ORIGINAL ARTICLE



Tumor mutation burden and immunological, genomic, and clinicopathological factors as biomarkers for checkpoint inhibitor treatment of patients with non-small-cell lung cancer

Yuki Ozaki¹ Satoshi Muto¹ · Hironori Takagi¹ · Masayuki Watanabe¹ · Takuya Inoue¹ · Mitsuro Fukuhara¹ · Takumi Yamaura¹ · Naoyuki Okabe¹ · Yuki Matsumura¹ · Takeo Hasegawa¹ · Jun Ohsugi¹ · Mika Hoshino¹ · Yutaka Shio¹ · Daisuke Tanaka² · Hideaki Nanamiya² · Jun-ichi Imai² · Takao Isogai² · Shinya Watanabe² · Hiroyuki Suzuki¹

Received: 3 September 2018 / Accepted: 28 November 2019 / Published online: 6 December 2019 © Springer-Verlag GmbH Germany, part of Springer Nature 2019

Abstract

Cancer treatment using immune checkpoint inhibitors is widely used, although biomarkers predictive of response are not well established. However, both the expressions of programmed cell death ligand 1 (PD-L1) and the tumor mutation burden (TMB) hold promise as such biomarkers for immune checkpoint inhibitors; however, its characteristics and clinical and immuno-logical impacts have not been fully analyzed. We, therefore, evaluated the clinical and immunological parameters related to TMB to identify potential new biomarkers. We enrolled 92 patients with non-small-cell lung cancer who underwent surgery at Fukushima Medical University Hospital from 2013 to 2016. TMB of individual tumors was calculated by whole-exome sequencing analysis. Major cancer-related gene mutations were evaluated using panel sequencing. Expression of PD-L1 and abundance of tumor-infiltrating lymphocytes were evaluated by immunohistochemistry using surgical samples. The median TMB value was 60. TMB was significantly higher in men, current or former smokers, and in patients with squamous cell carcinoma, tumor size ≥ 2.8 cm, wild-type EGFR, TP53 gene mutation-positive status, and cyclin-dependent kinase-inhibitor gene 2A mutation-positive status. According to multivariate analysis, TMB was significantly associated with EGFR gene mutation-negative status (p = 0.0111) and TP53 gene mutation-positive status (p = 0.0425). If TMB is identified as a robust biomarker for immune checkpoint inhibitor administration, analysis of TP53 and EGFR mutations may provide a relatively rapid and easy proxy for predicting TMB.

Keywords Tumor mutation burden \cdot Immune checkpoint inhibitor \cdot Immunological \cdot Biomarker \cdot Non-small-cell lung cancer

Abbreviations

MSI	Microsatellite instability
NGS	Next-generation sequencing
NSCLC	Non-small-cell lung cancer

Electronic supplementary material The online version of this article (https://doi.org/10.1007/s00262-019-02446-1) contains supplementary material, which is available to authorized users.

Hiroyuki Suzuki hiro@fmu.ac.jp

¹ Department of Chest Surgery, School of Medicine, Fukushima Medical University, 1 Hikarigaoka, Fukushima 960-1295, Japan

² Translational Research Center, Fukushima Medical University, Fukushima, Japan

PD-1	Programmed cell death 1
PD-L1	Programmed death ligand 1
TIL	Tumor-infiltrating lymphocyte
TMB	Tumor mutation burden
TD 50	T

TP53 Tumor protein 53

Introduction

Developments in immune checkpoint inhibitors have progressed rapidly, and they are now major pillars of cancer treatment, along with cytotoxic anticancer drugs and molecular-targeted therapeutic agents. Several immune checkpoint inhibitors, including programmed cell death 1 (PD-1) inhibitors and programmed-death ligand 1 (PD-L1) inhibitors, were recently approved by the US Food and Drug Administration for the treatment of advanced non-small-cell lung cancer (NSCLC). PD-L1 is the primary PD-1 ligand and is upregulated in many solid tumors. PD-L1 is believed to inhibit cytokine production and the cytolytic activity of PD-1-positive tumor-infiltrating lymphocytes (TILs). Most prospective trials found that the treatment was more effective in PD-L1-positive compared with PD-L1-negative patients. However, the PD-L1-negative group still demonstrated response rates of around 10%, indicating that PD-L1 was not a perfect predictive biomarker for response [1–8]. More definite biomarkers are, therefore, needed, and many studies are currently addressing this issue.

