# ORIGINAL ARTICLE

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# Identification of a MHC class-II restricted epitope in carcinoembryonic antigen

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Abstract Purpose: The carcinoembryonic antigen (CEA) is extensively expressed on the vast majority of colorectal, gastric, and pancreatic carcinomas, and, therefore, is a good target for tumor immunotherapy.  $CD4+$ T-helper (Th) cells play a critical role in initiation, regulation, and maintenance of immune responses. In this study, we sought to identify Th epitopes derived from CEA which can induce CEA-specific Th responses. The combined application with cytotoxic T lymphocyte (CTL) epitopes would be more potent than tumor vaccines that primarily activate CTL alone.

Methods: We utilized a combined approach of using a computer-based algorithm analysis TEPITOPE and in vitro biological analysis to identify Th epitopes in CEA. Results: Initial screening of healthy donors showed that all five predicted peptides derived from CEA could induce peptide-specific T-cell proliferation in vitro. We characterized these CEA epitopes by establishing and analyzing peptide-specific T-cell clones. It was shown that  $CD4+$  T-cells specific for the  $CEA<sub>116</sub>$  epitope can recognize and respond to naturally processed CEA protein and  $CEA<sub>116</sub>$  epitope can be promiscuously presented by commonly found major histocompatibility complex (MHC) alleles. Furthermore, it was demonstrated that immunization of human leukocyte antigen

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J. Hammer Vaccinome, Kearny, NJ, USA  $(HLA)-DR4$  transgenic mice with  $CEA<sub>116</sub>$  peptide elicited antigen-specific Th responses which can recognize the antigenic peptides derived from CEA protein and CEA-positive tumors.

Conclusion: The MHC class II-restricted epitope  $CEA<sub>116</sub>$  could be used in the design of peptide-based tumor vaccine against several common cancers expressing CEA.

Keywords  $CEA \cdot CD4^+ \cdot Epitope \cdot Tumor$ immunotherapy

#### Introduction

The carcinoembryonic antigen (CEA) is one of the most well-characterized tumor antigens regarding its tissue distribution, biochemistry, and molecular structure. The CEA is a Mr180-kDa membrane intercellular adhesion glycoprotein that is extensively expressed in the vast majority of colorectal, gastric, and pancreatic carcinomas [15, 32, 50]. In addition, CEA is found in 50% of breast cancers and 70% of non-small cell lung carcinomas [15, 32, 55]. The CEA is also present in some normal tissues including colon epithelium and in some fetal tissues, but at much lower levels. Circulating CEA can be detected in the great majority of patients with metastatic CEA-positive tumors. These CEA-associated malignancies represent the most common cancers and leading causes of cancer death in the United States and worldwide. The relative tumor tissue specificity of this antigen makes CEA a potential target for tumor immunotherapy [17].

Tumor vaccination has used many immunization forms, including tumor cells-, peptides-, proteins-, dendritic cells (DC)-, and DNA-based vaccines. Peptide vaccines remain an attractive immunotherapy approach, since peptides are easily manufactured, chemically stable, and free of contaminating substances such pathogens and oncogenic components. Recently, immunization with peptide-pulsed DCs has been tested in the clinics and appears to be an effective way of inducing CTLs and antitumor immunity both in human trials [30, 34, 46] and animal tumor models [39, 43].

Numerous peptides corresponding to CTL epitopes from tumor-associated antigens have already been identified and used for immunotherapy in clinical studies in cancer patients [19, 29, 34, 46, 60, 61]. In order to use CEA as a target for tumor immunotherapy, several CEA CTL epitopes restricted by HLA-A2, HLA-A3, HLA-A24, and B7 have been successfully identified [24, 25, 35, 41]. The ability of CTLs to recognize epitopes derived from CEA has been demonstrated both in cancer patients [58, 59] and in normal individuals whose cells have been immunized in vitro with MHC-binding peptides from CEA [24, 25, 27, 35].

Although immunization with CTL peptide vaccines led to some positive clinical responses, peptide vaccination often resulted in no responses or only low-level responses [18, 38, 45, 46].  $CD4+$  T-helper (Th) cells that recognize antigenic peptides in the context of MHC class-II molecules play critical roles in initiating, regulating, and maintaining antitumor immune responses [38, 44]. They exert helper activity for the induction and maintenance of  $CD8 + CTLs [3, 5, 37, 38]$  in addition to their ability to modulate other immune cells and to directly kill tumor cells [1, 21, 23, 33, 36, 38]. However, the main focus of current tumor vaccination effort has been directed to induce CTL responses [38, 45]; hence, a major limitation of such CTL peptide-based approaches might be that CTLs elicited by the CTL peptide reach the tumor but fail to be stimulated properly at the tumor site by tumor-specific  $CD4^+$  T-cells.

Here we utilized a combined approach of using a computer-based algorithm program TEPITOPE [10, 16, 28] to select class-II epitope candidates and in vitro Tcell biological analysis to identify MHC class-II restricted Th epitope(s) in CEA. We found several Th epitopes in CEA, including the  $CEA<sub>116</sub>$  epitope that is a naturally processed Th epitope, which could be presented by several commonly found HLA alleles, DR4, DR1, DR13, and DR14.

## Materials and methods

Blood donors, cell lines, monoclonal antibodies, and tissue culture reagents

Heparinized peripheral blood was obtained from adult healthy donors with their consent (donor BCM02: DRB1\*01, 04; BCM04: DRB1\*13, 14; BCM19: DRB1\*04, 15; BCM26: DRB1\*04, 08; BCM28: DRB1\*11, 13; BCM36: DRB1\*04, 04; BCM39: DRB1\*04, 14; BCM40: DRB1\*04, 13; BCM44: DRB1\*01, 15). Peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll (Lymphoprep, Nycomed, Oslo, Norway) gradient centrifugation. The Institutional Review Board on Human Subjects (Baylor College of Medicine) approved this study. The HLA typing of peripheral blood donors was performed by the polymerase chain reaction using sequence-specific primers (PCR-SSP) and DNA-based procedures in the HLA, Flow and Diagnostic Immunology Laboratory of the Methodist Hospital (Houston, Texas). Colon cancer cell line (HT-29) and melanoma cell line (NA-6-MEL) were from American Type Culture Collection.

