



Impaired lymphocyte function in patients with hepatic malignancies after selective internal radiotherapy

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Abstract

The purpose of our study was to assess the immune function of patients with inoperable hepatic malignancies after treatment with selective internal radiotherapy (SIRT) and to identify possible correlations with clinical parameters. In 25 patients receiving SIRT lymphocyte proliferation and the production of pro- and anti-inflammatory cytokines (interferon- γ and interleukin-10) after stimulation with mitogens and microbial antigens were tested prior to therapy, directly after therapy (day 1) and at day 2, 7 and 28 post therapy using the lymphocyte transformation test and enzyme-linked immunospot assays. Absolute counts and percentages of leukocyte and lymphocyte subsets were determined by flow cytometry. The most prominent finding was an immediate and significant ($p < 0.05$) decrease of lymphocyte proliferation and interferon- γ production directly after therapy which lasted until day 28 and was stronger upon stimulation with microbial antigens than with mitogens. Moreover, lymphopenia was revealed, affecting all lymphocyte subsets (CD3+, CD4+, CD8+ T cells, CD4+ CD8+ T cells, B cells and NK cells). SIRT led to a reduction in the percentage of activated HLA-DR+ monocytes and of CD45RO+ memory T cells. Higher radiation activity, the presence of liver cirrhosis, chronic kidney disease, diabetes mellitus and metastases were unfavorable factors for immunocompetence, while a better Eastern Cooperative Oncology Group performance status was associated with stronger immunological reactions. In conclusion, SIRT leads to severe impairment of cellular in vitro immune responses. Further studies are needed to assess a potential clinical impact.

Keywords Hepatic malignancy · Selective internal radiotherapy · Lymphocyte proliferation · ELISpot · Interferon- γ · Flow cytometry

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Abbreviations

CAN	<i>Candida albicans</i>
Cpm	Counts per minute
DOTATOC	DOTA(0)-Phe(1)-Tyr(3)-octreotide
ECOG	Eastern Cooperative Oncology Group
HCC	Hepatocellular carcinoma
HSV-1	Herpes simplex virus type 1
LTT	Lymphocyte transformation test
OKT3	Anti-CD3 monoclonal antibody
PPD	Purified protein derivate
PWM	Pokeweed mitogen
SIRT	Selective internal radiotherapy

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TET Tetanus toxoid
⁹⁰Y Yttrium-90

Introduction

From radiolabeled peptides and monoclonal antibodies to small particles containing radionuclides, therapeutic radiopharmaceuticals are gaining wider clinical implementation in the field of cancer therapy, providing targeted treatment modalities with fewer potential side effects. Selective internal radiotherapy (SIRT) is one such modality, which offers an alternative in patients with irresectable primary liver cancer and liver metastases [1].

SIRT consists of millions of resin or glass microspheres containing the radioisotope ⁹⁰Yttrium (⁹⁰Y) which are delivered by hepatic artery injection directly into the capillary bed. The microspheres preferentially lodge in the microvasculature of the tumor and destroy it mainly by delivering high-dose radiotherapy [2]. The treatment exploits the higher arterial perfusion of the hepatic tumors compared to the liver due to their blood supply from the hepatic artery rather than the portal vein [3], thus resulting in a lower radiation dose to the normal liver parenchyma.

The radioisotope ⁹⁰Y is a beta-emitter with a half-life of 64.1 h and a maximum tissue penetration of 11 mm (mean 2.5 mm). There has been only sparse research on the effect of beta radiation on the immune system. In a recent study, it could be shown that patients with thyroid carcinoma treated with the beta-emitter radioiodine exhibit no cellular immunodeficiency after the treatment, but a shift from TH-2 to TH-1 response [4]. On the contrary, the lymphocyte proliferation and the pro-inflammatory immune responses decreased after treatment with ⁹⁰Y radiolabeled somatostatin analogs (⁹⁰Y DOTATOC) in patients with neuroendocrine tumors, revealing an immunosuppression [5]. In accordance with these results, grade 2–3 lymphocyte toxicity after peptide-receptor radionuclide therapy (PRRT) with ⁹⁰Y or ¹⁷⁷Lutetium radiolabeled somatostatin analogs or both has been reported [6].

Unlike the above-mentioned tumor radiotherapies, where the radionuclides circulate in the bloodstream exposing the whole body to low-dose radiation, in SIRT the ⁹⁰Y radioactive microspheres are captured in the capillary beds of the tumors and the liver, resulting in a local irradiation of these tissues. Since the liver is a well-perfused organ with a mean total hepatic blood flow of approximately 1 L/min [7–9], the whole blood is irradiated during its passage through the liver circulation. In addition, it is known that the liver apart from being responsible for the metabolism and detoxification of substances exerts many complex immunological functions and continuing research reveals new insights into its important role in the innate and adaptive immune system [10, 11].

