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Prospective Clinical Investigation of the Efficacy of Combination Radiation Therapy With Immune Checkpoint Inhibition

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Abstract

Immune checkpoint inhibitors (ICIs) lead to durable responses in a subset of patients with cancer, but most patients do not respond to ICI, prompting interest in combining immunotherapy with other therapeutic regimens. Preclinical evidence supports the potential for therapeutic synergy between immunotherapy and radiation therapy through modulation of the tumor microenvironment and antitumor immune responses. Local therapy also has the potential to overcome localized sites of relative immune suppression and resistance. Prospective clinical trials have been initiated to test these hypotheses in the clinic as well as to investigate the toxicities and adverse events associated with combination immunotherapy and radiation therapy. In this review, we discuss the emerging results from prospective clinical trials of combination immunotherapy and radiation therapy through motor and retrospective data, and some of the remaining open questions to be addressed by future clinical trials.

Introduction

Over the past decade the approval and clinical implementation of immunotherapy and specifically immune checkpoint inhibitors (ICIs) have transformed our ability to treat cancer, as some patients demonstrate durable responses and cures. There are a wide range of

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response rates to ICI across solid tumor types, but other than higher response rates in specific malignancies such as melanoma, renal cell carcinoma, cutaneous squamous cell carcinoma, Merkel cell carcinoma, and mismatch repair deficient carcinomas, in most other solid tumor types less than 20% of patients respond to treatment, with fewer still demonstrating durable benefit.¹ Although overall response rates are low, the ability of ICIs to affect benefit in tumors often refractory to other treatment modalities and the so-called "tail" of extended survival in immunotherapy trials have led to mounting interest in increasing response rates by combining immunotherapy with other treatment regimens such as cytotoxic agents, molecular targeted treatments, or radiation therapy.

Preclinical studies have investigated a potential interactive relationship between immunotherapies and radiation therapy. The results of these studies suggest that radiation therapy may have both immunostimulatory and immunosuppressive effects.² The ability of radiation therapy to induce immunogenic cell death resulting in an inflammatory tumor microenvironment has led to the hypothesis that immunotherapy and radiation therapy may in many cases be synergistic.^{3,4} Abscopal responses in which out-of-field tumors dramatically respond to combination ICIs and radiation therapy have been reported in case reports but are infrequent,^{5,6} supporting the hypothesis that immunotherapy is likely needed to propagate local immunologic effects of radiation to achieve systemic benefit in nonradiated tumor environments. Retrospective analyses have also suggested potential clinical benefit and safety of combinations of ICIs with radiotherapy.^{7–11} These preclinical and retrospective clinical data suggesting potential benefit of radiation therapy in priming response to ICIs have led to an increasing number of clinical trials investigating this hypothesis over the past several years. Conversely, most patients with cancer receive radiation over the course of their care, and immunotherapy offers the possibility of augmenting radiation responses. This offers the potential for a less toxic alternative to chemotherapy in targeting occult micrometastases in locoregionally advanced cancers or in patients with limited burden of metastatic disease or oligometastases.

Prospective clinical trials investigating the safety and efficacy of combination immunotherapy and radiation therapy have been initiated in multiple cancer types and span phases I, II, and III trials. In this review we summarize the results of these initial clinical trials to identify trends, find areas for further investigation, and provide evidence for or against hypotheses formed based on pre-clinical data. We identified clinical trials by querying clinicaltrials.gov and PubMed, as well as soliciting advice from the the National Cancer Institute Immuno-Oncology Translational Network and the National Cancer Institute Radiation and Immunotherapy Working Group (date: March 21, 2021; database: clinicaltrials.gov, pubmed.gov; search terms: "immunotherapy" AND "radiotherapy"; condition: "cancer"). Notably, clinical trials with negative results that were not reported may be under-represented in the published literature. Here we summarize the results of 20 phase I trials, 17 phase II trials, and 4 phase III trials (Table 1 and Table E1). A search of clinicaltrials.gov identified 97 phase I trials, 214 phase II trials, and 31 phase III trials that are ongoing and actively recruiting patients. Initial retrospective and prospective clinical studies suggest that combination immunotherapy and palliative radiation therapy is generally safe without site-specific increases in toxicity, such as pneumonitis or rates of immune-related adverse events (irAEs).^{11,12} Previous reviews have mainly focused

on preclinical and translational data to make speculative hypotheses. Only recently have larger phase I and phase II/III studies with efficacy endpoints reported initial results. Here, we summarize the results of recently published prospective clinical trials to address the knowledge gap regarding the validity of initial preclinical hypotheses. These initial data can provide insights regarding the populations of patients who might benefit from combined radiation-immunotherapy approaches as well as provide guidance for clinical practice and the design of future trials, such as the sequencing of therapy and specifics of radiation targeting, dosing, and fractionation. This review summarizes the results from prospective clinical trials of combination immunotherapy and radiation therapy, focusing predominantly on efficacy endpoints and potential determinants of response.

