**RESEARCH ARTICLE [OPEN ACCESS](https://doi.org/10.1002/jcla.25027)**

# **Assessment of Bone Marrow Involvement in B-Cell non-Hodgkin Lymphoma Using Immunoglobulin Gene Rearrangement Analysis with Next-Generation Sequencing**

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**Received:** 27 December 2023 | **Revised:** 8 February 2024 | **Accepted:** 29 February 2024

**Funding:** This study was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (2022R1G1A1007629) and the grant funded by the Korea University Guro Hospital (O2309421).

**Keywords:** bone marrow involvement | immunoglobulin | lymphoma | NGS

#### **ABSTRACT**

**Background:** Assessment of bone marrow involvement (BMI) in non-Hodgkin lymphoma (NHL) is crucial for determining patient prognosis and treatment strategy. We assessed the prognostic value of next-generation sequencing (NGS)–based immunoglobulin (Ig) gene clonality analysis as an ancillary test for BMI evaluation in NHL.

**Methods:** A retrospective cohort of 124 patients newly diagnosed with B-cell NHL between 2019 and 2022 was included. NGSbased Ig clonality analysis was conducted using LymphoTrak IGH FR1 Assay and IGK Assay (Invivoscribe Technologies, San Diego, CA, USA) on BM aspirate samples, and the results were compared with those of histopathological BMI (hBMI).

**Results:** Among the 124 patients, hBMI was detected in 16.9% (*n*=21). The overall agreement of BMI between Ig clonality analyses and histopathological analysis for *IGH*, *IGK*, and either *IGH* or *IGK* was 86.3%, 92.7%, and 90.3%. The highest positive percent agreement was observed with clonal rearrangements of either *IGH* or *IGK* gene (90.5%), while the highest negative percent agreement was observed with clonal rearrangement of *IGK* gene (96.1%). For the prediction of hBMI, positive prediction value ranged between 59.1% and 80.0% and the negative prediction value ranged between 91.3% and 97.9%.

**Conclusion:** NGS-based clonality analysis is an analytic platform with a substantial overall agreement with histopathological analysis. Assessment of both *IGH* and *IGK* genes for the clonal rearrangement analysis could be considered for the optimal diagnostic performance of BMI detection in B-cell NHL.

#### **1 | Introduction**

In non-Hodgkin lymphoma (NHL), bone marrow involvement (BMI) is classified as an extra-nodal involvement with adverse prognostic implications and included as a component of the Ann Arbor staging and clinical risk-stratification indexes, such as the International Prognostic Index (IPI)  $[1, 2]$  $[1, 2]$ . BMI status is essential in treatment decisions, influencing choices such as local or systemic treatment for certain types of NHL, including follicular lymphoma (FL) and mucosa-associated lymphoid tissue lymphoma [\[3, 4\]](#page-4-1). Therefore, accurate assessment of BMI is crucial for establishing patient prognosis and appropriate treatment strategy.

BMI is typically assessed by histologic and immunohistochemical (IHC) analysis of bone marrow biopsies and aspirations [\[5\]](#page-4-2);

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however, histopathological detection of BMI has limitations, notably the possibility of false-negative results in cases with nontypical histomorphologic features, minimal BMI, or inadequate specimens. Immunophenotyping with flow cytometry and molecular studies using immunoglobulin (Ig) gene rearrangement can aid in determining the clonality of lymphoproliferative tissues [\[1, 6–11\]](#page-4-0). Recent studies demonstrate that positron emission tomography (PET)/computed tomography (CT) may possess adequate sensitivity for BMI assessment in some diffuse large Bcell lymphoma (DLBCL) cases [\[12, 13](#page-4-3)]. However, for most NHL histologic subtypes, the ability of PET/CT to accurately detect BMI is not well-defined [\[14\]](#page-5-0). Furthermore, PET/CT has low sensitivity for diffuse, low-volume BMI in DLBCL [\[15, 16](#page-5-1)].

