1	Investigating the translational value of Periprosthetic Joint Infection (PJI) models to
2	determine the risk and severity of Staphylococcal biofilms.
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10	
11	Running Title: Translational Value of PJI Models in Assessing Staphylococcal Biofilm Risk

12 Abstract

With the advent of antibiotic-eluting polymeric materials for targeting recalcitrant infections, using 13 14 preclinical models to study biofilm is crucial for improving the treatment efficacy in periprosthetic joint infections. The stratification of risk and severity of infections is needed to develop an 15 effective clinical dosing framework with better outcomes. Here, using in-vivo and in-vitro 16 17 implant-associated infection models, we demonstrate that methicillin-sensitive and resistant 18 Staphylococcus aureus (MSSA and MRSA) have model-dependent distinct implant and peri-19 implant tissue colonization patterns. The maturity of biofilms and the location (implant vs tissue) 20 were found to influence the antibiotic susceptibility evolution profiles of MSSA and MRSA and 21 the models could capture the differing host-microbe interactions in vivo. Gene expression studies

revealed the molecular heterogeneity of colonizing bacterial populations. The comparison and
stratification of the risk and severity of infection across different preclinical models provided in
this study can guide clinical dosing to effectively prevent or treat PJI.

25 Keywords

Implant infections, in vivo models, *Staphylococcus aureus*, antibiotic resistance, drug delivery
devices

28 Introduction

Periprosthetic joint infections (PJIs) represent a formidable challenge to the success of total joint replacements. These infections, characterized by microbial colonization on the implant materials and the surrounding tissue, not only compromise the intended function of the implants but also significantly reduce the quality of life of the patients [1–3]. In addition, they are increasingly hard to treat with recurrent infections causing significantly increased morbidity and mortality [4].

34 Currently, a generalized approach is used in treating suspected PJI, where one of several avenues of treatment is utilized [5,6]. While systemic antibiotics are the main tool in addressing bacterial 35 infections, the implants can be retained or replaced in a one- or two-stage revision [7]. Locally, 36 the elution of aminoglycosides together with vancomycin from antibiotic-eluting bone cement is 37 38 used to support the role of systemic antibiotics [8]. It is believed that the high concentrations 39 achieved by local administration/elution of drugs will lead to higher efficacy in addressing joint infections while reducing systemic side effects of antibiotics such as nephrotoxicity. However, 40 there is little conclusive information on the precise effects of local antibiotic administration and 41 42 their efficacy in preventing/treating PJI and there is no specific dosing guidance for local 43 antibiotics [9–13]. Commonly used dosing for prophylaxis may lead to the worsening of outcomes

44 [14]. Thus, there is a great need to determine the dosing requirements for antibiotics to prevent45 and treat PJI locally.

46 Methicillin-susceptible (MSSA) and methicillin-resistant (MRSA) S. aureus have been 47 established as the most significant causative organisms of periprosthetic joint infections [15,16]. These bacterial strains adhere to inanimate surfaces and the surrounding tissue using specific cell 48 49 surface proteins and adhesins such as elastin-binding proteins[17]. Further aggregation triggers bacterial regulatory pathways promoting the production of extracellular polymeric substances such 50 as polysaccharide intercellular adhesion (PIA) regulated by *ica* operon) that establishes robust 51 52 biofilms[18]. The microbial communities in biofilm status undergo significant molecular, physiological, and morphological changes which provide bacteria with resilience against host 53 defenses and conventional antibiotics such as gentamicin[19,20]. These structured microbial 54 communities, with their cell wall modifications and an intricate architecture comprising live and 55 56 dead bacterial aggregates together with host cell components embedded in a complex polymeric 57 matrix, create diffusion barriers leading to a high tolerance to antibiotics and host factors[21]. They also serve as a reservoir for maintaining the hardy bacterial populations that can persist in 58 the presence of antibiotics and infiltrate deep tissue spaces [22,23]. Heterogenous subpopulations 59 60 of bacteria in the biofilm present varying phenotypic and genotypic profiles within the same biomass. These subpopulations differentially specialize in pathogenesis, drug resistance, and 61 62 evading immune responses [24,25]. Due to the reasons mentioned above, antibiotic-based treatments are often not effective against these diverse populations and physical removal (radical 63 64 debridement) is necessary for definitive treatment. Despite debridement, antibiotic lavage, 65 replacement of implant components, and local elution from antibiotic-eluting bone cement, failure rates are high in PJI[26–28]. 66

While the significance of biofilms in periprosthetic infections 67 ioint is acknowledged[29,30], there remains a substantial knowledge gap concerning the infection 68 dynamics, phenotypic and genotypic heterogeneity, and the time-dependent properties of antibiotic 69 susceptibility. In-vitro models may not capture the biofilm properties in response to a realistic 70 71 environment, despite providing a straightforward approach to studying biofilms. More recently, 72 studies have attempted to characterize and correlate biofilm formation and antibiotic susceptibility 73 of clinical isolates to outcomes of PJI[31–33]. However, the characterization of ex vivo-grown 74 bacterial cultures is also limited in capturing the susceptibility dynamics in vivo. There is also little 75 information on emerging heterogenous populations based on colonizing location (implant surface vs tissue), vascularization, and biofilm physiology. There is a need for a better understanding of 76 the infecting organism together with their innate and adaptive behavior within a PJI setting to 77 determine the risk and severity of the infection. This crucial knowledge can aid in the design and 78 development of multi-faceted drug-eluting materials and treatment algorithms. 79