Phase I Trials

The vast majority of phase I trials have confirmed that combination immunotherapy and radiation therapy is well-tolerated. Radiation therapy with concurrent atezolizumab¹³ or pembrolizumab¹⁴ is well tolerated in patients with metastatic non-small cell lung cancer (NSCLC). Similarly, radiation therapy with pembrolizumab,¹⁵ ipilimumab,^{16–18} or nivolumab¹⁵ is well tolerated in patients with metastatic melanoma. Chemoradiotherapy with pembrolizumab¹⁹ or avelumab²⁰ is well tolerated in patients with advanced head and neck squamous cell carcinoma (HNSCC). The safety of combination immunotherapy and radiation therapy has also been demonstrated in metastatic breast cancer,²¹ metastatic urothelial carcinoma,²² metastatic solid tumors,²³ and extensive stage small cell lung cancer.²⁴ One phase I trial was temporarily stopped due to dose-limiting toxicities of pembrolizumab with adjuvant hypofractionated radiation therapy in metastatic bladder cancer,²⁵ and the trial was amended to reduce the radiation therapy dose. Overall, these phase I trials provide encouraging evidence that combination immunotherapy and radiation therapy is safe without significant site-specific toxicities or serious irAEs.

Early clinical trials have also contributed to our understanding and hypotheses of the biology underlying the use of combination immunotherapy and radiation therapy. A relatively large phase I study evaluating the combination of stereotactic body radiation therapy (SBRT) delivered to 30 to 50 Gy over 5 fractions up to 7 days before pembrolizumab in patients with metastatic solid tumors resulted in progression-free survival (PFS) of 3.1 months and overall survival (OS) of 9.6 months and dose-limiting toxicities in 6 of 73 patients.²⁶ Responsiveness of unirradiated lesions to combination therapy correlated with interferon- γ associated gene expression, while responsiveness of irradiated lesions correlated with *DNASE1* expression.²⁷ In-field radiation responses were observed even when large lesions were partially irradiated in some cases. These findings suggest that different mechanisms might underly in-field and out-of-field responses, and that radiation-related immune activation might contribute to responsiveness to combination therapy in a subset of patients.

In another phase I study, hypofractionated radiation therapy combined with adjuvant ipilimumab in patients with stage IV melanoma resulted in a PFS of 3.8 months and OS of 10.7 months.²⁸ Partial responses in unirradiated lesions were observed in 18% of patients, and unresponsiveness in unirradiated lesions was associated with increased and

not decreased programmed death-ligand 1 (PD-L1) expression. Limited systemic responses rates were also observed in another single-arm prospective study of concurrent ipilimumab with radiation therapy in patients with metastatic solid cancers of multiple types, resulting in partial responses in unirradiated lesions in 10% of patients.²⁹ The observations of out-of-field responses suggest that abscopal responses with combination ICIs and radiation therapy might be rare, and their significance needs to be evaluated in the context of clinical benefit and biology. Ongoing preclinical and early phase clinical trials are now testing additional immunotherapy combinations and alternative approaches to radiation therapy delivery to determine whether the immune effects of radiation may be harnessed to achieve clinical benefit.

Single-Arm Phase II Trials

Single-arm phase II trials have documented responses to combination immunotherapy and radiation therapy and have furthered our understanding of the biology underlying these responses. In NSCLC, concurrent ipilimumab with radiation therapy targeting a single tumor site in patients with metastatic disease resulted in an 18% objective response rate (ORR), median PFS of 3.8 months, and median OS of 7.4 months,³⁰ which is difficult to interpret in the absence of a ipilimumab monotherapy comparator arm. Neoantigen-specific T cell expansion and increased neoantigen expression were observed after treatment. A limited number of patients had prolonged survival compared with what would have been expected with ipilimumab monotherapy. It is possible that such a benefit of radiation in augmenting response to ICIs might be amplified in clinical settings where radiation therapy was delivered to all tumor sites.³¹

