# Impaired local natural killer cell activity in human colorectal carcinomas

Nieves M. Aparicio-Pagés, Hein W. Verspaget, Salvador A. Peña, and Cornelis B. H. W. Lamers

Department of Gastroenterology and Hepatology, University Hospital, Leiden, The Netherlands

Summary. The present study was undertaken to study natural killer (NK) cell activity in patients with colorectal cancer at peripheral and local levels. Mononuclear cells were isolated from uninvolved colorectal mucosa, tumor tissue and peripheral blood, and tested against the colon carcinoma cell line CaCo-2 and the erythroleukemia cell line K-562. Peripheral blood NK cell activity from the patients showed similar levels compared with healthy controls, whereas, mononuclear cells of tumor tissue were found to have a significantly decreased NK cell activity compared to the normal intestinal mucosa (P < 0.01). No relation was found between the NK cell activity and the advancement of the disease according to the Duke's stage. Interferon- $\gamma$  (IFN- $\gamma$ ) stimulated the NK cell activity of the mononuclear cells from blood, mucosa and tumor. However, the increase of NK cell activity after IFN-y stimulation was lower in the tumor compared to the mucosa (P < 0.02). The lectin, phytohaemagglutinin, increased the cytotoxicity of mononuclear cells from blood, mucosa and tumor to a similar level. These results suggest that patients with colorectal tumors exhibit a normal NK cell activity in peripheral blood and intestinal mucosa; however, a diminished NK cell activity exists at the tumor level. Although mononuclear cells isolated from the tumor have a normal response to lectin stimulation they show hyporesponsiveness to IFN-y stimulation with regard to their NK cell activity.

### Introduction

Natural killer (NK) cells play an important role in many physiological functions including defence against tumor [11]. There is a considerable controversy in the literature with regard to NK cell activity of peripheral blood determined in cancer patients. Depressed NK cell activity has been related to advanced disease [15, 17, 19]. In contrast, other investigators have reported normal NK cell activity independent of the Duke's grading in patients with colon cancer [23]. When circulating numbers of NK cells were studied with HNK-1 (Leu-7) in patients with colon cancer they were found to be reduced in comparison to control subjects [2, 3b]. Intestinal immunity can also play a role in the local immune surveillance. Although low percentages of NK cells are found in the normal intestinal mucosa, functional natural killer and lymphokine-activated killer cells are both present in the human gut mucosa [7, 9, 12, 13, 18]. At the tumor level, the NK cell activity has been reported to be absent or not measurable [3b, 4, 16]. However, the existence of an increased number of NK cells has been shown in tumor-infiltrating lymphocytes in patients with colon cancer [6]. Also it has been reported that human and animal tumors contain a high proportion of cytotoxic T lymphocytes [6, 16, 24].

The purpose of this study was to analyse the NK cell activity under optimal conditions in peripheral blood, intestinal mucosa and tumor from patients with colorectal carcinomas. We have also evaluated the response of these NK cell populations to the immunomodulator IFN- $\gamma$  and the lectin phytohaemagglutinin.

## Materials and methods

Patients. Fresh heparinized blood was obtained from 15 patients with colorectal cancer prior to surgery and from 15 healthy controls. Specimens of colorectal tumors and intestinal mucosa were obtained from 11 patients undergoing surgical resection of large-bowel adenocarcinoma (1 coecum, 4 colon ascendens, 3 colon transversum, 2 colon sigmoid and 1 rectum). The Duke's stages of these patients were: A (n = 1), B (n = 4), C (n = 4), and D (n = 2). The adjacent intestinal tissue was taken at least 5 cm from the tumor and was examined histologically to ensure the absence of tumor cells.

Mononuclear cell isolation. We have applied a modified technique from Bull and Bookman [5], as described previously [22], for the separation of mononuclear cells from intestinal mucosa and colorectal tumors using enzymatic dispersion and separation on the basis of density and size by Ficoll-Hypaque. In brief, after resection, tumor and intestinal mucosa tissues were transferred in ice-cold Hank's balanced salt solution (HBSS) supplemented with antibiotics and were processed immediately under sterile conditions. Necrotic and connective tissue was removed from the tumor and the remaining tissue was cut into small pieces of  $5 \text{ mm}^2$  and washed twice with calcium/magnesium-free HBSS (Gibco Europe). Normal mucosa was dissected free of submucosa, washed and cut into pieces of

Offprint requests to: Hein W. Verspaget, Department of Gastroenterology and Hepatology, University Hospital, Building 1, C4-P012, Rijnsburgerweg 10, 2333 AA Leiden, The Netherlands