80 Our long-term goal is to design antibiotic-eluting polymeric materials that can be more efficiently used locally to prevent and treat PJI. As material advancements and preclinical research 81 82 enhance our understanding and prevention of periprosthetic joint infections (PJI), notable 83 discrepancies are exposed between promising preclinical findings and clinical testing outcomes. One factor contributing to the lack of predictability of the clinical outcomes associated with PJI 84 85 treatment is the variability in the bacterial strain and the timing of treatment based on clinical symptoms[34,35]. In this study, we developed an in-vitro implant material infection model, a 86 87 subcutaneous implantation and infection model, and a periprosthetic joint infection model in the rat, modeling S. aureus infections with varying risk and severity. To capture the range of 88 therapeutic dosing dependent on bacterial evolution, we proposed to use two S. aureus bacterial 89

strains, with and without inherent resistance to the aminoglycoside gentamicin. These preclinical 90 models were designed to monitor bacterial dynamics and bacterial resistance evolution to 91 gentamicin and to understand the molecular events within biofilms contributing to resistance and 92 persistence. We hypothesized that we could capture a 'therapeutic window', providing a guideline 93 for local dosing to prevent or treat PJI for 'low-risk' and 'high-risk' infections clinically. A 94 95 secondary goal was to compare the bacterial dynamics and resistance evolution in vitro and in vivo in preclinical models to enhance the translational value of antibacterial testing of antibiotic-eluting 96 97 polymeric materials.

98 **RESULTS**

99 MSSA and MRSA demonstrate distinct colonization patterns in in-vivo and in-vitro models.

The biofilm localization and growth dynamics of MSSA and MRSA were determined on 100 both the implant material and the peri-implant tissue from the subcutaneous and joint infection 101 102 models. In the subcutaneous model, the viability of implant-adherent bacteria recovered was 103 consistently 10³ CFU/mL for 21 days. There were≥2log more viable bacteria recovered from periimplant tissue samples, with the viable load being highest at POD 1 and 3 (10⁸ CFU/mL), which 104 was subsequently reduced by POD 21 (10⁵ CFU/mL) (Figure 1A). SEM observations confirmed 105 poor bacterial presence/viability on the surface of the implanted plates. MRSA demonstrated more 106 bacterial aggregates and biofilm matrix structures on SS plates when compared to MSSA (Figure 107 108 2A).

High MSSA and MRSA bacterial viability ($>5 \times 10^5$ CFU/mL) was observed in the joint infection model on both screw implant and peri-implant tissue (Figure 1B). The MRSA on the screw implant showed lower viability (~2 log) on POD 3 compared to MSSA but the bacterial load was similar

112	for both strains at POD 7. The bacterial viability of MSSA recovered from the peri-implant femoral
113	and tibial tissues did not show any differences. However, the viable MRSA recovered from the
114	peri-implant (tibia) tissue was consistent over time (~ 10^7 CFU/mL) when compared to that of peri-
115	implant femoral tissue which steadily decreased over the period of 7 days (from $>5 \times 10^7$ to 1×10^6
116	CFU/mL). SEM confirmed increased bacterial adherence to the screw implant surface. Significant
117	adhesion and biofilm formation comprising varying cell morphologies and dense matrix
118	components were observed for MSSA on the implanted surface (Figure 2B).
119	In the in-vitro model, the viable bacteria recovered from MSSA-adhered SS plates and screws
120	increased from $\sim 10^5$ to $> 10^6$ CFU in 24 hours (Figure 1C). SEM observations showed significant
121	bacterial attachment on implant materials (SS plates and screws) which correlated with the
122	bacterial viability data (Figure 2B).
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Figure 1. Biofilm growth dynamics of MSSA and MRSA across in vivo and in vitro infection models (A) MSSA and MRSA count from stainless-steel implant and peri-implant tissue harvested at POD 1, 3, 7, and 21. (B) MSSA and MRSA count from stainless-steel screw implant and peri-implant femoral and tibial tissue harvested at POD 1, 3, and 7. (C) Adherent MSSA and MRSA bacteria count from in-vitro stainless-steel plate and screw culture at 6 and 24 hours. Bacterial viability data from tissue samples were calculated by normalizing to the respective weight of tissue retrieved. Error bars represent the standard deviation (n=2 for implant, n=4 for tissue culture in (A), n=1 for screw implant, n=3 for tissue culture in (B) and n=3 in (C)). n indicates the number of samples.



