 100. Expression of the reporter was analyzed in the *swi4*Δ parental background (RT243), in a weak (RT267) and a strong (RT271) mutant, and in a strain deleted for *SIN4* (RT300). Except for RT300, which is closely related, these strains are isogenic.

Complementation group <sup>a</sup>	No. of alleles	Gene	Open reading frame
NUT1	1	NUT1	YGL151w
NUT2	1	NUT2	YPR168w
NUT3	1	ROX3	YBL093c
NUT6	5	$SRB8^{b}$	YCR081w
NUT7	2	$SRB10^{b}$	YPL042c
NUT8	1	$SRB9^{b}$	YDR443c
NUT9	1	$SRB11^{b}$	YNL025c
NUT21	1	CCR4	YAL021c

<sup>*a*</sup> Mutants defective in the complementation groups *NUT4* and *NUT5* are both complemented by a high-copy-number plasmid containing *RGR1* (58a). Further analysis will be required to determine if either or both of these complementation groups are allelic to *RGR1* as mutations in *nut4* and *nut5* could exhibit intraallelic complementation.

<sup>b</sup> Allelism tests were not performed.

seven tetrads. However, in one strong mutant, strain RT271, the defect segregated as a two-gene trait (see below).

Because the mutations were recessive, we were able to perform complementation tests by mating  $mat\Delta$  derivatives of each mutant with  $MAT\alpha$  derivatives obtained from backcrossing. Mutations that failed to complement were provisionally assigned to the same complementation group. From this analysis (data not shown), we deduced that at least nine separate complementation groups were defined (summarized in Table 4).

Weak Nut<sup>-</sup> mutants carry mutations in the genes *SRB8*, *SRB9*, *SRB10*, and *SRB11*. To determine the nature of the defect in strains of the weak phenotype class, we cloned *NUT7* by complementation (see Materials and Methods). Sequencing of the insert of the complementing plasmid indicated that it contained *SRB10*. Mutations in this gene were previously identified as a suppressor of truncations in the carboxyl-terminal domain of RNA polymerase II, as a modifier of the glucoserepressed state of *SUC2* (54), and as a modifier of  $\alpha$ 2-mediated repression of **a**-specific genes (61). Plasmid pMW11, which contains only *SRB10* and none of the adjacent genes from the original complementing plasmid, also complemented the defect in *nut7-2*. Further, as for the original *nut7* alleles, deletion of *SRB10* had a weak defect in reporter gene regulation (Table 5).

These data strongly suggest that *nut7-2* is an allele of *SRB10* and raised the possibility that the remaining weak constitutive mutants, *nut6*, *nut8*, and *nut9*, are defective in the genes *SRB8*, *SRB9*, or *SRB11*, since mutation of these *SRB* genes causes phenotypes similar to those caused by mutations in *SRB10* (54). To test this hypothesis, we transformed *nut6*, *nut8*, and *nut9* mutants with centromere plasmids containing *SRB8*,

 

 TABLE 5. The SRB10 class of genes is required for Swi4pdependent reporter gene expression

Genotype	β-Galactosidase activity
SWI4 SRB	
swi4 $\Delta$ SRB	
swi4 $\Delta$ srb8 $\Delta$	5.1
swi4 $\Delta$ srb9 $\Delta$	
swi4 $\Delta$ srb10 $\Delta$	5.3
swi4 $\Delta$ srb11 $\Delta$	4.9

<sup>a</sup> β-Galactosidase activity from the UAS<sub>GAL</sub>-URS2R-lacZ reporter was measured in strains RT688, RT689, RT854, RT930, RT817, and RT821 during growth in YEP galactose medium at 30°C. Results were normalized so that the activity in the SW14 SRB strain (55 Miller units) was 100. All strains are isogenic.

 TABLE 6. Mutations in both NUT1 and NUT2 are required for

 Swi4p-independent reporter expression

Genotype	β-Galactosidase activity <sup>a</sup>
SWI4 NUT1 NUT2	
swi4\Delta NUT1 NUT2	
swi4\(\Delta\) nut1-1 NUT2	
swi4\(\Delta NUT1 nut2-1	
swi4\(\Delta\) nut1-1 nut2-1	

<sup>*a*</sup> β-Galactosidase activity from the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter was measured in strains RT241, RT243, RT420, RT421, and RT271 during growth in YEP galactose medium at 30°C. Results are normalized so that the activity in the *SWI4 NUT1 NUT2* strain (20.6 Miller units) is 100.