5 mm<sup>2</sup> as well. The tissues were incubated in 1 mM dithiothreitol (Sigma) at room temperature for 15 min to remove mucus and washed three times more in Ca/Mg-free HBSS. The tissue specimens were then cut into smaller pieces of  $1-2 \text{ mm}^2$  and incubated sequentially in 0.75 mM EDTA at 37° C, with stirring in a Wheaton chamber, five or six times for 1-h periods to dissociate epithelial/tumor cells. The remaining lamina propria and tumor fragments were washed twice in RPMI 1640 tissue-culture medium (Gibco Europe) supplemented with 5% fetal calf serum (FCS, Gibco Europe) and antibiotics. Enzymatic dissociation of the remaining tissue was subsequently carried out by continuous incubation of the tissues in 100 ml RPMI 1640, supplemented with 10% FCS, 2000-4000 U collagenase (CLSPA Worthington Laboratories Freehold, NJ), 15000 U DNase (Worthington Laboratories) 20000 U penicillin, 20 mg streptomycin, 5 mg gentamycin and 0.05 mg amphotericin B, overnight at 37° C to dissociate lamina propria or tumor constituents into monodispersed cells. The resultant cell suspensions were filtered through a nylon mesh to remove clumps and were washed. The cell suspensions were further separated by Ficoll/Hypaque density gradient centrifugation. The interface of mononuclear cells was harvested and washed three times with culture medium. Mononuclear cells from heparinized peripheral blood were obtained by Ficoll/Hypaque density gradient centrifugation. Differential cell counts were done on cytospin preparations of the dissociated cell suspensions from all the specimens. They were fixed and stained with May-Grünwald/Giemsa. The percentage of different type of cells was determined by counting at least 500 cells by light microscopy.

Target cells. The target cells used were the erythroleukemia cell line K-562, and the human colon cancer cell line Ca-Co-2. The K-562 cell line was cultured in suspension in RPMI 1640 medium supplemented with 10% FCS. The Ca-Co-2 cell line was cultured as an adherent monolayer in RPMI 1640 with 20% FCS, and was kept in growth phase by transferring 1:3 twice a week. Single-cell suspensions for the cytotoxic assays were obtained by trypsinization of the monolayer.

Cytotoxicity assay. The effector cells, mononuclear cells from peripheral blood, mucosa and tumor were cultured for 24 h at 37° C in a 5% CO<sub>2</sub>/95% air mixture in culture medium to reverse any possible adverse effects of the isolation procedure before functional assay [10]. The NK cell activity was measured using an 18-h chromium-release assay as described previously [1]. The target cells were harvested and labelled with sodium  $^{51}$ chromate (100  $\mu$ Ci/  $5 \times 10^6$  cells) for 1 h at 37° C. The effector:target (E:T) ratio used was 50:1 for peripheral blood and 50:1 and/or 500:1 for intestinal mononuclear cells and tumor-infiltrating mononuclear cells. IFN-y was diluted in culture medium with a final assay concentration of 500 U/ml. Phytohaemagglutinin was dissolved directly in culture medium and used at a final concentration of 1 µg/ml. Cytotoxicity was determined using 100 µl effector cells, 50 µl stimulus or medium and 50 µl target cells. The assays were performed in triplicate with a final volume of 200 µl/well. After the target cells had been added, the plates were centrifuged for 5 min, 500 g, and incubated for 18 h at 37° C in a 5% CO<sub>2</sub>/95% air atmosphere. Incubation was terminated after

18 h by centrifugation and supernatant collection by a harvesting system (supernatant collection system, Skatron). The released label was subsequently counted in a gamma counter. The spontaneous release (20%-29%) was determined by incubation of the target cells with culture medium, IFN- $\gamma$  or phytohaemagglutinin. At the concentrations used in this assay, IFN- $\gamma$  and phytohaemagglutinin did not affect the spontaneous release. Maximal release was obtained by incubation of the targets with saponin (80%-82%).

Cellular cytotoxicity was calculated by the following formula:

cytotoxicity (%) =

 $\frac{\text{experimental release} - \text{spontaneous release}}{\text{maximal release} - \text{spontaneous release}} \times 100$ 

Statistical analysis. All data are expressed as mean  $\pm$  standard error of the mean. The statistical significances of the differences were evaluated with the paired and unpaired non-parametrical two-tailed Wilcoxon's rank-sum test.