The fact that it comes into contact with food antigens and pathogens from the gut and the systemic circulation makes the balance between immune tolerance and response vital for the whole organism. These immunological functions are underpinned by a large and heterogenous population of resident lymphocytes, while at the same time constantly being patrolled by recirculating lymphocytes [11–16]. Furthermore, apart from the fact that hepatocellular carcinoma is characterized by arterial hypervascularisation, it is described that also the portal lymph flow is increased in cirrhosis, which is a common underlying disease in hepatocellular carcinoma (HCC) [17]. Carreira et al. demonstrated the presence of a rich system of lymphatic vessels at the margins of HCC and metastatic disease to the liver [18]. Therefore, in addition to the irradiation of non-tumorous liver tissue, SIRT also results in an inadvertent irradiation of the immune system.

In 2004, Carr was the first to report lymphopenia in a cohort of sixty-five patients with nonresectable HCC treated by SIRT [19]. In this study, more than 75% of the patients exhibited decreased lymphocyte counts after therapy, which in some cases persisted for more than 1 year. Since then, several other studies have reported mild to severe lymphopenia as an adverse event [20, 21]. Nevertheless, since no serious infections had ever been described in the patients after treatment, it has never been subject of further studies.

It was the aim of this prospective study to explore for the first time effects of SIRT on the immune defense against microorganisms, not just by determining immune cell counts but by going beyond and investigating the changes of lymphocyte function. To assess lymphocyte function, the capacity to proliferate was determined by lymphocyte transformation test (LTT) and the capacity to produce pro- and anti-inflammatory cytokines by enzyme-linked immunospot (ELISpot), an assay that measures cytokine production on a single cell level [22]. To determine in detail, phenotypic changes of leukocyte subsets, fluorescence-activated cell sorting (FACS) analyses were performed. In addition, the impact of potential risk factors was investigated.

Patients, material, methods

Patients and sample collection

Between January 2013 and April 2014, 25 patients treated with ⁹⁰Y radioactive glass microspheres because of irresectable hepatic malignancies were included into this prospective study. Patient characteristics are detailed in Table 1. Since the aim of the study was to assess immunological alterations after radiotherapy, the following exclusion criteria were applied: known autoimmune disease, immunosuppressive medications, previous liver transplantation, and

Table 1 Characteristics of 25 patients with hepatic malignancies treated by selective internal radiotherapy

Female/male	5/20
Median age (range), years	73 (33–84)
Median administered activity (range), GBq	2.92 (1.01–6.42)
Median of target volume doses (range), Gy	119 (110–282)
Median lung dose ^a (range), Gy	6.45 (1–21.4)
Median pulmonary shunt (range) %	4.4 (1.3–28.1)
No. of treatment cycle	
1st	21
2nd	3
3rd	1
Primary tumor	
Liver	24
CUP	1
Metastases	
Without	10
Lungs	4
Adrenal gland	2
Bones	2
Pancreas	1
Stomach	1
Other	5
With bone metastases	23/2
Child–Pugh class ^a	
No liver cirrhosis = 0	3
A = 1	21
Without/with chronic kidney disease	20/5
Without/with diabetes mellitus type II	12/13
ECOG performance status	
0	14
1	9
2	2
Without/with arterial hypertension	3/22
Without/with coronary artery disease	14/11
Laboratory-chemical values prior to SIRT	
Glomerular filtration rate prior to therapy ^b	
< 90 mL/min/1.73 m ²	20
> 90 mL/min/1.73 m ²	3
Quick value	
Within/below lower limit of normal	20/5
Serum creatinine ^b	
Within/above or below sex-dependent norm	14/9
Bilirubin total	
Within/above upper limit of normal	17/8
Bilirubin direct ^a	
Within/above upper limit of normal	1/23
Albumin ^b	
Within/above upper limit of normal	22/1
Aspartate aminotransferase ^b	
Within/above sex-dependent upper limit of normal	10/13

Table 1 (continued)

Alanine aminotransferase ^b	
Within/above sex-dependent upper limit of normal	12/11
Alkaline phosphatase ^b	
Within/above sex-dependent upper limit of normal	8/15
Gamma-glutamyl transferase ^b	
Within/above sex-dependent upper limit of normal	1/22
α-fetoprotein prior to SIRT	
< 10 IU/mL	12
> 10 IU/mL	13
α-fetoprotein after SIRT ^c	
< 10 IU/mL	5
> 10 IU/mL	17

CUP cancer of unknown primary, ECOG Eastern Cooperative Oncology Group

^aOne unknown value

^bTwo unknown values

^cThree unknown values

previous treatment with sorafenib. Depending on the clinical condition of the patient and the tumor response, a patient can receive more than one cycle of radiotherapy. In our study, 21 patients underwent their first cycle, 3 were recruited at their second cycle and 1 at the third cycle (Table 1). To rule out a possible influence from the last therapy cycle, patients were included only if the last cycle was applied more than 1 year ago. Blood sampling was scheduled prior to radiotherapy (day 0), directly after radiotherapy (day 1), at 24 h (day 2), at day 7 and day 28 post therapy. Strictly speaking, blood samples of the last two time points were drawn on day 7.3 ± 1.3 (mean and standard error of the mean) and on day 27.4 ± 1.1 . Peripheral blood mononuclear cells (PBMC) were isolated from heparinized blood after density gradient centrifugation.

