- 1 Order of amino acid recruitment into the genetic code resolved by
- 2 Last Universal Common Ancestor's protein domains
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- 18 B.M. implemented a new feature in GeneRax to assist the analyses. S.W. wrote the first draft of
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23 Abstract

24 The current "consensus" order in which amino acids were added to the genetic code is based on 25 potentially biased criteria, such as absence of sulfur-containing amino acids from the Urey-Miller 26 experiment which lacked sulfur. More broadly, abiotic abundance might not reflect biotic 27 abundance in the organisms in which the genetic code evolved. Here, we instead identify which 28 protein domains date to the last universal common ancestor (LUCA), then infer the order of 29 recruitment from deviations of their ancestrally reconstructed amino acid frequencies from the 30 still-ancient post-LUCA controls. We find that smaller amino acids were added to the code earlier, 31 with no additional predictive power in the previous "consensus" order. Metal-binding (cysteine and 32 histidine) and sulfur-containing (cysteine and methionine) amino acids were added to the genetic 33 code much earlier than previously thought. Methionine and histidine were added to the code 34 earlier than expected from their molecular weights, and glutamine later. Early methionine 35 availability is compatible with inferred early use of S-adenosylmethionine, and early histidine with 36 its purine-like structure and the demand for metal-binding. Even more ancient protein sequences 37 - those that had already diversified into multiple distinct copies prior to LUCA - have 38 significantly higher frequencies of aromatic amino acids (tryptophan, tyrosine, phenylalanine and 39 histidine), and lower frequencies of valine and glutamic acid than single copy LUCA sequences. If 40 at least some of these sequences predate the current code, then their distinct enrichment 41 patterns provide hints about earlier, alternative genetic codes.

42 Significance Statement

43 The order in which the amino acids were added to the genetic code was previously inferred from 44 consensus among forty metrics. Many of these reflect abiotic abundance on ancient Earth. 45 However, the abundances that matter are those within primitive cells that already had 46 sophisticated RNA and perhaps peptide metabolism. Here, we directly infer the order of 47 recruitment from the relative ancestral amino acid frequencies of ancient protein sequences. 48 Small size predicts ancient amino acid enrichment better than the previous consensus metric 49 does. We place metal-binding and sulfur-containing amino acids earlier than previously thought, 50 highlighting the importance of metal-dependent catalysis and sulfur metabolism to ancient life. 51 Understanding early life has implications for our search for life elsewhere in the universe.

52 53

54 Main Text

55 Introduction

56 The modern genetic code was likely assembled in stages, hypothesized to begin with "early" 57 amino acids present on Earth before the emergence of life (possibly delivered by extraterrestrial 58 sources such as asteroids or comets), and ending with "late" amino acids requiring biotic 59 synthesis (1, 2). For example, the Urey-Miller experiment (3) has been used to identify which 60 amino acids were available abiotically and are thus likely to have come earlier than those 61 requiring biotic synthesis. The order of amino acid recruitment, from early to late, was inferred by 62 taking statistical consensus among 40 different rankings (4), none of which constitute strong evidence on their own. On the basis of this ordering, Moosmann (5) hypothesized that the first 63 64 amino acids recruited into the genetic code were those that were useful for membrane anchoring, 65 then those useful for halophilic folding, then for mesophilic folding, then for metal binding, and 66 finally for their antioxidant properties. However, a late role for metal-binding amino acids is 67 puzzling; many metalloproteins date back to the Last Universal Common Ancestor's (LUCA)'s 68 proteome, where they are presumed to be key to the emergence of biological catalysis (6).

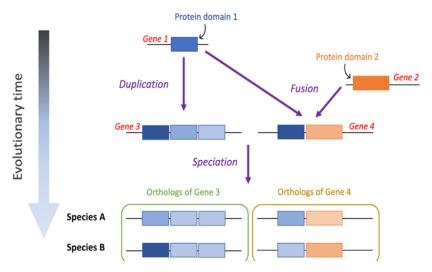
Indeed, the late status of some amino acids is disputed (7). For example, the Urey-Miller experiment (3) did not include sulfur, and so should not have been used to infer that the sulfurcontaining amino acids cysteine and methionine were late additions. Methionine and homocysteine (a product of cysteine degradation) were detected in hydrogen sulfide (H_2S)-rich

73 spark discharge experiments, suggesting that methionine and cysteine could be abiotically 74 produced (8). A nitrile-activated dehydroalanine pathway can produce cysteine from abiotic serine 75 that is produced from a Strecker reaction (9), further demonstrating the possibility of its early 76 chemical availability.

77 Histidine's classification as abiotically unavailable also contributed to its annotation as late (4). 78 While histidine can be abiotically synthesized from erythrose reacting with formamidine followed 79 by a Strecker synthesis reaction (10), the reactant concentrations might have been insufficient in 80 a primitive earth environment (11). More importantly, because histidine resembles a purine, even 81 if histidine were abiotically unavailable, it might have had cellular availability at the time of genetic 82 code construction (12), in an organism that biotically synthesized ribosomes, and that might also 83 have already utilized amino acids and peptides. Indeed, histidine is the most commonly 84 conserved residue in the active site of enzymes (13).

85 To directly infer the order of recruitment from protein sequence data, without reference to abiotic 86 availability arguments, we consider that some of LUCA's proteins were born prior to the 87 completion of the genetic code (14). We predict that ancestrally reconstructed sequences from 88 this era will be enriched in early amino acids and depleted in late amino acids. Previous analyses 89 relied on conserved residues within a small number of LUCA proteins (15, 16). Here, we classify 90 a larger set of protein-coding domains that date back to LUCA, rather than being more recently 91 born, e.g., de novo from non-coding sequences or alternative reading frames (17, 18). We 92 compare reconstructed ancient amino acid frequencies of the most ancient vs. moderately 93 ancient protein cohorts, to deduce the order in which amino acids were incorporated into the 94 genetic code.

