# **ORIGINAL RESEARCH ARTICLE**



# Cardiovascular Effects of Oral Ketone Ester Treatment in Patients With Heart Failure With Reduced Ejection Fraction: A Randomized, Controlled, Double-Blind Trial

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**BACKGROUND:** Heart failure triggers a shift in myocardial metabolic substrate utilization, favoring the ketone body 3-hydroxybutyrate as energy source. We hypothesized that 14-day treatment with ketone ester (KE) would improve resting and exercise hemodynamics and exercise capacity in patients with heart failure with reduced ejection fraction.

**METHODS:** In a randomized, double-blind cross-over study, nondiabetic patients with heart failure with reduced ejection fraction received 14-day KE and 14-day isocaloric non-KE comparator regimens of 4 daily doses separated by a 14-day washout period. After each treatment period, participants underwent right heart catheterization, echocardiography, and blood sampling at plasma trough levels and after dosing. Participants underwent an exercise hemodynamic assessment after a second dosing. The primary end point was resting cardiac output (CO). Secondary end points included resting and exercise pulmonary capillary wedge pressure and peak exercise CO and metabolic equivalents.

**RESULTS:** We included 24 patients with heart failure with reduced ejection fraction (17 men;  $65\pm9$  years of age; all White). Resting CO at trough levels was higher after KE compared with isocaloric comparator ( $5.2\pm1.1$  L/min versus  $5.0\pm1.1$  L/min; difference, 0.3 L/min [95% CI, 0.1-0.5), and pulmonary capillary wedge pressure was lower ( $8\pm3$  mmHg versus  $11\pm3$  mmHg; difference, -2 mmHg [95% CI, -4 to -1]). These changes were amplified after KE dosing. Across all exercise intensities, KE treatment was associated with lower mean exercise pulmonary capillary wedge pressure (-3 mmHg [95% CI, -5 to -1]) and higher mean CO (0.5 L/min [95% CI, 0.1-0.8]), significantly different at low to moderate steady-state exercise but not at peak. Metabolic equivalents remained similar between treatments. In exploratory analyses, KE treatment was associated with 18% lower NT-proBNP (N-terminal pro-B-type natriuretic peptide; difference, -98 ng/L [95% CI, -185 to -23]), higher left ventricular ejection fraction ( $37\pm5$  versus  $34\pm5\%$ ; *P*=0.01), and lower left atrial and ventricular volumes.

**CONCLUSIONS:** KE treatment for 14 days was associated with higher CO at rest and lower filling pressures, cardiac volumes, and NT-proBNP levels compared with isocaloric comparator. These changes persisted during exercise and were achieved on top of optimal medical therapy. Sustained modulation of circulating ketone bodies is a potential treatment principle in patients with heart failure with reduced ejection fraction.

For Sources of Funding and Disclosures, see page 1488.

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#### Editorial, see p 1490

## **Clinical Perspective**

#### What Is New?

- Treatment with ketone ester for 14 days was associated with more favorable measures of selected hemodynamics at rest and during exercise in patients with heart failure with reduced ejection fraction.
- Improvement in selected hemodynamic parameters at rest was evident at predose 3-hydroxybutyrate levels, demonstrated by increased cardiac output and elevated left ventricular ejection fraction.
- This coincided with reduced measures of congestion, including pulmonary capillary wedge pressure, left atrial and ventricular volumes, and natriuretic peptides.
- The cardiovascular effects were further amplified after ketone ester dosing at rest and persisted during incremental exercise.

#### What Are the Clinical Implications?

- The results of this study demonstrate favorable cardiovascular effects of 14-day ketone ester treatment in patients with stable heart failure with reduced ejection fraction, achieved on top of optimal medical heart failure therapy. It highlights sustained modulation of circulating ketone bodies as a novel treatment principle.
- These results prompt the need for larger long-term trials to assess the clinical benefits of ketone ester administration in patients with HFrEF.

s a metabolic omnivore, the healthy heart seamlessly transitions between various metabolic substrates to sustain energy production and maintain contractile function.<sup>1</sup> However, a hallmark of heart failure (HF) is significant metabolic remodeling with increasing reliance on alternative substrates such as ketone bodies.<sup>2,3</sup> Recent evidence indicates that the ketone body 3-hydroxybutyrate (3-OHB) plays a pivotal role as an alternative metabolic substrate in the failing heart.4 In patients with advanced HF with reduced ejection fraction (HFrEF), myocardial ketolytic enzymes and utilization of 3-OHB are increased.<sup>5</sup> Conversely, inducing overexpression of genes essential for myocardial 3-OHB utilization confers resistance against contractile dysfunction.<sup>6</sup> Indeed, ketones are readily taken up by the myocardium and oxidized proportionally to cir-

## Nonstandard Abbreviations and Acronyms

3-ОНВ	3-hydroxybutyrate
AVo, difference	arteriovenous oxygen
2	difference
СО	cardiac output
Ea	effective arterial elastance
EDPVR	left ventricular end-diastolic
	pressure-volume relationship
Ees	end-systolic elastance
HF	heart failure
HFrEF	heart failure with reduced ejection fraction
HR	heart rate
IC	isocaloric comparator
KCCQ-12	Kansas City Cardiomyopathy Questionnaire, 12-item version
KE	ketone ester
LA	left atrial
LV	left ventricular
LVEDP	left ventricular end-diastolic pressure
LVEDV	left ventricular end-diastolic volume
LVEF	left ventricular ejection fraction
MAP	mean arterial pressure
mPAP	mean pulmonary arterial
NT-proBNP	N-terminal pro-B-type natri-
PCWP	pulmonary capillary wedge
DAD	right strid prossure
	rate-pressure product
SDP	systolic blood pressure
SGLIZ	sodium-glucose cotransporter 2
50	stroke volume
SVR	systemic vascular resistance

culating levels.<sup>78</sup> Given the favorable energetic characteristics of 3-OHB and the adaptive response of the failing heart to increased ketone utilization,<sup>5,9</sup> elevating circulating 3-OHB through persistent administration may provide cardiovascular benefits and improve cardiac oxidative metabolism, thereby potentially enhancing cardiac function.  $^{2}\,$ 

Acute infusion with 3-OHB significantly increases cardiac output (CO) and left ventricular (LV) ejection fraction (LVEF) in patients with HFrEF.<sup>10</sup> Furthermore, a single dose of oral ketone ester (KE) induces favorable hemodynamic changes in patients with more advanced HF in cardiogenic shock.<sup>11</sup> To date, no study has evaluated the hemodynamic effects of 3-OHB treatment beyond shortterm treatment. We hypothesized that acute effects might persist with sustained treatment with KE over a period of 14 days, even at pharmacological trough levels, and during the stress of exercise. Therefore, we aimed to investigate the effects of a 14-day treatment regimen with KE on resting hemodynamics before and after short-term administration of KE, exercise hemodynamics and capacity, clinical symptoms, and safety parameters.

## **METHODS**

This trial adhered to the principles of the Declaration of Helsinki with respect to design, execution, and reporting. The trial was approved by the local Danish ethics committee (1-10-72-362-18) and was conducted in accordance with good clinical practice guidelines. Written informed consent was obtained from all participating patients. The study is registered with ClinicalTrials. gov (NCT05161650).

#### Patients

We included patients with stable HFrEF ( $\geq$ 18 years of age) with LVEF  $\leq$ 40% who were in New York Heart Association functional class II or III on optimal guideline-recommended HFrEF treatment from the outpatient HF clinic at Aarhus University Hospital, Denmark. Key exclusion criteria comprised history of diabetes, pregnancy, significant cardiac valve disease, severe stable angina pectoris, severe kidney disease (estimated glomerular filtration rate <20 mL·min<sup>-1.1</sup>.73 m<sup>-2</sup> and/or current dialysis) or liver disease, severe comorbidity as judged by the investigator, and inability to give informed consent (Supplemental Material).

