Chromosome-level Subgenome-aware *de novo* Assembly of *Saccharomyces bayanus* Provides Insight into Genome Divergence after Hybridization

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22 Abstract

23 Interspecies hybridization is prevalent in various eukaryotic lineages and plays important roles in 24 phenotypic diversification, adaption, and speciation. To better understand the changes that occurred in the 25 different subgenomes of a hybrid species and how they facilitated adaptation, we completed chromosome-26 level de novo assemblies of all 16 pairs chromosomes for a recently formed hybrid yeast, Saccharomyces 27 bayanus strain CBS380 (IFO11022), using Nanopore MinION long-read sequencing. Characterization of 28 S. bayanus subgenomes and comparative analysis with the genomes of its parent species, S. uvarum and S. 29 eubayanus, provide several new insights into understanding genome evolution after a relatively recent 30 hybridization. For instance, multiple recombination events between the two subgenomes have been 31 observed in each chromosome, followed by loss of heterozygosity (LOH) in most chromosomes in nine 32 chromosome pairs. In addition to maintaining nearly all gene content and synteny from its parental 33 genomes, S. bayanus has acquired many genes from other yeast species, primarily through the introgression 34 of S. cerevisiae, such as those involved in the maltose metabolism. In addition, the patterns of recombination 35 and LOH suggest an allotetraploid origin of S. bayanus. The gene acquisition and rapid LOH in the hybrid 36 genome probably facilitated its adaption to maltose brewing environments and mitigated the maladaptive 37 effect of hybridization.

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40 Introduction

41 It has generally been believed that hybridization between closely related species often leads to inviability 42 and sterility, a phenomenon known as hybrid incompatibility. The Dobzhansky-Muller (DM) model, which 43 proposes that it results from negative epistatic interactions between genes with different evolutionary 44 histories, is a well-regarded explanation for hybrid incompatibility (Dobzhansky 1982; Price et al. 2010). 45 Hybrid incompatibility can act as a reproductive isolating barrier contributing to speciation (Coyne and Orr 46 2004). Additionally, reduced fertility in hybrids can result from abnormal chromosome segregation during 47 meiosis if the parental genomes are divergent (Coyne and Orr 2004). Nevertheless, recent studies show that 48 interspecies hybridization is prevalent in major eukaryotic lineages, particularly in angiosperms and yeasts, 49 and it is believed to contribute to adaptation to novel environments (Langdon et al. 2019; Taylor and Larson 50 2019; Gabaldon 2020; Moran et al. 2021; Suvorov et al. 2022). Given that the exchange of genomic content 51 between species is pervasive, it is important to better characterize the impact of hybridization on evolution 52 of hybrid genomes, which will improve our understanding of the genetic basis underlying the adaptation 53 and divergence of species.

54 The Saccharomyces budding yeast species involved in fermentation of various products is a group of 55 organisms in which hybrids are most commonly found (Langdon et al. 2019; Gabaldon 2020). The 56 allopolyploid genome of Saccharomyces cerevisiae has been extensively studied. The ancestral 57 Saccharomyces lineage experienced a whole genome duplication (WGD) about 100 million years ago 58 (Wolfe and Shields 1997; Kellis et al. 2004). New evidence suggests that the WGD in the Saccharomyces 59 lineage was caused by interspecies hybridization (Marcet-Houben and Gabaldon 2015). Soon after the 60 WGD, there was a period of rapid losses of duplicate genes and only $\sim 10\%$ of WGD ohnologs survived. 61 The retained WGD duplicates are enriched in genes related to glucose metabolism or rapid growth, such as 62 glycolysis genes (Conant and Wolfe 2007), hexose transporters (Lin and Li 2011), and ribosomal protein 63 genes (Mullis et al. 2019). These studies suggested that the WGD or hybridization event played a significant 64 role in the adaptation of Saccharomyces species toward aerobic fermentation (Kellis et al. 2004; Thomson

et al. 2005; Conant and Wolfe 2007; Lin and Li 2014) and speciation events (Scannell et al. 2006). These
studies improved our understanding of the biological significance of interspecies hybridization in speciation
and adaptation.

68 At the genomic level, questions related to what occurred to the genome after a recent allopolyploidy 69 event, such as the earliest genome rearrangements, the mechanisms of gene loss, recombination between 70 subgenomes, and loss of heterozygosity, are not completely understood (Morales and Dujon 2012). The 71 ancient hybridization events, such as the WGD in the ancestral Saccharomyces lineage, may not be useful 72 to address these questions as most duplicate genes have been lost. In addition to the ancient hybridization 73 event, recent interspecific hybridization is prevalent in the Saccharomyces lineage as they are used to 74 produce fermented beverages (Langdon et al. 2019). The genomes of these recently generated hybrid 75 genomes may serve as ideal systems to study how genomes evolve after hybridization and contributed to 76 adaptation to specific niches. For instance, S. pastorianus, which is an interspecies hybrid between S. 77 *cerevisiae* and *S. eubayanus*, is widely used for brewing lager style beers under low temperature in Europe 78 (Libkind et al. 2011). Some chromosomes in S. pastorianus strains may have 5 copies, suggesting its highly 79 aneuploid nature (van den Broek et al. 2015; Gorter de Vries et al. 2017). The chromosome-level assembly 80 for S. pastorianus strain CBS 1483, based on MinION long-read sequencing, enables the assembly and 81 exploration of the unstable subtelomeric regions, which contain industrially-relevant genes such as the 82 MAL genes (Salazar et al. 2019).