The following hybridomas were used to produce monoclonal antibodies: HB55 (L243, anti-human HLA-DR, ATCC); and HB95 (W6/32, anti-human HLA-ABC, ATCC). Anti-human CD4, antihuman CD8, anti-mouse CD4, anti-mouse CD8a, anti-human CD4 (PE labeled), anti-human HLA-DR (FITC labeled), and antimouse CD4 (FITC labeled) were all purchased from BD PharMingen (San Diego, Calif.). Media used for cell culture were AIM-V serum-free medium (Life Technologies, Grand Island, N.Y.), RPMI 1640 supplemented with 10% fetal bovine serum (FBS; Life Technologies, Grand Island, N.Y.) and L-glutamine/penicillin/ streptomycin, and CellGenix DC serum-free medium (CellGenix, Germany). Recombinant human IL-2 was purchased from Boehringer Roche (Indianapolis, Ind.).

Epitope prediction and peptide synthesis

TEPITOPE is a Windows (Microsoft, Redman, Wash.) application that enables the identification of HLA class-II ligand-binding epitopes [10, 16, 28]. The prediction threshold was set at 1% and peptides were selected on the basis of their ability to bind to at least three of the following HLA-DR molecules: DRB1\*0101; DRB1\*0301; DRB1\*0401; DRB1\*0701; DRB1\*0801; DRB1\*1101;  $DRB1*1501$ ; and  $DRB5*0101$ . Five peptides,  $CEA<sub>116</sub>$ (DTGFYTLHVIKSDLVNEEATGQFRV, aa116-aa140), CEA<sub>176</sub> (YLWWVNNQSLPVSPR, aa176-aa190), CEA<sub>588</sub> (DVLYGPDT-PIISPPD, aa588-aa602), CEA<sub>624</sub> (QYSWRINGIPQQHTQ, aa624aa638), and CEA665 (NNSIVKSITVSASGTS, aa665-aa680), from human carcinoembryonic antigen (Table 1) were synthesized and purified by Genemed Synthesis (San Francisco, Calif.). The purity of the peptides was greater than 95% by high-performance liquid chromatography (HPLC). Synthetic peptides were reconstituted in distilled water or dimethyl sulfoxide (DMSO) at a concentration of 10 mg/ml.

Peptide T-cell proliferation assay and T-cell clone establishment

Donor's PBMCs were plated in U-bottom 96-well plates (Costar) at 200,000 cells/well in AIM-V media. Peptides were added into each well at the concentration of 20  $\mu$ g/ml. A total of 48 wells were prepared for analysis of each peptide. After 1 week of incubation, the culture medium was removed and cells were resuspended in AIM-V media, and tested for specific proliferative responses to corresponding peptides (20  $\mu$ g/ml) in the presence of 10<sup>5</sup> autologous irradiated (6000 rad) PBMC as a source of antigen presenting cells (APC). In cell proliferation assays, cells were incubated at 37 $\rm{^{\circ}C}$  in a 5 $\rm{^{\circ}q}$  CO<sub>2</sub> incubator for 72 h, and cultures were pulsed with 1  $\mu$ Ci [<sup>3</sup>H]-thymidine per well during the last 16 h. The incorporation of radioactivity into DNA, which correlates with cell proliferation, was measured in a beta scintillation counter (Top-Count NXT, Packard) after automated cell harvesting (Packard). The results were presented either as stimulation indices (SI; the mean cpm of peptide-pulsed PBMC/the mean cpm of PBMC not exposed to peptides) or cpm [31, 40]. A T-cell line/well was considered to be positively reactive to peptide if the  $cpm$  were  $>1000$ 

Table 1 Synthetic peptide sequences derived from carcinoembryonicantigen (CEA)

		Peptide AA position AA sequence
CEA <sub>116</sub> CEA <sub>588</sub> $CEA_{624}$ CEA <sub>665</sub>	$116 - 140$ $CEA_{176}$ 176-190 588-602 624–638 665–680	DTGFYTLHVIKSDLVNEEATGOFRV YLWWVNNOSLPVSPR <b>DVLYGPDTPIISPPD</b> QYSWRINGIPQQHTQ <b>NNSIVKSITVSASGTS</b>

and  $SI > 3$  [48, 64]. The frequency of peptide-specific T-cells was determined by dividing the number of positive wells by the total number of PBMC seeded in the initial culture [64]. Overall positive events were defined if there was significant difference at a 95% confidence level between the numbers of positive wells of the peptide-containing wells compared with that of the non-peptide containing wells. Because these low-frequency events were in accordance with Poisson distribution,  $\geq$  3 of 48 peptide-containing wells were defined as a positive event ( $p < 0.05$ ).

The CEA-specific T-cell lines were cloned by limiting dilution at 2 cell/well in the presence of  $10<sup>5</sup>$  irradiated allogeneic PBMC as accessory cells and 5  $\mu$ g/ml of phytohemagglutinin protein (PHA-P, Sigma, St. Louis, Mo.). Cultures were refreshed with fresh RPMI 1640 medium containing 10 IU/ml of rhIL-2 every 3–4 days. After approximately 12–14 days, growth-positive wells became visible and were tested for specific responses to CEA peptides in a proliferation assay as described above.

#### PBMC-derived DC culture

Human dendritic cells (DC) were prepared as recently described by Schroers et al. [47]. Briefly, PBMCs were isolated by Ficoll gradient centrifugation, washed in phosphate-buffered saline (PBS), and resuspended in serum-free DC medium. After adherence to plastic for 2 h, the adherent cell fraction was cultured in serum-free DC medium with 1000 IU/ml recombinant human GM-CSF (rhGM-CSF; R&D Systems) and 1000 IU/ml rhIL-4 (R&D Systems). On day 5, DC were matured by stimulating with a cytokine cocktail consisting of recombinant human tumor necrosis factor alpha (rhTNF- $\alpha$ ; 10 ng/ml, R&D Systems), rhIL-1 $\beta$  (1,000 ng/ml, R&D Systems), rhIL-6 (10 ng/ml, R&D Systems), and prostaglandin  $E_2$  $(PGE<sub>2</sub>; 1 µg/ml, Sigma, St. Louis, Mo.)$  as previously described [22].

