

Robust positive association between serum urate and the risk of chronic obstructive pulmonary disease: hospital-based cohort and Mendelian randomisation study

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ABSTRACT

Background Chronic obstructive pulmonary disease (COPD) and hyperuricaemia are both characterised by systemic inflammation. Preventing chronic diseases among the population with common metabolic abnormality is an effective strategy. However, the association of hyperuricaemia with the higher incidence and risk of COPD remains controversial. Therefore, replicated researches in populations with distinct characteristics or demographics are compellingly warranted.

Methods This cohort study adopted a design of ambispective hospital-based cohort. We used propensity score matching (PSM) and inverse probability of treatment weighting (IPTW) to minimise the effects of potential confounding factors. A Cox regression model and restricted cubic spline (RCS) model were applied further to assess the effect of serum urate on the risk of developing COPD. Finally, we conducted a two-sample Mendelian randomisation (MR) analysis to explore evidence of causal association.

Results There is a higher incidence in the population with hyperuricaemia compared with the population with normal serum urate (22.29/1000 person-years vs 8.89/1000 person-years, $p=0.009$). This result is robust after performing PSM ($p=0.013$) and IPTW ($p<0.001$). The Cox model confirms that hyperuricaemia is associated with higher risk of developing COPD (adjusted HR=3.35 and 95% CI=1.61 to 6.96). Moreover, RCS shows that the risk of developing COPD rapidly increases with the concentration of serum urate when it is higher than the reference (420 $\mu\text{mol/L}$). Finally, in MR analysis, the inverse variance weighted method evidences that a significant causal effect of serum urate on COPD (OR=1.153, 95% CI=1.034 to 1.289) is likely to be true. The finding of MR is robust in the repeated analysis using different methods and sensitivity analysis.

Conclusions Our study provides convincing evidence suggesting a robust positive association between serum urate and the risk of developing COPD, and indicates that the population with hyperuricaemia is at high risk of COPD in the Chinese population who seek medical advice or treatment in the hospital.

WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ Robust positive association between high serum urate and higher risk of developing chronic obstructive pulmonary disease (COPD) remains controversial because there are conflicting interpretations of whether serum urate has a protective or detrimental effect with respect to lung function.
- ⇒ A limited number of epidemiological researches have explored whether high serum urate can lead to worsened lung function or higher risk of developing COPD, but results have been rather inconsistent.

WHAT THIS STUDY ADDS

- ⇒ This study is the first hospital-based cohort study in Chinese population to explore the effect of serum urate on the risk of developing COPD.
- ⇒ We found that there are higher incidence and risk of COPD in the population with hyperuricaemia compared with the population with normal serum urate.
- ⇒ The results of Mendelian randomisation analysis suggested that a significant causal effect of serum urate on the risk of developing COPD is likely to be true.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- ⇒ Our study provides compelling evidence suggesting that the population with hyperuricaemia is at high risk of COPD in the Chinese population who seek medical advice or treatment in the hospital.
- ⇒ Our findings have guiding implications for clinical practice and indicate that intervening high levels of serum urate may be an effective strategy for the prevention of COPD.
- ⇒ Further researches, such as field trials or community intervention trials, are warranted to be carried out for investigating whether the management of the levels of serum urate could potentially lower the risk of developing COPD.

INTRODUCTION

The Global Burden of Disease Study described that the global prevalence of chronic obstructive pulmonary disease (COPD) in the population aged 40 years or older was 9–10%.^{1,2} In China, COPD was accounted for a quarter of the global population with COPD,³ and the prevalence was 13.6% in a nationally representative population aged 40 years or older.⁴ COPD is still an under-recognised and underdiagnosed disease characterised by chronic systemic inflammation.⁵ In addition, the disease burden of COPD is projected to continuously increase in the coming decades due to ageing of the population, smoking and air pollution.⁶ We strive to identify the high-risk population of COPD, in the hope that the intervention for population at high risk of COPD can successfully reduce the risk of developing COPD.

Urate is found at high concentrations in respiratory tissues and epithelial lining fluid of airways.⁷ Hyperuricaemia is also associated with the presence of systemic inflammation^{8,9} and is thought to inflict poor clinical consequences of respiratory inflammatory diseases, such as the acute exacerbation and mortality of patients with COPD.^{10,11} It seems biologically plausible that serum urate plays a role in the onset of COPD. However, causal association of high serum urate with higher risk of developing COPD remains controversial because there are conflicting interpretations of whether serum urate has a protective or detrimental effect with respect to lung function.

From a biomolecular mechanism perspective, serum urate could have opposing properties.^{12–14} While urate is an endogenous antioxidant that may protect the lung from oxidative damage caused by cigarette smoke and air pollution,^{7,15} it also, paradoxically, has been considered to possess pro-oxidant and inflammation-stimulatory effects, as serum urate can induce the expression of inflammatory markers^{16,17} and activate NLRP3 inflammasome.^{18,19} In addition, a limited number of epidemiological researches have explored whether high serum urate can lead to worsened lung function or higher risk of developing COPD, but results have been rather inconsistent.^{20–23} Actually, having inconsistent results is a frequent phenomenon in epidemiological researches because of potential confounders and heterogeneity of different populations.

Thus, replicated researches in populations with distinct characteristics or demographics are compellingly warranted. To the best of our knowledge, this is the first hospital-based cohort study in the Chinese population to explore the effect of serum urate on the incidence and risk of COPD. In the present study, we primarily focused on the population who seeks medical advice or treatment in the hospital. Thus, it was worth noting that, different from other epidemiological studies, this cohort study was carried out among the characteristic population rather than the community population. Other than that, our study also performed a two-sample Mendelian randomisation (MR) analysis using public summary statistics

of Genome Wide Association Study (GWAS) to explore evidence of causal association between serum urate and the risk of developing COPD. MR analysis is regarded as a natural randomised controlled trial; therefore, it is an armoury of epidemiological analysis methods for strengthening causal inference.