*SRB9*, and *SRB11*. *SRB8* complemented the Nut<sup>-</sup> phenotype of *nut6-2*; *SRB9* complemented *nut8-1*; *SRB11* complemented *nut9-1*. Furthermore, deletion of *SRB8*, *SRB9*, or *SRB11* caused a partial relief of the Swi4p dependence of the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter (Table 5). These results show that the genes *SRB8*, *SRB9*, *SRB10*, and *SRB11* are required for appropriate regulation of the reporter.

Mutation of ROX3 or SIN4 causes a Nut<sup>-</sup> phenotype. To determine the identities of the remaining genes, we compared the phenotype of the *nut* mutants with that of a  $sin4\Delta$  deletion in our strain background. Loss of SIN4 function has been previously shown to bypass the Swi4p requirement for transcription of ho-lacZ reporters (24, 32). Likewise, deletion of SIN4 allowed transcription of the UAS<sub>GAL</sub>-URS2R-lacZ reporter in  $swi4\Delta$  strains (Table 3). The *nut3* mutant complemented a  $sin4\Delta$  strain, suggesting that *nut3* is not an allele of SIN4. Since mutations in RGR1 and ROX3 have phenotypes like mutations in SIN4 (13, 23, 54), we tested whether centromere plasmids bearing these genes could complement *nut3-1*. A plasmid that contained the ROX3 open reading frame alone complemented the mutant phenotype, whereas plasmids containing RGR1 or SIN4 did not. Further, we found that nut3 was allelic to the ROX3 gene since nut3 segregated away from a ROX3 locus that was marked with the URA3 gene in eight tetrads. Therefore, mutation of either ROX3 or SIN4 causes inappropriate expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter.

Mutant RT271 is defective in two genes, NUT1 and NUT2. The mutant phenotype in RT271, which exhibits a strong defect in the Swi4p dependence of UAS<sub>*GAL*</sub>-URS2R-*lacZ*, segregated as if it were due to mutations in two unlinked loci that we designated *nut1* and *nut2*. In 32 tetrads, 7 parental ditype tetrads, 4 nonparental ditype tetrads, and 21 tetratype tetrads were observed. This ratio is consistent with the expected 1:1:4 ratio for segregation of two unlinked genes, when at least one gene is far from its centromere. Further, when one mutant locus, *NUT1*, was homozygous whereas the other locus, *NUT2*, was heterozygous, single-gene segregation (2:2 segregation) of the phenotype was observed in 14 tetrads.

These data indicated that two unlinked loci must be mutated to allow expression of the reporter construct in the absence of Swi4p. By quantitating  $\beta$ -galactosidase activity, we determined that expression was at background levels when only one of the two loci was mutated in *nut1 NUT2* or *NUT1 nut2* strains (Table 6). In contrast, expression was robust, to the levels of strains with Swi4p activity, in a strain in which both loci were mutated. Therefore, the genes *NUT1* and *NUT2* both contribute to the negative regulation of UAS<sub>GAL</sub>-URS2R-*lacZ* reporter in strains deleted for *SWI4*.

*NUT1* encodes a novel nonessential protein. To clone *NUT1* and *NUT2*, we transformed the double mutant strain with a

genomic library, reasoning that a centromere plasmid containing either gene should restore the Swi4p dependence of UAS<sub>*GAL*</sub>-URS2R-*lacZ*. We obtained two plasmids that differed in their restriction maps. The insert from one plasmid was tightly linked to *nut1*, whereas the insert of the other plasmid was tightly linked to *nut2* (see Materials and Methods).

Subcloning of the *NUT1* insert delimited the complementing activity to a single open reading frame, YGL151w, which encodes a large polypeptide of 1,132 amino acids and has no significant homologs. Deletion of *NUT1* caused the same phenotype as the original *nut1-1* allele. Specifically, in the absence of *SW14*, deletion of *NUT1* alone did not cause reporter gene expression in strains wild-type for *NUT2* but did permit highlevel expression of the reporter in strains that carried the *nut2-1* mutation (data not shown). In conclusion, *NUT1* encodes a large novel protein which is not essential for cell viability.

*NUT2* encodes a novel essential protein. By subcloning the insert from the other complementing plasmid, we determined that the *NUT2* gene is YPR168w. This open reading frame encodes a protein of 157 amino acids which has sequence homologs of unknown function including the human expressed sequence tag yx99c06.r1 and the *Caenorhabditis elegans* open reading frame T09A5.6 (Fig. 3).