In nonmetastatic or limited metastatic NSCLC, such approaches have been possible with the use of external beam radiation in combination with ICIs. A study of concurrent nivolumab with chemoradiotherapy in patients with stage III NSCLC resulted in a median PFS of 12.7 months and median OS of 38.8 months.³² In another study in patients with oligometastatic NSCLC with less than or equal to 4 metastases, local ablative therapy (surgery, chemoradiation, ablation, or SBRT) to all visible lesions with adjuvant pembrolizumab started 4 to 12 months later resulted in a median PFS of 18.7 months, compared with a historical control median PFS of 6.6 months.³³ Surprisingly, in contrast to the response to anti-PD-1/anti-PD-L1 alone in metastatic NSCLC, PFS in this study using combined ICI and radiation therapy was not associated with PD-L1 expression or CD8 T cell infiltration of tumor. Concurrent PD-L1 inhibition with atezolizumab with definitive chemoradiotherapy in locally advanced NSCLC resulted in a PFS of 13.2 months,³⁴ and baseline tumor biopsy PD-L1 status was similarly not associated with recurrence. This suggests that radiation may play a role in priming an effective response, particularly for patients with tumors expressing little or no PD-L1, which do not typically respond to ICIs targeting this pathway.

Combination of ICIs with radiation therapy has also demonstrated mixed results in singlearm phase II trials in other cancer types, with limited overall response rates. Palliative radiation therapy to a dose of 20 Gy in 4 fractions started 2 to 7 days after pembrolizumab in hormone receptor positive metastatic breast cancer resulted in a PFS of 1.4 months and

OS of 2.9 months, with no increase in unexpected adverse events.³⁵ Pembrolizumab started within 3 days after the first of 5 fractions of 6 Gy in patients with metastatic triple-negative breast cancer resulted in a PFS of 2.6 months and an ORR of 17.6%,³⁶ compared with a historic response rate of 5.3% for pembrolizumab monotherapy in a similar cohort.³⁷ Notably, PD-L1 expression was again not associated with response rate or PFS in this study. In another study conducted in patients with metastatic triple-negative breast cancer, adjuvant nivolumab after 3 fractions of 8 Gy resulted in an ORR of 8%.³⁸

As has been suggested in the NSCLC studies noted previously, more promising clinical outcomes may be achievable in settings where a limited overall burden of disease is irradiated, such as oligometastatic disease as suggested Bauml et al,³³ and this is currently being investigated in phase II trials (NCT0482176, NCT03808337). As was observed in NSCLC, PD-L1 expression was not demonstrated to be predictive of response in these single-arm phase II studies.³⁶ In particularly aggressive disease with high burdens of occult micrometastatic disease, such approaches may not be effective with external beam targeting only grossly visible tumor sites. For example, a small prospective study of chemoradiotherapy with concurrent pembrolizumab in locally advanced anaplastic thyroid cancer resulted in study closure after all 3 patients died within 6 months of treatment initiation.³⁹

It is difficult to draw conclusions regarding the efficacy of combination radiation ICI approaches from these singlearm phase II trials. Correlative studies have demonstrated changes in local and systemic immunity and in some cases appear to corroborate preclinical data that suggest radiation can modulate local and systemic immunity. However, irrespective of the immunologic changes observed, systemic response rates have generally been limited. This underscores the importance of integrating detailed scientific analysis of clinical trial specimens with parallel studies in preclinical models to identify and target mechanisms of treatment resistance.

Randomized Phase II trials

Randomized phase II studies have, to date, been among the most effective in exploring the ability of radiation to improve systemic response rates to ICIs (Fig. 1). The pembrolizumab after SBRT (PEMBRO-RT) trial examined the efficacy of pembrolizumab started within 7 days after 24 Gy in 3 fractions of SBRT delivered to a single site of metastatic disease compared with pembrolizumab alone in patients with metastatic NSCLC.⁴⁰ Prior radiation therapy did not exacerbate toxicity, and there was an improved overall response rate that did not achieve statistical significance (ORR, P = .07; PFS, P = .19; and OS, P = .16). Interestingly, patients with PD-L1 negative tumors had a significant improvement in PFS (P = .03) and OS (P = .046) from radiation therapy that was not observed in the PD-L1 positive group.

A single institution phase II trial also failed to demonstrate a significant improvement in ORR or PFS with the addition of radiation therapy to a single site delivered in either 50 Gy in 4 fractions or 45 Gy in 15 fractions to pembrolizumab in patients with metastatic NSCLC.⁴¹ This study observed an improvement in PFS in patients with low PD-L1

expression assessed by biopsy. A pooled analysis of this study and the PEMBRO-RT trial suggested a significant improvement in PFS (hazard ratio [HR], 0.67; P= .045) and OS (HR, 0.67; P= .0004) with combination pembrolizumab and radiation therapy in patients with metastatic NSCLC⁴²; however, this unplanned analysis of secondary endpoints from 2 distinct trials should be interpreted with caution.

