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# Functional MRI of imprinting memory: a new avenue for neurobiology of early learning

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#### Article

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## 14 Abstract

15 Filial imprinting, a crucial ethological paradigm, provides insights into the 16 neurobiology of early learning and its long-term impact on behaviour. To date, only 17 invasive techniques, such as autoradiography or lesion, have been employed to 18 understand this behaviour. The primary limitation of these methods lies in their 19 constrained access to the entire brain, impeding the exploration of brain networks crucial 20 at various stages of this paradigm. Recently, advances in functional magnetic resonance 21 imaging (fMRI) in the avian brain have opened new windows to explore bird's brain 22 function at the network level. Here, we developed a ground-breaking non-invasive 23 functional MRI technique for awake, newly hatched chicks that record whole-brain BOLD 24 signal changes throughout imprinting experiments. While the initial phases of memory 25 acquisition imprinting behaviour have been unravelled, the long-term storage and retrieval 26 components of imprinting memories are still unknown. Our findings identified potential 27 long-term storage of imprinting memories across a neural network, including the 28 hippocampal formation, the medial striatum, the arcopallium, and the prefrontal-like 29 nidopallium caudolaterale. This platform opens up new avenues for exploring the broader 30 landscape of learning and memory processes in neonatal vertebrates, contributing to a 31 more comprehensive understanding of the intricate interplay between behaviour and 32 brain networks.

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**Keywords:** fMRI; imprinting; brain; learning; memory acquisition; retrieval.

## 35 Introduction

Filial imprinting is a learning process by which the young of some organisms can learn about a conspicuous object, usually the mother or siblings, by simply being exposed to it for a short period of time soon after birth<sup>1</sup>. It owes its great popularity to the work of Nobel-prize-winning ethologist Konrad Lorenz<sup>2</sup>, but it was originally described by Douglas Spalding<sup>3</sup> in the offspring of some nidifugous (precocial) bird species, such as chicks or ducklings (see <sup>4</sup>). Visual imprinting has been mostly studied, though acoustic or olfactory imprinting can be observed as well, the latter being prominent in mammals<sup>5</sup>.

43 Although in principle visual imprinting can occur with any kind of object, research 44 has shown that the process is actually assisted by a set of biological predispositions which 45 guides an animal's attention towards those object features that are more likely to be 46 observed in social partners - e.g., preferences in domestic chicks include simple features 47 such red colour (which is prominently observed in the head region of conspecifics), or 48 self-propelled motion (which is typical of living things), as well as more complex assembly 49 of features such as face-like stimuli or biological motion in point-light displays (review in 50 <sup>4,6</sup>). Brain research has shown that biological predispositions are associated with the 51 activation of areas of the so-called Social Behavior Network, and in particular of the lateral 52 septum for motion stimuli and of the nucleus taenia (homologous of the mammalian 53 medial amygdala<sup>7</sup>) for face-like stimuli (review in<sup>6</sup>).

54 Interest in filial imprinting quickly spanned from behavioural biology to psychological 55 development and psychopathology, inspiring, for instance, John Bowlby's theory of 56 attachment, which postulates a crucial role of the mother-child bond for subsequent

psychological development and, complementarily, the psychiatric outcomes associated
with early mother deprivation (recent reviews in <sup>8,9</sup>).

59 In the 70's filial imprinting served as a model for the neurobiological investigation of 60 memory. Gabriel Horn and colleagues (review in <sup>10</sup>) identified an associative brain region 61 involved in the formation of an imprinting memory, the intermediate medial mesopallium 62 (IMM according to the new avian brain nomenclature; previously referred to as IMHV, 63 intermediate medial hyperstriatum ventrale<sup>11,12</sup>). IMM proved to be crucial during the 64 acquisition phase of the visual imprinting memory. More precisely, it was found that 65 exposure to the imprinting object was associated with changes in the left but not in the right IMM<sup>13,14</sup>. Subsequent studies with auditory imprinting revealed that the imprinting-66 67 related area extended ventrally into a medialmost nidopallial area, the nidopallium medial 68 pars medialis (NMm)<sup>15,16</sup>. Here we will use the label medial nidopallium/mesopallium 69 (MNM) to jointly label the mesopallial and nidopallial entity of the imprinting area.

70 Experiments involving sequential lesions, first to one side of IMM and subsequently to the other<sup>17,18</sup>, suggested that the store in the left IMM is only temporary, and the right 71 72 IMM is implicated in transferring information from the left IMM to another, unknown brain 73 region dubbed S', and that this transfer appears to be complete within 6 h after the end 74 of exposure<sup>19</sup>. Thus, to cite Gabriel Horn's words "We are still some ways from being able 75 to visualize, through the microscope or by using brain imaging techniques, the neural trace of (imprinting) memory"<sup>10</sup>. To overcome the technical limitations, recent 76 77 advancements in functional magnetic resonance imaging (fMRI) turned it into a 78 cornerstone neuroscientific technique. This powerful, non-invasive procedure serves as 79 an indirect measure of neuronal activity throughout the entire brain, offering a

comprehensive perspective at the network level. It appears particularly well-suited to finally find the so-called S', being it a region or a neural network. To this end, we here developed an awake fMRI platform to explore the imprinting network and the long-term store of imprinting memories in newly-hatched chicks.

84 We exposed (imprinted) chicks on either a preferred (red) or a non-preferred (blue) 85 colour. After exposure, awake chicks were tested with a sequence alternating the two 86 colours in the scanner. We could demonstrate that chicks imprinted on red colour showed 87 activity in pallial and subpallial brain regions involved with storage and memory retrieval, 88 such as the medial striatum, the arcopallium, the hippocampus, and the nidopallium 89 caudolaterale (a presumed avian equivalent of mammalian prefrontal cortex). 90 Surprisingly, chicks imprinted on blue showed little or no activity in the same regions. 91 However, exploratively we could show that blue-imprinted chicks might have started a 92 process of secondary imprinting as a result of the exposure to the preferred red colour 93 inside the scanner. The results indicated an early activation of mesopallium, as well as a 94 precocial involvement of the Social Behavior Network during the first exposure to a 95 predisposed feature, such as the colour red. We thus, first, established a reliable platform 96 to investigate the long-term imprinting memory. Second, our results might shed light on 97 the so-called S', the neural basis of the long-term imprinting memory storage which was 98 unknown up to now.