#### Production and purification of recombinant CEA protein

Recombinant CEA-Fc baculovirus, which expresses CEA-Fc from the polyhedrin promoter, was constructed by using the pFastBac system (Life Technologies, Grand Island, N.Y.) with the pFB1 donor plasmid. The human CEA DNA fragment was PCR amplified from plasmid pCMV CEA (from Prud Homme-McGill University Montreal, Quebec, Canada) [4] by using the 5' primer 5'-ATTA-CGCGTACTAGTAAGCTTACCATGGAGTCTCCCTCGGCC CCT-3¢ and the 3¢ primer 5¢-TATGCGGCCGCTATCAG AGCAACCCCAAC-3¢ to introduce SpeI and NotI restriction sites to the 5' and 3' ends, respectively. The PCR of human IgG Fc fragment was carried out with two primers, one (5'-ATA-AGCGGCCGCTAAAAC TCACACATGCCCA-3') containing the Not I site and the other  $(5'$ -AAAGGTA CCTCTAG ATTAATTAACTATCATTTACCCGGAGACAGG-3') containing the XbaI site. The PCR products were then gel purified, digested, and ligated into SpeI/XbaI cut pFB1 donor plasmid. The resultant vector (pFB1-CEA-Fc) was identified by restriction enzyme analysis and confirmed by DNA sequencing. Site-specific transposition of the CEA-Fc expression cassette from the donor plasmid into the baculovirus genome was performed by transforming DH10Bac E. coli with the pFB1-CEA-Fc donor plasmid. Recombinant baculovirus were identified by X-gal selection, as transposition into the bacmid disrupts expression of the lacZa peptide. Recombinant bacmid DNA was isolated by mini-prep and used to transfect Sf9 insect cells according to the manufacturers' instructions.

The viral stock obtained from the initial transfection was amplified by repeated infecting a 50 ml suspension culture of Sf9 cells at  $2\times10^6$  cells/ml. For large-scale expression of CEA, suspension culture of Sf9 cells were infected with the amplified stock and incubated at 27°C for 48 h post-infection. Cells were pelleted by centrifugation at  $500 \times g$  for 5 min at 4°C, resuspended with ten packed cell volumes of TNT-lysis buffer (25 mM Tris-HCl, pH 7.4; 150 mM NaCl; 1% Triton X-100), and allowed to incubate for 1 h on ice. The cell lysate was subjected to centrifugation at  $16,000 \times g$ for  $15$  min at  $4^{\circ}$ C to remove insoluble material.

Recombinant CEA-Fc protein was purified by affinity binding to Protein-A agarose beads (Sigma, St. Louis, Mo.). The cleared cell lysate was mixed with 1 ml of protein-A agarose. After rotating overnight at 4°C, the cell lysate-protein-A agarose slurry was washed by centrifugation at  $1000 \times g$  for 10 min by suspension in 30 bead volumes of 100 mM Tris-HCl (pH8.0), followed by washes with 10 mM Tris-HCl (pH 8.0). Bound material, including CEA-Fc, was eluted by 100 mM Glycine (pH 2.7) and then was neutralized by 1 M Tris-HCl (pH8.0). Protein containing fractions were determined by  $A_{280}$  and then pooled together for dialysis with PBS. The purity was assessed by 7.5% SDS-PAGE gel stained by coomassie blue. Concentration of protein was determined using the Bradford assay (Biorad, Hercules, Calif.).

#### Western blot analysis

Fifteen micrograms of the major protein containing eluted fraction was diluted into an equal volume of SDS-sample buffer, boiled 3 min, loaded onto 7.5% SDS-PAGE, and electrophoresed for 1 h at 150 V. Separated proteins were transferred onto nitrocellulose membrane in transfer buffer for 1 h at 100 V. After transfer, membrane was blocked by 5% nonfat dry milk in PBS for 1 h at RT. The rabbit-anti-human CEA polyclonal antibody (Accurate Chemical and Scientific Corp, N.Y.) was used as the primary antibody, and the secondary was peroxidase labeled goat-antirabbit IgG (Sigma, St. Louis, Mo.). The membranes were developed using ECL Western blotting detection reagents

Analysis of antigen-specific proliferative responses of T-cell clones

T-cells  $(3\times10^4 \text{ cells/well})$  were cocultured with irradiated (4000 rad) DC  $(1.5\times10^3 \text{ cells/well})$  in complete RPMI 1640 in the presence of various concentrations of antigen (peptides and recombinant protein) in U-bottom 96-well plates. In some cases, recombinant protein (10  $\mu$ g/ml) was pulsed on DC at day 4 during DC culture before the addition of DC maturation cocktail. In order to identify the MHC restriction molecules involved in antigen presentation, inhibition of antigen-induced T-cell proliferation was analyzed by the addition of various antibodies against MHC class-I and MHC class-II molecules at a final concentration of 20  $\mu$ g/ml. Antigenspecific T-cell responses were measured by  $[^{3}H]$ -thymidine incorporation during the last 16 h of 72-h culture.

#### Peptide immunization in HLA-DR4 transgenic mice

Human HLA DR4 transgenic mice (HLA-DRB1\*0401), which are murine class-II deficient and transduced with human CD4 molecule, were generated by G. Sonderstrup of the Department of Microbiology and Immunology of Stanford University [8, 51, 52]. The transgenic mice have been successfully used to identify human class-II-restricted epitopes and to study immune responses [8, 13, 14, 51, 52]. Founders of the transgenic mouse line were kindly provided by Dr. Sonderstrup, maintained and bred in a barrier facility at Baylor College of Medicine. The HLA DR4 expression on the transgenic mice was analyzed by flow cytometry. Male DR4 transgenic mice 6–10 weeks old were used for the experiment. The transgenic mice were immunized twice at 1-week intervals with 100  $\mu$ g of CEA<sub>116</sub> peptide emulsified in complete Freunds adjuvant  $(CFA;$  final volume,  $100 \mu l$ ) and administered subcutaneously (s.c.) into the rear back. Control-group mice were injected with phosphate-buffered saline (PBS) emulsified in CFA.

