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# PHOCUS: A Phase 3, Randomized, Open-Label Study of Sequential Treatment with Pexa-Vec (JX-594) and Sorafenib in Patients with Advanced Hepatocellular Carcinoma

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#### Keywords

Pexa-vec · JX-594 · Sorafenib · Hepatocellular carcinoma

# Abstract

**Introduction:** Intratumoral administration of pexa-vec (pexastimogene devacirepvec), an oncolytic and immunotherapeutic vaccinia virus, given to patients with hepatocellular carcinoma (HCC), is associated with both local and distant tumor responses. We hypothesized subsequent treatment with sorafenib could demonstrate superior efficacy. **Methods:** This random phase III open-label study evaluated the sequential treatment with pexa-vec followed by sorafenib compared to sorafenib in patients with advanced HCC and no prior systemic treatment. The primary endpoint is overall survival (OS). Key secondary endpoints included time to progression (TTP), progression-free survival,

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This article is licensed under the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC) (http://www. karger.com/Services/OpenAccessLicense). Usage and distribution for commercial purposes requires written permission. overall response rate (ORR), and disease control rate (DCR). Safety was assessed in all patients who received  $\geq 1$  dose of study treatment. Results: The study was conducted at 142 sites in 16 countries. From December 30, 2015, to the interim analysis on August 2, 2019, 459 patients were randomly assigned (pexa-vec plus sorafenib: 234, sorafenib: 225). At the interim analysis, the median OS was 12.7 months (95% Cl: 9.89, 14.95) in the pexa-vec plus sorafenib arm and 14.0 months (95% CI: 11.01, 18.00) in the sorafenib arm. This led to the early termination of the study. The median TTP was 2.0 months (95% CI: 1.77, 2.96) and 4.2 months (95% CI: 2.92, 4.63); ORR was 19.2% (45 patients) and 20.9% (47 patients); and DCR was 50.0% (117 patients) and 57.3% (129 patients) in the pexa-vec plus sorafenib and sorafenib arms, respectively. Serious adverse events were reported in 117 (53.7%) patients in the pexa-vec plus sorafenib and 77 (35.5%) patients in the sorafenib arm. Liver failure was the

Correspondence to: Ghassan K. Abou-Alfa, abou-alg@mskcc.org most frequently reported in both groups. **Conclusion:** Sequential pexa-vec plus sorafenib treatment did not demonstrate increased clinical benefit in advanced HCC and fared worse compared to sorafenib alone. The advent of the added value of checkpoint inhibitors should direct any further development of oncolytic virus therapy strategies.

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# Introduction

Hepatocellular carcinoma (HCC) is the most common type of liver cancer – the third most frequent cause of cancer death worldwide in 2020 [1]. The incidence of HCC has been increasing steadily, attributed to the recent hepatitis C and current obesity epidemics [2]. In recent years, first-line therapies for HCC have evolved from only including the tyrosine kinase inhibitor (TKI) sorafenib [3], to lenvatinib [4], and more recently, checkpoint inhibitor combination therapies including atezolizumab plus bevacizumab [5], and recently, a dual checkpoint inhibitors therapy of single-dose tremelimumab plus durvalumab [6].

Oncolytic virotherapy and immunotherapeutic strategies have gained significant attraction in recent years. Pexa-vec (pexastimogene devacirepvec, JX-594) is a vaccinia virus engineered to express the immuneboosting human granulocyte-macrophage colonystimulating factor (hGM-CSF), designed selectively to replicate within and destroy cancer cells. Pexa-vec mechanisms of action include tumor cell infection and lysis, necrosis, and acute vascular disruption followed by long-term anti-tumor immunity, mediated by expression of the transgene GM-CSF [7–12]. In early clinical studies of HCC, pexa-vec was well tolerated and demonstrated dose-dependent survival benefits [13, 14].

We hypothesized that the activities of pexa-vec followed by the anti-angiogenic effects of sorafenib might allow for additive anti-cancer activity in a combination treatment strategy. To promote tumor cell selectivity of pexa-vec, the thymidine kinase 1 (TK1) gene in the pexavec genome has been deactivated, rendering it dependent on an active cell cycle in its host cell for replication [15]. However, as sorafenib is designed to stop the cell cycle of constantly dividing cells, it may have the ability to inhibit pexa-vec replication. While this inhibitor effect has been confirmed in preclinical investigations, preliminary clinical studies employing a sequential treatment regime to allow pexa-vec wash-out prior to sorafenib administration showed promising results [16, 17]. Based on these observations, PHOCUS was developed as a phase 3, randomized, open-label study assessing sequential administration of pexa-vec and sorafenib, exclusively HCC, in patients without prior systemic treatment.