95 We take advantage of gene-tree species-tree reconciliation methods (19) to infer LUCA's protein 96 sequences. Previous analyses focused on the age of orthologous gene families (20-22); ours is 97 the first to infer which protein domains date back to LUCA. Protein domains are the basic units of proteins, that can fold, function, and evolve independently (23). Proteins often contain multiple 98 99 protein domains, each of which might have a different age (Figure 1). For the purpose of inferring 100 ancient amino acid usage, what matters is the age of the protein domain, not that of the whole protein that it is part of. We use protein domain annotations from the Pfam database (24). We 101 102 recognize Pfams present in LUCA by trimming horizontal gene transfer (HGT) events, and by 103 exploiting long archaeal-bacterial branches (Figure 2; see Methods for details).



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Figure 1. The evolutionary history of a protein domain may date back further in time than that of the whole-gene ortholog that it is part of. Multi-domain genes 3 and 4 originated

around the same time. However, they are made up of two protein domains (blue & orange boxes)

108 that emerged and diverged at different points in time – domain 1 is older than domain 2.

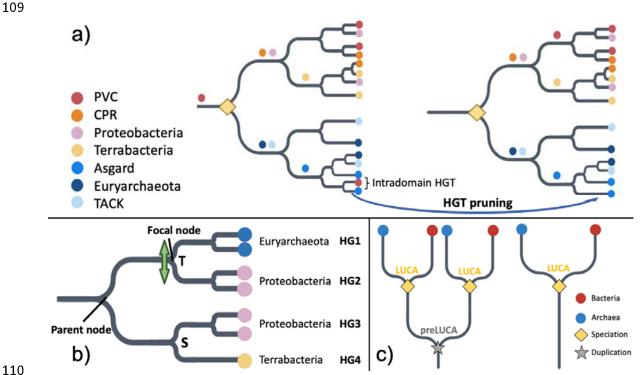


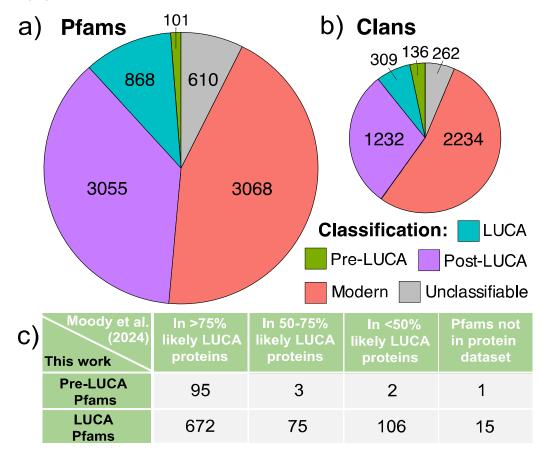
Figure 2. Criteria for (a) LUCA Pfam annotation, (b) identifying HGT to be filtered, and (c) 111 112 pre-LUCA Pfam annotation. Details are in Methods, with a brief summary here. a) Pruning HGT between archaea and bacteria reveals a LUCA node as dividing bacteria and archaea at the root. 113 114 Colored circles are indicated just upstream of the most recent common ancestor (MRCA) of all 115 copies of that Pfam found within the same taxonomic supergroup. We recognize a total of five 116 bacterial supergroups (FCB, PVC, CPR, Terrabacteria and Proteobacteria (75, 76)) and four 117 archaeal supergroups (TACK, DPANN, Asgard and Euryarchaeota (77, 78)); only 4 out of 5 118 bacterial supergroups and 3 out of 4 archaeal supergroups are shown. The yellow diamond 119 indicates LUCA as a speciation event between archaea and bacteria. We do not assume that the LUCA coalescence timing was the same for every Pfam (94). Prior to HGT pruning, PVC 120 121 sequences can be found on either side of the two lineages divided by the root. After pruning 122 intradomain HGT, four MRCAs are found one node away from the root, and three more MRCAs 123 are found two nodes away from the root, fulfilling our other LUCA criterion described in the 124 Methods, namely presence of at least three bacterial and at least two archaeal supergroup 125 MRCAs one to two nodes away from the root. b) Criteria for pruning likely HGT between archaea 126 and bacteria (see Methods for details). We partition into monophyletic groups of sequences in the 127 same supergroup; in this example, there are four such groups, representing two bacterial 128 supergroups and one archaeal supergroup. There is one 'mixed' node, separating an archaeal 129 group (HG1) from a bacterial group (HG2). It is also annotated by GeneRax (19) as a transfer 'T'. 130 The bacterial nature of groups 3 and 4 indicates a putative HGT direction from group 2 to group 131 1. Group 2 does not contain any Euryarchaeota sequences, meeting the third and final 132 requirement for pruning of group 1. If neither Proteobacteria or Euryarchaeota sequences were 133 present among the other descendants of the parent node, both groups 1 and 2 would be 134 considered acceptors of a transferred Pfam and would both be pruned from the tree, c) Pre-LUCA 135 Pfams have at least two nodes annotated as LUCA.

136

137 Results

138 Ancient protein domain classifications agree with whole-gene classifications

139 We classify 969 Pfams and 445 clans (sets of one or more Pfams that are evolutionary related) 140 as present in LUCA (Figures 3a and 3b; detailed lists in Supplementary Tables 1 and 2). We compare these to the 3055 Pfams and 1232 clans that we classify as ancient but post-LUCA 141 142 (including Last Bacterial Common Ancestor (LBCA) and Last Archaeal Common Ancestor (LACA) candidates). Encouragingly, 88.6% of Pfams that we annotate as pre-LUCA or LUCA are 143 144 contained within genes annotated by Moody et al. (21) as present in LUCA with more than 50% 145 confidence, when present in their dataset (Figure 3c). This level of agreement far exceeds earlier 146 works (22).



