# p21<sup>WAF1/CIP1</sup> Is Upregulated by the Geranylgeranyltransferase I Inhibitor GGTI-298 through a Transforming Growth Factor β- and Sp1-Responsive Element: Involvement of the Small GTPase RhoA

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Received 23 February 1998/Returned for modification 15 May 1998/Accepted 26 August 1998

We have recently reported that the geranylgeranyltransferase I inhibitor GGTI-298 arrests human tumor cells at the G<sub>1</sub> phase of the cell cycle and increases the protein and RNA levels of the cyclin-dependent kinase inhibitor p21<sup>WAF1/CIP1</sup>. Here, we show that GGTI-298 acts at the transcriptional level to induce p21<sup>WAF1/CIP1</sup> in a human pancreatic carcinoma cell line, Panc-1. This upregulation of p21<sup>WAF1/CIP1</sup> promoter was selective, since GGTI-298 inhibited serum responsive element- and E2F-mediated transcription. A functional analysis of the p21<sup>WAF1/CIP1</sup> promoter showed that a GC-rich region located between positions -83 and -74, which contains a transforming growth factor  $\beta$ -responsive element and one Sp1-binding site, is sufficient for the upregulation of p21<sup>WAF1/CIP1</sup> promoter by GGTI-298. Electrophoretic mobility shift assays showed a small increase in the amount of DNA-bound Sp1-Sp3 complexes. Furthermore, the analysis of Sp1 transcriptional activity in GGTI-298-treated cells by using GAL4-Sp1 chimera or Sp1-chloramphenicol acetyltransferase reporter revealed a significant increase in Sp1-mediated transcription. Moreover, GGTI-298 treatment also resulted in increased Sp1 and Sp3 phosphorylation. These results suggest that GGTI-298-mediated upregulation of p21<sup>WAF1/CIP1</sup> involves both an increase in the amount of DNA-bound Sp1-Sp3 and enhancement of Sp1 transcriptional activity. To identify the geranylgeranylated protein(s) involved in p21<sup>WAF1/CIP1</sup> transcriptional activity. To identify the geranylgeranylated protein(s) involved in p21<sup>WAF1/CIP1</sup> gromoter activity. The dominant negative mutant of RhoA, but not Rac1, was able to activate p21<sup>WAF1/CIP1</sup>. In contrast, constitutively active RhoA repressed p21<sup>WAF1/CIP1</sup>. Accordingly, the ADP-ribosyl transferase C3, which specifically inhibits Rho proteins, enhanced the activity of p21<sup>WAF1/CIP1</sup> transcription is by preventing the small GTPase RhoA from repressing p21<sup>WAF1/CIP1</sup> induction.

Small G proteins such as Ras, Rho, and Rac are intimately involved in signaling pathways that regulate mitogenesis (14, 25, 33). The role of Ras as a transducer of mitogenic signals from receptor tyrosine kinases to the nucleus is well established (14, 25, 33). Similarly, RhoA and Rac1 have been shown to be required for the  $G_1$ -to-S-phase transition of the cell cycle during mitogenesis (29). It is therefore not surprising that these small G proteins are implicated in pathological conditions, such as cancer and certain cardiovascular diseases, where aberrant proliferation is involved. Indeed, oncogenic Ras mutations are found in 30% of all human tumors (2, 3). Furthermore, GTP-locked forms of Ras, RhoA, and Rac1 all cause uncontrolled proliferation and tumor growth (16, 32). Finally, elimination of oncogenic Ras by homologous recombination in human tumors with multiple genetic alternations inhibits their ability to grow in nude mice (37). Thus, elimination of oncogenic ras function alone is sufficient to reverse malignant transformation, and therefore pharmacological inhibition of small G-protein function would potentially be an

excellent strategy for preventing or curing diseases in which aberrant proliferation is implicated. One approach that we have taken is to make pharmacological agents that inhibit prenylation of small G proteins, which is a lipid posttranslational modification required for their function (36).

Protein prenylation is catalyzed by three prenyl transferases that attach to carboxyl terminal cysteines either a farnesyl, by farnesyltransferase (FTase), or a geranylgeranyl, by geranylgeranyltransferase (GGTase) I and II (47). Whereas FTase and GGTase I recognize proteins that end with carboxyl-terminal CAAX (where C is cysteine, A is an aliphatic amino acid, and X is any amino acid) sequences, GGTase II catalyzes geranylgeranylation of proteins that end with CXC, XXCC, and CCXX sequences. FTase prefers CAAX sequences where X is methionine, serine, cysteine, or glutamine, whereas GGTase I prefers leucine or isoleucine at the X position. Among farnesylated proteins are H-Ras, K-Ras, N-Ras, and lamin B, and among geranylgeranylated proteins are Rac1, RhoA, and Rap1a (47). Although the X position of CAAX sequences determines whether a protein will be a substrate for FTase or GGTase I, there is some degree of cross-specificity between the two enzymes (47). For example, a member of the Rho family of small G proteins, RhoB, is known to be both farnesylated and geranylgeranylated under normal conditions

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(18). Furthermore, in human tumor cells that are treated with FTase inhibitors, K-Ras and N-Ras become geranylgeranylated (21, 34, 45).