To determine the phenotype of complete loss of function of NUT2, we generated a marked deletion of NUT2 in a diploid yeast strain. Upon sporulation, only two spores of each tetrad formed colonies of 10 tetrads analyzed. These spores were invariably NUT2 since they did not bear the marker from the deletion. Therefore, the spores that failed to form colonies lacked the NUT2 gene, although these spores did germinate, as verified by microscopic inspection. A further indication that NUT2 is essential is the inability of  $nut2\Delta$  strains to lose a URA3 centromere plasmid containing the NUT2 gene. These  $nut2\Delta$  strains were unable to grow in the presence of 5-FOA, which selects against the URA3 NUT2 plasmid, although their isogenic NUT2 gene is essential for viability.

Given that we were unable to analyze the phenotype of the NUT2 deletion with respect to reporter gene transcription, we confirmed that YPR168w is the NUT2 locus by rescuing the nut2-1 allele from yeast by gap repair (see Materials and Methods). When the NUT2 locus in a  $swi4\Delta$   $nut1\Delta$  strain was replaced with the recovered nut2-1 allele, we found that the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter was expressed despite the absence of Swi4p. Sequencing of the recovered allele revealed that nut2-1 has a single nucleotide change in YPR168w that converts codon 132 from GAA to AAA, which changes a glutamic acid residue to lysine (Fig. 3). No other mutations were found. This observation confirms that YPR168w is the open reading frame mutated in nut2-1.

Nut1p and Nut2p localize to the cell nucleus. To determine the subcellular localization of Nut1p and Nut2p, we introduced epitope tags into the coding sequence of each gene. An aminoterminal fusion of the Nut1p open reading frame joined to two copies of the HA epitope complemented the Nut<sup>-</sup> phenotype of *nut1* $\Delta$  *nut2-1* strains. Likewise, the Nut2p open reading frame was fused in frame at its carboxyl terminus to two copies of the HA epitope. The Nut2p-HA protein complemented the inviability of a *NUT2* deletion. By indirect immunofluorescence using a monoclonal antibody directed against the HA epitope, both the HA-Nut1p fusion protein (Fig. 5, columns 1 and 2) and the Nut2p-HA protein (Fig. 5, columns 3 and 4) were localized to the nuclei of yeast cells. Little if any background signal was observed in untagged strains analyzed in



FIG. 3. Alignment of Nut2p with human and C. elegans homologs. The human expressed sequence tag yx99c06.r1 (GenBank accession no. N40234) and the C. elegans open reading frame T09A5.6 (SwissProt P45966) are aligned with Nut2p. Black highlighting indicates positions conserved among these proteins. The amino acid positions of the Nut2p sequence are indicated above the alignment. • denotes the position mutated to lysine in the *nut2-1* allele.

parallel with the same antibodies and under the same conditions (Fig. 5, columns 5 and 6). These data suggest that Nut1p and Nut2p are predominantly localized in cell nuclei, where they might affect gene expression.

Loss of function of *NUT2* alone causes Swi4p-independent reporter transcription. To determine the phenotype of complete loss of *NUT2* function, we generated alleles of *NUT2* that are temperature sensitive for viability using PCR mutagenesis. One such allele, *nut2-ts70*, did not support cell growth at the restrictive temperature of 37°C (Fig. 4B, top right sector).

*nut2-ts70* cells died with abnormal morphology but no specific cell cycle arrest (58a). We generated *swi4* $\Delta$  strains carrying the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter that were *NUT1 NUT2*, *nut1* $\Delta$  *nut2-1*, or *NUT1 nut2-ts70*. Following growth in YEP galactose medium at 25 or 37°C, we assayed reporter gene expression by Northern analysis (Fig. 6A). Consistent with data from analysis of β-galactosidase activity, the *lacZ* transcript was apparent in *SWI4* strains but absent from *swi4* $\Delta$  strains regardless of temperature (Fig. 6A; compare lanes 1 and 2 with lanes 3 and 4). Deletion of *NUT1* in combination with the *nut2-1* allele re-



FIG. 4. *NUT2* is an essential gene that exhibits synthetic lethality with *NUT1*. (A) *NUT2* is an essential gene. Isogenic strains that are *NUT2* (RT781) or *nut2*Δ (RT783) were maintained with a centromere plasmid containing *UR43* and *NUT2*. Strains were streaked on synthetic complete medium (left) or on synthetic complete medium containing 5-FOA (right) and incubated for 3 days. (B) A temperature-sensitive allele of *NUT2*, *nut2-ts70*, is synthetically lethal with a deletion of *NUT1*. Isogenic strains derived from RT784 that are *NUT1* (RT784-6b), *nut1*Δ *NUT2* (RT784-1d), *NUT1 nut2-ts70* (RT784-1a), and *nut1*Δ *nut2-ts70* (RT784-2c) were constructed bearing pRKT353, a centromere plasmid containing the *UR43* and *NUT1* genes. Strains were streaked on plates with (center) or without (left and right) 5-FOA and incubated at 25°C (left and center) or 37°C (right) for 3 days.