83 Saccharomyces bayanus is another interspecies hybrid yeast commonly found in industrial brewing 84 environments, but it is viewed as a contaminant in some brewing processes due to the production of 85 undesired byproducts (Rainieri et al. 2003). The taxonomic classification of S. bayanus has been a 86 controversial process (Hittinger 2013). Thanks to the discovery of a wild species S. eubavanus (Libkind et 87 al. 2011), it is now commonly accepted that S. bayanus is a hybrid between S. uvarum, and S. eubavanus 88 (Perez-Traves et al. 2014; Peris et al. 2014). S. bayanus isolates are highly heterogeneous in genetic and 89 metabolic characteristics, probably resulting from many independent hybridization events between S. 90 eubayanus and S. uvarum, creating many different strains (Rainieri et al. 2006; Libkind et al. 2011; Langdon

91 et al. 2019). Genome sequencing using Illumina has been carried out for over 40 S. bayanus strains, such 92 as CBS 380, NCAIM 676, FM1309 and NBRC1948 (Libkind et al. 2011; Almeida et al. 2014; Langdon et 93 al. 2019). Mapping Illumina reads to different Saccharomyces species showed that the contributions of 94 genome content from S. uvarum and S. eubayanus are highly variable among S. bayanus strains. 95 Specifically, the genome content deriving from S. uvarum ranges from 36.6% to 98.8% (Langdon et al. 96 2019). In addition, small introgressed regions from S. cerevisiae are present in some S. bayanus strains 97 (Nguyen et al. 2011). However, due to the limitation of Illumina short reads, these S. bayanus genome 98 assemblies are fragmented.

99 A chromosomal-level subgenome assembly of *S. bayanus* is expected to provide much more detail in 100 the genome evolution following a recent allopolyploidy event. In this study, we sequenced the genome of 101 S. bayanus strain CBS 380 (BY20106, IFO11022) using the Nanopore MinION. The strain CBS 380 is the 102 most representative isolate of S. bayanus, which has been widely used in many studies (Libkind et al. 2011; 103 Nguyen et al. 2011; Caudy et al. 2013; Perez-Traves et al. 2014). We generated chromosome-level 104 subgenome assemblies based on MinION reads and characterized the evolution of genome structure and 105 gene content. Our results show that S. uvarum contributed to over 60% of the hybrid genome. Many 106 chromosomes exhibit mosaic segments of different origins, suggesting multiple recombination events 107 occurred between the two subgenomes after hybridization. Rapid loss of heterozygosity (LOH) following 108 hybridization was also observed, resulting in over 56% of the genome regions becoming homozygous. 109 Introgression from a third species, S. cerevisiae, was also detected, contributing to the expansion of maltose 110 metabolism genes in the S. bayanus genome. These observations provide detailed examples illustrating how 111 genome evolved immediately after hybridization occurred, improving our understanding of genetic basis 112 of a hybrid species' survival by overcoming hybrid incompatibility.

113

114 **Results**

115 MinION sequencing, ploidy analysis, and parental inference of *S. bayanus* CBS 380 genome

116 It is well accepted that S. bayanus arose from interspecies hybridization between two closely related 117 Saccharomyces sensu stricto yeast species S. uvarum and S. eubayanus (Figure 1A). To confirm the ploidy 118 levels of the S. bayanus CBS 380 strain, we assessed its relative genomic DNA content by fluorescence 119 flow cytometry analysis using a haploid yeast strain S. bayanus YJF1450 as a control (Figure 1B). Dual 120 peaks of fluorescence were observed in both strains, with the first peak indicating the DNA content of G1 121 phase and the second peak showing DNA content after DNA synthesis (G2/M phase). As shown in Fig 1B, 122 the relative genomic DNA content in G1 phase of S. bayanus CBS 380 is similar to the G2/M phase the 123 haploid control S. bayanus YJF1450, confirming that two sets of chromosomes are present in S. bayanus 124 CBS 380.

125 Sequencing of S. bayanus CBS 380 with Oxford Nanopore's MinION yielded 2.2 gigabase pairs 126 (Gb) of data (~170x coverage), with 2.04 Gb passing quality control (Supplemental Figure S1). Among 127 these, 100 reads exceeded 100 kilobase pairs (Kb) with the longest extending to 158,255 base pairs (bp). 128 We hypothesized that most of our reads would map to the suspected parental species, S. eubavanus and S. 129 *uvarum*, while fewer, if any, reads would map to the other more distantly related species. We used sppIDer 130 (Langdon et al. 2018), which maps sequencing reads to the reference genomes of multiple species of 131 interest, to validate the strain sequenced as a hybrid of S. eubayanus and S. uvarum, and to determine the 132 relative genetic contribution by each parent. The genomes of S. uvarum (CBS 7001), S. eubayanus (FM 133 1318), S. cerevisiae (BY 4742), S. mikatae (IFO 1815), S. kudriavzevii (IFO1802), S. arboricola (ZP960) 134 and S. paradoxus (CBS 432), were used as reference genomes for read mapping. The majority of the reads 135 mapped to S. eubayanus and S. uvarum, as expected, with 39.6% mapping to S. eubayanus and 58.93% 136 mapping to S. uvarum. Of the remaining, 5.52% mapped to S. cerevisiae, 2.67% to S. mikatae and 2.14% 137 to S. paradoxus (Figure 1C). This confirms the identity of our sequenced strain as S. bayanus, a hybrid of 138 S. eubayanus and S. uvarum.



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140 Figure 1. S. bayanus CBS 380 has a diploid genome, resulting from a hybridization event between S. uvarum and S. 141 eubayanus. (A) Schematic illustration of evolutionary relationships among S. bayanus and closely related species. (B) 142 Ploidy analysis by flow cytometry of S. bayanus. The top histogram shows cell count of S. uvarum YJF1450 and the 143 bottom histogram is CBS 380. The x-axis indicates the amount of DNA that is stained by propidium iodide. The green 144 line shows the DNA amount of the G1 phase of the YJF1450 cells (one copy of haploid genome, 1C). The red line 145 shows the G2 phase of the YJF1450 (two copies of haploid genome, 2C) and the G1 phase of the CBS 380 (one copy 146 of diploid genome). The blue line indicates the G2 phase of the CBS 380 (two copies of diploid genomes, 4C). (C) 147 sppIDer results show that most reads from our S. bayanus sequencing are mapped to either S. eubavanus or S. uvarum, 148 confirming the species we sequenced is a hybrid of S. eubayanus and S. uvarum.