We and others have made CAAX peptidomimetics that are potent inhibitors of FTase that are selective of FTase over GGTase I (9, 36). These agents are potent antagonists of oncogenic Ras processing and signaling and inhibit the growth of murine and human tumors in various animal models (9, 36). Furthermore, we have recently made CAAX peptidomimetics that are potent and selective for GGTase I over FTase and found these also to inhibit human tumor growth in nude mice (20, 26, 38, 42). Although the mechanisms by which FTase inhibitors and GGTase I inhibitors inhibit tumor growth are not known, there are several intriguing differences in their mechanisms of action. While FTase inhibitors induce apoptosis only when the cells are prevented from attaching to the substratum (19), GGTase I inhibitors induce apoptosis of attached cells (27). Furthermore, GGTase I inhibitors induce a G<sub>1</sub> block in a large number of human tumor cell lines, whereas FTase inhibitors can either induce a G<sub>1</sub> block or a G<sub>2</sub>/M enrichment or have no effect on cell cycle distribution (41). Finally, GGTase I, but not FTase, inhibitors block plateletderived growth factor-dependent tyrosine phosphorylation of its receptors (26).

One possible mechanism by which cells arrest in  $G_1$  phase is mediated by cyclin-dependent kinase (CDK) inhibitors such as  $p21^{WAF1/CIP1}$ .  $p21^{WAF1/CIP1}$  could mediate  $G_1$ -phase arrest through inhibition of CDKs and possibly through inhibition of DNA replication (43, 46). The fact that inhibition of protein geranylgeranylation resulted in a G1-phase block in all cells we have evaluated prompted us to investigate the effects of GGTase I inhibitors on the cell cycle machinery. Recently, we have found that treatment of several human tumors with GGTI-298, a GGTase I inhibitor, induced an accumulation of p21<sup>WAF1/CIP1</sup> (41). Here we show that the activation of  $p21^{WAF1/CIP1}$  by GGTI-298 occurs at the transcriptional level and that the promoter region involved contains a Sp1- and transforming growth factor  $\beta$  (TGF- $\beta$ )-responsive element (T $\beta$ RE). Furthermore, we have demonstrated that GGTI-298 increased the amount of Sp1 and Sp3 DNA binding and enhanced Sp1 transcriptional activity. Moreover, we show that the small GTPase RhoA, but not Rac1, represses p21<sup>WAF1/CIP1</sup> transcription. Thus, our results suggest that one mechanism by which GGTI-298 upregulates  $p21^{WAFI/CIP1}$  transcription is by preventing the small GTPase RhoA from repressing  $p21^{WAFI/CIP1}$ induction.

#### MATERIALS AND METHODS

**Plasmid constructs.** The p21WAF promoter deletion and mutant constructs were kindly provided by Xiao-Fan Wang (6). pSG4+Sp1N, pSG4+Sp1Q, pSG4+Sp1\Delta, and pSG4+Sp1B-C express the GAL4-DNA binding domain (amino acids 1 to 147) fused to Sp1 transactivation domain (10). GAL4-VP16 expresses GAL4-DNA binding domain fused to the acidic activation domain (amino acids 411 to 454) of herpes simplex virus type 1 VP16 transcription factor. G5BCAT is a chloramphenicol acetyltransferase (CAT) reporter, which carries five GAL4-DNA binding sites upstream of E1B minimal promoter and the TATA box. pCMV- $\beta$ gal and pSRE plasmids were provided by R. Jove, and 4XE2F-CAT was provided by W. D. Cress (Moffitt Cancer Research Center, University of South Florida). 6XSp1-CAT was previously described (1). The pcDNA3 expression vectors encoding for Rac1 wild type (Rac1-wt), Rac1-1151 (activated), and RhoA-19N (dominant negative) were constructed by inserting Rac1 and RhoA *Bam*HI cDNA fragments from pzipNeo (16) into pcDNA3 (Invitrogen) at the *Bam*HI site.

**Tissue culture and transfection.** Panc-1 cells were grown in Dulbecco's modified Eagle medium (DMEM) (GIBCO/BRL) supplemented with 10% fetal bovine serum (FBS). Panc-1 cells were transfected at 40% confluence with 6  $\mu$ g of p21<sup>WAF1/CIP1</sup>, 4  $\mu$ g of Rac1 or RhoA, and 0.5  $\mu$ g of pCMV-βgal by the calcium phosphate precipitation method as described previously (1). DNA precipitates were removed 15 h after transfection, and the cells were replenished with fresh



FIG. 1. GGTI-298 upregulates p21<sup>WAF1/CIP1</sup> promoter activity in human pancreatic tumor cells, Panc-1 cells, in a p53-independent manner. Panc-1 cells were transfected with 4 μg of p21P, which contains the full-length sequence of p21 promoter, or p21PΔp53, which is lacking the p53 consensus site and 0.5 μg of pCMV-βgal as described in Materials and Methods. At 15 h posttransfection, cells were incubated with increasing doses of GGTI-298 for 36 h. The fold induction was calculated by dividing the luciferase activity values of samples treated with GGTI-298 by the activity of untreated control samples. The samples were normalized for transfection efficiency against β-galactosidase activity. Bars represent standard deviations. The data are representative of three independent experiments.

medium. Cells were harvested 30 h later and lysed in 200  $\mu$ l of passive lysis buffer (Promega). Cell extracts were used for  $\beta$ -galactosidase, luciferase, and CAT assays. The thin-layer chromatography plates were scanned with a PhosphorImager, and the percentages of acetylated and nonacetylated forms of chloramphenicol were determined. All transfections were repeated a minimum of three times, and the standard deviations were calculated.

