

Classification of *gas5* as a Multi-Small-Nucleolar-RNA (snoRNA) Host Gene and a Member of the 5'-Terminal Oligopyrimidine Gene Family Reveals Common Features of snoRNA Host Genes

CHRISTINE M. SMITH† AND JOAN A. STEITZ*

Department of Molecular Biophysics and Biochemistry, Howard Hughes Medical Institute, Yale University, New Haven, Connecticut

Received 10 July 1998/Accepted 18 August 1998

We have identified *gas5* (growth arrest-specific transcript 5) as a non-protein-coding multiple small nucleolar RNA (snoRNA) host gene similar to *UHG* (U22 host gene). Encoded within the 11 introns of the mouse *gas5* gene are nine (10 in human) box C/D snoRNAs predicted to function in the 2'-O-methylation of rRNA. The only regions of conservation between mouse and human *gas5* genes are their snoRNAs and 5'-end sequences. Mapping the 5' end of the mouse *gas5* transcript demonstrates that it possesses an oligopyrimidine tract characteristic of the 5'-terminal oligopyrimidine (5'TOP) class of genes. Arrest of cell growth or inhibition of translation by cycloheximide, pactamycin, or rapamycin—which specifically inhibits the translation of 5'TOP mRNAs—results in accumulation of the *gas5* spliced RNA. Classification of *gas5* as a 5'TOP gene provides an explanation for why it is a growth arrest specific transcript: while the spliced *gas5* RNA is normally associated with ribosomes and rapidly degraded, during arrested cell growth it accumulates in mRNP particles, as has been reported for other 5'TOP messages. Strikingly, inspection of the 5'-end sequences of currently known snoRNA host gene transcripts reveals that they all exhibit features of the 5'TOP gene family.

In the nucleolus of eukaryotic cells, ribosomal DNA is transcribed by RNA polymerase I into long precursor (pre-rRNA) transcripts, which are modified by methylation and pseudouridylation, cleaved to yield 18S, 5.8S, and 28S rRNAs, and then assembled into the mature large and small ribosomal subunits prior to export to the cytoplasm (for reviews see references 22, 26, and 77). A large number of small nucleolar ribonucleoprotein (snoRNP) particles have emerged as key players in this biosynthetic process. Currently more than 70 snoRNA species have been identified (for reviews see references 51, 75, and 82). All snoRNAs, with the exception of MRP RNA, can be divided into two classes: those that possess boxes C (RUGAUGA) and D (CUGA), which are required for association with the abundant nucleolar autoantigen fibrillarin (see reference 51), and those that possess boxes H (ANANNA) and ACA, which mediate the binding of Gar1 protein (4, 8, 24, 37). Only a few snoRNAs have been found to be required for growth in yeast (U3 [6, 29], U14 [43], MRP [14, 73], snR10 [80, 81], and snR30 [5, 54]) or for specific pre-rRNA cleavage events in *Xenopus* oocytes (U3 [34, 72], U8 [63], and U22 [85]).

Recently, box C/D snoRNAs and box H/ACA snoRNAs were found to target specific sites in pre-rRNA for 2'-O-methylation and pseudouridylation, respectively (for reviews see references 46, 48, 62, 75, and 82). These modification reactions are mediated by extensive regions (10 to 21 nt) of complementarity between the so-called “antisense” snoRNAs and sequences flanking the rRNA sites to be modified. Specifically,

U24, U20, and U25 were shown to direct site-specific ribose methylation of pre-rRNA in HeLa cells (39), yeast (13), and *Xenopus* oocytes (87), respectively, and snR8, snR3, snR33, and snR5 (among others) were demonstrated to target pre-rRNA for pseudouridylation in yeast (23, 57). The presence of ~200 modified nucleotides in vertebrate rRNA (47) suggests that more than half of the antisense snoRNAs remain to be identified.

A unique feature of snoRNAs is that most are encoded within the introns of protein-coding genes (reviewed in reference 51). This economic use of introns is commonplace among intron-rich organisms, such as vertebrates, where antisense snoRNAs have been found to be exclusively intron encoded. By contrast, in *Saccharomyces cerevisiae* many snoRNAs are produced from independent transcription units. A vertebrate host gene intron encodes only a single snoRNA, whereas in yeast and plants, some snoRNA genes are located in polycistronic arrays without exons separating the snoRNA sequences (41). In all species investigated, the intron-encoded snoRNAs are transcribed from their host genes by RNA polymerase II as portions of the pre-mRNA. The functional snoRNAs are then produced by exonucleolytic trimming that follows either splicing (12, 38, 86) or endonucleolytic cleavage of intron sequences (9, 10).

The mode by which snoRNA sequences became inserted into the introns of their host genes is not known. Interestingly, the host gene for a particular snoRNA can differ even among closely related vertebrates, suggesting that intron-encoded snoRNAs may be highly mobile genetic elements (see reference 51). Likewise, the reason particular genes have been chosen as hosts for intron-encoded snoRNAs has been unclear. Initially, it appeared that all snoRNA host genes generate protein products that function in ribosome biogenesis or in translation; ribosomal proteins (rp) L1, L5, L7a, S8, nucleolin, and eIF4A₁ are a few examples (see references 66, 68, 67, 59,

* Corresponding author. Mailing address: Department of Molecular Biophysics and Biochemistry, Howard Hughes Medical Institute, Yale University, 295 Congress Ave., New Haven, CT 06536. Phone: (203) 737-4417. Fax: (203) 624-8213. E-mail: joan.steitz@yale.edu.

† Present address: Fred Hutchinson Cancer Research Center, Seattle, Wash.

58, and 24, respectively). Such genetic organization could provide coregulation of protein components of the translational machinery and snoRNAs, which contribute to rRNA maturation (76). However, the discovery of other snoRNA host genes lacking obvious ribosome-related functions (for example, ATP synthase β [39]) suggested that host genes may have been chosen merely to meet the need for transcription rates high enough to produce a sufficient level of snoRNAs ($\sim 10^4$ copies/cell) to base pair with the cell's nascent pre-rRNA molecules.

UHG (U22 host gene) is an unusual snoRNA host gene because it does not appear to specify a protein product. It generates, in addition to U22, seven different box C/D antisense snoRNAs (U25 to U31) (84). Comparison of mouse and human *UHG* sequences revealed that its introns are more conserved than its exons, suggesting that the snoRNAs may be the only functional portions of the transcript. Both human and mouse *UHG* messages are riddled with stop codons in all three reading frames; the longest open reading frames (ORFs) would produce peptides of 51 and 40 amino acids for human and mouse *UHG*, respectively. Nonetheless, the *UHG* transcript resembles a typical rp mRNA in that it begins with a C residue, is spliced and polyadenylated, and is associated with ribosomes. However, unlike rp mRNAs, the spliced *UHG* RNA is almost undetectable in HeLa cells. Inhibition of translation in HeLa cells with the initiation inhibitor pactamycin or elongation inhibitors cycloheximide or puromycin results in a 15-fold increase in the level of spliced *UHG* transcript (84). This link between the levels of *UHG* RNA and active translation, in conjunction with its numerous stop codons, suggests that it may be a candidate for the nonsense-mediated decay pathway (49, 84).

Here we report the identification of a second member of the *UHG* class of snoRNA host genes. Growth arrest-specific transcript 5 (*gas5*) was initially discovered in a screen for potential tumor suppressor genes expressed at high levels during growth arrest (74). The murine *gas5* gene produces a ubiquitous, polyadenylated, alternatively spliced message which is almost undetectable in actively growing cells yet is highly expressed in cells undergoing serum starvation or density arrest (15, 16). We demonstrate that *gas5* is a multi-snoRNA host gene which encodes 9 (in mouse) or 10 (in human) antisense snoRNAs. By mapping the 5' end of the *gas5* transcript and comparing it with other known snoRNA host genes, we observe that all known snoRNA host genes exhibit characteristics which define the 5'TOP (terminal oligopyrimidine) class of genes. We provide evidence that membership in the 5'TOP family explains why the abundance of the *gas5* spliced product is growth dependent. Furthermore, the discovery that all snoRNA host genes contain 5'TOP sequences may illuminate why certain genes have been selected to serve as snoRNA host genes.

MATERIALS AND METHODS

Cloning mouse and human *gas5* genes. The partial sequence of U80 was obtained by a reverse transcriptase PCR cloning approach (61) in which cDNAs were generated by first ligating an antisense oligonucleotide complementary to the T3 promoter (5'-TTTAGTGAGGGTTAAT-3'dA) onto the 3' ends of RNAs isolated from HeLa cells by immunoprecipitation with anti-fibrillar (anti-fb) antibodies (see below) followed by primer extension using a sense T3 primer (5'-ATTAACCCTCACTAAA). cDNAs between 70 and 90 nucleotides in length were gel purified and subjected to PCR using the T3 primer and oligonucleotide 124 (5'-GTGAACAATCCAACGCTGA) which corresponds to residues 3607 to 3631 of 28S rRNA. The resulting PCR product(s) was cloned into pGEM-3Z and sequenced.