FIG. 5. Nut1p and Nut2p are localized to nuclei. Cells bearing integrated copies of the *HA-NUT1* fusion (pRKT542; columns 1 and 2), the *NUT2-HA* fusion (pRKT535; columns 3 and 4), or no fusion (columns 5 and 6) were harvested in mid-log phase, fixed, and stained with antibodies against the HA epitope ( $\alpha$ -HA) as described in Materials and Methods. Localization of the tagged epitope was revealed by rhodamine-conjugated anti-mouse antibodies in the first row. For the same field of cells, DNA was visualized by 4',6-diamidino-2-phenylindole (DAPI) staining in the second row. Cell outlines were visualized by phase-contrast microscopy in the third row.

stored transcription of the reporter in the absence of Swi4p (Fig. 6A, lanes 5 and 6). The *nut2-ts70* allele did not perturb reporter gene expression at the permissive temperature (Fig. 6A, lane 7) but did cause expression of reporter gene transcription at the restrictive temperature of  $37^{\circ}$ C (Fig. 6A, lane 8), despite *NUT1* activity. We conclude that inactivation of *NUT2* alone is sufficient to cause Swi4p-independent expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter.

*NUT1* is synthetically lethal with a temperature-sensitive allele of *NUT2*. After replacement of *nut2-ts70* into the

genomic NUT2 locus, we sporulated a  $nut1\Delta/+$  nut2-ts70/+diploid to determine the phenotype of  $nut1\Delta$  nut2-ts70 double mutants. Of 19 tetrads germinated at the permissive temperature, 25°C, no  $nut1\Delta$  nut2-ts70 double mutants were found although 19 NUT1 nut2-ts70 spores grew up normally. To determine if  $nut1\Delta$  is indeed synthetically lethal with nut2-ts70, we obtained a double mutant that was rescued by a URA3NUT1 plasmid. Such double mutants were unable to lose the URA3 NUT1 plasmids, as they failed to grow on 5-FOA whereas their isogenic NUT1 nut2-ts70 siblings did (Fig. 4B).



FIG. 6. Inactivation of a temperature-sensitive allele of *NUT2* allows Swi4p-independent expression. (A) Expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter was assayed in wild-type (RT688; lanes 1 and 2), swi4 $\Delta$  (RT689; lanes 3 and 4), swi4 $\Delta$  nut1 $\Delta$  nut2-1 (RT718; lanes 5 and 6), and swi4 $\Delta$  NUT1 nut2-ts70 (RT748; lanes 7 and 8) strains. RNA was isolated from these strains after growth in YEP galactose liquid medium at 25°C (odd-numbered lanes) or 37°C (even-numbered lanes) for 3 h. RNA was analyzed by Northern blotting and hybridized with probes for lacZ, CLN2, PCL1, and TCM1. The latter serves as an RNA loading control. The TCM1 signal indicates that lanes 2, 3, and 4 contain slightly more RNA than other lanes. All strains are isogenic. (B) Expression of the SUC2 gene was assayed in wild-type (RT688; lanes 1 and 5), swi4 $\Delta$  (RT689; lane 2), swi4 $\Delta$  nut1 $\Delta$  nut2-1 (RT718; lane 3), and SW14 nut1 $\Delta$  nut2-1 (RT720; lane 4) strains by Northern analysis. Strains were grown in dextrose (D; lanes 1 to 4) or in galactose (G; lane 5) medium. (C) STE2 expression was assayed in MAT $\alpha$  cells (lanes 1 and 3) and MATa cells (lanes 2 and 4).