Electrophoretic mobility shift assay (EMSA). Oligonucleotides corresponding to the wt p21WAF promoter sequences from -86 to -71 (GGTCCCGCCTCC TTG) and from -93 to -62 (GAGCGCGGGTCCCGCCTCCTTGAGGCGG GCCC) and their complementary sequences were synthesized and annealed. The sequence of the mutant competitor is GGTTATCTAGAACTG. Two picomoles of annealed wt oligonucleotides was end labeled with T4 kinase (Gibco BRL) and 50  $\mu$ Ci of  $[\gamma^{-32}P]$ ATP. Nuclear extracts were prepared from both GGTI-298-treated and untreated Panc-1 cells. After two 24-h treatments with GGTI-298, cells in a 100-mm-diameter plate were washed three times with 4 ml of cold phosphate-buffered saline (PBS) and then harvested in 1 ml of TEN solution (40 mM Tris [pH 7.5], 1 mM EDTÁ [pH 8.0], 150 mM NaCl). Cells from two plates were combined into one conical tube and spun 10 min at 4,000  $\times$  g. The cell pellet was resuspended in 60  $\mu$ l of hypotonic buffer A (10 mM HEPES [pH 7.9], 1.5 mM MgCl<sub>2</sub>, 10 mM KCl, 1  $\mu$ g of leupeptin/ml, 1  $\mu$ g of pepstatin/ml, 1 mM dithiothreitol [DTT], 1 mM phenylmethylsulfonyl fluoride [PMSF]) and transferred to a microfuge tube. Three cycles of freezing-thawing were performed in dry ice/ethanol at 37°C. The nuclei (pellet) were recovered by centrifugation for 1 min at 14,000  $\times$  g. The nuclei were resuspended in 20  $\mu$ l of buffer C (0.2 mM EDTA [pH 8.0], 20 mM HEPES [pH 7.9], 1.5 mM MgCl<sub>2</sub>, 420 mM KCl, 25% glycerol, 1 µg of leupeptin/ml, 1 µg of pepstatin/ml, 1 mM DTT, 1 mM PMSF) and incubated 30 min at 4°C. Supernatants were clarified (nuclear extracts were obtained), and protein concentrations were determined.

Binding reactions were performed at room temperature (RT). The final volume of the binding reaction mixtures was 20  $\mu$ l, in which 6  $\mu$ g of nuclear extract, 1  $\mu$ g of poly(dI-dC)/ml, unlabeled specific competitor, and 2  $\mu$ l of 10× binding buffer (100 mM Tris [pH 7.5], 50 mM EDTA [pH 8.0], 10 mM MgCl<sub>2</sub>, 10 mM DTT, 50% glycerol, 250 mM NaCl) were combined and incubated 10 min at RT. Radiolabeled probe (40,000 counts per minute) was added, and incubation was resumed for 20 min at RT. For supershift assays with Sp1 and Sp3, 1  $\mu$ l of Sp1or Sp3-specific polyclonal antibody (Santa Cruz Biotechnology) was added to the binding reaction mixture, and incubation was resumed for 30 min at RT. Binding reaction products were resolved on 0.5× Tris-borate-EDTA buffer and 5.0% acrylamide gel at 100 V for 4 h at RT. The gels were subsequently dried and exposed for autoradiography.

ADP-ribosylation by *Clostridium botulinum* C3 exoenzyme. C3 exoenzyme (Sigma) was introduced into cells by using Lipofectamine (Gibco BRL). Briefly, 10 µg of lyophilized C3 exoenzyme was resuspended in 2 ml of buffer (10 mM Tris-HCl [pH 7.5], 114 mM KCl, 15 mM NaCl, 5.5 mM MgCl<sub>2</sub>). C3 exoenzyme (2.5 µg, or 500 µl) was mixed with 500 µl of Opti-MEM (Gibco BRL) and 16 µl of PLUS reagent (Gibco BRL) for 15 min at RT. Meanwhile, in a separate tube,



FIG. 2. Upregulation of  $p21^{WAF1/CIP1}$  promoter by GGTI-298 is mediated through a region that contains a T $\beta$ RE and Sp1-binding sites. Panc-1 cells were transfected with the indicated p21P deletion constructs. At 15 h posttransfection, cells were incubated in either medium alone or medium containing GGTI-298 (15  $\mu$ M) for 36 h as described in Materials and Methods. The fold induction was calculated by dividing the luciferase activity values of samples treated with GGTI-298 by the activity of untreated control samples. The samples were normalized for transfection efficiency against  $\beta$ -galactosidase activity. Panc-1 cells were also transfected with pSRE to determine specificity of GGTI-298. Each error bar represents the average deviation for three independent experiments. The construct map was adapted from Datto et al. (6).

12  $\mu$ l of Lipofectamine was mixed with 1 ml of Opti-MEM. Next, the C3 exoenzyme and Lipofectamine mixtures were combined, and incubation was resumed for 15 min at RT. Next, cells were washed twice with 2 ml of Opti-MEM and then incubated with C3 exoenzyme mixture for 15 h at 37°C in 5% CO<sub>2</sub>. The medium was replaced by DMEM supplemented with 15% FBS and the incubation was resumed for 24 h. Cells were harvested and lysed in 200  $\mu$ l of passive lysis buffer (Promega). Aliquots (20  $\mu$ l each) of cell lysate were used for  $\beta$ -galactosidase and luciferase assays.