Lymphocyte transformation test

The LTT was used to quantify the in vitro proliferation of lymphocytes upon stimulation by four mitogens [phytohaemagglutinin (PHA), concanavalin A (ConA), pokeweed mitogen (PWM) and anti-CD3 monoclonal antibody (OKT3)] and twelve recall antigens [Purified protein derivative (PPD), tetanus toxoid, diphtheria toxoid, *Candida albicans*, cytomegalovirus, herpes simplex virus type 1, varicella zoster virus, rubella virus, mumps virus, measles virus, influenza A and B virus]. As described previously in greater detail [4], 50 000 PBMC in 200 μL of cell culture medium per well of microtiter plates were incubated with mitogens and antigens for 3 and 5 days, respectively. 16 h before harvesting 37 kBq ³H thymidine was added. The cultures were

harvested onto filter pads, and the incorporated radioactivity was measured in a liquid scintillation counter.

ELISpot assay

Our focus of interest was the quantitative assessment of the pro-inflammatory cytokine IFN- γ and the counter-regulatory, anti-inflammatory cytokine IL-10. Four mitogens (PHA, ConA, PWM and OKT3) and three antigens (PPD, tetanus toxoid and *Candida albicans*) were applied as stimuli of cytokine production. As described thoroughly in a previous publication [4], 200,000 or 400,000 PBMC in 200 μ L culture medium per well of microtiter plates were stimulated for 1 day with various mitogens or antigens, respectively. They were then added to a 96-well plate, which was pre-coated with a monoclonal antibody specific for the secreted cytokine. After 2 days of incubation, the cells were washed away and a second detection antibody was added. The resulting complex was then colored using avidin–biotin peroxidase and the substrate 3-amino-9-ethyl-carbazole. After 12 min, the reaction could be seen as spots on the surface of each well. The number of spots was counted by an ELISpot Reader (AID Fluorospot; Autoimmun Diagnostika GmbH, Strassberg, Germany).

Flow cytometry

For the phenotypic characterization of cells pre- and post-radiotherapy using multicolor flow cytometry, 50 μ L of EDTA-treated whole blood was incubated with 20 μ L of fluorochrome-conjugated monoclonal antibodies for 10 min in the dark at 20 °C. Cells were surface-stained with the following antibodies: CD45, CD14, CD3, CD4, CD8, CD16+ 56, CD19, CD45RA, CD45RO, HLA-DR, IgG1 and IgG2a (BD Biosciences, Heidelberg, Germany). Subsequently, the red blood cells were lysed with FACS Lysing Solution (BD Biosciences) and the samples were analyzed on a FACS-Calibur flow cytometer (BD Biosciences). This procedure was combined with beads containing defined amounts of a fluorophore (True Count Beads, BD Biosciences) to determine the absolute numbers of stained cells. Flow data were analyzed using BD CellQuest Pro™ software.

Statistical analysis

For the evaluation of LTT responses, the second highest ^3H thymidine uptake was considered as described previously [23]. Counts per minute (cpm), referring to ^3H thymidine uptake, and spots per cell were the measurement units for LTT and ELISpot, respectively. The cumulative antigen score was generated by an algorithm as published [23]; it sums up the scores of each reaction towards the twelve recall antigens tested by LTT. Reactions pre- and post-SIRT were

compared by Wilcoxon matched pairs test. The statistical analysis of all these data was performed using GraphPad Prism software (version 5.03). For the correlation of numerical variables, the Spearman Rho test was applied using SPSS software (version 22). Correlation of categorical variables with the immune function was analyzed by Mann–Whitney test. *P* values of <0.05 were considered statistically significant.

Results

Immune response after SIRT

In 25 patients receiving SIRT proliferative lymphocyte responses as well as IFN- γ production upon stimulation with different mitogens and recall antigens decreased rapidly after the treatment and remained considerably low during the whole period of follow-up, showing a strong impairment of the immune system.

In detail, lymphocyte proliferation as determined by LTT towards all mitogens and ten out of twelve recall antigens (Fig. 1a, b) was significantly ($p < 0.05$) reduced at day 1 and kept on decreasing on day 2 reaching a minimum at day 7. The remaining two recall antigens, rubella and measles virus, showed the same tendency. Twenty-eight days after therapy, the proliferative responses to all mitogens and antigens were still lower compared to the pretreatment levels. Towards the mitogen ConA and eight out of twelve recall antigens, the proliferation remained significantly ($p < 0.05$) lower than prior to therapy.

Similarly, IFN- γ secretion after stimulation with all mitogens and microbial antigens (Fig. 1c, d) dropped constantly after therapy until day 7 and at day 28 was still below the pretreatment levels. For the mitogens PHA and PWM and all antigens (PPD, tetanus toxoid, *Candida albicans*) the reaction was significantly ($p < 0.05$) weaker compared to that prior to the therapy. Moreover, a decrease of IL-10 secretion ($p < 0.05$) was observed at day 2 for the mitogens PHA and PWM and at day 1, 2 and 7 for ConA (Fig. 1e).

Influence of SIRT on peripheral blood leukocytes

To investigate the influence of SIRT on the immune cell counts and their subpopulations in the peripheral blood, different markers were used in flow cytometric analysis pre- and post-treatment. Overall, treated patients exhibited a profound lymphopenia directly after therapy, whereas granulocytes and monocytes increased rapidly, overall leading to an increase of the total number of leukocytes (Fig. 2a). Granulocytes fell to the pretreatment levels at day 28 while the monocyte counts remained at high level.