## Design

In this randomized, double-blind, comparator-controlled, crossover trial, participants were randomized to oral KE drink (25 g; KE4 pro, Ketone Aid Inc) 4 times daily or an isocaloric, isovolumic, taste-matched non-KE comparator (IC; containing 1:3 kcal from carbohydrates and 2:3 kcal from fat; Table S1) 4 times daily for a 14-day period, followed by a 14-day washout period and cross-over to the other intervention. The 4-times-daily regimen aimed to sustain safe ketosis for at least 16 hours daily, considering an elimination half-life of 0.8 to 3.1 hours.<sup>12–14</sup> Participants attended a study visit after each 14-day treatment period, during which they were evaluated with right heart catheterization, transthoracic echocardiography, venous blood samples, the Kansas City Cardiomyopathy Questionnaire, 12-item version (KCCQ-12), and exercise hemodynamics. Thus, each participant attended a total of 4 visits, including screening, randomization, and study visits 1 and 2 (Figure 1).

A 1-day run-in period for each intervention was scheduled at the screening visit to ensure tolerability before definitive study enrollment (Figure S1). To uphold the blinding of interventions, it was concealed within identical plastic bottles placed inside an opaque, sealed container. Randomization was overseen by an independent, unblinded research team member without direct patient involvement. Each identical container received a unique randomization number linked to an identifier key accessible only to the unblinded research team member. Compliance with the intervention regimen was evaluated through a bottle count, a log form, and twice-weekly telephone contact; compliance >80% was deemed sufficient.<sup>15</sup>

## **Study Visits**

During the randomization visit, after an overnight fast, participants underwent resting echocardiography, noninvasive blood pressure measurement, venous blood sampling, body weight recording and a KCCQ-12 was completed. Next, participants received 14-day study intervention to be initiated 1±4 days after randomization. After completing each 14-day treatment period, participants were examined on day 15 after an overnight fast and avoidance of strenuous physical activity for 48 hours. Participants were instructed to refrain from taking the study intervention medication on the day of their scheduled attendance while continuing their regular medical background therapy as prescribed. They arrived at 7 AM, had their body weight measured, and completed a KCCQ-12. Next, baseline venous blood samples were collected. Then, echocardiography, noninvasive blood pressure measurements, and right heart catheterization measurements were performed at rest. After trough measurements, the allocated intervention was consumed, and hemodynamics, echocardiography, biomarkers, and 3-OHB were assessed at hourly intervals for a duration of 4 hours to assess pharmacodynamic effects after dosing. Finally, 30 minutes after administration of a second allocated intervention, a hemodynamic cardiopulmonary exercise test was conducted with the right heart catheter in situ.

## **Right Heart Catheterization**

After local anesthesia, a 7.5F triple-lumen fluid-filled Swan-Ganz catheter was inserted through the right internal jugular vein under ultrasound guidance and advanced into the pulmonary artery. The correct wedge position was verified by fluoroscopy and characteristic waveforms. Pressure transducers were zeroed at the midaxillary level. Right atrial pressure (RAP), systolic pulmonary arterial pressure, mean pulmonary arterial pressure (mPAP), and pulmonary capillary wedge pressure (PCWP) were measured during end expiration with participants lying in supine position. Mixed venous saturation (Svo<sub>0</sub>) was measured (ABL800 FLEX) in blood gas collected from the pulmonary artery. CO was determined with the bolus thermodilution technique (averaged over ≥3 consecutive measurements within <10% variation) and indexed to body surface area (cardiac index). Systolic blood pressure (sBP), mean arterial pressure (MAP), and heart rate (HR) were monitored by sphygmomanometry; rate-pressure product was calculated (RPP=sBP×HR). Stroke volume (SV=CO/HR), systemic vascular resistance [SVR=(MAP-RAP)×80/C0], pulmonary vascular resistance [PVR=(mPAP-PCWP)×80/ CO], and effective arterial elastance (Ea=0.9×sBP/SV) were



Figure 1. Study design.

A randomized, double-blind, isocaloric comparator-controlled cross-over trial in 24 stable patients with heart failure with reduced ejection fraction. Participants underwent a 14-day treatment with oral ketone ester and an isocaloric comparator, after which they were evaluated with right-sided heart catheterization, echocardiography, blood sampling, Kansas City Cardiomyopathy Questionnaire (KCCQ-12), and exercise hemodynamics. Study periods were separated by a 14-day washout period. A 1-day run-in period of each intervention after screening was planned to ensure tolerability before enrollment.

calculated.<sup>16</sup> End-systolic elastance (Ees) was estimated by the modified single-beat method,<sup>17</sup> and the systolic vascularventricular coupling ratio (Ea/Ees) was calculated. Preload recruitable stroke work was calculated by the single-beat method,<sup>18</sup> and LV stroke work was estimated and normalized to LV end-diastolic volume (LVEDV).<sup>19</sup> Arteriovenous oxygen difference (AVo<sub>2</sub> difference) was measured as the contrast between oxygen contents in systemic and pulmonary arterial blood. Plasma volume was estimated using the following formula: (1–hematocrit)×[ $\alpha$ +( $\beta$ ×weight)].<sup>20</sup> For women, the values were  $\alpha$ =864 and  $\beta$ =47.9. The corresponding values for men were  $\alpha$ =1530 and  $\beta$ =41.

## Transthoracic Echocardiography

Two- and 3-dimensional echocardiography was conducted with a 3.5-MHz transducer on a Vivid E95 (General Electric) and stored for subsequent assessor-blinded analysis (EchoPAC version 204, General Electric). All echocardiographic parameters were analyzed as the mean of ≥3 cardiac cycles. LVEDV and LV end-systolic volume were assessed by 2-dimensional (ie, Simpson biplane) and 3-dimensional acquisition; LVEF was acquired. Other parameters included global longitudinal strain (absolute value), peak excursion velocity for systolic mitral plane (LV S'max), early (E) and late (A) diastolic mitral inflow velocities, E deceleration time and slope, early (e') and late (a') diastolic mitral plane tissue velocity, and tricuspid annular peak systolic excursion.<sup>21</sup> Left atrial (LA) maximal volume and strain were attained as previously described.<sup>22</sup>

## End-Diastolic Pressure-Volume Relationship

The LV end-diastolic pressure-volume relationship (EDPVR) was estimated at rest by the single-beat estimation technique. LV end-diastolic pressure (LVEDP) was approximated with PCWP, and LVEDV was determined by echocardiography. Unstressed LVEDV ( $V_0$ ), predicted LVEDV at LVEDP of 30 mm Hg ( $V_{30}$ ), and individual constants for diastolic curve fitting ( $\alpha$ ) and diastolic stiffness ( $\beta$ ) were calculated (Supplemental Material).<sup>23</sup> Then, the EDPVR was estimated by forcing the curve trough predefined points on the EDPVR (ie, LVEDP of 0, 2.5, 5, 10, 15, 20, 25, and 30 mm Hg), and the predicted  $V_0$  and  $V_{30}$  was calculated as LVEDP= $\alpha$ ×LVEDV.

## **Blood Samples**

Immediately after venous blood sampling, we analyzed hemoglobin, hematocrit, electrolytes, lactate,  $HCO_3^-$ , and

pH (ABL800 FLEX). The remaining samples were stored at -80°C for subsequent batch analysis. NT-proBNP (N-terminal pro-B-type natriuretic peptide) was measured on a chemiluminescent microparticle immunoassay (Cobas 8000). Circulating 3-OHB levels were measured at randomization and on each study day during fasting, before dose (trough), after dosing, and during cardiopulmonary exercise test and quantified by hydrophilic interaction liquid chromatography tandem mass spectrometry. Estimated glomerular filtration rate was calculated by assessing cystatin C (turbidimetric assay; Atellica CH).<sup>24</sup> Alanine transaminase was assessed by absorption photometry (Atellica CH). Highsensitivity cardiac troponin I was assessed with an immunoassay kit (Atellica IM).