Among the ancillary tests, the detection of monoclonal Ig rearrangements using a PCR-based analysis of Ig receptor is a useful supplementary tool for BMI assessment. The development and standardization of the multiplex PCR protocol by the BIOMED-2 European Consortium have made high detection rates possible in most NHL cases [\[17\]](#page-5-2). A combination of immunoglobulin heavy chain (IGH) and Ig light chain analysis has been suggested to maximize clonality detection [\[18](#page-5-3)]. The diagnostic accuracy of PCR-based Ig gene clonality showed positive rates ranging from 58% to 74% in histopathological BMI (hBMI)–positive cases [\[11\]](#page-4-4). A study evaluating a PCR-based *IGH* rearrangement analysis for BMI detection reported a positivity rate of 66.7% and suggested that combining PET/CT assessment may be necessary for increasing positivity rates up to 85.2% [\[19](#page-5-4)].

With the advent of next-generation sequencing (NGS) technology, its application to Ig gene clonality analysis has become prominent. NGS offers higher sensitivity and specificity than multiple PCR, making high-resolution examinations of all rearrangement events in a sample possible. Ig clonality analysis using NGS has proven to be a sensitive tool for detecting clonality and minimal residual disease (MRD) in various lymphoid hematologic neoplasm [\[20–23\]](#page-5-5). Although NGS-based Ig testing for clonality detection and MRD monitoring has been previously studied, to the best of our knowledge, its clinical implications and use in BMI assessment have not been described. Therefore, the purpose of this study was to evaluate NGS-based Ig gene clonality analysis as an ancillary test for BMI assessment in NHL.

#### **2 | Materials and Methods**

#### **2.1 | Study Design and Patients**

A retrospective cohort of 124 patients, newly diagnosed with B-cell lymphoma between January 2019 and June 2022, was included. The study protocol was approved by the Institutional Review Board of Korea University Guro Hospital (2023GR0504). Clinical and demographic characteristics at diagnosis were collected, and the data were analyzed by June 2023. All patients were staged using the Ann Arbor staging system and IPI scores [\[2, 14](#page-4-5)].

# **2.2 | Histopathological Evaluation of BMI**

The B-cell lymphoma diagnosis was made according to the 2017 WHO classification. Two hematopathologists reviewed the BM slides for the histopathological detection of BMI. Immunohistochemical staining was performed using monoclonal antibodies against the following antigens: CD3 (polyclonal, 1:200; Dako), CD5 (SP19, RTU; Roche), CD10 (56C6, 1:200; Novocastra), CD20 (L26, 1:4; Novocastra), CD79a (JCB117, 1:200; Dako), BCL2 (124, 1:200; Dako), BCL6 (GI191E/A8, RTU; Roche), cyclin D1 (SP4-R, RTU; Roche), FMC7 (4C7, 1:100; Novocastra), Ki-67 (MIB-1, 1:100; Dako), and MUM-1 (MUM1p, 1:400; Dako).

## **2.3 |** *IGH* **and** *IGK* **Clonality Analysis Using NGS**

*IGH* and *IGK* clonality analysis using NGS was conducted on DNA isolated from BM aspirate samples. The samples were collected in K2 EDTA tubes, and DNA extraction was performed using a QIAamp DNA blood mini kit (Qiagen, Venlo, the Netherlands). DNA was quantified using the Qubit 3.0 Fluorometer (Thermo Fisher Scientific, MA, USA). Ig clonality analysis using NGS was performed with the LymphoTrak IGH FR1 Assay and IGK Assay (Invivoscribe Technologies, San Diego, CA, USA), according to the manufacturer's instructions. Briefly, 200–400ng of DNA underwent PCR amplification with master mixes containing primers for each target (*IGH* FR1 or *IGK*). After purification and quantification, the libraries were sequenced using the MiSeq platform (Illumina, San Diego, CA, USA). Data were analyzed using the LymphoTrack Dx Data Analysis software (version 2.4.3), with the cutoff for the presence of clonality for *IGH* and *IGK* determined according to the manufacturer's interpretation criteria.

## **2.4 | Statistical Analysis**

The Mann–Whitney *U* test was applied to compare continuous variables, and the Kruskal–Wallis test was used where appropriate. Categorical variables were compared using Pearson's chi-squared test and Fisher's exact test. *p*<0.05 was considered statistically significant. Data analyses and visualizations were performed using the R software (version 3.4.3).