To obtain a complete sequence for the mouse *gas5* gene, PCR was performed between intron 1 (158, 5'-GACGTAGGATCTGCTGGATATGTGCAACT) and intron 4 (159, 5'-TCATCGAAGCTTGTAAACGACCACTAGCTC) and between intron 1 (160, 5'-GACGTAGGATCCGTATGCCAATTCCTGAGT) and intron 3 (161, 5'-TCATCGAAGCTTAGCAAATATGATGTCATC) using mouse genomic DNA (Clontech Laboratories). The PCR products were cloned

into *Bam*HI-*Hind*III-digested pGEM-3Z. Cloning of these segments provided complete sequences for U74 and U75 and confirmed that intron 4 does not contain a consensus box C sequence. The mouse *gas5* promoter was also recloned by PCR from mouse genomic DNA (Clontech Laboratories) by using primers upstream of the TATA box (173, 5'-CTTGAGGAGGAGTCTGAG) and complementary to intron 1 (166, 5'-TCAGTTGTCCTACCAACATAGCCT). PCR products were ligated into the pCR2.1 TA vector (Invitrogen). The promoter was found to differ from the reported *gas5* sequence (G instead of A) at position -2 upstream of the *gas5* transcription start site (see Fig. 3b).

Human *gas5* was cloned in multiple steps. First, PCR between U44 and U81 snoRNA sequences (using primers 151, 5'-GATGATAGCAAATGCTGAC, and 150, 5'-AGTAATCAGTGAGAGAGTTC AAG) was performed on HeLa genomic DNA, and the product was cloned into the pCR2.1 TA vector (Invitrogen). Second, primer extension using a primer complementary to human exon 11 (184, 5'-TTTCAAGCAGTAAGTGCATGC) was used to generate a cDNA from oligo(dT) (Boehringer Mannheim)-selected RNA from HeLa cells treated with 20 μ g of cycloheximide/ml for 12 h. The cDNA was extended at its 3' end with dATP (200 μ M) by using terminal deoxynucleotidyltransferase (Gibco BRL); PCR was performed using an oligo(dT) primer and the 184 oligonucleotide. PCR was then performed between exon 2 and U44 using primer 190 (5'-CCTGTGAGGTATGGTCTGG) and primer 152 (5'-GTCAGCATTGCTATCATC). To obtain the 5' and 3' sequences of the human *gas5* gene, cDNAs were generated with either primer 184 (and subsequently tailed with dTTP) or an oligo(dT) primer, respectively, and PCR was then performed with an oligo(dA) primer and primer 184 (for the 5' end) or oligo(dT) primer and primer 190 (for the 3' end). (The presence of the 5'TOP sequence necessitated tailing the cDNA with dT instead of dA since the oligo(dT) primer was found to base pair within the 5'TOP cDNA sequence during the PCR.) Finally, PCR was performed on HeLa genomic DNA between exons 1 (200, 5'-GCTTTTTCGAGGTAGGAGTCG) and 2 (201, 5'-CTGTCCATAAGGTGCTATCC) and exons 11 (202, 5'-GCATGCAGCTTACTGCTTG) and 12 (199, 5'-CTAGCTTGGGTGAGGCAAGAC) to obtain complete intron 1 (U74) and intron 11 (U81) sequences, respectively.

RNA isolation and Northern analysis. Nuclear RNA for Northern analysis of snoRNAs was isolated from NIH 3T3 cells which were washed twice with cold phosphate-buffered saline (PBS) and sonicated three times with a Branson sonicator on setting 3 for 30 sec in NET-2 buffer containing 400 mM NaCl. Extracts were centrifuged in a Beckman SS-34 rotor for 10 min at 10,000 rpm. The supernatant was subjected to immunoprecipitation using anti-fb or anti-Sm antibodies (83) after removal of aliquots for total RNA samples. RNA was isolated by treatment with 7 mM Tris (pH 7.5), 0.7 mM EDTA, 20 mM NaCl, 0.7% sodium dodecyl sulfate and 30 μ g of proteinase K (Beckman)/ml for 30 min at 37°C followed by PCA (phenol-chloroform-isooamylalcohol, 50:48:2) extraction (twice) and EtOH precipitation. RNA was electrophoresed on a 6% polyacrylamide gel (total RNA lanes contained $\sim 1 \times 10^4$ cells/lane; anti-fb and anti-Sm RNA lanes contained $\sim 2 \times 10^5$ cells/lane), transferred to a Zeta-probe (Bio-Rad) membrane, and hybridized using oligonucleotides complementary to predicted snoRNA sequences (U74, 5'-TCAGTTGTCCTACCAACATAGCCT; U75, 5'-TCTGTCCACTACTCTCATACCATCA; U76, 5'-TCAAGAGTAGCA AATATGATGTCATC; intron 4, 5'-TCCTCAGATACGCGAACAATG and 5'-GACTTCAGATCTCCCACCACTCCT; U44, 5'-TCAGATAGAGCTAATAAGAT; U78, 5'-TCAGCTCAGACATTTGATCAACATC; U79, 5'-TCTATTCCAGAGAGATTCCCA; U80, 5'-GATACATCAGATAGGAGCGGAAAGAC; U47, 5'-TCATTTGGCAGAATCATCTACATC; and U81, 5'-AGTAATCAGTGAGAGAGTTC AAG). Northern blots of HeLa and mouse total RNA and anti-fb RNA were probed by using an oligonucleotide complementary to U77, 5'-AATCTGCTGAACTATGCAACCATCA. All probes were 5'-end labeled with [γ - 32 P]ATP and T4 polynucleotide kinase (Pharmacia).

Cellular RNA for Northern analyses of spliced *gas5* RNA was obtained from NIH 3T3 cells washed in PBS and resuspended in a solution containing 20 mM HEPES (pH 7.9), 4 mM MgCl₂, 500 mM KCl, 0.5% Nonidet P-40, 5 mM dithiothreitol, and 400 U RNasin (Boehringer Mannheim). Samples were then centrifuged in a Beckman SS-34 rotor for 6 min at 12,000 rpm. For cycloheximide, serum starvation, and density arrest time courses, total RNA was treated with proteinase K and extracted with PCA (as described above) and then fractionated on 1% agarose gels containing 2.2 M formaldehyde (17 μ g of RNA/lane for cycloheximide and serum starvation time courses, 4 μ g of RNA/lane for pactamycin and rapamycin time courses, and 10 μ g of RNA/lane for density arrest time course), transferred to a Zeta-probe membrane (Bio-Rad), and probed for *gas5* and *UHG* spliced RNAs, *rpS16* and β -actin mRNAs, and U75 snoRNA. *gas5*, *UHG*, and *rpS16* probes were made by Klenow (Promega) filling of annealed oligonucleotides (mouse *gas5*, 5'-CCTTTCGGAGCTGTGCGGCATTCTGAGCAGGAATGGCAGTGTGGACCTGTGTGGACATCTTGTGGCAT and 5'-GCTTTCCTGACGAGTCTCGTAAGCCCTTCATCTCTTCTTGGCAGACAAGCTGCTGTGAGATCCACACAAGATGTCC; mouse *UHG*, 5'-TTTCTTGTTCGGGGTTGATGGTCCACCTACAAAAGGATGGGTGTACGCCTCTTTTCAG AATGTGGTTC and 5'-CGTGTATTGTAAAATTTGAACACAGCGCTGGCTCCAAAGTGTAAAGGGCTTTGAGATGAACACATTCTGAAA; and mouse *rpS16*, 5'-CGCGCGCTGCGGTGTGGAGCTGCTGTGCTGTGCTCGGAGCTATGCTCCCAAGGGTCCGCTGCAGTCCGTCAGGTCCTTCGGACGCAAGAAAATCTCGCTGTGGCCCATGCA and 5'-AGCAAACAGGGCTCCAGTAAGTTGACTGCAGCGCGCGGGCTCGATCATCTCCAGGGG