Figure 2: *S. aureus* adhesion on implant materials retrieved from in vivo and in vitro models. Scanning electron micrographs of A) MSSA and MRSA adhered to implanted stainless-steel materials retrieved on POD3 from in vivo infection models and B) Established (24 hours) biofilms of MSSA and MRSA adhered to stainless-steel materials in vitro. Scale bar = 10, 2, and 1µm for panel A and 10 and 2 µm for panel B respectively. Representative images of n=2 implants from subcutaneous and joint infection model; n=3 for in vitro model

127 Biofilm formation and dynamics influence bacterial susceptibility to gentamicin.

128	The susceptibility of tissue-colonized and implant-adherent MSSA and MRSA to the
129	antibiotic gentamicin was determined longitudinally. In the subcutaneous model, the implant-
130	adhered MRSA demonstrated antibiotic resistance as early as POD 1 (200 μ g/mL). At POD 3 and
131	until POD 21, the MBEC was found to be above the maximum concentration of gentamicin tested
132	in this study (>500 μ g/mL). Implant-adhered MSSA acquired resistance towards gentamicin by
133	POD 7 (100 μ g/mL), which remained the same until POD 21 (Figure 3A, Table 1). The tissue-
134	colonized MRSA and MSSA exhibited the highest resistance (>500 and 200 μ g/mL, respectively)
135	on POD 1 and 3, which was decreased by POD 21 (200 μ g/mL and no growth, respectively)
136	(Figure 3A, Table 1).

In the joint infection model, the implant-adhered MRSA demonstrated very high antibiotic resistance as early as POD 1 (>500 µg/mL) and stayed highly resistant until POD 21. In contrast, the implant adhered MSSA demonstrated a comparatively lower level of acquired resistance (\geq 10 µg/mL) at POD 1, 3, and 7 (Figure 3B). For the tissue colonized MSSA, the acquired gentamicin resistance was moderate and consistent over 7 days of infection (50 µg/mL). The tissue colonized MRSA showed the highest resistance on POD 1 and 3 and a subsequent reduction of MBEC to 300 µg/mL was observed by POD 7 (Table 2).

For the in-vitro SS plate model, both implant-adhered MSSA and MRSA demonstrated increased resistance due to biofilm formation within 6 hours (100 and >500 μ g/mL, respectively). The acquired resistance of MSSA was further increased to >500 μ g/mL within 24 hours, whereas MRSA stayed highly resistant throughout the study period (Figure 3C, Table 3). For the in-vitro SS screw model, both 6 hours and 24 hours-grown biofilms of MSSA exposed to gentamicin were eradicated effectively with concentrations close to its planktonic MIC values (5 μ g/mL). The 6-

150 hour and 24-hour biofilms of MRSA grown on SS screws exhibited inherent resistance to



151 gentamicin (3log reduction only at 500 μ g/mL and no reduction until 500 μ g/mL, respectively).

Figure 3: Evolution of gentamicin susceptibility for MSSA and MRSA. Heat maps indicating the %growth frequency observed after 24 hours of indicated gentamicin concentration exposure for A) MSSA and MRSA adhered to the subcutaneously implanted stainless steel material retrieved on POD1,3,7and 21 (POD1,3,7 n=2; POD21 n=3) B) MSSA and MRSA colonizing peri-implant tissue retrieved on POD1,3,7 and 21 (POD1,3,7 n=4; POD21 n=2) C) Nascent (6 hours) and established (24 hours) biofilms of MSSA and MRSA adhered to stainless steel material in vitro (n=3). The susceptibility profiles of gentamicin for implant and tissue samples across the different models are presented in Tables 1-3.

Table 1: Subcutaneous infection model					
Infection	Source	MBEC gentamicin (µg/mL)			
		POD1	POD3	POD7	POD21
Low-risk MSSA	Implant	1	100	200	200
	Tissue	50	50	100	No growth
High-risk	Implant	200	500	>500	>500
MRSA	Tissue	>500	>500	>500	200

Table 2: Joint Int	Table 2: Joint Infection model			
Infection	Source	MBEC gentamicin (µg/mL)		
		POD1	POD3	POD7
Low-risk MSSA	Implant	>10	>10	10
	Tissue	50	50	50
High-risk	Implant	>500	>500	>500
MRSA	Tissue	>500	>500	300

Table 3: in vitro model				
Infection	Source	MBECgentamicin		
		Nascent (6hr)	Established (24hr)	
Low-risk MSSA	Implant	100	>500	
	Screw	5	5	
High-risk	Implant	>500	>500	
MRSA	Screw	500	>500	

152 Molecular responses of bacterial biofilms to their environment are strain-dependent.

The gene expression of MSSA and MRSA colonizing the implant materials (in vivo and in 153 154 vitro) and the peri-implant tissue were performed to reveal their molecular status. In the 155 subcutaneous model, the vraR gene expression for tissue colonized MSSA was elevated (>1.5log₁₀) for the length of the study. For MRSA, the *vraR* expression was not altered until POD 156 157 7, when the expression was deregulated but the expression was significantly increased on POD 21 158 (>1.5log₁₀). The *icaA* gene expression of MSSA was found to be largely unaltered until POD 21 159 where it was somewhat increased (~1log₁₀). For MRSA, the *icaA* gene was upregulated 160 significantly at POD 7 (>1 log₁₀) and remained elevated on POD 21. The *icaD* gene expression in both MSSA and MRSA was largely unaltered over the entire study period ($< 0.5 \log_{10}$). The *ebpS* 161 expression demonstrated a consistent increase for both MSSA and MRSA from POD 1 to POD 21 162 (>0.5 log₁₀) (Figure 4A). 163