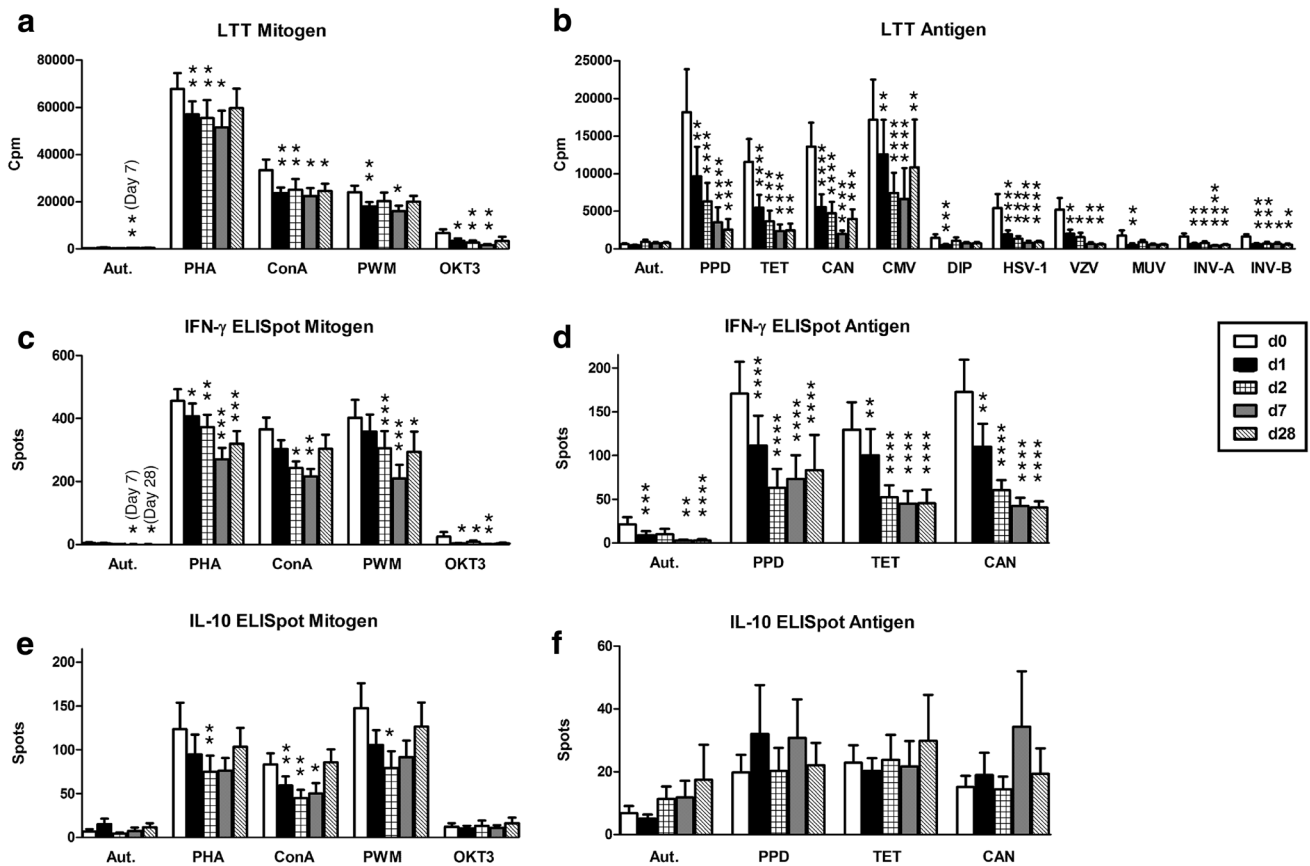


Fig. 1 Cellular in vitro reaction toward mitogens and recall antigens in patients with hepatic tumors receiving selective internal radiotherapy ($n=22-25$). Data represent mean and standard error of the mean (SEM), either pre therapy (day 0, white bars), directly after therapy (day 1, black bars), at 24 h (day 2, hatched bars), at day 7 (gray bars) or at day 28 (striped bars) post therapy. LTT mitogen responses and LTT antigen responses (a, b) are given as counts per minute of ^3H thymidine uptake (cpm), IFN- γ and IL-10 secretion to the ELISpot (c–f) as spots per culture. The Wilcoxon matched pairs test was used

for comparisons; the level of significance is indicated in comparison with day 0 (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$). LTT lymphocyte transformation test, Aut autologous, PHA phytohemagglutinin, ConA concanavalin A, PWM pokeweed mitogen, OKT3 anti-CD3 monoclonal antibody, PPD purified protein derivate (tuberculin), TET tetanus toxoid, CAN *Candida albicans*, CMV cytomegalovirus, DIP diphtheria toxoid, HSV-1 Herpes simplex virus type 1, VZV Varicella zoster virus, MUV mumps virus, INV-A influenza virus A, INV-B influenza virus B

