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### **RESEARCH ARTICLE**

# Alzheimer's & Dementia

# Association between metabolic syndrome and risk of incident dementia in UK Biobank

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### Abstract

**INTRODUCTION:** The association between metabolic syndrome (MetS) and incident dementia remains inconclusive.

**METHODS:** In 176,249 dementia-free UK Biobank participants aged  $\geq$ 60 years at baseline, Cox proportional-hazards models were used to investigate the association between MetS and incident dementia. MetS was defined as the presence of >3 of the following: elevated waist circumference, triglycerides, blood pressure, blood glucose, and reduced high-density lipoprotein cholesterol.

**RESULTS:** Over 15 years of follow-up (median = 12.3), 5255 participants developed dementia. MetS was associated with an increased risk of incident dementia (hazard ratio [HR]: 1.12, 95% confidence interval [CI]: 1.06, 1.18). The association remained consistent when restricting to longer follow-up intervals: >5 to 10 years (HR: 1.17, 95% CI: 1.07, 1.27) and >10 years (HR: 1.22, 95% CI: 1.12, 1.32). Stronger associations were observed in those with >4 MetS components and in apolipoprotein-E (APOE)- $\varepsilon$ 4 non-carriers.

DISCUSSION: In this large population-based prospective cohort, MetS was associated with an increased risk of dementia.

#### **KEYWORDS**

cohort studies, dementia, follow-up studies, incidence, longitudinal, metabolic syndrome, risk factors, UK biobank

#### Highlights

- MetS was associated with a 12% increased risk of incident all-cause dementia.
- Associations remained similar after restricting the analysis to those with longer follow-up.
- The presence of four or five MetS components was significantly associated with dementia.
- Stronger associations were observed in those with a low genetic risk for dementia.

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# 1 | BACKGROUND

Approximately 20% to 25% of adults globally live with metabolic syndrome (MetS), a condition characterized by the clustering of several cardiometabolic abnormalities.<sup>1</sup> MetS is diagnosed based on the presence of at least three of the following: elevated (1) waist circumference, (2) triglycerides, (3) blood pressure, (4) and blood glucose and (5) reduced high-density lipoprotein (HDL) cholesterol.<sup>1</sup> The prevalence of MetS increases with age; in the US, an estimated 40% of individuals aged  $\geq 60$  years meet the diagnostic criteria.<sup>2,3</sup> MetS is strongly associated with an increased risk of developing cardiovascular disease, cerebrovascular disease, and Type 2 diabetes.<sup>1</sup>

Recent research has indicated that MetS could represent a novel risk factor for dementia; however, the relationship remains unclear. A 2019 meta-analysis of six longitudinal studies found no statistically significant pooled association between MetS and risk of incident dementia (hazard ratio [HR]: 1.12, 95% confidence interval [CI]: 0.94, 1.33).<sup>4</sup> Notably, two additional longitudinal studies have since been published, providing further insight regarding the relationship between MetS and dementia risk, both of which reported an increased risk of dementia of 10% to 15% for those with MetS, although only one study reached statistical significance.<sup>5,6</sup> As such, the relationship between MetS and dementia remains inconclusive, with the majority of studies consisting of small sample sizes (i.e., <8000 participants<sup>5,7-14</sup>) or short follow-up periods (ie, <5 years<sup>6,8,10-14</sup>). The latter is important to address because dementia has a long prodromal phase prior to a clinical diagnosis, and reverse causation is a particular limitation of studies with short follow-up. Furthermore, previous studies demonstrated that associations between individual MetS components (eg, elevated blood glucose, blood pressure or waist circumference) and cognitive dysfunction and/or dementia may differ by genetic risk for dementia.<sup>15-19</sup> However, whether genetic predisposition to dementia modifies any observed associations between MetS and dementia risk has, to our knowledge, not been previously investigated. Moreover, previous evidence indicates that, individually, each component of MetS is consistently associated with an increased risk of developing dementia.<sup>20</sup> However, the combined contribution of these components in this relationship is not fully understood.

To address these limitations, we investigated the association between MetS and risk of incident dementia in a population-based cohort of more than 175,000 participants over 15 years of follow-up. We also examined whether Apolipoprotein-E (APOE)- $\varepsilon$ 4 carrier status (either APOE  $\varepsilon 3/\varepsilon 4$  or APOE  $\varepsilon 4/\varepsilon 4$ ), as well as a non-APOE polygenic risk score for dementia, interacts with MetS to modify the risk of dementia. Additionally, we further explored the role of MetS components on dementia risk.

