1 2 3	Gut microbiome is associated with recurrence-free survival in patients with resected Stage IIIB-D or Stage IV melanoma treated with immune checkpoint inhibitors
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## 28 Highlights

- 29
- 30 Overall gut microbiome (GMB) composition is largely unchanged during ICB treatment.
- 31 GMB composition varies by geographic region
- We identified gut bacterial markers associated with recurrence in region-specific analyses.
- Region-identified markers are generalizable if GMB composition is taken into account
   by matching.
- 35
- 36

# 37 Summary (150 Words)

38

39 The gut microbiome (GMB) has been associated with outcomes of immune checkpoint blockade

- 40 therapy in melanoma, but there is limited consensus on the specific taxa involved, particularly
- 41 across different geographic regions. We analyzed pre-treatment stool samples from 674
- 42 melanoma patients participating in a phase-III trial of adjuvant nivolumab plus ipilimumab versus
- 43 nivolumab, across three continents and five regions. Longitudinal analysis revealed that GMB
- 44 was largely unchanged following treatment, offering promise for lasting GMB-based
- 45 interventions. In region-specific and cross-region meta-analyses, we identified pre-treatment
- 46 taxonomic markers associated with recurrence, including *Eubacterium, Ruminococcus,*
- 47 *Firmicutes*, and *Clostridium*. Recurrence prediction by these markers was best achieved across
- 48 regions by matching participants on GMB compositional similarity between the intra-regional
- discovery and external validation sets. AUCs for prediction ranged from 0.83-0.94 (depending
- 50 on the initial discovery region) for patients closely matched on GMB composition (e.g., JSD
- $51 \leq 0.11$ ). This evidence indicates that taxonomic markers for prediction of recurrence are
- 52 generalizable across regions, for individuals of similar GMB composition.
- 53
- 54

## 55 Introduction

#### 56

57 Melanoma is the 6<sup>th</sup> most common form of cancer in the U.S., accounting for approximately 58 100.000 new cases annually (Siegel et al., 2023). Immune checkpoint blockade (ICB), utilizing 59 monoclonal antibodies targeting programmed death 1 (PD-1) and cytotoxic T-lymphocyte 60 antigen 4 (CTLA-4), are treatment options that can provide durable benefit in metastatic and 61 high-risk resected melanoma. However the benefit of ICB is unpredictable and 25-30% of those 62 treated experience cancer recurrence (Weber et al., 2023). Identifying robust biomarkers to 63 predict treatment outcomes is imperative. Predictive markers may support personalized 64 treatment plans, resulting in improved patient management, ultimately enhancing treatment 65 efficacy and outcomes.

66

67 Accumulating evidence suggests that the gut microbiome (GMB) influences survival,

- 68 progression and recurrence in ICB-treated melanoma (Gopalakrishnan et al., 2018; Matson et
- al., 2018; Routy et al., 2018; Peters et al., 2019). These associations have been further
- supported by intervention experiments, in clinical trials and animal models, which have
- demonstrated the potential for improved outcomes in melanoma through fecal microbiome
- transplant (FMT) (Baruch et al., 2021; Davar et al., 2021; McQuade et al., 2020). Notably,
- 73 clinical trials conducted by Davar *et al.* (Davar et al., 2021) and Baruch *et al.* (Baruch et al.,
- 2021) showed evidence of ICB response in treatment-refractory patients following FMT,
   associated with consistent activation of CD8+ T cells. Additionally, several pre-clinical human-
- 75 associated with consistent activation of CD8+ 1 cells. Additionally, several pre-clinical numan-76 to-mouse FMT transplant studies demonstrated similar T-cell activity in anti-PD-L1-based
- 70 therapies for melanoma (Gopalakrishnan et al., 2018; Matson et al., 2018). Furthermore, studies
- real and the state of the state
- 79 was related to alteration in GMB and enhanced treatment response (Simpson et al., 2022), with
- 80 further confirmation in a pre-clinical mouse model where high-fiber treatment was associated
- 81 with changes in the GMB and improved treatment outcomes (Spencer et al., 2021).
- 82

83 Although these studies provide promising clinical insights, the identified bacterial markers for 84 predicting treatment outcomes in melanoma have varied considerably among studies (Lee et 85 al., 2022). In fact, in a recent multi-regional analysis of European patients, Lee et al argued that 86 GMB markers are region specific (Lee et al., 2022). While this discrepancy in bacterial marker 87 identification, by region, may be attributed to clinical selection criteria, different ICB treatment 88 modalities, small sample sizes, or population-specific characteristics (He et al., 2018b; Lee et 89 al., 2022), it is becoming evident that geographic variation in compositional attributes likely plays 90 an important role (Lee et al., 2022), as geography, as well as relocation, are known to be strong 91 determinants of GMB composition (He et al., 2018b; Kaplan et al., 2019; Peters et al., 2020; 92 Vangay et al., 2018). This underscores the critical need to sample the microbiome from diverse 93 patient groups and geographic areas to comprehensively capture GMB biodiversity and identify

94 robust bacterial markers for treatment outcomes and their associated contexts.