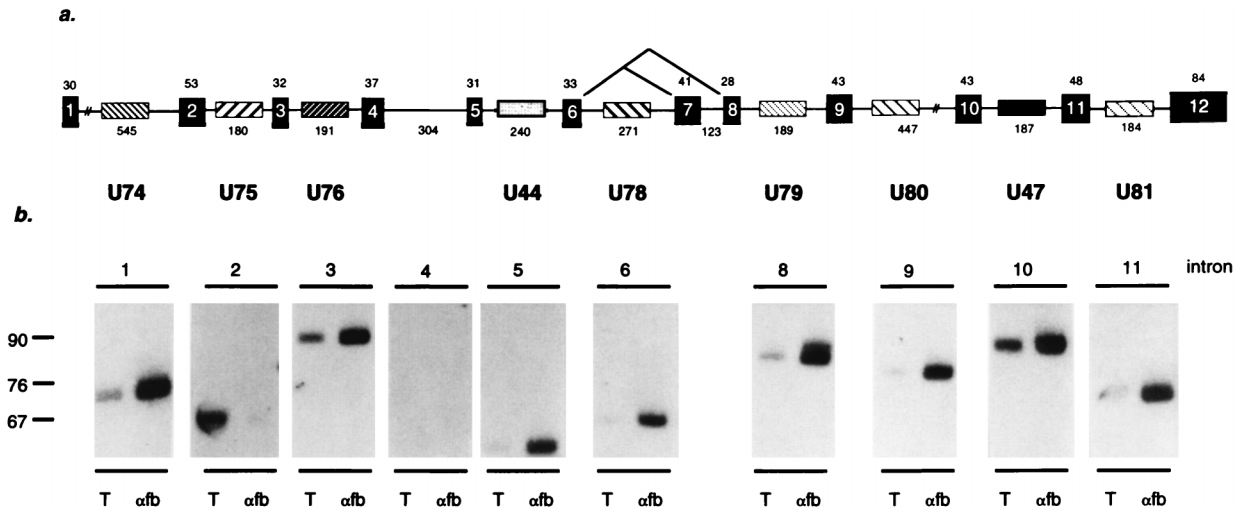


FIG. 1. *gas5* is a multi-snoRNA host gene. (a) Black boxes represent the 12 exons of mouse *gas5*; cross-hatched boxes represent the snoRNA sequences present within nine of its introns. Numbers above and below the gene are the lengths of exons and introns, respectively. The alternative splicing event that results in the inclusion of the seventh exon is indicated. (b) Northern analyses were performed on mouse total RNA (T) and RNA isolated by immunoprecipitation with anti- β -actin (alpha-fb) antibodies by using probes derived from intronic sequences of *gas5*. (The same blot was probed for U75 and U79, indicating that the less-efficient immunoprecipitation of U75 is not due to underloading of the lane.) Each intron except the fourth and seventh produces a stable RNA species between 60 and 90 nucleotides long. The nine mouse *gas5* anti- β -immunoprecipitable RNAs are named U74, U75, U76, U44, U78, U79, U80, U47, and U81. The human *gas5* gene encodes, in addition to the nine murine snoRNAs, a tenth snoRNA (U77) within intron 4 (data not shown). The sizes of the human exons, in order, are 30, 53, 36, 40, 30, 38, 77 or 38 (alternatively spliced), 54, 30, 23, 48, and 210 nucleotides and introns are 916, 194, 191, 321, 281, 307, 188, 523, 167, 179, and 205 nucleotides.

ACGTCGGTTCACCTTGATGAGCCCATTTCCCGTTTCCAGTCCGCCA CAGCGAG) in 10 μ l of 50 mM Tris (pH 7.5) and 10 mM MgCl₂, 0.5 U of Klenow fragment (Promega) and 50 μ Ci of [α -³²P]dCTP, and 50 μ Ci of [α -³²P]TTP. β -Actin (human), 18S (*Xenopus*), and 28S (*Xenopus*) probes were made by random priming of *Bam*HI-*Nco*I-digested pCITE-p β Act (a generous gift from George Farr), *Pst*I-*Bam*HI-digested pXrDNA (a generous gift from Barbara Sollner-Webb), and *Bam*HI-*Eco*RI-digested pXrDNA, respectively, using Prim-a-Gene (Promega) and 50 μ Ci of [α -³²P]dATP. The data shown in Fig. 5 are representative of two independent experiments and were quantitated by PhosphorImager analysis. For all but the density arrest time course, the levels of U75 are approximately equivalent, providing an internal control verifying that equal amounts of RNA were indeed loaded in each lane. The increase in U75 during density arrest is discussed in the text.

Mapping the *gas5* transcription start site. NIH 3T3 cells ($\sim 20 \times 10^6$) were treated with 20 μ g of cycloheximide/ml for 2 h, and cellular RNA was obtained as described above. Following EtOH precipitation, mRNA was isolated using oligo(dT) beads (Boehringer Mannheim) and used in primer extension reactions (89) with a [γ -³²P]ATP-5'-end-labeled primer complementary to *gas5* exon 1 (172, 5'-CTGCTCAGAATGCCGAC). The dideoxy sequencing ladder was created by using Sequenase (U.S. Biochemicals) on the plasmid containing the *gas5* promoter (see above).

Cell culture, growth arrest, and inhibition of translation. Mouse embryo NIH 3T3 cells (American Type Culture Collection) were maintained at 37°C in monolayer in Dulbecco's modified Eagle medium supplemented with 10% calf serum (Gibco BRL), 0.4 mM glutamine, and 1 mg of penicillin-streptomycin/ml. Cells were seeded at low density and split when they were 60 to 80% confluent. For serum starvation experiments, cells were washed twice with PBS, and media containing 0.5% calf serum and 0.4 mM glutamine (but no penicillin-streptomycin) were added. For density arrest experiments, cells were grown to confluency and incubated an additional 12 to 24 h. For inhibition of translation experiments, cells were treated with 20 μ g of cycloheximide (Sigma)/ml, 280 ng of pactamycin (National Cancer Institute)/ml, or 20 ng of rapamycin (Sigma)/ml for 0 to 12 h. RNA was isolated as described above.

Sucrose gradients. Cell extracts were prepared as described above for cellular RNA from NIH 3T3 cells which were growing ($\sim 60 \times 10^6$ cells), serum starved ($\sim 45 \times 10^6$ cells) for 12 h, treated with cycloheximide for 12 h ($\sim 15 \times 10^6$ cells), or incubated at confluency for 24 h ($\sim 15 \times 10^6$ cells). Sucrose gradients were made with 10 and 50% sucrose (Sigma) in a solution containing 10 mM HEPES (pH 7.4), 5 mM MgCl₂, and 500 mM KCl by using a Biocomp gradient master. Gradients were centrifuged for 10 h at 32,000 rpm in a Beckman SW41 rotor. Gradients were fractionated by hand (into 15 fractions), and RNA was isolated by treatment with proteinase K-sodium dodecyl sulfate, PCA extraction, and EtOH precipitation as described above. Optical density readings (at 260 nm) were made on fractions prior to RNA isolation. Isolated RNA was then electrophoresed on a 1% formaldehyde-agarose gel, as described above, for 6 h at 120 W, transferred to Zeta-blot (Bio-Rad), and probed for *gas5* and *UHG* spliced RNAs, *rpS16* and β -actin mRNAs, and 18S and 28S rRNAs, as described above.

Nucleotide sequence accession numbers. Sequences of the mouse *gas5* snoRNAs have been deposited in GenBank (accession no. AJ224029 to AJ224035).

RESULTS

***gas5* encodes 10 box C/D snoRNAs.** During an analysis of antisense snoRNAs predicted to direct 2'-O-methylation of rRNA, we obtained the partial sequence of a novel human box C/D snoRNA (U80) with complementarity to nucleotides 1610 to 1624 of 28S rRNA. A GenBank database search produced a 92% match of this sequence to a region within the ninth intron of the murine *gas5* gene (16, 74). Inspection of the two database entries for murine *gas5* (exons 1 to 3, GenBank accession no. X67267; exons 4 to 12, GenBank accession no. X67268) revealed that six additional introns contained box C, D', and D sequences along with regions of complementarity to 18S or 28S rRNAs, indicating that *gas5* could be a multi-snoRNA host gene similar to *UHG*.

To characterize the *gas5* gene in its entirety, a region extending from the promoter to the fourth intron was cloned from mouse genomic DNA and sequenced; two more snoRNA sequences, located within the first and third introns, then became apparent. The primary structure of the mouse *gas5* gene depicting the positions of the nine intron-encoded snoRNAs—named U74, U75, U76, U44, U78, U79, U80, U47, and U81—is presented in Fig. 1a. Transcription followed by alternative splicing (of the seventh exon) and polyadenylation produced two transcripts containing either 11 or 12 exons (16). The fifth and tenth introns of murine *gas5* had been previously reported to contain snoRNA sequences homologous to human U44 and U47, respectively (39). The fourth intron of the mouse *gas5* gene contains four box D sequences, but no consensus box C sequence.

Genomic and cDNA clones of the human *gas5* homologue were obtained by PCR (see Materials and Methods). The genomic organization of human *gas5* is very similar to that of the mouse gene: both contain 11 introns and encode the same nine snoRNAs in corresponding introns; however, an addi-