#### 2 **METHODS**

#### 2.1 Study population

The UK Biobank is a large population-based prospective cohort study of more than half a million participants aged 40 to 69 years recruited

#### **RESEARCH IN CONTEXT**

- 1. Systematic review: A PubMed search identified studies investigating the relationship between metabolic syndrome (MetS) and dementia. Findings remain inconclusive, with most studies conducted in small populations with short follow-up duration.
- 2. Interpretation: In a large population-based study of more than 175,000 participants aged  $\geq$ 60 at study baseline, we found that MetS was associated with a 12% increased risk of incident all-cause dementia. The associations remained similar when restricting the analysis to those with longer follow-up. Stronger associations were observed in those with at least four MetS components and in Apolipoprotein-E (APOE)- $\varepsilon$ 4 non-carriers. The relative risk for dementia was greater among APOE- $\varepsilon$ 4 non-carriers with MetS. However, the absolute risk difference between those with and without MetS was larger among  $\varepsilon$ 4 carriers.
- 3. Future directions: These findings should be replicated in other large and diverse cohorts with long follow-up duration. Differential associations between MetS and dementia subtypes, such as vascular dementia and Alzheimer's disease, should also be further investigated.

between 2006 and 2010 in the UK.<sup>21</sup> Participants attended baseline assessment centers across England, Scotland, and Wales, where they provided electronically signed consent. At baseline, participants provided information on sociodemographic, lifestyle, environmental, and health-related factors collected through a touch-screen questionnaire and a nurse-led verbal interview, underwent various physical examinations, and provided biological samples. Medication use was also ascertained during a nurse-led verbal interview. UK Biobank received ethical approval from the National Health Service (NHS) North West Centre for Research Ethics Committee (Ref: 11/NW/0382).

In the current study, the sample was restricted to participants aged  $\geq$ 60 years at baseline to ensure the study population consisted of individuals who were at risk of developing late-onset dementia. We also excluded participants with prevalent self-reported or diagnosed dementia. Further details regarding cohort creation are provided in Supplemental File 1.

#### 2.2 Metabolic syndrome

MetS was defined using the Harmonized Criteria proposed by the International Diabetes Federation (IDF) and the American Heart Association/National Heart, Lung, and Blood Institute (AHA/NHLBI) in 2009.1 The presence of at least three of the following five components constituted a MetS diagnosis: (1) abdominal obesity (elevated

QURESHI ET AL.

waist circumference: >102 cm in males and >88 cm in females): (2) elevated triglycerides ( $\geq$ 150 mg/dL or 1.7 mmol/L); (3) elevated blood pressure ( $\geq$ 130 mmHg systolic blood pressure and/or  $\geq$ 85 mmHg diastolic blood pressure) or antihypertensive medication use; (4) elevated fasting blood glucose (≥100 mg/dL or ≥5.6 mmol/L) or drug treatment for elevated blood glucose; and (5) reduced HDL-cholesterol (<40 mg/dL or 1.0 mmol/L in males; <50 mg/dL or 1.3 mmol/L in females) or lipid-modifying medications. Data on circulating glucose levels were obtained predominantly from non-fasting blood samples, which are more likely to be affected by recent food intake (compared to fasting samples), which can lead to high variability in glucose measurements. Therefore, we used glycated hemoglobin (HbA1c) as a proxy measure of glucose, based on the recommendations of the American Diabetes Association, with a cut point of HbA1c  $\geq$  5.7% (39 mmol/mol) to represent hyperglycemia.<sup>22</sup> Additionally, lipid-modifying medications are known to have multiple effects on the lipid profile (including HDL cholesterol and triglycerides).<sup>23</sup> Thus, to prevent double counting, we assigned these medications to the reduced HDL-cholesterol group in our analysis. Medication usage was captured using Anatomical Therapeutic Chemical (ATC) codes, informed by a thorough literature review of previous studies defining MetS using ATC codes,<sup>24–34</sup> and with expert clinical input.

Participants were categorized into two groups: (1) no MetS (reference group) and (2) MetS. Complete information regarding the variables and medication codes used to capture and define MetS components are available in Supplemental Files 2 and 3.

# 2.3 Dementia

Dementia cases were identified using hospital inpatient admissions and death registry records. Inpatient admissions records were available from the Hospital Episode Statistics for England, the Scottish Morbidity Record for Scotland, and the Patient Episode Database for Wales. Death registry records were available from the NHS England for England and Wales, and the Information and Statistics Division for Scotland. Primary and secondary hospital diagnoses and causes of death were recorded using the International Classification of Diseases (ICD-10) coding system. The ICD codes used to ascertain dementia were selected and validated by the UK Biobank outcome adjudication group (Supplemental File 4).<sup>35</sup>

# 2.4 Covariates and effect modifiers

Covariates included sociodemographic, lifestyle, and genetic factors previously associated with dementia or MetS and are considered here to be potential confounders in determining the relationship between the exposure and outcome.<sup>20,36</sup> Age (in years) at baseline was calculated based on date of birth and the date of attending an assessment center. The Townsend deprivation index score was used as a proxy for material socioeconomic deprivation and was assigned to each study participant using their residential postal code at baseline, and was cate-

gorized in fifths (1 to 5: least deprived to most deprived).<sup>37</sup> Sex ("male." "female") was captured using NHS records and/or self-reported data from the touch-screen guestionnaire. Ethnicity ("white," "non-white"), education level ("primary," "secondary," "post-secondary non-tertiary," "tertiary"), household income in GBP ("less than 18,000," "18,000 to 30,999," "31,000 to 51,999," "52,000 to 100,000," "greater than 100,000"), smoking status ("never," "previous," "current"), and alcohol intake ("never," "former drinker," "special occasions only," "1 to 3 times per month," "1 or 2 times per week," "3 or 4 times per week," "daily or almost daily," "prefer not to answer") were captured from the touch-screen questionnaire. Physical activity level ("low" - metabolic equivalent [MET] minutes ≤1200, "high" - MET > 1200) was derived from the touch-screen questionnaire items, which were adapted to the validated short International Physical Activity Questionnaire<sup>38</sup>; time spent conducting vigorous, moderate, and walking activity was weighted by the amount of energy expended, which allowed us to obtain total MET minutes per week.

