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Hepatopulmonary syndrome: update on pathogenesis and clinical features

Junlan Zhang,

Michael B. Fallon

Division of Gastroenterology, Hepatology and Nutrition, Department of Internal Medicine, The University of Texas Health Science Center at Houston, 6431 Fannin Street, MSB 4.234, Houston, TX 77030-1501, USA

Abstract

Hepatopulmonary syndrome (HPS) is a serious vascular complication of liver disease that occurs in 5–32% of patients with cirrhosis. The presence of HPS markedly increases mortality. No effective medical therapies are currently available and liver transplantation is the only established treatment option for HPS. The definition and diagnosis of HPS are established by the presence of a triad of liver disease with intrapulmonary vascular dilation that causes abnormal arterial gas exchange. Experimental biliary cirrhosis induced by common bile duct ligation in the rat reproduces the pulmonary vascular and gas exchange abnormalities of human HPS and serves as a pertinent animal model. Pulmonary microvascular dilation and angiogenesis are two central pathogenic features that drive abnormal pulmonary gas exchange in experimental HPS, and thus might underlie HPS in humans. Defining the mechanisms involved in the microvascular alterations of HPS has the potential to lead to effective medical therapies. This Review focuses on the current understanding of the pathogenesis, clinical features and management of HPS.

Introduction

Cirrhosis and portal hypertension result in alterations in the vasculature in a number of organ systems, which affects function in these organs and increases mortality. Pulmonary vascular involvement in liver disease includes two unique entities: hepatopulmonary syndrome (HPS) and portopulmonary hypertension. HPS occurs in 5–32% of patients with cirrhosis and occurs when pulmonary microvascular dilatation causes impaired oxygenation in the absence of marked intrinsic cardiopulmonary disease.^{1–3} The presence of HPS markedly increases mortality in affected patients. Currently, no effective nonsurgical treatments are available for HPS—liver transplantation is the only treatment option.^{4–8} Unlike HPS, portopulmonary hypertension occurs in only 5–8% of patients with cirrhosis when increased pulmonary arterial pressure (pulmonary arterial hypertension) develops in the setting

Correspondence to: M. B. Fallon michael.b.fallon@uth.tmc.edu.

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of portal hypertension.^{9–16} Medical therapy with vasoactive agents improves pulmonary arterial pressure and symptoms in portopulmonary hypertension.^{17–21} In the past few years, the coexistence of HPS and portopulmonary hypertension has been reported, implying that these two disorders might share pathogenic mechanisms. Moreover, the coexistence of HPS and portopulmonary hypertension could mask the findings of raised pulmonary arterial pressure in portopulmonary hypertension.^{22–27} The purpose of this Review is to provide an update on HPS, focusing on the pathophysiology, clinical features and recommendations for diagnosis and management.

Definition

HPS is defined by the presence of liver disease and/or portal hypertension and intrapulmonary vascular dilation that causes an abnormal age-corrected alveolar–arterial oxygen gradient.¹⁶ HPS can coexist with other cardiopulmonary disorders and contributes substantially to gas exchange abnormalities in this setting.^{28,29} In general, HPS is reversible with liver transplantation.

HPS is fairly common in patients with cirrhosis being evaluated for liver transplantation and can occur across the spectrum of severity of cirrhosis.^{2,30,31} Although some studies find HPS to be more common in more advanced liver disease and in more severe portal hypertension, it clearly occurs in both well compensated and decompensated liver disease, and in situations in which portal hypertension is present in the absence of cirrhosis. HPS has been described in portal hypertension without cirrhosis (prehepatic portal hypertension, nodular regenerative hyperplasia, congenital hepatic fibrosis and hepatic venous outflow obstruction)^{32–34} and hepatic dysfunction in the absence of established portal hypertension (acute and chronic hepatitis).^{35–37} In a prospective, multicentre US study, no difference in severity of liver disease in patients with or without HPS was observed.⁶ Intrapulmonary shunting and hypoxaemia have also been reported in patients with metastatic carcinoid in the absence of portal hypertension³⁸ and in those with vascular abnormalities that result in limited portal flow to the liver (Abernethy malformations)^{39–42} or that have reduced hepatic venous drainage to the pulmonary arterial bed (Glenn or cavopulmonary shunt).^{43–46} These observations indicate that factors normally produced or metabolized in the liver could influence the lung microvasculature in susceptible individuals when hepatic function or blood flow are altered.