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150 De novo assembly and subgenome phasing

151 Our genome assembly process examined several tools, detailed in the methods section and in Supplemental

152 Table S1, to address the challenges posed by the diploid nature of the target organism. Among the various

153 tools tested, Flye stood out by producing a collapsed-consensus assembly with the highest quality, as

- 154 reflected in a 96.6% completeness score according to BUSCO analysis. This high score indicates a
- 155 successful capture of the genomic features we aimed to assemble.

156 Given the diploid nature of our target organism, we aimed to separately assemble the two 157 subgenomes, diverging from traditional methods that generate a single, collapsed consensus sequence. 158 Using the MinION platform's long reads, we produced separate and accurate assemblies for each 159 subgenome. Among the methods employed, phasing the Flye collapsed-consensus assembly via the 160 Whatshap pipeline proved the most successful at constructing a high-fidelity diploid genomic 161 representation of S. bayanus CBS 380 (Patterson et al. 2015). Post-assembly correction and polishing 162 resulted in a robust genomic structure ready for further analysis (Table 1). To address the inherent 163 complexity of the diploid genome of S. bayanus CBS 380, our methodology successfully assembled two 164 distinct subgenomes, technically designated as haplotype-a and haplotype-b, allowing for a sophisticated 165 analysis of the dual genome architecture (Table 1 and Supplemental Table S2). These precise subgenome 166 reconstructions paved the way for gene prediction and other evolutionary insights, with the phased variant 167 calls described in detail in the Materials and Methods.

168

169 Table 1. Assembly statistics for the S. bayanus genome and subgenome grouping. The table details the genomic 170 assembly metrics for the S. bayanus species, including the total genome and two subgenomes, Haplotype-a and 171 Haplotype-b, along with the mitochondrial genome. As ancestral subgenomes cannot be directly inferred, homologous chromosomes have been categorized into two hypothetical subgenomes to facilitate analysis.

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		Total genome excluding mtDNA	Subgenome gi	Mitochondrial	
			Haplotype-a	Haplotype-b	DNA (mtDNA)
	Genome Size (bp)	23,484,151	11,829,624	11,654,527	64,655
	# of Sequences	32	16	16	1
	Largest (bp)	1,292,201	1,292,201	1,163,801	64,655
Assembly	Smallest (bp)	208,383	217,795	208,383	64,655
	Mean (bp)	733,879	739,352	728,408	64,655
	N50 (bp)	912,922	912,922	919,249	64,655

GC (%)

40.1

40.1

16.23

40.1

	N Count	300	100	200	0
Annotation	Genes	11,545	5,737	5,808	20
	CDS	12,789	6,318	6,471	8

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176 Genome annotation

Evidence based prediction and annotation of protein-coding genes for each subgenome/haplotype of *S. bayanus* CBS 380 was carried out using the GALBA pipeline (Bruna et al. 2023). The pipeline is perfectly suited to our use case, given its capability to leverage high-quality protein sequences from closely related species. The output revealed a total of 11,547 protein-coding genes identified across both haplotypes, with 5,737 genes in haplotype a and 5,808 genes in haplotype b (Table 1 and Figure 2). The variation in gene count between the two haplotypes is in direct proportion to their chromosomal lengths.

The completeness of the genome annotation was accessed by BUSCO based on saccharomycetes_odb10 database, which indicates a high degree of completeness (2095 of 2137, 98%). As the BUSCO analysis was based on both haplotype assemblies, most genes are expected to have two copies. As a result, 86.2% of genes (1,843) were classified as duplicates, while only 11.8% (252) were identified as unique single-copy genes. Only 13 genes (0.6%) from the saccharomycetes_odb10 gene set were absent from our predicted list. The genome annotation, CDS, and protein sequences are available at https://github.com/BioHPC/Saccharomyces-bayanus.

Functional annotation of the predicted genes was conducted using Eggnog-mapper (Cantalapiedra et al. 2021), which assigned key functional information, such as descriptions of biological functions, orthologous genes in *S. cerevisiae*, Gene Ontology, KEGG pathway and Pfam domains, to 10,985 genes, accounting for 95.1% of the total identified genes (Supplemental Table S3). The combination of functional annotation and BUSCO assessments confirms that our annotation results are comprehensive, providing a solid foundation for our further analysis.

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Figure 2. Circos plot representing the 16 pairs of chromosomes of the *S. bayanus* genome, showing different genomic
 features across four concentric circles. The outermost circle represents the karyotype of the *S. bayanus* genome for
 two haplotypes, with the right part representing haplotype-a and the left part representing haplotype-b. The second
 outermost circle represents the GC content on each chromosome of the genome. The third circle provides information
 on gene density within the chromosomes. The innermost circle highlights synteny blocks between haplotypes,
 illustrating regions of genetic similarity and divergence between haplotype-a and haplotype-b.

206 Inference of parental genomic regions

207 To identify the major genomic events that have occurred in the S. bayanus genome since hybridization,

208 including recombination, chromosomal rearrangements, and loss of heterozygosity, we first used two

- approaches to determine the origin of genomic regions in the hybrid genome. Our first approach is based
- 210 on BLAST searches of non-overlapping blocks of 5,000 bp for every chromosome against the genomes of
- 211 *S. eubayanus* and *S. uvarum* (see Methods and Materials). In brief, the origin of each genomic block was

212 determined by its best hit of BLAST search. As illustrated in Figure 3A, each haplotype chromosome 213 contains regions that originated from both S. uvarum and S. eubayanus, suggesting the recombination 214 between the two orthologous chromosomes of the two subgenomes, creating mosaic chromosomes 215 composed of genomic regions of heterozygous origins. However, the proportions of each subgenome vary 216 substantially across different chromosomes. For instance, segments of S. eubayanus origin make up 81% 217 of Chr IVa, whereas they make up only 14% of Chr IVb. In addition, nine of the 16 chromosome pairs 218 have a high degree of homozygosity, meaning that the genomic origin and recombinants are very similar 219 between haplotypes a and b, showing that heterozygosity was quickly lost after hybridization.