MATERIALS AND METHODS

Study design and population

This cohort study adopted a design of ambispective hospital-based cohort. First, we conducted a retrospective cohort study using electronic medical records (EMRs) of the Department of Respiratory and Critical Care Medicine from four public hospitals (Shenzhen Longhua District Central Hospital, Dongguan Binhaiwan Central Hospital, Songshan Lake Central Hospital of Dongguan, Guangzhou Panyu District Central Hospital). EMRs were collected from 1 January 2016 to 30 June 2021. A total of 12962 EMRs were obtained and included a total of 5676 individuals. As shown in a flow diagram (figure 1), we excluded individuals who were diagnosed as COPD at first EMR, loss to follow-up, had follow-up time less than 3 months, died because of non-COPD and had missing serum urate date; 1377 individuals were eventually eligible for inclusion in the analysis.

The specific procedure of this cohort was illustrated in a schematic diagram (figure 2). First, we conducted a retrospective cohort study based on EMRs of 5 years. Each EMR is regarded as a follow-up. We treated first EMR of every participant as baseline. Second, when the EMRs first showed that the participant was diagnosed with COPD, we considered this EMR as the occurrence of outcome. Finally, we conducted a follow-up work in the month of June 2022 for participants who had not been diagnosed as COPD in the final EMR. Specifically, in the month of June 2022, we provided a free lung function test for participants who were requested to follow up, and professional respiratory physicians diagnosed whether these participants had COPD. Additionally, we provided a free test of serum urate for participants who only had one EMR.

It is important to note that all four hospitals have concealed the identifying labels of each participant and replaced them with analytical labels that do not disclose any personal information about the participants. This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline.²⁴

Patient and public involvement

Participants and their families did not take part in the design, conduct, reporting or dissemination of this study.

Data collection and definition of variables

Information on participants was obtained from EMRs. The population with hyperuricaemia was considered to be

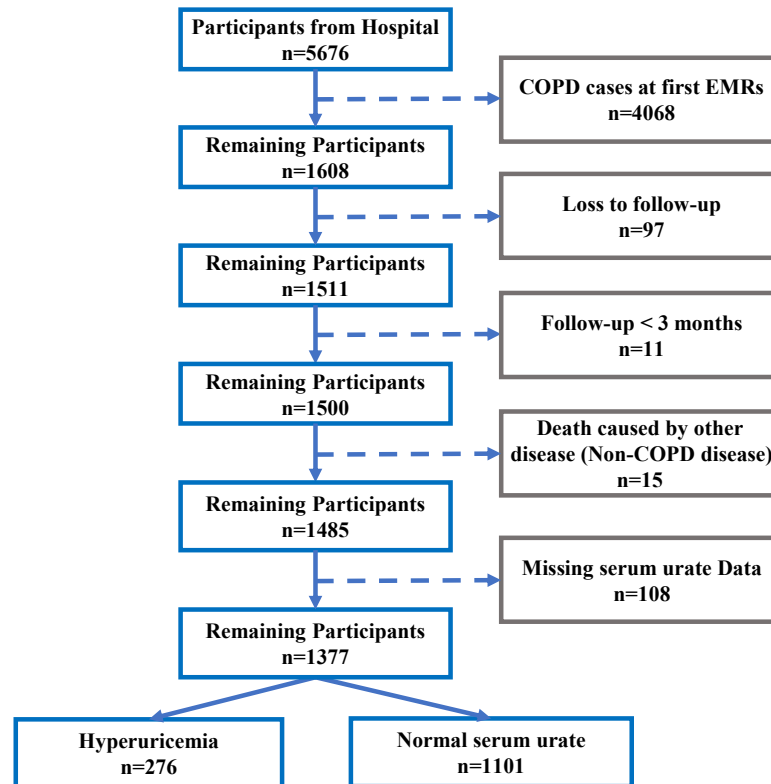


Figure 1 Flow diagram for selecting research subjects. Of the 5676 participants obtained from electronic medical records (EMRs), we excluded individuals who were diagnosed as COPD at first EMR, loss to follow-up, had follow-up time less than 3 months, died because of non-COPD and had missing serum urate date; 1377 participants were eventually eligible for inclusion in the analysis after data cleaning in accordance with the flow diagram. COPD, chronic obstructive pulmonary disease.

the exposed group. The saturation of urate is $420\ \mu\text{mol/L}$ (regardless of gender) in blood so that higher concentrations of serum urate can result in deposition of urate in tissues. China multidisciplinary expert consensus on diagnosis and treatment of hyperuricaemia and related diseases described that hyperuricaemia was defined as concentrations of serum urate higher than $420\ \mu\text{mol/L}$ (regardless of gender).²⁵ According to this criterion, individuals with hyperuricaemia in our study were defined as those with concentrations of serum urate higher than $420\ \mu\text{mol/L}$ (regardless of gender) on two separate EMRs. The outcome is the diagnosis of COPD made by professional respiratory physicians, and the diagnostic standard of COPD is the ratio of forced expiratory volume in 1 s (FEV_1) to forced vital capacity (FVC) less than 0.70 on post-bronchodilator spirometry. High creatinine level was defined as concentrations of blood creatinine higher than $106\ \mu\text{mol/L}$ in male or $97\ \mu\text{mol/L}$ in female. Demographic characteristics of participants included age (middle-age: 40–59 or old age: ≥ 60 years), gender (male and female), body mass index (BMI) (Chinese standards: underweight: < 18.5 , normal: ≥ 18.5 and < 24 , overweight:

≥ 24 and < 28 , obesity: ≥ 28), and smoking (yes: current and ever or no: never) and drinking status (yes: current and ever or no: never). Additionally, medical history (yes or no) included chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes.

Propensity score matching and inverse probability of treatment weighting

To minimise the effects of potential confounding factors, we employed propensity score matching (PSM) and inverse probability of treatment weighting (IPTW) strategies. Specifically, we treated the above-mentioned variables (age, gender, BMI, smoking status, drinking status, chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes) as potential confounding factors, and estimated the propensity score by using a multivariable logistic regression analysis that incorporated these as covariates. PSM analysis adopted a design with 2:1 control–exposure ratio and performed nearest neighbour matching. In IPTW analysis, individuals with hyperuricaemia were weighted by the inverse of the propensity