95 For the current investigation, we studied participants in the Checkmate 915 randomized, double-96 blind, phase III trial (ID: NCT02388906), that was composed of 1.833 patients who received 97 nivolumab 240 mg once every 2 weeks plus ipilimumab 1 mg/kg once every 6 weeks (916 98 patients) or nivolumab 480 mg once every 4 weeks (917 patients) for  $\leq$  1 year. In this cohort, we 99 investigated the association between GMB and melanoma recurrence in 674 trial participants 100 who provided stool samples. This study was carried out under a standard protocol across five 101 broad geographic regions, allowing for a detailed analysis of the regional association of GMB 102 with treatment outcomes and allowing us to directly address the issue of geographic variation 103 while maximizing bias control via rigorous clinical trial design. In pre-treatment stool samples 104 using shotgun metagenomics, we achieved strain-level resolution of the GMB. We demonstrate

- 105 broad generalizability of certain strains of bacteria in meta-analysis and more robust cross-
- 106 regional prediction, overcoming previous replication hurdles, via GMB matching. Additionally,
- 107 we sequenced stool samples collected at 7 weeks and 29 weeks after treatment initiation in a
- 108 sub-sample, to assess the stability of the GMB following ICB treatment. This investigation is the
- 109 first to explore the GMB in melanoma patients in the adjuvant setting, potentially uncovering
- 110 crucial insights that could lead to more effective, personalized treatment strategies to improve
- 111 patient outcomes.

#### 112 **Results**

#### 113

# 114 Patient Characteristics

115 Our prospective study of GMB and melanoma included 674 patients with resected stage IIIB-D

116 or IV melanoma, who were randomized to receive adjuvant nivolumab plus ipilimumab or

117 nivolumab alone (Weber et al., 2023). All participants provided a stool sample prior to ICB

- 118 treatment and approximately half of the patients provided stool samples post-treatment (at
- weeks 7 and 29 follow-up visits) (**Supplemental Figure 1**). The 674 patients were evenly
- distributed between the combination therapy and nivolumab monotherapy arms (**Table 1**).
- Patients were majority white (99.0%) and male (58.9%), and the mean (SD) age was 55.0 (13.9)
- 122 years. Melanoma recurrence was similar for the combination (35.0%) and nivolumab arms
- 123 (39.8%), similar to what was previously reported for the full trial series (35.4% vs. 36.8%)(Weber 124 et al., 2023).
- 125 Global beta diversity analysis using Jensen-Shannon divergence (JSD, a measure of GMB
- similarity between pairs of samples) revealed that GMB differed significantly by region, sex,
- 127 stage, and gender, both when performing univariate and co-adjusted analyses (**Figure 1A**).
- 128 The gut microbiome compositions from North American (USA and Canada) and Eastern
- 129 European participants showed the greatest pairwise dissimilarity (R<sup>2</sup>=4.84%, p=0.001), while
- 130 those from Eastern and Western European participants, the two most proximal areas, showed
- 131 minimal differences (PERMANOVA  $R^2 = 0.52\%$ , p-value=0.034 (**Figure 1B**).

## 132 GMB and Recurrence

- 133 GMB structure (beta diversity) was not associated with melanoma recurrence in the overall
- study of 674 patients (in both crude and adjusted analysis, **Figure 1A**). These relationships
- 135 were similar for the two arms of the trial ( $R^2$ =0.003, p-value=0.67 and  $R^2$ =0.003, p-value=0.38,
- 136 PERMANOVA for combination and mono treatment respectively). In region-stratified analysis,
- 137 GMB beta diversity was associated with recurrence in North America (R<sup>2</sup>=0.022, p-
- 138 value=0.023), Western Europe ( $R^2$ =0.005, p-value=0.049) and rest of world (Brazil and New
- 139 Zealand) (ROW) ( $R^2$ =0.07, p-value=0.007); there was no evidence of association for Australia
- 140 ( $R^2$ =0.009, p-value=0.32) or Eastern Europe ( $R^2$ =0.027, p-value=0.36) (**Figure 1C-G**). Because
- 141 of the differential GMB associations by geographic regions, subsequent analyses are based on 142 region-specific analyses.
- 142 143
- 144 In region stratified analyses using ANCOM-BC, we identified several GMB taxa associated with
- 145 recurrence. Nine bacterial taxa were associated with recurrence in North America (Figure 2A -
- 146 dark green points and **Supplemental Table 1**): *Eubacterium sp. CAG:115, Ruminococcus sp.*
- 147 CAG:177, Eubacterium sp. CAG:786, Eubacterium siraeum, Firmicutes bacterium CAG:137,
- 148 Clostridium sp. CAG:780, Clostridiales bacterium 1-7-47, Firmicutes bacterium CAG:884,
- 149 Aeromonas salmonicida, and Peptostreptococcus anaerobius. Among these, bacteria belonging
- 150 to the genera of *Eubacterium, Ruminococcus, Firmicutes*, and *Clostridium* have previously been
- 151 identified as predictive of recurrence in melanoma (Lee et al., 2022; Matson et al., 2018; Routy
- et al., 2018), while Aeromonas salmonicida and Peptostreptococcus anaerobius represent novel
- 153 markers. In Western Europe (**Figure 2A** brown points), two novel markers, *Bariatricus*
- 154 *massiliensis* and *Blautia schinkii*, were identified. In ROW we identified a Clostridium,
- 155 *Clostridiales bacterium* 1-7-47FAA, while for Eastern Europe, *Lawsonia intracellularis*, a novel 156 marker, was the only recurrence-associated taxa identified.
- 157
- 158 We performed a meta-analysis on these region-specific markers across all regions, to determine
- 159 whether the markers associated with recurrence in one region were generalizable. Although
- 160 there is significant heterogeneity between regions, we found that seven regionally identified