In the joint infection model, the *vraR* expression for MSSA was found to be significantly 164 165 upregulated ($>2\log_{10}$) and the expression levels were highest on POD7 ($>3.5 \log_{10}$). For MRSA, *vraR* expression was slightly altered on POD 3 and 7 ($>0.5 \log_{10}$). The *icaA* and *icaD* genes for 166 167 MSSA were significantly upregulated on POD 3 and 7 (>1 log_{10}). For MRSA, the *icaA* expression was somewhat upregulated (~ $0.5 \log_{10}$) and the *icaD* expression was significantly upregulated until 168 POD 7 (>1 \log_{10}). The *ebpS* gene expression was significantly increased for MSSA with the 169 170 highest expression demonstrated on POD 3 and 7 (> $2 \log_{10}$). For MRSA, the *ebpS* gene expression 171 was increased ($\sim 1 \log_{10}$) with overall subdued gene expression in comparison to MSSA (Figure 172 4B).

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Figure 4: Regulation of stress responses, adhesion, and biofilm formation in tissue colonizing MSSA and MRSA in vivo. Gene expression analysis of A) MSSA and MRSA colonizing the peri-implant tissue retrieved on POD1,3,7 and 21 from rats subjected to subcutaneous infection and POD1,3,7 from rats subjected to joint infection. B) MSSA and MRSA biofilms adhered to the implant materials retrieved on POD 3 from in vivo models and from in vitro models at time points indicated. The relative gene expression was further normalized to the expression profile of planktonic *S. aureus* suspension. Error bars represent standard deviation (Subcutaneous model; n=3 (POD 1,3,7) n=10 and 11 for POD21 MSSA and MRSA respectively, Joint model; n=3 (POD 1,3,7) *Indicates p value <0.05

In the in-vitro model, the implant-adhered MSSA demonstrated downregulation of *vraR* and *ebpS* 174 genes ($-1.5 \log_{10}$) in nascent biofilms (6 hours) and the expression remained unaltered in 175 176 established biofilms (24 hours). For MRSA, both genes were significantly downregulated in nascent biofilms and the expression remained the same in established biofilms (>-1.5 \log_{10}). The 177 icaA and icaD expression was found to be drastically deregulated in both MSSA and MRSA 178 179 harvested from nascent and established biofilms (>-3 \log_{10}) (Figure 5). In the in-vivo models (subcutaneous and joint infection) the biofilm RNA extracted from implant materials demonstrated 180 strain-dependent expression of 16srRNA indicating the presence of bacteria on the surfaces. The 181 expression profile for the remaining genes was not observed. (Table 5, Supplementary File 1). 182



Figure 5: Regulation of stress responses, adhesion, and biofilm formation in implant colonizing MSSA and MRSA in vitro. Gene expression analysis of MSSA and MRSA biofilms adhered to the implant materials retrieved from the in vitro stainless-steel plates at time points indicated. Gene expression was normalized to 16srRNA expression. The gene expression was normalized to the planktonic *S. aureus* expression profiles. Error bars represent standard deviation (n=3). * Indicates p-value <0.05

183 Discussion

Periprosthetic joint infection (PJI) remains a crucial clinical challenge that impacts implant 184 185 longevity in patients, and results in high morbidity and mortality[37,38]. The persisting 186 populations of bacterial biofilms colonizing the implant surface and surrounding tissue increase the severity of PJI and elevate the risk of recurring infections[39]. A comprehensive understanding 187 188 of the biofilm establishment timeline, the evolution of drug resistance mechanisms, and the 189 emergence of heterogenous phenotypes and genotypes using suitable in vivo and in vitro PJI 190 models are key to devising effective eradication strategies that can curb bacterial resistance and 191 persistence[18,40,41]. In this study, we investigated and characterized the pathogenesis and 192 biofilm dynamics of a low-risk and high-risk infection in PJI. We aimed to longitudinally evaluate 193 the risk of infection by employing in-vivo and in-vitro models. Our goal in vivo was to characterize the bacteria more extensively by incorporating both longitudinal analysis as well as the 194 195 determination of resistance evolution. We aimed to elucidate the optimum therapy and therapeutic 196 window to maximize the eradication of the bacteria based on the severity of PJI, simulated by using two strains with varying resistance. Our goal in vitro was to understand the relevance of the 197 bacterial dynamics to in-vivo bacterial behavior and to determine methods to increase this 198 199 relevance.

Bacterial contamination of the prosthetic components often occurs during their implantation. Despite the wide acceptance of the seminal concept of 'race for the surface' between the bacteria and host, recent findings indicate that the severity of the resulting infection largely depends on the bacterial strain, bacterial load, and the environment[42–47]. In our study, the ATCC 12600 strain was selected to simulate an infection that is susceptible to commonly used antibiotics (low risk) in the clinic. L1101, a clinically isolated Mu50 strain with known resistance