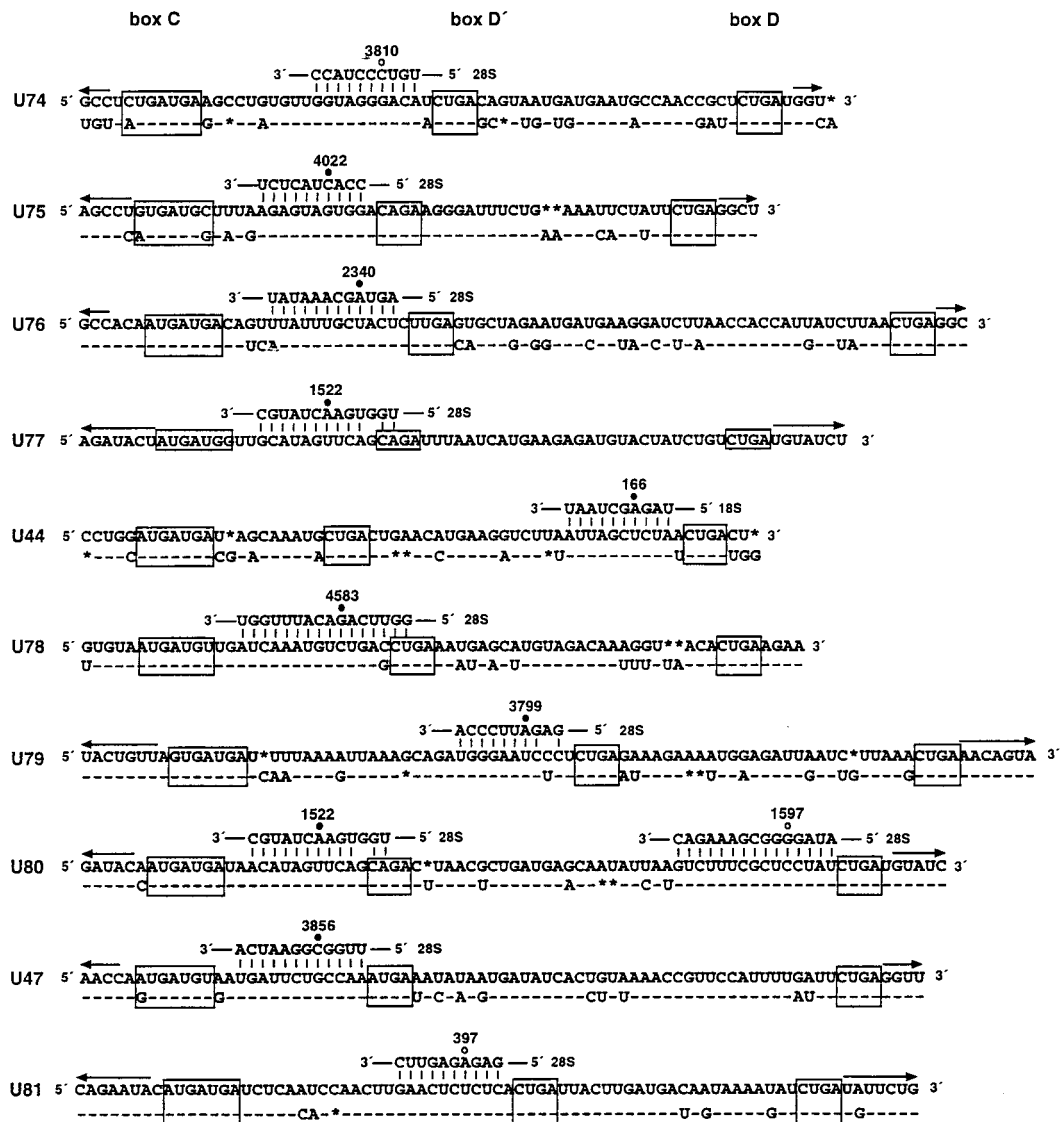


FIG. 2. Sequences of *gas5* intron-encoded snoRNAs. Human sequences above are aligned with mouse homologues below; — and * designate identities and deletions, respectively. Boxes C, D', and D are outlined. Regions of complementarity to rRNA are presented above the snoRNAs, with the rRNA residues targeted for methylation indicated (47). Closed and open circles indicate reported and predicted sites of rRNA methylation, respectively. The arrows denote terminal base pairing potential.

tional snoRNA (named U77) is encoded within the fourth intron of the human gene. In addition, each gene possesses a small intron (123 nucleotides in mouse and 167 nucleotides in human) which does not contain a snoRNA-like sequence; in human *gas5*, the snoRNA-less intron is the ninth intron, while in the mouse gene it is the seventh. By sequencing two human *gas5* cDNAs, we found that, like mouse *gas5*, the human homologue is alternatively spliced. For both genes, the alternative splicing events involve the seventh exon: in the mouse gene there is an alternative 3' splice acceptor, which serves to include the seventh exon, whereas in the human homologue, an alternative 5' splice donor is located within the seventh exon (see Fig. 4a).

To demonstrate that stable RNA species are produced from the *gas5* introns, Northern analyses were performed. Oligonucleotides complementary to the nine predicted murine snoRNAs were used to probe total RNA and RNA isolated by immuno-

precipitation with anti-fb (Fig. 1b) or anti-Sm antibodies (data not shown) from NIH 3T3 cells. As expected, each intron generates a detectable anti-fb immunoprecipitable RNA species. While no stable RNA is generated from the fourth intron of mouse *gas5*, Northern analysis of HeLa cell RNA confirms that U77 is produced from the fourth intron of the human gene (data not shown). The 10 RNAs range in length from 60 to 85 nucleotides and appear to be of an abundance ($\sim 10^4$ copies/cell) similar to that of other antisense snoRNAs (see reference 51).

The sequences of human and mouse *gas5* snoRNAs are compared in Fig. 2. Each snoRNA possesses boxes C, D', and D along with extensive complementarity (ranging from 10 to 16 bp) to highly conserved regions of either 18S or 28S rRNA. For 8 of the 10 snoRNAs, reported sites of rRNA ribose methylation (47) reside within these regions of complementarity; we predict that C₃₈₁₀, G₁₅₉₇, and A₃₉₇ (targeted by U74, U80, and

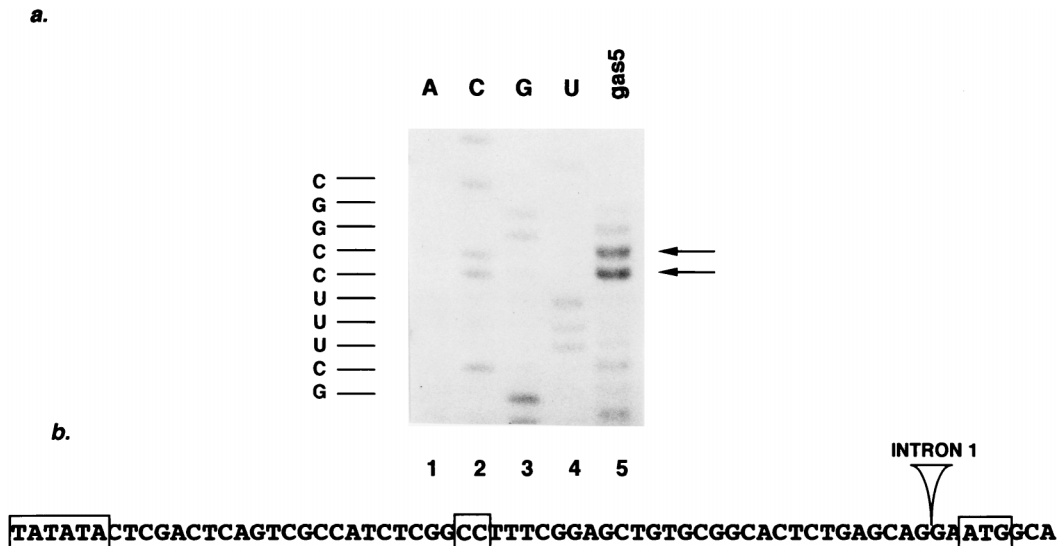


FIG. 3. *gas5* is member of the 5'TOP gene family. (a) Extension of a deoxyoligonucleotide primer complementary to mouse *gas5* (lane 5) was performed using mRNA isolated from NIH 3T3 cells treated with cycloheximide. The products were run adjacent to a sequencing ladder of DNA containing the mouse *gas5* exon 1 and promoter (lanes 1 to 4). (b) The transcription start site maps to two adjacent cytidine residues located 29 and 30 nucleotides downstream of a TATA box and 32 and 33 nucleotides upstream of a consensus translation start site. The TATA element, transcription start sites, and translation start site are boxed; the position of the first intron of the mouse *gas5* gene is indicated.

U81, respectively) in 28S rRNA are methylated residues not yet reported. The snoRNA encoded within the ninth intron of *gas5*, U80, is unusual in that it exhibits two regions of complementarity and therefore has the potential to target two sites in 28S (A_{1522} and G_{1597}) for methylation; interestingly, the human U77 snoRNA targets the same residue (A_{1522}) for methylation as the upstream site in both human and mouse U80 (Fig. 2). Similar to the other members of this class of snoRNAs, in each known case the methylated rRNA residue is located opposite the snoRNA residue precisely five nucleotides upstream of box D or D'. Since U24 and U25 snoRNAs were previously demonstrated to be required to site-specifically target rRNA for 2'-O-methylation (39, 87), the *gas5* snoRNAs are strongly implicated in the 2'-O-methylation of pre-rRNA.

***gas5* contains a 5'TOP sequence.** To precisely map the transcription start site of the *gas5* gene, we performed primer extension analysis on oligo(dT)-selected mRNA from NIH 3T3 cells by using a probe to the first exon of mouse *gas5*. Figure 3a shows that the major transcription start site is located at two adjacent cytidine residues within the sequence TCTCGGCCCTTTC; a small fraction of transcripts commences at the guanosine residue preceding the two cytidine residues. The transcription start site(s) is located 29 and 30 nucleotides downstream of the TATA box and 32 and 33 nucleotides upstream of a strong (according to reference 40) translation start site, as shown in Fig. 3b. The first intron is located two nucleotides upstream of this AUG codon. Two human *gas5* cDNAs were analyzed by 5' rapid amplification of cDNA ends and show that the 5' ends of the human and mouse transcripts are almost identical (CUUUUCG versus CCUUUCG, respectively). The human spliced *gas5* RNA also exhibits a short (33 nucleotides) putative 5' untranslated region (5'UTR).