#### **Cardiopulmonary Exercise Test**

The hemodynamic cardiopulmonary exercise test was performed using a semisupine bicycle (eBike L Ergometer, General Electric). Patients began at 0 W and incrementally increased the workload by 25 W every 3 minutes. If the investigator anticipated a maximal exercise capacity exceeding 100 W, 50-W increments were used. Participants followed the same protocol during both study visits. They maintained a pedal speed at 65±5 rounds/min until a predefined Borg scale rating of 18. Continuous 12-lead electrocardiography was applied. Oxygen uptake (Vo<sub>2</sub>) was assessed through breathby-breath technique (JAEGER Vyntus CPX, CareFusion). At rest and peak exercise, mixed venous 3-OHB was measured as described. Peak oxygen uptake (peak Vo,/kg) was calculated as the highest 10-second averaged values within the final 30 seconds of the test. During semisupine rest and 90 seconds into each workload increment, the following parameters were measured: RAP, mPAP, PCWP, CO (by bolus thermodilution technique), pulse oximetry, MAP, HR, lactate, and  $\mathsf{Svo}_{\scriptscriptstyle 9}\!.$  Furthermore, peak CO was estimated by the direct Fick method (CO=peak Vo<sub>o</sub>/AVo<sub>o</sub> difference).

## **End Points**

Given that persistent 3-OHB treatment for 14 days might restore myocardial energetic function,<sup>2,4,25</sup> we hypothesized that such treatment would improve cardiac performance at rest. Thus, the primary end point was CO at rest after 14-day treatment with KE compared with IC. This measure is reliable and consistent<sup>26</sup> and less influenced by the variability of patient effort or physical condition. Secondary end points included resting and exercise PCWP and peak exercise CO and metabolic equivalents. Exploratory end points included resting LVEF, NT-proBNP levels, peak Vo<sub>2</sub>, and KCCQ-12.

## **Power Calculation and Statistics**

The coefficient of variation for CO at rest was expected to be 4%.<sup>26</sup> By enrolling 24 patients, assuming a mean CO of 5.0 L/min, we expected to identify a relative difference of 9% in the primary end point with a power of 80% and a 2-sided significance level of 5%. The power analysis was based on a paired analysis adopting a mixed effects model fitting for comparisons within individuals and accommodating a within-participants correlation.

Data normality was tested by qq-plots and histograms. For continuous data, normally and nonnormally distributed data

are presented as mean±SD and median (interquartile range), respectively, unless otherwise stated. Categorical data are displayed as count and frequency. Temporal effects are displayed as mean±SEM. A linear mixed model was exploited to analyze treatment effects of KE compared with IC, incorporating treatment, period, and treatment sequence as fixed effects and participants as random effects. Mixed-model residual normality and homoscedasticity were inspected. Logarithmic transformations were applied when appropriate and presented with geometric means and reversetransformed geometric mean ratios. For repeated measures after intervention dosing at rest and during exercise, a treatment-by-time or treatment-by-workload interaction was added as a fixed effect to the model; period nested within participants was added as a random effect to allow individual variations in baseline values and variations in the impact of period among participants. Treatment effects are reported as pairwise difference (95% CI). Post hoc subgroup analyses were performed and are reported as mean between-treatment difference and 95% CI within each subgroup strata; the P value indicates subgroup interactions. Statistical significance was defined as 2-sided values of P<0.05. A detailed description of the statistical analysis plan is available in the Supplemental Material.

Data analysis and figures were generated with R software (version 2022.02.3). The primary investigator has access to all the data and takes responsibility for data integrity and the data analysis. Data and research materials will not be provided to other researchers with the explicit aim of reproducing the findings or duplicating the procedure independently. However, on reasonable request anonymized data may be shared with other researchers.

## RESULTS

## **Baseline Characteristics**

A total of 80 patients were screened for eligibility between January 2022 and March 2023. Among these, 35 were invited to screening, and 26 participants were randomized (Figure S1). Two participants were subsequently withdrawn from the study: 1 due to a procedurerelated intermittent third-degree atrioventricular block during right heart catheterization and another due to pathogen-verified infectious gastroenteritis with symptom onset before initial supplementation intake. Thus, the final analysis included 24 patients who successfully completed the study. The mean age was  $65\pm9$  years, and 71% were male (Table 1). All participants were White. The median duration of HF was 8 years (interquartile range, 4–13 years); 58% had ischemic HF, and 42% had nonischemic HF. Guideline-recommended HF treatment was highly prevalent among the participants; 58% were treated with sodium-glucose cotransporter 2 (SGLT2) inhibitors. The median NT-proBNP level was 609 pmol/L (interquartile range, 442-1009 pmol/L); the mean LVEF was 33±5%. Among the participants, 71% and 31% were in New York Heart Association class II and III, respectively.

Table 1.	Baseline	Characteristics	(N=24)
	Daseiiiie	- Characteristics	(11-24)

Patient characteristics	Value
Male sex, n (%)	17 (71)
Age, y	65±9
BMI, kg/m <sup>2</sup>	29±4
Duration of HF, y	8 (4, 13)
Hypertension, n (%)	6 (25)
IHD, n (%)	14 (58)
AF (parox), n (%)	4 (17)
LVEF, %	33±5
NT-proBNP, ng/L	609 (442, 1009)
Cause of HF, n (%)	
Ischemic HF	14 (58)
Nonischemic HF	10 (42)
NYHA class, n (%)	
II	17 (71)
111	7 (29)
Treatment, n (%)	
Beta blockers	21 (88)
ACE inhibitor/ARB/ARNI	24 (100)
MRA	19 (79)
Diuretic	14 (58)
SGLT2 inhibitor	14 (58)
Pacemaker, n (%)	
No	3 (12)
ICD	13 (54)
CRT	8 (33)
Platelet inhibitors, n (%)	14 (58)
Vital signs and biomarkers	
Heart rate, bpm	63±10
Systolic BP, mmHg	124±19
Diastolic BP, mmHg	75±10
eGFR, mL·min <sup>-1</sup> ·1.73 m <sup>-2</sup>	50 (38, 61)
HbA1c, %	5.8 (5.4, 5.9)
Hemoglobin, g/dL	14.5 (13.7, 14.9)

Values are mean±SD, median (interquartile range), or number (percentage). ACE indicates angiotensin-converting enzyme; AF (parox), atrial fibrillation (paroxysmal); ARB, angiotensin-2 receptor blockers; ARNI, angiotensin receptor/neprilysin inhibitor; BMI, body mass index; BP, blood pressure; CRT, cardiac resynchronization therapy; eGFR, estimated glomerular filtration rate; HbA1c, glycated hemoglobin; HF, heart failure; ICD, implantable cardioverter defibrillator; IHD, ischemic heart disease; LVEF, left ventricle ejection fraction; MRA, mineralocorticoid receptor antagonist; NT-proBNP, N-terminal pro-B-type natriuretic peptide; NYHA, New York Heart Association; and SGLT2, sodium-glucose cotransporter 2.

# Effect of KE on Trough Resting Hemodynamic Parameters

Resting CO was higher after 14-day treatment with KE compared with the IC drink ( $5.2\pm1.1$  L/min versus  $5.0\pm1.1$  L/min; difference, 0.3 L/min [95% CI, 0.1–0.5]; *P*=0.01; Table 2; Figure 2A).