Several parameters other than PD-L1 expression [TILs, microsatellite instability (MSI), and tumor mutation burden (TMB)] have been considered as potential predictive biomarkers of immune checkpoint inhibitor response. Pre-existing CD8 + TILs located in the tumor and invasive margin might predict response to therapy in patients with melanoma [9]. Although MSI is often used as a biomarker in colorectal cancer and Lynch syndrome, high MSI was only detected in 0.8% of 480 patients with pulmonary adenocarcinoma using a sensitive mononucleotide marker panel [10]. MSI is currently used as a companion diagnostic technique in various tumors; however, the rate of MSI in NSCLC is thought to be very low, indicating the need for more accurate and clinically useful biomarkers. Several solid tumors, including NSCLC, have TMB levels > 10 somatic mutations per megabase of coding DNA, which is sufficient to produce neoantigens that can be recognized by effector T cells [11]. Among these potential parameters (PD-L1, CD8 + TILs, MSI, and TMB), the current study focused on the use of TMB to predict the efficacy of immune checkpoint inhibitors. A higher nonsynonymous TMB was correlated with the clinical efficacy of pembrolizumab in one study [12], and although several other reports have been published, detailed information on TMB remains limited. In a biomarker analysis of TMB conducted as a subgroup analysis of the Checkmate 026 trial, which compared first-line nivolumab with chemotherapy in patients with PD-L1-positive NSCLC, the response rate among patients with high TMB was higher in the nivolumab group than in the chemotherapy group [13]. Furthermore, patients with both high TMB and \geq 50% PD-L1 expressions had higher response rates than those with only one or neither of these factors. Most TMB studies have evaluated the association between TMB and response to immune checkpoint inhibitors, while the associated clinical features have not been well documented. In this study, we, therefore, evaluated the correlations between TMB and clinical and immunological parameters in patients with NSCLC, with the aim of identifying more convenient factors to use as surrogate markers for TMB.

Materials and methods

Patients and characteristics

We enrolled a total of 92 patients who underwent surgery at the Hospital of Fukushima Medical University from 2013 to 2016. No patients received chemotherapy or immunotherapy before surgery. Disease staging was evaluated according to the current International Union Against Cancer TNM classification, 7th edition. Paired tumor and normal tissues dissected from surgical specimens were collected from all 92 patients for whole-exome sequencing and immunohistochemistry.

Whole-exome sequencing

The 92 pairs of matched tumor and non-tumor samples (184 samples in total) were subjected to wholeexome next-generation sequencing (NGS) using an Ion AmpliSeqTM Exome technology and Ion ProtonTM platform (Thermo Fisher Scientific, Waltham, MA, USA), according to the manufacturer's instructions. Briefly, exome libraries were prepared using an Ion AmpliSeq Exome RDY Kit (Thermo Fisher Scientific) with 100 ng of genomic DNA extracted from the paired tumor and adjacent non-tumor tissue (or corresponding peripheral blood sample) for target amplification by PCR, as described in the manufacturer's protocol. The obtained libraries were optimized using an Ion Library Equalizer kit (Thermo Fisher Scientific) and then sequenced using an Ion Proton or Ion S5XL platform (Thermo Fisher Scientific). The sequenced reads were aligned to the reference genome build hg19 and GRCh37, and converted into binary alignment map files using Ion Torrent Suite software (Thermo Fisher Scientific). The average of Q20 bases and mean coverage depth of the 184 samples were 6.44 Gbp and 123×, respectively, and 90.4% of target bases had a coverage of 20×. Sequence variants found only in tumors were called using Ion Reporter[™] 5.0 (Thermo Fisher Scientific) and CLC Genomics Workbench 8.0 software (Qiagen, Hilden, Germany), and the number of nonsynonymous coding variants was counted. The resulting value was designated as the TMB.

Tumor variants within the hotspot regions for the following genes were detected using the Ion AmpliseqTM Colon and Lung Cancer Panel v2 (Thermo Fisher Scientific) and Ion Personal Genome MachineTM (PGMTM platform (Thermo Fisher Scientific): EGFR, TP53, KRAS, ERBB2, BRAF, CTNNB1, PTEN, cyclin-dependent kinase-inhibitor 2A (CDKN2A), and PIK3CA. Briefly, 10 ng of genomic DNA extracted from the 92 pairs of matched tumor and non-tumor samples was used to prepare a DNA library, as described in the manufacturer's instructions. Mutation hotspots in CDKN2A were also sequenced using the Ion AmpliseqTM Cancer Hotspot Panel v2 (Thermo Fisher Scientific) using Ion PGMTM, according to the manufacturer's instructions.

Immunohistochemistry

Fresh-frozen paraffin-embedded tissue sections of 4-µm thickness were stained for PD-L1 as described previously [14]. Sections were also stained for CD8 to evaluate CD8 + TILs, and p53 to evaluate p53 protein expression. The sections were dewaxed in xylene and dehydrated through an alcohol gradient. Endogenous peroxidase activity was quenched by 20-min incubation with a 0.3% (v/v) solution of hydrogen peroxidase (Wako Pure Chemical Industries Ltd., Osaka, Japan) in 100% methanol. The sections were then incubated in 5% dried skimmed milk in phosphate-buffered saline for 30 min at room temperature, and incubated overnight at 4 °C with primary monoclonal antibodies to PD-L1 (1:100; clone SP142; Ventana, Tucson, AZ, USA), CD8 (1:50; clone C8/144B; DAKO, Santa Clara, CA, USA), or p53 (1:500; Bp53-12, anti-human p53 protein monoclonal antibody, Santa Cruz Biotechnology, TX, USA) using the avidin-biotin complex method. The sections were washed several times in phosphatebuffered saline after each step and counterstained with Mayer's hematoxylin (Muto Pure Chemicals, Co., Ltd., Tokyo, Japan), dehydrated through an alcohol gradient, and mounted on glass slides.