Recently, a number of genes, including all of those encoding vertebrate ribosomal proteins, were classified as members of the 5'TOP family (for reviews see references 2 and 52). The salient features of this gene class are (i) a tract of 4 to 13 pyrimidines, occasionally interrupted by one or two guanosine residues, surrounding a cytidine transcription start site, and (ii)

a short 5'UTR where a translation initiation site conforming to the consensus (40) is located at the first AUG of the message. Since the *gas5* transcript in both human and mouse contains a seven-nucleotide 5' oligopyrimidine tract, a consensus translation start site, and a short 5'UTR, its sequence suggests that it is a member of the 5'TOP gene family.

***gas5* appears to be non-protein coding.** Although indirect, the most compelling evidence that *UHG* does not specify a protein product is that the exons are not conserved between its human and mouse homologues (84). To compare human and mouse *gas5* genes, three sequence categories were analyzed: (i) exons, (ii) intron regions in which no snoRNA sequences are located, and (iii) snoRNA-containing sequences. The percentage of identity of each pair of corresponding regions (e.g., human exon 1 with mouse exon 1) is presented in Fig. 4a. Most highly conserved are the first seven nucleotides at the 5' end of the *gas5* transcript (86% identical) and the snoRNA sequences (72 to 93% identical), whereas the average identity between exons and intron regions not encoding snoRNAs is only 49 and 46%, respectively. Interestingly, the second most highly conserved region of *gas5* encompasses a site of alternative splicing: the seventh exon and preceding intron are 68% identical (Fig. 4a). Similar to *gas5*, the 5' end (first 10 nucleotides) of *UHG* is conserved in mouse and human (84). Together, these observations suggest that the only functional regions of *gas5* and *UHG* are their 5'TOP sequences and intron-encoded snoRNAs.

While in vitro translation of a *gas5* transcript yielded an 8-kDa polypeptide (16), attempts to identify an in vivo protein product of the mouse *gas5* gene have been unsuccessful (64a). Presented in Fig. 4b is an analysis of the protein coding potential for mouse and human spliced *gas5* RNAs. A strong translation start context (40)—GNNAUGG preceded by a stop codon—surrounds the first AUG codons in both transcripts. Because of the multitude of stop codons, peptides of only 23 amino acids (mouse) or 50 amino acids (human) could be produced from these AUG codons. In the human *gas5* transcript, the first ORF is the longest, whereas in the mouse RNA, the longest ORF (39 amino acids) would start at the

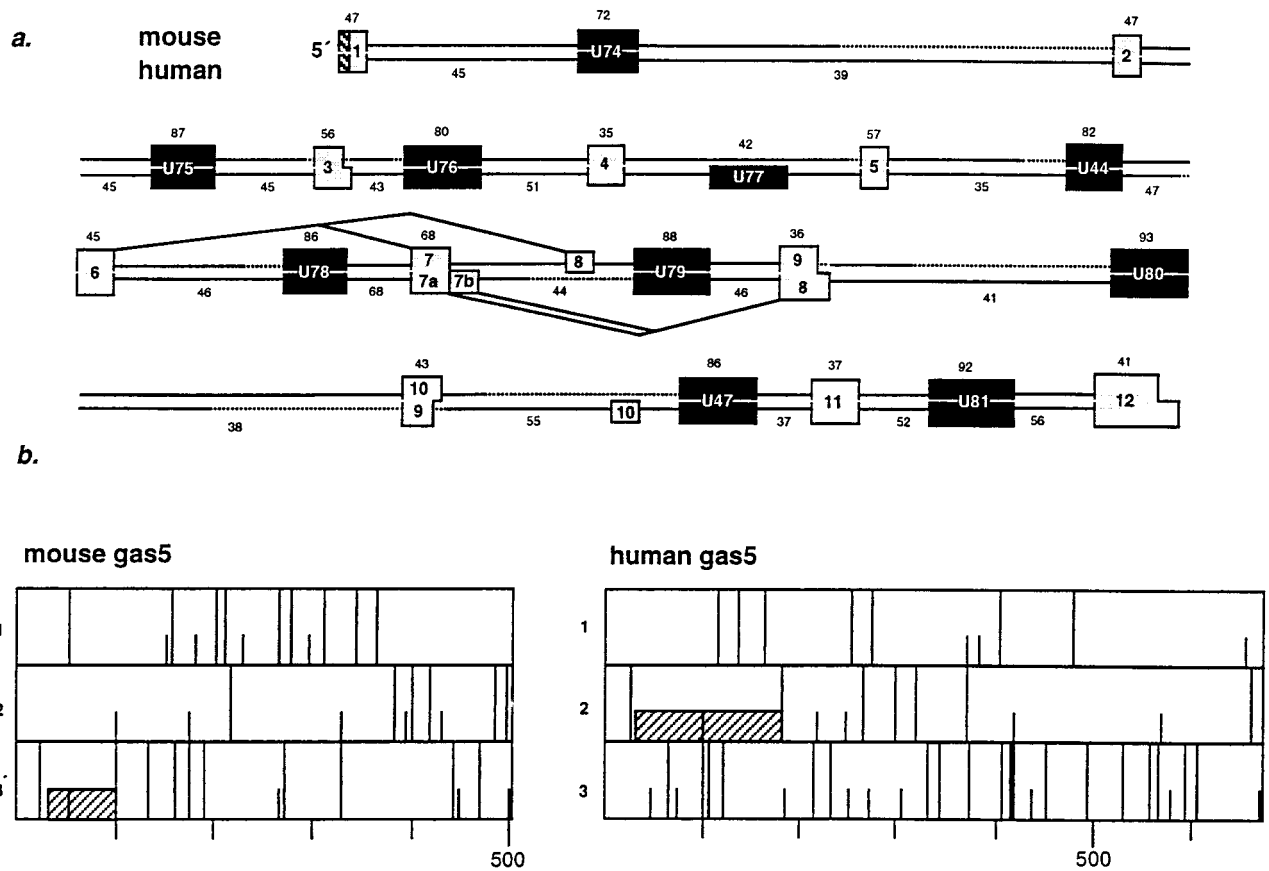


FIG. 4. *gas5* appears to be non-protein coding. (a) Comparison of human and mouse *gas5* genes with the Genetics Computer Group software (20) GAP program shows that the only conserved portions are the 5'TOP tract and the intron-encoded snoRNA sequences. Indicated above are the percentages of identity between respective exon (shaded boxes) and snoRNA (filled boxes) sequences; below are the percentages of identity for the portions of intron external to snoRNA sequences (open boxes). The mouse and human *gas5* 5'TOP sequences (cross-hatched boxes) are 86% identical over seven nucleotides. The dotted line denotes regions in which corresponding introns are not the same length. (b) ORFs of mouse and human spliced *gas5* RNAs. Short and long vertical bars represent start and stop codons, respectively, in all three frames. The cross-hatched boxes denote the most likely reading frames. The length in nucleotides of the spliced RNAs is indicated below each diagram.

third AUG codon, which is predicted to be only an adequate translation start site. While the peptides resulting from the first ORFs of the human and mouse transcripts are only 26% homologous, an analysis of a partial rat *gas5* clone (64b) reveals that it could produce a 23-amino acid peptide that is 74% identical to the predicted mouse peptide.

Translation inhibition and growth arrest result in accumulation of spliced *gas5* RNA. Despite the unlikelihood that *gas5* produces a functional protein product, its spliced RNA is polyadenylated and associated with ribosomes (16). Thus, we suspected that the almost undetectable levels previously reported for the *gas5* transcript (15, 16) could be a result of its degradation via a pathway linked to translation, as appears to be the case for *UHG* (84). Northern analysis was performed on RNA isolated from NIH 3T3 cells treated for up to 12 h with the translation elongation or initiation inhibitors cycloheximide or pactamycin. The results, presented in Fig. 5a, indicate that the low level of *gas5* RNA increases dramatically in cells in which translation has been arrested for 12 h (12-fold with cycloheximide, compare lanes 1 and 5; 18-fold with pactamycin, compare lanes 6 and 10). *UHG* behaves similarly under these conditions (Fig. 5a) (84). In addition, a sevenfold increase in the level of the *gas5* spliced RNA was observed when cells were treated with the 5'TOP-specific translation inhibitor rapamycin

(compare lanes 11 and 15); in contrast, *UHG* did not accumulate under these conditions. For each experiment, the levels of β -actin and *rpS16* mRNAs, which were examined as controls, did not change dramatically. Since U75 (a snoRNA encoded within *gas5*; Fig. 5) and U22 (a snoRNA encoded within *UHG*; data not shown) are abundant, *gas5* and *UHG* are indeed transcribed, but their spliced products are rapidly degraded in a translation-dependent manner.