## 99 **Results**

100 The present study aimed to better understand the neural networks underlying the different 101 learning stages of filial imprinting: memory acquisition, long-term memory storage, and 102 retrieval. To tackle these ambitious questions, we decided to establish a fully non-invasive

awake fMRI protocol for newborn chicks. Using the awake fMRI platform, we were able
to capture dynamic neural processes in real-time of imprinting memory at the whole brain
level, allowing us to observe and analyse the intricate interplay of brain regions involved
in filial imprinting memory without interfering with the natural state of the subjects.

## 107 Establishment of a fully non-invasive and awake fMRI for

#### 108 the chicks

109 To enable whole-brain fMRI acquisition in awake chicks, we developed a fully non-110 invasive set-up to minimise head and body movements (Figure 1B). Before fMRI scans, 111 chicks were imprinted for two days on either a preferred red or a non-preferred blue light 112 ball<sup>20</sup>. Before scanning, chicks were habituated to the scanner noise using a playback of 113 the magnet noise (Figure 1A). On the third day, after wrapping the animal in a paper 114 tissue to avoid any body-part movements (such as wings and legs), blocks of plasteline 115 were used to comfortably fixate the head, minimising movements and scanner's noise by 116 covering their ears (Figure 1B).

117 To record the spontaneous resting-state (to evaluate the stability and reliability of 118 the head fixation system) and task-based BOLD signals, a single-shot multi-slice rapid 119 acquisition with relaxation enhancement (RARE) sequence was adopted from Behroozi 120 et al <sup>21-23</sup>. Voxel-wise signal-to-noise ratio (SNR) and temporal SNR (tSNR) were 121 calculated over the resting-state (rs-fMRI) and task-based fMRI (tb-fMRI) scans 122 respectively. The tSNR of the RARE sequence in each voxel was calculated after 123 applying motion correction and high-pass temporal filtering (cut-off at 120s) to remove 124 any linear drift. Temporal SNR in the entire telencephalon ranged from 50 to 100 (Figure

S1A, B) for both tb- and rs-fMRI scans. Furthermore, the result indicated highly correlated
SNR and tSNR for both rs- and tb-fMRI scans (Figure S1C, D).

127 In order to verify that adequate fixation was achieved during fMRI scans, we used 128 the realignment parameters and the results of the frame-wise displacement (FD) to 129 evaluate the amount of head motion (Figure S2). Overall, the custom-made restrainer 130 yielded a low level of head movements. There were only 2.02 % (218 volumes) and 1,08% 131 (19 volumes) of fMRI volumes with FD higher than 0.2 mm (~40% of voxel size) over all 132 subjects in the task-based and resting-state experiments, respectively (Figure S2A). The 133 median of frame-wise displacement was ~0.03 mm for both tb-fMRI and rs-fMRI 134 experiments. However, most head movements occurred in the y-direction (Figure 2B, C). 135 The respective violin plot information for translations in the y-direction is as follow: tb-136 fMRI: max/min = 0.22/-0.31 and median ~ 0; and rs-fMRI: max/min = 0.28/-0.30 and 137 median ~ 0. The higher motion parameters in the y-direction were most likely due to the 138 design of the head restrainer, which allowed movements in the dorsoventral direction to 139 avoid blocking the throat.

140

## 141 Distinct BOLD response to identify the acquisition and

142 long-term storage of imprinting memory

We recorded the whole brain BOLD signals from 17 head-restrained awake chicks already imprinted to either a preferred colour, red (n = 9), or a non-preferred colour, blue (n = 8). During fMRI scanning, animals were presented with both colours (Figure 1C), which depending on the previous imprinting training could represent either the imprinted 147 or the control colour. The two colours were presented in a block design manner and a 148 pseudo-random order (48 trials, 24 per condition, see Methods). For the preferred colour 149 group, the imprinting colour (Imp) was red and the control (Cont) was blue, while for the 150 non-preferred colour group the imprinting colour was blue and the control red. To identify 151 the long-term storage of imprinting memory, we first used the contrast of Imp > Cont by152 combining both groups in a conventional generalised linear model (GLM) based statistical 153 analysis. The first-level results at the single-subject level were then entered into a second-154 level analysis (random-effect modelling, Z = 2.3 and p < 0.05 family-wise error (FWE)) to 155 illustrate the activation clusters at different networks of chick prosencephalon.

156 Before fMRI scans, chicks were exposed to the imprinting stimulus for 2 days, during 157 which they learned the feature of the imprinting object and stored them as a long-term 158 memory<sup>1</sup>. Therefore, we expected to find activation in regions involved in memory 159 retrieval. Surprisingly, Red<sub>Imp</sub> + Blue<sub>Imp</sub> > Blue<sub>Cont</sub> + Red<sub>Cont</sub> contrast showed no 160 significantly activated cluster in the chick brain. The activation patterns for both contrasts, 161 Red<sub>Imp</sub> + Blue<sub>Imp</sub> > baseline and Blue<sub>Cont</sub> + Red<sub>Cont</sub> > baseline, were highly similar (Figure 162 2A). To get to the bottom of this interference, we examined the interaction between the 163 group factor and the Red vs. Blue contrast by analysing the following contrasts: Red<sub>Imp</sub> 164 vs. Blue<sub>Imp</sub>, Blue<sub>Cont</sub> vs. Red<sub>Cont</sub>, Red<sub>Imp</sub> vs. Red<sub>Cont</sub>, and Blue<sub>Imp</sub> vs. Blue<sub>Cont</sub>. As illustrated 165 in Figures 2B and 2C, robust BOLD activation patterns were found within the 166 telencephalon for the contrasts: Red<sub>Imp</sub> > Blue<sub>Imp</sub> and Blue<sub>Cont</sub> < Red<sub>Cont</sub> contrasts. In 167 addition, the Red<sub>Imp</sub> vs. Red<sub>Cont</sub>, and Blue<sub>Imp</sub> vs. Blue<sub>Cont</sub> contrasts demonstrated no 168 significant differences between the different conditions, same colour serving as the 169 imprinting or control stimulus.