#### Methods

#### Study Design

The open-label, randomized, phase 3 study, PHOCUS, was conducted at 142 centers in Asia-Pacific, Europe, and North America. Patients were randomly assigned 1:1 by the Interactive Voice/Web Response System to pexa-vec plus sorafenib versus sorafenib. Within two main subgroups (Asian or non-Asian), patients were randomized using a dynamic stochastic minimization procedure [18] for the following minimization factors: study center, main etiology, presence of extrahepatic disease, presence of vascular invasion, Eastern Cooperative Oncology Group (ECOG) performance status [19], and alpha-fetoprotein (AFP) levels [20]. The dynamic minimization used a stochastic treatment allocation algorithm based on the variance method.

The primary objective of the study was to determine and compare the overall survival (OS) of patients with advanced HCC without prior systemic therapy treated with pexa-vec followed by sorafenib versus sorafenib. Secondary objectives included time to progression (TTP), progression-free survival (PFS), overall response rate (ORR), disease control rate (DCR), and determining and comparing the safety profiles of the two treatment arms. TTP was defined as the time from randomization to the date of the first documented radiographic tumor progression. If a patient did not experience tumor progression at the cut-off date for analysis, TTP was censored at the date of the last evaluable tumor assessment before the cut-off.

#### Sample Size

Sample size was originally determined by comparing the estimated OS in patients treated with pexa-vec plus sorafenib to that in those receiving sorafenib alone. It was estimated that a total of 474 events of death (in 570 evaluable patients) had to be observed to reject the null hypothesis of no pexa-vec effect, with a power of 86% using a stratified log-rank test (1-sided cumulative 2.5% level of significance), assuming that HR = 1 for the first 6 months and 0.6 thereafter. However, as the study terminated early, the final analysis was based on the actual number of patients and events observed by the study cut-off date for each individual patient.

#### Patients

Eligible patients were  $\geq 18$  years of age with a histologically or cytologically confirmed diagnosis of HCC eligible for and have not received prior systemic therapy, with Child-Pugh A [21], had an ECOG performance status of 0–1, Barcelona Clinic Liver Cancer (BCLC) Stage B or C [22], and at least one measurable and viable liver tumor (based on radiographic assessment) injectable under imaging guidance [20]. Only patients with a life expectancy of  $\geq 3$  months were eligible. A history of severe ascites, bleeding esophageal varices, hepatic encephalopathy, or pleural effusions related to liver insufficiency within 6 months of screening; significant immunodeficiency due to underlying illness; severe eczema; or cardiovascular disease were exclusionary. Patients were

not eligible if they had tumors encompassing >50% of the liver volume and/or inferior vena cava invasion or tumor(s) invading other key anatomical structures. Ongoing anticoagulant or antiplatelet medication that could not be interrupted prior to pexa-vec injections and the inability to suspend treatment with antihypertensive medication for 48 h prior to and after pexa-vec injections were also exclusionary.

The manuscript complies with the guidelines for human studies and was conducted ethically in accordance with the World Medical Association Declaration of Helsinki. In the manuscript, all 154 authors state that subjects have given their written informed consent and that the study protocol was approved by each institute's committee on human research. This study protocol was reviewed and approved by the US National Cancer Institute and posted on clinicaltrials.gov under approval number NCT02562755.

All patients received oral and written information about the study and signed a written informed consent before study inclusion. All study documentation was reviewed and approved by Local Institutional Ethics Committees/Institutional Review Boards, as applicable.

# Treatment

Provided clinical and laboratory pre-evaluation criteria were met. Patients in the pexa-vec plus sorafenib arm received intratumoral pexa-vec injections on days 1, 15, and 29, with a treatment window of  $\pm 1/\pm 7$  days. Each pexa-vec injection was administered as a dose of  $1 \times 10^9$  plaque-forming units (pfu), suspended in sterile saline buffered with sodium bicarbonate.