#### Evaluation of T-cell responses by  $IFN-\gamma$  ELISPOT assay

A high resolution of ELISPOT assay for IFN- $\nu$  was used to analyze peptide-specific T-cell responses by determining the frequency of Th precursors specific for the peptide. Mice were killed 10 days after the last immunization and splenocytes were obtained for

assessing IFN- $\gamma$  production. Briefly, 96-well MultiScreen-IP plates (Millipore Corporation, Bedford, Mass.) were coated with  $100 \mu l$ well capture mAb against mouse IFN- $\gamma$  (AN-18, Mabtech Inc, Cincinnati, Ohio) at a concentration of  $10 \mu g/ml$  and incubated overnight at 4°C. The plates were washed four times with PBS, then blocked with RPMI 1640 plus  $10\%$  FBS for 2 h at 37 $^{\circ}$ C. After washing, freshly isolated splenocytes were plated at  $2\times10^5$  cells/well in RPMI 1640 with 10% FBS, in the presence or absence of peptide CEA<sub>116</sub> (20  $\mu$ g/ml), recombinant CEA proteins (20  $\mu$ g/ml), or CEA-positive HT-29 (ATCC) tumor lysate (50 µl/well). Tumor cell lysates were prepared by three freeze–thaw cycles of  $5\times10^{7}$  tumor cells resuspended in 5 ml of RPMI 1640 with 10% FBS. Then the cells were centrifuged at  $15,000$  g for 30 min at  $4^{\circ}$ C. Supernatant was recovered, aliquoted, and stored at  $-80^{\circ}$ C for later use, as described previously [48]. After 20 h of cell culture in the incubator, the cells were removed by washing three times with PBS and four times with PBS/Tween20 (0.05%). Biotinylated anti-mouse IFN- $\gamma$ antibody (R4-6A2, Mabtech, Cincinnati, Ohio), diluted to 1  $\mu$ g/ml in PBS/Tween20 containing 0.5% bovine serum albumin, was added and incubated for 2 h at  $37^{\circ}$ C. The plates were then washed six times with PBS/Tween20 (0.05%) and subsequently avidin–peroxidase complex (Vector Laboratories, Burlingame, Calif.) was added and incubated for 1 h at room temperature, and removed by washing three times with PBS and PBS/Tween20 (0.05%). The color of the plates was developed by adding HRP substrate 3 amino-9-ethylcarbozole (Sigma, St. Louis, Mo.). The plates were then washed with tap water and air dried in dark. The plates were evaluated using an automated ELISPOT reader (Zellnet Consulting, New York, N.Y.).

## Statistical analysis

Differences in the T-cell proliferation and ELISPOT assay among different groups were analyzed using the two-sided Student's  $t$  test. The overall significance level was set at 5%.

# Results

Primary screening of human T-cell responses to peptides derived from CEA

TEPITOPE, a computer-based algorithm analysis program [10, 16, 28], has been successfully used to predict the binding motifs for HLA-DR. In order to generate peptide-based vaccines that can be used in the majority of patients, it is important to know whether the epitopes can be promiscuously presented by commonly found MHC class-II alleles. By using TEPITOPE at the most stringent prediction threshold (1%), peptides were selected on the basis of their ability to bind to at least three of the following eight HLA-DR molecules: DRB1\*0101; DRB1\*0301; DRB1\*0401; DRB1\*0701; DRB1\*0801; DRB1\*1101; DRB1\*1501; and DRB5\*0101. Based on the prediction, five peptides were synthesized and purified (Table 1). To initially screen human T-cell responses to these peptides, PBMCs from healthy donors were seeded on 96-well plates and stimulated with each peptide. After 1 week of stimulation, the cell cultures were tested for their capacity to respond to the peptides presented by autologous PBMCs. Those cultures that exhibited SI>3 were considered positive [31, 40, 48, 64]. The stimulation indices (SI) of each well from a donor's PBMCs to each peptide are shown in Fig. 1. According to the statistical analysis described in ''Materials and

methods,'' all five peptides elicited proliferative responses in some or all of the donors, indicating that these five peptides are Th epitope candidates.

Promiscuous binding of peptides and precursor frequencies

To assess if these peptides can be promiscuously presented by commonly found MHC class-II alleles, PBMCs from donors with different HLA-DR genotypes were stimulated with these peptides, respectively. As shown in Fig. 1, most of the donors with HLA-DR4 (DR04/04, DR01/04, DR04/08, DR04/13 and DR04/15) responded to all five peptides. T-cells from donors with genotypes of DR13/14 responded to the peptide  $CEA<sub>116</sub>$ ,  $CEA_{588}$ ,  $CEA_{624}$ , and  $CEA_{665}$ , T-cells from donors with DR01/15 also responded to the peptides  $CEA<sub>116</sub>$  and  $CEA_{665}$ . This result indicates that  $CEA_{116}$ ,  $CEA_{588}$ ,  $CEA<sub>624</sub>$ , and  $CEA<sub>665</sub>$  peptides can be presented by HLA-DR4 and other MHC class-II alleles, representing promiscuous Th epitope candidates.

We also primarily assessed the frequency of CEAspecific  $CD4+$  T-cell precursors in human, since  $CD4+$ T-cells for the self-CEA antigen might be largely clonally deleted during T-cell negative selection in thymus. The precursor frequency was calculated as the numbers of positive wells/total numbers of T-cells in all wells tested, since it was demonstrated that an antigen-specific T-cell line derived from a 96-plate well  $(2\times10^5 \text{ cells/well})$  is most likely to originate from a single precursor T-cell [48, 64]. The primary estimated precursor frequencies of T-cells specific for each peptide in the different DR donors are shown in Table 2, in a range of precursor frequencies for other self antigens [64]. These results indicate precursors exist in normal human T-cell repertoires and that the T-cell precursors are readily activated to respond to peptides when properly stimulated.