Significant ($p < 0.05$) lymphopenia was observed affecting all the subpopulations, i.e. CD3+, CD4+, CD8+ T cells, CD4+ CD8+ T cells, CD19+ B cells and NK cells (Fig. 2b). On the last day of follow-up (day 28), all lymphocyte subpopulations remained decreased with the T cell subsets showing a tendency for recovery. The analyses of the percentage of HLA-DR+ T cells (CD3+, CD4+, CD8+) revealed an interesting pattern: after decreasing directly after therapy and reaching a minimum ($p < 0.01$) at day 2, all HLA-DR+ T cells started increasing at day 7 and were approximately two-fold higher at day 28 compared to pretherapy levels (< 0.0001) (Fig. 2c). The effect of SIRT on the percentage of HLA-DR+ antigen-presenting cells (CD19+ B cells and CD14+ monocytes) was also suppressive reducing their counts at day 2. But both populations returned to pretherapy levels after 28 days. Furthermore, T cells were analyzed for alterations after SIRT according to

their naïve (CD45RA+) or memory (CD45RO+) phenotype. Taken together, memory T cells tended to decrease while their naïve counterparts moved profoundly in the opposite direction (Fig. 2d). The proportion of CD3+ memory T cells was significantly ($p < 0.05$) depleted at day 2 and 28 and of CD4+ memory T cells at day 28. A tendency for lower cell counts was observed at day 2 also for CD8+ memory T cells ($p = 0.07$). Conversely, naïve CD3+, CD4+ and CD8+ T cells were significantly ($p < 0.05$) augmented rapidly after SIRT and remained elevated for the whole time of follow-up.

Correlation of clinical parameters and cellular in vitro immune responses

To assess the relationship between immune function and clinical parameters, all patient characteristics as given in

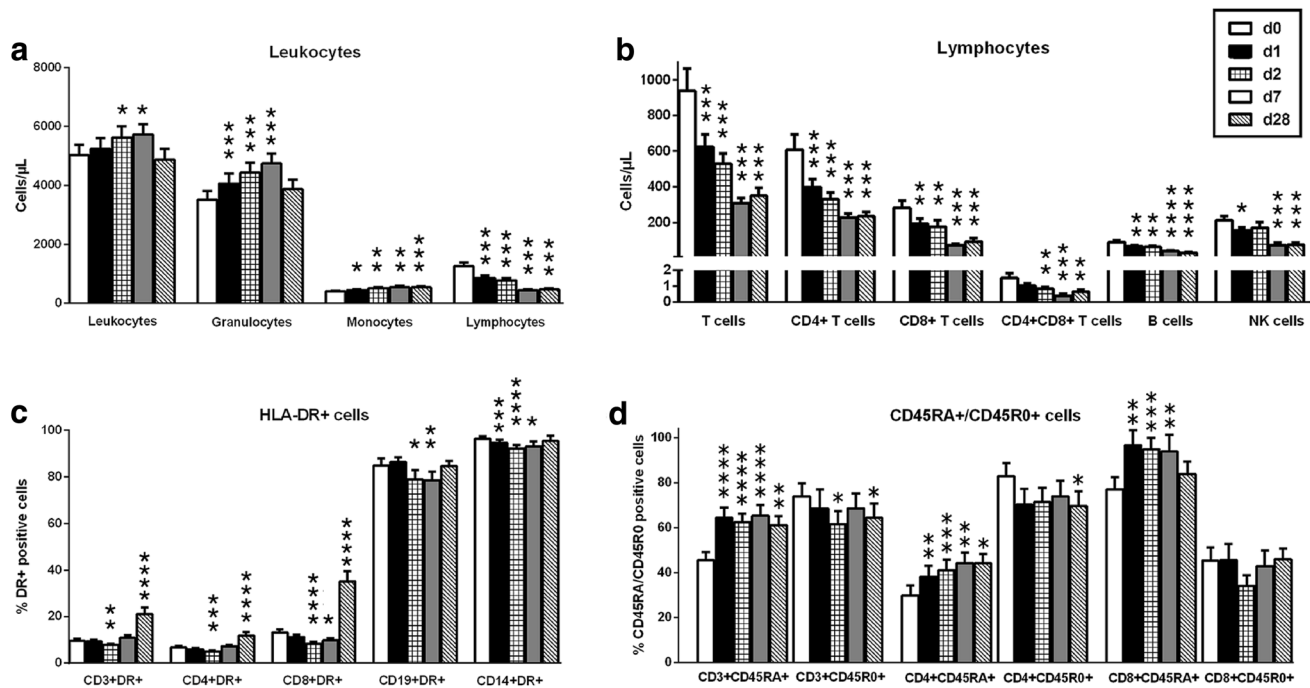


Fig. 2 Effect of selective internal radiotherapy on peripheral blood cells in patients with hepatic tumors ($n=21-25$). **a** The number of granulocytes and monocytes increased after therapy, consequently leading to an increase of the total number of leukocytes, whereas lymphocytes decreased. **b**, **c** SIRT had a suppressive effect on all types of peripheral lymphocytes as well as HLA-DR-positive cells. **d** The effects of SIRT on peripheral naïve (CD45RA+) and memory (CD45R0+) T cells were diverse. Data represent mean and stand-

ard error of the mean (SEM), either pre therapy (day 0, white bars), directly after therapy (day 1, black bars), at 24 h (day 2, hatched bars), at day 7 (gray bars) or at day 28 (striped bars) post therapy. Numbers of cells are given as cells/ μL . The Wilcoxon matched pairs test was used for comparisons; the level of significance is indicated in comparison with day 0 (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$)

Table 1 were included into the analyses. Tables 2 and 3 present the most significant results.