Increased levels of spliced *gas5* RNA have been shown to result from posttranscriptional regulation in growth-arrested cells (15, 16). We performed Northern analyses to assess the *gas5* levels in NIH 3T3 cells growth arrested by contact inhibition compared to levels after serum starvation (Fig. 5b, lanes 1 to 5 versus lanes 6 to 10, respectively). As expected, both conditions generate increased levels of spliced *gas5* RNA while levels of β -actin and *rpS16* mRNAs remain constant or decrease. However, the effects of density arrest and serum starvation are not identical: in density-arrested cells, the level of spliced *gas5* RNA increases 5.4-fold and the level of U75 increases 3.0-fold (average of two experiments; compare lanes 1 and 5); when cells are cultured in media containing low levels of serum, the level of spliced *gas5* RNA increases 2.5-fold while the level of U75 remains constant (average of two experiments; compare lanes 6 and 10). Under both conditions, the observed

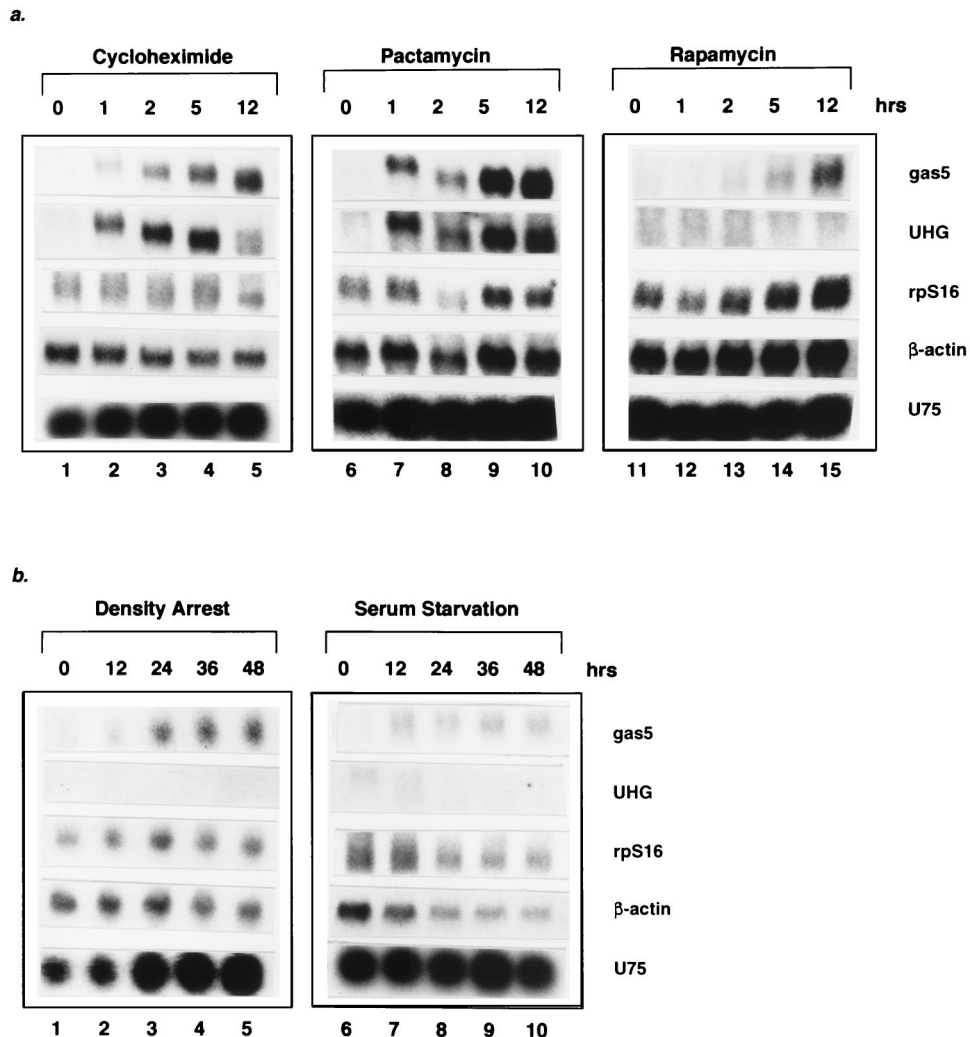


FIG. 5. Accumulation of spliced *gas5* RNA in (a) translation-inhibited or (b) growth-arrested cells. Northern analysis, using probes for *gas5* and *UHG* spliced transcripts as well as U75 snoRNA, β -actin, and *rpS16* mRNAs, was performed on total RNA isolated from NIH 3T3 cells treated with 20 μ g of cycloheximide/ml (lanes 1 to 5), 280 ng of pactamycin/ml (lanes 6 to 10) or 20 ng of rapamycin/ml (lanes 11 to 15) for 0 (untreated), 1, 2, 5, and 12 h (a) or growth arrested by either contact inhibition (lanes 1 to 5) or serum starvation (lanes 6 to 10) for 0 (growing), 12, 24, 36, and 48 h (b). For each timecourse, equal amounts of RNA were loaded in the lanes (the internal controls show that lane 8 in panel a was underloaded). The progressive increase in mobility of the *gas5* and *UHG* transcripts upon cycloheximide and pactamycin treatment may reflect poly(A) tail shortening.

increases in spliced *gas5* RNA relative to U75 are consistent with previous reports that the *gas5* message is regulated post-transcriptionally (15, 16). In contrast to *gas5*, the level of the *UHG* message is not increased by either serum starvation or density arrest (Fig. 5b); however, as with U75, the level of U22 (encoded in *UHG*) increases in density-arrested cells (data not shown).

***gas5* spliced RNA shifts into mRNP particles during growth arrest.** Messenger RNAs belonging to the 5'TOP gene family have been shown to shift from polysomes into submonosomal (or messenger ribonucleoprotein [mRNP]) particles during periods of growth arrest in a process which is reversed when cell growth resumes (reviewed in references 2 and 52). The classification of *gas5* as a 5'TOP gene prompted us to ask whether its apparent upregulation in growth-arrested cells is correlated with a shift of its spliced RNA into stable submonosomal particles, where it does not undergo translation and degradation. Using density gradient centrifugation, we compared the distribution of *gas5* RNA in extracts from growing NIH 3T3

cells with that of either serum-starved cells or cells whose growth was arrested at confluency. We also examined the *gas5* transcript in cells that were growing but in which translation had been halted by cycloheximide treatment. Northern blots of RNA isolated from each gradient fraction were probed for spliced *gas5* RNA and for *rpS16* and β -actin mRNAs (Fig. 6a); 18S and 28S rRNAs were also analyzed (data not shown) to monitor the migration of 40S and 60S ribosomal subunits, as well as the monosome-polysome region. Quantitated data for the spliced *gas5* RNA are shown in Fig. 6b.

As expected for a 5'TOP transcript, over 90% of the spliced *gas5* RNA is located in submonosomal fractions (3 through 7) when cell growth is halted by either density arrest or serum starvation (Fig. 6a and b). Even though the rate of transcription of the *gas5* gene may be augmented during density arrest (Fig. 5b), the fraction of *gas5* spliced RNA present in the submonosomal fractions is comparable to that observed during serum starvation. In growing cells, the spliced *gas5* RNA is located mostly in submonosomal fractions (4 through 7) but is