KE treatment was associated with a lower trough PCWP (8±3 mmHg versus 11±3 mmHg; difference, -2 mm Hg [95% CI, -4 to -1]; P=0.001) and PCWP/CO ratio (P<0.001) compared with IC (Figure 2B and 2C). RAP and mPAP were also lower (Table 2). Lower SVR and a trend toward lower Ea (P=0.068) were observed. Resting HR, RPP, and loadindependent measures of LV systolic function, including preload recruitable stroke work, LV stroke work normalized to end-diastolic volume, and Ees, did not differ between treatments during the trough assessment. There were no significant interactions between treatment sequence and between-treatment differences on hemodynamic parameters (Table S2; Figure S2). Patients with ischemic HF showed a greater between-treatment difference in CO during trough levels compared with patients with nonischemic HF  $(P_{\text{interaction}}=0.03).$ 

Fasting circulating 3-OHB was 2-fold higher after the 14-day KE treatment period (85 µmol/L [95% Cl, 54–131]) compared with randomization values (43 µmol/L [95% Cl, 32–57]) and the IC period (42 µmol/L [95% Cl, 33–55]; P<0.001; Table 3). The 3-OHB levels were not influenced by body mass index ( $P_{\text{interaction}}$ =0.711) or SGLT2 inhibitor treatment ( $P_{\text{interaction}}$ =0.550; Figure 2D). The impact of KE treatment on CO and PCWP remained consistent across exploratory subgroups, including SGLT2 inhibitor treatment (Figure 2E), sex, age, body mass index, blood pressure, and disease severity (Figure S3). In addition, hemodynamics were not affected by the change in trough 3-OHB levels (Table S3).

#### Effect of KE on Hemodynamics After Dosing

KE dosing increased circulating 3-OHB from 104  $\mu$ mol/L (95% Cl, 74–146) to 2419  $\mu$ mol/L (95% Cl, 1859–3169  $\mu$ mol/L) within the first hour (*P*<0.001 versus IC; Figure 3A). Four hours after intake, the mean levels were 437  $\mu$ mol/L (95% Cl, 315–607; *P*<0.001 versus IC). CO remained higher, with a 4-hour mean value of 5.5±1.0 L/min compared with 5.1±1.0 L/min during IC (*P*<0.001; Table 2); the greatest difference occurred 1 hour after KE ingestion (Figure 3B). Svo<sub>2</sub> increased after KE intake, consistent with the increase in O<sub>2</sub> delivery and CO (Figure 3C).

RAP, mPAP, PCWP, and PCWP/CO remained significantly lower after KE dosing up to 4 hours after intake, with maximal effects observed after 1 hour (Table 2; Figure 3; Figure S4). In addition, Ea and SVR were lower after KE dosing, whereas HR was slightly higher compared with IC ( $65\pm 8$  bpm versus  $62\pm 8$ bpm; difference, 4 bpm [95% CI, 1-6]; *P*=0.003). Meanwhile, RPP remained similar between treatments. LV contractility assessed by preload recruitable stroke work and LV stroke work normalized to

#### Table 2. Resting Hemodynamic Parameters at Trough Level and After Dosing

	Trough level			After dosing				
	Ketone ester	Isocaloric comparator	Pairwise difference (95% Cl)	P value	Ketone ester	Isocaloric comparator	Pairwise difference (95% Cl)	P value
Integrated function								
CO, L/min	5.2±1.1	5.0±1.1	0.3 (0.1, 0.5)	0.014	5.5±1.0	5.1±1.0	0.4 (0.3, 0.6)	<0.001
Cardiac index, L⋅min <sup>-1</sup> ⋅m <sup>-2</sup>	2.5±0.4	2.4±0.4	0.1 (0.0, 0.2)	0.013	2.6±0.4	2.4±0.4	0.2 (0.1, 0.3)	<0.001
Stroke volume, mL	84±19	83±22	1 (—5, 7)	0.706	86±18	83±19	2 (—1, 6)	0.144
HR, bpm	63±9	62±9	2 (—1, 5)	0.149	65±8	62±8	4 (1, 6)	0.003
Systolic BP, mmHg	116±17	122±22	-6 (-13, 1)	0.078	115±17	120±21	-5 (-11, 1)	0.116
Diastolic BP, mm Hg	69±11	69±12	0 (-3, 4)	0.922	69±10	70±11	-1 (-4, 1)	0.351
Sao <sub>2</sub> , %	97±1	96±2	0 (—1, 1)	0.475	96±2	96±2	0 (—1, 0)	0.345
Svo <sub>2</sub> , %	67±4	68±4	-1 (-2, 0)	0.196	68±5	67±5	1 (0, 2)	0.008
AVo <sub>2</sub> difference, mL/dL	5.1±0.7	5.4±0.6	-0.3 (-0.6, 0.0)	0.070	5.1±0.6	5.3±0.8	-0.3 (-0.5, -0.1)	0.004
RPP, mm Hg·bpm	7389±1778	7517±1753	140 (–448, 728)	0.626	7533±1569	7464±1772	66 (—446, 579)	0.790
Central pressures								
PCWP, mm Hg	8±3	11±3	-2 (-4, -1)	0.001	8±3	10±3	-2 (-3, -2)	<0.001
mPAP, mm Hg	16±4	17±4	-1 (-2, 0)	0.049	15±4	16±4	-2 (-2, -1)	0.002
RAP, mm Hg	2±2	3±2	-1 (-2, 0)	0.033	2±2	3±2	-1.3 (-2.1, -0.5)	0.002
PCWP/CO, mmHg·L <sup>-1</sup> ·min <sup>-1</sup>	1.7±0.8	2.3±0.9	-0.6 (-0.8, -0.4)	<0.001	1.5±0.8	2.2±0.9	-0.6 (-0.8, -0.5)	<0.001
Vascular function		-	_		-			
SVR, dynes·s/ cm⁵	1298±294	1385±298	-89 (-164, -14)	0.022	1241±269	1363±302	—120 (—180, —61)	<0.001
PVR, dynes·s/ cm⁵	117±42	104±40	12 (—6, 29)	0.183	100±36	96±41	5 (—7, 16)	0.440
Ea, mm Hg/mL	1.26 (1.12, 1.42)	1.35 (1.21, 1.529)	-0.09 (-0.20, -0.01)*	0.068	1.23 (1.10, 1.36)	1.31 (1.18, 1.46)	0.08 (0.15,0.01)*	0.02
LV systolic function								
PRSW, g/cm <sup>2</sup>	88±25	86±31	2 (—7, 11)	0.627	91±28	86±26	5 (0, 9)	0.03
LVSW/EDV, g/mL	0.38 (0.32, 0.44)	0.34 (0.29, 0.40)	0.03 (0.0, 0.06)*	0.077	0.40 (0.34, 0.47)	0.34 (0.29, 0.40)	0.05 (0.03, 0.08)*	<0.001
Ees, mmHg/mL	0.74±0.28	0.71±0.25	0.01 (-0.11, 0.14)	0.804	0.71±0.27	0.69±0.22	0.04 (-0.04, 0.12)	0.331
Ea/Ees	1.9±0.5	2.0±0.5	-0.2 (-0.4, 0.0)	0.120	1.8 (1.6, 2.0)	2.0 (1.8, 2.2)	-0.2 (-0.3, -0.1)*	0.02
LV diastolic function								
V <sub>15</sub> , mL	258±86	258±80	1 (—15, 16)	0.928	263±85	268±77	-6 (-15, 4)	0.216
V <sub>30</sub> , mL	288±96	287±89	2 (—16, 20)	0.834	294±96	298±86	-5 (-15, 6)	0.364
LV stiffness $\beta$	6.4±0.3	6.6±0.3	-0.2 (-0.3, -0.1)	0.002	6.3±0.4	6.6±0.3	-0.3 (-0.4, -0.2)	<0.001

Values are mean±SD or geometric mean (95% CI) for each treatment and between-treatment pairwise comparison (95% CI) and associated *P* values from a mixed model, which incorporated repeated measurements for after dosing analysis.