In vivo phosphorylation of Sp1 and Sp3. Panc-1 cells (106) were treated with GGTI-298 (15 µM) for 30 h prior to incubation with ortho[<sup>32</sup>P]phosphate. Cells were washed twice with DMEM without phosphate (Gibco BRL) and then incubated in 2.5 ml of the same medium supplemented with 10% dialyzed FBS (Gibco BRL) for 1 h. After 2.5 mCi of phosphorus-32 (NEN Life Science Products) was added to each plate (1 mCi/ml), the incubation was resumed for 3 h. Afterward, cells were washed twice with ice-cold PBS and then lysed in 0.5 ml of immunoprecipitation (IP) buffer (30 mM HEPES [pH 7.5], 10 mM NaCl, 5 mM MgCl<sub>2</sub>, 25 mM NaF, 1 mM EGTA, 1% Triton X-100, 10% glycerol, 2 mM sodium orthovanadate, 10 mg of aprotinin/ml, 10 mg of soybean trypsin inhibitor/ ml, 25 mg of leupeptin/ml, 2 mM PMSF, 6.4 mg of phosphatase substrate/ml). Following centrifugation to remove cellular debris, 5-µl aliquots of cell lysate were used to determine protein concentration, and equal amounts of proteins were used for IP with Sp1 (1:200) and Sp3 (1:100) polyclonal antibodies (Santa Cruz Biotechnology). The IP was performed overnight at 4°C. Sp1 and Sp3 immunocomplexes were isolated using protein A-agarose beads (Santa Cruz Biotechnology). The beads were washed five times with IP buffer and finally were resuspended in 1× sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) loading buffer, followed by separation on SDS-8% polyacrylamide gel. Next, the gel was fixed in water-methanol-acetic acid (60%:30%:10%) for 1 h, dried, and exposed for autoradiography.

#### RESULTS

GGTI-298 upregulates p21<sup>WAFI/CIP1</sup> promoter activity in human pancreatic tumor cells (Panc-1 cells). We have previously shown that GGTI-298 was able to arrest human tumor cells in the G<sub>1</sub> phase of the cell cycle and induce the accumulation of p21<sup>WAFI/CIP1</sup> (41). To evaluate whether p21<sup>WAFI/CIP1</sup> was transcriptionally activated by GGTI-298 we analyzed the activity of its promoter in response to GGTI-298. We transiently transfected human pancreatic carcinoma cells, Panc-1 cells, with a luciferase reporter containing a full-length p21<sup>WAFI/CIP1</sup> promoter and incubated cells with increasing doses of a GGTase I inhibitor (GGTI-298) for 36 h. The comparison of the relative luciferase activity of GGTI-298-treated cells with that of the untreated control cells showed an upregulation of the full-length promoter in a dose-dependent manner (Fig. 1). p21p $\Delta$ p53, which contains the p21<sup>WAFI/CIP1</sup> promoter lacking the p53 consensus site, was also upregulated by GGTI-298. The transcriptional activation of p21 was greater in the absence of the p53-binding site than in its presence (Fig. 1). These results demonstrate that the activation of p21<sup>WAFI/CIP1</sup> promoter by GGTI-298 is mediated through a p53-independent pathway.

GGTI-298 upregulates p21<sup>WAF1/CIP1</sup> promoter through a region that contains a TGF-β-responsive element and Sp1-binding sites. To pinpoint the region of the p21<sup>WAF1/CIP1</sup> promoter that is upregulated by GGTI-298, we analyzed deletion mutants truncated in the 5-prime end of the promoter. As shown in Fig. 2, GGTI-298 activated by 2.4-fold the full-length promoter (p21P) and by 4.9-fold the promoter lacking the p53 consensus site (p21PΔp53). The constructs with deletions of 1.1 kb (p21PΔ1.1), 2.1 kb (p21PΔ2.1), and 2.3 kb (p21PΔ2.3) were activated 4-, 7.5-, and 6.7-fold, respectively. The construct p21PSma, which contains the sequences from -111 through



FIG. 3. Sp1- and TGF- $\beta$ -responsive element at positions -83 to -78 is essential for GGTI-298-mediated upregulation of p21 promoter activity. Panc-1 cells were transfected with the indicated p21P mutant constructs. Starting at 15 h posttransfection, cells were incubated with GGTI-298 (15  $\mu$ M) for 36 h. The fold induction was calculated by dividing the luciferase activity values of samples treated with GGTI-298 by the activity of untreated control samples. The samples were normalized for transfection efficiency against  $\beta$ -galactosidase activity. Each error bar represents the average deviation for three independent experiments. The construct map was adapted from Datto et al. (6). mut, mutation.

the transcription initiation site, was activated 4.3-fold, suggesting that this region was sufficient for GGTI-298-mediated p21<sup>WAF1/CIP1</sup> promoter upregulation. Deletion of the sequences between -111 and -62 (p21Sma $\Delta$ 1) resulted in a decrease of the promoter basal activity and GGTI-298-mediated upregulation. Similarly, deletion of the sequences between -111 and -62 from the full-length promoter (p21PS ma $\Delta 2$ ) resulted in the loss of the promoter basal activity and the induction by GGTI-298. Thus, the sequences between -111 and -62, which contain a TGF- $\beta$ -responsive element and two Sp1-binding sites, represent the minimal region for p21<sup>WAF1/CIP1</sup> promoter basal activity and GGTI-298-mediated upregulation. In order to determine whether the upregulation by GGTI-298 was specific, we transiently transfected Panc-1 cells with a luciferase reporter that contains the serum responsive element (SRE) from the c-fos gene promoter. In contrast to the effects on  $p21^{WAF1/CIP1}$  promoter, GGTI-298 inhibited SRE-mediated transcription by threefold (Fig. 2).

