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Brief Report: Rebound HIV viremia with meningoencephalitis following antiretroviral therapy interruption after allogeneic bone marrow transplant

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Abstract

Background: Allogeneic bone marrow transplant (alloBMT) in people living with HIV (PLWH) can lead to the undetectable levels of HIV reservoirs in blood, even using highly sensitive assays. However, with antiretroviral therapy (ART) interruption, rebound of HIV viremia occurs. The source of this rebound viremia is of interest in HIV cure strategies.

Methods: Within a trial of alloBMT in individuals with hematologic malignancies and HIV [\(ClinicalTrials.gov](http://ClinicalTrials.gov), [NCT01836068](https://clinicaltrials.gov/ct2/show/NCT01836068)), one recipient self-interrupted ART after achieving >99.5% host cell replacement in peripheral blood by day 147 and developed severe acute retroviral syndrome with meningoencephalitis at 156 days post-alloBMT. We isolated replication-competent HIV using a quantitative viral outgrowth assay at −100 and −25 days pre-alloBMT and from the same time points pre-alloBMT for HIV DNA and cell-associated RNA from peripheral blood mononuclear cells and resting memory CD4+ T-cells. We isolated HIV RNA in plasma and cerebrospinal fluid (CSF) at viral rebound. We sequenced the RT-region of pol and performed neighbor-joining phylogenetic reconstruction.

Results: Phylogenetic analysis revealed an identical viral sequence at both pre-alloBMT timepoints accounting for 9/34 sequences (26%) of the sampled HIV reservoir. This sequence population grouped with viral rebound sequences from plasma and CSF with high sequence homology.

Discussion: Despite >99.5% replacement of host cells in peripheral blood, ART interruption led to HIV viral rebound in plasma and CSF. Further, the rebound virus matched replication

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Contributions: AAC, ADR, RFA, and CMD designed the study. CMD and RFA were responsible for the conduct of the trial. AAC, ADR, CDG, RFA, and CMD collected and analyzed the data. AAC, ADR, JDS, RFS, RFA, and CMD interpreted the data. AAC, CMD, and RFA wrote the manuscript. All authors reviewed, edited, and approved the manuscript.

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competent virus from resting memory CD4+ T-cells pre-alloBMT. This case underscores that HIV-infected recipient cells can persist after alloBMT, and that latent replication-competent virus can re-establish infection.

Keywords

HIV viral rebound; ART interruption; HIV latent reservoir; allogeneic stem cell transplant

INTRODUCTION

Antiretroviral therapy (ART) reduces HIV viremia to undetectable levels in people living with HIV (PLWH), however the persistence of a latent viral reservoir (LVR) within resting memory CD4+ T-cells remains a barrier to cure.^{1–3} Two individuals have been cured following allogeneic bone marrow transplant (alloBMT) for where donor cells were homozygous for the CCR5delta32 mutation which is associated with resistance to R5-tropic HIV.^{4, 5} There has been interest in exploring whether alloBMT with CCR5 wild-type donors can lead to elimination of HIV reservoirs. $6-11$

The initial study of this approach included the two 'Boston patients' who underwent alloBMT and subsequently were reported to have undetectable HIV RNA and cellassociated HIV DNA in peripheral blood and tissues. Following analytical ART interruption, HIV viral rebound occurred in both participants despite $\langle 0.0010 \rangle$ residual host cells.^{12,} ¹³ Several studies have reported on alloBMT recipients with HIV and viral rebound without a clear origin of rebound virus.^{8, 10, 12} Here, we detail another case where a PLWH was nonadherent to ART post-alloBMT and developed acute retroviral syndrome, including severe meningoencephalitis. Through sequence analysis, we studied rebound virus in peripheral blood and cerebrospinal fluid (CSF), comparing it to pre-alloBMT replicationcompetent virus in resting memory CD4+ T-cells.

METHODS

Study design

This was a sub-study of a case within a clinical trial investigating the safety and efficacy of alloBMT for PLWH with continuous optimized ART ([NCT01836068\)](https://clinicaltrials.gov/ct2/show/NCT01836068).⁸ Participants provided informed consent.