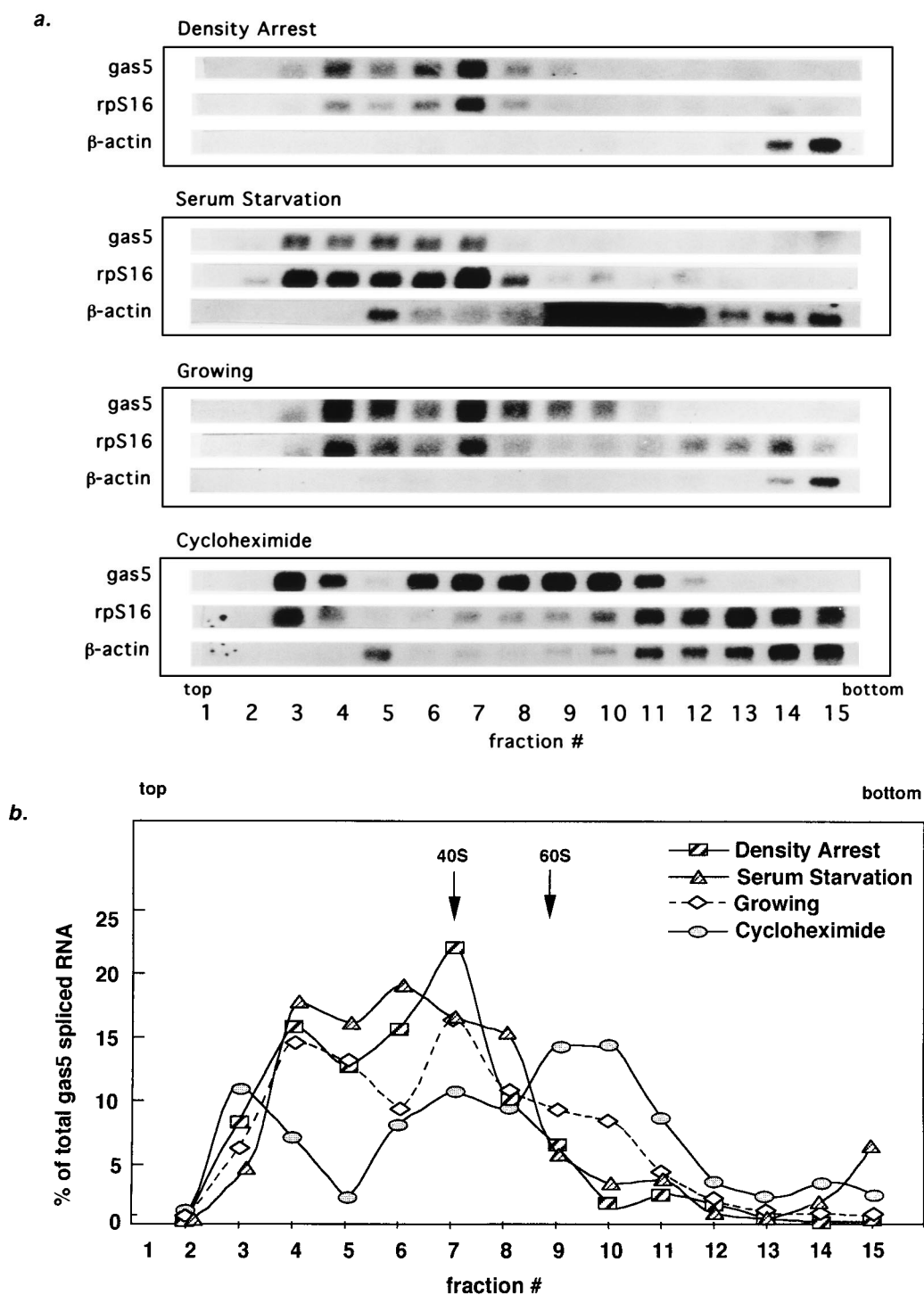


FIG. 6. Spliced *gas5* RNA shifts away from ribosomes during growth arrest. (a) Northern blots of RNA from 10 to 50% sucrose gradient fractions of extracts from NIH 3T3 cells density arrested, serum starved, growing, or treated with cycloheximide. The distributions of spliced *gas5* RNA and *rpS16* and β -actin mRNAs, along with 18S and 28S rRNAs (data not shown), were analyzed. The top, bottom, and fraction numbers for each gradient are indicated. The dark smear in fractions 9 to 12 of the β -actin profile during serum starvation is an artifact introduced during the blotting procedure; note that this profile otherwise mimics the β -actin profile during cycloheximide treatment. (b) Quantitation of Northern signals (by PhosphorImaging) of spliced *gas5* RNA from density-arrested cells, serum-starved cells, growing cells, and cells treated with cycloheximide. The positions of ribosomal small subunits (40S) and large subunits (60S) were determined from the profiles of 18S and 28S rRNAs. For each fraction, the percentage of *gas5* was determined with respect to the total amount of *gas5* RNA in the gradient. The dotted line indicates that the normalized level of *gas5* RNA in growing cells cannot be compared directly to that in density-arrested and cycloheximide-treated cells, since a portion of the spliced *gas5* RNA (presumably that associated with ribosomes) has been degraded.

also present in fraction 10, which corresponds to 80S (monosomes) (Fig. 6a and b). In contrast, when elongation is inhibited by cycloheximide, 30% of the spliced *gas5* RNA is trapped at approximately 80S, as expected for a message that contains only short ORFs. The *rpS16* mRNA behaves comparably to *gas5* RNA (Fig. 6a): in density-arrested or serum-starved cells, *rpS16* mRNA is found predominantly in the submonosomal region and is absent from polysomes, whereas in growing cells it is distributed between the submonosomal and polysomal fractions (fractions 12 to 15). The non-5'TOP β -actin mRNA remains associated with heavy polysomes in growing and growth-arrested cells (Fig. 6a).

Previous analyses of 5'TOP mRNAs employed gradient conditions that optimally discriminate between polysomes and submonosomal particles (1, 25, 33, 44, 53, 65). For spliced *gas5* RNA, which is likely to accommodate only a single ribosome due to its numerous stop codons, it was necessary to devise conditions that could distinguish between monosomes and submonosomal particles. By using 10 to 50% sucrose gradients and extended centrifugation times, we separated the submonosomal region into two distinct peaks. During density-arrested growth, increased levels of both spliced *gas5* RNA and *rpS16* mRNA are found predominantly in fractions that comigrate with 40S subunits (fraction 7), while levels in lighter mRNP particles (fraction 4) are less affected. Similar observations were made when the distribution of rp mRNAs from differentiated mouse myoblasts or rabbit reticulocyte lysate were analyzed by high-resolution sucrose gradients (27).

DISCUSSION

***gas5* is a non-protein-coding multi-snoRNA host gene.** We have identified *gas5* as the second member of the *UHG* class of snoRNA host genes. Ten box C/D snoRNAs (designated U74, U75, U76, U77 [human only], U44, U78, U79, U80, U47, and U81 [Fig. 1 and 2]) are encoded within the 11 introns of the *gas5* gene; 8 of the 10 are novel species (U74 to U81). Each snoRNA exhibits extensive complementarity to 18S or 28S rRNA and is predicted to function in 2'-O-methylation of pre-rRNA (13, 39, 87). Alignment of the human and mouse *gas5* intron-encoded snoRNAs reveals little variation within the regions exhibiting complementarity to rRNA and the box C, D', and D sequences (Fig. 2), consistent with evidence suggesting that these are functionally relevant portions of the snoRNAs (13, 39). Considering the overall similarity between human and mouse *gas5* genes, it was surprising to find that U77 is encoded within the fourth intron of human *gas5* yet is not present in the mouse gene. In human cells, both U77 and U80 appear to be capable of targeting the same residue in 28S rRNA (A_{1552}) for methylation; it is not known, however, whether they participate equally in this process. Since the two residues targeted by U80 (A_{1552} and G_{1597}) are adjacent in the secondary structure of 28S rRNA (47), it seems that U80 could simultaneously guide methylation of these sites. If this is the case, it is unclear why a redundant mechanism to modify A_{1552} would be present in humans while absent in mice. (Northern analysis of RNA from NIH 3T3 cells [data not shown] indicates that a U77 homolog is not present in mouse.)

Similar to *UHG*, sequence comparison of human and mouse *gas5* genes indicates that the only regions of conservation are their snoRNAs and 5' end (Fig. 4a); in addition, the presence of only short ORFs and numerous stop codons suggests that *gas5* does not generate a protein product (Fig. 4b). Since both the *gas5* exons and non-snoRNA-containing intron segments range from 35 to 68% identity, these regions of the gene appear to have diverged at equivalent rates. (A similar range of

identity is found for corresponding introns of mouse and human β -globin and rat and human β -actin genes.) To address whether the secondary structures of the *gas5* and *UHG* spliced transcripts share any common structural features, these RNA sequences were analyzed by MulFold (31); however, no conserved folds were found.

Recently, two additional non-protein-coding snoRNA host genes, called *U17HG* and *U19HG*, have been characterized (references 64 and 7, respectively). Similar to *gas5* and *UHG*, the exon portions of *U17HG* are not conserved between human and mouse (64). The *U17HG* and *U19HG* spliced RNAs are polyadenylated and contain numerous stop codons; however, they do not appear to associate with polysomes (7, 64). Why do the spliced *gas5* and *UHG* RNAs associate with ribosomes if they do not produce functional protein products? Previously, increased levels of *UHG* spliced RNA were observed after treatment of HeLa cells with translation inhibitors (84). The same response has been observed here for *gas5* by using the translation inhibitors cycloheximide, pactamycin, and rapamycin (Fig. 5a). The presence of numerous stop codons in both spliced RNAs (Fig. 4) (84) suggests that nonsense-mediated decay—a process known to require active translation (49)—may be utilized by the cell to dispose of the exon portions of these transcripts. The fate of the nonconserved (and likely nonfunctional) peptide products which could be generated from the short ORFs of *gas5* and *UHG* is not known.

***gas5* is a member of the 5'TOP gene family.** The members of the 5'TOP gene family include all ribosomal proteins, as well as protein synthesis elongation factors and a number of genes without apparent ribosome-related functions (e.g., ATP synthase C subunit, nucleoside diphosphate kinase, and hnRNP1) (see references 2, 11, and 52). Although the number of genes in this family is small, together the 5'TOP mRNAs can comprise >15% of the total mRNA in the cell. 5'TOP genes are classified according to their unusual pyrimidine-rich 5'-terminal sequence and also by whether their mRNAs accumulate in mRNP particles during arrested cell growth. Mapping the 5' end of the *gas5* transcript demonstrates that it commences at a cytidine residue followed by five pyrimidines (Fig. 3). Since *gas5* contains sequence characteristics of the 5'TOP gene family, we investigated whether it is present in mRNP particles when cells are serum starved or arrested at confluency. Indeed, when the distribution of the spliced *gas5* mRNA was analyzed by sucrose gradient centrifugation, it was found to accumulate in submonosomal fractions during growth arrest (Fig. 6).

That *gas5* is a member of the 5'TOP gene class explains the previously reported posttranscriptional accumulation of its spliced RNA in growth-arrested cells. In growing cells, active translation leads to rapid degradation of the spliced *gas5* RNA, whereas inhibition of translation causes the level of *gas5* transcript to rise. Likewise in growth-arrested cells, the spliced *gas5* RNA accumulates, apparently because it is sequestered in mRNP particles and is not translated. Presented in Fig. 7 is a model which summarizes our findings. The observation of a growth-arrested submonosomal peak at 40S for both *rpS16* and *gas5* transcripts (Fig. 6) might indicate that these mRNAs can associate with the small ribosomal subunit but not engage the 60S to form active ribosomes. It is important to note that a principal difference between the regulation of spliced *gas5* RNA and the protein-coding 5'TOP mRNAs is that translation of the latter does not result in rapid degradation.