TGF-β/Sp1-responsive element between -83 and -74 is essential for p21<sup>WAF1/CIP1</sup> promoter activity and upregulation by GGT1-298. As described above, the analysis of p21<sup>WAF1/CIP1</sup> promoter deletion mutants allowed us to identify the region between -111 and -62 as the minimal region for the upregulation by GGTI-298. This region of the promoter contains two Sp1-binding sites. The first Sp1 has previously been shown to be part of a TβRE. To further characterize the nucleotide sequence that is essential for GGTI-298-mediated upregulation, we analyzed a set of p21<sup>WAF1/CIP1</sup> mutant constructs. p21P93-S, which contains the wt sequence from -93 to the transcription initiation site, was upregulated by 3.1-fold (Fig. 3). p21P 93-S 1, which is mutated in the sequences between -93 and -84, upstream of Sp1 and T $\beta$ RE, was activated by fourfold. Similarly, constructs with mutations in Sp1-binding sites, sequences between -73 and -64 (p21P 93-S 3) and sequences between -63 and -54 (p21P 93-S 4), were activated 2.9- and 2.7-fold, respectively. In contrast, mutation of the Sp1 and T $\beta$ RE sequences between -83 and -74 (p21P 93-S 2) resulted in a significant decrease of the promoter activity and GGTI-298-mediated upregulation (0.8-fold activation). Specifically, a two-nucleotide change, CC $\rightarrow$ GA, at positions -79 and -78 (p21P 93-S 2.2), which results in the alteration of Sp1 and TβRE, also abolished GGTI-298-mediated upregulation (1.1fold activation). Furthermore, changing the nucleotides at position -77 and -76, TC $\rightarrow$ GG, which is the T $\beta$ RE region that does not contain the Sp1-binding site, also reduced, from 3.1to 2.1-fold, GGTI-298 activation (p21P 93-S 2.3) (Fig. 3). Thus, the region of Sp1 and T $\beta$ RE between -83 and -74 is essential for the full response to GGTI-298.

**GGTI-298 increases Sp1- and Sp3-DNA binding to the sequence between -85 and -73 of the p21**<sup>WAF1/CIP1</sup> **promoter.** The analysis of p21<sup>WAF1/CIP1</sup> promoter mutants showed that Sp1 and TβRE sequences, from -83 to -74, were required for the upregulation mediated by GGTI-298. To determine the mechanism through which p21<sup>WAF1/CIP1</sup> induction occurs, we performed EMSA using as a probe the sequence between -85 and -73 of p21<sup>WAF1/CIP1</sup> promoter. The EMSA performed with nuclear extracts from both GGTI-298-treated Panc-1 cells and untreated Panc-1 cells and <sup>32</sup>P-end-labeled Sp1 and TβRE (-85 to -73) probe revealed four specific bands (Fig. 4). The binding of these nuclear proteins could be competed by an excess of unlabeled wt -85 to -73 oligonucleotide. However, the mutant competitor, corresponding to the sequence from



FIG. 4. Sp1 and Sp3 interact with GGTI-298-responsive region. Nuclear extracts from GGTI-298-treated or untreated Panc-1 cells were incubated with a  $^{32}$ P-labeled probe corresponding to the sequence from -86 to -71 of the wt p21 promoter. Unlabeled wt or mutant competitors corresponding to the sequence from -86 to -71 of the wt p21 promoter and p21P93-S mut 2, respectively, were used. Polyclonal antibodies to either Sp1, Sp-3, or normal rabbit immunoglobulin G were included for supershift. Data are representative of two independent experiments.

-85 to -73 of p21P 93-S 2, which was not upregulated by GGTI-298 (Fig. 3), was unable to compete for the binding of the retarded proteins (Fig. 4). Furthermore, the patterns of the retarded bands were similar whether nuclear extract from GGTI-298-treated samples or that from control samples was used. In contrast, the intensity of the retarded bands was increased in GGTI-298-treated samples (Fig. 4). The sequence from -85 to -73 that encompasses a TBRE was shown previously to bind to both Sp1 and Sp3. Supershift experiments in the presence of specific antibodies for Sp1 and Sp3 show shift of the top band with Sp1 antibody, whereas the second and third bands from the top were both shifted with Sp3 antibody. The pattern of the fourth band was unchanged by either Sp1 or Sp3 antibodies. None of the four bands shifted with normal rabbit immunoglobulin G. These results show the ability of GGTI-298 to enhance Sp1 and Sp3 DNA binding to the sequences from -85 to -73 of p21<sup>WAFI/CIP1</sup> promoter (Fig. 4).

GGTI-298 upregulates Sp1 transcriptional activity. As we have shown above, GGTI-298 induces p21<sup>WAF1/CIP1</sup> through a region that contains Sp1 and TBRE. To determine the effect of GGTI-298 on Sp1 transcriptional activity, we used chimeras which express GAL4-Sp1 fusions consisting of GAL4 DNA binding domain (amino acids 1 to 147) and Sp1 transactivation domain. The use of GAL4-Sp1 fusion proteins, containing different transactivation domains of Sp1, allows for analysis of the effect of GGTI-298 on Sp1-mediated transcription specifically, independent of Sp1 DNA binding activity. Panc-1 cells were transiently cotransfected with GAL4-Sp1 deletion constructs, G5BCAT reporters, which contain five GAL4-binding sites upstream of the E1B TATA box, and pCMV-ßgal as an internal control for transfection efficiency. Cells were subsequently incubated with GGTI-298 (15 µM) for 36 h. After normalization for transfection efficiency, the samples were assayed for CAT activity. As shown in Fig. 5, the transcription mediated by GAL4-Sp1N (amino acids 83 to 621), GAL4-Sp $\Delta$ (amino acids 262 to 500), GAL4-Sp1Q (amino acids 339 to