The pulmonary gas exchange abnormalities of HPS are characterized by hyperventilation and arterial deoxygenation that can be mild (partial pressure of oxygen [PaO₂] <80 mmHg), moderate (PaO₂ <70 mmHg) or severe (PaO₂ <60 mmHg).^{16,31,47,48} There is an increased alveolar–arterial oxygen gradient (AaPO₂) whilst breathing room air (>15 mmHg, or >20 mmHg in patients >64 years of age) with or without hypoxaemia. The prevalence of HPS (range 5–32%) varies depending on whether abnormalities in arterial gas exchange are defined by an abnormal AaPO₂ or arterial hypoxaemia (in PaO₂).^{2,30,31,49–52} From a practical perspective, identifying patients with PaO₂ <70 mmHg (detected in the sitting position to avoid effects of positional changes on PaO₂) is useful for recognizing those with clinically important HPS.⁵³ Calculation of AaPO₂ is one of the most sensitive approaches for the detection of early arterial deoxygenation,⁴⁷ as AaPO₂ can increase before arterial

oxygen tension (PaO_2) itself becomes abnormally low. However, AaPO_2 can vary markedly in healthy adults and usually increases with age.^{54,55} At sea level and whilst breathing room air, a resting AaPO_2 of >15 mmHg is abnormal, and an AaPO_2 of >20 mmHg is considered abnormal for an individual who is >64 years of age.^{2,53} Therefore, targeting values above the 95% confidence interval for the age-corrected AaPO_2 is appropriate to avoid overdiagnosis of HPS.⁵⁶

Evidence that gas exchange abnormalities are attributable to intrapulmonary shunting (impaired oxygenation of blood in abnormal pulmonary capillaries) must also be present to confirm the existence of HPS.³¹ Shunting can result from microvascular dilatations, direct arteriovenous connections or angiogenesis in more severe cases.¹⁶ The vascular component characteristically includes diffuse dilated pulmonary capillaries near gas exchange units or localized dilation of larger capillaries and, less commonly, pleural and pulmonary arteriovenous communications.^{57–60} The diameter of the pulmonary capillaries in healthy individuals at rest can reach about $15\ \mu\text{m}$.⁶¹ Intrapulmonary vascular dilatation is considered to exist when pulmonary capillary diameter increases ($15\text{--}60\ \mu\text{m}$) and is the major structural derangement in HPS.⁶² In some cases, diameters can reach as much as $500\ \mu\text{m}$, predominately in the lung bases, where increased blood flow exists as a result of gravity.⁶³

Diagnosis

The diagnosis of HPS requires a high degree of clinical suspicion and rests on evidence of the presence of arterial gas exchange abnormalities resulting from intrapulmonary vascular dilatation in the appropriate clinical setting. The threshold for pursuing the diagnosis is influenced by the presence of specific signs and symptoms of HPS, risk factors for intrinsic cardiopulmonary disease and whether liver transplantation is being considered. In patients with risk factors for intrinsic cardiopulmonary disease (smoking and other cardiovascular risk factors, occupational exposure to asbestos, silica or coal dust, liver diseases associated with intrinsic lung disease), these factors, rather than HPS, are appropriate initial considerations. In patients with clubbing (proliferation of soft tissue under the nail bed resulting in abnormal curvature of the nail) or dyspnoea in the absence of risk of intrinsic cardiopulmonary disease and in those being considered for liver transplantation, screening for HPS is appropriate and cost-effective.⁶⁴ In the latter group, it is particularly important to diagnose and differentiate HPS and portopulmonary hypertension, given that the presence of these disorders can influence treatment and candidacy and priority for liver transplantation.

Gas exchange abnormalities

Gas exchange abnormalities are detected by arterial blood gas measurements and quantified by calculating the AaPO_2 ($>15\text{--}20$ mmHg abnormal based on age) and assessing for hypoxaemia ($\text{PaO}_2 <80$ mmHg).¹⁶ Including mild gas exchange abnormalities (increased AaPO_2 , $\text{PaO}_2 >80$ mmHg) in the diagnostic criteria for HPS seems to be important on the basis of findings that mortality is increased in this subset of patients with these abnormalities compared with patients with cirrhosis without HPS.^{2,6} Obtaining arterial blood gases in the sitting position—to minimize increases in PaO_2 sometimes seen in the supine position

(orthodeoxia)—could enhance the detection of arterial deoxygenation in HPS.⁵⁷ Pulse oximetry is an established screening modality for detecting hypoxaemia and HPS in patients being evaluated for liver transplantation.⁵ Using a threshold SpO₂ (arterial oxygen saturation with pulse oximetry) value of 96% provides a sensitivity of 100% and specificity of 88% for detecting patients with HPS who have a PaO₂ of <70 mmHg.^{5,52} This technique can target the use of tests for HPS to those with a higher risk of disease. On the basis of the utility of pulse oximetry for detecting hypoxaemia in a wide range of disorders, this technique could also be useful for screening all populations with cirrhosis.