Establishment and analysis of peptide-specific CD4+ T-cell clones

To characterize these T-cell reactive peptides, we tried to generate peptide-specific T-cell clones for each of these epitopes. Individual T-cell clones specific for three  $(CEA<sub>116</sub>, CEA<sub>176</sub>, and CEA<sub>624</sub>)$  out of the five peptides tested were established from peptide-reactive T-cell lines. T-cell clone specific for  $CEA_{588}$  and  $CEA_{665}$  failed to expand to sufficient numbers for further analysis despite repeated attempts with different donors' blood. The specificity of  $CEA_{116}$ ,  $CEA_{176}$ , and  $CEA_{624}$  T-cell clones was further tested. As shown in Fig. 2, these T-cells responded strongly to the stimulation of their corresponding peptides but did not respond to stimulation with irrelevant, non-corresponding CEA peptides. Furthermore, the responses of these T-cell clones to the corresponding peptides were inhibited by an anti-HLA-DR antibody  $(P < 0.01)$ , but not inhibited by an anti-HLA-ABC antibody, indicating that the peptide-re-



Fig. 1 Initial screening of T-cell responses to predicted pepides from carcinoembryonic antigen (CEA). Peripheral blood mononuclear cells (PBMCs) from healthy donors with HLA-<br>DR4 and other HLA-DR genotypes were seeded on DR4 and other HLA-DR genotypes were seeded on 96-well plates and stimulated with each peptide (20 lg/ml) for 1 week. [3H]-thymidine incorporation of the primed T-cells Responses to each peptide were tested in total 48-wells. Wells were scored positive if the counts per minute of T-cells stimulated with peptides was >1000 and stimulation indices (SI) (2×10<sup>5</sup> cells/well) was measured after restimulation with autologous PBMCs (1×10<sup>5</sup> cells/well) as antigen-presenting cells with or without the corresponding peptides (20 µg/ml).  $>$ 3 [31, 40, 48, 64]. The results are reported as SI of each tested well of different donors

Table 2 T-cell precursor frequencies of donors with different human leukocyte antigen (HLA)-DR genotypes

Peptide	Donor	<b>HLA-DR</b>	Positive wells/total wells	Estimated frequency	Talili-i ILA-ADU (VVOIJZ) +anti-HLA-DR (L243) $\Box$ <b>CEA624</b> <b>CEA588</b>		
CEA <sub>116</sub>	$BCM-02$ $BCM-04$ <b>BCM-19</b>	DR01/04 DR13/14 DR04/15	4/48 3/48 3/48	$0.08 - 0.33 \times 10^{-6}$	<b>CEA116</b> medium		
	<b>BCM-28</b> <b>BCM-36</b>	DR11/13 DR04/04	1/48 3/48		0	10000 cpm	20000
CEA <sub>176</sub>	<b>BCM-40</b> <b>BCM-44</b> <b>BCM-26</b>	DR04/13 DR01/15 DR04/08	2/48 4/48 26/48	$0.33 - 2.41 \times 10^{-6}$			T-Cell Clone CEA <sub>176</sub> BCM-26.02
	<b>BCM-39</b> <b>BCM-40</b>	DR04/14 DR04/13	4/48 29/48		+anti-HLA-ABC (W6/32)		
CEA <sub>588</sub>	$BCM-02$ $BCM-04$ <b>BCM-19</b>	DR01/04 DR13/14 DR04/15	3/48 5/48 0/48	$0 - 1.17 \times 10^{-6}$	+anti-HLA-DR (L243) <b>CEA588</b>		
	<b>BCM-26</b> <b>BCM-28</b>	DR04/08 DR11/13	14/48 2/48		<b>CEA116</b> <b>CEA176</b>		
$CEA_{624}$	<b>BCM-36</b> <b>BCM-39</b> <b>BCM-02</b>	DR04/04 DR04/14 DR01/04	3/48 0/48 5/48	$0 - 1.08 \times 10^{-6}$	medium 0	20000	40000
	<b>BCM-04</b> <b>BCM-19</b>	DR13/14 DR04/15	4/48 0/48			cpm	
	$BCM-26$ <b>BCM-28</b>	DR04/08 DR11/13	13/48 1/48				T-Cell Clone CEA <sub>624</sub> BCM-28.01
$CEA_{665}$	<b>BCM-36</b> <b>BCM-39</b> <b>BCM-04</b>	DR04/04 DR04/14 DR13/14	3/48 1/48 4/48	$0 - 0.33 \times 10^{-6}$	+anti-HLA-ABC (W6/32) +anti-HLA-DR (L243)		
	<b>BCM-19</b> <b>BCM-28</b>	DR04/15 DR11/13	0/48 3/48		<b>CEA665</b> <b>CEA588</b>		
	<b>BCM-36</b> <b>BCM-39</b> $BCM-40$	DR04/04 DR04/14 DR04/13	3/48 0/48 4/48		<b>CEA624</b> medium		
	<b>BCM-44</b>	DR01/15	4/48		0	20000 cpm	40000

active T-cell responses are peptide-specific and HLA-DR restricted.

## Avidity of CD4+ T-cell clones

Since the T-cell clones were derived from peptide-stimulated PBMCs, not from isolated CD4+ T-cells, we used an antibody blocking assay to determine if the T-cell responses are mediated by CD4+ T-cells. Flow cytometric analysis was used to test the phenotype of the T-cell clones. As shown in Fig. 3A, the T-cell clone response to the corresponding peptide  $CEA<sub>116</sub>$  was inhibited by an anti-CD4 antibody  $(P < 0.05)$  but not inhibited by an anti-CD8 antibody. The phenotype of the T-cell clones was exclusively CD4-positive and CD8 negative (Fig. 3B). The results indicate that the culture and stimulation conditions described in this study selectively stimulated and expanded CD4+ T-cells.

The avidity of peptide binding with MHC/T-cell receptors (TCR) is the important parameter to identify potential epitopes from tumor-associated antigens [24, 25, 35]. Accordingly, we evaluated the avidity of these peptide-specific T-cell clones for their ligands. Peptide titration curves of these T-cell clones were generated with stimulation with autologous peptide-pulsed PBMCs. As shown in Fig. 4, the half-maximal cell

Fig. 2 Establishment and specificity of T-cell clones. Individual T-cell clones were established from peptide-reactive T-cell lines by limiting dilution. T-cell clones  $(3\times10^4 \text{ cells/well})$  from CEA<sub>116</sub>-,  $CEA<sub>176</sub>$ , and  $CEA<sub>624</sub>$ -positive T-cell lines were restimulated in triplicate with autologous PBMC-derived DCs  $(1.5\times10^3 \text{ cells/well})$ pulsed with the same concentration  $(20 \mu g/ml)$  of corresponding peptides or irrelevant peptide control in the presence of anti-HLA-DR or anti-HLA-ABC (20  $\mu$ g/ml). T-cell proliferation was measured by  $[^{3}H]$ -thymidine incorporation assays. The proliferation of each T-cell clone cocultured with corresponding peptide was significantly higher than the proliferation with medium control and with the addition of HLA-DR antibody ( $p < 0.01$ ). Mean and standard deviation (SD) are shown for triplicate wells. The data shown are representative of three repeated experiments

proliferation of the  $CEA<sub>116</sub>$  T-cell clone was obtained at a peptide concentration of approximately  $1 \mu M$ . The half-maximal cell proliferation of the  $CEA<sub>176</sub>$  T-cell clone was approximately 5  $\mu$ M; however, the CEA<sub>624</sub> T-cell clone was shown to have a higher avidity with the half-maximal cell proliferation of the  $CEA_{624}$  at a peptide concentration of approximately  $0.1 \mu M$  (Fig. 4).