500), and GAL4-Sp1B-C (amino acids 422 to 542) was stimulated in response to GGTI-298. Thus, a region between amino acids 422 and 500 of Sp1 protein, shown to interact with  $TAF_{II}$  110 (10), is sufficient to confer the stimulation by GGTI-298. However, these results do not rule out the possibility that regions outside the 422 to 500 region may contribute to the observed stimulation by GGTI-298. To determine the specificity of GGTI-298-mediated stimulation of Sp1 transcriptional activity, we cotransfected Panc-1 cells with GAL4-VP16, which expresses GAL4-DNA binding domain fused to the acidic activation domain (amino acids 411 to 454) of herpes simplex virus VP16 transcription factor. The effect on Sp1 was specific in that no effect of GGTI-298 on transcription mediated by GAL4-VP16 was observed (Fig. 5). This specificity was further demonstrated by showing that GGTI-298 downregulates E2Fmediated transcription in Panc-1 cells (Fig. 5). Furthermore, transcription mediated by Sp1-CAT reporter, which contains a repeat of six Sp1-binding sites, was also enhanced by GGTI-298. Taken together, these results show the ability of GGTI-298 to stimulate selectively Sp1-mediated transcription and suggest a model in which GGTI-298 upregulates  $p21^{WAF1/CIP1}$ by enhancing both Sp1 transcriptional activity and DNA binding.

GGTI-298 mediates an increase in Sp1 and Sp3 phosphorylation. As shown in Fig. 5, the transcriptional activity of GAL4-Sp1 fusion was specifically enhanced by GGTI-298. This result suggested that GGTI-298 might affect Sp1 posttranscriptional modification(s), such as phosphorylation. To determine whether GGTI-298 could affect the phosphorylation state of Sp1 and Sp3, GGTI-298-treated and -untreated cells were labeled with ortho[<sup>32</sup>P]phosphate as described in Materials and Methods. Cells were first treated with GGTI-298 (15 µM) for 30 h prior to labeling with ortho[<sup>32</sup>P]phosphate. Equal amounts of proteins were used for immunoprecipitation with Sp1 or Sp3 antibodies, followed by analysis of the immunocomplexes by SDS-PAGE. GGTI-298 treatment resulted in increased phosphorylation of both Sp1 and Sp3 (Fig. 6). The phosphorylation state of Sp1 in GGTI-298-treated cells was markedly higher than that of Sp3. Thus, phosphorylation of Sp1 and Sp3 could be one of the mechanisms leading to the enhancement of Sp1-transcriptional activity.

The small GTPase RhoA, but not Rac1, represses  $p21^{WAF1/CIP1}$  transcription. The upregulation of  $p21^{WAF1/CIP1}$  promoter by GGTI-298 suggested a role of geranylgeranylated proteins in p21<sup>WAF1/CIP1</sup> regulation. Substrates for GGTase I, such as small GTPases RhoA and Rac1, play important roles in signal transduction and cell cycle regulation. To determine whether RhoA and Rac1 are involved in  $p21^{WAF1/CIP1}$  regulation, we cotransfected Panc-1 cells with  $p21^{WAF1/CIP1}$  promoter and Rac1 or RhoA expression vectors (Fig. 7). At 15 h posttransfection, cells were incubated in DMEM supplemented with 0.5% FBS for 24 h. Subsequently, cells were incubated with DMEM supplemented with 15% FBS, and the incubation was resumed for 24 h. Aliquots of cell lysate were assayed for β-galactosidase and luciferase assays. As shown in Fig. 7A, expression of the constitutively active RhoA (63L) resulted in a threefold repression of  $p21^{WAF1/CIP1}$  promoter activity. In contrast, the dominant negative mutant of RhoA (19N) had an opposite effect, in that its expression activated  $p21^{WAF1/CIP1}$ promoter by 2.5-fold. Neither the dominant negative mutant (Rac1-17N) nor the constitutively active Rac1 (Rac1-115I) had an effect on  $p21^{WAF1/CIP1}$  promoter. These results show the ability of RhoA to repress  $p21^{WAF1/CIP1}$  transcription.

To further demonstrate the involvement of RhoA in regulating  $p21^{WAF1/CIP1}$ , we analyzed the activity of  $p21^{WAF1/CIP1}$ promoter in cells treated with *C. botulinum* C3 exoenzyme. C3



FIG. 5. GGTI-298 upregulates Sp1-transcriptional activity. Panc-1 cells were cotransfected with 1  $\mu$ g of GAL4-Sp1 constructs, 4  $\mu$ g of G5BCAT, 2  $\mu$ g of Sp1-CAT or EZF-CAT, and 0.5  $\mu$ g of pCMV-βgal. At 15 h posttransfection, cells were incubated with GGTI-298 (15  $\mu$ M) for 36 h as described in Materials and Methods. Samples were normalized for transfection efficiency against β-galactosidase activity and then assayed for CAT activity. Thin-layer chromatography plates were scanned with a PhosphorImager, and the percentages of acetylated and nonacetylated forms of chloramphenicol were determined. The fold induction was calculated by dividing the CAT activity values of samples treated with GGTI-298 by the activity of untreated control samples. Data are representative of three independent experiments.

exoenzyme specifically ADP-ribosylates Rho proteins, which results in their inactivation. Panc-1 cells were transfected with  $p21^{WAFI/CIP1}$  promoter, and at 15 h posttransfection cells were incubated in DMEM supplemented with 0.5% FBS for 24 h. Subsequently, cells were treated with C3 exoenzyme as described in Materials and Methods. Aliquots of cell lysate were analyzed for β-galactosidase and luciferase activities. As shown in Fig. 7B, C3 exoenzyme mediated the activation of  $p21^{WAFI/CIP1}$ promoter. In contrast, SRE, which was shown to be positively regulated by Rho GTPases in response to serum, was downregulated by C3 exoenzyme. Taken together, these results demonstrate the involvement of Rho proteins in  $p21^{WAFI/CIP1}$ regulation.