170 To comprehensively investigate the underlying mechanisms behind this 171 discrepancy, we conducted a meticulously designed behavioral experiment aimed at 172 controlling the influence of color on the chick's preferred choice. As represented in Figure 173 3, we found no significant difference in the colour preference between the two groups 174 (two-tailed independent sample *t*-test:  $t_{(22)}=1.601$ , p=0.124, d=0.654; mean ± se Red 175 group:  $0.718 \pm 0.068$ ; Blue group:  $0.558 \pm 0.072$ ). A significant preference for red was 176 detected in both groups together (two-tailed independent sample *t*-test:  $t_{(23)}=2.683$ , 177 p=0.013, d=0.548; 0.638  $\pm$  0.051). These results confirmed the presence of no significant 178 differences between the Red and the Blue imprinted groups with regard to the preference 179 for the red stimulus. These results might support the idea that Blue imprinted chicks 180 exposed to the preferred colour red immediately started a process of secondary imprinting 181 toward it inside the scanner.

To this end, we decided to analyse both groups independently to determine the brain activity pattern during the acquisition and the recall phase of a long-term memory of imprinting. While Imp > Cont contrast in the red group showed robust activation clusters in many telencephalic as well as diencephalic regions, in the blue group showed no significant activation clusters.

As shown in Figures 2, 3, and S3, this is due to chicks' preference for red over blue (as demonstrated through the behavioral experiment, ), therefore we used the Cont > Imp contrast (red > blue colour) during the last 20 minutes of scanning (first 16 trial did not include in analysis to control the exposer of the animal into the control colour), to investigate the memory formation phase of a secondary imprinting process<sup>24</sup> elicited by the presence of the preferred colour red. As illustrated in Figure 4, the voxel-based group

193 analysis showed robust BOLD responses in different visual prosencephalic regions: the 194 nucleus geniculatus lateralis pars dorsalis (GLd, which receives direct input from the 195 retina<sup>25</sup>), the right intermediate hyperpallium apicale (IHA, which primarily receives visual thalamic input<sup>26</sup>), the right hyperpallium intercalatum (HI) and right hyperpallium 196 197 densocellulare (HD), and bilaterally the hyperpallium apicale (HA, together with HD 198 associative hubs of the thalamofugal pathway<sup>26,27</sup>) of the thalamofugal pathway, 199 bilaterally the nucleus rotundus (Rot, which is the primary thalamic input region of the 200 tectofugal pathway). Also, parts of the auditory system were activated: bilaterally the 201 ventromedial part of the Field-L complex and the right nucleus ovoidalis (OV), a thalamic 202 auditory nucleus receiving direct input from the avian homologue of the inferior colliculus 203 (torus semicircularis<sup>28</sup>) that projects to Field-L. We detected significant activation clusters 204 in the associative pallial regions nidopallium medial pars medialis (NMm) and bilaterally 205 in the caudal intermediate medial mesopallium (IMM). Within the two interconnected 206 Social Behavior Network and Mesolimbic Reward System, we detected a significant 207 BOLD increase rightward in the bed nucleus of the stria terminalis (BNST), the nucleus 208 accumbens (Ac) and the medial striatum (MSt), bilaterally in the septum and leftward in 209 the posterior pallial amygdala (PoA) and the ventromedial part of hippocampus (Hp-VM). 210 As illustrated in Figures 4 and S4, the voxel-based group analysis during the 211 imprinting memory retrieval phase in the red group showed robust BOLD responses in 212 different visual prosencephalic regions: the right GLd, bilaterally in IHA, HI, HD and HA 213 (Figure 4). We found also a significant BOLD rightward increase in part of the auditory 214 system, OV.

Furthermore, we detected a significant increase in the BOLD signal in the associative right MNM (IMM + NMm) and nidopallium caudolaterale (NCL) and in left portions of the caudal mesopallium dorsale (MD) and nidopallium caudocentrale (NCC; all interconnected regions<sup>31-33</sup>).

219 Within the two interconnected *Social Behavior Network* and *Mesolimbic Reward* 220 *System*, we detected significant bilateral activation in the ventromedial part of the 221 hippocampus (Hp-VM), while rightward activation clusters in the bed nucleus of the stria 222 terminalis (BNST), in the nucleus accumbens (Ac), in the medial striatum (MSt), in the 223 medial and dorsal arcopallium (respectively AM and AD), in the posterior pallial amygdala 224 (PoA) and in the preoptic, anterior and ventromedial areas of the hypothalamus 225 (respectively POA, AH, and VMH).

226

## 227 **Discussion**

228 Imprinting, a well-known form of early learning, has been widely used in the 70's as 229 a model to study the neurobiology of memory formation (reviews in<sup>10,34</sup>). Evidence for a 230 crucial role played by the intermediate medial mesopallium (IMM) and NMm (jointly 231 labelled as MNM) during the acquisition of imprinting memory was obtained. Further 232 studies showed that the store in the IMM is only temporary, and that a transfer of 233 information to another, unknown brain region, dubbed S'<sup>35</sup>, occurs after approximately 6 234 hours. These studies were conducted with either autoradiographic or lesion techniques 235 and were unable to discover the full imprinting network<sup>36</sup>. To overcome the limitations of 236 traditional methods, fMRI represents a significant leap forward in our ability to investigate 237 and comprehend brain activities. By providing an indirect measurement, BOLD, of the

whole brain in various circumstances, this cutting-edge technology offers scientists with a powerful tool for unravelling complex brain networks and sheds light on their roles in diverse cognitive processes. The enhanced capabilities of fMRI increase our capacity to investigate the dynamic interplay between different brain areas, allowing us to get a deeper understanding of the neural processes that underpin cognition and behaviour.