The radiation activity correlated positively with the granulocyte count post therapy. Patients receiving higher radiation activity had higher granulocyte counts for the whole course after therapy. On the contrary, lymphocytes decreased with higher radiation activity. The radiation effects on lymphocytes were most profound at day 7. At that point in time, the radiation activity correlated negatively ($p \leq 0.005$) with the absolute lymphocyte number, different lymphocyte subpopulations (such as CD8+ T cells, B cells and activated B cells), lymphocyte proliferation after stimulation with a set of twelve recall antigens (cumulative antigen score) and secretion of the pro-inflammatory cytokine IFN- γ after stimulation with mitogens and antigens (PHA, ConA, PWM, tetanus toxoid and *Candida albicans*).

Cellular in vitro immune responses were positively ($p < 0.05$) influenced by glomerular filtration rate (GFR) and negatively ($p < 0.05$) by Child–Pugh score, i.e., better kidney and liver function correlated with stronger immune responses pre- and post treatment.

As expected, the presence of concomitant diseases such as chronic kidney disease or diabetes mellitus led to

significantly reduced ($p < 0.05$) immune responses, as indicated by lower levels of IFN- γ and IL-10 production. A similar result was observed for patients with poorer baseline Eastern Cooperative Oncology Group (ECOG) performance status (1 or 2 versus 0). Moreover, the presence of metastases was associated with decreased T-cell responses. Specifically, these patients had lower T-cell proliferation ($p < 0.05$) upon stimulation with OKT3 before therapy and at day 1 and upon stimulation with cytomegalovirus at day 7.

Summarizing, factors contributing to impaired immune function were higher ^{90}Y activity, the presence of liver cirrhosis, chronic kidney disease, diabetes mellitus and metastases, whereas better ECOG score was a favorable factor.

Discussion

Increased evidence discloses the important role of the liver as an immunological organ which regulates the homeostasis of the whole organism [10, 24, 25]. Therefore, it is reasonable to assume that the irradiation of the liver could have systemic implications on the immune system. Studies have already shown that SIRT leads to lymphopenia [19, 20].

Table 2 Correlation analysis of numerical variables (Spearman's Rho)

Parameter		Time point (day)	<i>r</i>	<i>p</i>
Clinical	Immune system			
Administered radiation activity (GBq)	Granulocyte count	0	0.40	0.045
		7	0.43	0.04
		28	0.46	0.038
	Lymphocyte count	7	−0.56	0.005
		7	−0.52	0.011
	CD8 + T cells	7	−0.51	0.013
		7	−0.63	0.002
	B cells	2	−0.42	0.042
		7	−0.45	0.03
	HLA-DR + T cells	2	−0.46	0.023
		7	−0.54	0.008
	HLA-DR + B cells	28	−0.62	0.003
		7	−0.57	0.005
	CD45RA + T cells	7	−0.63	0.001
		7	−0.44	0.049
	CD8 + CD45RA + cells	7	−0.50	0.016
		7	−0.46	0.024
	CD8 + CD45R0 + cells	2	−0.46	0.024
		7	−0.43	0.039
	PHA LTT	7	−0.64	0.001
		2	−0.49	0.017
	LTT Cum. antigen score	7	−0.50	0.014
		2	−0.45	0.031
PHA IFN- γ ELISpot	7	−0.48	0.021	
	2	−0.45	0.032	
ConA IFN- γ ELISpot	7	−0.53	0.009	
	2	−0.45	0.03	
PWM IFN- γ ELISpot	2	−0.45	0.03	
	7	−0.52	0.009	
TET IFN- γ ELISpot	7	−0.46	0.028	
	2	−0.63	0.001	
CAN IFN- γ ELISpot	7	−0.52	0.01	
	7	−0.58	0.003	
HSV-1 LTT	2	−0.44	0.037	
	7	−0.44	0.039	
PHA IFN- γ ELISpot	7	−0.50	0.019	
	7	−0.46	0.03	
LTT Cum. Antigen score	7	−0.46	0.03	
	28	−0.54	0.018	
Target volume dose (Gy)	B cells	1	−0.52	0.009
		7	−0.46	0.028
	HLA-DR + B cells	1	−0.55	0.005
		2	−0.63	0.001
	7	−0.52	0.01	
Lung dose (Gy)	HSV-1 LTT	7	−0.58	0.003
		2	−0.44	0.037
	PHA IFN- γ ELISpot	7	−0.44	0.039
		7	−0.50	0.019
	CD8 + T cells	7	−0.46	0.03
28		−0.54	0.018	

Table 2 (continued)