# **3 | Results**

#### **3.1 | Clinical Characteristics of Patients**

Table [1](#page-2-0) presents the baseline characteristics of the 124 patients with B-cell lymphoma included in the study. Histopathological BMI was detected in  $16.9\%$  ( $n=21$ ) patients. Among the 21 patients with positive BMI, a relatively higher diagnostic frequency of follicular cell lymphoma (FL) and mantle cell lymphoma was observed, whereas a lower frequency of DLBCL was noted compared with the negative group, as previously reported [\[1\]](#page-4-0). As expected, the IPI profile was significantly different between BMI-positive and BMI-negative groups  $(p < 0.001)$ , with BMI-positive patients being classified as low (19.0%), low intermediate (14.3%), high intermediate (42.9%), and high (23.8%) risk. There were no statistically significant differences in the percentage of patients>60years  $(p=0.196)$ , sex distribution  $(p=0.522)$ , and levels of lactate dehydrogenase (LDH)  $(p=0.205)$  and hemoglobin (Hb)  $(p=0.069)$  between BMI-positive and BMI-negative patients.

# **3.2 | Detection of Ig Clonal Sequence**

Ig gene clonality analysis using NGS identified clonal rearrangements in either *IGH* or *IGK* gene in 23.4% (*n*=29) patients;

<span id="page-2-0"></span>



Abbreviations: BM, bone marrow; Hb, hemoglobin; SD, standard deviation.

<span id="page-2-1"></span>aCorresponds to ALK-positive large B-cell lymphoma, lymphoplasmacytic lymphoma, primary mediastinal large B-cell lymphoma, and T-cell/histiocyte-rich large B-cell lymphoma.

clonal *IGH* and *IGK* rearrangements were detected in 17.7%  $(n=22)$  and 16.1%  $(n=20)$  patients, respectively. Among the 21 hBMI-positive cases, clonal *IGH* and *IGK* rearrangements were identified in 61.9% ( $n = 13$ ) and 76.2% ( $n = 16$ ), respectively, with either rearrangement identified in 90.5% (*n*=19). Compared with the hBMI-negative group, the BMI-positive group showed a higher rearrangement clone percentage in *IGH* and *IGK* (both *p*<0.001, Figure [1\)](#page-3-0). A relatively small tumor burden was noted in two hBMI-positive cases with negative Ig gene clonality results. One of these was a DLBCL case with an estimated tumor volume of about 1%, whereas the other was an FL case with a<1% estimated tumor volume, both with suspected lymphomatous involvement.

Among the 103 hBMI-negative cases, clonal *IGH* and *IGK* rearrangements were identified in 8.7% ( $n=9$ ) and 3.9% ( $n=4$ ), respectively, with either *IGH* or *IGK* gene rearrangement identified in 9.7%  $(n=10)$ . Among these 10 hBMI-negative patients, three showed possibility of BMI in other ancillary test results, such as flow cytometry  $(n=2)$  and cytogenetic analysis  $(n=1)$ . All three cases showed a hypocellular marrow and BM cellularity ranging from 5% to 30% with some scattered lymphocytes, suggesting variability in involved tumor volume among the BM aspirate and section. Interestingly, clonal rearrangement was detected in *IGH* and *IGK* in these three cases, with a relatively high clone percentage (*IGH*, 28.1%–63.7%; *IGK*, 8.4%–53.3%) (Figure [1](#page-3-0)).

## **3.3 | Diagnostic Performance of Ig Gene Clonality Analysis Using NGS**

The overall agreement between Ig clonality analyses and histopathological analysis for *IGH*, *IGK*, and either *IGH* or *IGK* was 86.3%, 92.7%, and 90.3%, respectively. The highest positive percent agreement (PPA) was observed for clonal rearrangements of either *IGH* or *IGK* gene (90.5%), while the highest negative



<span id="page-3-0"></span>**FIGURE 1** | Distribution of next-generation sequencing–based immunoglobulin rearrangement clone percentages of *IGH* and *IGK* genes among patients with positive and negative histopathological bone marrow involvement.