243

244 Here we established a new non-invasive fMRI protocol to study awake brain activity 245 in newly hatched domestic chicks in order to discover the neural pathways of imprinting 246 and the identity of S'. After two days of imprinting training, with either a preferred (red) or 247 a non-preferred (blue) colour, chicks were exposed to a sequence of the two stimulus 248 colours inside the scanner. Data collected could be informative for a network of brain 249 regions involved in the acquisition of secondary imprinting memory (blue stimulus), and, 250 in parallel, a network involved in the long-term storage and retrieval of imprinting memory 251 (red stimulus).

252 Visual information reaches the pallium both via the tecto- and the thalamofugal visual 253 pathways. We observed a partial involvement of the nucleus rotundus (Rot), the thalamic 254 link of the tectofugal pathway during acquisition (Figure 5A). A rotundal involvement had 255 already been reported in imprinted chicks<sup>37</sup> and together with the present results, it could 256 suggests a minor tectorigal role during the early stages of imprinting learning. In contrast, 257 the thalamofugal visual system seems to play a crucial role in processing imprinting 258 information (as also reviewed in<sup>38</sup>). This pathway consists of the retinorecipient GLd<sup>31</sup> that 259 projects to the interstitial nucleus of the hyperpallium apicale (IHA) of the visual Wulst, 260 from where secondary projections reach the three pseudo-layers of the Wulst

hyperpallium densocellulare (HD), hyperpallium intercalatum (HI), and hyperpallium apicale (HA)<sup>39</sup>. We discovered both during memory formation and retrieval (Figure 5B) significant activity patterns of all these thalamofugal components. Indeed, HD of darkreared chicks exhibits topographically organised responses for red and blue objects<sup>40</sup>. After imprinting on either one of the two colours, such organisation changes along the rostro-caudal axis showing imprinting-related plasticity already in the Wulst.

267 Previous studies showed that Wulst lesions lead to anterograde amnesia of visual 268 imprinting memory<sup>40</sup>. This possibly results from the loss of visual projections from HD to 269 IMM<sup>41,42</sup>, the associative medial pallial area that is crucial for the acquisition of imprinting memory<sup>10</sup>. IMM projects back to HA, establishing a loop<sup>31</sup>. IMM, the ventrally located 270 271 NMm and the nidopallium caudolaterale (NCL) have been shown to be involved during visual as well as auditory filial imprinting<sup>15,43</sup>. Here we report a significant brain activation 272 273 in IMM, NMm, and NCL during memory retrieval and, to a much lesser extent, in IMM and 274 NMm during memory formation. Indeed, NMm and NCL undergo long-lasting synaptic 275 changes after multimodal (visuo-auditory) imprinting training<sup>10,43,44</sup>. Imprinting training 276 also impacts cell proliferation in NMm and NCL, but not in IMM<sup>45</sup>. Thus, these three areas 277 play important but differential roles in multimodal filial learning and the subsequent 278 formation of long-term memory. Note that in the present study chicks were also exposed 279 to the noise produced by the scanner. Thus, NMm and NCL on the one and the auditory 280 n. ovoidalis (OV) - Field-L pathway on the other side, could conceivably constitute the 281 neural basis for the acoustic component of acquiring (blue group) or retrieving imprinting 282 memory (red group).

However, the interconnected higher associative regions, NMm and NCL do not only play a role for long-term memory-related mechanisms<sup>22,44,46,47</sup>. NMm is also involved in sensorimotor learning and sequential behaviour<sup>48</sup>, while NCL, largely accepted as a prefrontal-like field<sup>33</sup>, is involved in working memory<sup>49,50</sup>, executive control<sup>51</sup> and in merging multi-sensory information in long-term memory engrams<sup>52</sup>. This evidence together with the present findings further supports the involvement of these regions in the long-term storage and flexible retrieval of a multimodal imprinting memory trace.

290 The motor output component of NMm and NCL is established by their projections to arcopallium and medial striatum (MSt)<sup>46,53–56</sup>. Possibly, the initially pallially processed 291 292 imprinting trace is thereby transferred into a striatum-dependent response strategy. As a 293 result, striatal S-R associations are formed and once acquired, drive animal's imprinting 294 behaviour<sup>56</sup>. This also has been shown for passive avoidance learning. Here, the 295 mnemonic nature of MSt (previously lobus paraolfactorius<sup>12</sup>) goes hand in hand with that 296 of IMM<sup>34,57,58</sup>, with increased density of synapses and dendritic spines being detectable 297 some days after training in MSt, but not in IMM<sup>58–60</sup>. Additionally, after imprinting training, glutamate receptor binding affinity increases both in MSt and arcopallium<sup>61–63</sup>, while, pre-298 299 imprinting arcopallial lesions impair memory acquisition<sup>64</sup>.