Additional randomized phase II trials have also not demonstrated improved systemic response rates with combination radiation therapy and ICI in other cancer types besides NSCLC. In patients with metastatic adenoid cystic carcinoma, the addition of 30 Gy in 6 fractions of radiation therapy to pembrolizumab was well tolerated but did not result in objective responses outside of the radiation field.⁴³ Notably, significant local responses were observed in the radiation treatment field, which is encouraging given the limited radiation dose used. Similarly, patients with metastatic HNSCC randomized to receive SBRT at 27 Gy in 3 fractions between the first 2 nivolumab doses (n = 32) versus nivolumab alone (n = 30) did not experience increased toxicity, but also did not experience improved ORR, PFS, or OS with addition of radiotherapy.⁴⁴ NCI Experimental Therapeutics Clinical Trials Network 10021 was a multi-center phase II trial that evaluated the addition of different radiation therapy regimens (hypofractionated radiation [HFRT] to 24 Gy in 3 fractions or low-dose fractionated radiation of 0.5 Gy twice daily for 2 days repeated for up to 4 cycles) or no radiation in combination with the PD-L1 inhibitor durvalumab and the cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) inhibitor tremelimumab in patients with metastatic microsatellite stable colorectal cancer.⁴⁵ Although no significant radiation therapy-related toxicities were observed, addition of either HFRT or low-dose fractionated radiation did not improve PFS or OS compared with no radiation.⁴⁵ However, cyclic GMP-AMP and the cyclic GMP-AMP receptor stimulator of interferon genes activation, micronuclei formation, and primary nuclear rupture were observed even after low-dose radiation. This supports the ability of radiation therapy to activate the tumor immune microenvironment, particularly in the case of HFRT where Ki67 + PD-1 + (activated) CD8+ T-cells were observed more frequently.⁴⁵ Here, the combination of PDL1 and CTLA-4 blockade and radiation was employed based on preclinical data suggesting potential mechanisms of benefits related to immune escape mechanisms present in patients treated with PD(L)-1 inhibitor and radiation²⁸ as well as the potential for modulation of T-regulatory cells and initial antigen specific T-cell responses via the addition of a CTLA-4 inhibitor. In a recently published study, the addition of concurrent pembrolizumab to neoadjuvant chemoradiotherapy with capecitabine and 50.4 Gy for locally advanced rectal cancer after folinic acid, fluorouracil, and oxaliplatin chemotherapy was safe but did not improve the neoadjuvant rectal score nor pathologic and clinical complete response rates.⁴⁶

In summary, phase II trial results to date have highlighted the challenges in reliably improving ICI response rates with radiation therapy. The radiation employed in these phase II trials is generally hypofractionated, not the more protracted fractionation schedules used in curative standard-of-care regimens generally employed in the phase III trials mentioned in the following sections. As in the phase III trials described in the following sections, promising results were observed in the PEMBRO-RT trial, suggesting that sequential radiation therapy followed by ICI might be effective. Greater treatment effect observed in PD-L1 low or negative patients through stratified analyses in these phase II trials suggests

that PD-L1 status might be inversely correlated with responsiveness to combination ICI and radiation therapy, suggesting this as a reasonable treatment to test in patients refractory to ICI monotherapy.

Phase III Trials

In contrast to the phase II studies that largely test the ability of radiation to improve systemic response rates to ICI, phase III trials have generally evaluated the addition of immunotherapy to standard-of-care radiation approaches in attempts to address micrometastatic disease and/or improve the effectiveness of radiation and chemoradiation (Fig. 2). These studies have evaluated OS and PFS endpoints in prostate cancer, NSCLC, and esophagogastric cancer.