AVo<sub>2</sub> difference indicates arteriovenous oxygen difference; BP, blood pressure; CO, cardiac output; Ea, systemic effective arterial elastance; Ea/Ees, vascularventricular coupling; EDV, end-diastolic volume; Ees, end-systolic elastance; HR, heart rate; LV, left ventricular; LVSW, left ventricular stroke work; MAP, mean arterial pressure; mPAP, mean pulmonary arterial pressure; PA, pulmonary arterial; PCWP, pulmonary capillary wedge pressure; PRSW, preload recruitable stroke work; PVR, pulmonary vascular resistance; RAP, right atrial pressure; RPP, rate-pressure product; Sao<sub>2</sub>, arterial oxygen saturation; Svo<sub>2</sub>, mixed venous saturation; SVR, systemic vascular resistance; V<sub>15</sub>, left ventricular end-diastolic volume at a common left ventricular end-diastolic pressure of 15 mm Hg; and V<sub>30</sub>, left ventricular end-diastolic volume at a common left ventricular end-diastolic pressure of 30 mm Hg.

\*Pairwise difference (95% CI) derived from the geometric mean ratio.

end-diastolic volume was higher during KE dosing compared with IC, whereas Ees remained similar between treatments; Ea/Ees was slightly reduced.

No correlation was observed between peak circulating 3-OHB levels and between-treatment difference in CO (Table S3).



#### Figure 2. Hemodynamic parameters during KE and IC treatment.

Mean or geometric mean with bars indicating SE. **A**, Cardiac output (CO; P=0.014) was higher, and pulmonary capillary wedge pressure (PCWP; P=0.001; **B**) and PCWP/CO (**C**; P<0.001) were lower after 14-day treatment with ketone ester (KE) compared with the isocaloric comparator (IC). **D**, Circulating trough levels of 3-hydroxybutyrate (3-OHB) were similarly higher after 14-day KE treatment in patients with and without ongoing sodium-glucose cotransporter 2 inhibitor (SGLT2-inh) treatment. **E**, Forest plot displays the between-treatment pairwise comparisons (coefficients) and 95% CI in each subgroup, and *P* values indicate subgroup comparisons for interaction testing. There were no significant interactions between treatment with SGLT2 inhibitors and treatment response to KE on CO or PCWP at rest. **F**, The left ventricular (LV) end-diastolic pressure-volume relationship remained similar during each treatment, whereas KE improved LV unloading by reducing LV end-diastolic pressure, volume, and LV stiffness. **G**, Cardiac unloading was supported by a reduction in N-terminal pro-B-type natriuretic-peptide (NT-proBNP) during KE treatment compared with IC and measurements at randomization (P=0.010). NS indicates not statistically different. \* P<0.05 vs IC; \*\*\*P<0.001 vs IC.

#### Effect of KE on Cardiac Structure and LVEF

LVEF was higher after 14-day KE treatment compared with IC ( $37\pm7\%$  versus  $34\pm5\%$ ; difference, 2% [95%Cl, 1%-4%]; *P*=0.01; Table 3; Figure 3E). LVEDV and LV end-systolic volume were lower compared with the IC period. These findings were further supported by 3-dimensional echocardiography (available for 67%of participants; Table S4). LA volume was also lower ( $81\pm25$  mL versus  $93\pm32$  mL; difference, -12 mL [95% Cl, -21 to -3 mL]; *P*=0.02), accompanied by lower E/A. Global longitudinal strain, S'max, LV mass, E/e', and tricuspid annular peak systolic excursion did not differ at trough levels. Treatment sequence did not affect echocardiographic parameters (Table S5; Figure S2).

The LV EDPVR was similar after 14-day treatment with KE and IC (Table 2; Figure 2F). Even so, KE treatment was associated with lower LV stiffness  $\beta$  compared

with IC. Of importance, the relationship between LVEDV and estimated LVEDP shifted to a lower point on the EDPVR after 14-day KE treatment, in contrast to IC (Figure 2F).

After KE dosing, LVEF, SV, global longitudinal strain, and S'max improved compared with IC (Table 3; Figure 3). Moreover, diastolic parameters improved, evident by lower E/A, E/e<sup>-</sup>, and E deceleration slope, whereas LA reservoir and contractile strain, e<sup>-</sup>, and a<sup>-</sup> were enhanced (Table 3; Figure 3; Table S4).

#### Effect of KE on Biomarkers

NT-proBNPwas≈18%lowerafterKEtreatmentcompared with IC (pairwise difference, -98 ng/L [95% Cl, -185 to -23 ng/L]; P=0.01; Figure 2G). Markers of kidney function, hemoglobin, hematocrit, and platelets were similar in both treatment periods, whereas alanine transaminase

#### Table 3. Biomarkers, Quality of Life, and Echocardiographic Parameters

	Randomization	Ketone ester	Isocaloric comparator	Pairwise difference (95% CI)	P value
Biomarkers	1	1		I	
3-OHB, μmol/L	43 (32, 57)	85 (54, 131)	42 (33, 55)	42 (10, 85)*	<0.001
SGLT2 inhibitor-no	32 (26, 39)	93 (52, 168)	35 (19, 64)	58 (13, 148)	0.006
SGLT2 inhibitor-yes	52 (32, 84)	81 (50, 133)	52 (31, 85)	20 (-3, 59)	0.09
NT-proBNP, ng/L	549 (378, 796)	468 (331, 648)	568 (402, 787)	-98 (-185, -23)*	0.01
ALT, IU/L	28±12	23±8	26±11	-3 (-6, 0)	0.046
Cystatin C, mg/L	1.42±0.35	1.37±0.35	1.38±0.34	-0.01 (-0.05, 0.04)	0.734
eGFR, mL·min <sup>-1</sup> ·1.73 m <sup>-2</sup>	51±17	54±17	53±15	1 (2, 3)	0.480
Hemoglobin, g/dL	14.3±1.2	13.4±1.0	13.4±1.3	0.0 (-0.3, 0.3)	0.885
hs-cTnl, ng/L	15.1 (9.8, 23.1)	15.8 (10.4, 23.9)	14.2 (9.5, 21.6)	1.5 (0.2, 3.0)*	0.03
P-metanephrine, nmol/L	0.33±0.16	0.34±0.16	0.35±0.19	-0.01 (-0.05, 0.02)	0.330
P-normetanephrine, nmol/L	0.83±0.38	0.69±0.28	0.65±0.22	0.04 (-0.06, 0.13)	0.421
Weight, kg	89.9±17.6	89.3±17.2	89.4±17.5	-0.1 (-0.6, 0.4)	0.626
Plasma volume, mL	2841±439	3020±423	3042±423	—18 (—72, 35)	0.483
Quality of life					
Clinical summary	80.2±14.9	81.0±14.2	80.4±14.1	1.4 (-1.5, 4.3)	0.334
Physical limitation	79.5±17.6	82.2±17.1	77.3±16.7	5.7 (2.5, 8.9)	0.001
Symptom frequency	79.9±15.6	79.7±14.7	83.6±15.5	-3.1 (-7.4, 1.3)	0.163
Quality of life	76.7±18.2	75.5±16.2	79.5±16.6	-2.1 (-8.1, 4.0)	0.485
Social limitation	79.8±19.1	75.6±20.9	82.3±19.8	-5.6 (-11.6, 0.4)	0.065
Overall summary	79.3±14.0	78.5±14.4	80.8±13.4	-1.0 (-4.1, 2.1)	0.508
Echocardiographic parameters, trough leve	el			<u> </u>	
LVEF, %	33±7	37±7	34±5	2 (1, 4)	0.01
LVEDV, mL	244±81	233±77	243±76	-10 (-22, 2)	0.109
LVESV, mL	165±61	149±56	161±55	-11 (-22, -1)	0.03
LA volume, mL	91±23	81±25	93±32	-12 (-21, -3)	0.02
LV mass, g	243±77	272±74	269±82	1 (—13, 15)	0.848
GLS, %	-8.6±2.6	-9.8±2.4	-9.7±2.6	-0.2 (-0.9, 0.6)	0.612
S'max, cm/s	3.6±0.7	4.2±1.1	4.1±1.0	0.2 (-0.1, 0.5)	0.249
E/A	1.0±0.4	0.9±0.4	1.0±0.4	-0.1 (-0.2, 0.0)	0.01
Lateral E/e′	20.8±11.9	20.0±7.6	22.6±10.1	-2.7 (-6.5, 1.1)	0.148
TAPSE, mm	16.5±3.6	19.7±4.4	18.8±4.1	0.9 (-0.7, 2.5)	0.235
Echocardiographic parameters after dosin	g				
LVEF, %		37±7	34±6	3 (2, 4)	<0.001
SV, mL†		78±16	74±16	5 (2, 9)	0.003
GLS, %		-10.2±2.5	-9.6±2.2	-0.6 (-0.9, -0.3)	<0.001
S'max, cm/s		4.5±1.2	4.1±1.1	0.4 (0.3, 0.6)	<0.001
E/A		0.9±0.4	1.0±0.5	-0.1 (-0.2, 0.0)	0.002
Lateral E/e′		18.8±9.4	22.5±9.8	-4.3 (-6.3, -2.3)	<0.001
E deceleration time, ms		214±57	202±58	11 (-1, 23)	0.073
E deceleration slope, m/s		3.1±1.4	3.5±1.5	-0.4 (-0.6, -0.1)	0.005
LA reservoir strain, %		21.6±5.9	19.5±5.9	2.3 (1.2, 3.4)	<0.001
LA contractile strain, %		-8.5±6.1	-6.8±5.8	-1.5 (-2.7, -0.2)	0.02
LA conduit strain, %		-13.0±5.6	-12.8±6.1	-0.8 (-2.1, 0.6)	0.273
TAPSE, mm		19.8±3.9	19.0±4.2	0.7 (0.0, 1.4)	0.058