All injections were performed using ultrasound and/or CT guidance by an interventional radiologist or by an investigator who had received training materials provided by the sponsor. All viable, safely injectable intrahepatic tumors  $\geq 1$  cm as longest diameter (LD) were treated, with a maximum of five tumors treated on a given day. The administered volume was up to 25% of the tumor volume, and the total volume was divided proportionally between the treated tumors.

Sorafenib was administered orally (400 mg BID). In the pexavec plus sorafenib arm, sorafenib treatment was initiated at the week 6 visit or  $\geq 2$  weeks after the latest pexa-vec injection. In the sorafenib arm, continuous treatment was initiated on day 1. Treatment continued for as long as patients benefitted clinically, at least until radiographic progression or unacceptable toxicity occurred.

# Follow-Up

A safety follow-up visit took place at least 28 days (but not more than 2 months) after the last study treatment administration. Patients and/or their specified contacts were contacted approximately every 4 weeks for follow-up and collection of information on subsequent anti-cancer therapy received until death, loss to follow-up, or withdrawal of consent. Patients who discontinued treatment prior to documented progression were followed for PFS every 6 weeks.

# Interim Analysis

The first interim analysis for futility was planned to be performed when 190 deaths (40% of required events for the final analysis) were documented in the intent-to-treat (ITT) population. The p value of the re-randomization test was to be calculated with a stopping boundary defined as HR = 1.1 and a *p* value defined as 0.744 for crossing the futility boundary. The analysis was conducted after 197 deaths were observed and concluded that the study did not meet its original primary objective of OS improvement.

This resulted in early termination, and enrollment was stopped on August 02, 2019, with no further administration of pexa-vec. Sorafenib treatment continued until individual patients no longer received benefit from the treatment or until October 31, 2019. The revised primary objective was to determine radiographic responses in pexa-vec plus sorafenib versus sorafenib based on central assessments using modified RECIST (mRECIST) for HCC [23] for TTP, ORR, DCR, and time to tumor marker elevation (TTME), with OS and PFS being key revised secondary endpoints (Data Supplement, Revised Statistical Analysis Plan dated January 15, 2020).

# Endpoint Definitions

OS was defined as the time from randomization to death, resulting from any cause. TTP was defined as the time from randomization to the date of the first documented radiographic tumor progression. PFS was defined as the time from randomization to the date of the first documented radiographic tumor progression or death due to any cause. The ORR was defined as the proportion of patients whose best overall response during participation was either complete response (CR) or partial response (PR). Duration of response (DoR) was evaluated only for patients whose best overall response was CR or PR. The DoR was defined as the time (in months) from the first AFP decrease to tumor progression (per mRECIST/RECIST1.1 or AFP increase >400 ng/mL) or death due to underlying cancer. If a patient was alive or if the cancer had not progressed at the cut-off date for analysis, DoR was censored at the date of the last evaluable tumor assessment before the cut-off. DCR was defined as the proportion of patients whose best overall response was either CR, PR, or stable disease. TTME was defined as time from nadir AFP to AFP >400 ng/mL. If a patient had no AFP value of >400 ng/mL at their cut-off date for analysis, TTME was censored at the date of the last AFP recorded before their cut-off. Only patients who had a decrease in AFP from baseline and who also had nadir AFP ≤400 ng/mL were included in the TTME analyses.

# Statistical Analysis

The ITT population included all patients randomly assigned to study treatment. Primary and secondary endpoints were analyzed using the per-protocol (PP) population. The PP population included all patients from the ITT population without any major protocol deviations who had completed the minimum exposure requirement. A re-randomization test was performed 1,000 times to obtain 1-sided *p* values [24]. Analyses of radiological endpoints were performed based on central assessments using modified mRECIST criteria for HCC and repeated using central RECIST 1.1 criteria [23, 25]. For the TTP endpoint, a re-randomization test using a stratified log-rank test was performed to compare the two treatment arms [24]. Estimates of the HRs (pexa-vec plus sorafenib over sorafenib) with 95% CI were obtained from a Cox proportional hazard (PH) model. For the ORR and DCR endpoints, Cochran-Mantel-Haenszel tests were performed to compare the two treatment arms at a 1-sided 2.5% significance level [26]. Rerandomization tests based on the Mantel-Haenszel  $\chi^2$  statistic were

performed to obtain 1-sided p values. For the TTME endpoint, a Kaplan-Meier curve was constructed for each treatment arm. Median TTME and 25% and 75% quartiles were presented, along with 95% CIs for each treatment arm. Re-randomization tests using stratified log-rank test was performed to compare OS and PFS in the two treatment arms [24]. Region was the only statistical stratification factor and was used in primary, secondary, and sensitivity analyses.