PD-L1 positivity was determined as > 1% tumor area infiltrated by PD-L1-positive immune cells (tumor cell: TC1 or immune cell: IC1) for the SP142 [15]. CD8 + TILs were classified as low (<30%), intermediate (30–60%), or high (>60%) according to the positive rate of CD8 staining [16]. p53 positivity was defined by nuclear staining as 0 (absence of p53-positive cells), 1 (low p53, <5%), 2 (intermediate p53, 5–50%), and 3 (high p53, >5%) [17].

Statistical analyses

The associations between TMB and clinical/immunological parameters were evaluated by univariate analysis using the Mann–Whitney test. Multivariate analysis was performed by multiple linear regression analysis. We estimated the correlation between TP53 mutation and p53 protein expression using Pearson's correlation coefficient. Multivariate analysis was conducted using SPSS version 23 (IBM, Armonk, NY, USA) and Graph Pad Prism version 7 (GraphPad Software, CA, USA) was used for all other statistical analyses.

Results

Patients and characteristics

A total of 92 patients were enrolled and their characteristics are summarized in Supplementary Table 1. The median age was 70 years, 70.7% of patients were male, and 69.6% of all patients were current or former smokers (median Brinkman Index 700, range 45–2580). Sixty-four patients (69.6%) were diagnosed with adenocarcinoma based on surgical specimens. In terms of pathological staging, 73.9% of all patients were stage I. Lung cancer recurrence was seen in 22 patients (24.2%) except 1 patient with stage IV adenocarcinoma diagnosed at surgery. Overall, 14.1% of patients died.

TMB analysis using NGS

We evaluated the TMB in surgical samples from the 92 patients by NGS. The median TMB was 60 somatic mutations per megabase of coding DNA (range 10–502) (Fig. 1). The most common type of mutation was missense mutations. The clinical/immunological parameters and mutations in cancer-associated genes obtained by panel sequencing are shown in Fig. 1, and correlations between these parameters and TMB obtained by univariate analysis are shown in Table 1. Regarding the clinical parameters, male sex (p < 0.0001), current or former smoking status (p < 0.0001), squamous cell carcinoma (p < 0.0001), and tumor size ≥ 2.8 cm (p = 0.0218) were significantly correlated with higher TMB. In terms of cancer-associated mutations, wild-type EGFR (p < 0.0001), TP53 mutation positive (p < 0.0001), and CDKN2A mutation positive (p = 0.0196) were significantly related to TMB. EGFR mutation-negative and TP53 mutation-positive status significantly contributed to TMB based on multivariate analysis (p = 0.0111 and p = 0.0425, respectively) (Supplementary Table 2). We derived the following equation to predict TMB level: TMB = $55.461 - 10.009 \times (male:$ 1, female: 0) + $0.028 \times Brinkman$ index + $18.380 \times (squa$ mous cell carcinoma: 1, adenocarcinoma: 0) + 7.581 × tumor size (cm) – $65.327 \times (EGFR + : 1,$ EGFR-: 0) + 47.050 - (TP53+: 1, TP53-: 0). The coefficient of determination (R^2) was 0.260, indicating a weak correlation.

Among the 65 EGFR mutation-negative patients (Supplementary Table 3), male sex (p = 0.0296), current or former smoker (p = 0.0022), squamous cell carcinoma (p = 0.0034), and TP53 alteration (p = 0.0006) were correlated with TMB according to univariate analysis, and a significant association between TMB and TP53 was identified



Fig. 1 TMB and clinical/immunological parameters. Bar chart showing TMB for each patient, with bars in descending order of TMB. The most common mutation type was missense mutations. The patients' clinical features are described immediately underneath the bar, and gene variants according to panel sequence analysis are described in the bottom panel. Colored cells on the left indicate the state or positive mutation, and tumor diameter ≥ 2.8 cm. *TMB* tumor mutation burden, *Sq* squamous cell carcinoma, *LN* lymph node, *TIL* tumor-

by multivariate analysis (Supplementary Tables 4 and 5). There was no association between TMB and CD8 + TILs (p = 0.2973) or TMB and PD-L1 (p = 0.1984) (Supplementary Fig. 1).