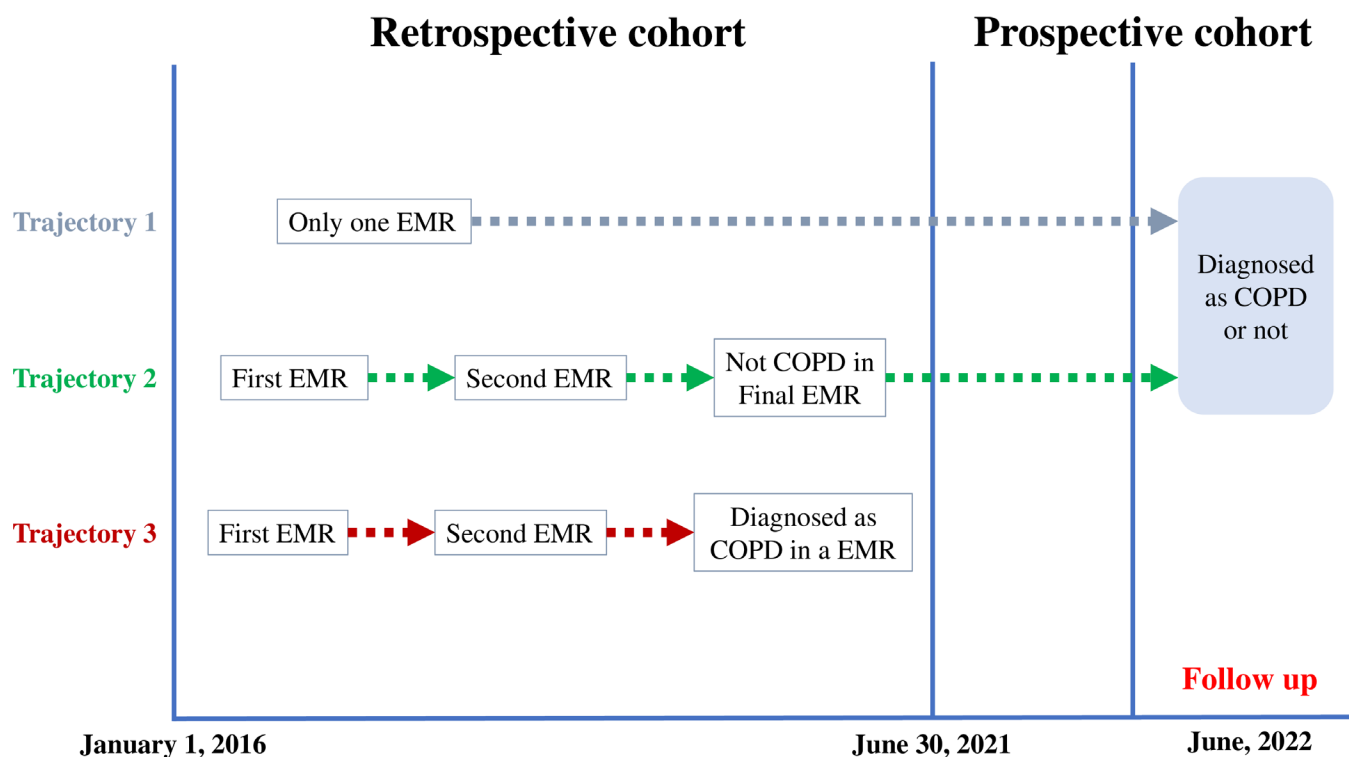


Figure 2 Schematic diagram for the execution of the hospital-based cohort study. This hospital-based cohort study adopted a design of ambispective cohort. Each EMR is regarded as a follow-up. As shown in trajectory 1, for some participants who only have one EMR, we conducted follow-up spirometry and serum urate test in the month of June 2022 for them. Similarly, as shown in trajectory 2, we conducted a follow-up work in the month of June 2022 for participants who had not been diagnosed as COPD in the final EMR. As shown in trajectory 3, when the EMRs first showed that the participant was diagnosed with COPD, we considered this EMR as the occurrence of outcome. COPD, chronic obstructive pulmonary disease; EMR, electronic medical record.

score and individuals with normal serum urate were weighted by the inverse of 1 minus the propensity score. We examined covariate balance after PSM or IPTW by using standardised mean difference (SMD) of 0.1 or more as the cut-off for imbalance.

Cox regression model analysis

Four sets of Cox regression models were built for verifying the association between hyperuricaemia and the risk of developing COPD, among which model 1 was unadjusted; model 2 was adjusted for personal basic information that included age, gender, BMI and creatinine level; model 3 was additionally adjusted for information of environmental exposure that included smoking and drinking status; and model 4 was further adjusted for medical history that included chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes.

Restricted cubic spline analysis

We used a restricted cubic spline (RCS) model to quantify the dose–response relationship between the concentration of serum urate and the risk of developing COPD. Three knots at 10th, 50th and 90th percentiles of serum urate concentration were used in plotted smooth curves,

and covariates for adjusting in the RCS model were the same as above-mentioned potential confounding factors.

Two-sample MR study

We retrieve public GWAS datasets on the MR-Base platform developed by the Medical Research Council Integrative Epidemiology Unit.²⁶ Serum urate-related single nucleotide polymorphisms (SNPs) were obtained from GWAS (GWAS ID: ieu-a-1055) of the Global Urate Genetics Consortium. The summary statistics of COPD GWAS (GWAS ID: finn-b-J10_COPD) were obtained from FinnGen database.

Furthermore, we conducted a two-sample MR analysis complying with the STROBE-MR guidelines²⁷ and the guidelines for performing MR.²⁸ Instrumental variables (IVs) were selected as exposure of MR study by adopting a series of approaches. First, we selected SNPs that were highly associated with levels of serum urate in terms of genome-wide significance ($p < 5 \times 10^{-8}$). Second, we performed clumping process to ensure the independence of SNPs based on a low linkage disequilibrium with other SNPs ($r^2 < 0.001$) within a clumping distance of 10 000 kb. Third, we excluded SNPs that were not present in the summary statistics of COPD GWAS. We calculated the individual and overall F-statistics for IVs, and