Recognition of natively processed epitopes by CD4+ T-cell clones

A critical feature of functional CD4+ T-cells is their ability to recognize naturally processed antigen; thus,

T-Cell Clone CEA<sub>116</sub> BCM-04.03

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Fig. 3 A Anti-human CD4 or CD8 antibody blocking of T-cell responses. The CEA<sub>116</sub> T-cell clones  $(3\times10^4 \text{ cells/well})$  were stimulated in triplicate with irradiated autologous PBMC-derived DCs  $(1.5\times10^3 \text{ cells/well})$  pulsed with the concentration  $(20 \text{ µg/ml})$ of the  $CEA<sub>116</sub>$  peptide in the presence of anti-human-CD4 or antihuman-CD8 antibody (20 µg/ml). T-cell proliferation was measured by  $[^{3}H]$ -thymidine incorporation assays. The proliferation of T-cells was significantly decreased by the anti-CD4 antibody  $(p<0.05)$  but not by anti-CD8 antibody which indicates that these T-cell clones are CD4+ T-cells. Mean and SD are shown for triplicate wells. The data shown are representative of three repeated experiments. B Fluorescence-activated cell sorter analysis of T-cell clones. The CEA-reactive T-cell clones were double stained with anti-human CD4-FITC and CD8-PE antibodies or isotype controls (mouse IgG-FITC and IgG-PE). Human PBMCs were used as positive control for CD8 staining. The cells were then examined by flow cytometric analyses. More than 95% of the T-cell population was CD4-positive and CD8-negative

we tested whether the T-cells from different HLA-DR+ donors could recognize and respond to naturally processed and presented epitopes of CEA. A recombinant CEA-Fc protein was produced from a baculovirus expression system and purified by affinity binding to protein-A agarose beads. The purity and authenticity of the recombinant proteins were evaluated by SDS-PAGE/Coomassie blue



Fig. 4 Peptide titration of T-cell clones. Each of these three  $CD4+$ T-cell clones ( $CEA<sub>116</sub>$ ,  $CEA<sub>176</sub>$ , and  $CEA<sub>624</sub>$ ) were cultured with irradiated autologous DC (T:  $DC = 20:1$ ) in the presence of various concentrations of peptides for 48 h. Each data point represents the mean and SD ( $bar$ s) of triplicate samples of  $[^{3}H]$ -thymidine incorporation of the T-cells. The data are from one experiment that is representative of three performed

staining and Western blotting assay with the anti-CEA (Fig. 5).

These recombinant proteins were then used to pulse PBMC-derived DC and the ability of the T-cell clones to recognize and respond naturally processed CEA was examined by co-culturing with protein-pulsed autologous DC. As shown in Fig. 6, the  $CEA<sub>116</sub>$  T-cell clone responded to the CEA protein after processing and presentation by autologous DC, as demonstrated by active T-cell proliferation ( $p < 0.01$ ). Repeated experiments showed the similar results. The T-cell response was specific, since the  $CEA<sub>116</sub>$  T-cell clone did not respond to the stimulation with an irrelevant prostate-specific membrane antigen (PSMA) protein that was produced from the same baculovirus expression system, and their responses to CEA proteins were inhibited by the anti-HLA-DR antibody. The T-cell clones specific for two other peptides,  $CEA<sub>176</sub>$ , and  $CEA<sub>624</sub>$ , failed to respond to DCs pulsed 398



Fig. 5 Production and analysis of recombinant CEA-Fc proteins. The recombinant human CEA-Fc protein was produced from a baculovirus expression system and purified by affinity binding to protein-A agarose. The purified protein was then analyzed by a 7.5% SDS-PAGE Coomassie blue staining. The authenticity of the purified CEA-Fc protein was examined by Western blotting (arrow) with the antibody against human CEA

with various concentrations of CEA proteins with repeated tests, indicating that these two peptides  $CEA<sub>176</sub>$ , and  $CEA_{624}$  are likely cryptic. The failure of these two Tcell clone responses to the recombinant CEA-Fc proteins further ruled out the possibility that the observed  $CEA<sub>116</sub>$ T-cell clone responses to the CEA-Fc stimulation were due to the stimulation by the possible contaminants or by the Fc fragment in the purified recombinant CEA-Fc proteins. Taken together, we conclude that the peptide sequence  $CEA<sub>116</sub>$  recognized by the  $CD4+$  T-cells is a naturally processed epitope for CEA.

