<u>General</u>

Radiographical outcomes of a cellular based allograft following foot/ankle arthrodesis in patients with risk for non-union

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Morbidity associated with autograft harvest has led to the need for alternative bone grafts during fusion surgical procedures. The purpose of this study is to evaluate the efficacy of a cellular bone allograft (CBA) in patients who underwent foot/ankle fusion surgery. Retrospective data of patients who underwent foot/ankle arthrodesis using a CBA between 2016 and 2021 were collected from a single site. Patients were at least 18 years of age at the time of surgery and had ankle/foot surgery with Trinity ELITE CBA as the primary or only bone graft. Patients' radiographic union was assessed at three (3) months, six (6) months, nine (9) months, and twelve (12) months. Twenty-two (22) patients and 29 joints were evaluated. The mean age and BMI of the cohort were 54±9yrs and 30.5 ± 6 kg/m², respectively. The surgical indications were degenerative joint diseases, trauma, and arthritis. All patients except one had at least one risk factor for non-union. At 12 months, 21 of the 22 patients (95%) attained successful fusion with an average time of 6 months. In addition, there was a 100% fusion among patients with prior failed fusion, nicotine use, diabetes, neuropathy, and osteoporosis. There was no significant difference in time to fusion between patients with non-union risk factor(s) ≤ 1 and ≥ 2 (p=0.71). No complication or adverse event was reported following the surgery. The use of CBA resulted in high fusion among patients with the risk of non-union. CBA is a viable bone graft substitute for autograft in foot/ankle arthrodesis procedures.

INTRODUCTION

Foot and ankle fusion (arthrodesis) is often suggested to patients with ankle arthritis or degenerative joint diseases when conservative approaches fail.^{1,2} A successful arthrodesis is vital to improve mobility and reduce pain in this population.² However, risk factors such as nicotine use, age, high adiposity, diabetes, etc., can cause an unsuccessful bone fusion (non-union), resulting in revision surgery.³ Surgical complications such as blood clots, pain, infection, cost, non-union, etc., are higher with a revision surgery than the original surgery; therefore it is essential to have a complete union at the first surgical procedure.⁴ Another critical factor that contributes to the success of an arthrodesis procedure is the choice of bone graft used during the procedure. Bone grafting is used as an adjunct to fusion, especially in patients with unhealthy bones or at risk for non-union.^{5,6}

To have a successful bone fusion, the bone graft must possess the three key elements to support effective graft remodeling and incorporation, which are osteoconductive properties, osteoinductive potential and osteogenic capabilities.⁷ Autograft has been the gold standard for arthrodesis procedures. However, the complications of harvesting autograft are well documented and include vascular injuries, chronic donor-site pain, blood loss, deformity, bowel injury, hernia, prolonged surgical time, infections at the donor site, neurologic injuries, deep hematoma formation requiring surgical intervention, increased hospitalization time, significant donor site morbidity, etc.⁸⁻¹¹ Also, autograft is not always viable for patients with poor bone quality due to their age or medical conditions.¹² The limitations associated with autografts have resulted in the development of a number of alternative bone grafts, which include cortico-cancellous allografts, demineralized bone matrix (DBM), bone morphogenic proteins (BMP), synthetics, ceramics, peptide-enhanced grafts, and cellular bone allografts (CBA).

CBA is derived from cadaveric bone and is carefully processed and cryopreserved to maintain the native cells and proteins in its matrix. In addition to providing an osteoconductive scaffold to support bone growth and repopulation by the patient's own cells, these grafts contain viable osteogenic cells and an osteoinductive demineralized cortical bone component.¹³⁻¹⁵ Collectively, these features provide all the grafting elements necessary for a successful bone/joint fusion.¹⁶

Studies have shown the clinical advantage of CBAs in lumbar and cervical surgical procedures while eliminating the risk associated with autograft harvesting.^{16,17} However, there is currently limited information on the efficacy and safety of CBA with foot/ankle arthrodesis, especially in patients with risk for non-union. This study aims to evaluate the rate of fusion and complications in patients who underwent foot/ankle arthrodesis procedures using a CBA. The result of this study may provide valuable insight into the efficacy of CBA as an alternative to autograft in foot and ankle arthrodesis.

METHOD

The authors collected retrospective, non-randomized, standard-of-care follow-up data from a single site (Temple University Health System) on patients who underwent single or multi-joint fusion surgery in the foot/ankle using Trinity[™] ELITE CBA (Orthofix Inc.). Subjects (n=22) with oneyear follow-up data were included in this study. The Institutional Review Board (IRB) approved this study, and informed consent was waived. Clinical records were assessed to obtain de-identified data on patients' demographics, health history, surgical data, fusion status, adverse events/complications, and risk factors for non-union. Data were collected following Good Clinical Practice Requirements.