Intrapulmonary vascular dilatation

In adults, contrast-enhanced echocardiography using a transthoracic approach is the most sensitive and commonly used screening technique for detecting intrapulmonary vascular dilatation. Lung perfusion scanning, pulmonary angiography and high-resolution CT scanning are additional studies that can be useful as adjunctive tests in selected individuals. Contrast-enhanced echocardiography and perfusion lung scanning using technetium-99m-labelled macroaggregated albumin (^{99m}TcMAA) are the two most well-accepted approaches for assessing intrapulmonary vascular dilatation.^{48,63,65–67} Typically, agitated saline is used to generate microbubbles during echocardiography. Intrapulmonary vascular dilatation is diagnosed when microbubbles are observed in the left cardiac chambers three cardiac cycles after intravenous injection.^{30,68,69} Immediate visualization of injected contrast (microbubbles) in the left side of the heart indicates intracardiac shunting. Transoesophageal contrast echocardiography can increase the sensitivity of detecting intrapulmonary vascular dilatation compared with transthoracic echocardiography, but is invasive and more expensive.^{30,68–70} Echocardiography also assesses cardiac function and estimates pulmonary arterial systolic pressure, and is useful for screening for cardiac dysfunction and portopulmonary hypertension. As many as 40–60% of patients with cirrhosis and normal levels of arterial blood gases can have a positive contrast echocardiogram, suggesting that mild intrapulmonary vascular dilatation insufficient to alter gas exchange is common.^{2,30,31} Also, a positive result on contrast echocardiography in a patient who has hypoxaemia with concomitant pulmonary dysfunction (pleural effusion and chronic obstructive pulmonary disease) does not establish HPS as a cause of gas exchange abnormalities, because either intrapulmonary vascular dilatation or the underlying pulmonary process could be responsible. In these patients, additional testing with radionuclide lung perfusion scanning is useful for further diagnosis.

Radionuclide lung perfusion scanning (^{99m}TcMAA scan) can be used to quantify intrapulmonary shunting in HPS. Normally, most particles are trapped in the lung microvasculature, but in HPS, some particles escape through abnormal capillaries and lodge downstream.²⁸ Quantitative imaging of the lung and brain using a standardized methodology has been validated as a means to calculate the fraction of particles that escape the lung and reach the brain.^{1,28,30} Using this methodology, a positive ^{99m}TcMAA scan (shunting >6%) is found only in patients with HPS who have a PaO₂ <60 mmHg and not in those with intrinsic lung disease alone.^{28,30} A positive finding from a ^{99m}TcMAA scan supports the presence of advanced HPS even in the setting of coexistent intrinsic lung disease. However, as a screening test in adults, ^{99m}TcMAA scanning is less sensitive

than contrast echocardiography in detecting intrapulmonary vascular dilatation, and cannot evaluate cardiac function, intracardiac shunting or pulmonary artery pressures.

Pulmonary angiography is an invasive and insensitive diagnostic modality for detecting intrapulmonary vasodilatation in HPS and is not useful as a screening test. Two types of angiographic findings have been reported: type 1, a diffuse 'spongiform' appearance of pulmonary vessels during the arterial phase; and type 2, small discrete arteriovenous communication.^{16,57–60} The great majority of patients with HPS have either normal angiograms or type 1 findings even when hypoxaemia is severe. Therefore, angiography has a very limited diagnostic and therapeutic role in HPS.^{71,72} High-resolution chest CT is a less invasive radiological method to detect discrete arteriovenous communications than angiography in HPS.^{38,73,74} The degree of dilatation observed on CT correlates with the severity of gas exchange abnormalities in several studies, suggesting that CT might be useful in assessing the presence and severity of HPS.

Pathophysiology and pathogenesis

The most well-described alteration in HPS is dilations in the precapillary and postcapillary pulmonary vasculature, resulting in impaired oxygenation of venous blood as it passes through the lung.^{1,75,76} These changes result from decreased precapillary arteriolar tone and also seem to involve additional mechanisms including angiogenesis, vascular remodelling and vasculogenesis.^{77,78} Our current understanding of the pathogenesis of HPS is mainly drawn from experimental studies using animal models (Figure 1). Less is known about the pathogenesis of human HPS and how the mechanisms identified in the development of experimental HPS contribute to human disease.

Experimental HPS

Animal models—Defining well characterized and easily accessible animal models that mimic human diseases is critical for exploring pathogenic features and mechanisms of disease, and for developing effective therapeutic strategies for HPS. To date, chronic common bile duct ligation (CBDL) in the rat is the only established experimental model of human HPS.

CBDL induces biliary fibrosis, which results in a reduction in pulmonary vascular resistance and gas exchange abnormalities similar to human HPS.^{79–82} Direct measurement of pulmonary microvascular size and arterial blood gases show that there is a progressive increase in the size of the pulmonary microvasculature and in the AaPO₂ that begins within 2 weeks after CBDL in the absence of light-level pulmonary histological abnormalities.^{83–85} During this time period, onset of bile duct proliferation, bridging biliary fibrosis and a hyperdynamic state with early portal hypertension occur.^{83,84,86,87} Therefore, HPS develops prior to the full development of cirrhosis and portal hypertension after CBDL. These observations support a concept drawn from human studies in which the presence or development of HPS does not require advanced and long-standing liver disease.^{6,67}

As experimental controls, two additional liver disease models have been evaluated and compared with the CBDL model for the development of HPS. Partial portal vein ligation

results in prehepatic portal hypertension without cirrhosis, accompanied by hyperdynamic circulation, splanchnic vasodilation and portal–systemic shunts.^{88–90} Chronic thioacetamide administration results in toxic hepatocellular injury that leads to nonbiliary micronodular cirrhosis and portal hypertension within 8 weeks.^{86,91–96} HPS does not develop in either model. Together, these observations document that CBDL, relative to the partial portal vein ligation or the thioacetamide model, triggers unique alterations that lead to the development of HPS.