161 recurrence markers were also associated with recurrence in cross-region meta-analyses

162 (**Figure 2B-K**), including markers initially identified for North America (*Eubacterium sp.* 

163 CAG:115, Ruminococcus sp. CAG:177, Eubacterium sp. CAG:786, Eubacterium siraeum),

164 Western Europe (*Bariatricus massiliensis* and *Blautia schinkii*), and Eastern Europe (*Lawsonia* 

*intracellularis*). In meta-analyses excluding the original discovery region, *Eubacterium sp.* 

166 *CAG:115* and *Eubacterium sp. CAG:786* remained significantly associated in the same 167 (protective) direction, indicating the potential role of these bacteria in a general context, while

168 Ruminococcus sp. CAG:177, Bariatricus massiliensis and Blautia schinkii remained significant,

169 but showed an inverse association (**Figure 2B-K**, bottom common effect shown in red). This

170 suggested a potential GMB context specificity of taxonomic markers for recurrence; that is,

171 specific GMB markers may predict recurrence given a specific GMB composition. This is

172 explored in "GMB matching facilitates cross-regional generalizability" section below.

173

174 To explore the potential functional mechanisms of microbial association with recurrence, we

- 175 investigated the association between recurrence-associated taxa, from region-stratified
- analyses (see **Figure 2A**), and KEGG Level 3 pathways. We identified 57 functional pathways
- 177 linked to recurrence-associated species (FDR <0.0001) (**Figure 3A**). Fifty-five of the 57
- pathways were classified as "Metabolism" at KEGG Level 1, with the remaining two are involved
- in the biosynthesis of secondary metabolites. Given prior findings illustrating a connection
   between fiber consumption, gut microbiota shifts, and improved melanoma outcomes during

between fiber consumption, gut microbiota shifts, and improved melanoma outcomes during
 treatment (Spencer et al., 2021), we focused on 15 carbohydrate-associated "Metabolism"

182 pathways with correlations >0.3, including for amino-sugar and nucleotide-sugar metabolism

183 (**Figure 3B**). Of the 15 carbohydrate-associated pathways, 8 were differentially associated with

recurrence in the North American region, but not in other regions (FDR<0.0001, adjusted for

age, sex, tumor stage, BRAF mutation and study arm) (**Figure 3C**).

186

187 GMB matching facilitates cross-regional generalizability

188 Regional GMB heterogeneity is a major barrier to the development of reliable gut microbial 189 markers for melanoma outcomes (He et al., 2018a). Recognizing this, we then tested whether 190 recurrence-associated bacteria (Figure 2A) exhibited stronger prediction for recurrence in 191 individuals selected for closely similar overall GMB composition (JSD distance), regardless of 192 geographic region (Figure 4A). The prediction of recurrence in non-North American 193 participants related to the North America-specific markers (Figure 2A) was strongest for those 194 most closely matched to the North Americans on JSD distance (Figure 4B). Non-North 195 American participants matching North American participants at JSD of  $\leq 0.11$  (n=61) showed an 196 AUC of 0.88. Furthermore the AUC was highly inversely correlated to JSD similarity (correlation 197 = -0.85, p<0.001), indicating that the smaller the beta-diversity distance between matched pairs. 198 the stronger the prediction was in non-North American (validation set) participants of markers 199 initially identified in North Americans (discovery set). Similar relationships were observed for 200 markers initially identified in Western Europe (Figure 4C), Eastern Europe (Figure 4D), and 201 ROW (rest of the world) (Figure 4E), with the strongest predictions among those most closely matched on JSD (e.g., JSD  $\leq$  0.11). Similar results are found for other measures of beta 202 203 diversity (Figure 4F). While there were differences in number of patients retained using 204 different beta-diversity measures (Figure 4F), the overall pattern was the same and consistently 205 indicated that close GMB matching, regardless of distance metric choice, yielded more robust

206 generalizability.

207

208 Temporal Stability of GMB Following ICB Treatment

209 To assess the temporal stability of the GMB, we calculated intra-patient microbial JSD distances

- at baseline, week 7, and week 29, in 248 study participants with available serial stool samples,
- and as a comparison, we also calculated the unpaired inter-patient JSD distances (**Figure 4**). In

212 this analysis, JSD values close to 0 indicate similarity, while JSD close to 1 indicate dissimilarity. 213 We found that the GMB for individuals remained consistent across visits (global PERMANOVA across all three time-points,  $R^2 = 0.867$ , p-value < 0.001), with remarkable stability of the GMB 214 215 from before (baseline) and during (7 and 29 weeks) ICB therapy. The findings are consistent for 216 oth treatment arms (nivolumab plus ipilimumab combination:  $R^2 = 0.852$ , p<0.001 and 217 nivolumab only  $R^2 = 0.902$ , p<0.001) (see **Supplementary Figure 2**). Analysis of time-points as 218 the outcome in place of patients did not reveal any significant compositional differences 219 (Supplemental Figure 4), indicating that treatment did not have a targeted effect on the GMB 220  $(R^2 = 0.0019, p-value=0.76)$ . Longitudinal samples were more likely to be provided by those 221 who didn't experience recurrence during the trial (see **Supplemental Table 2**; recurrence rate: 222 27.2% for those providing longitudinal samples vs. 44.8% for those who didn't). However, 223 among those who provided samples, the GMB remained stable regardless of recurrence status 224 (see Supplement Figure 3). GMB composition thus remained predominantly unchanged post-225 treatment without any identifiably consistent temporal shifts due to treatment, although a modest 226 destabilization was noted for the combination treatment compared to the mono-treatment arm. 227 228