to penicillin, aminoglycosides, and vancomycin, was used to simulate a 'high-risk' infection. To 206 establish sustainable infections in the rat model in vivo, a high bacterial inoculum (10^8 CFU) was 207 used[48], and an in-vitro implant infection (10⁵ CFU) was established to characterize bacterial 208 dynamics on surfaces. In the subcutaneous model, preferential colonization of the peri-implant 209 tissue was observed over the entire study period compared to consistently poor colonization of the 210 211 subcutaneous plate implant despite seeding with high bacterial density. Under SEM, the biofilms of MSSA were sparse compared to MRSA, which validates the advantage of inherent resistance 212 213 in evading host immune responses [49]. On the other hand, in the joint infection model, almost 214 equally high colonization was observed for MSSA and MRSA on the screw implants as well as the surrounding tibial and femoral tissues until POD 7. These observations indicate a strong 215 influence of the internal environment within the infected site in driving the biofilm growth 216 217 dynamics and in sustaining microbial viability [50]. Moreover, the microbial viability on contaminated implanted screws was ~3 logs higher than the subcutaneous implant, which was 218 219 corroborated by the SEM observations. The increased SA colonization on screw implants further 220 underlined the flexibility of the facultative anaerobe SA in thriving in hypoxic environments[51,52]. The biofilm growth dynamics observed on in-vitro screw and plate models 221 222 were largely comparable to their respective counterparts in the in-vivo models despite a lower 223 starting inoculum. The vital role of different factors such as the implant location, site of bacterial 224 colonization (implant vs tissue), the phenotypic and genotypic differences between bacteria, and 225 the presence of vascularization in influencing biofilm dynamics in vivo is emphasized. The 226 observations also facilitated the comparison between in-vivo and in-vitro infection and 227 underscored the need for more diverse models to capture the biofilm growth dynamics and strain-228 specific infection outcomes.

Timely determination of the identity and antibiotic susceptibility profile of contaminating 229 organisms can be crucial in making clinical dosing decisions to combat PJI[53–55]. Usually, the 230 minimum inhibitory concentration (MIC) of infecting organisms directs the empirical antibiotic 231 treatment regimens[13,56]. This approach, although widely accepted, relies on the assumption that 232 the infecting organisms exist in a 'planktonic state.' In reality, microbes form complex biofilms 233 234 during pathogenesis, wherein their physiology, drug susceptibility, and response to the host 235 environment continuously evolving, resulting in phenotypic are and genotypic heterogeneity[22,57,58]. Our study highlights the profound impact of biofilm formation on the 236 237 antibiotic susceptibility of MSSA and MRSA in periprosthetic joint infection. In the subcutaneous infection model, the tissue colonizing MSSA rapidly acquired gentamicin resistance as early as 238 239 POD 1 (50 \times MIC) and by POD7 required almost 100 \times MIC to observe >3log reduction. This provided a critical insight regarding the minimal antibiotic dose for bacterial eradication, even for 240 241 a low-risk susceptible strain of infecting bacteria. In comparison, the inherently resistant MRSA 242 expectedly remained highly resistant to gentamic (>500 \times MIC) until POD 7. Notably, the decrease in the bacterial viability and an increase in the susceptibility of the tissue-colonizing 243 MRSA on POD21 strongly suggests that the host response can be effective against a high-risk 244 245 organism and the timeline of the infection is a vital component in infection characterization. For the implant-adhered bacteria, the MSSA adhered to the implant, gradually acquired resistance, and 246 247 maintained viability over time, whereas the MRSA maintained its resistance profile throughout the 248 study period. This behavior contrasts with the bacteria colonizing the tissue where there is more variation in growth dynamics and susceptibility profiles of the bacterial population, presumably 249 250 due to an increased interaction with the host.

In the joint infection model, MSSA's susceptibility to gentamic evolved to $>10 \times MIC$ (implant-251 adhered) and $50 \times MIC$ (tissue-colonized) within 7 days of infection which was comparable to 252 previously published findings[59]. The high-risk MRSA showed consistently high resistance to 253 the antibiotic over the entire study period. Compared to the subcutaneous infection model, the 254 antibiotic susceptibility profile of MSSA in the joint infection model was not drastically elevated, 255 256 which further emphasized the model-based longitudinal differences in infection risk stratification. In contrast to the MSSA populations in vivo, implant adhered MSSA acquired rapid and higher 257 258 resistance to gentamic within 24 hours (500 \times MIC) in vitro. The data from plate-adhering 259 MSSA and MRSA were comparable to the bacterial profiles observed until POD 3 and POD 7, respectively, in the subcutaneous infection model despite the absence of host factors in the in-vitro 260 model. The in vitro infection study simulated more advantageous conditions for the bacteria in an 261 262 implant-associated infection without host immunity. Based on the antibiotic susceptibility data from the three different infection models, a high initial dosing of antibiotics (>100 \times MIC) is 263 264 required during the early stages of infection that should be sustained for a prolonged period irrespective of the susceptibility profiles of the bacterial strain. Antibiotic-loaded implant materials 265 that have been developed to locally release high antibiotic concentrations in a sustained manner 266 267 could be best suited for this application[60–62]. For inherently resistant infections, it is advisable to use stronger tools such as the synergistic use of antibiotic and non-antibiotic compounds to 268 269 enhance the antibacterial activity as early as possible to achieve effective eradication[36,63,64]. 270 The study highlights the importance of diagnostics for characterizing the infecting bacteria in situ and developing more nuanced situation-specific and environment-specific guidelines for 271 272 antibacterial treatment.