Immunohistochemistry

Immunohistochemistry revealed that 12.7% of the tumors expressed PD-L1, but there was no correlation between PD-L1 and TMB. High CD8 + TILs were detected in 23 (25.0%), intermediate in 53 (57.6%), and low in 16 (17.4%), with no correlation between CD8 + TILs and TMB. There was also no significant correlation between PD-L1 and CD8 + TILs. p53 protein expression in tumors was strongly related to TP53 mutation status measured by immunochemical staining and whole-exome sequencing, respectively (r=0.6599, p < 0.0001) (Supplementary Fig. 2).

infiltrating lymphocyte, *PD-L1* programmed cell death ligand 1, *IHC* immunohistochemical staining, *TP53* tumor protein 53, *KRAS* v-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog, *ERBB2* human epidermal growth factor receptor 2, *BRAF* v-raf murine sarcoma viral oncogene homolog B1, *CTNNB1* catenin beta-1, *PTEN* phosphatase and tensin homolog deleted from chromosome 10, *CDKN2A* cyclindependent kinase-inhibitor gene 2A, *PIK3CA* phosphoinositide-3-kinase, catalytic alpha polypeptide

Discussion

The results of the current study indicated that higher TMB was strongly associated with both TP53 mutation-positive and EGFR mutation-negative status, while TMB was also significantly correlated with TP53 mutation-positive status among EGFR mutation-negative patients. Notably, we found a lack of correlation between TMB and CD8 + TILs, and between TMB and PD-L1 expression. All these parameters have been reported to play essential roles in immuno-oncology [18–20], and might also be predictive biomarkers for the efficacy of immune checkpoint inhibitors. The current results suggest that TMB, CD8 + TILs, and PD-L1 are independent factors.

PD-L1 is currently the only clinical biomarker predicting a reliable effect of the anti-PD-1 antibody pembrolizumab for first-line therapy; however, even PD-L1-negative patients

Table 1	Univariate and	alvsis for	predicting	TMB
Tuble I	Onivariate and	ary 515 101	predicting	11010

	n	Mean	95% CI	p value
EGFR				
Positive	27	32.0	25.8-38.2	< 0.0001
Negative	65	136.0	107.3-164.8	
TP53				
Positive	56	138.0	105.7-170.3	< 0.0001
Negative	36	55.0	35.1-74.9	
KRAS				
Positive	11	94.8	33.6-156.0	0.7871
Negative	81	107.0	82.4-131.6	
ERBB2				
Positive	8	81.5	53.7-125.6	0.3653
Negative	84	54.5	82.5-131.5	
BRAF				
Positive	2	207.0	0.0-2189.2	0.3987
Negative	90	60.0	81.0-125.5	
CTNNB1				
Positive	3	30.7	0.0-81.8	0.0893
Negative	89	108.0	85.0-131.1	
PTEN				
Positive	4	70.8	49.0-92.5	0.6743
Negative	88	107.1	83.6-130.6	
CDKN2A ^a				
Positive	3	199.7	62.6-336.8	0.0196
Negative	55	80.7	57.5-103.8	
PIK3CA				
Positive	6	80.0	15.2-144.8	0.8321
Negative	86	107.3	83.5-131.1	
Age				
>68	50	91.0	69.7–112.3	0.9363
<67	42	122.8	79.9–165.7	
Sex				
Male	65	127.5	98.8-156.2	< 0.0001
Female	27	52.5	27.0-78.1	
Smoking				
Smoker	64	134.0	104.3-163.8	< 0.0001
Never	28	40.4	32.5-48.2	
Histology				
Ad	64	80.5	55.0-106.0	< 0.0001
Sq	28	162.6	122.7–202.5	
Tumor size (a	verage 2.7)		
≥2.8	48	128.4	82.6–164.1	0.0218
<2.7	44	80.6	54.8-106.5	
Stage				
ΙШ	80	100.2	77.3–123.1	0.3719
III IV	12	140.8	51.3-123.2	
LN	. –	105 5		A
+	17	133.8	66.9–200.6	0.3833
-	75	99.1	75.4–122.9	
p53 IHC	<i>.</i> -	102.4	00 0 155 0	0.00/0
1-3+	47	122.1	89.3-155.0	0.0249

Table 1 (continued)					
	n	Mean	95% CI	p value	
_	45	88.2	57.1–119.2		
ALK IHC					
Positive	2	38.0	0.0-228.6	0.3586	
Negative	90	107.0	84.1-129.9		
CD8+TIL					
3+	23	93.5	53.1-133.9	0.6688	
1+/2+	69	109.5	82.2-136.8		
PD-L1					
+	11	156.3	60.2-252.3	0.1479	
-	81	98.6	76–121.3		