Vasodilation—The pathogenic hallmark of human and experimental HPS is microvascular alterations within the pulmonary arterial circulation. Both human and animal studies support the hypothesis that excess pulmonary production of gaseous vasodilators, including nitric oxide (NO) and carbon monoxide (CO), contributes to vasodilatation in the lung.

We and others have identified increased pulmonary vascular endothelial nitric oxide synthase (eNOS) as a major source of pulmonary NO production in CBDL,^{83,85,92,97,98} and have demonstrated that inhibition of the eNOS–NO pathway using *N*^G-nitro-L-arginine methylester (L-NAME) or methylene blue improve hypoxaemia after CBDL.^{98–101} One important trigger for pulmonary eNOS activation and vascular dilation is the increased hepatic production and release of endothelin-1.^{85,91,97,102} This effect is mediated by an increase in expression of pulmonary vascular endothelial endothelin B (ET_B) receptor, which augments endothelial NO production in response to endothelin-1.^{92,103} Accordingly, selective ET_B receptor inhibition or genetic ET_B receptor depletion decreases pulmonary endothelial eNOS–NO activation and markedly improves HPS after CBDL.^{104,105} An increase in expression of inducible nitric oxide synthase (iNOS) in the lungs of CBDL animals (transient in some studies) can also contribute to local NO production during the progression of HPS.^{86,106} Together, these observations document that CBDL recapitulates the physiological findings in human HPS, and support a role for NO in experimental HPS.

Pulmonary production of CO is also increased as experimental HPS progresses. CO seems to derive, in part, from intravascular macrophages that progressively accumulate after CBDL. These cells transiently produce iNOS and progressively produce heme oxygenase 1^{86,107} and contribute to vasodilatation through production of iNOS-derived NO and CO derived from heme oxygenase 1. Accordingly, *in vivo* inhibition of heme oxygenase 1 activity ameliorates gas exchange abnormalities and intrapulmonary vascular dilatation in CBDL animals.⁸⁶ Further studies indicate that crosstalk occurs between the eNOS–NO and heme oxygenase 1–CO systems and has a role in the progression of experimental HPS.^{86,107}

Angiogenesis—Several lines of evidence suggest that the pathophysiology of human and experimental HPS might involve factors in addition to intrapulmonary vascular dilatation. In humans, early autopsy studies found increased capillary density abutting alveoli in the arterial microvasculature in cirrhosis, suggesting the presence of what is now considered angiogenesis.⁶² This concept is supported by the finding that acute inhibition of NOS in general has failed to reliably improve oxygenation in human HPS⁷⁷ and that the syndrome might take more than 1 year to resolve after liver transplantation in some patients. Moreover, single nucleotide polymorphisms in certain genes important in angiogenesis occur more commonly in patients with cirrhosis who have HPS than in control patients with cirrhosis

without HPS.¹⁰⁸ In experimental HPS, we and others have expanded on earlier work showing increased pulmonary microvessel density by electron microscopy after CBDL,⁷⁵ by documenting the development of pulmonary angiogenesis and activation of vascular endothelial growth factor A (VEGFA)-dependent angiogenic signalling pathways, including downstream Akt (protein kinase B) and eNOS.^{96,99,109} A similar role for VEGFA-mediated splanchnic and hepatic angiogenesis has been observed during the onset of cirrhosis and the development of portosystemic vascular collaterals in experimental models.^{110–116} The importance of pulmonary angiogenesis in the development of HPS has been confirmed by studies showing that the inhibition of angiogenesis improves gas exchange abnormalities in experimental HPS.⁹⁶ Interestingly, one major source of VEGFA production in experimental HPS is monocytes adhered to the pulmonary vasculature.⁹⁶ Therefore, understanding the specific signals and mediators that drive pulmonary angiogenesis in HPS, including how monocytes home to the pulmonary microvasculature, could provide critical insights for developing effective medical therapies.