Current PJI diagnosis is largely limited in the identification and determination of antibiotic 273 susceptibility of the causative organism[34]. The prophylactic and treatment models rely on the 274 275 physiological attributes that have been determined using data largely from in-vitro studies[12]. The molecular status of bacterial populations within biofilms undergoes strain and maturity-276 dependent changes in their stress responses, cell wall constituents, metabolism, slime, and adhesin 277 278 production, resistance to antibiotics, and immune responses [20,65,66]. Besides, the bacterial populations differ in their physiology depending on the site of colonization[67]. This adds a layer 279 280 of complexity in the prevention and treatment approaches required. Taken together, the 'status' of 281 the infecting bacterial strains (with inherent and acquired antibiotic resistance) and the site of colonization could directly correlate with the risk and severity of the infection[31,68]. Thus, there 282 is a lot of uncertainty in predicting the treatment outcomes in specific cases of infections. To 283 284 address this knowledge gap, the molecular signatures of the MSSA and MRSA bacterial 285 populations colonizing implants in vivo, and in vitro were characterized. In the subcutaneous 286 model, the stress response associated with maintaining bacterial cell wall integrity was triggered early on for tissue colonized MSSA (POD1) when compared to MRSA (POD21) supporting the 287 idea that there is a lack of alternative strategies in low-risk strain and the multiplexed mechanisms 288 289 present in high-risk strain for evading host-mediated targeting[69–71]. In contrast, both strains showed consistent upregulation of adhesin expression over time which revealed that bacterial 290 291 populations actively increased adhesion on the tissue. The biofilm formation was more pronounced 292 in MRSA compared to MSSA which is associated with high biofilm-forming properties attributed to resistant strains of S. aureus[72]. This finding significantly correlated to the bacteria counts in 293 294 the tissue and to the SEM observations of MRSA on SS plates. For implant-adhered bacteria, due 295 to the limited RNA availability, we were able to capture only bacterial *16srRNA* expression levels

which were supportive of strain-specific biofilm viability from bacterial numbers and SEMimages.

298 In the joint infection model, the bacterial stress response to the in-vivo environment was also 299 found to be strain-dependent with the upregulation of the *vraR* gene for both MSSA and MRSA 300 within 7 days. Strikingly, the adhesin gene expression was only consistently upregulated for MSSA 301 and not for MRSA, which indicated the possibility of an alternative site-dependent mechanism in 302 MRSA mediating tissue colonization [73]. In contrast to the subcutaneous infection model, the 303 biofilm-associated *ica* genes were all upregulated throughout the study period with strain-specific longitudinal differences. The biofilm gene expression data validated the bacterial viability and 304 305 SEM observations of the SS screw implant indicating the unique site-specific advantage facilitating increased biofilm survival and immune cell evasion[30,74]. Similar to the 306 subcutaneous infection model, due to the limitation of biofilm RNA from the screw implants, only 307 308 the presence of *16srRNA* could be validated. For the in-vitro infection model, we were able to pool 309 multiple implant materials colonized by bacteria to assess their molecular status. Due to the absence of any in-vivo or environmental factors, the implant material-adhered bacterial expression 310 311 of *vraR* and *ebpS* was comparable to the planktonic bacteria. The gene expression levels of biofilm-312 associated genes were significantly downregulated compared to planktonic bacteria indicating the limitation of in-vitro models without the integrated response to the immune system to capture and 313 314 simulate the biofilm-associated changes in bacteria colonizing the implant[67,75].

Our in-vivo studies were limited by the number of implants. Despite implanting 6 implants per animal in the subcutaneous model, the determination of MBEC and gene expression of the bacteria on the implants were still limited by the low bacteria count. In addition, we only had one implant per animal to work within the joint infection model, further limiting our analysis. Our in-vitro studies were also limited to growth in one type of medium, which is likely to be a strongdeterminant of bacterial dynamics and evolution of resistance[76,77].

321 Conclusion

The role of inherent and biofilm maturity-associated antibiotic resistance, and site-specific 322 resistance profiles of S. aureus in determining the risk and severity progression of PJI was captured 323 using three different infection models. This could aid in determining a suitable 'therapeutic 324 window' for clinical dosing guidelines when encountering a low-resistance or high-resistance 325 326 infection. Our study has also provided crucial insights into evaluating the translational value of in-vivo and in-vitro PJI models. The in-vivo infection studies using two different models revealed 327 328 vital information on the strain-specific bacterial colonization patterns, evolution of resistance, and 329 physiological differences that are governed by the site of infection. Immune response markers correlating with the infection status could serve as an additional resource to determine the effective 330 concentrations of antibiotic required. Our results suggest implantation at the desired site is required 331 for relevant efficacy testing of anti-infective materials. Even though in-vitro implant infection 332 models are important and practical for studying biofilm dynamics on materials, the absence of in-333 vivo factors limits their translational value. 334

335 EXPERIMENTAL SECTION

1. Bacteria preparation

Gentamicin-susceptible *S. aureus* ATCC 12600 (MSSA) and Gentamicin-resistant *S. aureus* L1101 (MRSA) were used in this study (Table 1, supplementary file 1). The bacterial glycerol stocks at -80°C were grown in tryptic soy agar plates (TSA) for 18-24 hours at 35°C to achieve optimum growth. *S. aureus* colonies were inoculated in tryptic soy broth (TSB) and cultured overnight to obtain 10^9 CFU/mL. The bacterial broth cultures were subjected to $10,000 \times g$ centrifugation and pellets were resuspended in sterile PBS. The bacterial suspension in PBS was further diluted to 10^8 CFU in sterile PBS and 10^5 CFU/mL in sterile TSB before all animal infection experiments and in vitro experiments, respectively.