TMB tumor mutation burden, TP53 tumor protein 53, KRAS v-Kiras2 Kirsten rat sarcoma viral oncogene homolog, ERBB2 human epidermal growth factor receptor 2, BRAF v-raf murine sarcoma viral oncogene homolog B1, CTNNB1 catenin, beta-1, PTEN phosphatase and tensin homolog deleted from chromosome 10, CDKN2A cyclindependent kinase-inhibitor gene 2A, PIK3CA phosphoinositide-3-kinase, catalytic, alpha polypeptide, CHP cancer hotspot panel, CLP colon and lung cancer research panel, Ad adenocarcinoma, Sq squamous cell carcinoma, LN lymph node, IHC immunohistochemical staining, ALK anaplastic lymphoma kinase, CD8 cluster of differentiation 8, TIL tumor-infiltrating lymphocyte, PD-L1 programmed cell death ligand 1

^aCDKN2A mutation was identified using a CHP panel only, while other mutations were identified using CLP panel sequences. Therefore, the total number of CDKN2A analyses was 58, which differed from other CLP panel sequence analyses

showed better survival outcomes with pembrolizumab compared with chemotherapy (12.6 versus 8.9 months, respectively) [21]. Anti-PD-L1 inhibitors are also effective in approximately 10% of PD-L1-negative patients. To explain why PD-L1-negative patients benefit from immune checkpoint inhibitors, it is necessary to evaluate complex immunological microenvironments [20] and comprehensively consider the clinical events related to TMB and TILs.

The current study found that TMB was not correlated with either PD-L1 or CD8 + TILs. Several previous studies also found no association between TMB and PD-L1 expression [13, 18]. Although several previous studies have analyzed the relationship between TMB and TILs [22, 23], to the best of our knowledge, none have reported on the relationship between TMB and CD8 + TILs. We also showed that PD-L1 expression in tumors was not correlated with the amount of CD8+TILs, indicating that TMB, PD-L1, and CD8+TILs may independently influence the effect of immune checkpoint inhibitors. However, immune-cell PD-L1 expression and infiltration into tumors might correlate with nonsynonymous mutations and tumor number in patients with large-cell neuroendocrine carcinoma [24]. Multiple factors must thus be considered in relation to biomarkers of immune checkpoint inhibitors. Blank et al. and Karasaki et al. previously suggested the concept of 'cancer immunograms' representing several immunological factors as a spider plot, which might be helpful for guiding personalized immunotherapy [25, 26].

Human cancers with higher TMB have been considered suitable for immunotherapy, because a higher TMB may be associated with more neoantigens [11, 27]. Patients with higher TMB levels are more likely to benefit from immunotherapy using immune checkpoint inhibitors [12, 14, 28]. It should be noted that the TMB in the current study was lower than in previous studies. This may be because we only counted nonsynonymous variants in tumor tissues compared with normal lung tissue, and there were, therefore, fewer nonsynonymous variants because adjacent lung tissue, rather than peripheral blood, was used for germline comparison. A high TMB can enrich neoantigen-specific T cells, which attack tumors and subsequently lead to successful treatment outcomes [11]. However, there is currently no evidence to support the ability of TMB alone to predict the efficacy of immune checkpoint inhibitors, and although both high TMB and high PD-L1 expressions are known to predict the effectiveness of anti-PD-1/PD-L1 inhibitors [13, 18], neither marker alone is sufficiently accurate. Both TMB and PD-L1 are tumor characteristics, thus highlighting the importance of patient immunological status. It might thus be necessary to analyze both tumor-specific parameters and the general conditions of patients to predict the benefits of immune checkpoint inhibitors.

Neoantigen-specific T cells mobilized by various gene mutations play a major role in tumor immunity, indicating the importance of the presence of TILs and T cell activation. Regarding the classification of the tumor microenvironment, Teng et al. proposed four categories based on PD-L1 status and the amount of TILs [16]. Furthermore, although the correlation between PD-L1 expression and TILs has been investigated in NSCLC, the results were controversial [24, 29, 30]. Immune-cell infiltration appears to be related to nonsynonymous mutations in the tumor [30], but studies showing a correlation between TMB and TILs are lacking. Unlike melanoma, it is difficult to investigate TILs in patients with advanced or recurrent NSCLC, because of difficulties in obtaining sufficient tissue samples. Although we analyzed TILs in surgical specimens in the current study, it was difficult to predict how many lymphocytes infiltrated the tumor before administering immune checkpoint inhibitors based on smaller sample volumes such as bronchoscopic biopsies.

The following results were also derived from the current whole-exome sequencing. Genes related to the mismatch repair system were examined to identify candidate genes determining TMB. However, we detected no somatically altered variants in the MLH1, MSH2, MSH6, and PMS2 genes in any of the 92 cases. Regarding other mismatch repair system gene groups, somatically altered variants were only detected in one or two cases per gene (data not shown). The rare detection of MSI in lung cancer was similar to previous reports [10, 31]. In addition, among the genes with many detected variants, such as those for squamous cell carcinoma, it was difficult to relate these results to the TMB (data not shown).