Measurement of donor cell chimerism

PCR of short tandem repeats was used to determine the relative proportion of donor and recipient DNA after alloBMT. Applied Biosystems PCR (Fisher Scientific) or AmpflSTR Identifier PCR Amplification Kit (Thermo Fisher) was used on unsorted peripheral blood mononuclear cells (PBMCs) and sorted CD3+ T-cells to determine the degree of donor replacement. The limit of detection of this assay was determined by the number of input cells and ranged from 0.5–1% at different timepoints for this participant.

HIV viral outgrowth from resting CD4+ T-cells

The HIV LVR was measured in resting memory CD4+ T-cells (rCD4+ T-cells) prior to alloBMT using a quantitative viral outgrowth assay (qVOA) as previously described.^{2, 14} Briefly, rCD4+ T-cells were isolated from whole blood and plated at limiting-dilution with γ-irradiated PBMCs from HIV-uninfected donors. Cells underwent ex vivo activation by the T-cell mitogen, phytohaemagglutinin. Viral outgrowth was amplified by the addition of the MOLT-4/CCR5+ cell-line on day 2 of the culture and detected by ELISA for HIV p24 antigen in the supernatant by day 21. Post-alloBMT, the participant missed study visits and later opted out of further follow-up, therefore qVOA samples were not obtained post-alloBMT.

Isolation of HIV nucleic acid

Viral RNA from supernatant of p24-positive viral outgrowth wells which represents inducible replication-competent virus were isolated using the Zymo Research ZR Viral RNA Kit. TRIzol Reagent was used according to manufacturer's instructions for both cell-associated RNA (CA-RNA) and DNA isolation from viably frozen 10×10^6 PBMCs and pelleted 2×10^6 rCD4+ T-cells at 100- and 25-days pre-alloBMT, which were collected in the same blood draw as for the qVOA. Viral RNA was isolated from peripheral blood plasma and CSF at the time of viral rebound 156-days post-alloBMT using QIAmp Viral RNA kit (Qiagen). RNA was treated with DNase (DNase I, amplification grade, ThermoFisher Scientific) and DNA with RNaseA (PureLink™ RNaseA, ThermoFisher Scientific).

PCR amplification of HIV RNA and DNA

A One-Step first-round PCR for cDNA synthesis was done using SuperScript-III One-Step RT-PCR with Platinum Taq High-Fidelity DNA polymerase (Invitrogen). Primers targeted the RT-region of pol, HIV_RTpol_F-(5'-CAG-GAG-CAG-ATA-CAG-TAT-AGA-AG-3') and HIV_RTpol_R-(5'-AGT-CTT-TCC-CCA-TAT-TAC-TAT-GCT-TTC-3'). PCR conditions were: 50°C/60min, 94°C/2min, 30 cycles of 94°C/25sec, 55°C/ 30sec and 68°C/1.5min, with a final step of 68°C/5min. Nested second-round PCR was carried-out with Platinum PCR SuperMix (Invitrogen) using first-round product, and primers nestedHIV_RT_F-(5'-AAT-TGG-GCC-TGA-AAA-TCC-ATA-3') and nestedHIV_RT_R-(5'-AGT-TCA-TAA-CCC-ATC-CAA-AG-3'). PCR conditions were: 94°C/2min, 35 cycles of 94°C/30sec, 55°C/30sec and 72°C/1.5min, followed by a final step of 72°C/10min.

For HIV DNA, first-round PCR for HIV DNA was done using Platinum PCR SuperMix (Invitrogen) with the HIV_RTpolF and HIVRTpolR primers as stated above under the following PCR conditions: 94°C/2min, 35 cycles of 94°C/25sec, 55°C/30sec and 68°C/ 1.5min, with a final step of 68°C/5min. Nested second-round PCR were carried out under the same conditions as above. PCR products were run on 2% agarose gel and positive bands were excised and purified by the QIAquick Gel Extraction Kit (Qiagen). Viral sequencing by Sanger method using nested second-round primers.

Viral Sequencing

The HIV RT-region of *pol* was sequenced using the nested second-round PCR primers. Rebound HIV from peripheral blood and CSF-RNA, as well as CA-RNA and DNA from PBMCs and rCD4+ T-cells was sequenced using a 454 next-generation sequencing (NGS) platform.15 In the case for 454 NGS, bulk PCR was performed for each sample type and, consensus sequences, defined as unique sequence reads over the region of interest in that sample with >0.2% prevalence were generated. Any reads that were not the full target length were excluded. Positive viral outgrowth supernatant RNA was sequenced using standard Sanger sequencing; sequences with multiple peaks in the electropherograms were eliminated. NGS based sequencing was performed in a separate laboratory from Sanger based sequencing to eliminate possible cross-contamination.