We found enhanced brain activity in the most medial part of MSt both during acquisition and retrieval of imprinting memory, while for the dorsal and medial portions of arcopallium this was only observed for retrieval. These portions of MSt and arcopallium are enriched in the limbic system-associated membrane protein (LAMP)<sup>65</sup>. We also found a strong mesolimbic involvement in imprinting memory in the two interconnected *Social Behavior Network* and *Mesolimbic Reward System*<sup>66–68</sup>. Here septum was involved only

306 during memory formation. Arcopallium, preoptic area, anterior and ventromedial 307 hypothalamus (POA, AH, VMH) were involved only during memory retrieval. In contrast, 308 Hp, MSt, bed n. of the stria terminalis (BNST), n. accumbens (Ac) and posterior pallial 309 amygdala (PoA) were involved during both memory formation and retrieval. While 310 involvement of these systems in social predispositions associated with imprinting had 311 already been observed<sup>6,69,70</sup>, this is the first evidence for their involvement during 312 imprinting. Such involvement could represent the motivational component linked to the 313 association. Indeed, in the context of filial imprinting, emotional-motivational engagement 314 must be particularly pronounced at different stages of the learning process. The septum 315 seems to be preferentially involved during the first stages of imprinting and probably 316 driving the chick's attention toward salient predisposed moving stimuli. Previous studies 317 also revealed septal involvement during the first exposure to a red object moving with 318 abrupt changes of speed or an alive conspecific<sup>69,70</sup>. Although BNST, Ac, MSt, and PoA 319 seem to participate in both imprinting memory formation and retrieval, we found greater 320 activity in the red group. Such enhanced activity may suggest a stronger emotional-321 motivational component after memory consolidation of the imprinting engram.

The HD of the Wulst has bidirectional connections with PoA and Hp<sup>71,72</sup>. We found a hippocampal (Hp) involvement both during imprinting memory formation and retrieval. The hippocampal formation is known for its role in memory in birds and mammals<sup>73</sup>. However, *c-fos* immunoreactivity in chicks revealed also a social role of Hp. The dorsoand ventromedial portions are involved in individual recognition in chicks<sup>74</sup>. The same portions here were found to be involved in imprinting memory, strengthening a regional specialisation of hippocampus dedicated to social memory functions. Indeed, Hp projects

ipsi- and contralaterally to IMM<sup>59</sup> and is involved in filial imprinting<sup>61</sup>. We found a left Hp
involvement during filial imprinting memory formation (blue group), and a bilateral one
during memory retrieval (red group).

332 Interestingly, the brain activity pattern was predominantly right lateralised. Among 333 the exceptions was a left Hp involvement during imprinting memory formation (blue 334 group), and a bilateral Hp involvement during memory retrieval (red group). Lateralisation 335 is a common feature in the avian brain, especially at different stages of memory 336 formation<sup>75–77</sup>. Right lateralisation during memory formation has been reported for 337 passive avoidance learning<sup>78</sup>. Instead, for imprinting learning, time-shifts have been 338 observed in the lateralisation pattern of IMM. The left IMM is involved at first in learning 339 the features of the imprinting object, while the right IMM dominates during memory 340 consolidation and the subsequent establishment of the long-term storage S'<sup>18,79</sup>. A similar 341 pattern of lateralisation has been proposed in the hemispheric encoding/retrieval 342 asymmetry model (HERA) in humans, where the left hemisphere plays a dominant role 343 during memory encoding and the right during retrieval<sup>77</sup>. Such evidence together leads to 344 the hypothesis of a dual memory system for imprinting, in which different processes -345 acquisition and consolidation - take place in different hemispheres, with prominent right 346 lateralisation for consolidation processes<sup>18</sup>. Indeed, during memory consolidation, a 347 glutamate injection into the right IMM disrupts imprinting memory, but it does not when injected into the left hemisphere<sup>80</sup>. Our results may add a novel view on the idea of the 348 349 dual memory system: while the visual thalamofugal nucleus GLd was bilaterally activated 350 during acquisition, only the right side was active during retrieval. It is conceivable that 351 right hemispheric memory consolidation increased top-down projections onto right sided

352 sensory thalamic nuclei in order to focus attention on learned object properties<sup>81</sup>. This 353 then could activate and synchronize right hemispheric pallial areas according to 354 attentional allocation, thereby inducing a right hemispheric superiority in imprinting 355 memory retrieval<sup>82</sup>.

356 Our findings provide a novel completely non-invasive paradigm for studying neural 357 mechanisms at birth in newly hatched chicks. Additionally, our data suggests a 358 prosencephalic neural network that, among others, involves the Social Behavior Network, 359 the Mesolimbic Reward System, and the medial meso-/nidopallium for long-term storage 360 and retrieval of filial imprinting memory. As to be expected, the networks that could be 361 involved in memory formation and retrieval partially overlapped. However, network activity 362 was more pronounced and further involved arcopallium and NCL in the retrieval condition. 363 Thus, consolidation of imprinting memory seems to result in a strengthening and 364 expansion of the neural system that holds the engram in distributed manner. Within this 365 perspective, the long-searched site for imprinting memory dubbed as S' by Gabriel Horn 366 <sup>83</sup> is possibly this whole network within which the "prefrontal" NCL could be a central hub.

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393 Competing interests

394 The authors declare no competing interests.

## 395 Data and material availability

396 fMRI data for the chick imprinting and resting-state fMRI are available at 397 (https://data.mendeley.com/preview/w6cwvmbxwr,Will be publicly available after 398 acceptance). FSL software (https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/, version 6.0.4) and 399 MATLAB (2020b, MathWorks, USA) were used to process fMRI and behavioural data, 400 respectively. Related processing codes can be found at 401 https://github.com/mehdibehroozi/Imprinting-fMRI. All data needed to evaluate the 402 conclusions in the paper are present in the paper and/or the Supplementary Materials. 403 A preprint version of the present manuscript is present on bioRxiv (Behroozi, Lorenzi 404 et al., 2022).

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677

## 680 Figures

681 Figure 1- Experimental setups and stimulation sequence for awake chick fMRI. (A) Imprinting 682 cage. Newborn chicks were first exposed to a hollow plastic ball with a flickering red/blue light at 683 a frequency of 5Hz. (B) Custom-made restrainer and 7T fMRI system. Awake chicks were placed 684 in an MR-compatible tube. To immobilise non-invasively the animals, a beak holder was used to 685 control the beak movements, and blocks of plastelines were used to cover the ears and reduce 686 head movements. To avoid body-part movements, animals were wrapped in paper tissue before 687 fixating the head. Subsequently, the animal's body was taped to the restrainer. (C) A sequence 688 of the block design experiment paradigm. Visual stimuli were presented in blocks of 16 s followed 689 by 24 s dark. During the ON blocks, the visual stimulus (red/blue light) flickered at a frequency of 690 5Hz.