## Results

#### Intestinal cell isolation

The total numbers of cells obtained after enzymatic dispersion were for adjacent intestinal mucosae  $33\pm8\times10^6$  cells/g tissue and for the tumors  $34\pm6\times10^6$  cells/g. From these initial cell suspensions, the recovery of mononuclear cells after Ficoll/Hypaque separation was 29% (range 8%-69%) in the case of those cells infiltrating the tumor and 49% (29%-69%) in the case of the adjacent intestinal mucosa yielding respectively  $9\pm2\times10^6$  cells/g and  $15\pm3\times10^6$  cells/g. The mononuclear cell from the tumors contained  $52\pm4\%$  lymphocytes and those from the intestinal mucosae  $63\pm4\%$ , contamination with epithelial/malignant cells was less than 10%. The viabilities of the mononuclear cells of intestinal mucosae and of tumors were respectively  $89\pm1\%$  and  $84\pm3\%$ .

### NK cell activity

Peripheral blood mononuclear cells from the patients showed a similar level of NK cell activity, compared with those from the controls, against both targets (K-562,  $52 \pm 11$  vs  $58 \pm 2$  and CaCo-2,  $25 \pm 4$  vs  $28 \pm 3$ ).

Mononuclear cells of the intestinal mucosa and of the tumor showed a low NK activity against CaCo-2 and K-562 tested with the ratio 50:1 (9  $\pm$  2 vs 4  $\pm$  1 and 2  $\pm$  3 vs  $1\pm 1$  respectively). Cytotoxicity against the CaCo-2 cell line showed a significant difference between mononuclear cells from the intestinal mucosa and from the tumor (P < 0.05) (Fig. 1). With an effector: target ratio of 500:1, the cytotoxicity of the mononuclear cells of the intestinal mucosa and tumor increased significantly against the two cell lines tested, CaCo-2 and K-562 (P < 0.02). Using this ratio, the NK cell activity of the mononuclear cells infiltrating the tumor was found to be markedly decreased compared to the that of the adjacent intestinal mucosa (P < 0.01) (Fig. 1). No relation was observed between NK cell activity and the Duke's stage with the tumors nor with the normal mucosa as illustrated in Table 1.

Phytohaemagglutinin increased significantly the cytotoxicity of the mononuclear cells from blood, mucosa and tumor (P < 0.02). However, there was no difference in the

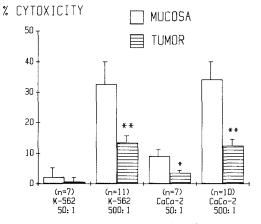


Fig. 1. Spontaneous NK cell activity of mononuclear cells of the normal intestinal mucosa and the tumor from patients with colorectal cancer. NK activity in two different effector:target cell ratios, 50:1 and 500:1, tested against K-562 and CaCo-2 cell lines. \* P < 0.05, \*\* P < 0.01; significance of difference intestinal mucosa vs tumor

cytotoxicity levels after phytohaemagglutinin stimulation between peripheral blood mononuclear cells from patients and controls nor between mononuclear cells from mucosa and tumor. Also no difference was found in the increase in the cytotoxicity of blood mononuclear cells between patients and controls nor between those of intestinal mucosa and tumor (Fig. 2).

IFN- $\gamma$  stimulated significantly the cytotoxicity of mononuclear cells from blood, tumor and normal adjacent intestinal mucosa (P < 0.02). However, the increase of the cytotoxicity after IFN- $\gamma$  stimulation of the tumor was significantly less when compared to the intestinal mucosa ( $4\pm 1$  vs  $10\pm 1$ , P < 0.02), and no difference was found with the peripheral blood mononuclear cells.

#### Discussion

This study shows that patients with colorectal carcinoma have a defect of the NK cell activity at the tumor level compared to the adjacent intestinal mucosa, which is unrelated to the Duke's stage, with a normal peripheral blood NK cell activity.

Although the number of NK cells is markedly decreased in intestinal mucosa [9, 18] NK cell activity at the intestinal level can be studied when optimal methods are applied. Thus, to be able to compare NK cell activity from blood with mucosa, other effector:target cell ratios should be used to obtain the same proportions of cytotoxic cells [10]. For these reasons, previous reports of generally mini-

Table 1. NK cell activity, as percentage cytotoxicity, of mononuclear cells from normal intestinal mucosa and tumor divided according to Duke's stage

Duke's stage	Targets			
	K-562		CaCo-2	
	Mucosa	Tumor	Mucosa	Tumor
A and B, $n = 5$ C and D, $n = 6$	$32 \pm 10 \\ 33 \pm 12$	$15\pm 4$ $12\pm 3$	$31\pm7\\36\pm9$	$12 \pm 1$ $13 \pm 3$