229

#### 230 Discussion

#### 231

232 We investigated associations of the gut microbiome with melanoma recurrence, in the multi-233 center Checkmate 915 phase III trial of adjuvant immune checkpoint blockade (ICB), with 234 nivolumab plus ipilimumab or nivolumab alone (Weber et al., 2023). We found that melanoma 235 recurrence was associated with gut microbial taxa from the *Eubacterium*, *Ruminococcus*, 236 *Firmicutes*, and *Clostridium* genera in region-specific and cross-region meta-analyses. 237 Recurrence prediction by these markers was best achieved across regions by matching on 238 GMB compositional similarity between the intra-regional discovery and external validation sets. 239 AUCs for prediction ranged from 0.83-0.94 (depending on the initial discovery region), for 240 patients closely matched on GMB composition (e.g., JSD ≤0.11). This evidence indicates that 241 taxonomic markers for prediction of recurrence are generalizable across regions, for individuals 242 of similar GMB composition. Lastly, we examined longitudinal samples from patients during 243 treatment and discovered that the GMB composition remained largely constant over the 244 treatment period, indicating stability of the gut microbiome throughout the ICB treatment course. 245 246 We identified specific bacterial strains that predict recurrence in the adjuvant setting. The

247 Eubacterium, Ruminococcus, Firmicutes, and Clostridium have been previously associated with 248 outcomes for ICB in the metastatic setting (Lee et al., 2022; Matson et al., 2018; Routy et al., 249 2018). Eubacterium has been shown to modulate the efficacy of immunotherapies, by promoting 250 an anti-inflammatory environment via natural killer cell interaction (Liu et al., 2023). Similarly, 251 *Clostridium* and *Firmicutes* have been linked to enhanced immunoregulatory responses, related 252 to modification of the T-cell response which may directly enhance the effects of immunotherapy 253 (Shim et al., 2023). Ruminococcus, on the other hand, has been associated with both pro- and 254 anti-inflammatory effects, particularly related to increases in CD4+ and CD8+ T cells, potentially 255 influencing the outcomes of immune checkpoint therapies (Araji et al., 2022). Additional 256 recurrence-associated taxa identified in this study include Lawsonia, Bariatricus, and Blautia, 257 the latter of which was also associated with ICB treatment outcomes in our previous pilot 258 research (Peters et al., 2019). The results shown here in the adjuvant setting and research in 259 the metastatic setting (Lee et al., 2022; Matson et al., 2018; Routy et al., 2018) (Peters et al., 260 2019) are beginning to identify bacteria that impact ICB treatment outcomes, setting the stage 261 for future studies modifying the GMB to achieve more favorable outcomes in a variety of ICB 262 contexts.

263

264 Our analysis also showed connections between these immunomodulatory bacterial taxa and

- 265 carbohydrate metabolism pathways within the GMB, with associations related to glucose
   266 metabolism being among the most numerous category. We observed significant correlations
- between certain bacterial taxa, such as *Eubacterium* and *Ruminococcus*, and the KEGG Level 3
   pathways related to carbohydrate metabolism. This is notable because
- 269 "glycolysis/gluconeogenesis" and "pentose phosphate pathway" have been related to both the
- 270 microbiome and cancer treatment success (Cullin et al., 2021). Similar findings have been
- reported by Spencer et al (Spencer et al., 2021) who reported that dietary fiber can modulate
- the gut microbiome (specifically *Eubacterium* and *Ruminococcus*) and enhance the response to melanoma immunotherapy, implying that a high-fiber diet could shift the microbiome towards a
- melanoma immunotherapy, implying that a high-fiber diet could shift the microbiome towards a composition conducive to enhanced immunotherapy response. Previous evidence (Spencer et
- al., 2021) along with our comprehensive study focusing on the adjuvant setting adds weight to
- the proposition that dietary interventions on the GMB are a potential strategy to reduce
- 277 melanoma recurrence risk.
- 278
- A barrier to progress in use of GMB biomarkers as tools for clinical prediction in ICB treatment of melanoma is that GMB markers associated with melanoma outcomes tend to be population

281 specific (Lee et al., 2022), as geographic locality is a strong determinant of GMB composition 282 (Peters et al., 2020). In our study, we also observed significant variation in GMB composition 283 between regions internationally. We showed, however, that the capacity to predict recurrence 284 may be improved by limiting comparisons to subjects closely matched for GMB beta-diversity. 285 This implies, for practical application in the clinical setting, that prediction of recurrence for 286 individual melanoma patients may be achievable by comparison to referent data for patients 287 closely matched on GMB: this will require larger data sets well-characterized for GMB and ICB 288 outcomes than are currently available.

289

290 In our study, an important design element included sampling of the GMB before and at several

times during treatment, to assess ICB treatment-related changes in microbiome composition.