147

148 Figure 3. Pfams (a) and clans (b) classified as ancient are well validated by the whole gene 149 annotations of Moody et al. (21) (c). a) Ancient post-LUCA Pfam classifications include 285 150 LACA candidates and 2770 LBCA candidates (more analysis would be required to rule out 151 extensive HGT within archaea or bacteria). Modern Pfams are distributed among the prokarvotic 152 supergroups as follows: 9 CPR, 210 FCB, 942 Proteobacteria, 51 PVC, 1111 Terrabacteria, 2 153 Asgard, 49 TACK, and 177 Euryarchaeota. In addition to supergroup-specific modern Pfams, we 154 classified another 1097 Pfams, present in exactly two bacterial supergroups, as modern post-155 LBCA. We deemed 15 Pfams unclassifiable due to high inferred HGT rates, 397 due to 156 uncertainty in rooting, and 198 due to ancient rooting combined with absence from too many 157 supergroups (see Methods). b) Pre-LUCA clans contain at least two LUCA-classified Pfams or 158 one pre-LUCA Pfam, whereas LUCA clans contain exactly one LUCA Pfam. Ancient post-LUCA 159 clans contain no LUCA, pre-LUCA, or unclassified Pfams; they include an ancient post-LUCA 160 Pfam or at least two modern Pfams covering at least two supergroups from only one of either bacteria or archaea. Modern clans include Pfams whose root is assigned at the origin of one 161

162 supergroup. Finally, unclassifiable clans did not meet any of our clan classification criteria, e.g., 163 because they included both post-LUCA and unclassifiable Pfams. c) 98% of our pre-LUCA Pfams 164 and 87% of our LUCA Pfams are present in genes annotated by as present in LUCA with more 165 than 50% confidence, when present in their dataset. We mapped all Clusters of Orthologous 166 Genes (COGs) (95) in the Moody et al. (21) supplementary dataset (STable 1.csv) to UniProt IDs 167 (96) using the EggNOG 5.0 database (97). We then identified their associated Pfams using the 168 'Pfam-A.regions.uniprot.tsv' file downloaded from the Pfam FTP site (https://pfamdocs.readthedocs.io/en/latest/ftp-site.html#current-release) (24) on May 28th, 2024. Our protein to 169 170 Pfam ID mappings are available in 'Protein2Domain_mappings' at 171 https://doi.org/10.6084/m9.figshare.27191274.v1.

172 In agreement with the Moody et al. (21) classification of LUCA metabolism, almost all Pfams 173 associated with enzymes in hydrogen metabolism, assimilatory nitrate and sulfate reduction 174 pathways, and the Wood-Ljungdahl pathway date back to LUCA (Supplementary Table 3). Our 175 results also support a, post-LUCA, bacterial origin of nitrogen fixation (21, 25) (Supplementary 176 Table 3). We assign to LUCA the complete set of amino acid-tRNA synthetase-associated anti-177 codon binding domains found in modern prokaryotes. Here, focusing on complete genes would 178 have been problematic, because accessory amino acid-tRNA synthetase-associated domains 179 (e.g. PF04073 and PF13603, which deacylate misacylated tRNA) were sometimes added later.

180 We also checked the antiquity of the cofactor/cosubstrate S-adenosylmethionine (SAM) (26), both 181 with respect to SAM biosynthesis and SAM usage. In agreement with past work attributing the 182 SAM biosynthesis enzyme methionine adenosyltransferase to LUCA (27, 28), we assign its single 183 Pfam (PF01941) to LUCA (the corresponding COG1812 is not analyzed by Moody et al. (21)). In 184 agreement with past work attributing SAM-dependent methyltransferases to LUCA (29), Moody et 185 al. (21) assign the RsmB/RsmF family (COG0144), which methylates 16S rRNA, more than 75% 186 confidence of being present in LUCA, and we also classify its SAM-binding Rossman fold Pfam 187 (PF01189) as LUCA. In agreement with (30, 31), Moody et al. (21) assign the SAM-binding tRNA 188 methylthiolase (COG0621) to LUCA with more than 75% confidence, and we confirm the pre-189 LUCA status of its associated Radical SAM, TIM-barrel-related Pfam (PF04055). In agreement with attribution of polyamines to LUCA (32) we assign to LUCA the one Pfam (PF02675) of S-190 191 adenosylmethionine decarboxylase, which acts on SAM in the first step of polyamine synthesis; 192 the antiquity of corresponding COG1586 is not further confirmed by Moody et al. (21).

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194 Hydrophobic amino acids are more interspersed within ancient proteins

195 Interspersion of hydrophobic amino acids away from one another along the primary sequence is 196 believed to mitigate risks from protein misfolding, while still enabling correct folding (33-35). Older 197 sequences have previously been found to have greater interspersion among their hydrophobic 198 residues, indicating more sophisticated protein folding (14, 36), likely due to survivorship bias 199 (37). Our Pfam age classifications confirm the antiquity of this trend, previously observed only for 200 animal sequences. LUCA Pfams show even more hydrophobic interspersion than the still-ancient 201 'post-LUCA' Pfams that include LACA candidates and LBCA candidates (Supplementary Figure 202 1; Wilcoxon rank sum test; p = 0.02). Post-LUCA Pfams in turn have more hydrophobic 203 interspersion than 'modern' Pfams that are specific to particular prokaryotic supergroups 204 (Wilcoxon rank sum test; p = 0.02).

205

LUCA's protein sequences were depleted in larger amino acids

Clans present in LUCA were born before the divergence of Archaea and Bacteria, some potentially prior to the completion of the genetic code. If newly recruited amino acids were added slowly, the contemporary descendants of LUCA clans will show signs of ancestral depletion in amino acids that were added late to the genetic code. We first focus on clans present in one copy

211 in LUCA (denoted "LUCA clans"), excluding those that had already duplicated and diverged into 212 multiple surviving lineages (denoted "pre-LUCA clans"). We score ancestral amino acid 213 enrichment and depletion as relative to still-ancient post-LUCA clans, which represent amino acid 214 usage from the standard genetic code of all 20 amino acids, plus any ascertainment biases. This 215 ratio, reflecting ancient amino acid usage, is not confounded with the effects of temperature, pH, 216 oxygen tolerance, salinity. GC content, or transmembrane status on amino acid frequencies 217 (Supplementary Figures 2a-f). Indeed, LUCA usage is similar in the very different biophysical 218 context of a transmembrane site (Supplementary Figure 3).

Smaller amino acids are enriched in LUCA (Figure 4a; weighted $R^2 = 0.48$, p = 0.0005). Results are similar using a restricted set of Pfams validated by Moody et al. (21) (weighted $R^2 = 0.44$, p = 0.001). As a negative control for methodological artifacts, the ancestral amino acid usage of post-LUCA clans relative to modern clans is not correlated with molecular weight (p = 0.9).