## DISCUSSION

Mutations in the *ras* oncogene and p53 tumor suppressor gene are the most frequently identified genetic alterations responsible for human cancers (for reviews see references 5, 2–4, and 22). Thus, recent drug discovery efforts have focused on developing pharmacological approaches to suppress *ras* oncogenic ability and/or to restore p53 function. One mechanism by which p53 keeps cells in check and prevents aberrant malignant growth involves induction of a  $G_1$  arrest that allows cells to repair DNA damage, initiate programmed cell death, or differentiate (7, 31). Often, the  $G_1$  arrest is mediated by p53-dependent transcriptional activation of the CDK inhibitor  $p21^{WAF1/CIP1}$  (8). It is believed that in about half of human cancers, this important p53-dependent induction of  $p21^{WAF1/CIP1}$  is not operational, due to the lack of functional p53. Thus, a desirable characteristic of novel anticancer agents is restoration of  $p21^{WAF1/CIP1}$  induction in the absence of functional p53 in human cancers.

Recently, we made a potent and selective GGTase I inhibitor, GGTI-298, that blocks human tumors in G<sub>1</sub>, induces apoptosis, and inhibits tumor growth in nude mouse xenografts (38, 41). Evaluation of the mechanism by which GGTase I inhibitors arrest cells in the G<sub>1</sub> phase of the cell cycle revealed that GGTI-298 strongly induces  $p21^{WAFI/CIP1}$  accumulation in human tumors that lack both alleles of the p53 gene (41). In this study we used a human pancreatic carcinoma cell line, Panc-1, to demonstrate that GGTI-298 upregulates  $p21^{WAFI/CIP1}$ at the transcriptional level. Since p53 protein is one of the major transactivators of p21 promoter, we tested the effect of GGTI-298 on a p21 promoter that is lacking the p53-binding site. We found the p53 site to be dispensable for GGTI-298mediated upregulation, suggesting a p53-independent mecha-



FIG. 6. GGTI-298 mediates an increase in Sp1 and Sp3 phosphorylation. GGTI-298-treated and untreated Panc-1 cells were labeled with ortho[<sup>32</sup>P]phosphate as described in Materials and Methods. Equal amounts of proteins were used for IP with Sp1 (1:200) and Sp3 (1:100) polyclonal antibodies, followed by analysis of the immunocomplexes by SDS-8% PAGE. The gel was fixed, dried, and exposed for autoradiography. Data are representative of two independent experiments.

nism. In contrast, a small region of the promoter (-84 to -74) comprising a T $\beta$ RE that contains an Sp1-binding site was sufficient for upregulation by GGTI-298. A similar region (-93 to -44) that contains this T $\beta$ RE was also shown to be the minimal region for TGF- $\beta$ -, butyrate-, phorbol ester-, and okadaic acid-mediated upregulation of p21<sup>WAFI/CIP1</sup> promoter (6, 28, 48). Sp1-binding sites similar to the one contained in the GGTI-298-responsive element are bound to a common set of ubiquitously expressed nuclear proteins which regulate the expression of a variety of genes, including those encoding p15INK4B, CYP11A, mdr1,  $\alpha$ 2(I) collagen, ornithine decarboxylase, pyruvate kinase M, and acetyl coenzyme A carboxylase (5, 17, 23, 39).

We found Sp1 and Sp3 DNA binding to the sequence from -84 to -74 of  $p21^{WAF1/CIP1}$  promoter to be enhanced by GGTI-298. Furthermore, GGTI-298 is capable of activating transcription from a CAT reporter plasmid that contains six

Sp1-binding sites. Moreover, using chimera that express GAL4-DNA binding domain fused to Sp1 transactivation domain, we have shown that GGTI-298 is capable of activating specifically Sp1 transcriptional activity. In contrast, E2F- and SRE-mediated transcription were repressed. Taken together, these results show that two different mechanisms could lead to GGTI-298-mediated  $p21^{WAF1/CIP1}$  induction, one through the increase of Sp1 affinity for its binding site and the second through the stimulation of Sp1 transcriptional activity. Sp1 is a phosphoprotein that has been shown to be phosphorylated by DNA-dependent protein kinase (see reference 13 for a review). Interestingly, okadaic acid, a selective inhibitor of the serine-threonine phosphatase PP2A, was shown to induce p21<sup>WAF1/CIP1</sup> (48), mediate hyperphosphorylation of Sp1 (35), and increase the transcriptional activity of Sp1 with a concomitant hyperphosphorylation of Sp1 (24). We have analyzed the phosphorylation states of Sp1 and Sp3 in response to GGTI-298 and found Sp1 and Sp3 to be highly phosphorylated in GGTI-298-treated cells compared to untreated cells. Interestingly, the increase in phosphorylation was observed only with the 106-kDa isoforms of Sp1 and Sp3, suggesting that specific isoforms may have different functions. Taken together, our results suggest that GGTI-298-mediated Sp1 phosphorylation may lead to the increase of both DNA-binding and transcriptional activity of Sp1.