While in free-living populations, GMB remains highly stable over a time course that may span years (Chen et al., 2021; Olsson et al., 2022), ours is the first study to demonstrate temporal

stability of the GMB in ICB-treated patients. Given previously reported improvement in

295 outcomes in ICB-treated melanoma patients by fecal microbiome transplant (FMT) (Derosa and

Zitvogel, 2021), our results suggest that FMT or other GMB modifiers could exert a stable

297 benefit throughout the treatment course. The stability of the GMB during ICB treatment, as

298 illustrated in our data, hints at its potential as a lasting therapeutic reservoir which by

alteration—whether through dietary changes, probiotics, or FMT—may offer a novel strategy for

- 300 enhancing the effectiveness of adjuvant ICB treatment.
- 301

### 302 Methods

#### 303

## **Study Population and Design**

305 Our gut microbiome study was based on the phase III CheckMate 915 trial (ID:

306 NCT02388906)(Weber et al., 2023), which originally evaluated adjuvant nivolumab plus

307 ipilimumab versus nivolumab alone in patients with resected stage IIIB-D or IV melanoma. The

- 308 primary endpoint was recurrence-free survival (RFS). The original trial reported that there was
- 309 no significant difference between treatment groups for RFS. For a full description of original trial
- including outcome assessment and sample collection, refer to the original design publication
- 311 (Weber et al., 2023). Our prospective, analysis focused on a total of 674 patients who provided

a stool sample prior to treatment initiation (**Supplemental Figure 1**).

313

# 314 Sample Collection and Sequencing

315 Participants had the option to provide stool samples prior to the commencement of their

treatment. The ancillary microbiome study showed no significant differences compared to the

- original trial in clinical and demographic variables (Weber et al., 2023). Participants average age
- 318 was 55 years. Most patients were stage IIIC, with a slightly higher proportion of men than
- women. In order to quantify the impact of treatment on GMB, during and after treatment,
- approximately half of the participants were also required to submit stool samples during their
- treatment (specifically at week 7) and post-treatment (at week 29). The stool samples have

been collected using OMNIgene GUT kits (DNA Gentotek, Ontario, CA), which provide room

temperature stability of microbiome profiles for 2 months. All samples have been collected
 during doctor visits and mailed by the patient to a centralized laboratory, per region, for storage

- 325 where samples were immediately store at  $-80^{\circ}$ C.
- 326

327 These samples underwent rigorous shotgun metagenomics sequencing in the Knight laboratory 328 at the University of California San Diego (UCSD) as previously described (Usyk et al., 2023), 329 enabling us to achieve strain-level resolution of the GMB. DNA was extracted from stool 330 following the Earth Microbiome Project protocol (Thompson et al., 2017). Input DNA was 331 quantified, using a PicoGreen fluorescence assay (ThermoFisher, Inc), and normalized to 1 ng, 332 using an Echo 550 acoustic liquid-handling robot (Labcyte, Inc). Enzyme mixes for 333 fragmentation, end repair and A-tailing, ligation, and PCR were added using a Mosquito HV 334 micro pipetting robot (TTP Labtech). Fragmentation was performed at 37 °C for 10 min, followed 335 by end-repair and A-tailing at 65 °C for 30 min. Sequencing adapters and barcode indices were 336 added in two steps, following the iTru adapter protocol(Glenn et al., 2019). Universal "stub" 337 adapter molecules and ligase mix were first added to the end-repaired DNA using the Mosquito 338 HV robot and ligation performed at 20 °C for 1 h. Unligated adapters and adapter dimers were 339 removed using AMPure XP magnetic beads and a BlueCat purification robot (BlueCat Bio). 340 Next, adapter-ligated samples were added to a 384-PCR plate containing unique i7 and i5 index 341 primers and PCR master mix, then PCR-amplified for 15 cycles. The amplified and indexed 342 libraries were purified again using magnetic beads and the BlueCat robot, re-suspended in 343 water, and transferred to a 384-well plate using the Mosquito HTS liquid-handling robot for 344 library quantitation, sequencing, and storage. Samples were normalized and pooled based on a 345 PicoGreen fluorescence assay, PCR cleaned, and size-selected on a PippinHT before 346 sequencing on an Illumina NovaSeg 6000 (S4 flow cell and 2x150bp chemistry) at the Institute 347 for Genomic Medicine at UCSD.

348

349

# 350 Statistical and Bioinformatics Analysis

351 GMB composition was determined in samples by using the woltka pipeline with the wolR1

database executed on the Qiita platform (Gonzalez et al., 2018) using default pipeline settings.

353 To discern patterns and significant differences in GMB, we employed global beta diversity 354 analysis using Jensen Shannon divergence (JSD) (Fuglede and Topsoe, 2004) as the distance

355 metric. JSD was selected because it was specifically determined to be highly effective for

- 356 generalizability and direct utility in biomedical contexts (Sáez et al., 2017). Statistical
- 357 significance of beta diversity measured using JSD was assessed by PERMANOVA (Anderson,
- 358 2014), using the vegan (Oksanen et al., 2007) package in R (Team, 2013). Specific
- 359 strain/species markers were identified using ANCOM-BC (Lin and Peddada, 2020) for all 360 outcomes (i.e. recurrence), with adjustment for age, sex, tumor stage, BRAF mutation and study 361 arm.

362 Given the regional disparities in GMB compositions, a meta-analysis was deemed 363 necessary. This was conducted on the identified region-specific markers to determine their 364 overarching association with recurrence across all regions. Meta analysis was performed using 365 the ANCOM-BC derived effect estimates and analyzed for pooled effect using the meta package 366 in R using random effect meta-analysis (Schwarzer, 2007), employing the default settings (Van 367 den Noortgate et al., 2013).