Patients were included if they were 18+ years of age at the time of surgery, had undergone ankle, subtalar, tarsometatarsal, or mid-foot fusion surgery using a CBA. Patients were excluded if they had been diagnosed with Charcot Neuropathy.

SURGICAL PROCEDURE

A single surgeon performed an ankle arthrodesis procedure on all patients included in the study, with the procedure being performed at a single site. The surgeon employed the standard operative technique to access the operative site and to prepare opposing joint surfaces, using traditional instrumentation and standard postoperative care regimens. Joints involved in the procedure are tibiotalar, subtalar, calcaneocuboid, and talonavicular. Surgical procedures included single, double (i.e. calcaneocuboid and talonavicular), and triple joint arthrodesis (i.e. subtalar, calcaneocuboid, and talonavicular).

ENDPOINTS

The investigator assessed the primary endpoint of patients' fusion at three (3) months, six (6) months, nine (9) months, and twelve (12) months from three views of standard radiographs (AP, lateral, and oblique) or a CT scan. Fusion was considered successful when bones in the joints were permanently fused, via bridging bone assessment, following radiograph review and physical examination. The incidence of adverse events was assessed as the secondary endpoint of the clinical outcome, and safety information was collected following FDA regulations. It included the number of adverse events and the investigators' evaluation of the severity and possible relationship of the adverse events to the treatment.

STATISTICAL ANALYSIS

SAS analytical software (SAS Institute for data management, North Carolina) was used for the data analysis. The data was analyzed to calculate the percentage of successful fusion at three (3) months, six (6) months, nine (9) months, and twelve (12) months. Mean, standard deviation, and range were calculated for the patient's demographic data and health information. Fusion rate was assessed in patients with risks of non-union, defined by age \geq 60 years, BMI > 24.9kg/m², exposure to nicotine, and patients with diabetes, neuropathy, and osteoporosis. Independent sample t-test was used to analyze the difference in time to fusion between patients with exposure to nicotine (previous and current users) and patients with no exposure to nicotine, patients with and without diabetes/neuropathy, nonobese patients (BMI < 30 kg/m²) and obese patients (BMI \ge 30 kg/m²), and younger patients (age < 60 years) and older patients (age ≥ 60 years). Fisher's Exact test was done to evaluate the difference in time to fusion between patients with one (1) or no risk factor and patients with more than one (1) risk factor of non-union. We accepted significance at 0.05 alpha.

RESULT

Out of the 22 patients included in the study, 12 (55%) were males, and 10 (45%) were females. Mean age of the patients was 55 years \pm 8 years (range 35 years - 66 years), mean weight of patients was 188lbs \pm 33lbs (range 101lbs - 261lbs), mean BMI 30.5kg/m² \pm 5.6kg/m² (range 17.3kg/m² - 41.6kg/m²). Table 1 shows patients' individual data.

Table 2 shows the surgical site information. There were 29 Arthrodesis, which included 18 patients with one (1) joint treated, one (1) patient with two (2) joints treated, and three (3) patients with three (3) joints treated. The primary surgical indications for the patients were degenerative joint diseases (14), arthritis (4), and trauma (4).

There were five (5) current nicotine users, two (2) former nicotine users, and fifteen (15) of the patients who had never been exposed to nicotine use. At the time of surgery, three (3) patients were diagnosed with diabetes, one (1) patient had diabetes and neuropathy, two (2) patients had osteoporosis alone. Three (3) patients had revision surgery due to a prior incidence of non-union. <u>Table 1</u> includes information on the patient's clinical risk factors.

FUSION

21/22 (95%) of the patients had a successful radiographic fusion by 12 months (<u>Table 3</u>). <u>Figure 1</u> shows a sample of radiograph pre-operation and post-operation.