The CA184-043 trial was the earliest phase III study that investigated combination immunotherapy and radiation therapy and enrolled patients with castrate-resistant metastatic prostate cancer and at least 1 bone metastasis.⁴⁷ In this study, 799 patients were randomized to receive CTLA-4 inhibition with ipilimumab (10 mg/kg, n = 399) or placebo (n = 400) administered within 2 days after radiation therapy (8 Gy in 1 fraction) to 1 to 5 bone metastases, with a primary endpoint of OS assessed in the intention-to-treat population. The improved survival observed in the ipilimumab arm did not achieve statistical significance (HR, 0.85; P = .053) although PFS was improved (HR, 0.7; 95% confidence interval, 0.61– 0.82; P < .0001). Adjuvant ipilimumab increased incidence of grade 3 to 4 irAEs (26% with ipilimumab compared with 3% for placebo), most commonly including diarrhea, fatigue, and anemia. Notably, the dose of ipilimumab used in this study (10 mg/kg) is higher than doses currently used in clinical practice. Encouragingly, long-term prespecified survival analyses demonstrated a benefit in patients receiving ipilimumab and radiation therapy, with a 2- to 3-fold increased OS at 3 years and beyond.⁴⁸ In contrast, another randomized study testing treatment of patients with castrate-resistant prostate cancer with ipilimumab versus placebo without the addition of preceding radiation failed to demonstrate an improvement in OS.49

The placebo-controlled phase III PACIFIC trial investigated combination immunotherapy and radiation therapy in patients with unresectable stage III NSCLC.^{50,51} The trial randomized 713 patients, not selected based on PD-L1 expression, to receive placebo (n = 236) or the PD-L1 inhibitor durvalumab (10 mg/kg, n = 473) administered as consolidation therapy 1 to 42 days after definitive radiation (54–66 Gy) with at least 2 cycles of concurrent platinum-based chemotherapy (containing etoposide, vinblastine, vinorelbine, a taxane [paclitaxel or docetaxel], or pemetrexed), with primary endpoints of OS and PFS assessed according to Response Evaluation Criteria in Solid Tumors, version 1.1. Durvalumab improved both PFS and OS (HR, 0.51 and HR, 0.68, respectively), leading to U.S. Food and Drug Administration approval for durvalumab in this setting. Importantly, incidence of grade 3 or 4 pneumonitis was similar between patients treated with combination immunotherapy and radiation therapy compared with radiation therapy alone (3.6% vs 2.6%). Interestingly, in an unplanned subset analysis, benefit of durvalumab was greatest when patients were randomized <14 days after completing radiation therapy. This practicechanging study highlighted the potential of sequential immunotherapy after chemoradiation targeting all gross disease in patients with locally advanced NSCLC.

The placebo-controlled phase III CheckMate-577 trial investigated the efficacy of adjuvant nivolumab after definitive chemoradiation and surgical resection in patients with locally advanced esophagogastric carcinoma.⁵² This trial randomized 794 patients to receive placebo (n = 262) or nivolumab (240 mg, n = 532) administered 4 to 16 weeks after surgery with prior neoadjuvant chemoradiation (median 45 Gy and carboplatin/paclitaxel, cisplatin/fluorouracil, or fluorouracil/oxaliplatin), with a primary endpoint of disease-free survival. Adjuvant nivolumab improved disease-free survival from 11.0 months in the placebo arm to 22.4 months in the treatment arm (HR, 0.69; *P* < .001). Clinical benefit was observed in both PD-L1 low and high expressing tumors. Grade 3 or 4 events related to the treatment occurred in 13% of patients receiving adjuvant nivolumab and 6% of patients receiving placebo. Like the PACIFIC trial, the CheckMate-577 trial investigated the efficacy of adjuvant ICI (rather than concurrent ICI) in locally advanced (rather than metastatic) disease. Together, these 2 phase III trials provide encouraging support for sequential immunotherapy after chemoradiation and/or surgery in locally advanced disease.

In contrast to the PACIFIC and CheckMate-577 trials, other phase III immunotherapy radiation studies have failed to demonstrate benefit adding ICI to standard radiation or chemoradiation approaches. The placebo-controlled phase III JAVELIN trial showed no improvement in PFS with concurrent and maintenance (up to 1 year) PD-L1 inhibition with avelumab and chemoradiotherapy with cisplatin 100 mg/m² delivered every 3 weeks compared with chemoradiotherapy alone in patients with previously untreated locally advanced squamous cell carcinoma of the oropharynx, hypopharynx, larynx, or oral cavity.⁵³ Notably, this study included comprehensive elective nodal irradiation as is standard in definitive head and neck treatment, which could have detrimental immunomodulatory effects as suggested by preclinical studies⁵⁴ and clinical trials that demonstrate increased metabolic activity in pathologically negative cervical lymph nodes.⁵⁵