368 In the GMB matched analysis samples were selected on the basis of JSD similarity to 369 participants from the each discovery region (always selecting replication to include samples 370 outside of the discovery region). Specifically non-discovery region participants were checked for 371 JSD distance against all participants from the discovery region starting at JSD 0.01 (i.e. high 372 GMB similarity) and finishing at JSD 0.3 (i.e. low GMB similarity) with steps of 0.001. For a 373 replication participant to enter an analysis at a given JSD threshold, they need to have a match 374 to at least one discovery region patient with a JSD distance being equal to or lower than the 375 defined threshold. For example at the 0.11 JSD threshold, roughly the level of patient to self 376 distance, a participant would enter into the analysis if at least one discovery region subject has 377 a JSD of 0.11 or lower to him (indicating high GMB similarity) and discarded if no such match 378 could be made. Area under the curve analysis was performed using the pROC (Robin et al., 379 2014) package in R.

380 For the comparison between beta diversity metrics and recurrence prediction, we 381 standardized metrics by setting the lower limit to the median intra-sample distance and the 382 upper limit to the median inter-sample distance, with 200 equal intervals in between the bounds 383 for testing AUC predicting on matched samples. This process of modeling for each involved 384 selecting non-North American patients for testing using North American regional markers based 385 on a beta-diversity threshold (each of the 200 steps), followed by AUC calculation in 386 independent testing set.

387

#### 388 **Outcome Measures**

389 The primary outcome measure was the recurrence of melanoma post-treatment. Secondary 390 outcomes included regional variations in these associations and stability of the GMB during the 391 course of ICB treatment.

392

#### 393 **Data Availability**

- 394 The raw sequence data for all baseline samples along with uncontrolled phenotype
- 395 variables reported in this paper have been deposited in the Genome Sequence Archive (Genomics,
- 396 Proteomics & Bioinformatics 2021) in National Genomics Data Center (Nucleic Acids Res 2021),
- 397 China National Center for Bioinformation / Beijing Institute of Genomics, Chinese Academy of
- 398 Sciences (GSA: HRA005933, direct link: https://bigd.big.ac.cn/gsa-human/browse/HRA005933 ) that 399 are publicly accessible at https://ngdc.cncb.ac.cn/gsa.
- 400 Additionally, all shotgun metagenomics sequencing data and the generated biom files
- 401 containing bacterial taxa and functional profiles are available within Qiita under the StudyID
- 402 13059.

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Variable	Overall	North America*	Australia	Western Europe	Eastern Europe	ROW**
Ν	674	95	127	367	43	42
Age Mean ± SD	55.03 ± 13.88	55.29 ± 14.26	55.31 ± 13.73	54.72 ± 13.73	51.77 ± 15.17	58.9 ± 12.68
Gender						
Female	277 (41.1%)	40 (42.1%)	44 (34.6%)	160 (43.6%)	19 (44.2%)	14 (33.3%)
Male	397 (58.9%)	55 (57.9%)	83 (65.4%)	207 (56.4%)	24 (55.8%)	28 (66.7%)
Race						
White	667 (99.0)	91 (95.8%)	126 (99.2%)	366 (99.7%)	43 (100%)	41 (97.6%)
Other	7 (1.0%)	4 (4.2%)	1 (0.8%)	1 (0.3%)	0 (0%)	1 (2.4%)
Recurrence						
Yes	252 (37.4%)	32 (33.7%)	49 (38.6%)	137 (37.3%)	24 (55.8%)	10 (23.8%)
No	422 (67.6%)	63 (66.3%)	78 (61.4%)	230 (62.7%)	19 (44.2%)	32 (76.2%)
Median Follow-up Time (months) ± IQR	24.9 ± 19.8	23.4 ± 17.5	24.9 ± 22.1	24.8 ± 19.7	15.0 ± 25.7	27.6 ± 3.0
Trial Arm						
Nivo240ma+lpi1ma/ka	346 (51.3%)	45 (47.4%)	62 (48.8%)	190 (51.8%)	25 (58.1%)	24 (57.1%)
Nivolumab 480mg	328 (48.7%)	50 (52.6%)	65 (51.2%)	177 (48.2%)	18 (41.9%)	18 (42.9%)
Stage						
UIIB	201 (29.8%)	29 (30.5%)	39 (30.7%)	113 (30.8%)	11 (25.6%)	9 (21.4%)
IIIC	366 (54.3%)	57 (60%)	62 (48.8%)	199 (54.2%)	26 (60.5%)	22 (52.4%)
IIID	15 (2.2%)	4 (4.2%)	1 (0.8%)	9 (2.5%)	0 (0%)	1 (2.4%)
IV	92 (13.7%)	5 (5.3%)	25 (19.7%)	46 (12.5%)	6 (14%)	10 (23.8%)
BRAF Mutation			, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,		X ,
Wildtype	325 (48.2%)	47 (49.5%)	67 (52.8%)	182 (49.6%)	19 (44.2%)	10 (23.8%)
Mutant	188 (27.9%)	20 (21.1%)	35 (27.6%)	111 (30.2%)	19 (44.2%)	3 (7.1%)
Missina	161 (23.9%)	28 (29.5%)	25 (19.7%)	74 (20.2%)	5 (11.6%)	29 (69%)
LD*** (L/LL mean + SD)	216.6 ± 86.5	186.8 ± 84.3	189.7 ± 3	230.5 ± 93.3	187.4 ± 52.3	276.8 ± 109.5
Missing (N)	12	0	1	9	1	1
* North America (NA: U.S. and Canada); ** ROW stands for "rest of world", patients from Brazil (n = 28) and New Zealand (n = 14).						
*** LD refers lactate dehydrogenase (LDH) level and L/U represent the Lower/Upper of the test result						