220 To confirm the robustness of the results based on the BLAST method, we employed an approach 221 based on the degree of divergence of synonymous sites in protein-coding regions, as it is generally assumed 222 that synonymous mutations are selectively neutral (see Methods and Materials). To summarize, the method 223 first compared rates of synonymous substitution (Ks) between the two alleles of S. bayanus and then 224 between orthologous genes from the three species. We then determined if an allele in S. bayanus is more 225 similar to its orthologous gene in S. uvarum or in S. bayanus. We identified a total number of 5,497 226 orthologous groups from the three species using OrthoFinder (Emms and Kelly 2019) (Supplemental 227 Materials). The distribution of Ks between all pairs of alleles in S. bayanus shows two distinct peaks 228 (Supplemental Figure S2). The left peak, which consists of lower Ks values, represents sequence divergence 229 between two homozygous alleles (alleles originated from one single parental genome). In contrast, the right 230 peak contains higher Ks values that were obtained from two alleles originated from different parental 231 genomes (heterozygous origins). Consistently, the distribution of Ks values between orthologous genes 232 between the two parental genomes largely overlaps with the right peak of Ks values in S. bayanus 233 (Supplemental Figure S2). Next, we calculated Ks values between an allele from S. bayanus and its 234 orthologous gene in S. uvarum (Ksu) and S. eubavanus (Kse) respectively. The origin of each allele was 235 then determined by comparing Ksu and Kse to the two Ks peaks. The results of genomic origin obtained 236 based on our Ks method are highly consistent with the BLAST method (Supplemental Figure 3), supporting

237 the occurrence of multiple recombination events on most chromosomes and rapid loss of heterozygosity



238 after interspecies hybridization.





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241 Figure 3. The origin and evolution of S. bayanus chromosomes. (A) Origin of genomic regions of each chromosome 242 in the S. bayanus genome based on BLAST searches of non-overlapping 5,000 bp blocks. Genomic Regions that 243 originated from S. eubayanus are shown in blues, while regions inherited from S. uvarum are shown in red. (B) 244 Synteny block of Chromosome VI and X between S. cerevisiae, S. ubayanus, both S. bayanus haplotypes, S. uvarum 245 strain CBS 7001, S. uvarum strain ZP964. (C) An evolutionary model of S. bayanus chromosomes. For simplification 246 purposes, only four chromosomes are shown, representing different patterns of chromosome 247 inheritances. Translocation between Chr VI and X occurred in S. eubayanus prior to its hybridization with S. bayanus. 248 Recombination and whole genome duplication occurred in the hybrid S. bayanus genome. Subsequent genome

reduction by chromosome losses, created some heterozygous chromosomes, such as Chr III, and some homozygouschromosomes, such as Chr XI.

251

252 A model of allotetraploid origin of *S. bayanus*

253 We found distinct differences in the lengths of chromosomes VI and X between the two subgenomes 254 (haplotypes) of S. bayanus CBS380 (Figures 2 and 3A, Supplemental Table S2). Specifically, Chr VIa is 255 ~277k bp longer than Chr VIb (544k vs. 267k), while Chr Xa is ~244k bp shorter than Chr Xb. Our analysis 256 of syntenic regions between the two haplotypes shows that a significant portion of Chr VIa has syntenic 257 regions to Chr Xb. These observations suggest that a translocation occurred between Chr VI and X. Next, 258 we sought to determine whether the translocation was from Chr VI to Chr X or visa versa, and whether the 259 translocations occurred in the parent genomes or after hybridization. The answers to these questions are 260 key to better understanding how hybrid S. bayanus arose, and the mechanism by which heterozygosity is 261 rapidly lost on most chromosomes.

262 To investigate the direction and timing of the translocation event, we conducted a detailed 263 examination of all available genomes of S. uvarum and S. eubayanus strains from the NCBI database. Our 264 results showed that the patterns of chromosome lengths in all S. eubayanus strains were consistent with 265 those observed in S. cerevisiae, i.e., a short chromosome VI and a long chromosome X (Figure 3B). In 266 contrast, heterogenous lengths of Chr VI and Chr X are observed among S. uvarum strains (Figure 3B). For 267 instance, S. uvarum ZP964 has similar lengths of Chr VI and Chr X to those of S. eubavanus and S. 268 cerevisiae. In contrast, S. uvarum CBS 7001 has a much longer Chr VI, but much shorter Chr X, similar to 269 the haplotype a in S. bayanus (Figure 3B). Gene collinearity analysis of the two chromosomes among these 270 species further showed that the translocation occurred only once in the lineage of S. uvarum CBS 7001 271 prior to hybridization. S. bayanus inherited translocated Chr VI and Chr X from a parental species that is 272 closely related to S. uvarum CBS 7001. These results also support that the translocation was generated by 273 exchanging ~270 KB segment at the left end of Chr X with ~30 KB region at the right end of Chr VI (Figure 274 3B).