Table 1 Demographic data of participants in the hospital-based cohort study

Characteristic	Original data		Propensity score matching				Inverse probability of treatment weighting				
	Normal	Hyperuricaemia	Normal	Hyperuricaemia	Normal	Hyperuricaemia	Normal	Hyperuricaemia	Normal	Hyperuricaemia	P value
	N=1101	N=276	N=527	N=276	N=276	N=276	N=1377.8	N=1369.5	N=1377.8	N=1369.5	P value
Age			0.501			0.519					0.567
40–59	554 (50.3%)	132 (47.8%)	238 (45.2%)	132 (47.8%)	132 (47.8%)	683.0 (49.6%)	649.4 (47.4%)				
≥60	547 (49.7%)	144 (52.2%)	289 (54.8%)	144 (52.2%)	144 (52.2%)	694.7 (50.4%)	720.1 (52.6%)				
Gender			<0.001			0.786					0.809
Female	564 (51.2%)	81 (29.3%)	161 (30.6%)	81 (29.3%)	81 (29.3%)	644.7 (46.8%)	628.1 (45.9%)				
Male	537 (48.8%)	195 (70.7%)	366 (69.4%)	159 (70.7%)	159 (70.7%)	733.1 (53.2%)	741.4 (54.1%)				
BMI			0.007			0.912					0.954
Underweight	117 (10.6%)	20 (7.2%)	42 (8.0%)	20 (7.2%)	20 (7.2%)	137.1 (10.0%)	121.4 (8.9%)				
Normal	545 (49.5%)	119 (43.1%)	232 (44.0%)	119 (43.1%)	119 (43.1%)	663.0 (48.1%)	662.0 (48.3%)				
Overweight	330 (30.0%)	94 (34.1%)	180 (34.2%)	94 (34.1%)	94 (34.1%)	424.1 (30.8%)	423.8 (30.9%)				
Obese	109 (9.9%)	43 (15.6%)	73 (13.9%)	43 (15.6%)	43 (15.6%)	153.6 (11.1%)	162.3 (11.8%)				
Creatinine level			<0.001			<0.001					<0.001
Normal	1096 (99.5%)	265 (96.0%)	525 (99.6%)	265 (96.0%)	265 (96.0%)	1371.2 (99.5%)	1306.9 (95.4%)				
High	5 (0.5%)	11 (4.0%)	2 (0.4%)	11 (4.0%)	11 (4.0%)	6.6 (0.5%)	62.6 (4.6%)				
Smoking			0.074			0.69					0.904
No	893 (81.1%)	210 (76.1%)	409 (77.6%)	210 (76.1%)	210 (76.1%)	1104.1 (80.1%)	1101.9 (80.5%)				
Yes	208 (18.9%)	66 (23.9%)	118 (22.4%)	66 (23.9%)	66 (23.9%)	273.7 (19.9%)	267.6 (19.5%)				
Drinking			0.111			0.965					0.931
No	1040 (94.5%)	253 (91.7%)	485 (92.0%)	253 (91.7%)	253 (91.7%)	1292.6 (93.8%)	1283.0 (93.7%)				
Yes	61 (5.5%)	23 (8.3%)	42 (8.0%)	23 (8.3%)	23 (8.3%)	85.1 (6.2%)	86.4 (6.3%)				
Chronic bronchitis			0.037			0.661					0.821
No	1012 (91.9%)	242 (87.7%)	469 (89.0%)	242 (87.7%)	242 (87.7%)	1254.0 (91.0%)	1240.3 (90.6%)				
Yes	89 (8.1%)	34 (12.3%)	58 (11.0%)	34 (12.3%)	34 (12.3%)	123.8 (9.0%)	129.2 (9.4%)				
Bronchiectasis			<0.001			0.81					0.765
No	891 (80.9%)	252 (91.3%)	477 (90.5%)	252 (91.3%)	252 (91.3%)	1143.2 (83.0%)	1121.9 (81.9%)				
Yes	210 (19.1%)	24 (8.7%)	50 (9.5%)	24 (8.7%)	24 (8.7%)	234.5 (17.0%)	247.6 (18.1%)				
Tuberculosis			0.26			0.548					0.677
No	1043 (94.7%)	256 (92.8%)	496 (94.1%)	256 (92.8%)	256 (92.8%)	1300.2 (94.4%)	1300.4 (95.0%)				
Yes	58 (5.3%)	20 (7.2%)	31 (5.9%)	20 (7.2%)	20 (7.2%)	77.5 (5.6%)	69.0 (5.0%)				
Pneumonia			0.74			0.39					0.821

Continued

Table 1 Continued

Characteristic	Original data		Propensity score matching		Inverse probability of treatment weighting		
	Normal N=1101	Hyperuricaemia N=276	Normal N=527	Hyperuricaemia N=276	Normal N=1377.8	Hyperuricaemia N=1369.5	P value
No	468 (42.5%)	121 (43.8%)	213 (40.4%)	121 (43.8%)	588.1 (42.7%)	573.0 (41.8%)	
Yes	633 (57.5%)	155 (56.2%)	341 (59.6%)	155 (56.2%)	789.6 (57.3%)	796.8 (58.2%)	
Diabetes							0.616
No	954 (86.6%)	239 (86.6%)	460 (87.3%)	239 (86.6%)	1192.7 (86.6%)	1164.6 (85.3%)	
Yes	147 (13.4%)	37 (13.4%)	67 (12.7%)	37 (13.4%)	185.0 (13.4%)	201.9 (14.7%)	
BMI, body mass index.							0.867
							1.000

F-statistic >10 was used as evidence to identify whether those SNPs were strong IVs.

The inverse variance weighted (IVW) was the main method implemented for MR analysis to figure out the causal effect, while additional methods, such as maximum likelihood, weighted median, weighted mode and MR-Egger, were used for sensitivity analysis to examine the robustness of the results. We further used IVW and MR-Egger methods to perform Cochran's Q test to assess heterogeneity, and used the Egger regression intercept and MR-PRESSO to estimate the magnitude of horizontal pleiotropy. Leave-one-out analysis was conducted by removing one SNP at a time to determine whether the causal association was driven by a single SNP. We also performed reverse-direction MR analysis to estimate the effect of COPD on levels of serum urate. This MR study used only publicly available GWAS statistics from previously published works, making it exempt from institutional review board review.

Multivariable MR is an MR analysis that adjusts for potential confounding phenotypes, and can be used to assess whether potential confounding phenotypes introduce bias due to pleiotropy, resulting in yielding an independent effect of serum urate on COPD after adjusting the effect of potential confounding phenotypes. In this multivariable MR, we considered four variables as covariates, including estimated glomerular filtration rate based on creatinine (ebi-a-GCST90103634), alcohol consumption (ieu-a-1283), PM_{2.5} (ukb-b-10817) and pack years of smoking (ukb-b-10831). The multivariable IVW is the common method for multivariable MR.