Table 1. Demographic and Clinical Characteristics of Study Participants, Stratified by Geographic Region



#### Figure 1. Beta Diversity, Regional Variation and Melanoma Recurrence

(A) illustrates a PERMANOVA analysis of essential clinical and demographic variables within our study, using JSD distance. Color indicates the analysis type: crude in blue and adjusted (adjustment for each other variable) in orange. The x-axis denotes  $R^2$ , reflecting the proportion of overall gut microbiota composition variance, with stars adjacent to the bars indicating significance (p-values: 0.05 \*, 0.01 \*\*, 0.001 \*\*\*, >0.05 NS). Panel (B) presents a map of the geographic regions, with paired PERMANOVA results for each geographic pair displayed on the plotted curves. All pairs exhibited significant differences. Donut charts plotted over each geographic region represent the top 10 genera across (based on abundance) all samples within that region. Panels (C-G) depict the principal coordinate analysis (PCoA) for each of the five geographic regions, considering recurrence as the outcome ( $R^2$  and p-values are provided for each region).



#### Figure 2. Region Stratified Analysis of Individual GMB Taxa and Melanoma Recurrence

(A) shows the region-stratified analysis as a forest plot with each point and associated confidence interval colored by the geographic region in which the identified gut microbiome markers prospectively associated with melanoma recurrence. All strains shown are significant after adjustment for multiple testing (FDR<0.05), with effects adjusted for participant age, sex, tumor stage, BRAF mutation and study arm.

(B-K) show the meta-analysis of region-specific gut microbiome markers associated with recurrence in melanoma patients across geographic regions. Each panel shows analysis of a specific microbiome strain by region and, in meta-analyses, for the full cohort and for the full cohort minus the discovery region in (A) (N.A. stands for North America in the exclusion line). Meta analyses were performed using random-effect meta-analysis models (Schwarzer, 2007). Bacteria from (A) that are not significant in meta-analysis (*Aeromonas salmonicida, Clostridiales bacterium 1-7-47 FAA* and *Peptostreptococcus anaerobius*) are not shown in (B-K).



Recurrence No\_recurance Recurance

#### Figure 3. Association of Functional Pathways with Recurrence Biomarkers.

(A) depicts the correlation between z-score normalized KEGG Level 3 bacterial pathways (presented in rows) of the recurrence-associated taxa (displayed in columns). The color of the column strip indicates the direction of association of the bacteria with recurrence (red for positive association and green for negative). The color of the row strip indicates the category (KEGG Level 2) of the functional pathway. The color of each cell represents the level of correlation. Functions are included only if they have a correlation FDR<0.0001 for a minimum of five recurrence-associated taxa.

(B) exclusively displays Carbohydrate metabolism pathways derived from (A).

(C) presents carbohydrate-associated pathways significantly correlated with recurrence in the North American region (no significant correlations in other regions), accounting for factors: age, sex, tumor stage, BRAF mutation and study arm. Y-axis of figure C represents the normalized z-score of the pathways.



#### Figure 4. Recurrence Risk Prediction Models in Patients Using Independent Crossregional Replicates Matched on GMB

**Panel A** depicts the patient matching method employed to generalize markers (i.e. using the region specific markers in other geographic areas for patients with the "same" GMB). JSD was used to match patients across region (testing patients are always from a different region from training patients), and subsequently, the predictive power of biomarkers was evaluated in the subsequent panels with adjustment for age, sex, tumor stage, BRAF mutation and study arm. **Panel B shows** the relationship between prediction measured using AUC vs. increasing JSD distance (spearman correlation = -0.85, p<0.001). For each point (200 total) a non-North American patient is matched to a North American subject at each JSD threshold and the final independently matched set is modeled using the North America markers to obtain AUC. **Panel C, D and E** show the same analysis, but using the ROW, Eastern Europe and Western Europe as discovery sets respectively. **Panel F** shows the comparison between the original JSD beta-diversity as well as Bray-Curtis dissimilarity, Jaccard index, and Aitchison dissimilarity with respect to AUC predictive power. Metrics were standardized by setting the lower limit to the median intra-sample distance and the upper limit to the median inter-sample distance, with 200 equal intervals for testing.



#### Figure 5. GMB Stability Across Time

Figure shows the bacterial  $\beta$ -diversity measured using Jensen Shannon divergence between measured visits (intra-patient variation) as well as between all unpaired samples for reference (inter-patient variation). Overall GMB was largely unchanged across baseline, week 7 and week 29 measurements with global PERMANOVA R<sup>2</sup> = 0.867, p-val<0.001. Comparison between baseline and week 7 samples had an R<sup>2</sup> = 0.930, p-val<0.001; week 7 vs. week 29 R<sup>2</sup> = 0.900, p-val<0.001.