Sequence and Phylogenetic analysis

All chromatograms obtained by Sanger sequencing were manually inspected for multiple peaks and all had a Q-score >30. Consensus sequences from deep sequencing were generated with a cut-off of >0.2% of total sequence reads. Using Multiple Alignment using Fast Fourier Transform (MAFFT) with the FFT-NS-1 200PAM/k=2 algorithm a 497-bp alignment (HXB2-coordinates:2717–3204) was generated. A neighbor-joining phylogeny was reconstructed, and viral genetic diversity was calculated as average pairwise distance (APD) in MEGAX. Maximum-likelihood using PhyML confirmed the pairwise-distance approach topology that Neighbor-joining based methods inferred. ART drug resistance mutations non-nucleoside reverse-transcriptase inhibitors (NNRTI) and nucleoside reversetranscriptase inhibitors (NRTI) were predicted using the HIV Drug Resistance Database (Stanford University). Phylogenetic tree topology was consistent with and without the inclusion of drug resistant mutated sequences. HyperMut was used to detect APOBECinduced hypermutation ($p<0.05$ for significance) [\(https://www.hiv.lanl.gov/](https://www.hiv.lanl.gov/)). Trees visualized in FigTreev1.4.4 [\(http://tree.bio.ed.ac.uk/software/figtree/\)](http://tree.bio.ed.ac.uk/software/figtree/). Subtype B reference sequences were included for phylogenetic analyses: B.NL.00.671_00T36.AY423387, B.TH.90.BK132.AY173951, and B.US.98.1058_11.AY331295.

RESULTS

Case presentation

Participant 3 received a reduced-intensity conditioning match-related donor alloBMT for treatment of multiply relapsed acute myeloid leukemia. HIV had been diagnosed 9 years prior. Baseline HIV plasma-RNA was 42,000 copies/mL and absolute CD4 count nadir of 70 cells/μL. He was treated with multiple ART regimens over time and had challenges with ART adherence prior to alloBMT. Tropism testing demonstrated R5-tropic HIV (Monogram Biosciences), and the most recent HIV genotype (~4 years pre-alloBMT) revealed the K103N mutation in RT with additional clinical records indicating the presence of the M184V mutation (Celera Diagnostics, ViroSeq v2.8 assay). At day −25 prior to alloBMT, the participant was on abacavir, lamivudine, raltegravir, and ritonavir-boosted darunavir with plasma HIV plasma-RNA of <20 copies/mL and CD4 of 409 cells/μL. Maraviroc replaced ritonavir to minimize drug interactions during transplant.

Per protocol, when high dose cyclophosphamide was given days 3 and 4 post-transplant for graft-versus-host-disease prophylaxis, enfuvirtide was also administered to ensure continuous ART should nausea and vomiting interrupt oral ART. At 28 days post-alloBMT, chimerism $(i.e.,$ the percentage of donor vs. host cells) was measured using short-tandem repeats and >99% donor cell chimerism was achieved.

By 84-days post-alloBMT, HIV plasma-RNA was <20 copies/mL. The participant began missing clinic and study visits. At 100-days post-alloBMT, the patient developed fevers, and was hospitalized at 146-days post-alloBMT, with confusion which progressed to obtundation. A lumbar puncture showed 28 white blood cells/ μ L (normal range 0–3), protein 150 mg/dL (normal range 50–80), and glucose 50 mg/dL (normal range 50–80) indicating meningoencephalitis. All bacteria, mycobacteria, and fungal cultures were negative. CSF was negative for EBV, CMV, JC, VZV, HSV, and WNV by PCR; cryptococcal antigen was not detected. HIV plasma-RNA was 25,518 copies/mL and HIV CSF-RNA was 17,000 copies/mL (Abbott Laboratories, IL). Subcutaneous enfuvirtide was initiated since oral ART not possible. After 3 days, mental status improved, and oral ART was resumed. After 10 days, the participant was discharged and by 190-days post-alloBMT HIV plasma-RNA was 23 copies/mL.⁸