The characterization of the signal transduction pathways that are involved in GGTI-298-mediated p21<sup>WAFI/CIP1</sup> induction may lead to the identification of the proteins involved in p21<sup>WAFI/CIP1</sup> regulation. First of all, GGTI-298 may affect cellular pathways that are used by TGF- $\beta$  to trigger its growthinhibiting effect. Indeed, TGF- $\beta$  also upregulates p21 promoter activity through the same region as does GGTI-298 (-84 to -74) (6). It is interesting that the common  $\alpha$  subunit of GGTase I and FTase has been shown by three independent groups to bind to and to be phosphorylated by TGF- $\beta$  receptor (15, 40, 44). Furthermore, it has been suggested that GGTase I or FTase may be involved in mediating TGF- $\beta$  signaling. Clues about the nature of the signal transduction pathways that



FIG. 7. The small GTPase RhoA is an upstream effector of  $p21^{WAF1/CIP1}$ . (A) Panc-1 cells were cotransfected with 6 µg of  $p21^{WAF1/CIP1}$  promoter, 4 µg of Rac1 or RhoA, and 0.5 µg of pCMVβ-gal expression vectors. Rac1-115I and RhoA-63L vectors express constitutively active GTPases. Rac1-17N and RhoA-19N vectors express dominant negative mutants. Aliquots of cell lysate were subjected to β-galactosidase and luciferase assays. (B) Panc-1 cells were transfected with  $p21^{WAF1/CIP1}$  promoter, and at 15 h posttransfection cells were incubated in DMEM supplemented with 0.5% FBS for 24 h. Subsequently, cells were treated with C3 exoenzyme as described in Materials and Methods. Aliquots of cell lysate were analyzed for β-galactosidase and luciferase activities. Data are representative of at least three independent experiments.

are affected by GGTI-298 may also be obtained from the study of the GGTase I substrates that are involved in p21 regulation. Several small GTPases involved in signal transduction, such as the Rho family of proteins (i.e., RhoA, RhoB, CDC42Hs, and Rac1), are prenylated by GGTase I. Research at a number of laboratories over the past few years has revealed that the Rho GTPases play crucial roles in the  $G_1/S$  transition of the cell cycle. For instance, injection of the constitutively active Cdc42, Rac1, and RhoA proteins in Swiss 3T3 fibroblasts was shown to stimulate cell cycle progression through the  $G_1$  phase and subsequent DNA synthesis, whereas injection of dominant negative forms of these GTPases blocked stimulation of DNA synthesis in response to serum (29). Rho proteins facilitate the progression from G<sub>1</sub> to S phase in growth-stimulated cells by promoting the degradation of the CDK inhibitor p27Kip1 (12). Furthermore, the Rho family of GTPases has also been suggested to regulate cell proliferation by modulating transcription of specific genes, such as c-fos (11). We found the constitutively active small GTPase RhoA (63L) to downregulate  $p21^{WAF1/CIP1}$  promoter. In contrast, the dominant negative form of RhoA (19N) had an opposite effect in that it activated p21<sup>WAF1/CIP1</sup> promoter. Neither the dominant negative mutant (Rac1-17N) nor the constitutively active Rac1 (Rac1-115I) had an effect on p21<sup>WAF1/CIP1</sup> promoter, suggesting that Rac1 and RhoA may function through different pathways to control cell cycle progression. We further demonstrated the involvement of Rho proteins in regulating  $p21^{WAFI/CIPI}$  by showing the activation of  $p21^{WAFI/CIPI}$  by *C. botulinum* C3 exoenzyme, which specifically ADP-ribosylates and inactivates Rho proteins. These results show the ability of RhoA to regulate  $p21^{WAF1/CIP1}$ , which could be one of the mechanisms by which RhoA controls cell growth. While this article was in revision, Olson and coworkers (30) reported that signals from Ras and Rho GTPases interact to regulate expression of p21<sup>WAF1/CIP1</sup>. The authors showed that induction of DNA synthesis by constitutively active Ras requires Rho signaling for the suppression of  $p21^{WAF1/CIP1}$  induction. These results are consistent with our findings and give further support to the idea that RhoA is the target for GGTI-298. Thus, the present study suggests that GGTI-298, which inhibits Rho proteins by preventing their geranylgeranylation, induces  $p21^{WAF1/CIP1}$  and a subsequent arrest in the G<sub>1</sub> phase of the cell cycle. Furthermore, results from this study, coupled with our previous work (27, 41), also suggest that pharmacological agents capable of inhibiting protein geranylgeranylation restore cell growth arrest and apoptosis in cancer cells with a nonfunctional p53.

### ACKNOWLEDGMENTS

We are grateful to X.-F. Wang (Duke University Medical Center) for supplying the p21<sup>WAF1/CIP1</sup> constructs, C. Der (University of North Carolina at Chapel Hill) for RhoA and Rac1 pzip constructs, G. Gill (University of California, Berkeley) for GAL4 constructs, and P. D. Robbins (University of Pittsburgh) for Sp1 constructs.

This work was supported in part by Public Health Service Award CA-67771 from the National Cancer Institute. (S.M.S. and A.D.H.) and by ACS-IRG from the American Cancer Society (J.A.). The work was also supported in part by the Molecular Biology Facility at the H. Lee Moffitt Cancer Center and Research Institute.

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