FIG. 7. The phenotype of *nut1 nut2* mutants is manifest only in the presence of *lacZ* sequences. (A) *HO* expression was analyzed for strains containing the URS1-URS2R-*ho* allele (lanes 1 to 4) or the URS1-URS2R-*lacZ* allele (lanes 5 to 8). Wild-type strains (RT890 [lane 1] and RT973 [lane 5]) were compared with *swi4*\Delta strains (RT952 [lane 2] and RT976 [lane 6]), *swi4*\Delta *nut1*\Delta *nut2-1* strains (RT953 [lane 3]). (B) *PHO5* expression was measured in strains grown in the presence (lanes 1 to 5) or absence (lane 6) of inorganic phosphate. Wild-type (lanes 1, 5, and 6) was compared with *swi4*\Delta (RT952; lane 2), *swi4*\Delta *nut1*\Delta *nut2-1* (RT953; lane 3), and *swi4*\Delta *sin4*\Delta (RT1118; lane 4) strains.

Thus, deletion of *NUT1* exacerbates the growth phenotype of the *nut2-ts70* allele just as it exacerbates the reporter gene phenotype due to the *nut2-1* allele.

Mutation of NUT1 and NUT2 affects expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter but not the endogenous HO gene. To determine if NUT1 and NUT2 are physiological regulators of HO transcription, we assayed HO transcription in the *nut1* $\Delta$  *nut2-1* double mutant. We observed that the *nut1* $\Delta$ nut2-1 double mutant did not affect the Swi4p dependence of the intact ho gene containing URS1-URS2-ho (data not shown). Because the *lacZ* reporter only contained part of URS2, we constructed an allele of the endogenous ho gene in which the wild-type URS2 region was truncated, leaving only URS2R, the minimal region of URS2 required for Swi4p dependence (Fig. 1, line 3). This allele, URS1-URS2R-ho, required Swi4p (Fig. 7, lane 2) and Swi5p (data not shown) for ho transcription as assayed by Northern hybridization. However, in contrast to the UAS<sub>GAL</sub>-URS2R-lacZ reporter, transcription of ho from the URS1-URS2R-ho gene was not restored in the absence of Swi4p in the *nut1* $\Delta$  *nut2-1* mutant (Fig. 7, lane 3).

To analyze the differential effects of *NUT1* and *NUT2* on URS1-URS2R-*ho* (Fig. 1, line 3) and UAS<sub>*GAL*</sub>-URS2R-*lacZ* (Fig. 1, line 2), we constructed another *ho* allele that was identical to URS1-URS2R-*ho* in all respects except that the *HO* open reading frame was fused to the *E. coli lacZ* gene within the coding region of the gene (Fig. 1, line 4). We observed that expression of this construct, URS1-URS2R-*lacZ*, was also dependent on Swi4p (Fig. 7, lane 6) and Swi5p for activity. Unlike URS1-URS2R-*ho*, URS1-URS2R-*lacZ* was

transcribed in the absence of Swi4p activity when the strain was *nut1* $\Delta$  *nut2-1* (Fig. 7, lane 7). Thus, the difference between URS1-URS2R-*ho* and the reporter UAS<sub>GAL</sub>-URS2R-*lacZ* can be attributed to the presence of *lacZ* sequences. This phenotype is similar to the phenotype of SIN4 mutants, which also bypass the requirement of Swi4p for HO expression only when the HO open reading frame is fused to *lacZ* sequences (Fig. 7, lane 4 versus lane 8) (24, 33, 40). In summary, Nut1p and Nut2p appear essential for the Swi4p dependence of HO alleles compromised by the presence of *lacZ* sequences.

Mutation of NUT1 and NUT2 affects the expression of another lacZ-containing reporter but not other Swi4p-dependent genes. To determine if the nut1 $\Delta$  nut2-1 strain is generally defective in the regulation of gene expression or defective in the regulation of lacZ-containing reporters other than UAS<sub>GAL</sub>-URS2R-lacZ, we assayed the expression of another reporter and other genes in this strain. Transcription of the PCL1 gene is dependent on Swi4p (42), whereas transcription of the CLN2 gene is largely but not completely dependent on Swi4p (14). To determine if mutation of NUT1 or NUT2 enhances the Swi4pindependent transcription of PCL1 or CLN2, we analyzed transcription of these genes in wild-type, swi4 $\Delta$ , swi4 $\Delta$  nut1 $\Delta$ nut2-1, and swi4 $\Delta$  nut2-ts70 strains (Fig. 6A). We were unable to detect any increase in the Swi4p-independent transcription of these two genes in the nut mutant strains.