The DoR was summarized by the treatment arm. A Kaplan-Meier (KM) curve was constructed for each treatment arm. Median DoR and 25% and 75% quartiles were presented along with 95% CIs for each treatment arm. In addition, the KM estimates with 95% CIs at 3, 6, and 9 months were presented by the treatment arm.

TTME was compared between the 2 treatment groups using a stratified re-randomization test based on 1,000 re-randomizations. This test utilized the stratified log-rank statistic stratified only by region.

Safety analyses were performed on the safety population, which included all patients who received at least one dose of pexa-vec or sorafenib. Safety assessments collected from the first administration of any study treatment up to 28 days after the end date of any study treatment are provided. All AEs were coded using the Medical Dictionary for Regulatory Activities (MedDRA), version 22.0.

All statistical analyses were conducted using SAS<sup>®</sup> software version 9.2 or higher. An independent Data Monitoring Committee was employed to regularly assess the safety, progress of the study, and integrity of the data for benefit/risk evaluation.

# Results

# Demographics

From December 30, 2015, to August 2, 2019, a total of 459 patients at 142 sites from 16 countries were randomly assigned at a 1:1 ratio to the pexa-vec followed by sorafenib group (n = 234) or to the sorafenib alone group (n = 255; Fig. 1). Patient demographics and baseline characteristics for the ITT population were generally well balanced and are summarized in Table 1. There were more males (84.1%) than females (15.9%) in the study, and the mean age was 60.9 (±10.55) years. The majority of the patients (60.8%) were Asian, over 90% of whom were from Asian countries. Prior HCC therapies included surgical resection, locoregional therapy, and radiation therapy.

Among the 234 patients randomized to pexa-vec plus sorafenib, 218 received  $\geq 1$  dose of pexa-vec, and 189 received at least 1 dose of sorafenib. A majority (164 or 75.2% of patients) received all 3 doses of pexa-vec (27 patients [12.4%] received 1 dose, and 27 patients [12.4%] received 2 doses). The duration of sorafenib exposure (defined as the end date of sorafenib – the start date of sorafenib + 1) was mean 152.9 (SD: 207.91), median 81.0 (range: 0-1,000) days. The average daily dose of sorafenib was mean 553.97 (SD: 313.235), median 602.33 (range: 0-2,320.0) mg/day. The total duration of study treatment was mean of 194.17 (SD: 210.8) days and median of 124.50 (range: 14.0–1,044.0) days.

Among the 225 randomized to sorafenib, 217 received  $\geq 1$  dose of sorafenib. The duration of sorafenib exposure was mean 191.9 (SD: 235.5), median 106.0 (range: 4–1,291) days. The average daily dose of sorafenib was mean 647.96 (SD: 207.404), median 717.76 (range: 111.9–1,173.3) mg/day. The total duration of study treatment was mean 191.91 (SD: 235.5), median 106.00 (range: 4.0–1,291.0) days.

# Primary Endpoint

The median OS in the pexa-vec plus sorafenib was 12.7 months (95% CI: 9.89, 14.95) and in the sorafenib 14.0 months (95% CI: 11.01, 18.00), with a HR of 1.193 (95% CI: 0.928, 1.535; p = 0.9260). The median follow-up time was 8.0 months (range: 0.4–39.4) in the pexa-vec plus sorafenib arm and 8.3 months (range: 0.5–39.8) in the sorafenib arm. Kaplan-Meier estimates (Fig. 2a) indicated that the 6-month OS rate for pexa-vec plus sorafenib was 70.8% (95% CI: 64.1, 76.5) and for sorafenib 77.5% (95% CI: 71.0, 82.8). No statistically significant improvement in PFS was observed for pexa-vec plus sorafenib over sorafenib (p = 0.9420, online suppl. Table S1; for all online suppl. material, see https://doi.org/ 10.1159/000533650).