345 2. Animal study

2.1 Ethics statement: The animal study design and protocols were approved by the Institutional
Care and Use Committee of Massachusetts General Hospital (2021N000127).

348 2.2 Subcutaneous infection model Male Sprague-Dawley rats (Charles River, Wilmington, MA) weighing 350-400g were randomly divided into two groups. 316L stainless steel plates ($10 \times 3 \times$ 349 1mm; n=6 in each animal) were subcutaneously implanted on each rat dorsum and 10⁸ CFU of 350 351 gentamicin-sensitive MSSA (n=34 rats), gentamicin-resistant MRSA (n=33) were inoculated into each of the 6 subcutaneous pockets. Non-infected group (n=14) served as a control for the study. 352 All rats were given facility chow and water ad libitum. Rats were anesthetized with 1-3% 353 isoflurane in 1 L of O2/min, and 0.05 mg/kg IP buprenorphine was administered 30 minutes before 354 surgery. All groups were sacrificed on postoperative days (POD) 1, 3, 7, and 21 (Table 2, 355 Supplementary File 1) 356

357 **2.3 Joint infection model:**

Male Sprague Dawley Rats were randomly assigned to 'low-risk' gentamicin-sensitive MSSA, 'high-risk' gentamicin-resistant MRSA infection groups (n=3/day) and control group (n=1/day). 10⁸ CFU of bacteria were inoculated into the intercondylar canal drilled in the tibia after which, a stainless-steel screw (1.3 mm diameter, 8 mm in length) was implanted into the contaminated canal. All rats were given facility chow and water ad libitum. Rats were anesthetized with 1-3%

isoflurane in 1 L of O2/min, and 0.05 mg/kg IP buprenorphine was administered 30 minutes before
surgery. The animals were sacrificed on POD 1, 3, and 7 (Table 3, supplementary file 1).

365 3. Ex-vivo and in-vitro determination of bacterial colonization

The stainless-steel plates and screws (SS) were retrieved from the animals at specific time points 366 (POD 1, 3, 7, 21, and POD 1,3,7 respectively) and the explants were washed using sterile $1\times$ 367 phosphate-buffered saline (PBS) to remove any non-adherent bacteria and debris. The explants 368 were then transferred to 1.5 mL tubes and subjected to sonication for 40 minutes in 1 mL PBS to 369 370 dislodge the adherent bacteria. The sonicate was then diluted and plated on tryptic soy agar plates and incubated for 18-24 hours at 35°C. The adherent bacteria count was determined the following 371 day using the colony counting method. The peri-implant tissue surrounding the implant was 372 373 harvested at the same time points and homogenized using a Tissue Disruptor (TissueRuptor, Qiagen). The homogenate was diluted and plated on tryptic soy agar plates and incubated for 18-374 375 24 hours at 35°C. The tissue-colonized bacteria were determined the following day using the colony count method. 376

To determine in-vitro biofilm dynamics, staphylococcal bacterial suspension [10⁵ CFU/mL] in 1 377 mL of Luria-Bertani (LB) broth was inoculated on 316 Stainless Steel (SS) plates $[10 \times 3 \times 1 \text{ mm}]$ 378 or screws placed within 24-well plates. The materials were statically incubated for an indicated 379 period (6 and 24 hours) at 35°C. At each time point, the spent media was removed, and the 380 381 materials were washed thrice using sterile $1 \times PBS$. The surfaces were transferred to 1.5 mL tubes 382 and subjected to sonication for 40 minutes in 1 mL PBS to dislodge the adherent bacteria, The sonicate was then plated on tryptic soy agar plates and incubated for 18-24 hours at 35°C. The 383 384 adherent bacteria count was determined the following day by the colony counting method.

4. Ex-vivo and in-vitro determination of minimum biofilm eradication concentration

The stainless-steel plates and screws (SS) were retrieved from the animals at specific time points 386 387 (POD 1, 3, 7, 21 and POD 1, 3, 7 respectively) and the plates were washed using sterile 1× PBS 388 to remove any non-adherent bacteria and debris. The explants were then exposed to a range of gentamicin concentrations in 10% LB [1, 10, 50, 100, 200, and 500 µg/mL for SS plates; 10 389 390 (MSSA) and 300 µg/mL (MRSA) for screws)] for 24 hours at 35°C. The explants were then washed using sterile 1× PBS and subjected to sonication for 40 minutes in 1 mL PBS to dislodge 391 the adherent bacteria. The sonicate was then diluted and plated on tryptic soy agar plates and 392 393 incubated for 18-24 hours at 35°C. The adherent bacteria count was determined the following day using the colony counting method. The retrieved peri-implant tissue was rinsed once with sterile 394 395 PBS and exposed to a range of gentamicin concentrations in 10% LB [1, 10, 50, 100, 200, and 500 µg/mL (subcutaneous infection study); 10, 50, 100, 300 and 500 µg/mL (joint-infection study)] 396 for 24 hours at 35°C. The tissues were washed with sterile PBS and were sonicated for 40 minutes 397 and plated. The bacterial viability was determined the following day using the colony count 398 method. 399