Parameter	Immune system	Time point (day)	<i>r</i>	<i>p</i>
GFR (mL/min/1.73 q)	PHA LTT	2	0.47	0.02
		7	0.44	0.04
	ConA LTT	2	0.41	0.048
		0	0.53	0.006
	PHA IFN- γ ELISpot	2	0.66	0.001
		0	0.44	0.03
	PWM IFN- γ ELISpot	1	0.46	0.02
		2	0.60	0.002
	OKT3 IFN- γ ELISpot	7	0.52	0.01
		0	0.56	0.005
	PHA IL-10 ELISpot	1	0.57	0.005
		2	0.56	0.008
		7	0.75	<0.0001
		28	0.47	0.04
	ConA IL-10 ELISpot	0	0.55	0.007
		1	0.44	0.04
		2	0.47	0.03
		7	0.74	<0.0001
	PWM IL-10 ELISpot	0	0.54	0.007
		1	0.62	0.002
	2	0.60	0.005	
	7	0.68	0.001	
Child–pugh score (no liver cirrhosis = 0, A = 1, B = 2, C = 3)	PPD IL-10 ELISpot	0	– 0.43	0.046
		1	– 0.54	0.01
	TET IL-10 ELISpot	1	– 0.56	0.008
	CAN IL-10 ELISpot	0	– 0.45	0.04
	1	– 0.46	0.04	
	2	– 0.50	0.03	

PHA phytohemagglutinin, *LTT* lymphocyte transformation test, *Cum.* antigen score Cumulative antigen score (sum of reactions toward antigens), *IFN* interferon, *ConA* concanavalin A, *PWM* pokeweed mitogen, *TET* tetanus toxoid, *CAN* *Candida albicans*, *HSV-1* Herpes simplex virus type 1, *GFR* glomerular filtration rate, *OKT3* anti-CD3 monoclonal antibody, *PPD* purified protein derivate (tuberculin), *IL* interleukin

Our study focuses on the functional properties of remaining lymphocytes and indicates that therapy with SIRT for the treatment of hepatic malignancies leads to rapid cellular immunodeficiency. The capacity of the immune system to proliferate upon stimulation with non-specific and specific stimuli (mitogens and microbial antigens, respectively) as well as to produce inflammatory cytokines was markedly reduced directly after therapy and maintained low for as long as 1 month after treatment. In accordance with the present study, we observed a decrease in in vitro antimicrobial immune responses with another tumor therapy containing ^{90}Y [5]. After treatment with ^{90}Y radiolabeled somatostatin analogs (^{90}Y DOTATOC) in patients with neuroendocrine tumors lymphocyte proliferation and IFN- γ secretion was reduced at day 1 and day 7 [5]. Nevertheless, in DOTATOC study, the biodistribution of the radionuclide is different.

In the present study, mitogens and recall antigens were used for the stimulation of lymphocytes. Mitogens can directly stimulate lymphocytes and show evidence of the total lymphocyte-mediated immunity, whereas recall antigens require the presence of antigen-presenting cells which stimulate lymphocytes through the T-cell receptor and reflect the antigen-specific immunity. Interestingly, our results showed that lymphocyte proliferation and cytokine production were even weaker upon stimulation with recall antigens than mitogens. The combination of proportional decreases of memory CD45RO+ T cells and professional antigen-presenting cells (monocytes) expressing HLA-DR could be one reason for this phenomenon. Moreover, preliminary experiments in our laboratory where antigen-presenting cells of healthy volunteers were co-cultured with CD3 T-cells isolated from patients after SIRT, showed that T-cells had

Table 3 Correlation with categorical variables (Mann–Whitney test)

Category	Parameter	Time point (day)	Mean values of each group	<i>p</i>	
Without/with chronic kidney disease	PHA IFN- γ ELISpot	2	415/221	0.048	
	CAN IFN- γ ELISpot	2	70/25	0.04	
	TET IL-10 ELISpot	0	28/5	0.04	
	ConA IL-10 ELISpot	7	59/13	0.02	
	PWM IL-10 ELISpot	7	109/14	0.02	
	PHA IL-10 ELISpot	7	89/19	0.03	
Without/with DM ECOG: 0/1 or 2	ConA IFN- γ ELISpot	2	302/189	0.01	
	PWM IFN- γ ELISpot	2	463/134	0.002	
	PHA IL-10 ELISpot	0	181/62	0.01	
		1	126/58	0.04	
		7	110/36	0.009	
	ConA IL-10 ELISpot	7	75/21	0.006	
		PWM IL-10 ELISpot	0	198/92	0.03
			7	142/31	0.007
		28	166/83	0.04	
	Without/with metastases	OKT3 IL-10 ELISpot	0	20/4	0.04
OKT3 LTT		0	5760/2216	0.049	
		1	3635/1435	0.01	
CMV LTT		7	7835/1343	0.03	

PHA phytohemagglutinin, IFN interferon, CAN *Candida albicans*, TET tetanus toxoid, IL interleukin, ConA concanavalin A, PWM pokeweed mitogen, DM diabetes mellitus type II, ECOG Eastern Cooperative Oncology Group, OKT3 anti-CD3 monoclonal antibody, LTT lymphocyte transformation test

decreased capacity to secrete IFN- γ , indicating that T-cell function mediated by the T-cell receptor was also impaired.