Supplementary analyses in the OS subgroup provided some insight into the patient population most likely to respond to pexa-vec treatment (online suppl. Table S2). Patients with more advanced disease (i.e., BCLC Stage C and baseline tumor size  $\geq$ 75th percentile) experienced longer OS when treated with sorafenib alone, compared to the pexa-vec plus sorafenib combination. Subgroup analyses suggested a correlation between the number of doses administered and OS, though this finding is also consistent with the hypothesis that patients with indolent tumors were able to receive more doses. Patients on the pexa-vec plus sorafenib arm who received the full three doses of pexa-vec experienced a median OS of 16.3 months (95% CI: 13.04, 20.96), compared to only 1.8 months (95% CI: 1.31, 12.68) for patients who received one dose of pexa-vec (online suppl. Table S2).

# Secondary Endpoints

The ORR was 19.2% (45 patients) in the pexa-vec followed by sorafenib treatment group and 20.9% (47 patients) in the sorafenib only treatment group for the ITT population. No statistically significant difference in



**Fig. 1.** CONSORT diagram for the PHOCUS study. The intent-to-treat (ITT) population included all patients randomly assigned to study treatment. The per-protocol (PP) population included all patients from the ITT population without any major protocol deviations who had completed the minimum exposure requirement. Minimum exposure requirements were  $\geq 1$  pexa-vec injection in the pexa-vec plus sorafenib arm and  $\geq 2$  consecutive weeks of sorafenib treatment in the sorafenib arm.

ORR was observed for pexa-vec followed by sorafenib treatment compared with the sorafenib only treatment group (difference of -1.7%, *p* value = 0.6470). Stable disease was reported in 72 (30.8%) patients in the pexa-

vec followed by sorafenib treatment group and 82 (36.4%) patients in the sorafenib only treatment group.

No statistically significant improvement in DoR was observed for pexa-vec followed by sorafenib treatment over

# **Table 1.** Baseline patientdemographics and clinicalcharacteristics in the ITT population(n = 459)

Characteristic	Pexa-vec + sorafenib $(n = 234)$	Sorafenib $(n = 225)$
Age, vears		
n	234	225
Median	62	61
Pango	25 94	
	55-04	20-04
	204(07.2)	193 (80.0)
Male	204 (87.2)	182 (80.9)
Female	30 (12.8)	43 (19.1)
Region**, %		
Asian	127 (54.3)	129 (57.3)
Non-Asian	107 (45.7)	93 (42.7)
Race, n (%)		
White	71 (30.3)	69 (30.7)
African American	8 (3.4)	6 (2.7)
Asian	145 (62.0)	134 (59.6
Native Hawaiian/other	4 (1.7)	5 (2.2)
Pacific Islander		
Other	6 (2.6)	11 (4.9)
Ethnicity, n (%)		
Hispanic or Latino	5 (2.1)	6 (2.7)
Not Hispanic or Latino	229 (97.9)	219 (97.3)
BCIC stage $n$ (%)	(;;;;)	
B = intermediate	84 (35.9)	73 (324)
C = advanced	150 (64 1)	151 (67.1)
Missing	0	1 (0, 4)
Rody mass index $ka/m^2$	0	1 (0.4)
bouy mass muex, kg/m	210	212
n Maan (SD)	210	213
Median	19.782 (28.5548)	18.573 (28.8455)
Median	24.279	24.355
Range	15.06-44.25	13.91-49.88
Time since initial diagnosis	<sup>re</sup> , months	225
n	234	225
Mean (SD)	19.782 (28.5548)	18.573 (28.8455)
Median	7.627	6.312
Range	0.13–146.63	0.07–204.46
Tumor size (SLD) of target	tumors (RECIST 1.1)	
n	228	217
Mean (SD)	125.23 (71.339)	120.74 (75.029)
Median	114.50	111.00
Range	11.0–349.0	12.0–479.0
Tumor size (SLD) of target	tumors (mRECIST)	
n	218	206
Mean (SD)	100.82 (62.835)	99.85 (65.356)
Median	89.00	89.00
Range	10.0–337.0	12.0-322.0
AFP levels, ng/ml		
n	234	225
Mean	19 594 53 (77 469 303)	20 791 32 (63 783 454)
Median	191 80	187 56
Range	1 2-882 865 4	1 1_453 514 0
ECOC porformance status	1.2 <sup>-002,003.4</sup>	1.1-4.0.,514.0
o performance status,	70 146 (62 4)	142 (62.1)
0	140 (02.4) 99 (27.6)	142 (US.1) 92 (26 0)
l Future le sus stie - l'anna - MM - O/	00 (37.0)	(20.3)
Extranepatic disease**, %	06 (41.0)	O(127)
Present	96 (41.0)	96 (42.7)
Not present	88 (37.6)	83 (36.9)