APOE-ɛ4 carrier status ("ɛ4 non-carrier," "ɛ4 carrier") was derived using the rs429358 and rs7412 single-nucleotide polymorphisms (SNP), which were directly genotyped on the UK Biobank arrays.<sup>39</sup> Non-APOE dementia polygenic risk score (PRS) was used in secondary analyses to assess interactions. This measure was based on a 39 SNP score (available on the Polygenic Score Catalog online as PGS001775) and was derived based on previously published methods<sup>40</sup> that used a range of publicly available genome-wide association study summary statistics for late-onset Alzheimer's disease.<sup>41-43</sup> Weights for the score were computed in the International Genomics of Alzheimer's Project.<sup>42,43</sup> PLINK 2<sup>44</sup> with a hard call threshold of 0.1 was used to make sure that none of the SNPs were in linkage disequilibrium with the APOE SNPs ( $R^2 < 0.3$ ). One SNP had minor allele frequency < 0.005 and was therefore excluded. The final score was made up of 38 SNPs, where all SNPs had imputation information >0.9 and no SNPs were ambiguous. The dementia PRS was split into quintiles and further categorized into "low" (quintile 1), "intermediate" (quintiles 2 to 4), and "high" (quintile 5) groups, with a higher PRS indicating a greater dementia risk.

## 2.5 Statistical analysis

Descriptive statistics were used to compare baseline characteristics between participants with and without MetS; mean and standard deviation was calculated for normally distributed variables, and median and interquartile range for skewed variables. Multivariable Cox proportional-hazards models using follow-up time as the underlying time scale were used to estimate the association between MetS and incident dementia. Follow-up time (in years) was calculated from the baseline assessment date until the date of first incident dementia diagnosis, date of death, date of loss to follow-up, or end of follow-up, whichever occurred first. End of complete follow-up was based on the availability of electronic health record data in the UK Biobank, which was censored on September 30, 2021 for England; July 31, 2021 for Scotland; and February 28, 2018 for Wales. The proportional-hazards

# Alzheimer's & Dementia

assumption was visually examined using scaled Schoenfeld residuals, with no variables violating the assumption. Only participants with complete data on all five individual MetS components were included in the main analysis (see Supplemental File 1 for cohort flow diagram). The main analysis was adjusted for age, sex, ethnicity, education, Townsend deprivation index score, household income, smoking status, alcohol intake, physical activity level, and APOE- $\varepsilon$ 4 carrier status.

Participants with any missing covariate data or who responded with "prefer not to answer/do not know" were assigned as a separate category for each categorical variable.

To investigate the potential for reverse causation, the analysis was stratified by follow-up time: (1)  $\leq$ 5 years, (2) >5 to 10 years, and (3)  $\geq$ 10 years.

To better understand the influence of each confounder on the relationship between MetS and risk of incident dementia, we investigated the effect of individual and sequential adjustment of covariates. In individual adjustment, we first adjusted for age and sex and then incorporated each covariate into the model separately. In sequential adjustment, we again adjusted for age and sex and then gradually added all covariates into the model in a stepwise manner.

The interaction between MetS and genetic predisposition to dementia was investigated by entering MetS  $\times$  APOE- $\varepsilon$ 4 carrier status ("not a carrier," " $\varepsilon$ 4 carrier") MetS  $\times$  non-APOE dementia PRS ("low," "intermediate," "high") interaction terms separately into the main model. Effect estimates within each strata of genetic risk were obtained for MetS versus no MetS.

To investigate possible joint effects by MetS and genetic predisposition to dementia, a four-level categorical variable was derived containing each combination of MetS and APOE- $\varepsilon 4$  carrier status; a six-level categorical variable was also derived containing each combination of MetS and non-APOE dementia PRS categories. The main analysis was repeated with these variables entered into separate models. This approach provides a comprehensive understanding of how these individual genetic factors may synergistically influence dementia risk by MetS status.

The interaction between MetS and sex ("female," "male") was also examined due to prior evidence of effect modification.<sup>45,46</sup> Furthermore, we conducted stratified analyses to investigate effect modification by age group.

We also examined the association between individual MetS components and dementia risk, as well as the association between the number of MetS components (defined as a categorical variable, on a scale from 0 to 5) and dementia risk. Additionally, we investigated the relationship between all 16 possible combinations of MetS components and incident dementia.

In our study, we assigned lipid-modifying medications to the reduced HDL cholesterol group. To explore whether this decision impacted our findings, we further examined the effect of assigning these medications to the elevated triglycerides group instead of reduced-HDL cholesterol.