## CEA-specific T-cell response induced by  $CEA<sub>116</sub>$ immunization of HLA-DR transgenic mice

To further assess the therapeutic potential of  $CEA<sub>116</sub>$ , we used HLA-DR4 transgenic mice [8, 51, 52] to determine whether immunization with the peptide can induce a  $CD4+$  Th response specific not only for the peptide, but also for the CEA protein. The HLA-DR molecule expression on transgenic mice was detected by flow cytometric assay. The spleen cells were isolated and stained with FITC-conjugated mouse anti-human HLA-DR, PE-conjugated mouse anti-human CD4, or FITCconjugated rat anti-mouse CD4 (BD PharMingen, San Diego, Calif.). These transgenic mice are human HLA-DR positive, human CD4 positive and mouse CD4 negative. Ten days after the last immunization with  $CEA<sub>116</sub>$  in CFA, the transgenic mice were killed and the response of their splenocytes to peptides, recombinant CEA protein, and CEA-positive colon cancer cell were examined by using  $IFN-\gamma$  ELISPOT assays. The splenocytes of CEA<sub>116</sub>-immunized mice responded strongly to the CEA<sub>116</sub> stimulation, producing IFN- $\gamma$  at



T-Cell Clone CEA<sub>116</sub> BCM-04.03

Fig. 6 The CD4+ T-cell responses to natively processed CEA. Each of three peptide-reactive T-cell clones ( $CEA_{116}$ ,  $CEA_{176}$ , and  $CEA_{624}$ ;  $3\times10^4$ /well) were stimulated in triplicate wells with irradiated autologous PBMC-derived DC  $(1.5\times10^3/\text{well})$  pulsed with recombinant CEA protein  $(10 \mu g/ml)$  in the presence or absence of the anti-HLA-DR antibody  $(L243; 20 \mu g/ml)$ . These T-cell clones were also stimulated with DC pulsed with irrelevant recombinant prostate-specific membrane antigen (PSMA) protein (10  $\mu$ g/ml). T-cell proliferation was determined by [<sup>3</sup>H]-thymidine incorporation assay during the last 16 of 72 h of culture. The proliferation of T-cells coculture with CEA-pulsed DC was significantly higher than proliferation of T-cells with non-pulsed DC (medium control) and with addition of anti-HLA DR antibody  $(p<0.01)$ . Values shown are the means of triplicate determinations (*bars*: SD). The representative result of one of three repeated experiments is shown

a frequency of 113 spots per million of splenocytes (medium control,  $14/10^6$ ). In contrast, the splenocytes of PBS-immunized control mice produced IFN- $\gamma$  at a background frequency of 15 spots per million splenocytes to the peptide  $CEA<sub>116</sub>$  (Fig. 7A). The splenocytes of  $CEA<sub>116</sub>$ -immunized mice did not respond to an irrelevant  $CEA_{588}$  stimulation, indicating that T-cells induced by peptide immunization specifically responds to the immunized peptide.

Since most tumor cells are MHC class-II negative, the tumor-specific MHC class II-restricted CD4+ T-cells are not able to recognize these tumor cells directly.



Fig. 7A,B Peptide-specific Th response induced by immunization with CEA<sub>116</sub>. The HLA-DR4 transgenic mice (4 mice/group) were twice immunized with 100  $\mu$ g CEA<sub>116</sub> or phosphate-buffered saline ( $PBS$ ; 100  $\mu$ I) emulsified with complete Freunds adjuvant (CFA). Ten days after the second immunization, the splenocytes of each mouse group were pooled and tested for peptide  $CEA<sub>116</sub>$ -induced production of IFN- $\gamma$  by ELISPOT. A The frequency of peptidespecific T-cells of  $CEA<sub>116</sub>$ -immunized mice was significantly higher than that of PBS control group and irrelevant control peptide CEA<sub>588</sub> ( $p$  < 0.01), and **B** significantly decreased by monoclonal antibody anti-human CD4 ( $p < 0.01$ ). Data show the mean and SD of spot numbers from triplicate wells from one experiment that is representative of two experiments performed

CD4+ T-cells induced by peptide immunization can react with antigen presenting cells (APCs) that take up and process the tumor antigen protein; thus, we tested if transgenic mouse T-cells become activated when cocultured with splenocytes containing T-cells and APCs pulsed with the recombinant CEA proteins. As shown in Fig. 8A, when stimulated with the recombinant CEA protein, the splenocytes of  $CEA<sub>116</sub>$ -immunized mice produced IFN- $\gamma$  at a frequency of 69 spots per million cells (medium control,  $14/10^6$ ), significantly higher than the splenocytes of the PBS-immunized mice (frequency of 13 spots per million cells). Furthermore, the splenocytes of  $CEA<sub>116</sub>$ -immunized mice produced IFN- $\gamma$  at a background frequency, when stimulated with irrelevant hTRT-Fc proteins  $(10/10^6)$ . These results indicate that  $CEA<sub>116</sub>$  immunization activates T-cells that recognize antigenic peptides processed from CEA proteins.

Finally, we tested whether activated  $CD4+T$ -cells can recognize APCs that directly take up and process the



tumor antigen from tumor cells. Colon cancer cell line (HT-29) that express high levels of CEA [35] were used for this assay. As shown in Fig. 8A, when stimulated with HT-29 cell lysates, the splenocytes of CEA<sub>116</sub>-immunized mice produced IFN- $\gamma$  at a frequency of 66 spots per million cells (medium control,  $14/10^6$ ), significantly higher than the splenocytes of the PBS-immunized mice at a frequency of 10 spots per million cells. The splenocytes of  $CEA<sub>116</sub>$ -immunized mice produced IFN- $\gamma$  at a background frequency, when stimulated with CEA-negative NA-6-Mel tumor lysates  $(10/10^6)$ , suggesting that T-cells activated by  $CEA<sub>116</sub>$  immunization specifically respond to antigenic peptides derived from CEA-positive tumor.

To confirm that the IFN- $\gamma$  production was from  $CD4+$  Th cells, we tested the blocking effects of antihuman CD4 and CD8 monoclonal antibodies  $(20 \mu g/ml)$ in the antigen-induced IFN- $\gamma$  ELISPOT assay. The splenocytes produced IFN- $\gamma$  at a frequency of 27 spots



against  $CEA<sub>116</sub>$ , 17 spots against CEA protein, and 17 spots against HT-29 tumor lysates in the presence of anti-human CD4 antibody. As shown in Figs. 7B and 8B, the production of IFN- $\gamma$  induced by CEA<sub>116</sub>, CEA protein and HT-29 tumor lysates was all significantly decreased by the anti-human CD4 antibody  $(p < 0.01)$ but not by anti-human CD8 antibody (data not shown), which indicates that antigen-specific IFN- $\gamma$  was produced by  $CD4+$  Th cells. The antigen-specific  $CD4+$ Th responses can be induced by immunization with MHC class-II peptide  $CEA<sub>116</sub>$ .