In summary, recently published phase III studies have demonstrated mixed results regarding the benefit of adding CTLA-4 or PD(L)-1 inhibition to standard-of-care radiation in the palliative or locally advanced setting in patients with metastatic castrate resistant prostate cancer, locally advanced NSCLC, esophagogastric cancer, and squamous cell head and neck cancer. In contrast to the more equivocal or negative phase II studies adding radiation to standard-of-care ICI approaches, the benefit of adding ICI after definitive chemoradiation in NSCLC or after chemoradiation and surgery in esophagogastric cancer is unequivocal. More positive results were observed with sequential administration of immune checkpoint blockade after radiation or chemoradiation in the CA184-043, PACIFIC, and Checkmate 577 studies compared with the JAVELIN HN study that used concurrent radiation and immune checkpoint inhibition. The potential effect of sequencing of therapies is particularly notable in the case of the JAVELIN HN study, as ICIs have demonstrated benefit in the metastatic setting, yet this benefit failed to translate to patients with locally advanced disease when avelumab was added concurrently to definitive chemoradiation. Enhanced benefit seen with sequential administration of radiation followed by PD(L)-1 inhibition is consistent with recently published preclinical data⁵⁶; however, the use of PD-L1 inhibitor

as opposed to a PD-1 inhibitor, administration of elective nodal irradiation in this trial, and patient selection may have also contributed to the failure of JAVELIN. Additional ongoing phase III studies in head and neck cancer will help clarify these questions (NCT03452137, NCT03576417, NCT03040999). Finally, the choice of study endpoint and patient selection is critical to establishing benefit in future trials given the observation that relatively few long term responding patients are responsible for the benefit observed in PACIFIC and other ICI trials.⁵⁷

Future Directions: Preoperative Immunotherapy and Novel Agents

Preoperative radiation therapy/ICI combinations have demonstrated safety and encouraging efficacy in "window of opportunity" trials. In a phase I/Ib trial, 21 patients with HNSCC received 3 cycles of nivolumab with SBRT 5 weeks before surgery followed by adjuvant nivolumab. Major pathologic responses were observed in 86% of patients and only 1 grade 4 pneumonitis was observed.⁵⁸ In a randomized phase II trial, 60 patients with resectable NSCLC were randomized to receive durvalumab monotherapy or durvalumab in combination with SBRT at 24 Gy in 3 fractions delivered 1 to 2 weeks before surgery. Preoperative durvalumab with radiation therapy was well tolerated and resulted in major pathologic responses in 53.3% of patients compared with 6.7% in patients who received durvalumab monotherapy, with increased responses also observed in unirradiated lymph nodes in the durvalumab/ radiation arm.⁵⁹

Several notable prospective clinical studies have investigated the efficacy of radiation therapy and immunotherapy combinations using novel radiation delivery strategies or standard-of-care radiation therapy with other types of immunotherapy besides ICI. In a randomized phase II trial with crossover design, radiation therapy followed by interleukin 2 improved overall response in patients with metastatic melanoma from 35% to 54%, although there were no significant differences in PFS or OS compared with interleukin 2 monotherapy.⁶⁰ In an additional randomized phase II trial, transforming growth factor beta inhibition with fresolimumab combined with focal radiation in patients with metastatic breast cancer was well tolerated and improved OS (HR, 2.73; P = .039).⁶¹ These studies suggest that other immunomodulatory agents, in addition to ICIs, might be appealing agents to combine with radiation therapy. On the other hand, based on preclinical data^{31,62} a growing number of clinical studies are evaluating novel approaches of delivering radiation therapy to more effectively prime and propagate systemic antitumor immunity in combination with immunotherapies. In a phase I study, combining the targeted radionuclide therapy Lutathera with nivolumab in 9 patients with neuroendocrine tumors was well tolerated and resulted in 1 partial response in a patient with extensive stage small cell lung cancer.⁶³ Additional studies are now being advanced to test this growing class of radiotherapeutics in combination with immunotherapies.

Conclusions

Preclinical evidence from animal models and retrospective studies has led to interest in combining immunotherapy and radiation therapy for the treatment of cancer, resulting in a series of prospective clinical trials, many of which are ongoing or in development. Data from