Values are mean±SD or geometric mean (95% CI) for each treatment and between-treatment pairwise comparison (95% CI) and associated *P* values from a mixed model, which incorporated repeated measurements for after dosing analysis.

ALT indicates alanine transaminase; E/e<sup>-</sup>, ratio of E and early diastolic mitral plane tissue velocity; eGFR, estimated glomerular filtration rate (estimated from cystatin C); GLS, global longitudinal strain; hs-cTnl, high-sensitivity cardiac troponin I; IC, isocaloric comparator; KCCO-12, 12-item version of Kansas City Cardiomyopathy Questionnaire; KE, ketone ester; LA, left atrial; LV, left ventricular; LVEDV, left ventricular end-diastolic volume; LVEF, LV ejection fraction; LVESV, left ventricular end-systolic volume; NT-proBNP, N-terminal pro-B-type natriuretic-peptide; SGLT2, sodium-glucose cotransporter 2; S'max, systolic mitral plane peak excursion velocity (6-point average); SV, stroke volume; TAPSE, tricuspid annular peak systolic excursion; and 3-OHB, 3-hydroxybutyrate.

\*Pairwise difference (95% CI) derived from the geometric mean ratio.

†Derived from the LV outflow tract velocity time integral.



Figure 3. Between-treatment difference in circulating 3-OHB, hemodynamic, and echocardiographic parameters at trough levels and after dosing.

Measurements after 14-day treatment with ketone ester (KE) and isocaloric comparator (IC) at trough levels (time=0) and after dosing. **A**, The 14-day KE treatment increased circulating 3-hydroxybutyrate (3-OHB) both at trough levels and after dosing. **B**, Trough cardiac output was higher and increased further after KE dosing (P<0.001). **C**, Mixed venous saturation (Svo<sub>2</sub>) increased after KE dosing (P=0.008). **D**, KE treatment was associated with lower pulmonary capillary wedge pressure (PCWP) at trough levels and further after KE dosing (P<0.001). **E**, Trough left ventricular ejection fraction (LVEF) was higher and remained elevated after KE dosing (P<0.001). **F** through **H**, Global longitudinal strain (GLS), ratio of E and early diastolic mitral plane tissue velocity (E/e<sup>°</sup>), and left atrial (LA) reservoir strain improved after KE dosing. Data are shown as mean or geometric mean, with bars indicating SEM. †P<0.05 vs IC.

was slightly lower after KE treatment (Table 3). Methoxyadrenalin and methoxynoradrenalin remained similar between treatments. Trough bicarbonate levels and pH were slightly higher after KE treatment compared with IC. These parameters initially decreased after acute KE intake and returned to similar levels as IC during the 4-hour observation period (Table S6; Figure S5). Furthermore, potassium and lactate were slightly higher after KE dosing compared with IC. Body weight and estimated plasma volume did not differ between both treatment periods (Table 3).

## **Quality of Life**

The KCCQ-12 clinical summary score remained similar between the treatments (P=0.334; Table 3), whereas the physical limitation score (P=0.001) was higher after KE treatment. A trend toward lower social limitation was observed during KE treatment (Table 3).

## Effect of KE on Hemodynamic Parameters During Exercise

Thirty minutes after an oral KE bolus, circulating 3-OHB increased to  $1849\pm800 \mu mol/L$  and remained elevated throughout peak exercise ( $1754\pm627 \mu mol/L$ ); in contrast, circulating 3-OHB remained low at  $58\pm68 \mu mol/L$  after IC (P<0.001; Table 4). Low steady-state CO was higher after KE treatment compared with IC, whereas low and moderate steady-state PCWP and PCWP/CO ratio were lower (Figure 4; Table S7). Mean exercise values for RAP and mPAP were also lower after KE compared with IC (Table 4). Hemodynamic and physiologic parameters remained similar between treatments at submaximal and peak exercise (Table 4; Table S7).

## Safety and Compliance

Compliance was good during both study periods (Table S8). KE treatment was well tolerated, and no serious

#### Table 4. End-Point Parameters During Exercise

	Ketone ester	Isocaloric comparator	Pairwise difference (95% CI)	P value				
Invasive hemodynamics								
CO, L/min								
All stages	7.6 (6.9, 8.3)	7.2 (6.5, 7.8)	0.5 (0.1, 0.8)*	0.017				
Peak	10.7 (9.6, 11.9)	10.7 (9.7, 12.0)	-0.1 (-1.0, 1.0)*	0.656				
Peak <sub>Direct Fick</sub>	11.1 (9.7, 12.6)	10.8 (9.5, 12.2)	0.3 (-0.7, 1.4)*	0.515				
PCWP, mm Hg	ł	ł						
All stages	17±1	20±1	-3 (-5, -1)	<0.001				
Peak	29±9	31±10	-2 (-5, 1)	0.168				
mPAP, mm Hg								
All stages	26±1	27±1	-1 (-2, 0)	0.021				
Peak	36±7	37±7	-3 (-6, 1)	0.110				
RAP, mm Hg								
All stages	4±1	5±1	-1 (-2, 0)	0.012				
Peak	8±5	9±5	-1 (-3, 1)	0.315				
PCWP/CO	1	I	1	1				
All stages	2.2±0.3	2.8±2.3	-0.6 (-0.8, -0.4)	<0.001				
Peak	2.9±1.4	3.0±1.6	-0.4 (-0.8, 0.1)	0.097				
Svo <sub>2</sub> , %	1	ł						
All stages	49±2	46±2	3 (0, 5)	0.022				
Peak	31±7	28±9	3 (-2, 8)	0.197				
AVo <sub>2</sub> difference, mL/dL	1	1	1					
All stages	10.3±0.3	10.5±0.3	-0.2 (-0.7, 0.4)	0.533				
Peak	13.1±1.7	13.2±1.9	0.0 (-0.9, 0.7)	0.817				
Exercise parameters								
Maximum load, W	75 (50 to 100)	75 (50 to 100)		0.717				
Peak Vo <sub>2</sub> , mL·kg <sup>-1</sup> ·min <sup>-1</sup>	17.4±4.7	17.3±4.8	-0.1 (-0.9, 0.7)	0.757				
METs	5.0±1.4	4.9±1.4	0.0 (-0.2, 0.2)	0.898				
HR, bpm	·	·						
All stages	92±3	88±3	3 (-2, 9)	0.205				
Peak	111±25	107±27	4 (6, 13)	0.436				
MAP, mm Hg	·	·						
All stages	98±4	98±4	0 (9, 8)	0.936				
Peak	110±29	107±22	2 (-12, 16)	0.750				
RPP, mm Hg·bpm								
All stages	13817±6403	12719±5277	794 (—732, 2321)	0.304				
Peak	18426±7857	17064±5999	1159 (—2676, 4995)	0.532				
Metabolic parameters								
3-OHB, μmol/L								
Before exercise	1849±800	58±68	1791 (1423, 2159)	<0.001				
Peak	1754±672	57±64	1696 (1392, 2000)	<0.001				
Lactate, mmol/L								
All stages	2.5±0.3	2.4±0.3	0.1 (-0.4, 0.6)	0.676				
Peak	5.1±3.1	5.6±2.4	-0.4 (-1.1, 0.4)	0.304				