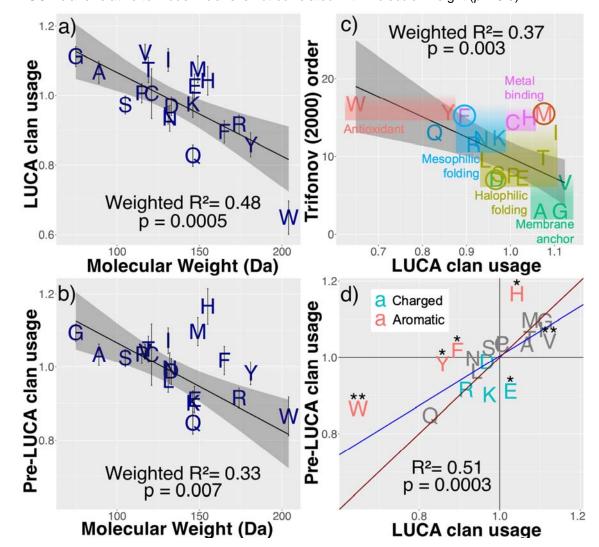


Figure 4. LUCA is enriched for smaller amino acids, with subtle differences between single copy LUCA vs. multi-copy pre-LUCA sequences. Ancestrally reconstructed amino acid frequencies in LUCA and pre-LUCA clans are shown relative to those in ancient post-LUCA clans. a) LUCA clans and b) pre-LUCA clans are enriched for amino acids of smaller molecular weight. Weighted model 1 regression lines are shown in black with 95% confidence interval grey

223

229 shading. Error bars indicate standard errors. c) Character colors show the assignments of 230 Moosmann (5); colored circles indicate our re-assignments. We reclassify phenylalanine (F) 231 because it is enriched in proteins in mesophiles compared to their orthologs in thermophiles and 232 hyperthermophiles (98). We reclassify aspartic acid (D) because the surfaces of proteins within 233 halophilic bacteria are highly enriched in aspartic acid compared to in the surfaces of non-234 halophilic mesophilic and thermophilic bacteria, in a manner that cannot be accounted for by the 235 dinucleotide composition of the halophilic genomes (99). The brown circle around methionine 236 highlights that while it might not be utilized against reactive oxygen species, it might once have been against ancient reactive sulfur species. d) Model 2 Deming regression (accounting for 237 238 standard errors in both variables, implemented in deming() version 1.4-1 (100)) in blue shows that 239 pre-LUCA enrichments are not more extreme versions of LUCA enrichments, lying on the wrong 240 side of the y=x red line. We include the imidazole-ring-containing H as aromatic. Asterisks (*) 241 indicate statistically different amino acid frequencies between pre-LUCA and LUCA (Welch two 242 sample t-test, p<0.05 and p<0.01).

243 **Revised Order of Amino Acid Recruitment**

244 Figure 4c visualizes how LUCA's amino acid enrichments compare to Trifonov's consensus order (4). While they are correlated (weighted $R^2 = 0.37$, p = 0.003), this association disappears in a 245 246 weighted multiple regression with both molecular weight (p = 0.03) and Trifonov's (4) order (p =247 0.9) as predictors (weighted $R^2 = 0.48$). This is also true using Trifonov's revised 2004 order based on 60 metrics (38) (weighted $R^2 = 0.34$, p = 0.006 on its own; p = 0.9 when molecular 248 249 weight is also a predictor of LUCA usage). This suggests that some of Trifonov's 40-60 metrics 250 made his estimates of the order of recruitment worse rather than better. We use enrichment in 251 LUCA to re-classify VGIMTAHEPC as 'early' and depletion to classify KSDLNRFYQW as 'late'. 252 More precise estimation of the order of recruitment, with standard errors, is given in Table 1.

We place glutamine (Q or Gln) as the second last amino acid, much later than Trifonov (4) inferred. Consistent with its late addition, Gln-tRNA synthetase (GlnRS) is either absent in prokaryotes, or acquired via horizontal gene transfer from eukaryotes (39). Prokaryotes that lack GlnRS perform tRNA-dependent amidation of Glu mischarged to Gln-tRNA by GluRS, forming Gln-acylated Gln-tRNA via amidotransferase. The core catalytic domain (PF00587), shared between the GlnRS and GluRS paralogs, is present in LUCA and can indiscriminately acylate both Gln-tRNA and Glu-tRNAs with Glu (40).

260 Metal-binding and sulfur-containing amino acids were added early to the genetic code

261 Methionine (M), cysteine (C), and histidine (H) are all enriched in LUCA, despite previous 262 annotation as late additions to the genetic code (Figure 4c). C and H are the most frequently used amino acids for binding iron, zinc, copper, and molybdenum, and H, aspartic acid (D) and 263 264 glutamic acid (E or Glu) for binding manganese and cobalt (Figure 2D of (41)). Binding can either 265 be to a metal ion, or to iron-sulfur (FeS) clusters, usually via C but sometimes via H or D (42). 266 Binding these transition metals is key to catalysis (43). Figure 4a is incompatible with C, H, D, or 267 E being late additions, and indeed H is more enriched than one would expect from its molecular 268 weight.

C and M are the only sulfur-containing amino acids in the contemporary genetic code. Contemporary prokaryotes living in H_2S -rich environments use more C and M than matched species (Supplementary Figure 4); LUCA's C and M enrichment might thus reflect an environment rich in H_2S .

Moosmann (5) classified M, tryptophan (W), and tyrosine (Y) as antioxidants, because he believed them to protect the overall protein structure from oxidative stress via sacrificial oxidization. For instance, surface M residues can be reversibly oxidized to form methionine

sulfoxide (44). This might have driven isoleucine recoding to methionine in mitochondria (45, 46). However, proteins in aerobes are enriched in W and Y but not in M (47). Our results also separate early M from late Y and W (Figure 4). We speculate that methionine, abundant due to early life's use of SAM, might have protected against reactive sulfur species such as sulfide (S^{2-}), which were present in early, H₂S-rich environments (48). Our results are then partially compatible with Granold et al.'s (49) view that Y and W (but not M) were added to complete the modern genetic code after reactive oxygen species became the main oxidizing threat.