We also performed sensitivity analyses to examine the robustness of the findings, including repeating the main analysis with (1) the use of age as the underlying time scale; (2) exclusion of participants with shorter follow-up time to avoid potential differential bias; (3) accounting for death as a competing risk; (4) additional adjustment for cardiovascular disease ("yes," "no") – defined as a diagnosis of stroke, coronary heart disease, or heart failure captured from the nurse-led verbal interview (which may be a confounder or on the causal pathway)<sup>1</sup>; (5) using the NCEP-ATP III definition for MetS,<sup>47</sup> which is a commonly used alternative definition for the condition; and (6) using multiple imputation to investigate the potential impact of missing data (detailed description provided in Supplemental File 5);

All *p* values were two sided, with statistical significance set at p < .05. All analyses were performed using RStudio version 4.2.2.

# 3 | RESULTS

Among 502,414 participants recruited into UK Biobank, we excluded 284,951 participants aged <60 years, 166 with prevalent dementia, and 41,048 with missing data on  $\geq$ 1 MetS components. Baseline characteristics of participants with missing data on MetS components were highly similar to those with complete data (Supplemental File 6). The final analytical sample consisted of 176,249 participants, of whom 41.7% had prevalent MetS. Participants' characteristics according to incident dementia status at follow-up are presented in Supplementary File 7.

The baseline characteristics of the study participants are provided in Table 1. Compared to participants without MetS, those with MetS were more likely to be older, male, of non-white ethnicity, have lower educational qualifications, reside in more socioeconomically deprived areas, have lower household income levels, be current/previous smokers, be less physically active, and be *APOE-* $\varepsilon$ 4 carriers. Among those with MetS, 52.8% had three, 33.2% had four, and 14.0% had five MetS components; the most prevalent component being elevated blood pressure (96.2%), followed by elevated triglycerides (73.5%), reduced HDL cholesterol (71.9%), elevated waist circumference (69.8%), and elevated HbA1c (49.8%). Over 2,088,296 person-years of follow-up (median [interquartile range]: 12.3 [11.5 to 13.1] years), 5255 cases of incident all-cause dementia were identified.

Compared to participants with no MetS, those with MetS had an increased risk of incident all-cause dementia (fully adjusted HR: 1.12, 95% CI: 1.06, 1.18, Figure 1 and Supplemental File 8). The association remained similar when restricting the analysis to >5 to 10 years (HR: 1.17, 95% CI: 1.07, 1.27) and >10 years of follow-up (HR: 1.22, 95% CI: 1.12, 1.32), but was null for those with  $\leq$ 5 years of follow-up (HR: 0.96, 95% CI: 0.80, 1.15). In sensitivity analyses, the results remained similar after (1) using age as a time scale, (2) excluding participants who had a shorter follow-up time (i.e., those from Wales), (3) accounting for death as a competing risk, (4) using the NCEP-ATP III definition for MetS, and (5) performing multiple imputation for missing exposure and covariate data (see Supplemental File 9). While additional adjustment for cardiovascular disease did not change the direction of the association between MetS and dementia, the relationship was slightly attenuated (HR: 1.05, 95% CI: 1.01, 1.13).

Elevated waist circumference

**Elevated triglycerides** 

#### TABLE 1 Baseline characteristics by metabolic syndrome (MetS) status.

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Characteristic		No MetS(N = 102739)	MetS (N = 73510)	Overall (N = 176249)
Follow-up length	Mean (SD)	12.0 (2.0)	11.7 (2.4)	11.8 (2.2)
Age (years)	Mean (SD)	64.0 (2.8)	64.4 (2.9)	64.1 (2.9)
Sex	Female	56,323 (54.8%)	35,729 (48.6%)	92,052 (52.2%)
	Male	46,416 (45.2%)	37,781 (51.4%)	84,197 (47.8%)
Ethnicity	White	100,305 (97.6%)	70,369 (95.7%)	170,674 (96.8%)
	Non-White	1987 (1.9%)	2777 (3.8%)	4764 (2.7%)
	Missing	447 (0.5%)	364 (0.5%)	811 (0.5%)
Education level	Primary	23,907 (23.3%)	23,318 (31.7%)	47,225 (26.8%)
	Secondary	48,010 (46.7%)	29,675 (40.4%)	77,685 (44.1%)
	Post-secondary non-tertiary	11,261 (11.0%)	7661 (10.4%)	18,922 (10.7%)
	Tertiary	18,329 (17.8%)	11,692 (15.9%)	30,021 (17.0%)
	Missing	1232 (1.2%)	1164 (1.6%)	2396 (1.4%)
Townsend deprivation index, quintiles	1 (least deprived)	22,162 (21.6%)	13,059 (17.8%)	35,221 (20.0%)
	2	21,438 (20.9%)	13,782 (18.7%)	35,220 (20.0%)
	3	20,989 (20.4%)	14,231 (19.4%)	35,220 (20.0%)
	4	20,182 (19.6%)	15,038 (20.5%)	35,220 (20.0%)
	5 (most deprived)	17,882 (17.4%)	17,338 (23.6%)	35,220 (20.0%)
	Missing	86 (0.1%)	62 (0.1%)	148 (0.1%)
Household income (in GBP)	Less than 18,000	25,097 (24.4%)	23,588 (32.1%)	48,685 (27.6%)
	18,000 to 30,999	27,809 (27.1%)	18,821 (25.6%)	46,630 (26.5%)
	31,000 to 51,999	18,982 (18.5%)	10,954 (14.9%)	29,936 (17.0%)
	52,000 to 100,000	9589 (9.3%)	4848 (6.6%)	14,437 (8.2%)
	Greater than 100,000	2351 (2.3%)	1058 (1.4%)	3409 (1.9%)
	Missing	18,911 (18.4%)	14,241 (19.4%)	33,152 (18.8%)
Smoking status	Never	54,640 (53.2%)	32,645 (44.4%)	87,285 (49.5%)
	Previous	40,038 (39.0%)	33,354 (45.4%)	73,392 (41.6%)
	Current	7563 (7.4%)	6945 (9.4%)	14,508 (8.2%)
	Missing	498 (0.5%)	566 (0.8%)	1064 (0.6%)
Alcohol intake	Never	4038 (3.9%)	4392 (6.0%)	8430 (4.8%)
	Former drinker	3176 (3.1%)	3466 (4.7%)	6642 (3.8%)
	Special occasions only	10,253 (10.0%)	11,030 (15.0%)	21,283 (12.1%)
	1-3 times per month	9377 (9.1%)	8024 (10.9%)	17,401 (9.9%)
	1-2 times per week	24,086 (23.4%)	17,992 (24.5%)	42,078 (23.9%)
	3-4 times per week	24,691 (24.0%)	14,204 (19.3%)	38,895 (22.1%)
	Daily or almost daily	26,978 (26.3%)	14,227 (19.4%)	41,205 (23.4%)
	Prefer not to answer	77 (0.1%)	91 (0.1%)	168 (0.1%)
	Missing	63 (0.1%)	84 (0.1%)	147 (0.1%)
Physical activity level	Low (MET minutes $\leq$ 1200)	24,873 (24.2%)	22,992 (31.3%)	47,865 (27.2%)
	High (MET minutes >1200)	57,557 (56.0%)	33,502 (45.6%)	91,059 (51.7%)
	Missing	20,309 (19.8%)	17,016 (23.1%)	37,325 (21.2%)
APOE-ε4 carrier status	Non-carrier	73,825 (71.9%)	51,909 (70.6%)	125,734 (71.3%)