Intravascular macrophages—The observation that monocytes adhere to the lung microvasculature in experimental HPS and could be important in pathogenesis was made in the initial studies of experimental HPS.⁸¹ These studies used electron microscopy and quantification of lung uptake of radioactive particles to show that phagocytically active pulmonary intravascular macrophages are detectable between 2 weeks and 3 weeks after CBDL.⁸¹ These cells do not seem to migrate into the lung parenchyma over time, and no reliable accumulation is found in other organs. Further studies have revealed that accumulation of pulmonary intravascular macrophages and/or monocytes is an early event in response to CBDL.⁸⁶ In addition, modulation of monocyte infiltration can alter intrapulmonary vasodilation and angiogenesis, and inhibition of angiogenesis decreases monocyte accumulation in experimental HPS.^{96,109,117} The precise mechanisms that drive the accumulation and activation of macrophages in the lung remain undefined. However, studies suggest that circulating tumour necrosis factor (TNF; owing to an immune response to translocation of bacteria or bacterial endotoxins), endothelin-1 and possibly monocyte-directed chemokines contribute to intravascular accumulation of monocytes in the lung.^{91,102–104,118–120}

Human HPS

Three mechanisms for the development of hypoxaemia have been described in human HPS: ventilation–perfusion mismatch (increased capillary blood flow possibly attributable to vasodilatation), diffusion–perfusion mismatching (impaired passage of oxygen from the alveolus into the vasculature possibly as a result of vasodilatation or angiogenesis) and anatomic arteriovenous shunting (possibly because of vasodilatation or angiogenesis).^{1,121,122} The relative contribution of these mechanisms to gas exchange abnormalities seem to vary based on the severity of HPS.^{31,122} In line with the concept that ‘physiological’ rather than ‘anatomic’ shunting of blood through the alveolar microcirculation is the major mechanism of hypoxaemia in HPS, many patients have a substantial increase in PaO₂ (to >300 mmHg) when breathing 100% oxygen.¹²³

Pulmonary vascular dilatations in human HPS have been attributed to excess production of vasodilators, particularly NO.^{124–126} Exhaled NO levels—reflecting pulmonary production—are increased in HPS and return to normal levels after liver transplantation, as HPS regresses. However, what modulates pulmonary NO production and how it relates to the severity of liver injury and portal hypertension remain uncertain. Observations show that inhibition of NO production or action does not reliably improve HPS and that increased NO production is not unique to HPS,^{43–45} supporting the concept that factors other than NOS-derived NO modulate pulmonary vascular tone. Heme oxygenase 1-derived CO production does seem to be selectively increased in human HPS, although whether this increased production derives from lung production or influences the vasculature is not known.¹²⁷ In addition, the fact that HPS occurs across a spectrum of aetiologies, diseases and severities of portal hypertension, and develops in <50% of patients with cirrhosis, suggests that HPS develops in patients with an underlying predisposition to the disease. That variation in genes associated with vascular growth and development is associated with the risk of HPS raises the possibility that genetic susceptibility to angiogenesis might be one predisposing factor.¹⁰⁸ Finally, whether monocytes adhere to the lung vasculature in human HPS and whether inhibition of TNF or bacterial translocation across the gastrointestinal tract alters the severity of HPS are poorly defined.¹⁰⁹

Natural history and clinical features

The natural history of HPS is incompletely characterized, although quality of life and survival are adversely affected by its presence.⁶ Over time, the majority of patients seem to develop progressive intrapulmonary vascular dilatation and worsening gas exchange, and spontaneous improvement, though reported, is rare.³ Mortality in patients with HPS is increased twofold relative to unaffected patients with cirrhosis.^{3,6,51} In addition, many patients with moderate to severe HPS have comparatively well-preserved hepatic synthetic function, making it probable that the presence of HPS will contribute to poor outcomes.^{3,6,65}

The majority of patients with HPS are either asymptomatic, particularly if diagnosed during evaluation for liver transplantation, or develop the insidious onset of dyspnoea.¹²⁸ Classically, dyspnoea (platypnea) and hypoxaemia (orthodeoxia) increase in the upright position in HPS owing to the predominance of vasodilatation in the lung bases and the increased blood flow through these regions when sitting upright.¹²⁹ These findings are highly suggestive of HPS but are not present in the majority of patients and are therefore of limited diagnostic utility.^{78,130} Several other clinical features, including spider angiomas, clubbing and cyanosis are also commonly described in HPS, but are also not reliable diagnostic indicators.³⁰ In addition, respiratory symptoms are common in cirrhosis owing to poor physical condition, smoking, ascites and/or intrinsic lung disease.⁵⁴ The presence of HPS might, therefore, be difficult to discern and the diagnosis delayed and identified only after severe arterial hypoxaemia has ensued. Finally, sleep-time oxygen desaturation also frequently occurs in patients with HPS and can worsen hypoxaemia at night.¹³¹

Chest radiography, chest CT and/or pulmonary function tests (PFTs) are often performed to evaluate dyspnoea in cirrhosis and during evaluations for liver transplantation. Commonly, chest radiograph findings are normal in HPS, even when hypoxaemia is severe.^{6,132}