All the patients with diabetes had a successful fusion by six (6) months. All the patients who were current nicotine users had a successful fusion by six (6) months, all three (3) patients who had revision surgery showed successful fusion by six (6) months., and all the patients with osteoporosis

Table 1. Patients' data

Gender	Race	Age	BMI	Smoking Status	Time to fusion	Number of joints	Surgical indication	Prior health condition	Prior failed Fusion
F	black	42.0	28.0	current	3	1	Degenerative Joint Diseases		Yes
М	Caucasian	57.0	31.3	current	3	1	Degenerative Joint Diseases		
F	Caucasian	57.0	36.4	former	6	1	Degenerative Joint Diseases		
М	Black	50.0	32.6	Never	6	1	trauma	Diabetes, neuropathy	Yes
F	Caucasian	64.0	35.5	Never	9	3	arthritis	osteoporosis, neuropathy	
F	Caucasian	64.0	17.3	Never	12	3	Degenerative Joint Diseases	osteoporosis, neuropathy	
М	Caucasian	54.0	26.3	current	3	1	arthritis		Yes
М	Black	55.0	29.6	Never	Not fused	3	trauma		
М	Caucasian	48.0	34.4	Never	6	1	Degenerative Joint Diseases		
М	Caucasian	46.0	41.6	Never	3	1	Degenerative Joint Diseases		
М	Caucasian	40.0	29.5	Never	3	1	Degenerative Joint Diseases		
М	Black	35.0	25.8	Never	6	2	trauma		
F	Caucasian	57.0	28.0	Never	6	1	Degenerative Joint Diseases	diabetes	
F	Black	60.0	28.5	Never	12	1	Degenerative Joint Diseases	osteoporosis	
F	Caucasian	66.0	35.7	current	3	1	Degenerative Joint Diseases		
М	Caucasian	59.0	28.8	former	12	1	Degenerative Joint Diseases		
М	Caucasian	58.0	25.4	current	6	1	Degenerative Joint Diseases	diabetes	
F	Caucasian	57.0	31.2	Never	6	1	Degenerative Joint Diseases	diabetes	
F	Black	60.0	36.9	Never	12	1	arthritis		

Gender	Race	Age	BMI	Smoking Status	Time to fusion	Number of joints	Surgical indication	Prior health condition	Prior failed Fusion
F	Caucasian	65.0	25.2	Never	6	1	trauma		
М	Caucasian	59.0	23.8	Never	6	1	Degenerative Joint Diseases		
М	Caucasian	54.0	39.1	Never	6	1	arthritis		
Mean		54.9 ± 8	30.5 ± 5.5		6±3	29			

Table 2. Surgical data

Operative site treated	n (%)
Ankle	5
Subtalar	12
Tarsometatarsal	4
Mid-foot	1
# of Joints treated	n (%)
One	18
Тwo	1
Three	3
Surgical approach	
Anterior	15
Lateral	7
Operative Leg	
Right	10
Left	12

no significant difference in the time to fusion between patients with diabetes/neuropathy (n = 6) and those without diabetes/neuropathy (n = 15), (p = 0.15). Obese patients (n = 11) had no difference in time to fusion compared to nonobese (n = 10), (p = 0.29). There was no difference in time-to-fusion between younger (n = 15) and older patients (n = 6), (p=0.45). Overall, there was no significant difference in the time to fusion between patients with \leq 1 risk factor and patients with \geq 2 risk factor for non-union.

ADVERSE EVENTS

There were no bone graft related adverse events reported.

DISCUSSION

The rate of successful fusion following ankle/foot arthrodesis varies depending on factors such as bone graft material, risk of non-union, etc. The choice of bone graft material is a major contributory factor modifiable by the surgeon. To avoid the complications associated with autografts, surgeons are beginning to evaluate the efficacy of alternative bone grafts on the market. In this retrospective study, we evaluated the safety and efficacy of CBA among patients with high risk(s) of non-union. The result of this study indicates that using CBA may be an effective and safe al-

had a successful fusion by twelve (12) months. The average time to fusion for all the patients was six (6) months.

There was no significant difference in the time to fusion between patients exposed to nicotine (n = 7) and patients who had never used nicotine (n = 14), (p = 0.25). There was

Table 3. Patients' follow-up fusion rate

Fusion status / Months	3months	6months	9months	12months
Fused	6(27%)	16(72%)	17(77%)	21(95%)
Not fused	16(72%)	6(27%)	5(23%)	1(5%)



Figure 1a



Figure 1b

ternative for improving radiological outcomes of ankle/foot arthrodesis in high-risk patients.