these prospective trials have demonstrated that combination immunotherapy and radiation therapy is well-tolerated across a variety of tumor types, radiation techniques, and sites irradiated. However, efficacy data have been mixed. The addition of immune checkpoint blockade with durvalumab in patients with NSCLC after treatment with chemoradiation has suggested practice-changing benefit in PFS and OS. Interestingly, clinical trials completed to date that have sequenced immunotherapy after the completion of radiation and chemoradiation have also demonstrated more favorable outcomes compared with concurrent treatment strategies. Reasons for this potential difference are unknown, but there has long been concern that larger field, fractionated radiation therapy can result in negative immunologic effects that could affect the success of combined treatment. Several phase II studies have suggested a potential benefit for radiation-ICI combination approaches in PD-L1 low or negative tumors and in patients with oligometastatic disease or undergoing preoperative treatment delivering radiation to all known tumor sites before surgery (Fig. 2). Notably, most associations between radiation-ICI combination benefit and tumor PD-L1 negativity have been observed in tumor types that have demonstrated responsiveness to ICI, such as lung cancer, but not in other tumors that are generally refractory to ICI treatment and are often PD-L1 negative, such as colorectal cancer, suggesting that the prognostic utility of PD-L1 status in the setting of radiation/immunotherapy combinations is likely tumor-type dependent. Additionally, tumor mutational burden is associated with survival and response to ICI treatment^{64,65} and should be evaluated as a potential predictor of response to combination radiation-ICI. The potential for enhanced local effects within the radiation treatment field are also notable in studies that used a moderate hypofractionated treatment dose or partially irradiated larger tumors. Finally, although correlative biomarker studies confirm that radiation can have local and systemic immune effects in patients, these have unfortunately so far proven insufficient or too rare to reliably generate an enhanced systemic response rate in relatively small studies of patients treated with ICIs. These data should guide current clinical practice and be used in combination with data from preclinical studies to design future trials in novel settings and with unique combinations aimed at maximizing antitumor immune responses and providing patient benefit.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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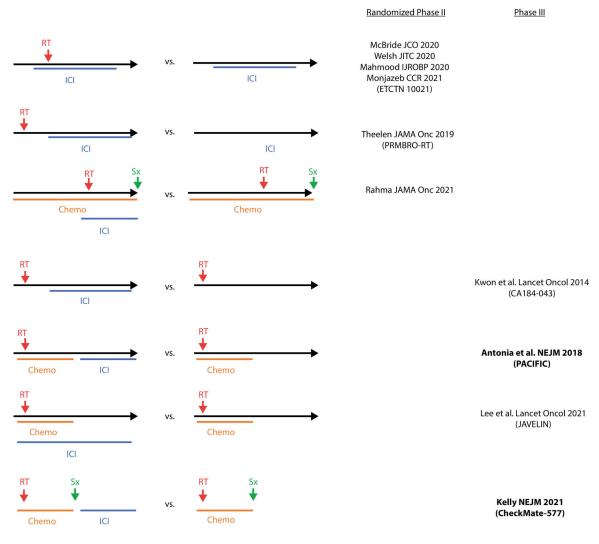
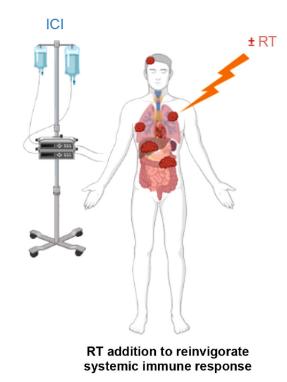


Fig. 1.

Prospective clinical trial design. Schematic illustrating different treatment arms of randomized clinical trials used to assess the safety and efficacy of combining radiation therapy (RT) with immune checkpoint inhibition (ICI) and/or surgery (Sx) and chemotherapy (Chemo). Clinical trials that adhere to a given design are referenced on the right. Bold studies indicate studies that achieved their primary endpoint.

RT

± ICI



McBride JCO 2020 Welsh JITC 2020 Mahmood IJROBP 2020 Monjazeb CCR 2021 (ETCTN 10021) Theelen JAMA Onc 2019 (PEMBRO-RT) Rahma JAMA Onc 2021 Kwon et al. Lancet Oncol 2014 (CA184-043) Antonia et al. NEJM 2018 (PACIFIC)

ICI addition to eliminate

micrometastatic disease and increase RT effectiveness

Lee et al. Lancet Oncol 2021 (JAVELIN) Kelly NEJM 2021 (CheckMate-577)

Fig. 2.

Radiation therapy (RT) and immune checkpoint inhibition (ICI) synergism. Schematic illustrating 2 potential conceptual frameworks of RT and ICI synergism. Clinical trials that adhere to a given approach are referenced. Bold studies indicate studies that achieved their primary endpoint. Created using BioRender.com.

Table 1.

Phase II and III clinical trials investigating the efficacy of combination radiotherapy with immune checkpoint inhibition.