25,686 (25.0%)

3228 (3.1%)

14,731 (14.3%)

23,094 (22.5%)

Carrier

Missing

Present

Present

44,905 (25.5%)

5610 (3.2%)

66,006 (37.5%)

77,096 (43.7%)

19,219 (26.1%)

2382 (3.2%)

51,275 (69.8%)

54,002 (73.5%)

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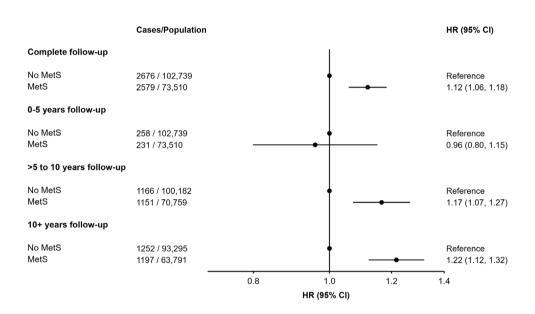
#### TABLE 1 (Continued)

Characteristic		No MetS(N = 102739)	MetS (N = 73510)	Overall (N = 176249)
Elevated blood pressure <sup>a</sup>	Present	77,127 (75.1%)	70,692 (96.2%)	147,819 (83.9%)
Elevated HbA1c <sup>a</sup>	Present	7895 (7.7%)	36,636 (49.8%)	44,531 (25.3%)
Reduced HDL-cholesterol <sup>a</sup>	Present	15,791 (15.4%)	52,888 (71.9%)	68,679 (39.0%)

Note: Percentages do not add up to 100 due to rounding.

Abbreviations: APOE, apolipoprotein; GBP, British pound sterling; HbA1c, *hemoglobin* A1c; HDL, high-density lipoprotein; MET, metabolic equivalent of task; PRS, polygenic risk score; SD, standard deviation.

<sup>a</sup>Includes medication use.



**FIGURE 1** Cox proportional hazards models investigating the association between metabolic syndrome (MetS) and incident dementia by different follow-up periods. HR = hazard ratio, CI = confidence interval, Ref. = reference group, IQR = interquartile range. Mean, median (IQR) follow-up length in years: (1) 0–5 years: 4.9, 5.0 (5.0, 5.0); (2) >5 to 10 years: 9.8, 10.0 (10.0, 10.0); (3) 10+ years: 12.5, 12.5 (11.9, 13.2). Mean age of participants in years: (1) 0 to 5 years: 64.1; (2) >5 to 10 years: 64.1; (3) 10+ years: 64.0 years. Model adjusted for age, sex, ethnicity, Townsend deprivation index, education, household income, smoking status, alcohol intake, physical activity, and APOE- $\varepsilon$ 4 carrier status.

There was no statistical evidence of an interaction between MetS and sex and risk of incident dementia (*p* value for interaction: .33; see Supplemental File 10). Age-stratified analyses demonstrated that the strength of the association between MetS and dementia was slightly greater in those aged <65 years compared to older participants, but the direction was the same (Supplemental File 11).