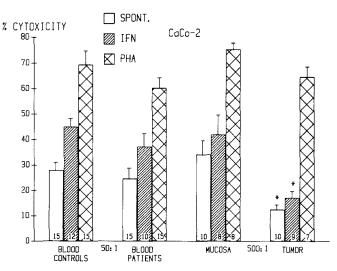


Fig. 2. Spontaneous NK cell activity and after stimulation with IFN- $\gamma$  and phytohaemagglutinin of mononuclear cells of blood, normal intestinal mucosa and tumor from patients with colorectal cancer tested against the CaCo-2 cell line. Similar results were obtained with the K-562 targets. \* P < 0.01; significance of difference intestinal mucosa vs tumor

mal or absent NK cell activity cannot be validly interpreted [4, 7]. In this study freshly isolated mononuclear cells of the intestinal mucosa from all patients showed significant cytotoxicity against both cell lines, CaCo-2 and K-562. Using these assay conditions, which overcome most of the methodological difficulties, by culturing cells for 24 h before testing and using a high E:T ratio [10a, b] a defect of NK cell activity at the tumor level, has been demonstrated.

The depressed NK cell activity found in the colorectal tumor appears to be a general finding for tumors of different localizations [16, 24]. Mononuclear cells infiltrating tumors have been shown to have a higher proportion of HNK-1 (Leu-7) and/or CD8 (OKT-8) cells compared to the normal intestinal mucosa [6, 16]. The suppression of the activity of the NK cells may thus be related to the environment of the tumor itself. For example, it is known that tumors contain more macrophages and high prostaglandin  $E_2$  production may be a possible mechanism for the depression of the NK cell activity at the tumor level [3 a].

IFN- $\gamma$ , a potent immunomodulator, has been shown to stimulate monocytes and NK cells [20, 21]. In this study mononuclear cells of the tumor were hyporesponders whereas those of blood and mucosa showed a normal response with IFN- $\gamma$ . Other studies, using other types of interferons, have also shown stimulation of the NK cell activity [8, 9, 13].

Phytohaemagglutinin has been shown to increase cellular cytotoxicity by inducing interleukin-2 production, receptor expression and lymphocyte activation [1, 14]. In this study it increased the cytotoxicity of mononuclear cells of peripheral blood, tumor, and normal adjacent intestinal mucosa in the same fashion. The mononuclear cells of the tumor are capable of becoming fully activated.

We conclude that patients with colorectal cancer have a defect in the NK cell activity at tumor level. The mononuclear cells of the tumor are hyporesponsive to IFN- $\gamma$  but have high potential lytic capabilities. It seems, therefore, possible that within the tumor, lymphocytes capable of being stimulated to an optimal killing are present but are suppressed by the tumor cells or products produced in the tumor environment. Development of approaches to overcome this local malfunction of the NK cells might provide better local control of tumor growth.

Acknowledgements. This work was supported in part by a fellowship given to one of us (MNA) from 'La Caixa', Barcelona, Spain. We greatly appreciate the technical assistance of Mrs. M. Mieremet-Ooms and Annie van der Zon. We would like to acknowledge the staff of the Departments of Surgery and Pathology for their help in providing resection specimens. We would also like to thank Loes Niepoth for typing the manuscript.