Statistical analysis

We used PASS V.15 to calculate the sample size. The calculation parameters of sample size are as follows: reference incidence of COPD=7.8% (10-year cumulative incidence in community population),²⁸ alpha=0.05, power=0.8, sample size of exposed group=276. We hypothesised that the population with hyperuricaemia has more than twofold higher risk of developing COPD than those with normal serum urate (rate ratio, RR ≥2). The results of sample size estimation show that the sample size of our control group is sufficient for statistical analysis (online supplemental figure 1). On the contrary, we calculated the statistical power of our results according to sample size of this study and RR obtained from the analysis. The calculation parameters of statistical power are as follows: sample size of exposed group=276, sample size of control group=1101, reference incidence of COPD=7.8%, alpha=0.05.

Participants who had missing data or who were loss to follow-up were excluded from the analysis. Statistical significance was defined as p<0.05 for two-sided tests. The incidence of COPD was described by person-year incidence, and incidence comparison was carried out by 'iri' function in Stata V.17.0. All other statistical analyses were performed using R software (V.4.0.1).

Table 2 The incidence and risk of COPD in the hospital-based cohort

	Normal serum urate				Hyperuricaemia				P value
	New COPD cases	Person-year	Incidence (/1000 person-years)	RR	New COPD cases	Person-year	Incidence (/1000 person-years)	RR	
Original data	22	2474.04	8.89	Ref	15	672.80	22.29	2.51 (1.21 to 5.06)	0.009
PSM	9	1149.39	7.83	Ref	15	672.80	22.29	2.85 (1.17 to 7.38)	0.013
IPTW	27	3087.93	8.74	Ref	78	3287.36	23.73	2.71 (1.73 to 4.37)	<0.001

COPD, chronic obstructive pulmonary disease; IPTW, inverse probability of treatment weighting; PSM, propensity score matching; RR, rate ratio.

RESULT

The demographic data of hospital-based cohort study

Of the 5676 participants obtained from EMRs, 1377 participants are eventually eligible for inclusion in the analysis after data cleaning, as shown in the flow diagram (figure 1). There are 276 participants with hyperuricaemia and 1101 participants with normal serum urate. Original demographic data of 1377 participants are summarised in table 1, and this table simultaneously summarised matched demographic data processed by PSM and IPTW. Balanced diagnostics demonstrate that the groups were well matched in terms of age, gender, BMI, smoking status, drinking status, chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes, indicated by SMD <0.1 for all variables (online supplemental figure 2).

Higher incidence and risk of COPD in the population with hyperuricaemia

Among the 1377 participants who did not have COPD at baseline in our hospital-based cohort study, 37 new cases of COPD developed during the follow-up period, resulting in a crude COPD incidence of 11.76/1000 person-years. As shown in table 2, there is higher incidence of COPD in the population with hyperuricaemia (22.29/1000 person-years) compared with the population with normal serum urate (8.89/1000 person-years). It is demonstrated that the population with hyperuricaemia has a higher risk of developing COPD ($p=0.009$; $RR=2.51$ and $95\% \text{ CI}=1.21$ to 5.06). More importantly, it is still suggested that hyperuricaemia is associated with a higher risk of developing COPD after performing PSM ($p=0.013$, $RR=2.85$ and $95\% \text{ CI}=1.17$ to 7.38) or IPTW ($p<0.001$, $RR=2.71$ and $95\% \text{ CI}=1.73$ to 4.37). The Kaplan-Meier curves of the probabilities of developing COPD were shown in online supplemental figure 3. The statistical power of our results is higher than 90% (online supplemental figure 4).

Moreover, as shown in table 3, the population with hyperuricaemia has a 2.42-fold (model 1: $HR=2.42$ and $95\% \text{ CI}=1.26$ to 4.77 , $p=0.008$) higher risk of developing COPD than those with normal serum urate in univariate Cox regression analysis. Furthermore, multivariate Cox regression analysis also confirms that hyperuricaemia

remains independently associated with higher risk of COPD (model 2 was adjusted for personal basic information including age, gender, BMI and creatinine level: adjusted $HR=2.32$ and $95\% \text{ CI}=1.15$ to 4.70 , $p=0.019$; model 3 was additionally adjusted for information of environmental exposure including smoking and drinking: adjusted $HR=2.32$ and $95\% \text{ CI}=1.14$ to 4.71 , $p=0.020$; model 4 was further adjusted for medical history including chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes: adjusted $HR=3.35$ and $95\% \text{ CI}=1.61$ to 6.96 , $p=0.001$).

Non-linear dose-response relationship between the concentration of serum urate and the risk of COPD

As shown in figure 3, there is a non-linear dose-response relationship between the concentration of serum urate and the risk of COPD after adjusting potential confounding factors that include age, gender, BMI, smoking status, drinking status, chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes. Setting serum urate of $420 \mu\text{mol/L}$ as the reference value ($OR=1$), the RCS analysis demonstrated a rapid increase in the risk of developing COPD with increasing

Table 3 Cox regression model

Model	HR	95% CI	P value
Model 1*	2.42	1.26 to 4.67	0.008
Model 2†	2.32	1.15 to 4.70	0.019
Model 3‡	2.32	1.14 to 4.71	0.020
Model 4§	3.35	1.61 to 6.96	0.001

*Univariate Cox regression model.

†Multivariable Cox regression models adjusted for personal basic information: age (40–59 or ≥ 60 years), gender (male or female), BMI (underweight, normal, overweight or obese) and creatinine level (normal or high).

‡Additionally adjusted for environmental exposure: smoking (yes or no) and drinking (yes or no).

§Further adjusted for medical history: chronic bronchitis (yes or no), bronchiectasis (yes or no), tuberculosis (yes or no), pneumonia (yes or no) and diabetes (yes or no).

HR, Hazard Ratio.