Amino acid	LUCA usage	LUCA usage standard error	Pre-LUCA usage	Pre-LUCA usage standard error
V	1.12	0.0241	1.04	0.0205
G	1.11	0.0283	1.09	0.0241
I	1.1	0.0325	1.07	0.0351
М	1.08	0.0386	1.1	0.0383
Α	1.07	0.0317	1.03	0.0297
т	1.07	0.0369	1.05	0.0362
н	1.04	0.0416	1.17	0.0486
E	1.03	0.0357	0.911	0.0357
С	1.01	0.0722	1.03	0.0844
Р	1.01	0.0282	1.04	0.0255
К	0.974	0.038	0.901	0.0334
S	0.972	0.0265	1.02	0.0239
D	0.968	0.027	0.988	0.0363
L	0.942	0.0256	0.962	0.032
Ν	0.934	0.0374	0.996	0.0432
R	0.916	0.0265	0.915	0.0271
F	0.895	0.032	1.02	0.0394
Y	0.858	0.0341	0.982	0.0309
Q	0.827	0.031	0.847	0.0304
W	0.649	0.0476	0.865	0.0526

283 Table 1. LUCA and pre-LUCA clans' ancestral amino acid frequencies are divided by post-LUCA 284 clan's ancestral amino acid frequencies to produce measures of relative usage. The standard 285 errors of the amino acid usages were calculated using an approximation derived from a Taylor 286 expansion of the ratio (90). For each of the 20 ancestral amino acid frequencies, the standard 287 errors of the weighted means across all the clans within the LUCA and pre-LUCA phylostrata (weighted by the maximum number of ancestral sites across all Pfams in a given clan) were 288 289 calculated using the weighted_se() function in the diagis R package (89)(See Methods for more 290 detail).

291

292 **Pre-LUCA clans hint at more ancient genetic codes**

We expected pre-LUCA enrichments and depletions to be more extreme than for LUCA, but only H fits this prediction (Figure 4d), with significantly higher frequencies in pre-LUCA than in LUCA.

There is nevertheless a strong overall correlation between LUCA and pre-LUCA usages (R^2 = 0.51, p = 0.0003). Pre-LUCA, like LUCA, is strongly depleted in Q, supporting the inference that Q, not Y, was the 19th amino acid recruited into the standard genetic code. Pre-LUCA usage does not correlate with Trifonov's consensus order (4) (p = 0.2), and correlates more weakly with molecular weight (Figure 4b) (weighted R^2 = 0.33, p = 0.007).

H is one of six amino acids with significantly different frequencies in pre-LUCA vs. LUCA. All three of the canonical, benzene-ring bearing, aromatic amino acids (W, Y, and phenylalanine (F)), as well as the imidazole-ring containing H, are more common in pre-LUCA than in LUCA (Figure 4d, Welch 2-sample t-test; p = 0.03, 0.001, 0.03 and 0.01, respectively; 2.4% vs 2.1% H, 1.2% vs 0.9% W, 3.1% vs. 2.8% Y, and 4.1% vs. 3.7% F). Glutamic acid (E) and Valine (V) are less common in pre-LUCA than in LUCA (Welch 2-sample t-test; p = 0.01 and 0.004, respectively; 7.3% vs. 8.2% E, 7.5% vs. 8.1% V).

307 More W in pre-LUCA than LUCA is particularly surprising, because there is scientific consensus 308 that W was the last of the 20 canonical amino acids to be added to the genetic code. Therefore, 309 we manually inspected the pre-LUCA Pfam with the highest tryptophan frequency (3.1%): 310 PF00133, the core catalytic domain of the tRNA synthetases of leucine (L), isoleucine (I), and valine (V). Each of these three synthetases has well-separated archaeal and bacterial branches, 311 312 confirming its pre-LUCA dating (Supplementary Figure 5). Highly conserved tryptophan sites 313 regulate the size of the amino acid binding pocket, allowing the synthetases to discriminate 314 among I, L, and V (50). There are also conserved I and V sites in the common ancestor of the I 315 and V tRNA synthetases, indicating that discrimination between the two happened prior to the 316 evolution of the synthetases currently responsible for the discrimination (51). This suggests that 317 an alternative, more ancient system predated the modern genetic code, and in particular predated 318 the evolution of super-specific, cognate aaRSs (51).

319

320 Discussion

321 The evolution of the current genetic code proceeded via stepwise incorporation of amino acids, driven in part by changes in early life's environment and requirements. Contemporary proteins 322 323 retain information about which amino acids were part of the code at the time of their birth, 324 allowing us to infer the order of recruitment on the basis of enrichment or depletion in LUCA's 325 protein domains. Smaller amino acids were added to the code first, and when this is accounted 326 for, there is no further information in Trifonov's (4) widely used 'consensus' order based on 40 327 metrics, some of dubious relevance. The sulfur-containing amino acids C and M were 328 incorporated earlier than previously thought, likely because those metrics included experiments 329 conducted in the absence of sulfur. Q was added later than previously thought, in agreement with 330 evidence from glutamyl-tRNA synthetases. M and H were added to the code earlier than 331 expected from their molecular weights, and Q later. Even more ancient amino acid usage, in 332 sequences that had already duplicated and diverged pre-LUCA, shows significantly higher 333 frequencies of the aromatic amino acids W, Y, F, and H, and significantly lower frequencies of E 334 and V.

If LUCA lived in a H₂S-rich environment (48, 52), M residues could have protected proteins against sulfur-mediated oxidative stress. M would furthermore have had high biotic availability as the precursor (53) and product (54) of SAM, given our finding that LUCA made and used SAM. The potentially sulfur-rich nature of early terrestrial life is context for astrobiology investigations of sulfur-rich environments on Mars and Europa, with associated biosignatures key to life detection (55).

An early role for H is compatible with a key role for metal binding in early life. It also resolves the previous puzzle that the ancestral, conserved region of all Class I aaRSs contains a histidine-rich HIGH motif (56, 57). The lack of abiotic availability was key to H's previous annotation as late, but biotic availability of H in an RNA-dominant biotic context would have been sufficient. The

importance of abiotic availability (58, 59) to the origins of the genetic code remains unclear. We note that ongoing research on plausible prebiotic syntheses in cyanosulfidic environments (60) and alkaline hydrothermal vents (61) is reshaping our understanding of which amino acids were accessible to early life. Amino acid abundances obtained from asteroid sample returns will also soon contribute (62).