We also examined the regulation of genes whose expression is independent of Swi4p but whose repression is dependent on *SIN4* or *SRB10*. The glucose repression of *SUC2* transcription is perturbed by mutation of *SIN4* or *SRB10* (54). We found, however, that *SUC2* expression was appropriately repressed in a *nut1* $\Delta$  *nut2-1* mutant when cells were grown in glucose (Fig. 6B). The repression of *STE2* by  $\alpha$ 2 is perturbed by mutations in *SIN4* and *SRB10* (61). The *nut1* $\Delta$  *nut2-1* double mutant did not perturb *STE2* repression in  $\alpha$  cells (Fig. 6C). Finally, the repression of *SPO13* in haploid vegetative cells was also unaffected by mutations in *NUT1* and *NUT2* (data not shown).

Although mutation of *NUT1* and *NUT2* did not affect the expression of the three genes assayed here, we found that  $nut1\Delta nut2-1$  double mutants, like  $sin4\Delta$  mutants, exhibit a greater than 10-fold elevated expression of a *pPHO5-lacZ* reporter construct (Table 7, column 2). Under repressing conditions for *PHO5* regulation, deletion of *SIN4* is known to cause increased expression of this *pPHO5-lacZ* reporter while not perturbing repression of a *PHO5* gene lacking the *lacZ* moiety (21b). Likewise, the *nut1*\Delta *nut2-1* double mutant did not perturb repression of the endogenous *PHO5* gene (Fig. 7B). These data demonstrate that mutation of *NUT1* and *NUT2* affects *pPHO5-lacZ* similarly to mutation of *SIN4*. Finally, mutation of *SIN4* increases expression of reporters lacking a UAS (Table 7, column 3). Again, *nut1*\Delta *nut2-1* double mutants have

TABLE 7. Mutation of SIN4 or of NUT1 and NUT2 elevatesexpression of pPHO5-lacZ

C	β-Galactosidase activity <sup>a</sup>		
Genotype	$pPHO5$ -lac $Z^b$	UAS-less lacZ <sup>c</sup>	
NUT <sup>+</sup>	1.0	0.1	
srb10 $\Delta$	2.8	0.1	
$sin4\Delta$	11	5.2	
nut $1\Delta$ nut $2$ - $1$	16	1.1	

<sup>*a*</sup> Normalized so that the activity in the *swi4* $\Delta$  strain (1.6 Miller units) is 1.0. <sup>*b*</sup> Measured in strains RT952, RT1118, RT1120, and RT953 during growth under selection at 30°C in standard synthetic medium which is repressing for *pPHO5-lacZ*.

<sup>c</sup> Measured in strains RT233, RT849, RT335, and RT850.

TABLE 8. Mutation of *NUT21* synergizes with  $nut1\Delta$  to cause Swi4p-independent reporter gene expression

Genotype	β-Galactosidase activity
SWI4 NUT1 NUT21	
swi4\Delta NUT1 NUT21	
swi4 $\Delta$ nut1 $\Delta$ NUT21	
$swi4\Delta$ nut $1\Delta$ nut $21-1$	
swi4 $\Delta$ NUT1 nut21-1	

<sup>*a*</sup> β-Galactosidase activity from the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter was measured in strains RT858, RT243, RT569, RT789, and RT709 during growth in YEP galactose medium at room temperature. Results are normalized so the activity in the *SWI4 NUT1 NUT2* strain (13.2 Miller units) is 100.

similar phenotypes, although they exhibit only a 12-fold increase, compared to a 60-fold increase caused by mutation in *SIN4*.

Mutation of CCR4 in combination with deletion of NUT1 has a Nut<sup>-</sup> phenotype. Because *NUT2* is essential for cell viability and is a novel gene, we aimed to identify other genes that function like NUT2. Therefore, we sought other mutants with a Nut2p-like phenotype by screening for constitutive expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter in cells deleted for SWI4 and NUT1. From this screen, we identified genes that define mutations in at least four different complementation groups (21a). One mutant strain, RT609, was characterized further. This strain contained mutation, designated nut21-1, which exhibits a temperature-sensitive growth defect that cosegregated in seven tetrads with the defect in reporter gene regulation. nut21-1 was not allelic to NUT2 but, like the nut2-1 allele, exhibited a Nut<sup>-</sup> phenotype in combination with  $nut1\Delta$ (Table 8). Further, nut21-1 exhibited no phenotype alone or in combination with nut2-1. These results suggest that NUT21 encodes an essential protein that, like Nut2p, functions with Nut1p to regulate expression of the UAS<sub>GAL</sub>-URS2R-lacZ reporter.