Apart from the alterations of lymphocyte function, SIRT also affected the absolute numbers of peripheral blood mononuclear cells. Patients having received SIRT displayed significant lymphopenia together with an increase in granulocyte and monocyte counts. This observation is in line with the results of a recent study by Carr et al. reporting asymptomatic lymphopenia following SIRT treatment [21], which led the researchers to further investigate alterations in the lymphocyte subsets of 25 patients up to 24 months post treatment. Notably, we found exactly the same trend for all lymphocyte subpopulations at 24 h and 1 month post therapy, i.e., a prompt and prolonged depletion of the absolute counts of CD3+, CD4+, CD8+ T cells and CD19+B cells. Similar to our results, NK cells tended to decrease at least until month 1 following treatment, but recovered eventually. The decrease in these lymphocyte subsets seems to be a direct effect of the therapy, but we cannot exclude that factors such as hepatic inflammation and lymphocyte recruitment to the liver contribute to this result. Furthermore, common findings in both studies were elevated absolute numbers of granulocytes post treatment. In a study by Shi et al., it has been proposed that the liver is involved in the apoptosis of circulating neutrophils [26]. Therefore, it remains unclear whether the increase in granulocytes occurred as a compensative reaction for the depletion of lymphocytes

or whether the therapy itself reduced the capacity of the liver to regulate the number of neutrophils in the systemic circulation. Another possible explanation for the increase of granulocytes in the peripheral blood post treatment could be the production of certain chemokines which inhibit the neutrophil transendothelial migration. It has been reported by Fernandez et al. that radioembolization lead to a significant increase of IL-8 [27], a chemokine that in a soluble form can inhibit the adhesion of neutrophils to endothelial cells in vitro or to the endothelium in vivo [28]. The previous study by Carr et al. [21] did not investigate numbers of monocytes, HLA-DR expression, CD45RA+ naïve and CD45RO+ memory T cells.

In our study, the effects of SIRT on peripheral CD45RA+ naïve and CD45RO+ memory T cells were diverse, with the percentage of CD45RO+ memory T cells decreasing after therapy while the percentage of their CD45RA+ naïve counterparts increased. An explanation for this phenomenon could be based on the distinct recirculation pattern of naïve versus memory T cells and the strictly local type of SIRT irradiation. It is known that naïve and memory T cells display different recirculation pathways with naïve T lymphocytes trafficking predominantly to secondary lymphoid organs, while primed T cells prefer the nonlymphoid tissues [29, 30]. Moreover, several studies have reported that the majority of intrahepatic T cells express the CD45RO+/CD45RA- isoform, indicating that they are memory T cells

[13, 16, 31, 32]. Although the interaction between resident and recirculating lymphocytes after irradiation is not yet clear, it is conceivable that the high radiation doses delivered intrahepatically by SIRT damage memory T cells to a greater extent than naïve T cells and may consequently reduce their percentage in the periphery. This in turn could be the cause of a compensative increase of the percentage of peripheral naïve T cells. Another factor contributing to the decrease of memory T cells in the periphery could be the production of certain chemokines which cause a preferential migration of this T cell subpopulation in the liver. For example, CCR1, CCR2 and CCR5 are all chemokine receptors expressed by memory T-cells and have been all found to be elevated in hepatic inflammation [33]. Such factors remain to be elucidated and future studies on chemokines could shed light to their crucial role in hepatic inflammation and lymphocyte recruitment.

Furthermore, our study showed a decrease in the percentage of peripheral monocytes and B cells expressing HLA-DR. The downregulation of HLA-DR on dendritic cells and on B cells of mice after gamma irradiation has been reported [34, 35], but as far as we know, this is the first time to report similar results for the effect of beta rays. On the contrary, the initial depletion of the percentage of HLA-DR positive T cells (CD3+, CD4+ and CD8+ T cells) was followed by a significant increase 1 month after therapy, although the respective absolute numbers of HLA-DR+ T cells decreased or remained unchanged (data not shown). This increase could reflect an enhancement of HLA-DR expression caused by irradiation or a greater depletion of HLA-DR negative versus HLA-DR-positive T cells. In accordance with our study, increased percentages of CD4+ HLA-DR+ and CD8+ HLA-DR+ T lymphocytes were demonstrated 2 weeks after the completion of thoracic irradiation in patients with lung cancer [36].

The radioactivity of ^{90}Y declines with time and is at its peak shortly after the injection into the capillary bed. Beta particles are energetic electrons which induce ionization of atoms and can act directly on the cellular components or on water molecules leading to the production of radicals. Radicals in turn affect cells by causing DNA breaks. Whereas double-strand DNA breaks usually lead to cell death, most single-strand breaks can be repaired at the expense of cell proliferation and function. We propose that the very early drop of lymphocyte counts (at day 1 and 2) as well as the prompt deterioration of their function is a result of radiation-induced DNA damages on the circulating lymphocytes.

In spite of the profound impairment of lymphocyte function, we did not observe increased risk for viral infections. This finding is in line with other studies that reported significant lymphopenia after beta irradiation without clinical sequela [5, 6, 21] and underlines the importance of further studies applying systematic surveillance protocols in a

larger cohort of patients to elicit further clinically relevant information.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval and ethical standards The study was institutional review board approved by the ethics committee of the Medical Faculty, University Hospital Essen (approval number 09-3991), and carried out in accordance with the 1964 Helsinki Declaration.

Informed consent All patients provided written informed consent prior to their inclusion in the study.

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