275 Our analysis of origins of genomic regions in S. bayanus demonstrates that only seven pairs of 276 chromosomes maintained heterozygous status, and loss of heterozygosity occurred to other chromosome 277 pairs (Figure 3A). Several genetic mechanisms have been proposed to explain the LOH after hybridization, 278 such as whole-genome duplication followed by chromosome loss, duplication or loss of individual 279 chromosomes, and gene conversion (Marcet-Houben and Gabaldon 2015; Wolfe 2015; Wertheimer et al. 280 2016). Duplication and loss of individual chromosomes often result in chromosomal aneuploidies. 281 However, we did not observe obvious chromosomal aneuploidies in S. bayanus based on read depth of 282 most, if not all, chromosomes. In addition, the track length of gene conversion is usually limited, which is 283 not supported by our observations that the track length of LOH covers almost entire chromosomes. 284 Furthermore, the locations of recombination events are very similar between haplotypes in most 285 chromosomes, such as Chr VIII, Chr XI, and Chr XII (Figure 3A). Based on these observations, it is mostly 286 parsimonious to propose that the hybrid alloploid genome may have undergone duplication without cell 287 division (non-disjunction), resulting in a temporary allotetraploid genome. Subsequence loss of 288 chromosomes may have occurred to the allotetraploid genome, resulting in haploid status (Figure 3C). 289 Therefore, the allotetraploid origin of S. bayanus is similar to the evolutionary history of S. pastorianus 290 (Dunn and Sherlock 2008; Nakao et al. 2009; Libkind et al. 2011).

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Evolution of gene content after hybridization and expansion of genes involved in maltosemetabolism

To better understand the evolution of gene content after hybridization, we carried out further analyses on the 5,497 orthologue groups (OG) in *S. bayanus* and its parental species *S. uvarum* and *S. eubayanus*. A total number of 5,412 unique OGs are present in the two parent genomes. 5,389 of them (99.6%) are also present in *S. bayanus*, suggesting that gene loss in *S. bayanus* is very limited after hybridization (Figure 4A). Interestingly, 85 OGs (195 genes) are only present in the genome of *S. bayanus*. Given that ~5% of

299 MinION reads were specifically mapped to S. cerevisiae, we speculated that these genes were likely

300 originated from introgression events of *S. cerevisiae* as proposed in a previous study (Nguyen et al. 2011).



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Figure 4. The evolution of gene content in the hybrid genome of *S. bayanus* CBS 380. (A) A Venn diagram showing
 the numbers of shared and species-specific orthologous groups (OGs). (B) Evolutionary changes of the three MAL
 gene families in nine OGs in the Saccharomyces sensu stricto members and *Nakaseomyces glabratus*. Increased gene
 copy numbers were observed in each MAL gene family in *S. bayanus*. (C) A phylogenetic tree of the MALR gene

family suggests introgression of MALR genes in *S. bayanus*. (D) A phylogenetic tree of the MALT gene family
 suggests that all MALT genes in *S. bayanus* were likely acquired by introgression events from other species.

309 Among the 5,104 OGs present in all three species, 4,065 OGs (79.6%) exhibit a 1:1:2 ratio, indicating 310 conservation of gene copy numbers in all three species. It is worth noting that 200 of these OGs contain 311 more than two copies of genes in the diploid genome of S. bayanus, suggesting expansion of gene copy 312 number in S. bayanus. Nine of these expanded OGs are involved in maltose/maltotriose utilization (Figure 313 4B). S. bayanus is mainly found in brewing environments and maltose is the most abundant sugar ($\sim 60\%$) 314 in brewer's wort (Magalhaes et al. 2016). Therefore, the expansion of genes involved in maltose or 315 maltotriose utilization (MAL genes) might have facilitated the adaptation of S. bayanus to maltose-rich 316 environments.

MAL genes were classified into three families based on their functions, including maltose 317 318 transporter (MALT), enzymes that break down maltose (MALS), and genes that regulate the expression of 319 the pathway (MALR). These genes are often organized into clusters and located near the ends of 320 chromosomes (subtelomeric). To elucidate the origins and expansion of MAL genes in S. bayanus, we first 321 identified all MAL genes in S. bayanus and eight other Saccharomyces species. The total numbers of MAL 322 genes vary substantially among non-hybrid species, ranging from 0 in Nakaseomyces glabratus, to 19 in S. 323 *mikatae* (Figure 4B). The diploid genome of S. bayanus contains a significantly higher number of MAL 324 genes (32 in total) compared to 7 in S. uvarum and 6 in S. eubayanus (Figure 4B), suggesting a significant 325 expansion in copy numbers of MAL genes in S. bayanus after hybridization. This is particularly noticeable 326 that the MALS gene family was increased from 3 and 6 in their parental species genomes to 20 in S. 327 bayanus.

To infer the origin and mechanism of MAL gene expansion in *S. bayanus*, we carried out phylogenetic analyses for each of the three MAL families using their amino acid sequences (Figure 4C-D, Supplemental Figure S4). Based on the tree topology, we found that the majority of the MAL genes in *S. bayanus* were not inherited directly from its parental genomes. Instead, these genes seem to be likely

332 acquired through introgression from other species, mostly from S. cerevisiae, followed by multiple gene 333 duplication events. For example, 6 copies of MALR genes are present in S. bayanus. Only one MALR gene 334 (MAL63-like) is group with S. eubayanus, while the other five form a well-supported clade that is closely 335 related to MAL33-like genes in S. cerevisiae and S. paradoxus (Figure 4C), suggesting multiple rounds of 336 gene duplication to MAL33-like genes after acquisition of MAL33-like genes from ancestral S. cerevisiae 337 or S. paradoxus. Similarly, none of the seven MALT genes was grouped with either S. eubavanus or S. 338 uvarum (Figure 4D). In the case of MALS genes, a total number of 20 MALS genes are found in S. bayanus, 339 and only 8 of them appear to be originated from S. eubavanus, and expanded by gene duplication. Similar 340 to other Saccharomyces species, most MAL genes in S. eubayanus also form clusters and reside in 341 subteleomeric regions (Supplemental Figure S5).

We noticed that none of *S. bayanus* MAL genes were inherited from *S. uvarum* (Figure 4C-D, Supplemental Figure S4). It suggests that there was a preferred retention of MAL genes inherited from *S. eubayanus* or a preferred loss of MAL genes inherited from *S. uvarum*. Given that *S. uvarum* has contributed over 60% of the genetic makeup of *S. bayanus*, the strong exclusion of *S. uvarum* MALs genes in the *S. bayanus* genome were unlikely due to random events. One possibility is that MAL genes from *S. uvarum* might imposed selective disadvantages under maltose-rich brewing environments. Future studies on the growth effects of *S. uvarum* MAL genes may provide new insights into the biased retention of MAL genes.