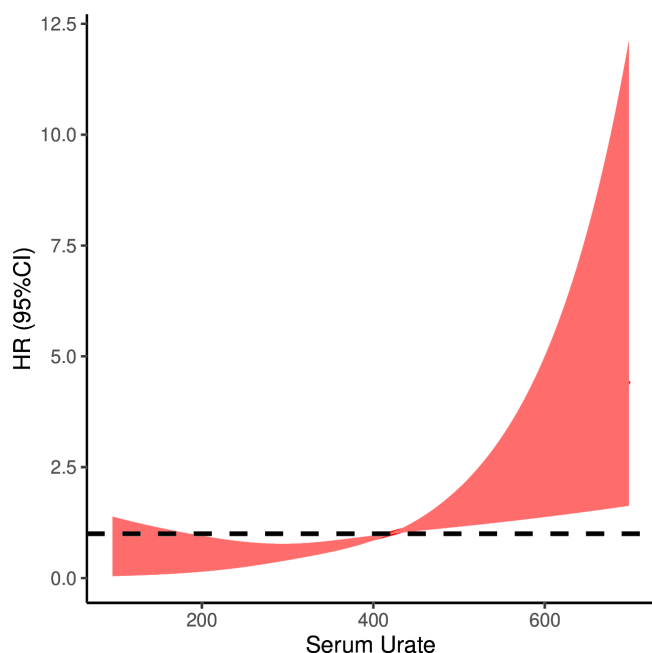


Figure 3 The result of restricted cubic spline analysis. There was a significant non-linear dose–response relationship between the concentration of serum urate and the risk of COPD after adjusting potential confounding factors. Setting serum urate of 420 $\mu\text{mol/L}$ as the reference (OR=1), the risk of COPD rapidly increased with the concentration of serum urate when it was higher than the reference. COPD, chronic obstructive pulmonary disease. HR, hazard ratio.

concentration of serum urate when it was higher than the reference value ($p=0.0004$).

Causal association of serum urate levels with the risk of COPD is likely to be true based on the MR analysis

A brief introduction of GWAS included in MR analysis is shown in online supplemental table 1. There are 27 SNPs significantly associated with serum urate, among which one SNP (rs1471633) that was not found in summary statistics of outcome GWAS and two palindromic SNPs (rs17632159 and rs6830367) were removed, leaving independent 24 SNPs identified as IVs for serum urate. F-statistic of each IV is greater than 10, which indicates that every IV used in MR analysis is not considered as a weak instrument. Basic information regarding IVs is shown in online supplemental table 2. Horizontal pleiotropy test shows that there is no horizontal pleiotropy between IVs and outcome (online supplemental table 3).

Univariable MR results are described in figure 4. Specifically, as shown in figure 4A, all five MR methods show a positive effect of serum urate on COPD. As shown in figure 4B, the IVW method yields a significant positive effect of serum urate on the risk of COPD (OR=1.153, 95% CI=1.034 to 1.289, $p=0.010$), and the statistical results of three other methods also yield the same significant positive effect. It is worth noting that MR-Egger may have lower statistical power to detect a significant positive

effect compared with other methods. Thus, the consistency of the results across four methods suggests that our finding is robust. The positive effects of each IV on COPD are exhibited in a forest plot (figure 4C). Leave-one-out analyses indicate that the results are stable and not driven by any single SNP (figure 4D). As shown in figure 4E, a funnel plot shows that there is a symmetrical distribution of the point estimate of the positive association effect, and this result indicates that the underlying bias is unlikely to affect the positive association. Moreover, the homogeneity test shows no potential homogeneity in this MR analysis, which further indicates that our results are not biased (online supplemental table 4). Finally, reverse MR analysis does not provide any reliable evidence to suggest that COPD may be the cause of elevated serum urate levels (online supplemental table 5).

Multivariable two-sample MR to adjust the effect of serum urate on the risk of COPD

As shown in table 4, compared with univariate MR, multivariable MR identified independent 16 SNPs as IVs for serum urate. After adjusting four major confounding factors, the multivariable IVW method provided further suggestive evidence supporting the notion that serum urate remains independently positively associated with the risk of COPD (OR=1.137, 95% CI=1.014 to 1.274, $p=0.027$).

DISCUSSION

To the best of our knowledge, this study is the first hospital-based cohort study conducted on the Chinese population to explore the effect of serum urate on the risk of developing COPD. We find that there are higher incidence and risk of developing COPD in the population with hyperuricaemia compared with the population with normal serum urate. On the one hand, we are more confident in this finding after performing PSM and IPTW to minimise the effects of some confounding factors. However, we did not balance the difference in creatinine levels because it seems not biologically plausible that the creatinine levels in the hyperuricaemia group would be similar to the creatinine levels in the normal serum urate group. We also consider that high serum urate due to the diseases reflected by creatinine levels may also be able to exacerbate inflammatory damage of lung tissue and promote chronic respiratory inflammation eventually progressing to COPD. Moreover, insufficient number of individuals with high creatinine levels can affect the effectiveness of matching. On the other hand, we used other statistical methods for sensitivity analysis. Specifically, the Cox regression model confirms that hyperuricaemia was independently associated with higher risk of COPD. RCS analysis shows that the risk of developing COPD rapidly increases with the concentration of serum urate when it was higher than the reference value (420 $\mu\text{mol/L}$).

Furthermore, we performed MR analysis to acquire additional evidence to support our results. The