Our results offer an improved approximation of the order of recruitment of the twenty amino acids into the genetic code under which contemporary protein-coding sequences were born. This order need not match the importance or abundance with which amino acids were used by still earlier life forms, nor during the prebiotic to biotic transition. Instead of using Trifonov's assignments (4) to capture the order in which amino acids were recruited into our genetic code, we recommend using the LUCA amino acid enrichment values plotted on the y-axis of Figure 4a, which can be found together with their standard errors in Table 1.

357 More broadly, coding for different amino acids might have emerged at similar times but in 358 different biogeochemical environments. The temporal order of recruitment that we infer based on 359 LUCA sequences is not the temporal order for coding as a whole, but for the ancestor of the 360 modern translation machinery. Indeed, horizontal gene transfer of the tRNAs coupled with their 361 cognate aminoacyl tRNA synthetases might have brought the diverse components of the modern 362 translation machinery together (63). This further emphasizes that the time of origin of the 363 translation machinery's components need not match the time of their incorporation into the 364 surviving ancestral lineage.

The construction of the genetic code was tethered to the evolution of the ribosome (64). If the ribosome's exit tunnel, whose formation and subsequent extension was key to ribosome evolution (65), limited the size of the amino acids passing through, its progressive dilation could explain the strong relationship between amino acid size and order of recruitment evidenced in LUCA clans. If older, alternative codes were not similarly limited, this would explain why amino acid size is a weaker predictor of pre-LUCA's amino acid usage compared to LUCA's amino acid usage.

371 To explain the different enrichments of pre-LUCA versus LUCA sequences, as well as the 372 surprising conservation of some sites prior to the emergence of the aaRSs that distinguish the 373 relevant amino acids, we propose that some pre-LUCA sequences are older than the current 374 genetic code, perhaps even tracing back to a peptide world at the dawn of precellular life (7). 375 Stepwise construction of the current code and competition among ancient codes could have 376 occurred simultaneously (66, 67). Ancient codes might also have used non-canonical amino 377 acids, such as norvaline and norleucine (68) which can be recognized by LeuRS (69, 70). Along 378 with having different genetic codes, we speculate that pre-LUCA and LUCA might have existed in 379 different geochemical settings. For instance, if pre-LUCA ancestors inhabited alkaline 380 hydrothermal vents, where abiotically produced aromatic amino acids have been found (61), this 381 would explain their enrichment in pre-LUCA relative to LUCA. We note that abjotic synthesis of 382 aromatic amino acids might be possible in the water-rock interface of Enceladus's subsurface 383 ocean, which is speculated to be analogous to terrestrial alkaline hydrothermal vents (71).

384 Perhaps the biggest mystery is how sequences such as the common ancestor of L/I/V-tRNA 385 synthetase, which were translated via alternative or incomplete genetic codes, ended up being re-386 coded for translation by the direct ancestor of the canonical genetic code. Harmonization of 387 genetic codes facilitated innovation sharing via HGT, making it advantageous to use the most 388 common code, driving code convergence (72, 73). Only once a common code was established 389 did HGT drop to levels such that a species tree became apparent, i.e. the LUCA coalescence 390 point corresponds to convergence on a code (72). Our identification of pre-LUCA sequences 391 provides a rare source of data about early, alternative codes.

392

393 Materials and Method

394 **Pfam sequences**

We downloaded genomes of 3562 prokaryotic species from NCBI that were present in the Web of Life (WoL): Reference phylogeny of microbes (74) in August 2022. We classified them into five bacterial supergroups (FCB, PVC, CPR, Terrabacteria and Proteobacteria (75, 76)) and four archaeal supergroups (TACK, DPANN, Asgard and Euryarchaeota (77, 78)). We included incomplete genomes, to enhance coverage of underrepresented supergroups.

400 We assign ages not to whole proteins but to each of their protein domain constituents. We used 401 InterProScan (79) to identify instances of each Pfam domain (24). We excluded Pfams with fewer 402 than 50 instances across all downloaded genomes. We also excluded 9 Pfams marked "obsolete" 403 starting July 2023. Among the remaining 8282 Pfams, 2496 Pfams had more than 1000 404 instances. We downsampled these to balance representation across the two taxonomic domains 405 (archaea and bacteria). For instance, a Pfam with 2000 bacterial and 500 archaeal instances was 406 downsampled by retaining all 500 archaeal sequences plus a subset (randomly sampled without 407 replacement) of 500 bacterial sequences.

The Pfam database includes annotations of "clans" of Pfams that share a common ancestor despite limited sequence similarity; for many analyses, we used clans rather than Pfams to ensure independent datapoints. We treated Pfams that were not annotated as part of a clan as single-entry clans, with clan ID equal to their Pfam ID.

412

413 Pfam trees

414 We aligned downsampled sequences for each Pfam using MAFFT v.7 (80), to infer a preliminary 415 tree with IQ-Tree (81), using a time non-reversible amino acid substitution matrix trained on the 416 Pfam database (NQ.PFAM) (82), and no rate heterogeneity among sites. Because most Pfams 417 are too short for reliable tree inference, we next reconciled preliminary Pfam trees with the WoL 418 prokaryotic species tree (74) using GeneRax (19). While there is no perfect species tree for 419 prokaryotes, reconciliation even with a roughly approximate tree can still provide benefits. We ran 420 GeneRax twice. The first run used the LG amino acid substitution model, a gamma distribution 421 with four discrete rate categories, and a Subtree Prune and Regraft (SPR) radius of 3. The 422 second run used the output of reconciled trees from the first run as input, and switched to an SPR 423 radius of 5, and the Q.PFAM amino acid substitution model (83), which was trained on the Pfam 424 dataset. We did not use NQ.PFAM, because time non-reversible models are only implemented in 425 IQ-Tree (82), and not in GeneRax. In both runs, we used the UndatedDTL probabilistic model to compute the reconciliation likelihood. The second run of GeneRax reduced estimated transfer rates by an additional 7% (Welch two sample t-test, $p = 10^{-12}$), indicating continued improvements 426 427 428 to the phylogenies.