## **Discussion**

In the present study, we identified several MHC class-IIrestricted Th epitopes in CEA, including one naturally processed epitope  $CEA<sub>116</sub>$ , using the computer-based algorithm analysis and in vitro T-cell biological analysis. Furthermore, we demonstrated that the T-cell precursors for CEA<sub>116</sub> are normal part of T-cell repertoire of human with the frequency of  $0.08-0.33\times10^{-6}$  estimated from seven donors tested and can be readily activated when properly stimulated. A concern for peptide-based therapy is the MHC genotype restriction, since class-Irestricted epitopes are largely restricted by a particular class-I genotype [24, 25, 26]. Here, we found that the  $CEA<sub>116</sub>$  epitope can be promiscuously presented by commonly found HLA-DR alleles, including some genotypes in HLA-DR4, DR1, DR13, and DR14. Furthermore,  $CEA<sub>116</sub>$  peptide immunization of HLA-DR4 transgenic mice activates T-cells that specifically responded to antigenic peptides derived from CEA protein and CEA-positive tumor. The identification of the promiscuous, naturally processed epitope  $CEA<sub>116</sub>$  should facilitate the development of improved tumor vaccines through the simultaneous stimulation of CEA-specific CTL and T-helper responses for treating CEA-associated cancers, including colorectal, gastric, pancreatic, breast, and non-small cell lung carcinomas [17, 50].

The main focus of current tumor vaccination effort has been directed to induce CTL responses [38, 45]; however, CD4+ T-helper (Th) cells play critical roles in initiating, regulating, and maintaining CTL responses [38, 44]; thus, the potency of current tumor vaccines that mainly use CTL epitopes to induce CTL could be enhanced by combined application with  $CD4+$  Th epitopes. Increasing efforts have been made to activate Th to enhance tumor vaccines. Non-specific Th stimuli, such as keyhole limpet hemocyanin (KLH), have been used to enhance CTL responses. The KLH, which can serve as a helper antigen, induces T-cell responses and enhances in vivo antitumor activity [9, 49]. A universal, nonspecific Th epitope, PADRE, was developed and has been used to enhance CTL responses in clinical vaccine trials [2, 42, 60]. Although responses to the PADRE epitopes are induced in vivo, the T-cell responses to antigen epitopes usually have been very limited [42, 60]; thus, Th epitopes from the same antigen may be more

effective than non-specific Th stimuli such as PADRE or KLH to enhance antigen-specific CTL responses and anti-tumor activity.

Thus far, only a few class-II-restricted epitopes on human tumors have been identified, despite the importance of CD4+ Th in the induction of antitumor responses [6, 7, 20, 26, 28, 56]. By comparison with the identification of MHC class-I-restricted CTL epitopes in tumor-associated antigens, it is technically more challenging to identify MHC class-II-restricted Th epitopes, since antigen-specific T-helper cells are usually difficult to generate and the length of MHC class-II-restricted epitopes is invariable. Several recent studies have utilized an algorithm analysis to narrow down the epitope candidates and then use in vitro T-cell analysis to identify class-II-restricted epitopes [6, 7, 20, 26, 28, 57, 62, 63]. In this study, we used a combined approach of using a computer-based algorithm analysis TEPITOPE and in vitro biological analysis to identify Th epitopes in CEA that induce Th responses. An essential feature of functional CD4+ T-cells is their ability to recognize naturally processed antigen; thus, the primary goal of this study is to identify naturally processed epitopes in CEA. Although all of peptides derived from the predicted binding motifs in CEA by TEPITOPE were found to be able to induce human T-cell responses, the challenge lies in the identification of the naturally processed epitopes. The key to identify naturally processed epitopes is to generate peptide-specific human T-cell clones, since indepth and repeatable analyses would be impossible without a specific T-clone. Here, we established an efficient method to generate peptide-reactive T-cell clones. The peptide-specific T-cell clones for three peptides were established, although T-cell clones for other two other peptides were unable to establish even after repeated attempts for some unknown reasons. By testing these peptide-specific T-cell clones, we found that the T-cell clone specific for  $CEA<sub>116</sub>$  responded to DCs pulsed with CEA proteins, indicating this peptide  $CEA<sub>116</sub>$  is a naturally processed epitope. This in vitro Th induction method we established is efficient, since several MHC class-II restricted Th epitopes in other tumor antigens, including the prostate specific membrane antigen (PSMA) and human telomerase reverse transcriptase [48], were also identified by this described method.

The overall affinity/avidity of  $CD4+$  Th cells for a peptide is an important parameter that may affect the recognition of naturally processed epitopes from tumorassociated antigens [24, 25, 26, 27, 35]. By evaluating the avidity of these peptide-specific T-cell clones for their ligands, we found that the  $CEA_{624}$  T-cell clone had a higher avidity than the two other clones but did not respond to DC pulsed with recombinant CEA proteins. In contrast, the  $CEA<sub>116</sub>$  T-cell clone with a lower avidity vigorously responded to DC pulsed with recombinant CEA proteins. These results suggest that there is no clear correlation between the avidity of peptide binding with MHC molecule/T-cell receptor (TCR) and the T-cell ability to recognize naturally processed antigens. It may be because the synthetic peptide, although binding to MHC/TCR at a high avidity, is not naturally processed from the antigen protein and presented with MHC class-II molecules by antigen-presenting cells (APC); thus, the only reliable means to identify a native epitope, we believe, is to test the responsiveness of a peptide-specific T-cell clone to protein-pulsed APC.

Immunotherapies for the induction of immune responses against CEA are currently being tested in the clinic [17, 58, 59]. It was demonstrated that immunization with CEA CTL peptides induced CEA-specific CTL responses in cancer patients [58, 59]. In a recent clinical trial, immunization with DCs pulsed with a modified CTL peptides derived from CEA induce CD8+ CTL that recognized CEA-positive tumor cells [11]. After vaccination, some colorectal and lung cancer patients experienced dramatic tumor regression, which seems to correlate with the expansion of  $CD8+$  tetramer-positive T-cells, suggesting the therapeutic effect of CEA CTL peptide immunization. Given the potential critical role of antigen-specific Th cells on CTL responses [12, 53, 54, 65], it would be interesting to test whether the combined use of CEA Th peptides with CTL peptides could enhance the potency and duration of anti-CEA CTL responses against these common cancers, including colorectal, gastric, pancreatic, breast cancer, and nonsmall cell lung carcinomas.

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