However, lower lobe interstitial markings resulting from dilated vessels can be present and are often confused with pulmonary fibrosis.¹³³ Dilated vessels, as well as fibrotic lung disease, are visible on high-resolution chest CT, but its role in the diagnosis of HPS has not been established. PFTs typically demonstrate well-preserved spirometry and lung volumes. The diffusing capacity for CO is often reduced and can indicate a positive diagnosis, although a decrease in this parameter frequently occurs in cirrhosis in the absence of HPS, limiting diagnostic utility.^{134–136}

HPS also affects children, although few prospective studies are reported. Overall, it seems to be less common in children than in adults (3–19%).^{40,137,138} Most frequently, the disease is found in common causes of paediatric liver disease requiring liver transplantation (biliary atresia), but is also reported in congenital disorders that alter portal venous blood flow through the liver (Abernethy malformation, polysplenia with interrupted inferior vena cava).^{39–42} Findings of liver disease can be minimal or absent in these syndromes, requiring a high degree of clinical suspicion of HPS to make the diagnosis.⁴⁰ Compared with adults, whether children have a higher frequency of type 2 angiographic features resulting in improved sensitivity for ^{99m}TcMAA scanning relative to contrast echocardiography in the diagnosis of HPS is not resolved.¹³⁹

Management

No clearly effective medical therapy for HPS is available although a number of compounds have been studied in experimental and human disease (Table 1). Supplemental oxygen therapy is appropriate in hypoxaemic patients with HPS, although no studies have evaluated survival benefit. Somatostatin, almitrine, indometacin, norfloxacin, inhaled (nebulized) L-NAME, aspirin and plasma exchange have all been tried in patients with HPS without clear benefit.^{1,77,101,133,140} A small open-label clinical trial, several case reports and a prospective trial using garlic have shown some benefit in HPS.^{141–144} Moreover, pentoxifylline—a phosphodiesterase inhibitor with known mild inhibitory effects on TNF and NO—has been linked to improved oxygenation in experimental HPS.^{118,120,145,146} However, in human HPS, results with pentoxifylline are conflicting. In one study, tolerability of the drug was poor and no oxygenation benefit was observed.¹⁴⁵ In another study, tolerability to pentoxifylline was not the limiting factor and there was an overall improvement in PaO₂ of >10 mmHg.¹⁴⁶ No studies have explored whether endothelin-receptor antagonists or angiogenesis inhibitors, which have benefit in experimental HPS, are effective in human disease. A number of case reports have suggested a beneficial effect of other interventions, including inhaled prostacyclin derivatives to improve ventilation–perfusion matching,^{147,148} withdrawal of chronic methadone¹⁴⁹ and lowering of portal pressure with transjugular intrahepatic portosystemic shunt (TIPS) on HPS. Although several reports of using TIPS to treat HPS reported marked improvement, no benefit has been found in others, which makes assessments of utility difficult.^{150–154} These reports highlight the need to identify and target probable pathogenic mechanisms and undertake randomized, multicentre trials of sufficient size to determine efficacy. Finally, ligation of congenital portosystemic shunts in patients with Abernethy malformation associated with HPS has resulted in increased hepatic blood flow to the pulmonary arterial bed and resolution of HPS.^{42,155}

Currently, liver transplantation is the only effective treatment for patients with HPS and complete resolution of gas exchange abnormalities is reported in >80% of such patients.^{1,50} Both living donor and deceased donor liver transplantation have been reported to be effective.^{156–158} However, an early prospective study found that those with severe HPS (preoperative PaO₂ of 50 mmHg and ^{99m}TcMAA shunt fraction 20%) had a marked increase in postoperative mortality, in part attributable to prolonged mechanical ventilation and the development of unique postoperative complications (such as worsening hypoxaemia and embolic intracerebral haemorrhage) recognized in these patients.⁵⁹ These findings support the current practice of providing model for end-stage liver disease (MELD) exception points to patients with cirrhosis who have HPS and a PaO₂ <60 mmHg listed to undergo liver transplantation. Strategies including the use of inhaled NO and frequent repositioning of patients have been reported to be beneficial in improving oxygenation during recovery after liver transplantation.^{159–162} Since the initial prospective study reporting HPS outcomes after liver transplantation,⁴ a number of additional small studies and an analysis of the Scientific Registry of Transplant Recipients data have found 1–3 year mortality after liver transplantation in patients with HPS to range widely from 5% to 42%.^{3,4,51,156,163–165} These studies highlight the need to more precisely define the influence of HPS on liver transplantation outcomes to guide MELD exception policy.

Conclusions

Over the past 15 years, HPS has been increasingly recognized as an important clinical entity that influences survival and liver transplant candidacy in affected patients. No effective medical therapies exist. The pathogenesis of HPS remains incompletely understood, although ongoing studies in the CBDL animal model and in human disease suggest that vascular remodelling might have a central role in its development. One working hypothesis is that pulmonary vascular alterations in HPS represent a variation of inflammatory or tumour angiogenesis^{166–168} in which homing and activation of inflammatory cells (including monocytes) results in paracrine production of mediators that drive a local angiogenic response. Evaluating the mechanisms underlying experimental HPS provides a pathogenic framework for investigating human disease and for developing and testing potential novel and effective therapies.