Values are mean±SD or geometric mean (95% CI) for each treatment and between-treatment pairwise comparison (95% CI) and associated *P* values from a mixed model, which incorporated repeated measurements. The "all stages" measurements represent the mean treatment effects through all stages of exercise and are expressed as mean±SEM.

AVo<sub>2</sub> difference indicates arteriovenous oxygen difference; CO, cardiac output; HR, heart rate; MAP, mean arterial pressure; MET, metabolic equivalent; mPAP, mean pulmonary arterial pressure; PCWP, pulmonary capillary wedge pressure; RAP, right atrial pressure; RPP, rate-pressure product; Svo<sub>2</sub>, mixed venous saturation; 3-OHB, 3-hydroxybutyrate; and Vo<sub>2</sub>, oxygen uptake during inspiration.

\*Pairwise difference (95% CI) derived from the geometric mean ratio.



#### Figure 4. Between-treatment differences in hemodynamic parameters during exercise testing from rest to peak.

Mean with bars indicating SEM. Treatment with ketone ester increased mean exercise cardiac output (CO; **A**) and reduced mean exercise pulmonary capillary wedge pressure (PCWP; *P*<0.001; **B**) and PCWP/ CO (*P*<0.001; **C**). \*Semisupine rest just before exercise initiation. †*P*<0.05 vs isocaloric comparator.

adverse events were recorded throughout the study period (Table S8). During treatment with KE, more episodes of mild fatigue (4 versus 0), headache (3 versus 0), abdominal pain (2 versus 0), and reduced appetite (2 versus 0) occurred. IC treatment was associated with more episodes of diarrhea (4 versus 2) and cough (2 versus 0). High-sensitivity cardiac troponin I was higher after KE treatment compared with IC (difference, 1.5 ng/L [95% CI, 0.2–3.0 ng/L]; *P*=0.03), although it was not different from randomization values (*P*=0.394; Table 3).

## DISCUSSION

This study is the first randomized controlled trial to evaluate the cardiovascular effects of prolonged KE treatment in patients with stable HFrEF. Our findings demonstrated favorable effects of a 14-day KE treatment regimen on hemodynamic measurements both at rest and during exercise. First, resting CO and LVEF were higher after KE treatment, accompanied by lower measures of LV congestion that drive symptoms and disease severity in HFrEF, including NT-proBNP, PCWP, and both LA and LV volumes, highlighting the beneficial unloading effect of KE. These salutary effects of KE were also maintained during exercise; patients reported less physical limitations during the 14-day KE treatment period. KE administration proved to be safe and well tolerated among participants, with only minor adverse events being reported. These data call for larger trials testing the efficacy and safety of long-term KE administration.

## Therapeutic Potential of Ketone Bodies in HF

Elevated circulating levels of 3-OHB have been associated with a poorer prognosis in patients with both acute and chronic cardiovascular conditions.<sup>27,28</sup> Furthermore, human studies have unveiled a parallel upregulation in key cardiac ketone-utilizing enzymes in severely failing hearts.<sup>5,9</sup> Compelling evidence emphasizes a metabolic shift toward augmented ketone utilization as a possible adaptive feature in HF pathophysiology.<sup>3</sup> In addition, a growing body of evidence suggests that ketone treatment exerts beneficial effects on cardiac function.<sup>29</sup> Our group has previously demonstrated that short-term administration of 3-OHB increases CO and LVEF in a dose-dependent and reproducible manner in patients with HFrEF,<sup>10,30</sup> cardiogenic shock,<sup>11</sup> and various types of pulmonary hypertension.<sup>31</sup>

Until now, the therapeutic role of ketone bodies has been studied only during short-term administration in clinical human trials.<sup>10,32,33</sup> We performed a detailed hemodynamic assessment at plasma trough levels, revealing that a 14-day KE treatment compared with IC associated with improved cardiac function independently of changes in trough 3-OHB levels. This was accompanied by beneficial effects on markers of LV unloading and reverse remodeling, which occurred even in the presence of optimal guideline-recommended HFrEF treatment. In acute studies, similar hemodynamic results were present at much higher levels of 3-OHB (≈700 µmol/L versus  $\approx 100 \ \mu mol/L$  in the present study). Therefore, the enhanced cardiovascular function at trough levels is likely a result of the 14-day treatment period, indicating improved cardiac function and decongestion, rather than being directly related to the circulating levels of 3-OHB. These effects were even more substantial than those observed after 12-week treatment with SGLT2 inhibitors.<sup>34</sup> An important point is that we observed consistent response to KE in patients with or without chronic treatment with SGLT2 inhibitors. KE dosing intensified the between-treatment difference in hemodynamic parameters, mirroring elevated 3-OHB levels, and caused improvement in load-independent measures of LV contractility. Furthermore, KE dosing was associated with improved echocardiographic indices of both systolic and diastolic function, including lowered LA function and volume, which are associated with long-term survival.<sup>35</sup> Our findings indicate that 2-week KE treatment added to guideline-recommended HF therapy leads to sustained hemodynamic improvements that extend beyond the acute ketosis phase. Similar magnitudes of LV reverse remodeling are consistently correlated to improved clinical outcomes.<sup>36</sup> Thus, our findings could have implications for future treatment in patients with cardiac dysfunction.

We demonstrated a hemodynamic benefit of KE treatment during exercise. Filling pressures remained lower as exercise intensity increased, along with an improved PCWP/CO ratio, which encompasses the primary components of HFrEF pathophysiology. Similar to SGLT2 inhibitor treatment,<sup>34</sup> the unchanged peak CO during KE treatment suggests no enhancement of maximal cardiac contractile reserve. However, in line with previous reports on the hemodynamic response to short-term compared with long-term HF treatment,37 trials with longer followup may be necessary to fully capture significant myocardial structural changes to affect contractile reserve. Meanwhile, the hemodynamic effects were particularly pronounced during low to moderate exercise. Consistent with improved exercise hemodynamics, participants reported improvement in self-reported physical performance. KE treatment reduced NT-proBNP levels in just 14 days, a noteworthy finding linked to improved longterm prognosis.<sup>38</sup> Therefore, we have provided compelling evidence that 2-week KE treatment has beneficial effects on several end points known to affect HF outcomes. The present study extends previous results of an increased cardiac performance during ketone supplementation beyond acute intake. Larger, long-term studies and ascending-dose trials with more patient-centered outcomes are warranted to further investigate the therapeutic potential of KE treatment in patients with HFrEF.