From a centromere genomic library, we isolated a plasmid, pRKT562, that complemented both the defect in reporter gene regulation and the temperature sensitivity of the *nut21-1* mutation. Subcloning of the insert on this plasmid established that the gene *CCR4* was responsible for the complementing activity (see Materials and Methods). Furthermore, *CCR4* was linked to the *nut21-1* mutation, as no recombinants between the two loci were obtained in 10 tetrads. These data suggest that mutation of *CCR4* in combination with loss of *NUT1* function causes Swi4p-independent expression of the UAS<sub>*GAL*</sub>-URS2R-*lacZ* reporter. Thus, like Nut2p, Ccr4p might function with Nut1p to negatively regulate UAS<sub>*GAL*</sub>-URS2R-*lacZ*.

#### DISCUSSION

We have identified a novel class of genes which includes *NUT1*, *NUT2*, and *CCR4* in screening for mutations that relieve the Swi4p dependence of an artificial reporter regulated by the URS2R region of the *HO* promoter. Nut1p, Nut2p, and Ccr4p formally behave as negative regulators of gene expression. Their function is distinctive in two respects. First, these proteins contribute substantially to the regulation of artificial reporters but not detectably to their endogenous counterparts. Second, these proteins function cooperatively with each other.

Nut1p and Nut2p behave as a negative regulators of transcription. We found that the 360-bp URS2R segment from the URS2 region is sufficient to confer Swi4p dependence on the UAS<sub>*GAL*</sub> activation sequences. We propose that in the absence of Swi4p, regulatory mechanisms inhibit activation of transcription by Gal4p in the case of UAS<sub>*GAL*</sub>-URS2R-lacZ and by Swi5p in the case of *HO*. In *nut1 nut2* double mutants, however, URS2R is unable to inhibit transcription of UAS<sub>*GAL*</sub>-URS2R-*lacZ* and URS1-URS2R-*lacZ* in the absence of Swi4p. Thus, for these reporters, Nut1p and Nut2p act as negative regulators of transcription.

This interpretation is also consistent with two additional findings. First, mutations in *SRB8*, *SRB9*, *SRB10*, *SRB11*, *SIN4*, *ROX3*, and *RGR1* also cause loss of Swi4p-dependent regulation of UAS<sub>*GAL*</sub>-URS2R-*lacZ* (Table 4). Given that these genes are required for the repression of a number of endogenous yeast genes including *SPO13* (58), *IME1* (13), *SUC2* (54), and *STE2* (61), Nut1p and Nut2p might be similarly required for repression of transcription even though Nut1p and Nut2p are not required for the repression of *SPO13*, *SUC2*, and *STE2*. Second, both Nut1p and Nut2p are localized to the nucleus, where they would be available to regulate transcription directly.

The phenotypes of *nut1* $\Delta$  *nut2-1* mutants are context dependent. We found that the nut1 nut2 double mutation relieved the Swi4p dependence of UAS<sub>GAL</sub>-URS2R-lacZ and URS1-URS2R-lacZ but did not affect the Swi4p dependence of URS1-URS2R-ho or URS1-URS2-ho. These data establish that Nut1p and Nut2p are not absolutely required to regulate transcription of the endogenous HO gene. Possibly, unknown components operate redundantly with Nut1p and Nut2p to regulate HO transcription. The insertion of lacZ sequences might disable these components and thus reveal a requirement for Nut1p and Nut2p. Another possibility is that the presence of *lacZ* sequences in yeast may simply generate a new situation which requires additional proteins for regulation. We cannot exclude the possibility that mutations in NUT1 and NUT2 would increase the expression of any *lacZ*-containing reporter. Further experimentation will be necessary to resolve this issue.

In any event, the finding that the *nut1 nut2* double mutation primarily affects lacZ-containing reporters suggests that NUT1 and NUT2 may be members of a small group of genes whose phenotypes are limited to artificial reporters. Mutations in SIN4 allow Swi5p-independent expression of a URS1-URS2lacZ allele but not of URS1-URS2-ho (24, 33, 40). Mutations in SIN4 also cause elevated expression of a phosphate-repressed pPHO5-lacZ construct but not of an identical construct in which the PHO5 promoter directs transcription of the PHO5 gene (21b). We observed that the NUT1 and NUT2 genes are similarly required for the repression of *pPHO5-lacZ* but do not affect the repression of the endogenous PHO5 gene. Harashima et al. have observed that SIN4 mutations allow constitutive transcription of the PHO5 gene when the PHO5 promoter and open reading frame are integrated at the URA3 locus (19).