An additional characteristic of 5'TOP mRNAs is that their translation is specifically inhibited by the immunosuppressant rapamycin (32). Rapamycin has been shown to inhibit phosphorylation $p70^{S6k}$, which in turn prevents phosphorylation of S6, possibly resulting in decreased affinity of 5'TOP mRNAs

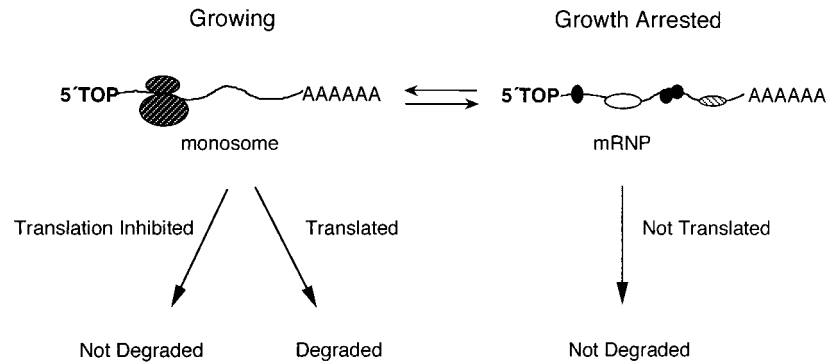


FIG. 7. Why is a non-protein-coding snoRNA host gene a growth-arrest-specific transcript? In growing cells, spliced *gas5* RNA is translated and consequently degraded. When translation is inhibited, the levels of *gas5* increase. Likewise, when cell growth is arrested, *gas5* RNA shifts from monosomes into submonosomal particles, where it is sequestered from active ribosomes and therefore accumulates.

for the translation machinery (see reference 52). As expected, treatment of NIH 3T3 cells with rapamycin results in an increase in the level of *gas5* spliced RNA, presumably because it not translated and degraded (Fig. 5a). Thus, it appears that degradation of the *gas5* spliced RNA may be regulated through the p70^{S6k} signal transduction cascade. According to our model, spliced *gas5* RNA is expected to accumulate in nondividing cells. Indeed, an analysis of adult mouse tissues demonstrated that the *gas5* transcript is present at high levels in brain, where the majority of cells are not dividing, and is present at low levels in liver, where cells are continuously dividing (16). An intriguing question is whether regulation of the spliced *gas5* RNA through the p70^{S6k} signalling pathway and its accumulation in certain tissues plays some unknown cellular role or whether the *gas5* gene merely serves as a vehicle for the production of its intron-encoded snoRNAs.

In contrast to *gas5*, *UHG* does not accumulate in density-arrested or serum-starved cells and it is not sensitive to rapamycin (Fig. 5a and b). A number of studies have demonstrated that the 5' TOP sequences, along with adjacent downstream regions, are required to shift transcripts into submonosomal particles (3, 27, 42, 50). Since insertion of a single adenosine residue into a 5' oligopyrimidine tract abrogates this shift (3, 42), the *UHG* transcript may not accumulate during growth arrest because its 5'-end sequence contains an adenosine residue at position +4. However, with exception of this adenosine, *UHG* contains an oligopyrimidine tract which is conserved between human and mouse. Interestingly, we observe modest increases in the levels of both U75 (encoded within *gas5*; Fig. 5b) and U22 (encoded within *UHG*; data not shown) when cells are density arrested, suggesting that these genes may be transcribed in a similar growth-dependent manner. However, we cannot exclude the unlikely possibility that snoRNAs are stabilized under these conditions.

snoRNA host genes possess characteristics of the 5' TOP gene family. Strikingly, inspection of known snoRNA host

TABLE 1. snoRNA host genes contain 5' TOP sequences

Host gene or protein	5' TOP sequence (-5 to +10)	snoRNA	GenBank accession no.	Reference or source
<i>gas5</i>	<u>CTCGGCCTTTCCGAG</u> ^{a,b}	U74-81, U44, U47	X67267	This work; 16
<i>UHG</i>	<u>TCGTTCTCATTTTC</u>	U22, U25-31	U40580	84
<i>U17HG</i> ^c	<u>TCTCTCCTTTTGGG</u> ^b	U17	D00591	64
<i>U19HG</i>	<u>CTGCGCCCTG</u>	U19	AJ224166	7
rpS3	<u>TCCTTTCCTT</u> ^d	U15	D28344	35
rpL1a	<u>TTTCTCTTCCGTGGC</u> ^a	U16, U18	X06552	45
rpS8	<u>GGTTTCTCTTCCAG</u>	U38-U40 ^e	X67247	M. Fried, direct submission
rpL5	<u>GCGGCCTTTTCCCC</u> ^a	U21	D10737	36
rpL7a	<u>CCTTCTCTCTCCTC</u>	U24, U36 ^{a,c}	X61923	17
rpL13a	<u>TCCTCCTTTCCAGG</u> ^a	U32-U35	X51528	C. Sibley, direct submission
eIF4A _I	<u>ACTCCGCCCTAGATT</u> ^a	U67	M22873	69
eIF4A _{II}	<u>CGCCTGTCTTTTCAG</u> ^a	E3	X14422	60
EF-2	<u>GCGGTCTCTTCCGCC</u> ^a	U37	J03200	55
EF-1β	<u>CTTTTTCCTC</u>	U51	D28350	35
Nucleolin	<u>GCTGGCTTCGGGTGT</u>	U20	M60858	78
<i>hsc70</i>	<u>GAAACCGGTGCTCAG</u>	U14	Y00371	21
Laminin binding protein	<u>CTCGACTTCTTTTC</u>	E2	U43901	30
ATP synthase β	<u>CCTTCAGTCTCCACC</u>	U59	M27132	56
Q1Z7F5	<u>AGCGCCTCTTCCCT</u>	U70	M81806	88

^a Oligopyrimidine tracts are underlined. Transcription start sites (+1) are in boldface. Sequences are from human except those for *Xenopus* rpL1a, chicken rpL5, mouse rpL13a and eIF4A_I and II, hamster EF-2, and mouse *gas5*.

^b Transcription of *gas5* and *U17HG* starts at adjacent C residues.

^c *U17HG* is located upstream of the *RCC1* gene (64).

^d The thymidine transcription start site assigned for rpS3 cDNA may not reflect genomic sequence (35).

^e U39 and U40 are also named U46 and U55, respectively (39, 59).

genes reveals that they all exhibit characteristics of the 5'TOP gene class. Presented in Table 1 are transcription start sequences of host genes along with their corresponding snoRNAs. In contrast to the non-protein-coding host genes (*gas5*, *UHG*, *U17HG*, and *U19HG*), most host genes generate protein products in addition to their snoRNAs. Many snoRNAs are encoded within introns of ribosomal protein genes while others are found within introns of genes specifying translation factors or nucleolar proteins. The heat shock cognate 70 protein has been reported to localize to the nucleolus during heat shock (76), and the laminin binding protein/p40 has been reported to associate with ribosomes (70, 71). A ribosome-related function is not, however, obvious for the protein products of the ATP synthase β (56) and *QIZ7F5* genes (88). Despite their variety of functions, all snoRNA host genes possess at least some of the distinctive characteristics of 5'TOP genes: a 5' oligopyrimidine tract, a cytidine transcription start site, and a short 5'UTR. The *hsc70* transcript does not contain a strong oligopyrimidine tract—yet begins at a cytidine residue—and the eukaryotic initiation factors and ATP synthase β messages are reported to start with guanosine and adenosine residues, respectively (56, 60, 69), but these are located within oligopyrimidine sequences (Table 1).

Two observations suggest that the 5'TOP sequences of snoRNA host genes play a role in addition to that in translational regulation. First, while all snoRNA host genes contain characteristics of the 5'TOP gene family (Table 1), they are not all translationally regulated in a 5'TOP growth-dependent manner. For example, eIF4A_I and eIF4A_{II} have been shown not to shift into mRNPs during growth arrest (28). Second, *UHG* possesses an absolutely conserved pyrimidine-rich 5'-end sequence but does not appear to be translationally regulated in the way *gas5* is regulated. Since all snoRNA host transcripts release their snoRNAs in the nucleus, we suspect that a second role of 5'TOP sequences likely involves some nuclear process. One possibility is that 5' oligopyrimidine tracts participate in the regulation of transcription of snoRNA-containing genes, balancing the synthesis of translation-associated components with the machinery that generates the ribosome. Alternatively, the pyrimidine-rich transcription start sites of snoRNA host genes could function by altering the composition of transcription initiation complexes to include factors that later assist in the splicing and release of snoRNAs. Examples of such communication between the transcription and mRNA processing machineries have been reported recently: splicing factors have been found to interact with the C-terminal domain of RNA polymerase II (reviewed in references 18 and 79) and the polyadenylation factor CPSF has been identified as a component of the TFIID transcription initiation complex (19). Our finding that all known snoRNA host genes contain 5'TOP sequences represents an additional step toward understanding the growth-dependent regulation of the protein synthesis machinery in vertebrate cells.

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