<span id="page-3-1"></span>



Abbreviations: Ig, immunoglobulin; NGS, next-generation sequencing.

percent agreement (NPA) was observed with clonal rearrangement of *IGK* gene (96.1%) (Table [2](#page-3-1)). For the prediction of hBMI, positive prediction value ranged between 59.1% and 80.0% and the negative prediction value ranged between 91.3% and 97.9% (Table [2\)](#page-3-1).

#### **4 | Discussion**

In this study, we assessed the utility of NGS-based Ig gene clonality analysis as an ancillary test for BMI assessment in NHL. Our findings reveal a substantial overall agreement between NGS-based clonality analysis and histopathological analysis.

Specifically, in hBMI-positive cases, NGS-based clonality analysis, combining *IGH* and *IGK*, identified clonality in 90.5% cases, surpassing the clonal detection rates of previously reported PCR-based methods. Furthermore, our results indicate a higher agreement in the identification of negative hBMI cases compared with PCR-based methods, underscoring the enhanced specificity of clonal sequence detection using NGS.

Among the hBMI-positive cases, two discrepant results were identified in two cases with suspected tumor burden approximately ≤1%. Retesting using alternative BM aspirate samples from the same patient was hindered by insufficient samples, and the possibility that the discrepant results stemmed from

variations in tumor burden between samples could not be eliminated. Given that the intended use of the NGS-based Ig gene clonality analysis in this study was to identify a diseaseassociated clone for future monitoring, the direct application of clonality calling criteria as per the manufacturer's instruction may not have been optimal for detecting the clonality in samples with low tumor burden. Further evaluation and optimization of settings may be necessary to detect clonal reads amidst a high polyclonal background for BMI detection.

Clonal rearrangements can also be observed in nonneoplastic conditions, which may exhibit oligoclonality or monoclonality due to the predominance of specific antigen-based subclones or reduced diversity in the B-cell repertoire [\[24\]](#page-5-6). Although the detection of Ig clonal rearrangement does not necessarily indicate the presence of lymphoma, relatively high NPAs were observed between hBMI and NGS-based Ig gene clonality assays in the current study. Furthermore, three of the 10 hBMI-negative and NGS-based Ig gene clonality-positive cases showed possibility of BMI in other ancillary test results, particularly in cases with hypocellular samples. NGS-based Ig clonality analysis may collectively show the presence of lymphoma in BM aspirate and section with variability in the tumor volume involved. However, the relatively high clone percentage observed in these samples may be due to the technical aspect that the NGS-based Ig clonality assay is an amplicon-based approach that selectively amplifies DNA derived from B-cell lineage.

This study has certain limitations, including the small sample size focused on several NHL types, limiting our ability to draw definitive conclusions. Concurrent evaluation with other ancillary tests, such as flow cytometry or PCR-based Ig clonality test or PET/CT, could have enhanced our understanding of the utility and effect of combining these tests. Future studies with larger populations and comparisons with other ancillary tests will offer a more comprehensive understanding of the diagnostic and prognostic significance of NGS Ig clonality testing for BMI detection.

In conclusion, our results indicate that the NGS-based clonality analysis for the assessment of BMI exhibits a substantial overall agreement with histopathological analysis with enhanced clonal detection rates of previously reported PCR-based methods. As the highest PPA and NPA were observed for clonal rearrangements of either *IGH* or *IGK* gene and *IGK* gene, respectively, the assessment of both *IGH* and *IGK* genes for clonal rearrangement analysis could be considered for the optimal diagnostic performance of BMI detection in B-cell NHL.

#### **Author Contributions**

Min Ji Jeon, Jung Ah Kwon, and Jung Yoon contributed to the conception and design of the study; Eun Sang Yu, Dae Sik Kim, and Chul Won Choi were involved in clinical evaluation; Ha Nui Kim, Jung Ah Kwon, and Soo-Young Yoon interpreted the results; Jung Yoon performed the statistical analysis; Min Ji Jeon and Jung Yoon drafted the manuscript; and Jung Yoon supervised the study. All authors read and approved the final manuscript.

#### **Acknowledgments**

The authors have nothing to report.

#### **Conflicts of Interest**

The authors declare no conflicts of interest.

#### **Data Availability Statement**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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