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Key points

- HPS is a common finding in patients with cirrhosis that increases mortality in this context
- HPS is defined by the triad of liver disease with intrapulmonary vascular dilatation causing abnormal oxygenation
- No effective medical therapies for HPS exist and liver transplantation is the only treatment option
- Chronic common bile duct ligation in the rat is the only established experimental model of human HPS
- Excess lung production of gaseous vasodilators, nitric oxide and carbon monoxide contributes to vasodilatation in human and experimental HPS
- Pulmonary angiogenesis has an additive role in the development of experimental HPS

Review criteria

A search for original articles published between 1990 and 2012 and focusing on hepatopulmonary syndrome was performed in MEDLINE and PubMed. The search terms used were “hepatopulmonary syndrome”, “intrapulmonary vasodilation”, “intrapulmonary shunting”, “hypoxaemia”, “gas exchange”, “cirrhosis”, “portal hypertension”, “pathogenesis” and “common bile duct ligation” alone and/or in combination. All articles identified were English-language, full-text papers. We also searched the reference lists of identified articles for further relevant papers.

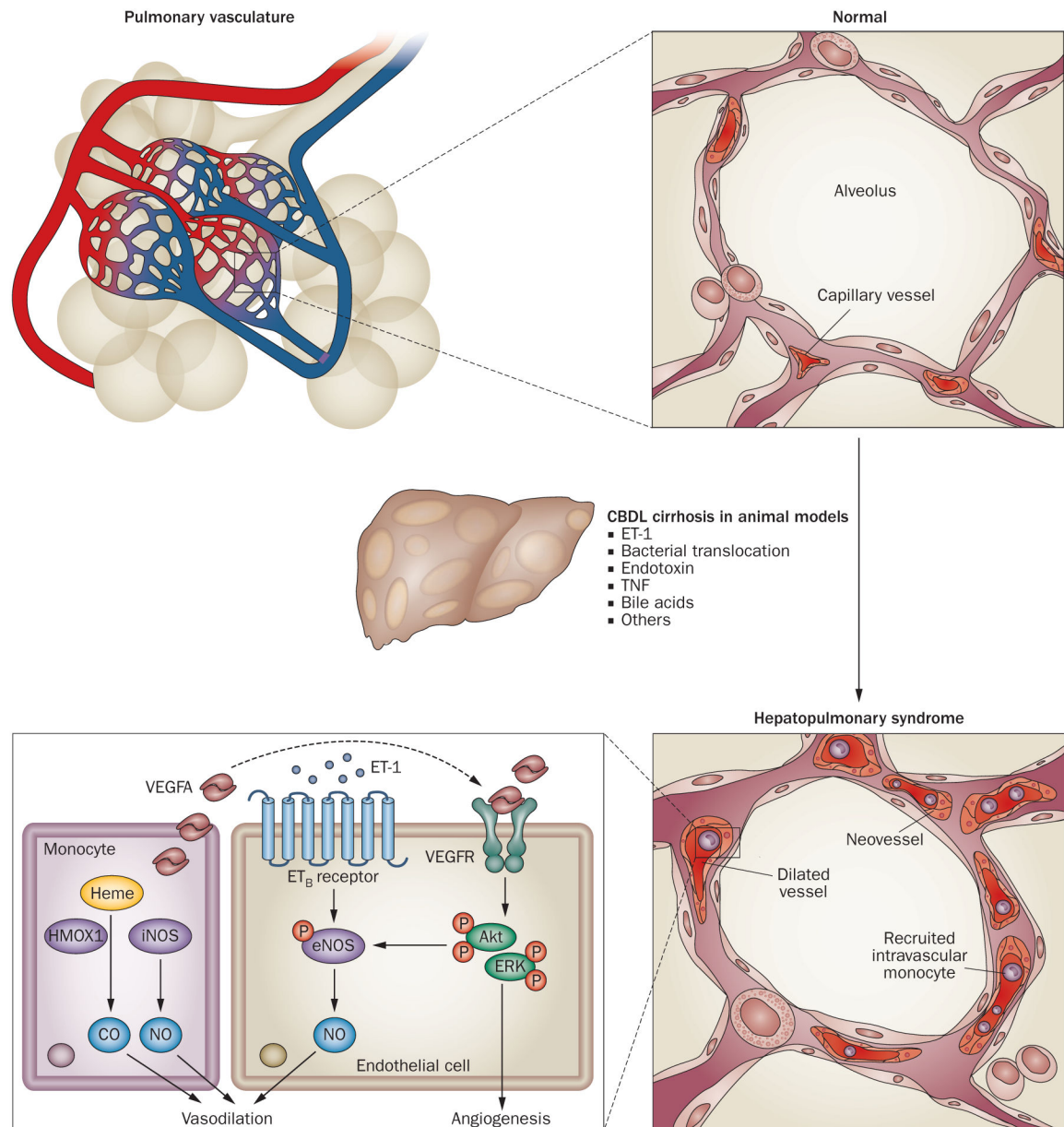


Figure 1.