To determine MBEC of in vitro-formed biofilms, staphylococcal biofilms were grown for a period 400 of 6 and 24 hours on plates and screws as previously described (section 3). The spent media was 401 removed at each timepoint respectively and the materials were washed thrice with PBS to remove 402 403 all non-adherent bacteria. The surfaces were then placed in a fresh 24-well plate containing a range of gentamicin concentrations [0.5, 1, 5, 10, 20, 40, 60, 80, 100, 200, 300, 400, 500 µg/mL (SS 404 405 plates); 1, 5, 10, 50, 100, 300, 500 (SS screws)]. Further to drug exposure for 24 hours at 35° C, 406 the surfaces were gently rinsed thrice using PBS and were transferred to 1.5ml tubes containing 1ml PBS. The materials underwent sonication for 40 minutes and the adherent bacteria count was 407

408 determined using the spread plate method. MBEC was determined as the concentration that409 achieved >3log10 reduction in adherent bacteria count.

The growth frequency observed from each replicate for each concentration tested was calculated
as %growth frequency and heatmaps were generated using the Complex Heatmaps package in R
studio.

413 **5.** Gene expression analysis

414 The peri-implant tissue retrieved from each time point was subjected to a modified Qiagen RNeasy 415 extraction protocol to extract bacteria RNA. Briefly, 15-30mg tissue samples were homogenized and subjected to lysis using RLT buffer. The lysate was transferred to a tube and was further 416 417 subjected to enzymatic and mechanical lysis using lysostaphin (200 µg/mL), proteinase K, and acid-washed beads. RNA extraction was performed using the RNeasy spin column method 418 according to the manufacturer's instructions. To determine in vitro and in vivo adherent bacteria 419 gene expression the bacteria were harvested from SS plates (n=10 for in vitro model; n=6 from in 420 vivo model) and screws (n=4 from in vivo model) for each condition by subjecting the materials 421 to 40 min sonication. The sonicate fluid was pooled and pelleted by centrifuging at $10,000 \times g$ for 422 423 10 mins. The pellet was then subjected to mechanical and enzymatic lysis and the total RNA was extracted using RNeasy Power Biofilm RNA extraction kit for gram-positive bacteria. The samples 424 were subjected to real-time quantitative PCR for *icaA*, *icaD*, *ebpS*, and *vraR* genes for *S*. *aureus* 425 426 using specific primers listed (Table 4, supplementary file 1). The Cq values were normalized to 427 S. aureus 16srRNA expression. Gene expression analysis of tissue-colonizing and implant-adhered bacteria relative to planktonic bacterial expression was performed using the $2^{(-\Delta\Delta Ct)}$ method. 428

429

6. Scanning Electron Microscopy

430	Scanning electron microscopy was performed on the implant materials retrieved from rats
431	on POD3 and from in-vitro experiments[36]. The implant materials with adherent bacteria were
432	fixed using 2.5% glutaraldehyde in 0.1M PBS for 48 hours. The plates were then washed twice for
433	10 mins with PBS. The adherent bacteria were then treated with osmium tetroxide (OsO^4) 2% +
434	Ruthenium red 0.2% 1:1 solution for a period of 1hr. The samples were washed twice thoroughly
435	with distilled water for 10 mins. Further to this the samples were treated with 1% Tannic acid for
436	30 mins and then washed twice with distilled water for 10 mins each. The prepared samples were
437	imaged at 15-20 kV, high vacuum (Zeiss FESEM Ultra Plus).
438	7. Statistical analysis
439	The gene expression studies were performed in triplicates and the dataset was analyzed using
440	Student's T-Test (paired). The p-value was calculated and the lowest significant score of 0.05 was

441 considered statistically significant.

442

443 Author contributions

444 AS, EO, and YF conceptualized and conducted the experimental design for the study. AS, PT, 445 MM, PJ, FM, and DK performed the data acquisition and SEM visualization. YF, JY, and SL 446 performed the animal surgery protocols. KKW and NI performed SEM visualization. AS analyzed 447 and interpreted the data. AS, EO, and OKM wrote, reviewed, and edited the manuscript. All 448 authors read and approved the final manuscript.

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458 **Competing interests**

O.K.M. declares the following disclosures: Royalties - Corin, Mako, Iconacy, Renovis, Arthrex,
ConforMIS, Meril Healthcare, Exactech, Cambridge Polymer Group; Stake/Equity-Cambridge
Polymer Group, Orthopedic Technology Group, Alchimist. E.O. declares the disclosures:
Royalties-Corin, Iconacy, Renovis, Arthrex, ConforMIS, Meril Healthcare, Exactech; Paid
consultant – WL Gore & Assoc; Editorial Board – JBMR; Officer/Committee- SFB, ISTA. None
of these are in direct conflict with the study.

465 **Data availability**

466 All data generated or analyzed during this study are included in this published article [and its467 supplementary information files]

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