# **Supplement Table of Contents**

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Supplemental Table 1. Region Stratified ANCOM-BC Results

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Supplemental Figure 4. PCOA Plot by Time Points

Region	Recurrence Associated Strain	OR	95% CI, Iow	95% CI, high	p-val	q-val
North America	Firmicutes bacterium CAG:137	0.17	0.25	0.11	1.64E-05	0.028
North America	Firmicutes bacterium CAG:884	0.40	0.49	0.33	8.43E-06	0.015
North America	Clostridium sp. CAG:780	0.21	0.30	0.15	9.26E-06	0.016
North America	Eubacterium sp. CAG:115	0.054	0.10	0.030	9.76E-07	0.0017
North America	Eubacterium sp. CAG:786	0.09	0.13	0.058	1.67E-10	2.89E-07
North America	Peptostreptococcus anaerobius	0.49	0.58	0.42	1.13E-05	0.020
North America	Eubacterium siraeum	0.088	0.15	0.052	3.39E-06	0.006
North America	Ruminococcus sp. CAG:177	0.059	0.11	0.033	9.74E-07	0.0017
North America	Aeromonas salmonicida	0.42	0.51	0.34	2.37E-05	0.041
Western Europe	Bariatricus massiliensis	1.49	1.64	1.36	1.50E-05	0.028
Western Europe	Blautia schinkii	1.45	1.58	1.33	1.13E-05	0.021
Eastern Europe	Lawsonia intracellularis	2.50	3.09	2.02	1.62E-05	0.030
Rest of World	Clostridiales bacterium 1_7_47FAA	0.22	0.31	0.15	1.60E-05	0.032
Table shows the results of ANCOM-BC analysis of recurrence as the outcome with GMB as the core predictor with adjustment for						
participant age, sex, tumor stage, BRAF mutation and study arm, Odds ratios (ORs) are shown with associated p-values as well as						

# Supplemental Table 1. Region Stratified ANCOM-BC Results

s (ORS) are shown with a adjusted values using the "holm" method. ay ann. Ouus ralio: i p-vai

Supplemental rapie 2. Fatients with Longitudinal Sampling
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Variable	Νο	Yes	OR	pval
Ν	424	301		
Recurrence				
No	228 (55.2%)	219 (72.8%)	0.46 (0.33 - 0.64)	1.68E-06
Yes	185 (44.8%)	82 (27.2%)	-	-
Missing (n = 11)				
Age Mean± SD	54.54± 14.32	55.4± 13.3	-	0.685
Gender				
Female	178 (42.4%)	120 (39.9%)	1.11 (0.81 - 1.52)	0.54
Male	242 (57.6%)	181 (60.1%)	-	-
Missing (n = 4)				
Region				
Australia	77 (18.2%)	64 (21.3%)	-	0.13
Eastern Europe	24 (5.7%)	21 (7%)	-	0.125
ROW	29 (6.8%)	19 (6.3%)	-	0.284
North America	66 (15.6%)	39 (13%)	-	0.295
Western Europe	224 (52.8%)	158 (52.5%)	-	0.148
Stage at entry				
Not Reported	7 (1.7%)	0 (0%)	-	1
Stage IIIB	113 (26.7%)	102 (33.9%)	-	0.125
Stage IIIC	234 (55.2%)	152 (50.5%)	-	0.16
Stage IIID	10 (2.4%)	5 (1.7%)	-	0.53
Stage IV	56 (13.2%)	42 (14%)	-	0.141
B.Raf.Mut				
Invalid/Not Reported	104 (24.5%)	75 (24.9%)	-	0.145
Mutant	119 (28.1%)	79 (26.2%)	-	0.157
Wildtype	197 (46.5%)	147 (48.8%)	-	0.141
Melanoma Subtypes				
Acral	14 (3.3%)	9 (3%)	-	0.268
Cutaneous	357 (84.2%)	264 (87.7%)	-	0.142
Mucosal	4 (0.9%)	1 (0.3%)	-	1
Not Reported	8 (1.9%)	0 (0%)	-	1
Other	37 (8.7%)	27 (9%)	-	0.146
LD_baseline Mean± SD	218.92± 85.25	213.48± 88.16	-	0.207



#### Supplemental Figure 1. Study Consort Chart

725 represented baseline. Of these , approximately half of the patients had follow-up sampling at weeks 7 and 29. From the baseline samples, 51 individuals were excluded due to coming from a supplementary arm of the original trial (n = 40), being screen failures (n = 7) or having missing randomization data (n = 4). Overall we utilized 674/725 (93.0%) of the available shotgun metagenomic samples for our core analysis.



# Supplemental Figure 2. JSD Distances Across Time, Stratified by Trial Arm

The figure shows the bacterial  $\beta$ -diversity measured using Jensen Shannon divergence between measured visits (intra-patient variation) as well as between all unpaired samples for reference (inter-patient variation), stratified by the treatment arm (red, panel A is mono treatment and blue panel B is combination treatment). Overall GMB was largely unchanged across baseline, week 7 and week 29 measurements in both arms.



# Supplemental Figure 3. JSD Distances Across Time, Stratified by Recurrence Status

The figure shows the bacterial  $\beta$ -diversity measured using Jensen Shannon divergence between measured visits (intra-patient variation) as well as between all unpaired samples for reference (inter-patient variation), stratified by recurrence status (green, panel A: no recurrence group and navy, panel B, recurrence group). Overall GMB was largely unchanged across baseline, week 7 and week 29 measurements in both panels.



**Supplemental Figure 3. PCOA plot by Time Points** PCOA plat for three-time points as the outcome for the BMS patients using JSD distances.