Pre-alloBMT measurement and genetic analysis

The HIV LVR day-100 pre-alloBMT was measured to be 6.29 (2.20–17.97 95% CI) Infectious Units per Million cells (IUPM) and at day-25 pre-alloBMT was 0.76 (0.43–1.36 95% CI) IUPM by qVOA. The HIV LVR was measured at 100- and 25-days pre-alloBMT with 22/32 and 12/32 wells (34 total wells) found to be p24 positive, respectively. The viral genetic diversity of the replication-competent HIV LVR was 1.1% APD 100-days and 1.2% APD 25-days pre-alloBMT with an overall diversity of 1.1% APD (Figure 1A). There were identical sequences (6/34 total sequences; 17.6%) sampled from both pre-alloBMT timepoints that did not possess predicted drug resistance mutations that represented the HIV LVR, these sequences represented within the 11.5% overall clonal population of the HIV LVR sampled (3/26 unique viral sequences) (Figure 1A). Major ART resistance mutations K103N and/or M184V were found in 12/34 outgrowth sequences at both pre-alloBMT time points.

Post-alloBMT viral rebound genetic analysis

The RT-pol region of HIV in plasma and CSF at rebound were sequenced. Consensus sequences from rebound plasma-RNA were 92 sequences and from CSF-RNA, 55 sequences. There was almost complete sequence homology between rebound peripheral blood plasma virus (0.4% APD) and CSF (0.4% APD) with 0.4% APD between compartments (Figure 1B). The majority of rebound HIV quasi-species did not have predicted drug resistance. A sub-branch of several CSF sequences with one plasma consensus sequence were predicted to have the M184I mutation (Figure 1B). Rebound viral populations were compared to sequences from the HIV LVR pre-alloBMT, where 9/34 (26%) of the total sequences matched rebound quasi-species. Pre-alloBMT compared to viral rebound diversity differed by 0.04% APD (1.07% pre-alloBMT vs. plasma, and 1.11% pre-alloBMT vs. CSF).

Cellular HIV DNA and CA-RNA analysis

Consensus sequences from HIV DNA and CA-RNA from PBMCs and rCD4+ T-cells prealloBMT were obtained. At 100-days pre-alloBMT, the number of sequences isolated from PBMC CA-RNA were 72 sequences, rCD4+ T-cell DNA (n=79) and CA-RNA (n=86); and 25-days pre-alloBMT from PBMC DNA (n=69) and CA-RNA (n=74), rCD4+ T-cell DNA (n=73) and CA-RNA (n=87). HIV DNA sequences from PBMCs 100-days pre-alloBMT could not be obtained. Since only a small percentage of integrated proviruses are intact, it is likely that the majority of DNA sequences obtained had defects that were not captured through sequencing. Because of this, it is likely that only a few select cells with integrated inducible intact provirus could possibly give rise to rebound virus. These inducible intact replication-competent proviruses from rCD4+ T-cells that were isolated from the qVOA were interspersed in the phylogeny (Figure 2). There was an overall mean APD of 1.3%. Major ART drug resistance was common with M184V and/or K103N mutations (Figure 1A, 11/34 qVOA sequences from pre-alloBMT). Additionally, E138K and Y188D, and L74V were also observed in cellular-derived sequences. While the M184I mutation was detected in a sub-population of rebound CSF and plasma viral sequences, it was also observed in PBMC CA-RNA 25-days pre-alloBMT (n=9) (Figure 2). However, PBMC CA-RNA from 25-days pre-alloBMT and rebound quasi-species with the M184I mutation were genetically distinct (1.6% APD). In addition, some of the CA-RNA sequences from rCD4+ T-cells that were sampled 25-days pre-alloBMT were most genetically similar to the rebound virus. APOBEC hypermutated sequences were detected in PBMC HIV DNA (n=4) and in CA-RNA (n=2) at 25-days pre-alloBMT.