# Table 1 (continued)

Characteristic	Pexa-vec + sorafenib $(n = 234)$	Sorafenib $(n = 225)$
Vascular invasion**, %		
Present	81 (34.6)	79 (35.1)
Not present	153 (65.4)	146 (64.9)
Etiology of the disease <sup>b</sup> , n	(%)	
Hepatitis B	122 (52.1)	114 (50.7)
Hepatitis C	53 (22.6)	57 (25.3)
ETOH	47 (20.1)	40 (17.8)
NASH	17 (7.3)	25 (11.1)
Other	26 (11.1)	18 (8.0)
Missing	4 (1.7)	4 (1.8)
Prior HCC therapies, n (%)		
Surgical resection	72 (30.8)	75 (33.3)
Local-regional therapy		
TACE	102 (43.6)	85 (37.8)
PEI	4 (1.7)	2 (0.9)
RFA	38 (16.2)	23 (10.2)
CA	1 (0.4)	0
Radiation therapy		
Stereotactic	8 (3.4)	3 (1.3)
Conformational	5 (2.1)	5 (2.2)
Brachytherapy	0	1 (0.4)
Prior therapy <sup>c</sup> (≤7 days)	132 (56.4)	128 (56.9)

SLD, sum of longest diameter; AFP, alpha-fetoprotein; BCLC, Barcelona Liver Clinic Liver Cancer; SD, standard deviation; ETOH, ethyl alcohol; NASH, non-alcoholic steatohepatitis; HCC, hepatocellular carcinoma; RFA, radiofrequency ablation; TACE, transarterial chemoembolization; PEI, percutaneous ethanol injection; CA, cryoablation. \*\*Based on IVRS. aDefined as the date of HCC diagnosis to date of randomization. <sup>b</sup>Patients could have multiple etiologies; therefore, the total could be greater than 100%. <sup>c</sup>Defined as any therapy such as sorafenib, HCC radiation therapy, HCC local-regional therapy of  $\leq$ 7 days duration.

sorafenib only treatment group (p value = 0.4800). The HR was 0.795 (95% CI: 0.412, 1.531). Median DoR was 2.8 months (95% CI: 0.36, 9.40) in the pexa-vec followed by sorafenib treatment group and 2.9 months (95% CI: 1.31, 8.34) in the sorafenib only treatment group.

In the pexa-vec plus sorafenib arm, 146 (62.4%) of the 234 enrolled patients experienced tumor progression, and 88 (37.6%) patients were censored due to having no event as of the cutoff date or being not evaluable for efficacy. In the sorafenib arm, 111 (49.3%) of the 225 enrolled patients experienced tumor progression, and 114 (50.7%) patients were censored for the reasons mentioned above.

The median TTP was 2.0 months (95% CI: 1.77, 2.96) in the pexa-vec plus sorafenib arm and 4.2 months (95% CI: 2.92, 4.63) in the sorafenib arm. No statistically significant improvement in TTP was observed for pexa-vec plus sorafenib over sorafenib (p = 0.9270; HR: 1.196,

95% CI: 0.932, 1.533). Kaplan-Meier estimates of the TTP rate at 3 and 6 months in the pexa-vec plus sorafenib arm were 42.6% (95% CI: 35.3, 49.7) and 27.8% (95% CI: 21.2, 34.9), respectively, compared to 56.0% (95% CI: 47.4, 63.7) and 31.3% (95% CI: 23.2, 39.8), respectively, in the sorafenib arm (Fig. 3).

The median PFS was 1.9 months (95% CI: 1.74, 2.73) in the pexa-vec plus sorafenib arm and 3.0 months (95% CI: 2.79, 4.24) in the sorafenib arm, with a HR of 1.218 (95% CI: 0.967, 1.535). Kaplan-Meier estimates (Fig. 2a) showed that the PFS rates at 3 and 6 months in the pexa-vec plus sorafenib arm were 39.1% (95% CI: 32.3, 45.8) and 24.8% (95% CI: 18.7, 31.3), respectively, and 50.1% (95% CI: 42.1, 57.7) and 27.2% (95% CI: 20.0, 34.9), respectively, in the sorafenib arm (online suppl. Table S1).