There was statistical evidence of an interaction between MetS and APOE- $\varepsilon$ 4 carrier status (*p* value for interaction: <.001) on the risk of incident dementia (Table 2). MetS was associated with dementia risk in APOE- $\varepsilon$ 4 non-carriers (HR: 1.26, 95%CI: 1.16, 1.37) but not in APOE- $\varepsilon$ 4 carriers (HR: 1.02, 95%CI: 0.94, 1.10). However, despite higher relative risks in non-carriers, the absolute incidence of dementia was higher in APOE- $\varepsilon$ 4 carriers (Supplemental File 12). Specifically, among non-carriers, the 12-year cumulative dementia incidence was 2.3% (95% CI: 2.20, 2.40) for those with MetS and 1.4% (95% CI: 1.30, 1.50) for those without MetS. In comparison, among APOE- $\varepsilon$ 4 carriers, the corresponding incidence was 6.5% (95% CI: 6.20, 6.90) for those with MetS and 5.1% (95% CI: 4.80, 5.40) for those without MetS. There-

fore, the risk difference was higher for APOE- $\varepsilon$ 4 carriers (1.4%) than non-carriers (0.9%). No statistically significant interaction was found between MetS and non-APOE dementia PRS (*p* value for interaction: .42) and risk of incident dementia (Table 2). Results from joint effects models are provided in Supplemental File 13.

In analyses investigating individual MetS components, elevated HbA1c (HR: 1.28, 95% CI: 1.21, 1.36), reduced HDL cholesterol (HR: 1.13, 95% CI: 1.07, 1.20), and elevated blood pressure (HR: 1.09, 95% CI: 1.00, 1.19) were all associated with an increased risk of dementia (Figure 2). Conversely, elevated triglycerides were associated with a lower risk (HR: 0.86, 95% CI: 0.81, 1.91), while there was no association between elevated waist circumference and incident dementia. The findings were similar when assigning the use of lipid-modifying medications to the elevated triglycerides group instead of the reduced HDL-cholesterol group (elevated triglycerides HR: 0.80, 95% CI: 0.74, 0.86; reduced HDL cholesterol: HR: 1.16, 95% CI: 1.07, 1.25). Associations also remained similar when restricting the analysis to participants with >5 to 10 years and >10 years of follow-up (Supplemental File 14).

**TABLE 2** Cox proportional-hazards models investigating the association between metabolic syndrome (MetS) and incident dementia by genetic predisposition.

Genetic factor	Cases/population	HR (95%CI)
(A) APOE- <i>e</i> 4 carrier status		
Non-carrier		
No MetS	1152/73,825	1 (Ref.)
MetS	1230/51,909	1.26 (1.16, 1.37)
Carrier		
No MetS	1424/25,686	1 (Ref.)
MetS	1261/19,219	1.02 (0.94, 1.10)
(B) Non-APOE dementia PRS		
Low		
No MetS	297/16,759	1 (Ref.)
MetS	337/12,255	1.19 (1.02, 1.40)
Intermediate		
No MetS	1272/50,852	1 (Ref.)
MetS	1225/36,188	1.12 (1.04, 1.22)
High		
No MetS	594/17,159	1 (Ref.)
MetS	557/11,854	1.13 (1.01, 1.28)

Abbreviations: APOE, apolipoprotein E; CI, confidence interval; HR, hazard ratio; MetS, metabolic syndrome; PRS, polygenic risk score; Ref., reference group.

(A) Model adjusted for age, sex, ethnicity, Townsend deprivation index, education, household income, smoking status, alcohol intake, and physical activity. Excluded: 5,610 with missing information on APOE- $\varepsilon$ 4 carrier status. *P* value for overall interaction between MetS and APOE- $\varepsilon$ 4: < 0.001. (B) Model adjusted for age, sex, ethnicity, Townsend deprivation index, education, household income, smoking status, alcohol intake, physical activity, and APOE- $\varepsilon$ 4 carrier status. Excluded: 31,182 with missing information on non-APOE dementia PRS. *P* value for overall interaction between MetS and non-APOE dementia PRS: 0.42.

Compared to participants with no MetS components, only the presence of four (HR: 1.19, 95% CI: 1.03, 1.38) or five (HR: 1.50, 95% CI: 1.28, 1.76) components was significantly associated with an increased risk of dementia (Figure 3). The findings generally remained similar regardless of the different combination of four MetS components present (Supplemental File 15), as well as when restricting the analysis to >5 to 10 years and >10 years of follow-up (Supplemental File 16).

# 4 DISCUSSION

In this population-based cohort of more than 175,000 individuals aged  $\geq$  60 years, MetS was associated with a 12% increased risk of dementia over 15 years. Associations remained similar when restricting the analysis to dementia cases diagnosed after longer follow-up periods. Stronger associations were observed in those with four or five MetS components (regardless of what the components were) and in those with a low genetic risk for dementia based on APOE- $\varepsilon$ 4 carrier status.