The main finding of our study is that 95% of the patients in this study had a successful fusion after an average follow-up period of 6 months. Other studies have reported a fusion rate between 52% and 97% following a foot/ankle arthrodesis procedure. The fusion rate reported in our study is similar to those reported in studies with patients that underwent foot/ankle arthrodesis using autografts and other CBA. A retrospective study reported a 97% fusion success among the patients who underwent arthrodesis surgery using the distal tibia bone graft (autograft) after an average follow-up period of 23.3 months.¹⁸ Similarly, a logistic regression analysis of data from 159 research articles compared fusion success following ankle/foot arthrodesis surgeries using autograft and cancellous CBA. The study reported a fusion rate of 93.7% for cancellous autograft, 94.2% for structural autograft, and 93.3% for cancellous allograft.¹⁹ A clinical comparison between two CBAs showed a 93.6% fusion success at 12 months in the patients following the arthrodesis procedure.²⁰

Ensuring a successful fusion following an ankle/foot arthrodesis is always the goal of the patient and surgeon to avoid the complications associated with revision surgery. Also, it is essential to have a successful fusion after ankle/ foot arthrodesis because there are limited alternatives to treat the associated condition. Therefore, choosing bone graft material is an important decision, especially for patients with the risk of nonunion or prior failed fusion. All three patients in our study who were treated because of prior failed fusion experienced successful fusion by 12 months following the use the CBA.

Risk factors such as age, BMI, diabetes, neuropathy, osteoporosis, nicotine use, etc., have been identified to predominantly cause nonunion of the joint/bone.²¹⁻²⁶ Our study shows successful fusion in patients with these risk factors, which is higher than those reported in the literature with the use of autograft. A retrospective analysis among younger and older patients treated with autograft shows that the joints of younger adults (< 60 years) had >2 times the odds of successful fusion compared with the older adults (\geq 60 years). In addition, the study revealed a 72% fusion success in younger adults and a 52% fusion success in older adults following a surgical procedure using autografts.²⁷ A prospective study that used autograft for foot/ ankle arthrodesis shows a fusion success rate of 66.4% and 75.2% at 6 months and 12 months respectively. The high fusion experienced with the patients in our study may suggest that CBA possess an appropriate level of endogenous osteogenic cells and osteoinductive factors resulting in faster and more complete bone healing. This finding is supported by previous studies that have shown the efficacy of CBA following foot/ankle procedures. Another published retrospective study evaluated the efficacy of a CBA following foot/ankle arthrodesis in patients at risk of non-union. 83% of the patients experienced a successful fusion after a mean follow-up period of 13 months.¹² Although our study has a smaller sample size, the high fusion of 95% in 1year experienced by the patients with risk(s) for non-union might suggest CBA has a better option for successful fusion in people with risk(s) for non-union when compared to autograft.

We evaluated the difference in time to fusion among the patients with the risk of non-union, and there was no significant difference in time to fusion between patients with low risk (≤ 1 risk) and patients with higher risk (≥ 2 risks). More specifically, there was no significant difference in the time to fusion between patients with a BMI ≤ 30 kg/m² and BMI > 30kg/m². Also, there was no difference in time to fusion between patients who have/had exposure to nicotine and patients who have never been exposed to nicotine. The high fusion rate in these patients and the lack of difference in the fusion status between patients with high and low risk of non-union shows that CBA can mitigate the risk of non-union following foot/ankle arthrodesis in this population.

Another important finding of this study is that the use of CBA did not result in any adverse events or complications. The safety of using a bone graft material is an important consideration, as previous studies have shown that the use of autografts may be associated with a higher risk of infection or complication.^{9,10,}

Although this study shows a success rate with the use of the CBA, the study has some limitations. The study is retrospective, with data from a single site and surgeon. However, the data were all collected before the planning of this study; hence the result is less likely to be subjected to bias. In addition, the sample size of patients in this study is relatively small; a multi-site prospective study with a larger sample size is needed to evaluate further the safety and efficacy of this CBA in the ankle/foot arthrodesis procedure.

CONCLUSION

Foot/ankle procedures using CBA resulted in a high fusion rate in patients with risk(s) of non-union. The fusion rate reported in this study is higher or similar to those reported in articles using autograft for bone fusion in foot/ankle arthrodesis procedures. There were no reports of graft rejection, postoperative adverse events, or complications associated with the use of the CBA. The result of this study suggests that CBAs can be used as a safe and effective substitute for autografts in patients with a risk of non-union during foot/ankle arthrodesis while avoiding complications associated with harvesting autografts. Further studies are needed to confirm these findings and explore the long-term outcomes of CBA following foot/ankle procedures.

AUTHORS CONTRIBUTIONS

EG: Study conception/design, data acquisition, data analysis, data interpretation, revised the manuscript for important intellectual content, and approved the final version.

DP: Data analysis, data interpretation, revised the manuscript for important intellectual content, and final approval of the version to be published.

CONFLICTS OF INTEREST

There are no conflicts of interest.

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