DISCUSSION

There are a few reports of acute retroviral syndrome including meningoencephalitis following alloBMT, either due to unplanned¹⁶ or analytic treatment interruption (ATI).^{12,} 13, 17 In primary HIV infection, the incidence of meningoencephalitis associated with acute retroviral syndrome is $9-55\%$.^{18, 19} In the circumstance surrounding the two Boston Patients', 'Patient A' had clinical evidence of meningoencephalitis following ATI with symptoms of acute retroviral syndrome.12 CSF sampling revealed low-grade lymphocytic pleocytosis consistent with HIV meningitis.¹²

Cases of meningoencephalitis with HIV rebound have raised questions with regard to the role of the CNS as an HIV reservoir. In the case of the Boston patients', HIV sequence analysis of CSF was not possible. HIV may persist deep in tissues including frontal motor cortex of the brain as demonstrated in post-mortem specimens.²⁰ Similarly, there is CNS persistence in SIV-infected pigtail macaques after long-term suppressive ART.²¹ Furthermore, persistent replicating HIV in the CNS during 3 years of ART has been reported in one individual.²² Evidence of a CNS reservoir, not withstanding, in our case, the rebound virus matched virus from the reservoir of resting memory CD4+ T-cells isolated pre-BMT from cell culture. Interestingly, other studies have not observed a direct clonal connection between rebound virus after analytic treatment interruptions and virus isolated from the latent reservoir using cell culture techniques.23, 24 However, these trials investigated the

impact of broadly neutralizing antibody therapies which ultimately had little effect on the size of the HIV reservoir, in contrast to hematopoietic stem cell transplantation.

Severe meningoencephalitis may reflect the lack of a donor CD8+ T-cell response against HIV rather than evidence of an anatomic sanctuary or reservoir in CNS cells. Recently, the IciStem Consortium demonstrated incomplete T-cell reconstitution in PLWH following alloBMT, where there could be small yet persistent HIV-specific CD8+ T-cell responses in some individuals.25 The extent on the potency of these small pools of CD8+ T-cells and their ability to prevent serious clinical complications (e.g., meningoencephalitis) remain to be investigated. In the Boston patients, there were negligible HIV-specific T-cell responses measured after alloBMT nor during the analytic treatment interruption.^{12, 13} These cases, including the one we report here, demonstrate a unique and serious clinical risk associated with interrupting ART after alloBMT. Possible mechanisms to employ a more effective CD8+ T-cell response following alloBMT include the use of engineered HIV-specific Tcells that are capable of secreting broadly neutralizing antibodies against HIV envelope to elicit antibody-dependent cellular cytotoxicity²⁶ or the use of donor derived engineered HIV-specific T cells.^{27–29} The latter is being investigated in an ongoing clinical trials for PLWH who require alloBMT for clinical reasons ([NCT04248192\)](https://clinicaltrials.gov/ct2/show/NCT04248192).

Some limitations of the study include the use of bulk PCR rather than limiting dilution PCR on samples that contain genetically diverse templates. This allowed for the possibility of in vitro recombinants to occur which may not represent true within-host diversity. Thus, due to this approach, we were unable to quantify the number of unique viruses as well as being able to reduce PCR recombination and sequencing error. At the time our specific interests were broad differences within the viral populations of this individual. Additionally, we only examined a subgenomic region of HIV. A more highly diverse region such as full-length env or near full-length sequencing would have increased our ability to detect genetic differences.

In summary, we found that interruption of ART post-alloBMT can lead to a serious clinical acute retroviral syndrome including meningoencephalitis which may be due to the lack of HIV immunity in naïve donor cells. This unique case suggests that recipient cells persist early after alloBMT and that a single predominant viral population latent in resting memory CD4+ T-cells can re-establish infection.

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Figure 1. Neighbor-joining phylogeny of the RT-*pol* **region of participant 3.**

(**A**) Phylogenetic reconstruction of replication-competent viral outgrowth 25- and 100-days pre-alloBMT. Identical sequences are boxed. (**B**) Phylogenetic reconstruction of replicationcompetent viral outgrowth pre-alloBMT and rebound viremia from plasma and CSF 156-days post-alloBMT following self-interruption of ART. Major ART drug resistance mutations are labeled. Colors indicate sample isolate and shape for time point. The scale bar is set to 5 nucleotides.

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Figure 2. Neighbor-joining phylogeny of the RT-*pol* **region of participant 3 including cellular sequences.**

Phylogenetic reconstruction of replication-competent viral outgrowth 25 and 100 days pre-alloBMT with corresponding HIV-1 DNA and cell-associated RNA from PBMCs and rCD4+ T-cells. In addition, rebound viremia from plasma and CSF 156-days post-alloBMT following self-interruption of ART are included. The sequences that had the M184I mutation are labeled. Shaded regions indicate predicted APOBEC hypermutated sequences using HyperMut ($p<0.05$). Colors indicate sample isolate and shape for time point. The scale bar is set to 5 nucleotides.