691 Figure 2- Colour preference of different groups. (A) GLM analysis was used to demonstrate 692 activated networks during imprinting and control trials by examining RedImp + BlueImp > baseline 693 (red map) and BlueCont + RedCont > baseline (blue map) contrasts. The colour maps show the 694 activation significance of group-averaged data from 17 chicks (9 red group + 8 blue group) in the 695 axial view (group analysis using a mixed model FLAME 1+2 method, Z = 2.3, and p < 0.05 FEW 696 corrected at the cluster level). (B) the contrast map shows the significant increase of BOLD signal 697 during Red colour as imprinting stimulus compared to Blue colour as imprinting stimulus (RedImp 698 > BlueImp contrast, 9 chicks for red group and 8 chicks for blue group). (C) Activation map 699 showing the strong BOLD response during the Red colour as control stimulus for the blue group 700 compared to the Blue colour as control stimulus for red group (BlueCont < RedCont, 9 chicks for 701 red group and 8 chicks for blue group). The functional maps were superimposed on the high-702 resolution anatomical data at the different levels of an ex vivo chick brain (in grayscale).

Figure 3 – Colour preference after imprinting. The boxplot in grey represents the colour
 preference in both red and blue imprinted groups together. The asterisk represents a significant
 difference from chance (dotted line). To best represent the data, we provided each subject
 preference (red points are Red imprinted chicks and blue points are Blue imprinted chicks) and a
 violin plot for each imprinting group (blue and red respectively) representing the group distribution.
 No significant difference was detected between the two groups in the colour preference.

**Figure 4-** BOLD response pattern during the acquisition of imprinting memory. Statistical maps for the BOLD signal increase in the contrast of red light versus blue light in the Blue group (n = 8, Z = 2.3, and p < 0.05 FEW corrected at the cluster level). The top row images show the 3D representation of the activation pattern inside a translucent chick brain. A 3D depiction of the chick brain is represented at the bottom of the left column with an example window at the level of A 7.0. Anatomical borders (black lines) are based on the contrast difference of ex-vivo chick brain and Chick atlas<sup>29,30</sup>. The corresponding abbreviations of ROIs are listed in the Table S1.

**Figure 5-** BOLD response pattern during imprinting memory retrieval. The high-resolution coronal slices at the different levels of an ex-vivo chick brain are in greyscale, while the contrast map represents the activation pattern during the presentation of the preferred imprinting object after imprinting learning has already occurred (Red group, the contrast of red light versus blue light conditions, n = 9, Z = 3.1 and p < 0.05 FEW corrected at the cluster level). The top row images show the 3D representation of the activation pattern inside a translucent chick brain. A 3D
depiction of the chick brain is represented bottom left with an example window at the level of A
7.4. Anatomical borders (black lines) are based on the contrast difference of ex-vivo chick brain
and Chick atlas <sup>29,30</sup>. The corresponding abbreviations of ROIs are listed in Table S1.

Figure 6- Schematic depiction of the activated prosencephalic areas during different phases of
 imprinting memory. (A) Network activated during imprinting memory acquisition are represented
 in colourful circles. (B) Network activated during imprinting memory retrieval are represented in
 colourful circles. The grey circles represent no activation. The corresponding abbreviations of

- ROIs are listed in the Table S1.
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## 732 Material and Methods

#### 733 Subjects

734 All procedures here presented followed all the applicable European Union and Italian 735 laws, and guidelines for animals' care and use and were approved by the Ethical 736 Committee of the University of Trento OPBA and by the Italian Health Ministry (permit 737 number 738/2019). Fifty females were used in the present study. Twenty-six for the MRI 738 procedure: red group (n = 9) imprinted to red colour, blue group (n = 8) imprinted to blue 739 colour, and resting-state group (n= 9). Twenty-four for the behavioural experiment: red 740 group (n = 12) imprinted to the red colour, blue group (n = 12) imprinted to the blue colour. 741 Each chick underwent the experimental procedure only once.

742 A local commercial hatchery (Azienda Agricola Crescenti, Brescia, Italy) provided 743 fertilised eggs of the Aviagen Ross 308 strain (Gallus gallus domesticus). Eggs were 744 incubated and hatched in the laboratory under controlled temperature (37.7°C) and 745 humidity (60%) in darkness using FIEM MG140/200 Rural LCD EVO incubators. Soon 746 after hatching, chicks were sexed by feather dimorphism, with a black cap on the head in 747 order to prevent any visual stimulation. Twenty-six females were used in the present 748 study. Females were used because they are known to exhibit stronger filial attachment 749 with the imprinting object (Cailotto et al., 1989; Vallortigara, 1992; Vallortigara et al., 750 1990). Each chick underwent the experimental procedure only once. At the end of the 751 experimental procedure, on post-hatching day 3, chicks were caged in groups with water 752 and food ad libitum, at constant temperature (32.3°C) and with a 12:12 day-night light 753 cycle until they were donated to local farmers.

## 754 Imprinting

755 On the day of hatching, chicks were caged individually at a constant temperature of 756 32.3°C with water and food. In each cage (28x40x32 cm) the imprinting stimulus, a hollow 757 plastic ball (diameter 3.5 cm), was suspended in the middle (7 cm from the floor, Figure 758 1 A). Two optical fibres (diameter of 2mm) inserted in the ball were flickering at 5 Hz. 759 Chicks prefer to imprint on a flickering than on a stationary light (James, 1959). For one 760 group of chicks, the ball was flickering with red light (N = 9, dominant wavelength = 642 761 nm, intensity = 16.45 cd/m<sup>2</sup>,), for the other group with blue light (N = 8, dominant 762 wavelength = 465 nm, intensity = 16.45 cd/m<sup>2</sup>). Being the only light provided in the 763 environment, the established setup by Behroozi et al. (Behroozi et al., 2020) and a 764 custom-written MATLAB code were used to automatically switch on and off the light. 765 following a day-night cycle 12:12. During the daytime, to habituate the subjects to the 766 noise of the scanner, a recording of the sound was provided twice per day, for a total 767 amount of 5 hours per day, by two loudspeakers (Logitech) placed outside the cages.