Working model of pathogenic mechanisms in experimental HPS. The key pathophysiological features of experimental HPS induced by CBDL cirrhosis are pulmonary microvascular alterations, including vasodilation, intravascular monocyte accumulation and angiogenesis. Pulmonary vasodilation is triggered by excessive NO production through ET-1/ET_B receptor-driven eNOS activation and iNOS induction in intravascular monocytes, as well as the altered CO production (caused by altered levels of HMOX1) in monocytes. Moreover, monocytes adhered to the pulmonary vasculature produce growth factors such as VEGFA, which contribute to the development of angiogenesis by activating angiogenic signalling pathways including Akt and ERK in endothelial cells. Abbreviations: Akt, protein kinase B; CBDL, common bile duct ligation; CO, carbon monoxide; eNOS, endothelial

nitric oxide synthase; ERK, extracellular signal-regulated protein kinase; ET-1, endothelin-1; ET_B receptor, endothelin B receptor; HPS, hepatopulmonary syndrome; HMOX1, heme oxygenase 1 (also known as HO1); iNOS, inducible nitric oxide synthase; NO, nitric oxide; TNF, tumour necrosis factor; VEGFA, vascular endothelial growth factor A; VEGFR, vascular endothelial growth factor receptor.

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Table 1

Selected compounds used in studies of experimental and human HPS

Agents	Mechanisms of action	Effects in HPS	
		Experimental	Human
<i>N</i> ^G -nitro-L-arginine methyl ester (L-NAME)	Inhibitor of NO synthesis	Decreases iNOS-mediated NO production in lung intravascular macrophages; ¹⁰⁰ improves intrapulmonary shunting and gas exchange ^{98,100}	Decreases NO production; intrapulmonary shunt and arterial deoxygenation remain unchanged; ⁷⁷ increases arterial oxygen pressure in one case report ¹⁰¹
Methylene blue	Oxidizing agent that blocks NO stimulation of soluble guanylate cyclase	Improves arterial gas exchange and angiogenesis ⁹⁹	Improves intrapulmonary shunt, gas exchange and haemodynamic abnormalities; ^{169,170} worsening of pulmonary gas exchange in a case report ¹⁷¹
Garlic	Unknown, effects might be attributable to an improvement in perfusion ventilation (V/Q) mismatch (redistribution of pulmonary blood flow)	Unknown	Improves intrapulmonary shunts and arterial oxygenation ¹⁴¹⁻¹⁴⁴
Pentoxifylline	Nonspecific phosphodiesterase inhibitor that decreases TNF production	Decreases TNF and NO levels, improves intrapulmonary shunting and gas exchange; ^{96,118,120} inhibits lung intravascular monocyte accumulation and angiogenesis ^{96,118}	Arterial deoxygenation remains unchanged; gastrointestinal toxicity reported; ¹⁴⁵ decreases TNF levels; improves gas exchange ¹⁴⁶
Norfloxacin	Antibiotic	Decreases iNOS-mediated NO production in lung intravascular macrophages; improves intrapulmonary shunting and gas exchange ¹¹⁹	Improves hypoxaemia in a case report; ⁵⁸ no major effect on gas exchange ¹⁴⁰
BQ788	ET _B receptor antagonist	Decreases eNOS and NO production and intravascular monocyte accumulation; improves intrapulmonary shunting and gas exchange ¹⁰⁴	Unknown
Tin protoporphyrin (SnPP)	Inhibitor for HMOX1 activity	Decreases HMOX1-mediated CO production; improves intrapulmonary shunting and gas exchange ⁸⁶	Unknown
Angiostatin/endostatin	Antiangiogenic agents	Decreases eNOS and NO production; inhibits lung intravascular monocyte accumulation and angiogenesis; improves gas exchange ⁹⁶	Unknown
Caffeic acid phenethyl ester (CAPE)	Free radical scavenger	Decreases NO levels and vessel diameter ¹⁷²	Unknown
Quercetin	Dietary flavonoid with antioxidant effects	Decreases lung production of NO, iNOS, receptor; blocks eNOS, HMOX1 and ET _B monocyte accumulation; improves gas exchange and vessel dilation ¹⁷³	Unknown
Gadolinium (GdCl ₃) or clodronate-liposome	Depletion of lung vascular monocytes	Decreases iNOS levels; inhibits dilation and angiogenesis; improves gas exchange ¹⁰⁹	Unknown

Abbreviations: CO, carbon monoxide; eNOS, endothelial nitric oxide synthase; ET_B, endothelin receptor B; HMOX1, heme oxygenase 1; HPS, hepatopulmonary syndrome; iNOS, inducible nitric oxide synthase; NO, nitric oxide; TNF, tumour necrosis factor.