350 **Discussion**

We present the first chromosome level subgenome assembly and annotations of the hybrid yeast, *S. bayanus* (CBS 380) which will serve as an excellent reference for future studies of this important yeast and other yeast strains. The assembly was completed using only Oxford Nanopore technology on a single MinION flow cell. Thus, we show the utility of high read depth sequencing, that is available for moderate costs using this technology. We assessed the assemblies from fifteen different *de novo* assembly pipelines, all run on relatively modestly equipped computer workstations, and concluded that the Flye method outperformed the

others in producing an assembly with the fewest contigs and high N50 scores. The successful application
of the GALBA pipeline allowed for high-fidelity annotation of the two subgenomes, revealing a total of
11,547 protein-coding genes and confirming the completeness of our genome assembly with a high BUSCO
score. This type of sequencing can be carried out in most laboratories without previous sequencing
experience or high-performance computational resources.

362 Through a dual approach involving BLAST searches and synonymous site divergence, we traced 363 recombination events and chromosomal rearrangements that describe the history of S. bayanus after 364 hybridization. In particular, the discovery of mosaic chromosomes with heterozygous origins in S. uvarum 365 and S. eubayanus speaks to the dynamic evolutionary past of this species. Our data suggest a rapid loss of 366 heterozygosity, which can be attributed to multiple genetic mechanisms, including a proposed transient 367 polyploid phase and selective chromosome loss. This model not only parallels the evolutionary history of 368 related species such as S. pastorianus, but also provides a plausible explanation for the observed genome 369 organization.

Although the majority of genes in *S. bayanus* maintained their copy numbers, large copy number variations were found in the three MAL families. In addition to direct transmission from parent species, our phylogenetic analysis suggested that many of them were acquired from other yeast species, mostly from *S. cerevisiae*. Subsequent gene duplication events on MAL genes further increased its copy number. It is reasonable to postulate that the introgression and duplication of MAL genes provide selective advantages in maltose-rich brewing environments.

Despite *S. bayanus* inheriting most chromosomal segments from *S. uvarum*, none of the MAL genes
in *S. bayanus* were traced to its *S. uvarum* progenitor. It is unlikely due to random loss of *S. uvarum* copies.
One possibility is that *S. eubayanus* MALs genes are more efficient or provide more selective advantages
than those of *S. uvarum*. Further studies can be performed to examine the functional differences of these
MAL genes in maltose metabolism between the two species, which could provide new valuable information
for improving industrial brewing using maltose-rich materials.

382

383 Materials and Methods

384 Yeast strain, growth condition, genomic DNA isolation

385 Saccharomyces bayanus CBS 380's cells were grown on YPD medium (1% yeast extract, 2% peptone, and 386 2% glucose) at 30 °C for 16 hours. Extraction of high molecular weight genomic DNA (HMW gDNA) 387 from S. bayanus cells was carried out by following a protocol described by Denis et al (Denis E 2018). In 388 brief, S. bayanus cell wall was first lysed with Zymolyase (MP Biomedicals). Spheroplasts were then 389 collected and resuspended in SDS buffer with RNase A. Proteins were precipitated and removed with 390 potassium acetate and centrifugation. The supernatants were used to precipitate DNA with isopropanol. 391 DNA pellet was then washed with 70% ethanol and dissolved in TE buffer. The quality and quantity of the 392 extracted DNA were determined using Qubit (Invitrogen). HMW gDNA was sheared into 20kb fragments 393 using g-TUBE (Covaris Inc).

394

395 Determination of ploidy

396 We performed a flow cytometry analysis to determine the ploidy of the S. bayanus CBS 380 following the 397 protocol (Todd et al. 2018). We also used a haploid S. uvarum strain YJF1450 (MAT α ho Δ ::NatMX, 398 derived from CBS 7001, a gift from J. Fay lab at Rochester University) as a control. Briefly, yeast cells 399 were grown to log-phase (OD = 0.3) in YPD medium on a shaker platform at 30 °C by rotation at 225 RPM. 400 Then, cells were fixed in 70% ethanol at 4 °C overnight and then sonicated to separate cells. After RNase 401 A (0.5 mg/ml) treatment for 2 hours, the cells were stained with 25 µg/ml of propidium iodide at 4 °C 402 overnight. Finally, the stained cells were analyzed using BD Accuri C6 Plus and the data were analyzed in 403 FlowJo v10.8.1.

404

405 MinION library preparation and sequencing

406 HMW gDNA were then used to prepare MinION sequencing library using the Nanopore Rapid Sequencing

407 Kit (SQK-RAD004) following the manufacturer's instruction. Briefly, the sample mix was prepared with

408 7.5 µl template DNA (~2µg) and 2.5 µl fragmentation mix and incubated at 30°C for 1 min and then at 409 80 °C for 1min. 1 µl Rapid Adapter was added to the sample mix and incubated for 5 min at room 410 temperature. Priming mix was prepared by adding 30 µl of Flush Tether and Flush Buffer. The priming mix 411 was loaded into the flow cell via the priming port. Sequencing mix was prepared with DNA sample mix 412 and was loaded to the flow cell via the SpotON sample port.