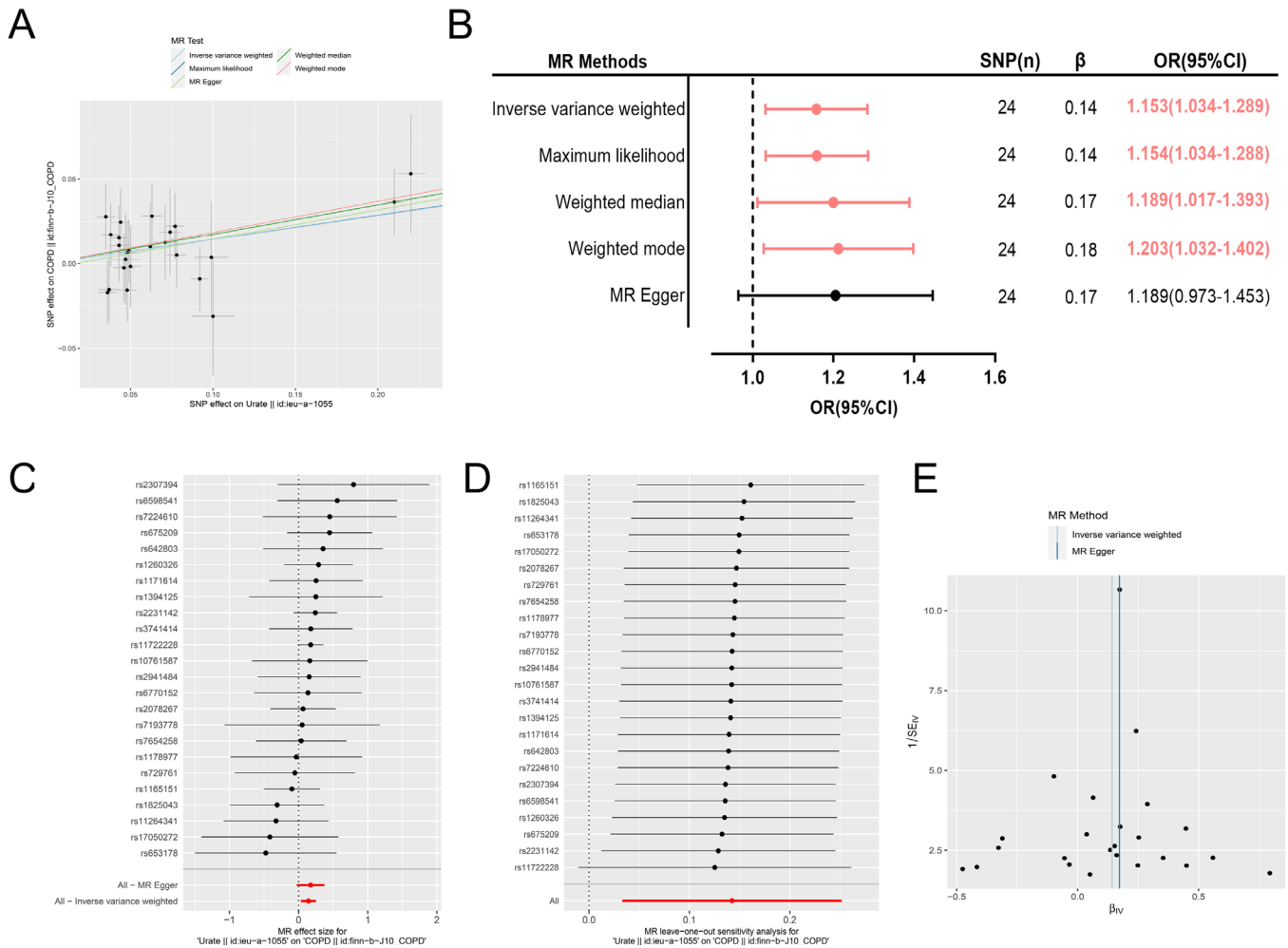


Figure 4 (A–E) The results of univariable two-sample Mendelian randomisation (MR) analysis. (A) All five MR methods showed a positive effect of serum urate on COPD. (B) The IVW method yielded a significant positive effect of serum urate on the risk of COPD, and the statistical results of three other methods also yielded the same significant positive effect. (C) The forest plot showed the positive effects of each IV on COPD. (D) Leave-one-out analyses indicated that the results were stable and not driven by any single SNP. (E) The funnel plot showed a symmetrical distribution of the point estimate of the positive association effect, and this result indicated that the underlying bias was unlikely to affect the positive association. COPD, chronic obstructive pulmonary disease; IV, instrumental variable; IVW, inverse variance weighted; SNP, single nucleotide polymorphism.

univariable and multivariable MR analyses both suggest that a significant causal effect of serum urate on the risk of developing COPD is likely to be true if one exists. In this MR analysis, all IVs were significantly associated with serum urate levels in terms of genome-wide significance

($p < 5 \times 10^{-8}$), which indicates that this MR analysis satisfies the relevant assumption. The exclusion-restriction assumption might be violated due to horizontal pleiotropy. On the other hand, the horizontal pleiotropy test shows that there is no horizontal pleiotropy between

Table 4 Multivariable MR results for adjusting the effect of serum urate on the risk of COPD

Exposure	SNP (n)	β	SE	OR (95% CI)	P value
Serum urate	16	0.13	0.06	1.137 (1.014 to 1.274)	0.027
eGFR (creatinine)	182	0.23	0.54	1.258 (0.434 to 3.647)	0.672
Alcohol consumption	3	0.11	0.32	1.119 (0.592 to 2.114)	0.729
PM _{2.5}	3	0.70	0.51	2.016 (0.745 to 5.456)	0.168
Pack years of smoking	5	1.73	0.20	5.643 (3.845 to 8.282)	<0.001

COPD, chronic obstructive pulmonary disease; eGFR, estimated glomerular filtration rate; MR, Mendelian randomisation; PM_{2.5}, particulate matter air pollution; SNP, single nucleotide polymorphism.



IVs and outcome, which indicates that this MR analysis satisfies the exclusion-restriction assumption. It is worth noting that there is currently no method to test whether the independence assumption is violated. Considering that the independence assumption might be violated mainly due to confounding phenotypes, we performed multivariable MR to adjust for the effects of major confounding phenotypes, whereby adjusting for the effects of IVs associated with confounding phenotypes is able to reduce the likelihood that IVs of serum urate violate the independence assumption. Overall, the finding of MR is robust in the repeated analysis using different methods and sensitivity analysis.

Urate is a biomarker of xanthine oxidase activity, which is known to be an important source of reactive oxygen species.²⁹ *In vitro* and *in vivo* studies support the hypothesis that serum urate has a positive association with inflammatory markers and contributes to systemic sterile inflammation.¹² Mechanically, urate can upregulate the expression of proinflammatory cytokine, such as interleukin (IL)-6, IL-18, C reactive protein and tumour necrosis factor- α .¹² In addition, hyperuricaemia can lead to serum urate level exceeding the solubility threshold; it precipitates into urate crystals, and urate can act as a danger-associated molecular pattern to induce enhanced and maladaptive immune responses.⁹ Martinon *et al* indicated that urate-driven inflammation *in vitro* is dependent on the assembly of the NLRP3 inflammasome.³⁰ Chen *et al* indicated that urate can stimulate macrophages to activation of nuclear factor kappa B and IL-1 β expression.³¹ Moreover, urate can induce epigenetic regulation, such as histone methylation and microRNA upregulation, to affect the expression of inflammatory cytokine.⁹