Whole-exome sequencing is becoming widely used in major research institutions, and genetic analysis is thus becoming more common [32]. Targeted panel sequences focusing on cancer-related genes are also now available. Targeted panel sequencing analysis could provide a surrogate marker for TMB [33, 34], and may be easier to introduce for clinical use. Furthermore, the cost of using whole-exome sequencing to determine TMB is about five to tenfold that of using Cancer Hotspot Panel sequencing to determine TP53 and EGFR. However, it may be difficult to introduce panel sequencing for large numbers of patients worldwide [35]. Predicting TMB by analyzing specific gene alterations such as TP53 may represent a useful alternative approach. TP53 is a well-known major regulator and repairer of genomic damage, and may thus also affect the TMB. However, our results suggested that EGFR mutation, unlike TP53 mutation, was not associated with a high mutation load. Fast growth and division do not necessarily produce many genetic mutations. Driver mutations, such as EGFR gene mutations, are known to be a strong oncogenic phenomenon, while situations without driver mutations may require more gene alterations to be oncogenic. The rates of cancer cell proliferation and division do not seem to depend on the presence of driver mutations or the diversity of other gene mutations. However, further studies are needed to clarify this essential oncologic issue.

The p53 protein is encoded by the TP53 gene, and TP53 gene mutation increases the expression of p53. Overexpression of p53 protein in tumors without lymph node metastasis is an independent adverse prognostic factor in patients with NSCLC, with 5-year survival rates of 74.1% and 37.5% in p53-negative and p53-positive node-negative patients, respectively (p=0.022) [36]. p53 protein expression is thought to increase in line with cancer growth and progression [17]. Both TP53 mutations and TMB tend to increase with tumor growth, as supported by the current correlation between TP53 mutation and TMB. Although this correlation was revealed by univariate analysis in our study, TMB was significantly higher in larger tumors (diameter ≥ 2.8 cm), indicating that TMB and TP53 reflected tumor growth. We have used the term 'growth' rather than 'progression', because there is no correlation between stage and TMB, and TMB and TP53 are thought to be affected by local tumor growth rather than progression.

In conclusion, TMB may be associated with aberrations in the tumor suppressor gene TP53. Given that TMB is considered as a powerful potential biomarker for immune checkpoint inhibitors, it is possible that TP53 may contribute to predicting the benefit of immune checkpoint inhibitors. However, the current study did not demonstrate an association between TP53 and clinical outcome in patients using immune checkpoint inhibitors. Nevertheless, if TMB is recognized as a robust biomarker of response to immune checkpoint inhibitors, it is possible that analyzing TP53 and EGFR mutations may provide a rapid and easy proxy for predicting TMB. Although TMB was poorly correlated with TILs and PD-L1, future biomarkers involving combinations of several factors are likely to become more important in the future. Further studies are necessary to confirm our results and to assess the value of TP53 as a predictive biomarker of response to immune checkpoint inhibitors in patients with NSCLC.

Acknowledgements We thank Ms. Kikuta, Ms. Otomo, and Ms. Otsuki for excellent technical support for this study.

Author contributions YO and HS designed the study. YO wrote the initial draft of the manuscript. SM, DT, HN, JI, TI, and SW contributed to analysis and interpretation of data, and assisted in the preparation of the manuscript. SM, HT, MW, TI, MF, TY, NO, YM, TH, JO, MH, and YS contributed to data collection and interpretation, and critically reviewed the manuscript. All authors approved the final version of the manuscript, and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Funding This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval and ethical standards This study was approved by the Institutional Ethics Committee at Fukushima Medical University (No. 2538). Whole-exome sequencing by next-generation sequencing was performed in accordance with the Ethical Guidelines for Human Genome and Genetic Analysis Research.

Informed consent Patients with lung cancer provided written informed consent for the use (including the use for NGS) of tissue specimens and clinical data for research prior to undergoing pulmonary resection at the Department of Chest Surgery of Fukushima Medical University.

References

- Carbognin L, Pilotto S, Milella M et al (2015) Differential activity of nivolumab, pembrolizumab and MPDL3280A according to the tumor expression of programmed death-ligand-1 (PD-L1): sensitivity analysis of trials in melanoma, lung and genitourinary cancers. PloS One 10:e0130142. https://doi.org/10.1371/journ al.pone.0130142
- Herbst RS, Soria JC, Kowanetz M et al (2014) Predictive correlates of response to the anti-PD-L1 antibody MPDL3280A in cancer patients. Nature 515:563–567. https://doi.org/10.1038/ nature14011