413

414 Adapter removal

415 Porechop v0.2.4 (Wick et al. 2017) was used for adapter identification and removal using default thresholds.

416 In all, 179,725 reads had adapters trimmed from their start (15,472,707 bases removed), and 778 reads were

- 417 split based on middle adapters. (Supplemental Figure 1). A full list of commands and parameters is available
- 418 in the Supplemental Materials.
- 419

420 Genome assembly, post-assembly correction, and genome polishing

421 Draft collapsed-consensus assemblies were generated using Canu v2.2 (Koren et al. 2017), Flye v2.9 422 (Kolmogorov et al. 2019), Wtdbg2 v2.5 (Ruan and Li 2020), NECAT v0.0.1 (Chen et al. 2021), 423 SMARTdenovo v1.0.0 (Liu et al. 2021), NextDenovo v2.5.0 (NextOmics 2021), Raven v1.8.0 (Vaser et al. 424 2017), and Ra v0.2.1 (Vaser 2019), with both uncorrected and Canu corrected and trimmed reads 425 (Supplemental Table 1). These methods were executed on a general workstation-level computer (36 cores 426 and 128GB memory), demonstrating the feasibility of ONT-based de novo assembly for small genomes in 427 modestly equipped laboratories.

428

429 Complete subgenome-aware de novo genome assembly

Given the diploid nature of our target organism, we aimed to generate a diploid-level representation of eachchromosome. We employed long-read sequencing to facilitate the generation of full-length, phased

haplotype *de novo* assemblies, using a suite of assembly tools, as detailed below and in the Supplemental

433 Material.

434 Haplotype-aware de novo genome assembly

We experimented with haplotype-aware assembly methods such as Flye (with haplotype preservation enabled) (Kolmogorov et al. 2019), Shasta (Shafin et al. 2020), Phasebook (Luo et al. 2021), and CanuTrio (which organizes reads into haplotype-specific bins before assembly) (Koren et al. 2017). These approaches did not yield high-quality assemblies that were both contiguous and reflective of the expected genome size, leading to their exclusion from analysis.

440 Phasing-based diploid genome assembly

441 To tackle the complexities of S. bayanus CBS 380's diploid genome, we undertook a phasing-based 442 assembly strategy, leveraging the long reads generated from Oxford Nanopore's MinION platform. Prior 443 to phasing, the purge dups pipeline was used to remove haplotype duplication in the primary assemblies 444 (Guan et al. 2020). To construct a phased diploid genome assembly, we first called variants using Claire 445 (Zheng et al. 2022). The variant calls were processed through the WhatsHap pipeline which exploits the 446 connectivity between heterozygous variants within individual reads to generate phased haplotypes 447 (Patterson et al. 2015). To generate a haplotype-specific genomic representation, we used BCFtools 448 'consensus' followed by WhatsHap manual. This allowed us to extract the separate FASTA representations 449 for each haplotype, effectively translating the phased information into a coherent, usable format for further 450 analysis. BUSCO was used to assess the assembly's completion (Simao et al. 2015). A comprehensive list 451 of commands and parameters, along with the phased variant calls, are accessible in the Supplemental 452 Materials, offering a resource for future genetic and evolutionary studies.

453 *Genome correction and polishing*

For assembly correction and polishing, the raw ONT sequencing reads were split via the `whatshap split` subcommand to segregate the set of unmapped reads according to their haplotypes. This generated two distinct FASTQ files, each corresponding to one of the haplotypes identified within the sample. The assembled contigs were then passed to a series of correction and polishing steps to enhance their accuracy,

458 utilizing Racon (v1.4.3) (Vaser et al. 2017) and Medaka (v1.9.1) (https://github.com/nanoporetech/medaka) 459 for error correction and sequence improvement. This correction process was executed separately for each 460 haplotype, utilizing their respective reads. A total of four iterative rounds of correction were iteratively 461 performed with Racon for each haplotype. This cycle involved mapping the haplotype-resolved reads to 462 the assembled contigs using Minimap2 (using the ONT-specific `-x map-ont` option), followed by Racon-463 based correction to refine assembly quality progressively. After completing the Racon correction cycles, a 464 final round of polishing was conducted using Medaka. This step uses a neural network-based approach to 465 correct consensus sequence errors, further enhancing the accuracy of the assembled haplotypes.

466

467 Genome annotation

468 We employed the GALBA pipeline to annotate protein-coding genes for the assembled nuclear genome 469 (Bruna et al. 2023). Specifically, we used amino acid sequences from Saccharomyces cerevisiae, 470 Saccharomyces uvarum, and Saccharomyces eubayanus as inputs. These protein sequences were aligned 471 to both subgenomes of S. bayanus using the Miniprot (Li 2023), followed by gene annotation using 472 AUGUSTUS (Stanke et al. 2006). The output GTF files were processed using AGAT 473 (https://github.com/NBISweden/AGAT) for format cleaning and conversion. The completeness of the gene 474 annotation was evaluated using the BUSCO (version: 5.5.0) (Simao et al. 2015), employing the 475 saccharomycetes odb10 database for assessment. For functional annotation of predicted genes, we utilized 476 the web version of eggnog-mapper (Cantalapiedra et al. 2021) to upload the S. bayanus protein files. All 477 other parameters were retained as default settings.

478

479 Ancestral inference of *S. bayanus* using a BLAST-based approach

To infer the ancestral parentage of the hybrid yeast, we conducted a comparative genomic analysis using a
custom script that performs local BLAST (Camacho et al. 2009) homology searches (see Supplemental
Materials). The hybrid yeast genome was segmented into consecutive, non-overlapping chunks of 5,000

base pairs, which were then individually compared against the genomes of the two parental strains using the BLAST algorithm. This approach allowed for the identification of the closest matching regions between the hybrid and each parent genome, based on the highest bitscore values obtained from the BLAST results. The bitscore, serving as a measure of sequence similarity, was selected as the primary criterion for parental inference. A score threshold of 100 bits was set to distinguish between significant and non-significant matches, thereby facilitating the identification of the most probable ancestral parent for each genomic segment of the hybrid yeast.