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## Acquisition and Pre-processing of fMRI data

All MRI experiments were recorded using a horizontal-bore small animal MRI scanner (7.0 T Bruker BioSpin, Ettlingen, Germany) equipped with a BGA-9 gradient set (380 mT/m, max. linear slew rate 3,420 T/m/s). A 72 mm transmit birdcage resonator was used for radio-frequency transmission. To reduce the motion artifacts resulting from body parts' movements, a single-loop 20 mm surface coil was placed around the chicks' head for signal reception. 776 Localiser. At the beginning of each scanning session, a set of scout images 777 (coronal, horizontal, and sagittal scans) were recorded as localisers to identify the position 778 and orientation of the chick's brain inside the MRI machine. The scout images were 779 acquired using a multi-slice rapid acquisition (RARE) sequence with the following 780 parameters: repetition time (TR) = 3000 ms, effective echo time (TE<sub>eff</sub>) = 41.2 ms, RARE 781 factor = 32, N average = 2, acquisition matrix = 128 × 128, the field of view 782  $(FoV) = 20 \times 20 \text{ mm}$ , spatial resolution = 0.156  $\times$  0.156 mm<sup>2</sup>, slice thickness = 1 mm, 783 number of slices = 8, slice orientation = coronal/horizontal/sagittal, with a total scan time 784 of 18 s. This information has been used to position 9 coronal slices in a way (~40° 785 regarding coronal direction) to cover the entire telencephalon to record the fMRI time 786 series.

787 fMRI (task). The blood-oxygen-level-dependent (BOLD) time series were recorded 788 using a single-shot multi-slice RARE sequence adopted from Behroozi et al. (Behroozi et 789 al., 2020, 2018) with the following parameters: TR/TE<sub>eff</sub> = 4000/51.04 ms, RARE factor = 790 42, acquisition matrix =  $64 \times 64$ , FoV =  $30 \times 30$  mm<sup>2</sup>, 9 coronal slices no gap between 791 slices, slice thickness = 1 mm, slice order = interleaved. Since the eyes' size is 792 comparable to brain's one, two saturation slices were manually positioned on the eyes to 793 saturate the possible eye movement artifacts, which can corrupt the BOLD signal. A total 794 of 540 volumes were recorded for each animal.

fMRI (Rest). Whole-brain resting-state fMRI data (200 volumes) of nine chicks were
recorded using a single-shot RARE sequence with the same parameter as the task fMRI
sequence.

Structural MRI. High-resolution anatomical images were acquired using a RARE sequence with following parameters: TR/TE<sub>eff</sub> = 6000/42.04 ms, RARE factor = 16, N\_Average = 4, acquisition matrix =  $160 \times 160$ , FoV =  $30 \times 30$  mm<sup>2</sup>, 39 coronal slices with no gap between slices, slice thickness = 0.33 mm, total scan time = 4 min.

802 Experimental Task. Inside the fMRI machine, chicks were presented with two 803 different stimulus types, imprinted (red/blue) and control colour (blue/red) with the same 804 wavelength and intensity as the training phase. The light stimuli were generated using the 805 established setup by Behroozi et al. (Behroozi et al., 2020). Stimuli were presented in a 806 pseudo-random order in an ON/OFF block design experiment (maximum two trials in a 807 row were of the same colour). The duration of ON blocks was 16 s. ON blocks were 808 interleaved with a rest period of 24 s (OFF blocks, inter-trial interval (ITI)). In total, 48 trials 809 were recorded during an fMRI session from each animal (24 trials per stimulus).

810 Apparatus. A critical issue during awake fMRI scanning of animals is motion 811 artifacts. Therefore, immobilisation of the animal's head is essential to acquire an 812 accurate fMRI time series. To this end, awake chicks were immobilised in a nonmagnetic 813 custom-made restrainer, composed of a beak holder, blocks of plasticine around the head 814 to immobilise it in a comfortable way, and a round RF coil on top of the head (Figure 1B). 815 Before the head fixation, the animal's body was wrapped in paper tissue to prevent the 816 other body parts' movement (such as wings and feet) to avoid any possible motion 817 artifacts. The animal's body inside the paper tissue was tapped to the main body of the 818 restrainer using a piece of medical tape.

## 819 fMRI data processing

820 All BOLD time series were pre-processed using the FMRIB Software Library (FSL, 821 https://fsl.fmrib.ox.ac.uk/fsl/fslwiki), Functional version 6.0.4. the Analysis of 822 NeuroImages (AFNI, version 20.0.09 https://afni.nimh.nih.gov/), and Advanced 823 Normalization Tools (ANTs, http://stnava.github.io/ANTs/) software. We performed the 824 following pre-processing steps for each run: (i) converting dicom files to nifti format (using 825 dcm2niix function); (ii) upscaling the voxel size by a factor of 10 (using AFNI's 3drefit); 826 (iii) discarding the first 5 volumes to ensure longitudinal magnetization reached steady 827 state; (iv) motion correction using MCFLIRT (which aligns each volume to the middle 828 volume of each run); (v) slice time correction to account for the long whole-brain 829 acquisition time (4000 ms); interleaved acquisitions); (vi) despiking using 3dDespike 830 algorithm in AFNI; (vii) removing non-brain tissue (using BET and manual cleaning); (viii) 831 spatial smoothing with FWHM = 8 mm (using FSL's SUSAN, after upscaling voxel size 832 by factor of 10); (ix) global intensity normalization with grand mean = 10000 across 833 scanning sessions for group analysis; (x) high-pass temporal filtering to remove slow drifts 834 (cut-off at 100s); (xi) anatomical brain extraction (using BET function and cleaned 835 manually); (xii) registration of the functional data to the high-resolution structural images 836 using affine linear registration (FLIRT function, six degrees of freedom). For spatial 837 normalization, а population-based template constructed using was 838 antsMultivariateTemplateConstruction.sh script (ANTs). FMRIB's Nonlinear Image 839 Registration Tool (FNRIT) (Andersson et al., 2007) was used to spatially normalize the 840 single subject anatomical images to the population-based template as a standard space. 841 The head motion of animals was quantified using framewise displacement (FD) (Power 842 et al., 2014). Three animals' data were excluded due to the excessive head motion (over