Thus, the requirement for Nut1p, Nut2p, and Sin4p is revealed only when the chromosomal environment for gene expression is perturbed by the insertion of bacterial lacZ sequences or by the removal of the gene to another genomic location. One explanation is that these alterations disrupt the normal organization of chromatin across promoter regions. Nucleosomes are important for the regulation of both HO and PHO5 transcription (2, 25, 57). Conceivably, the organization of nucleosomes across the promoters of these genes requires either a suitable chromosomal environment or Nut1p and Nut2p activity. When the chromosomal milieu is disrupted, Sin4p, Nut1p, and Nut2p activity would be essential for positioning the nucleosomes that inhibit transcription at these promoters. Because other models also adequately explain the data, it remains to be determined why the phenotypes of mutations in NUT1, NUT2, and SIN4 are evident only in artificial situations.

Instead of a simple parallel relationship, we propose that Nut2p is the primary functional moiety whereas Nut1p is a dispensable auxiliary protein that assists Nut2p. Several observations lead to this hypothesis. First, inactivation of a temperature-sensitive Nut2p causes a measurable increase in Swi4pindependent UAS<sub>GAL</sub>-URS2R-lacZ expression despite the presence of wild-type Nut1p. Second, of the two proteins, Nut2p is an essential protein whereas Nut1p is not. Finally, Nut1p appears to assist Nut2p both in the essential function of Nut2p and in regulating the UAS<sub>GAL</sub>-URS2R-lacZ reporter construct. Removal of Nut1p dramatically exacerbates the constitutive reporter phenotype of the nut2-1 allele. Likewise, in the absence Nut1p, the mutant Nut2p encoded by the nut2-ts70 allele is unable to sustain cell viability at any temperature, whereas in the presence of Nut1p, it can support viability at 25°C. Thus, Nut1p contributes substantially to the function of Nut2p.

Nut1p, Nut2p, and Ccr4p may comprise a distinctive class of proteins. Our finding that Nut1p and Nut2p function cooperatively suggests that other proteins might cooperate with Nut1p. Therefore, we asked if deletion of NUT1 could synergize with mutations in genes other than NUT2 to cause a Nutphenotype. From analysis of such mutations, we estimate that at least four such genes exist. An allele of CCR4 caused a Nutphenotype in a *nut1* $\Delta$  background, indicating that Ccr4p may function with Nut1p. Ccr4p was previously identified as a regulator of ADH2 gene expression (15). Its role in gene regulation appears subtle, as the strongest phenotypes were observed on ADH2 genes that were compromised by SPT/CRE mutations or by delta insertions. Ccr4p is a component of a large protein complex that includes Caf1p, Dbf2p, Not1p, Not2p, Not3p, and Not4p (16, 30, 31). The Not proteins appear to be involved in transcriptional repression (12). Our finding that Ccr4p functions with Nut1p to negatively regulate UAS<sub>GAL</sub>-URS2R-lacZ is consistent with these studies. Although we have yet to determine the identity of the remaining genes that function like NUT2, some may encode other proteins in the Ccr4p complex, and likewise Nut1p and Nut2p might physically interact with the Ccr4p complex. Remarkably, Harashima et al. identified two different two-gene traits, one due to mutations in BEL3 and BEL7 and the other due to mutations in BEL5 and BEL6, that affect the repression of PHO5 at a heterologous genomic locus but not at its native locus (19). Not only is the nutl nut2 mutant similarly defective in two genes, but it shares with these two bel mutants rough colony morphology, flocculent growth in liquid culture, and elevated expression of artificial, albeit different reporter genes. It remains to be determined if bel3, bel5, bel6, and bel7 are alleles of NUT1, NUT2, or CCR4.

In summary, the genetic screens described here have identified Sin4p, Nut1p, Nut2p, and Ccr4p as representatives of a distinctive group of proteins. These proteins all appear to negatively regulate transcription from artificial reporters. While Sin4p and Ccr4p are known to regulate endogenous genes, we do not know if Nut1p and Nut2p do. However, Nut2p may be vital to the regulation of endogenous genes, since Nut2p is essential for viability. The disruptive effect of *lacZ* sequences may be revealing a requirement for a function dependent on Sin4p, Nut1p, Nut2p, and Ccr4p. Elucidating this function may contribute to our understanding of the processes that negatively regulate eukaryotic transcription.

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### ADDENDUM IN PROOF

We have recently learned that Nut2p may be a component of the mediator complex (Claes Gustafsson, personal communication; Young-Joon Kim, personal communication). The mediator complex is required for yeast transcriptional activation in vitro and also contains Sin4p, Rox3p, and Rgr1p (see references 18 and 28).

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