453

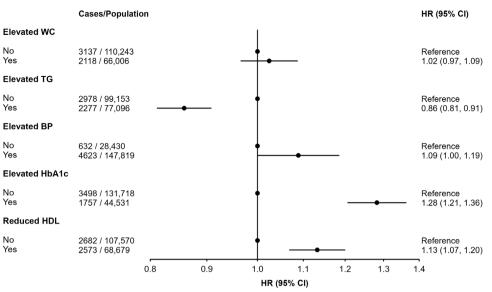
Our findings are consistent with previous longitudinal studies from Europe and Asia which found that MetS was associated with a statistically significant elevated risk of all-cause dementia,<sup>6,9</sup> Alzheimer's disease,<sup>6,48</sup> and vascular dementia.<sup>6,13,14,48</sup> To our knowledge, only two comparable studies found a significant positive association with incident all-cause dementia. A record linkage study in South Korea of over four million individuals aged ≥40 years reported a 12% increased risk of all-cause dementia over a mean follow-up of 4.9 years,<sup>6</sup> while a Taiwanese study reported that worsened MetS (ie, those who did not have MetS at the first time point, but developed it at the second time point over a 5-year period) was associated with a twofold increased risk of all-cause dementia in those aged  $\geq$ 65 years over a 10year period.<sup>9</sup> In contrast, other studies have reported no association between MetS and risk of dementia and its subtypes.<sup>7,8,10-14</sup> These discrepant findings may be attributed to reverse causation bias resulting from short follow-up periods in the majority of studies (i.e., <5 years). This phenomenon was documented previously; for example, Floud et al. observed that low body mass index (BMI) was associated with an increased dementia risk during the first decade of follow-up. However, this association considerably weakened and approached null after 15 years, indicating that these findings were substantially distorted by the effects of reverse causality.<sup>49</sup> In our study, the long follow-up duration (up to 15 years) makes our results less susceptible to reverse causation bias. Specifically, the finding that there was no association during the early years of follow-up suggests that reverse causation is unlikely to be a major issue. If reverse causation was a major source of bias, then it is likely that the observed associations would weaken over longer follow-up periods.

Our results also show a greater relative risk of dementia among APOE- $\varepsilon$ 4 non-carriers with MetS. These findings are consistent with a study that found MetS to be associated with greater cognitive decline in non-carriers.<sup>50</sup> A previous study found that  $\varepsilon$ 4 non-carriers with dementia had reduced insulin sensitivity – as reflected by lower insulin-mediated glucose disposal rates – which may make these individuals more vulnerable to the adverse effects of hyperinsulinemia inherent in MetS.<sup>51</sup>

Some previous studies showed a higher risk of mortality among APOE- $\varepsilon$ 4 carriers, <sup>52-55</sup> and it is therefore possible that this "competing risk" may contribute to the observed lack of an association between MetS and dementia in this group (due to carriers dying before dementia onset). However, in our sample, APOE- $\varepsilon$ 4 status was strongly associated with dementia risk, and our observation of a higher relative risk in  $\varepsilon$ 4 non-carriers could be due to the greater absolute background risk of dementia among  $\varepsilon$ 4 carriers. In turn, this could have led to the attenuated relative risk of dementia seen in  $\varepsilon$ 4 carriers. This is supported by our finding that the absolute risk differences between those with and without MetS were greater among APOE- $\varepsilon$ 4 carriers (1.4%) versus in non-carriers (0.9%).

In our study, elevated HbA1c, elevated blood pressure, and reduced HDL cholesterol were associated with an increased risk of dementia, which is consistent with previous research.<sup>56–58</sup> Moreover, our finding of a lack of an association between elevated waist circumference and dementia in participants aged  $\geq$ 60 at baseline is consistent

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**FIGURE 2** Cox proportional-hazards model investigating the association between individual metabolic syndrome (MetS) components and incident dementia. HR = hazard ratio, CI = confidence interval, Ref. = reference group, WC = waist circumference, TG = triglycerides, BP = blood pressure, HbA1c = glycated hemoglobin A1c, HDL = high-density lipoprotein. All individual components entered into one model. Model adjusted for age, sex, ethnicity, Townsend deprivation index, education, household income, smoking status, alcohol intake, physical activity, and APOE  $\varepsilon$ 4 carrier status.

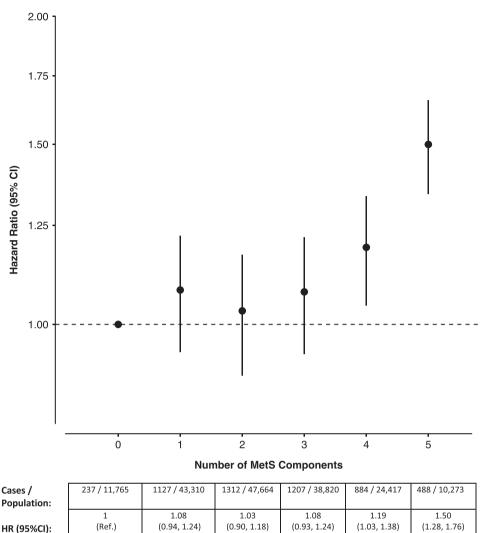
with previous work showing this MetS component was linked to a higher risk of late-onset dementia when measured at age 50 years, but not after 60.59 Conversely, we observed an inverse association between elevated triglycerides and dementia, which also remained similar after assigning lipid-modifying medication use to this group. Previous studies have shown elevated triglycerides to be associated with an increased dementia risk at mid-life but a reduced risk in late life, indicating a differential impact of triglycerides across the lifespan.<sup>60,61</sup> In contrast, we observed an inverse association between elevated triglycerides and dementia, which may be due to reverse causation, as previous evidence indicates that dementia may lead to changes in metabolism and diet, which ultimately results in lower triglyceride levels among those affected.<sup>62,63</sup> However, supplementary analyses revealed that this result remained consistent across different follow-up durations, so reverse causation is unlikely to have materially affected this association. Another explanation for this finding could be attributed to more aggressive treatment among individuals with elevated triglycerides, which could have ultimately reduced their dementia risk. Therefore, those presenting with elevated triglycerides (and deemed to be at high risk for dementia) may now have low levels due to aggressive treatment, leading to the misleading conclusion that low triglycerides are linked to a greater dementia risk. Nevertheless, we cannot rule out the possibility that this relationship may reflect a true biological association, and further research exploring the underlying mechanisms is warranted.