843 20% of volumes were contaminated with FD > 0.2mm). For the remaining animals, the 844 detected motion outliers were modeled as confound regressors during the general linear 845 model (GLM) analysis to reduce the impact of head motion.

## General linear model (GLM) analysis

847 Whole-brain statistical analysis was performed using the FEAT (FMRI Expert 848 Analysis Tool) to assess stimulus-evoked activation patterns. Single-subject GLM 849 analysis was carried out to convolve the established double-gamma avian hemodynamic 850 response function in pigeon brain by Behroozi et al. (Behroozi et al., 2020) (the closest 851 brain in the structural organization to the chick brain) to the explanatory variables (on/off 852 stimulation). In the first GLM, we incorporated the complete fMRI timeseries using the 853 following two explanatory variables (EVs) and their temporal derivatives: (i) imprinting 854 trials (indicated by red/blue, 24 trials) and (ii) control trials (indicated by blue/red, 24 trials). 855 In the second GLM, we employed three EVs and their temporal derivatives: (i) imprinting 856 trials (last 16 trials); (ii) control trials (last 16 trials); (iii) junk trials (the first 16 trials 857 comprising 8 imprinting and 8 control trials, were used as habituation period to the real 858 magnet environment). In addition, six estimated head motion parameters (three 859 translations and three rotations) and outlier volumes detected based on the FD analysis 860 were modelled as confound EVs to remove the residual motion artifacts. To perform 861 group inference, subject-level parameter estimates were taken into the second-level 862 analysis using the mixed-effect model (FLAME1+2) to produce group-level estimates of 863 each condition. FLAME 1+2 cluster-based approach has been used to threshold the 864 group-level statistical maps for contrasts of interest with a cluster defining voxel threshold 865 of p < 0.001 (Z > 3.1) for red group and p < 0.01 (Z > 2.3) for blue group and entire

timeseries analysis and Family Error Wise (FEW) cluster significance threshold of p = 0.05.

## 868 Visualization

869 To visualize the results, we took advantage of the high-resolution anatomical image 870 acquired for another study. Briefly, five post-mortem chick brains were scanned using a 871 fast-low angle shot (FLASH) sequence with following parameters: TR/TE = 50/4 ms, 872 N average = 6, acquisition matrix =  $400 \times 400 \times 500$ , voxels size =  $0.05 \times 0.05 \times 0.05$ 873 mm<sup>3</sup>, total scan time = 19 h 48 min. The population-based template was co-registered 874 nonlinearly (using FNIRT) to the high-resolution anatomical image of the chick brain. The 875 contrasts of interest, eventually, were non-linearly warped to the high-resolution 876 anatomical image. MANGO software (http://ric.uthscsa.edu/mango/mango.html, version 877 4.1) was used for 3D visualization of the activation patterns. Surf Ice software 878 (https://www.nitrc.org/projects/surfice/, version v1.0.20201102 64bit x86-64 Windows) 879 was used for surface rendering the chick brains with overlays to illustrate activated 880 networks during imprinting acquisition and retrieval memory.

## 881 Behavioural experiment

Similar to the imprinting procedure employed for the MRI experiment, chicks were individually caged on the day of hatching with the imprinting object until day 3. The Red imprinted group was exposed for two days to the red flickering light (N =12), while the Blue imprinted group to the blue flickering light (N = 12).

886 On day 3 all chicks were individually exposed to the pseudo random sequence of 887 red and blue colours that was employed for the stimulation inside the scanner (for details

see section Acquisition and Pre-processing of fMRI data - Experimental task). Each chick
saw 24 times its imprinting colour (red/blue) and 24 times the control colour (red/blue).

After the exposure, each chick was tested individually inside a running wheel to evaluate its colour preference. The test in the running wheel lasted a total of 10 minutes. Each colour was presented for 5 minutes. The sequence of colour presentation was counterbalanced between subjects.

The dependent variable measured was the distance (cm) covered by each subject toward the red and the blue. To estimate chicks' colour preference, we calculated an index using the formula:

$$Colour \ preference = \frac{cm \ toward \ red}{cm \ toward \ red + cm \ toward \ blue}$$

This index could range between 0 (absolute preference for the blue) and 1 (absolute preference for the red), whereas 0.5 represented the absence of preference between the two colours.

To estimate differences between the two imprinting groups we employed a two-tailed
independent samples *t*-test. To estimate colour preference, we employed one-sample
two-tailed *t*-test against chance (0.5).

904 To test the presence of differences in the colour preference between the two groups, we

905 employed a two-tailed independent samples *t*-test. To verify the presence of a

significant preference for either blue or red, we employed two-tailed one-sample *t*-testagainst chance (0.5).





2.3 Cluster Corrected Z-Score (FWE) 4.0



- Blue
  - Red







#### Imprinting Memory Acquisition



No Activation

Social Behavior & Mesolimbic

#### Imprinting Memory Retrieval





## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

• SupplementaryMaterialBehroozietal.pdf