490

491 Inference of gene origin in *S. bayanus* based on similarity of synonymous sites

To delineate the evolutionary lineage of genes within *S. uvarum*, *S. eubayanus*, and *S. bayanus*, we initially conducted an OrthoFinder (Emms and Kelly 2019) analysis on the coding sequence (CDS) datasets of these species to identify orthologous genes. Subsequently, alignments were performed utilizing PRANK (Loytynoja 2021) with codon model. Following alignment, we employed KaKs_Calculator (Zhang 2022) (version 3.0) to compute the synonymous substitution rate (*Ks*). The derived Ks values were then utilized to categorize gene lineage based on their similarity, providing insights into the genetic heritage of the genes of *S. bayanus*.

499

500 Comparative Genomic Analysis and Evolutionary Study of the MAL Gene family in *S.* 501 *bavanus*

To elucidate the evolutionary relationships among *Saccharomyces bayanus* and closely related species, we analyzed coding sequence (CDS) datasets for *S. cerevisiae*, *S. paradoxus*, *S. mikatae*, *S. kudriavzevii*, *S. arboricola*, *S. uvarum*, *S. eubayanus*, and *Nakaseomyces glabratus*. Using OrthoFinder (Emms and Kelly 2019), we identified orthogroups to enable a comparative genomics study. Adopting the protocols from (Brown et al. 2010) and (Baker et al. 2015), we identified genes belonging to the maltose utilization (MAL) gene families. Sequence alignment was conducted with MAFFT using the L-INS-i strategy (Katoh and

508 Standley 2013). Phylogenetic trees were generated using FastTree v2.1 (Price et al. 2010). The evolutionary 509 history was inferred using the Neighbor-Joining method (Saitou and Nei 1987). The original tree is shown. 510 The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (1000 511 replicates) are shown next to the branches (Felsenstein 1985). The tree is drawn to scale, with branch lengths 512 in the same units as those of the evolutionary distances used to infer the phylogenetic tree. The evolutionary 513 distances were computed using the Maximum Composite Likelihood method and are in the units of the 514 number of base substitutions per site. These trees were visualized and refined with MEGA11 (Tamura et 515 al. 2021).

516

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523 **Data Availability**

Sequencing and genome assembly data generated in this work have been deposited at the NCBI repository
 under the BioProject accession PRJNA741321. Annotations and supplemental materials are available at
 https://github.com/BioHPC/Saccharomyces-bayanus.

527

528 Author Contributions

T.A. and Z.Lin conceived the idea. T.A, Z.Lin, and M.J.D. supervised this study. Z.Lu isolated DNA,
prepared libraries and performed Nanopore sequencing. Y.Z. performed flow cytometry. C.G., J.C, C.H.,
and D.D. analyzed the data. All authors wrote the manuscript and approved the final version of the
manuscript.

533

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Supplemental Materials



Supplemental Figure S1: Overview of *S. bayanus* CBS 380 genome sequencing results using Oxford Nanopore's MinION. The sequencing effort generated 2.2 Gb of data, achieving approximately 170-fold coverage. After quality control, 2.04 Gb of data were retained. Notably, the sequencing run produced 100 reads surpassing 100 Kb, with the longest read measuring 158,255 bp.

Supplemental Table S1: Comparative analysis of genome assembly tools used for *S. bayanus* CBS 380. This table summarizes the performance of eight different assembly algorithms, including Flye, NextDeNovo, WTDBG, Ra, NECAT, SmartDeNovo, Canu, and their optimized versions (denoted by an asterisk), with an emphasis on addressing diploidy complexities.

Assembler	Flye	NextDeNovo	WTDBG	Ra	NECAT	SmartDeNovo	Canu	SmartDeNovo*	Flye*
Length (bp)	14,635,841	11,870,454	11,811,864	12,017,687	15,428,960	11,578,645	16,115,554	12,391,933	13,538,319
Total seq. #	38	17	21	24	32	16	49	21	62
Max seq.	1,286,589	1,293,072	2,164,800	1,101,538	1,293,344	1,293,262	1,292,830	1,101,177	1,285,351
Min seq.	2,346	122,189	17,265	8,314	59,794	61,853	10,281	59,343	2,074
Med seq len.	301,095	773,390	462,238	528,214	442,886	780,948	213,365	610,360	84,583
N50 (length)	647,014	816,895	813,059	785,083	646,646	919,172	612,488	787,374	785,325
N50 (ctg #)	9	6	5	7	9	6	9	7	7
BUSCO	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2. 3%,M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%	C:96.6%[S:74.5%, D:22.1%],F:2.3%, M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%	C:96.6%[S:74.5%, D:22.1%],F:2.3%, M:1.1%	C:96.6%[S:74.5 %,D:22.1%],F:2 .3%,M:1.1%

* Ran with Canu-corrected and trimmed reads

Supplemental Table S2: Our methodology successfully assembled two distinct subgenomes, technically designated as haplotype-a and haplotype-b, allowing for a sophisticated analysis of the dual genome architecture. This table shows the different size of each chromosome in subgenomes.

Chr.	Haplotype-a	Haplotype-b
------	-------------	-------------

Ι	217,795	208,383
II	1,292,201	1,163,801
III	306,526	319,184
IV	1,007,622	1,000,830
IX	433,831	370,629
V	601,693	581,353
VI	544,124	266,821
VII	1,055,917	1,042,311
VIII	817,384	817,171
Х	488,404	732,591
XI	646,725	646,334
XII	1,096,630	1,057,074
XIII	959,152	958,029
XIV	664,155	791,919
XV	784,543	778,848
XVI	912,922	919,249
Mt	64,655	

Supplemental Data D1: A list of f 5,497 orthologous groups and gene members from the three species are available at <u>https://github.com/BioHPC/Saccharomyces-bayanus</u>.



Supplemental Figure S2: Distribution of Ks values.



Chromosome Lengths with Annotated Regions

Supplemental Figure S3: Genomic origin based on gene Ks values.



Supplemental Figure S4: A phylogenetic tree of the MALS gene family.



Supplemental Figure S5: The location of MAL gene families. Gene with star means inherited from *S. eubayanus*.