Hyperuricaemia and the urate released from persistent hypoxia damage in tissue may destroy the balance between the antioxidant and inflammation-stimulatory properties of urate, which makes the latter predominate.³² Serum urate has been linked to the pathogenesis of several diseases characterised by systemic inflammation,³³ especially gout and cardiovascular diseases. COPD is also a disease characterised by systemic inflammation that gradually develops from chronic lung inflammation. In addition, urate is found at high concentrations in respiratory tissues and epithelial lining fluid; thus, the role of serum urate in the onset of respiratory inflammatory diseases cannot be ignored. Thus, the activation of pro-oxidant and inflammation-stimulatory effects induced by elevated levels of serum urate is a potential mechanism underlying the causal effect of serum urate on the onset of COPD. For example, urate can activate NLRP3 inflammasome to induce the activation of leucocytes that cause damage to endothelial cells,³⁰ while as demonstrated by some studies, pulmonary endothelial dysfunction is involved in the pathogenesis of COPD.^{34 35} In addition, Liu *et al* found that extracellular vesicles isolated from patients with hyperuricaemia might exacerbate both systemic inflammation and airway inflammatory response via the senescence-related pathway.³⁶

From a public health perspective, we would like to identify whether the population with hyperuricaemia is at high risk of COPD. Some studies have reported findings that are consistent with our own.^{20 22 37 38} For example, Kobylecki *et al* measured lung function and serum urate in 114979 individuals who were of Danish descent.²⁰ Their cohort study suggested that high plasma urate was associated with worsened lung function and higher risk of COPD. It was a pity that, when they carried out MR analysis, the result did not support a causality between high plasma urate and FEV₁% predicted, FVC% predicted, symptoms of airway disease or COPD in a large general population. However, the positive effect yielded from our MR analysis was concluded based on the summary statistics of GWAS in a European population. MR study is a form of IV analysis, whereby one or more genetic variants can be used as a proxy for a specific exposure to test a causal effect of exposure on outcome, which can be regarded as a natural randomised controlled trial.²⁷ It can be seen that even with such a powerful analytical method as MR, the results of a population in one country may not be applicable to populations in other countries, which may be related to the differences in IVs of different ethnic groups.

Conversely, Horsfall *et al* similarly conducted a large sample size of a cohort study, but found that low serum urate was associated with higher risk of COPD.²³ According to what we know, there are currently only these two cohort studies in the world to explore whether high levels of serum urate can lead to the higher incidence and risk of COPD. Obviously, the interpretation of previous studies calls for discretion because of potential confounders and heterogeneity of different populations. Thus, there is a compelling need for replicated or further researches in populations with distinct characteristic or demographics.

Different from other studies, we primarily focused on the population who seek medical advice or treatment in a hospital rather than the community population. Such population has a higher risk of COPD, especially those who seek medical advice in the department of respiratory diseases or receive lung function test. It is biologically plausible that high urate levels would increase the risk of COPD in such a population, as urate possesses pro-oxidant and inflammation-stimulatory effects. In addition, they have better medical compliance and can positively respond to follow-up work so that the rate of loss to follow-up in our cohort was only about 6%. It was worth noting that, when medical history which included chronic bronchitis, bronchiectasis, tuberculosis, pneumonia and diabetes was incorporated into the Cox model (model 4), the HR of model 4 significantly increased compared with the other three models. Thus, our results emphasised the importance of intervening in serum urate level in the populations with some medical history. Although it remains uncertain whether the conclusion of our study can be applied to a larger Chinese community population, our study is unique and

specific in that it was restricted to individuals who seek medical advice in hospital or receive lung function test, reducing the potential bias from some population stratification. The elucidation of the relationship between hyperuricaemia and COPD has guiding implications for clinical practice.

The disease burden caused by COPD in China has risen to third place in 2017.³⁹ A national cross-sectional study shows that the prevalence of spirometry-defined COPD was 8.6% in China, which indicates that the number of patients with COPD in China exceeds to 100 million.⁴⁰ In addition, hyperuricaemia has become the second most common metabolic abnormality after diabetes in China.⁴⁰ Preventing chronic diseases among the population with common metabolic abnormality is an effective strategy. In other words, the intervention for a common metabolic abnormality can help in preventing the onset of other chronic diseases. Serum urate is a widely available, low-cost and rapidly measured biochemical parameter, and serum urate measurement may still provide valuable information to the clinician on the risk of developing COPD in addition to information from systemic inflammatory state and other relevant inflammatory factors, such as smoking and food intake. Such understanding indicates that controlling the levels of serum urate may become an important measure for preventing the onset of COPD. Therefore, further researches, such as field trials or community intervention trials, are warranted to be carried out for investigating whether the management of the levels of serum urate through lifestyle modifications or pharmacological intervention could potentially lower the risk of developing COPD.

There are some limitations in our study that should be considered. First, our MR analysis was performed based on summary statistics of GWAS in a European population, and there is still a lack of publicly available summary statistics of GWAS for serum urate and COPD in the Chinese population. Second, the concentrations of serum urate may not be a good proxy for the concentrations of urate in the epithelial lining fluid. It is possible that urate in the epithelial lining fluid might play a different role than serum urate. Third, PSM methods may not be appropriate to control some potential risk factors highly associated with hyperuricaemia, such as food intake, therapy or medication, renal function and more, as it is not biologically plausible that these factors were balanced between the hyperuricaemia and normal groups. These factors may contribute to the development of chronic lung inflammation into COPD by inducing other non-urate substances. Finally, several possible factors that may influence the risk of developing COPD were not adjusted in our analysis, such as passive smoking and atmospheric air pollution. However, after adjustment for major confounders, a robust positive association has been yielded with a high degree of confidence.

CONCLUSIONS

Our study provides preliminary and compelling evidence suggesting a robust positive association between serum urate and the risk of developing COPD, and indicates that the population with hyperuricaemia is at high risk of developing COPD in the Chinese population who seek medical advice or treatment in the hospital. These findings have guiding implications for clinical practice, and indicate that the intervention of high levels of serum urate may be an effective strategy for the prevention of COPD.

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Data availability statement Data are available upon reasonable request. The summary statistics of GWAS were public. They can be retrieved on the MR-Base platform (<https://www.mrbase.org/>), and the data can be directly invoked for analysis using R packages of TwoSampleMR. The data of the cohort are not publicly available. If there is a need or interest, the corresponding author can be contacted for discussion.

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