- Gettinger SN, Horn L, Gandhi L et al (2015) Overall survival and long-term safety of nivolumab (anti-programmed death 1 antibody, BMS-936558, ONO-4538) in patients with previously treated advanced non-small-cell lung cancer. J Clin Oncol 33:2004–2012. https://doi.org/10.1200/JCO.2014.58.3708
- Rizvi NA, Garon EB, Patnaik A et al (2014) Safety and clinical activity of MK-3475 as initial therapy in patients with advanced non-small cell lung cancer (NSCLC). J Clin Oncol 32:8007. https ://doi.org/10.1200/jco.2014.32.15_suppl.8007
- Rizvi NA, Shepherd FA, Antonia SJ et al (2014) First-line monotherapy with nivolumab (Anti-PD-1; BMS-936558, ONO-4538) in advanced non-small cell lung cancer (NSCLC): safety, efficacy, and correlation of outcomes with PD-L1 status: metastatic nonsmall cell lung cancer. Int J Radiat Oncol Biol Phys 90:S31. https ://doi.org/10.1016/j.ijrobp.2014.08.204
- Antonia SJ, Gettinger S, Goldman J et al (2014) Safety and efficacy of first-line nivolumab (Anti-PD-1; BMS-936558, ONO-4538) and ipilimumab in non-small cell lung cancer (NSCLC): metastatic non-small cell lung cancer. Int J Radiat Oncol Biol Phys 90:S32–S33. https://doi.org/10.1016/j.ijrobp.2014.08.207
- Garon EB, Rizvi NA, Hui R et al (2015) Pembrolizumab for the treatment of non-small-cell lung cancer. N Engl J Med 372:2018– 2028. https://doi.org/10.1056/NEJMoa1501824
- Rizvi NA, Mazieres J, Planchard D et al (2015) Activity and safety of nivolumab, an anti-PD-1 immune checkpoint inhibitor, for patients with advanced, refractory squamous non-small-cell lung cancer (CheckMate 063): a phase 2, single-arm trial. Lancet Oncol 16:257–265. https://doi.org/10.1016/s1470-2045(15)70054 -9
- Tumeh PC, Harview CL, Yearley JH et al (2014) PD-1 blockade induces responses by inhibiting adaptive immune resistance. Nature 515:568–571. https://doi.org/10.1038/nature13954
- Warth A, Korner S, Penzel R, Muley T, Dienemann H, Schirmacher P, von Knebel-Doeberitz M, Weichert W, Kloor M (2016) Microsatellite instability in pulmonary adenocarcinomas: a comprehensive study of 480 cases. Virchows Archiv 468:313–319. https://doi.org/10.1007/s00428-015-1892-7
- Schumacher TN, Schreiber RD (2015) Neoantigens in cancer immunotherapy. Science 348:69–74. https://doi.org/10.1126/scien ce.aaa4971
- Rizvi NA, Hellmann MD, Snyder A et al (2015) Cancer immunology. Mutational landscape determines sensitivity to PD-1 blockade in non-small cell lung cancer. Science 348:124–128. https:// doi.org/10.1126/science.aaa1348
- Carbone DP, Reck M, Paz-Ares L et al (2017) First-line nivolumab in stage IV or recurrent non-small-cell lung cancer. N Engl J Med 376:2415–2426. https://doi.org/10.1056/NEJMoa1613493
- Owada-Ozaki Y, Muto S, Takagi H et al (2018) Prognostic impact of tumor mutation burden in patients with completely resected non-small cell lung cancer: brief report. J Thorac Oncol. https:// doi.org/10.1016/j.jtho.2018.04.003
- Hirsch FR, McElhinny A, Stanforth D et al (2016) PD-L1 immunohistochemistry assays for lung cancer: results from phase 1 of the "blueprint PD-L1 IHC assay comparison project". J Thorac Oncol. https://doi.org/10.1016/j.jtho.2016.11.2228
- Teng MW, Ngiow SF, Ribas A, Smyth MJ (2015) Classifying cancers based on T-cell infiltration and PD-L1. Cancer Res 75:2139–2145. https://doi.org/10.1158/0008-5472.can-15-0255
- Rashed HE, Abdelrahman AE, Abdelgawad M, Balata S, Shabrawy ME (2017) Prognostic significance of programmed cell death ligand 1 (PD-L1), CD8 + tumor-infiltrating lymphocytes and p53 in non-small cell lung cancer: an immunohistochemical study. Turk Patoloji Derg 1:211–222. https://doi.org/10.5146/tjpat h.2017.01398
- 18. Rizvi H, Sanchez-Vega F, La K et al (2018) Molecular determinants of response to anti-programmed cell death (PD)-1 and

anti-programmed death-ligand 1 (PD-L1) blockade in patients with non-small-cell lung cancer profiled with targeted next-generation sequencing. J Clin Oncol 36:633–641. https://doi.org/10.1200/JCO.2017.75.3384