#### References

- Aparicio-Pagés MN, Verspaget HW, Peña AS, Weterman IT, Mieremet-Ooms MAC, van der Zon JM, de Bruin PAF, Lamers CBHW (1989) Natural, lectin- and phorbol ester induced cellular cytotoxicity in Crohn's disease and ulcerative colitis. J Clin Lab Immunol (in press)
- Balch CM, Tilden AB, Dougherty PA, Cloud GA, Abo T (1983) Depressed levels of granular lymphocytes with natural killer (NK) cell function in 247 cancer patients. Ann Surg 198: 192
- 3a.Balch CM, Dougherty PA, Cloud GA, Tilden AB (1984) Prostaglandin  $E_2$ -mediated suppression of cellular immunity in colon cancer patients. Surgery 95: 71
- 3b.Balch CM, Tilden AB, Dougherty PA, Cloud GA, Abo T (1984) Heterogeneity of natural killer lymphocyte abnormalities in colon cancer patients. Surgery 97: 63
- 4. Bland PW, Britton DC, Richens ER, Pledger JV (1981) Peripheral, mucosal, and tumour-infiltrating components of cellular immunity in cancer of the large bowel. Gut 22: 744
- Bull DM, Bookman MA (1977) Isolation and functional characterisation of human intestinal mucosa lymphoid cells. J Clin Invest 59: 966
- 6. Ebert EC (1987) Characterization of lymphocytes found in colon cancer. Gastroenterology 92: 1380
- Fiochi C, Tubbs RR, Youngman KR (1985) Human intestinal mucosal mononuclear cells exhibit lymphokine-activated killer cell activity. Gastroenterology 88: 625
- Gibson PR, Jewell DP (1985) The nature of the natural killer (NK) cell of human intestinal mucosa and mesenteric lymph node. Clin Exp Immunol 61: 160
- 9. Gibson PR, Jewell DP (1986) Local immune mechanisms in inflammatory bowel disease and colorectal carcinoma. Gastroenterology 90: 12
- 10a.Gibson PR, Dow EL, Selby WS, Strickland RG, Jewell DP (1984) Natural killer cells and spontaneous cell-mediated cytotoxicity in the human intestine. Clin Exp Immunol 56: 438
- 10b.Gibson PR, Hermanowicz A, Jewell DP (1984) Factors affecting the spontaneous cell-mediated cytotoxicity of intestinal mononuclear cells. Immunology 53: 267
- 11. Herberman RB (1983) Possible role of natural killer cells in host resistance against tumors and others diseases. Clin Immunol Allerg 3: 479

- MacDermott RP, Franklin GO, Jenkins KM, Kodner IJ, Nash GS, Weinrieb IJ, I (1980) Investigation of antibody-dependent, lectin-induced, and spontaneous cell-mediated cytotoxic capabilities. Gastroenterology 78: 47
- 13. MacDermott RP, Janice Bragdon M, Kodner IJ, Bertovich MJ (1986) Deficient cell-mediated cytotoxicity and hyporesponsiveness to interferon and mitogenic lectin activation by inflammatory bowel disease peripheral blood and intestinal mononuclear cells. Gastroenterology 90: 6
- 14. Ming RH, Atlum D, Spellman CW, Imir T, Goodwin JS, Strickland RG (1987) Peripheral blood mononuclear cell interleukin-2 production, receptor generation and lymphokineactivated cytotoxicity in inflammatory bowel disease. J Clin Immunol 7: 59
- Monson JRT, Ramsden CW, Giles GR, Brennan TG, Guillou PJ (1987) Lymphokine activated killer (LAK) cells in patients with gastrointestinal cancer. Gut 28: 1420
- Rabinowich H, Cohen R, Bruderman I, Steiner Z, Klajman A (1987) Functional analysis of mononuclear cells infiltrating into tumors: Lysis of autologous human tumor cells by cultured infiltrating lymphocytes. Cancer Res 47: 173
- 17. Schantz SP, Shillitoe EJ, Brown B, Campbell B (1986) Natural killer cell activity and head and neck cancer: a clinical assessment. JNCI 77: 869
- Shanahan F, Brogan M, Targan S (1987) Human mucosal cytotoxic effector cells. Gastroenterology 92: 1951
- Steinhauer EH, Doyle AT, Reed J, Kadish AS (1982) Defective natural cytotoxicity in patients with cancer: normal number of effector cells but decreased recycling capacity in patients with advanced disease. J Immunol 129: 2255
- Tweardy DJ, Fujiwara H, Scillian JJ, Ellner JJ (1986) Concurrent enhancement of monocyte immunoregulatory properties and effector functions by recombinant interferon-γ. Cell Immunol 100: 34
- 21. van de Grind RJ, Ronteltap CPM, Gravekamp C, Monnikendam D, Bolhuis RLH (1986) Interferon- $\beta$  and recombinant Il 2 can both enhance, but by different pathways, the nonspecific cytolytic potential of T3<sup>-</sup> natural killer cell-derived clones rather than that of T3<sup>+</sup> clones. J Immunol 136: 1700
- 22. Verspaget HW, Peña AS, Weterman IT, Lamers CBHW (1988) Disordered regulation of the in vitro immunoglobulin synthesis by intestinal mononuclear cells in Crohn's disease. Gut 29: 503
- Vose BM, Gallagher P, Moore M, Schofield PF (1981) Specific and non-specific lymphocyte cytotoxicity in colon carcinoma. Br J Cancer 44: 846
- Wei WZ, Malone K, Mahoney K, Heppner G (1986) Characterization of lymphocytic infiltrates in normal, preneoplastic, and neoplastic mouse mammary tissues. Cancer Res 46: 2680

Received August 26, 1988/Accepted November 1, 1988