429 We re-estimated the branch lengths of the reconciled Pfam trees in IQ-Tree using the NQ.PFAM 430 substitution model with no rate heterogeneity, then performed midpoint rooting using the phytools 431 R package (84) on these re-estimated branch lengths. As alternative rooting methods, we also 432 explored and rejected minimum variance (85), minimal ancestral deviation (86), and rootstraps 433 based on time non-reversible substitution models (87). The first two methods work best when deviations from the molecular clock average out on longer timescales, which is not true for 434 435 phylogenies in which evolution e.g. at different temperatures causes sustained differences in 436 evolutionary rate. Indeed, minimum variance failed to resolve the prokaryotic supergroups as 437 separate clades, in visual inspection of PF00001, due to presumed genuine rate variation among 438 taxa. The latter produced very low confidence roots. In contrast, midpoint rooting largely 439 conformed to expectations for aaRSs once we implemented the procedure for outlier removal 440 described under "Classifying Pfam domains into ancient phylostrata" below.

We then implemented a new --enforce-gene-tree-root option in GeneRax, and ran GeneRax in evaluation mode, with Q.PFAM+G as the substitution and rate heterogeneity models, respectively. Evaluation mode re-estimates the reconciliation likelihood and the duplication, transfer and loss (DTL) rates on a fixed tree, without initiating a tree search. Fifteen reconciled

Pfam trees had inferred transfer rates higher than 0.6, three times the seed transfer rate implemented by GeneRax. We took this as a sign of poor tree quality, and annotated these 15 Pfams as of unclassifiable age.

448

449 Filtering out HGT between archaea and bacteria

Exclusion of horizontal gene transfer (HGT) between bacteria and archaea facilitates the classification of a Pfam into LUCA (Figure 2a). To achieve this, we divided sequences into "homogeneous groups", meaning the largest monophyletic group in the Pfam tree for which the corresponding species all belong to the same prokaryotic supergroup. Each homogeneous group was considered as a candidate for exclusion, via its "focal node" separating it from its sister group. To avoid over-pruning, we do not consider deep focal nodes that are 2 or fewer nodes away from the root.

To be excluded, we first require the focal node to be 'mixed', meaning its descendants are found within both Bacteria and Archaea. We next require the focal node to be labelled by GeneRax as most likely a transfer (T), rather than a duplication (D) or speciation (S). Finally, to identify homogeneous groups likely to be receivers rather than the donors of transferred sequences, we require the sister lineage to contain no sequences present in the same supergroup as that defining the homogeneous group in question. An example of filtering is shown in Figure 2b.

We ran the filtering process twice to address rare occasions of an intradomain HGT nested within another intradomain HGT group. In the second filter, we apply the third criterion after pruning the homogenous groups identified as HGT during the first filter.

466

467 Classifying Pfam domains into ancient phylostrata

We re-rooted the HGT-pruned Pfam trees using the midpoint.root function in the 'phytools' R package (84), before classifying them into phylostrata (i.e. cohort of sequences of similar age). Classification was based on the locations of the most recent common ancestors (MRCAs) of each supergroup. For a LUCA Pfam, we require the root to separate the MRCAs of all bacterial supergroups from the MRCAs of all archaeal supergroups (Figure 2a).

473 If there were no horizontal transfer, and the tree of a Pfam present in one copy in LUCA were 474 error-free, then the MRCAs for the nine supergroups would be two to four branches away from 475 the root. This is true even if our Pfam tree and/or species tree do not correctly capture the true 476 phylogenetic relationships among supergroups. However, we cannot ignore HGT; we did not filter 477 out the products of HGT between supergroups within Archaea or within Bacteria, only that of HGT 478 between Archaea and Bacteria. HGT from a more derived supergroup to a more basal 479 supergroup will move the inferred MRCA of the former further back in time. Given rampant HGT, 480 whether real or erroneously implied by Pfam tree error, we required Pfams to have their 481 supergroups' MRCA two branches away from the root (Figure 2a).

482 Phylogenies with three or more basal bacterial supergroups and two or more basal archaeal supergroups were classified as LUCA. In other words, we allow the absence of up to two 483 484 supergroups per taxonomic domain, as compatible with ancestral presence followed by 485 subsequent loss. Trees with three or more basal bacterial supergroups but fewer than two basal 486 archaeal supergroups, as well as trees with two or more basal archaeal supergroups but fewer 487 than three basal bacterial supergroups, were classified as ancient but post-LUCA. These are 488 candidate Pfams for the Last Bacterial Common Ancestor (LBCA) and the Last Archaeal 489 Common Ancestor (LACA) phylostrata, respectively, but the necessary HGT filtering for sufficient 490 confidence in this classification is beyond the scope of the current work. If only one basal 491 supergroup is present, then the Pfam is classified into the corresponding supergroup-specific 492 phylostratum, meaning it emerged relatively recently (modern post-LUCA). If two basal bacterial 493 supergroups (and no archaeal supergroups) were present, the Pfam was classified as post-LBCA

494 which was also considered modern post-LUCA (younger than LBCA but older than the 495 supergroup-specific phylostrata). The remaining Pfams were considered unclassifiable.

496 We also classify into a pre-LUCA phylostratum the subset of LUCA-classified Pfams for which 497 there is evidence that LUCA contained at least two copies that left distinct descendants. This is 498 motivated by the assumption that LUCA domains that were born earlier are more likely to have 499 duplicated and diverged prior to the archaeal-bacterial split (88). We require that both the nodes 500 that are only one branch from the root be classified as LUCA nodes. This means that each of 501 these nodes should, after HGT filtering: i) split a pure-bacterial lineage from a pure-archaeal 502 lineage, and ii) include as descendants at least three bacterial and two archaeal basal MRCAs no 503 more than two nodes downstream of the potential LUCA nodes (Figure 2c).