Additionally, previous research showed an increased risk of dementia with an increasing number of MetS components, starting with a notably elevated risk evident among those with just one component.<sup>5,64</sup> In contrast, our findings show that risk is only significantly elevated among those with four or five MetS components. One

of the assumptions often criticized is that each possible MetS grouping contributes equally and uniformly to the risk of developing dementia and other conditions.<sup>65</sup> However, our finding suggests that there is a subset of those with MetS – specifically, those with four or five components – who could significantly benefit from early treatment and prevention strategies aimed at reducing their dementia risk.

The mechanisms underlying the association between MetS and dementia remain unclear. Individual MetS components have been linked to an increased dementia risk, and the strength of these associations varies depending on whether these components were present at mid-life versus late life. 59,66-68 Given these differential associations observed across the life course, it is important to understand whether they are driven by MetS or specific components. In MetS, the different components share universal mechanisms that could result in cognitive dysfunction and dementia through the involvement of both vascular injury and neurodegeneration. Hence, the pathogenesis behind this relationship could be multifactorial, with individual MetS components contributing independently and synergistically to dementia risk; this may be via insulin resistance, vascular endothelial damage, and oxidative stress combined with low-grade inflammation - all of which are implicated in MetS development - which may lead to cognitive dysfunction and dementia.<sup>69,70</sup>

To date, there is limited evidence on the potential impact of varying combinations of MetS components on dementia risk.<sup>71</sup> In our study, we found that only some MetS combinations were associated with dementia risk. Notably, the combination of reduced HDL cholesterol, elevated blood pressure, and elevated triglycerides was associated with a significantly reduced risk of dementia. Taken together, these results suggest that dementia risk may vary substantially according to which MetS components are present, further highlighting the



**FIGURE 3** Cox proportional-hazards model investigating the association between the number of metabolic syndrome (MetS) components present and incident dementia. HR = hazard ratio, CI = confidence interval, Ref. = reference group. Model adjusted for age, sex, ethnicity, Townsend deprivation index, education, household income, smoking status, alcohol intake, physical activity, and APOE- $\varepsilon$ 4 carrier status.

importance of investigating the contribution of different MetS combinations in future studies.

The current study has several strengths. These include using a large study population with extensive phenotypic and genotypic data collection and a long follow-up period, which enabled us to robustly investigate the association between MetS and dementia.<sup>21</sup> The present study also has several limitations. First, we used hospital inpatient admissions and death records to ascertain incident dementia cases, which likely underestimated the number of cases captured from other sources, such as primary care or memory clinics.<sup>35,72</sup> However, previous work demonstrated high validity for the methods used to ascertain dementia in our study.<sup>35,73</sup> Second, we did not investigate the association between MetS and dementia subtypes (e.g. Alzheimer's or vascular dementia) since the available medical record data have low accuracy for identifying subtypes.<sup>35</sup> Third, we used HbA1c as a proxy for fasting glucose (due to the low number of fasting samples), which differs from the Harmonized Criteria for MetS; however, the American Dia-

betes Association recommendations support using this measure as an appropriate proxy for glucose values.<sup>22</sup> Fourth, our study may be susceptible to confounding by indication, as using medications – which were previously associated with reduced dementia  $risk^{74}$  – to define specific MetS components could underestimate the true association between MetS and dementia. Finally, given the observational design of this study, residual confounding and other non-causal explanations remain.

In the present study, we found that MetS was associated with an increased risk of dementia. The strength of the association was greatest among individuals with four or five MetS components. Given that the presence of at least three components is the established threshold for a MetS diagnosis, it is necessary to determine whether MetS is driving the associations or whether it is simply the varying combinations of individual established risk factors for dementia. This is an important next step to understand the role of MetS as a potential target for dementia prevention. Alzheimer's & Dementia

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# CONFLICTS OF INTEREST STATEMENT

The authors declare no conflicts of interest.

### CONSENT STATEMENT

All participants provided electronically signed consent for their data to be used in health-related research. UK Biobank received ethical approval from the NHS North West Centre for Research Ethics Committee (Ref: 11/NW/0382).

#### DATA AVAILABILITY STATEMENT

The UK Biobank Resource holds the data used in this article. Data can be accessed by application to the UK Biobank (www.ukbiobank.ac.uk/ register-apply).

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458

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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