- Reck M, Rodriguez-Abreu D, Robinson AG et al (2016) Pembrolizumab versus chemotherapy for PD-L1-positive non-small-cell lung cancer. N Engl J Med. https://doi.org/10.1056/NEJMoa1606 774
- 20. Chen DS, Mellman I (2017) Elements of cancer immunity and the cancer-immune set point. Nature 541: 321. https://doi. org/10.1038/nature21349. https://www.nature.com/articles/natur e21349#supplementary-information
- Seetharamu N, Preeshagul IR, Sullivan KM (2017) New PD-L1 inhibitors in non-small cell lung cancer—impact of atezolizumab. Lung Cancer (Auckland, N.Z.) 8:67–78. https://doi.org/10.2147/ lctt.s113177
- Rooney MS, Shukla SA, Wu CJ, Getz G, Hacohen N (2015) Molecular and genetic properties of tumors associated with local immune cytolytic activity. Cell 160:48–61. https://doi. org/10.1016/j.cell.2014.12.033
- Spranger S, Luke JJ, Bao R, Zha Y, Hernandez KM, Li Y, Gajewski AP, Andrade J, Gajewski TF (2016) Density of immunogenic antigens does not explain the presence or absence of the T-cellinflamed tumor microenvironment in melanoma. Proc Natl Acad Sci USA 113:E7759–E7768. https://doi.org/10.1073/pnas.16093 76113
- Kim HS, Lee JH, Nam SJ, Ock CY, Moon JW, Yoo CW, Lee GK, Han JY (2018) Association of PD-L1 expression with tumorinfiltrating immune cells and mutation burden in high-grade neuroendocrine carcinoma of the lung. J Thorac Oncol 13:636–648. https://doi.org/10.1016/j.jtho.2018.01.008
- Blank CU, Haanen JB, Ribas A, Schumacher TN (2016) Cancer immunology. The "cancer immunogram". Science 352:658–660. https://doi.org/10.1126/science.aaf2834
- Karasaki T, Nagayama K, Kuwano H et al (2017) An immunogram for the cancer-immunity cycle: towards personalized immunotherapy of lung cancer. J Thorac Oncol 12:791–803. https://doi. org/10.1016/j.jtho.2017.01.005
- 27. Alexandrov LB, Nik-Zainal S, Wedge DC et al (2013) Signatures of mutational processes in human cancer. Nature 500:415–421. https://doi.org/10.1038/nature12477
- Van Allen EM, Miao D, Schilling B et al (2015) Genomic correlates of response to CTLA-4 blockade in metastatic melanoma. Science 350:207–211. https://doi.org/10.1126/science.aad0095

- Konishi J, Yamazaki K, Azuma M, Kinoshita I, Dosaka-Akita H, Nishimura M (2004) B7-H1 expression on non-small cell lung cancer cells and its relationship with tumor-infiltrating lymphocytes and their PD-1 expression. Clin Cancer Res 10:5094–5100. https://doi.org/10.1158/1078-0432.CCR-04-0428
- He Y, Rozeboom L, Rivard CJ, Ellison K, Dziadziuszko R, Yu H, Zhou C, Hirsch FR (2017) PD-1, PD-L1 protein expression in non-small cell lung cancer and their relationship with tumorinfiltrating lymphocytes. Med Sci Monit 23:1208–1216. https:// doi.org/10.12659/msm.899909
- Takamochi K, Takahashi F, Suehara Y et al (2017) DNA mismatch repair deficiency in surgically resected lung adenocarcinoma: microsatellite instability analysis using the promega panel. Lung Cancer (Amsterdam, Netherlands) 110:26–31. https://doi. org/10.1016/j.lungcan.2017.05.016
- Steuer CE, Ramalingam SS (2018) Tumor mutation burden: leading immunotherapy to the era of precision medicine? J Clin Oncol 36:631–632. https://doi.org/10.1200/JCO.2017.76.8770
- Garofalo A, Sholl L, Reardon B et al (2016) The impact of tumor profiling approaches and genomic data strategies for cancer precision medicine. Genome Med 8:79. https://doi.org/10.1186/s1307 3-016-0333-9
- Campesato LF, Barroso-Sousa R, Jimenez L, Correa BR, Sabbaga J, Hoff PM, Reis LF, Galante PA, Camargo AA (2015) Comprehensive cancer-gene panels can be used to estimate mutational load and predict clinical benefit to PD-1 blockade in clinical practice. Oncotarget 6:34221–34227. https://doi.org/10.18632/oncot arget.5950
- 35. Global Burden of Disease Cancer C, Fitzmaurice C, Allen C et al (2017) Global, regional, and national cancer incidence, mortality, years of life lost, years lived with disability, and disabilityadjusted life-years for 32 cancer groups, 1990–2015: a systematic analysis for the global burden of disease study. JAMA Oncol 3:524–548. https://doi.org/10.1001/jamaoncol.2016.5688
- 36. Suzuki H, Kawaguchi T, Hasegawa T et al (2006) Prognostic impact of p53 protein overexpression in patients with node-negative lung adenocarcinoma. Cancer Lett 237:242–247. https://doi. org/10.1016/j.canlet.2005.06.014

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.