504 Assignment of a Pfam to a phylostratum is sensitive to the root's position. Midpoint rooting is 505 based on the longest distance between two extant sequences. A single inaccurately placed 506 sequence can yield an abnormally long terminal branch, upon which the root is then based. This 507 phenomenon was readily apparent upon manual inspection of rooted Pfam trees. To ensure the 508 robustness of age classifications to the occasional misplaced sequence, we removed the Pfam 509 instance with the longest root-to-tip branch length in each HGT-filtered tree as potentially faulty. 510 re-calculated the midpoint root, and then re-classified each Pfam. We repeated this for ten 511 iterations, then retained only those Pfams that were classified into the same phylostratum at least 7 out of 10 times. Our HGT filtering algorithm does not act on nodes near the root, making it 512 robust to small differences in root position; we therefore did not repeat the HGT-filtering during 513 514 these iterations.

515 We classified clans that contained at least two LUCA Pfams as pre-LUCA clans. Clans that 516 contained both ancient archaeal and ancient bacterial post-LUCA Pfams (i.e. candidate LACA 517 and LBCA Pfams) were classified as LUCA. Clans that contained at least two different archaeal 518 but no bacterial supergroup-specific Pfams, or three different bacterial supergroup-specific Pfams 519 but no archaeal supergroup-specific Pfams, were classified as ancient post-LUCA clans. Clans 520 that meet neither of these criteria, and that contain at least one unclassified Pfam, were 521 considered unclassifiable due to the possibility that the unclassified Pfam might be older than the 522 classified Pfams present in the clan. All other clans were assigned the age of their oldest Pfam.

For a more stringent analysis of amino acid usage, we restrict our Pfam dataset to those present in proteins annotated by Moody et al. (21) as >75% likely to be in LUCA. We then re-classified clan ages. Data on the likelihood of Pfams being present in LUCA, as annotated by Moody et al. (21), can be found in 'MoodyPfams_probabilities.csv' on GitHub.

527

528 Ancestral amino acid usages

Ancestral sequence reconstruction (ASR) can introduce a variety of biases. ASR methods do not resolve alignment gaps well, to infer indel evolution, instead inferring ancestral sequences far longer than any contemporary descendant. To avoid bias among amino acids regarding which contemporary sequences appear in the ancestral sequence more often than they should, we retain only sites where more than 50% of the sequences contain an amino acid (i.e. no indel). This ensures that no amino acid can be double counted.

For Pfams classified as pre-LUCA or LUCA, we require that a given site contain an amino acid and not a gap in at least five bacterial sequences and five archaeal sequences. This additional filter helps ensure that the ancestrally reconstructed sites were not inserted post-LUCA (even when the Pfam itself dates back to LUCA). It also reduces the impact of any Pfams misclassified as ancient on the inferred ancient amino acid usage.

540 Following these filters, we ran the remaining sites in each Pfam alignment (prior to HGT filtering) 541 through IQ-Tree with the -asr option, the NQ.PFAM substitution model, and R10 rate 542 heterogeneity. We then excluded low confidence sites from subsequent analyses, based on the

543 most likely amino acid having an ancestral probability estimate <0.4. Combined with the other two 544 filters described above, the concatenated sequence length for all four phylostrata (pre-LUCA, 545 LUCA, post-LUCA, and modern) fell by ~11%, presumably preferentially excluding rapidly 546 evolving sites to a similar degree in all four cases, such that amino acid exclusion biases cancel 547 out when ratios are taken.

548 We then summed over the amino acid probability distributions at each site at the deepest node, 549 and divided by the number of sites, to obtain per-Pfam estimated ancestral amino acid 550 frequencies. For each clan, we took the ancestral amino acid frequencies across Pfams, 551 weighted by the number of ancestral sites in the Pfams. For each phylostratum, we averaged 552 across clans, weighted by the maximum number of ancestral sites across all Pfams in a given 553 clan. We calculated a standard error associated with each phylostratum mean using the 554 weighted_se() function in the diagis R package (89).

555 We divided ancestral amino acid frequencies for the LUCA and pre-LUCA phylostrata by post-556 LUCA ancestral amino acid frequencies to produce measures of relative usage. Standard errors 557 of each of these ratios L/P were calculated using an approximation derived from a Taylor

expansion of the ratio: $\sqrt{\frac{\sigma_L^2}{p^2} + \frac{L^2 \sigma_P^2}{p^4}}$ (90). These were used in weighted linear model 1 regressions, using the lm() function with the 'weights' argument in the 'stats' package in base R (91). Uncertainty in the ancestral states arising over 4 billion years of evolution is expected to bring values of *L/P* closer to one, without entirely erasing the signal. As a negative control for bias, we calculate the relative amino acid usage of post-LUCA clans by dividing the ancestral amino acid frequencies for post-LUCA clans by the ancestral amino acid frequencies for modern clans.

565 Standard errors in Trifonov's (4) average rank reflect but underestimate uncertainty; we therefore 566 treat Trifonov's (4) rankings as the dependent variable and use its weights rather than errors on 567 L/P to weight the regression model in Figure 4c. Standard errors are not available for alternative 568 results based on Trifonov's 2004 order (38).

569

570 Hydrophobic interspersion

571 The degree to which hydrophobic are clustered vs. interspersed along the primary sequence was 572 calculated as a normalized index of dispersion for each Pfam instance (35). This metric uses the 573 ratio of the variance to the mean in the number of the most hydrophobic amino acids (leucine, 574 isoleucine, valine, phenylalanine, methionine, and tryptophan) within consecutive blocks of six 575 amino acids. The values of this index of dispersion were then normalized, to make them 576 comparable across Pfams with different lengths and hydrophobicities. In cases where the Pfam 577 length was not a multiple of 6, the average across all possible 6-amino acid frames was 578 computed, trimming the ends as needed. For additional details, see Foy et al. (36) or James et al. 579 (14). For each Pfam, we then took the average across all its instances (prior to downsampling 580 species).

581

582 Transmembrane annotation

583 We identified transmembrane sites within each Pfam using DeepTMHMM (92) on a consensus 584 sequence generated from the original multiple sequence alignments (prior to HGT filtering) using 585 the majority-rule seq_consensus() function in the R package 'bioseq' (93).

- 586
- 587

588 Data and Code Availability

589 Data files and R scripts used to generate the results and figures are available at 590 <u>sawsanwehbi/Pfam-age-classification GitHub repository</u>. Pfam sequences, alignments, trees and 591 mappings to protein IDs are available at https://figshare.com/projects/Pfam-age-classification-592 data/201630.

593

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