#### **Mechanisms of Action**

Ketone bodies exert a wide range of pleiotropic effects in the heart and noncardiac tissues.<sup>25</sup> Preclinical experiments demonstrated that 3-OHB administration leads to both systemic vasorelaxation and enhanced cardiac contractility.<sup>39</sup> Each of these factors may contribute to the observed increase in CO. We observed a reduction in SVR, Ea, and ventricular volumes during the KE treatment period, supporting a potential direct vasorelaxant effect. In addition, indirect measures of contractile function improved (eg, preload recruitable stroke work and LV stroke work normalized to end-diastolic volume ratio), arguing against a pure vasorelaxant mechanism of effect.<sup>40</sup> Although KE dosing resulted in a minor increase in HR, it did not affect the RPP, suggesting myocardial oxygen demand remained unchanged. Of importance, our documented hemodynamic effects after 14-day KE treatment occurred without any significant difference in circulating metanephrines and even before KE dosing at low 3-OHB levels. There was no interaction between baseline MAP and KE for effects on CO or PCWP in the post hoc subgroup analysis (Figure S3). Thus, prolonged KE treatment seems to increase cardiac performance beyond the effects of acute ketosis-mediated vasorelaxation.

In patients with HFrEF, 3-OHB augments contractility in isolated perfused rat hearts and increases myocardial oxygen consumption, whereas cardiac efficiency remains unaltered.<sup>10,39</sup> However, these effects have been studied primarily in the acute state of ketosis. Therefore, prolonged KE treatment may plausibly restore myocardial adenosine triphosphate levels, as observed in animal studies,41 thereby improving contractile function without compromising myocardial energetics. It is intriguing that patients with ischemic HF demonstrated greater response on CO at trough levels compared with patients with nonischemic HF, which needs to be addressed in future trials. The pleiotropic vasorelaxant effects unload the heart, reducing myocardial oxygen demand, thus aiding cardiac function and vascular-ventricular coupling. Indeed, the last parameter was slightly reduced.

Prolonged ketosis may play a pivotal role in enhancing myocardial energetics by serving as an efficient alternative metabolic substrate for the failing heart.<sup>2,42</sup> Indeed, when 3-OHB levels are raised through KE administration, it becomes a major source for cardiac oxidative phosphorylation, and this effect is particularly pronounced in patients with HFrEF.<sup>7</sup> In the present study, we administered 4 daily doses of KE, determined through our dosing experiments to yield an anticipated mean 3-OHB level of  $\approx$ 1 to 2 mmol/L during both rest and exercise for at least 16 hours each day.<sup>12</sup> Thus, 3-OHB could become a major substrate for cardiac oxidative phosphorylation for at least  $\approx$ 70% of the 14-day treatment period, and the observed cardiac enhancement may stem from myocardial metabolic recovery and improved mechanoenergetic coupling.

## Safety

Few mild adverse events were reported. Two participants withdrew from the trial because of adverse events judged to be unrelated to the study treatment. Compliance was deemed to be excellent. Although there was a significant statistical difference in high-sensitivity cardiac troponin I levels between the KE and IC groups, the change from baseline within the KE group was minimal and not statistically significant. This safety issue obviously requires careful assessment during subsequent studies. Finally, the acid-base profile of sustained ketosis demonstrated only minor fluctuations after dosing and even slight alkalosis at trough levels, which may be an adaptive phenomenon.

## Limitations

One of the major limitations of this trial is not having baseline hemodynamic data against which to assess changes. Individual differences could potentially influence the acclimation to the invasive assessments, and serial hemodynamics can vary over time without intervention.43 To diminish these effects, we used a randomized cross-over design. A 14-day washout period was implemented between the treatment periods, representing >100 elimination half-lives, which should ensure no carryover or legacy effect.<sup>14</sup> Moreover, we adopted a mixed-effects model, adjusting for period and treatment sequence to adjust for acclimation effects that may occur between visits, and this model detected no significant cross-over effects on the study end points. Next, resting CO was chosen as the primary measure of effect, although exercise CO is closer associated with symptom burden and functional limitation in patients with CHF. Exercise CO was a predefined secondary end point.

A taste-matched non-KE IC served as a control to standardize caloric intake effects. We chose this as IC because a peroral meal intake is known to increase cardiac function per se.<sup>44</sup> Although this approach allowed a direct comparison of hemodynamic responses to KE, it did not account for a true placebo effect. The study inter-

vention was not weight adjusted, but KE dosing induced significant ketosis in all participants, underscoring its high bioavailability. Furthermore, hemodynamic responses did not significantly differ between participants with low and high body mass index. Taste-matched interventions with added stevia ensured palatability, and a 4-dose regimen was used for sustained ketosis.<sup>12-14</sup>

Resting and exercise hemodynamics were assessed in the supine position and semisupine position, respectively. It is important to note that PCWP is position dependent and may rise during supine exercise because of increased venous return, and supine exercise assessments might increase sensitivity.<sup>45</sup> However, the paired comparisons in our cross-over design possibly limit bias, and the agreement between supine and semisupine results bolsters confidence in our findings. Next, although 2 patients had LVEF >40% at randomization, this may be attributed to test-retest variability and day-to-day variations within the up to 4-week interval between screening and randomization.<sup>46</sup>

We excluded patients with diabetes because of the complex relationship among hyperglycemia, insulin resistance, and myocardial metabolic remodeling.<sup>47</sup> Diabetes is linked to reduced cardiac ketone utilization,<sup>48,49</sup> a crucial aspect of our investigation. By concentrating on patients with HFrEF without diabetes, we aimed to reduce confounding effects and gain clarity on the impact of KE on cardiovascular function in this specific population. Future trials should broaden inclusion criteria to encompass patients with diabetes for a more comprehensive understanding and broader applicability. Similar to previous trials, 20% declined participation after being briefed on study procedures (Figure S1).<sup>34</sup> Our baseline characteristics match those in prior HFrEF trials, supporting the relevance and broad applicability of our findings despite potential underrepresentation of frailer individuals.<sup>34,50</sup> Finally, all participants were White, and future trials should emphasize racial diversity.

#### Conclusions

Treatment with KE for 14 days was safe and led to significant and lasting benefits for patients with HFrEF, including improved cardiac function at rest in parallel with cardiac decongestion evidenced by hemodynamics, cardiac volumes, and NT-proBNP levels. These effects persisted during incremental exercise, and perceived physical limitations improved. This was achieved on top of optimal medical HF therapy and highlights sustained modulation of circulating ketone bodies as a promising therapeutic strategy for patients with HFrEF.

#### ARTICLE INFORMATION

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#### Disclosures

Dr Wiggers has been the principal investigator or a subinvestigator in studies involving the following pharmaceutical companies: MSD, Bayer, Daiichi-Sankyo, Novartis, Novo Nordisk, Sanofi-Aventis, and Pfizer. Dr Borlaug receives research support from the National Institutes of Health and the US Department of Defense, as well as research grant funding from AstraZeneca, Axon, GlaxoSmith-Kline, Medtronic, Mesoblast, Novo Nordisk, Rivus, and Tenax Therapeutics. Dr Borlaug has served as a consultant for Actelion, Amgen, Aria, Axon Therapies, BD, Boehringer Ingelheim, Cytokinetics, Edwards Lifesciences, Eli Lilly, Imbria, Janssen, Merck, Novo Nordisk, NGM, NXT, and VADovations, and is named inventor (US patent No. 10,307,179) for the tools and approach for a minimally invasive pericardial modification procedure to treat heart failure. The other authors report no conflicts.

#### **Supplemental Material**

Eligibility criteria Supplemental equations Tables S1–S8 Supplemental Figures S1–S5 Statistical analysis plan

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