	Study	Ref	Disease	Arms	Outcomes (experimental vs control)
Phase II (single arm)	Formenti et al, 2018	30	Metastatic NSCLC	RT with concurrent ICI	OR, 18%; OS, 7.36 mo; PFS, 3.81 mo
	Bauml et al, 2019	33	Oligometastatic NSCLC with prior LAT (surgery, chemo RT, SBRT, interventional ablation)	RT with adjuvant ICI	PFS, 18.7 mo; OS, 77.5% at 24 mo
	Lin et al, 2019	34	Locally advanced NSCLC	ChemoRT with concurrent ICI	PFS, 13.2 mo; OS, not reached
	Ho et al, 2020	36	Metastatic TNBC	RT with adjuvant ICI	ORR, 17.6%
	Voorwerk et al, 2019	38	Metastatic TNBC	RT with adjuvant ICI	ORR, 8%
	Barroso-Sousa et al, 2020	35	HR + metastatic breast cancer	RT with concurrent ICI	ORR, 0%; PFS, 1.4 mo; OS, 2.9 mo
	Chintakuntlawar et al, 2019	39	Anaplastic thyroid cancer	ChemoRT with concurrent ICI	OS, 2.76 mo
	Peters et al, 2021	32	Stage III NSCLC	ChemoRT with concurrent ICI	PFS, 12.7 mo; OS, 38.8 mo
Phase II (randomized)	McBride et al, 2020	44	Metastatic HNSCC	RT with concurrent ICI vs ICI alone	ORR, 34.5% vs 29.0% (<i>P</i> = .86); OS (<i>P</i> = .75); PFS (<i>P</i> = .79)
	Theelen et al, 2019	42	Metastatic NSCLC	RT with adjuvant ICI vs ICI alone	ORR, 36% vs 18% (<i>P</i> = .07); PFS, 6.6 vs 1.9 mo (<i>P</i> = .19); OS, 15.9 vs 7.6 mo (<i>P</i> = .16)
	Welsh et al, 2020	41	Metastatic NSCLC	RT with concurrent ICI vs ICI alone	ORR, 22% vs 25% (<i>P</i> = .99); PFS, 9.1 vs 5.1 mo (<i>P</i> = .52)
	Monjazeb et al, 2021	45	Metastatic microsatellite stable CRC	HFRT with concurrent ICI vs LDFRT with concurrent ICI	OS, 3.8 mo
	Mahmood et al, 2020	43	Metastatic adenoid cystic carcinoma	RT with concurrent ICI vs ICI alone	SD, 50% vs 70% (<i>P</i> =.65); PFS, 4.5 vs 6.6 mo (<i>P</i> =.63)
	Rahma et al, 2021	46	Locally advanced rectal cancer	Neoadjuvant chemoRT and concurrent ICI vs chemoRT	NAR, 11.53 vs 14.08 (<i>P</i> = .26)
Phase III	Antonia et al, 2018	51	Stage III NSCLC	ChemoRT with adjuvant ICI versus chemoRT with placebo	OSR, 66.3% vs 55.6% (<i>P</i> =.005); OS (HR, 0.68; <i>P</i> =.0025); PFS, 17.2 vs 5.6 mo (HR, 0.51)
	Kwon et al, 2014	47	Metastatic castration- resistant prostate cancer	RT with adjuvant ICI vs RT with placebo	OS, 11.2 vs 10 mo (HR, 0.85; <i>P</i> = .053); PFS, 4.0 vs 3.1 mo (HR, 0.70; <i>P</i> < .0001)
	Lee et al, 2021	53	Locally advanced HNSCC	ChemoRT with concurrent and adjuvant ICI vs chemoRT with placebo	PFS, not reached (HR, 1.21; <i>P</i> =.92)
	Kelly et al, 2021	52	Esophageal or GEJ cancer	Neoadjuvant chemoRT and surgery with adjuvant ICI vs neoadjuvant chemoRT and surgery with placebo	DFS, 22.4 vs 11.0 mo (HR, 0.69; F < .001)

Abbreviations: ChemoRT = chemoradiotherapy; CRC = colorectal cancer; DFS = disease-free survival; GEJ = gastroesophageal junction; HFRT = hypofractionated radiation therapy; HNSCC = head and neck squamous cell carcinoma; HR = hazard ratio; ICI = immune checkpoint inhibitor; LAT = locally ablative therapy; LDFRT = low dose fraction radiation therapy; NAR = neoadjuvant rectal score; NSCLC = non-small cell lung

cancer; OR = odds ratio; ORR = objective response rate; OS = overall survival; OSR = overall survival rate; PFS = progression free survival; RT = radiation therapy; SBRT = stereotactic body radiation therapy; SD = stable disease; TNBC = triple negative breast cancer.