The ORR per mRECIST criteria for the ITT population was 19.2% (45 patients) in the pexa-vec plus sorafenib arm and 20.9% (47 patients) in the sorafenib



Fig. 2. Kaplan-Meier estimates of OS (a) and PFS (b) in the intention-to-treat (ITT) population, displayed by treatment arm.

arm, with no statistically significant difference detected (difference of -1.7%, 95% CI: -9.13, 5.86; p = 0.6470; data not shown). Stable disease was reported in 72 (30.8%) patients in the pexa-vec plus sorafenib arm and

82 (36.4%) patients in the sorafenib arm. The DCR per mRECIST for the ITT population was 50.0% (117 patients) in the pexa-vec plus sorafenib arm and 57.3% (129 patients) in the sorafenib arm, with no statistically



Fig. 3. Kaplan-Meier estimates of the time to progression (TTP) in the intention-to-treat (ITT) population, displayed by treatment arm.

significant difference observed (difference of -7.3%, 95% CI: -16.45, 1.95; p = 0.0690; data not shown). The DCR per RECIST 1.1 was 45.7% (107 patients) in the pexa-vec plus sorafenib arm and 58.2% (131 patients) in the sorafenib arm for the ITT population. The DCR difference between the pexa-vec plus sorafenib arm over the sorafenib arm as per RECIST 1.1 was -12.5% (95% CI: -21.54, -2.11); the difference was statistically significant (p = 0.0040).

A total of 141 patients experienced a decrease in AFP from baseline with a nadir AFP of  $\leq$ 400 ng/mL and were included in the TTME analyses (69 patients in the pexa-vec plus sorafenib arm and 72 in the sorafenib arm). TTME vs. time for the two study arms are shown graphically in Figure 4). In the pexa-vec plus sorafenib arm, the median TTME was 24.9 months (95% CI: 12.45, not estimable [NE]); the TTME could not be estimated in the sorafenib arm, as not enough data (events) were available to estimate the corresponding quartiles or confidence limits. Based on Kaplan-Meier estimates, the TTME rates at 3 and 6 months in the pexa-vec plus sorafenib arm were 83.2% (95% CI: 69.0, 91.3) and 80.0% (95% CI: 64.6, 89.2), respectively, and 92.6% (95% CI: 78.5, 97.6) and 84.1% (95% CI: 64.3, 93.4), respectively, in the sorafenib arm (online suppl. Table S1).

# Safety Analyses

Of the 459 randomized patients, 435 (94.8%) patients received at least one dose of study treatment and were included in the safety population (Fig. 1). Treatmentemergent adverse events (TEAEs) were reported by 218 patients (100%) in the pexa-vec plus sorafenib arm and 214 (98.6%) patients in the sorafenib arm (Table 2).

The majority of TEAEs were mild in severity. In the pexa-vec plus sorafenib arm, 12 (5.5%) patients and 116 (53.2%) patients reported at least one Grade 4 and Grade 3 TEAE, respectively. In the sorafenib arm, 18 (8.3%) patients and 87 (40.1%) patients reported at least one Grade 4 and Grade 3 TEAE, respectively. TEAEs leading to treatment discontinuation were reported in 45 (20.6%) patients in the pexa-vec plus sorafenib arm and 40 (18.4%) patients in the sorafenib arm, with the most common being palmar-plantar erythrodysesthesia syndrome in 7 (3.2%) patients in the pexa-vec plus sorafenib arm and 4 (2.8%) patients in the sorafenib arm, and hepatic failure in 3 (1.4%) patients in the pexa-vec plus sorafenib arm and 4 (1.8%) patients in the sorafenib arm. TEAEs leading to death were reported in 57 (13.1%) patients, including 32 (14.7%) patients in the pexa-vec plus sorafenib arm and 25 (11.5%) patients in the sorafenib arm (Table 3). In the pexa-vec plus sorafenib arm, three deaths were considered by the investigator to be



Fig. 4. Kaplan-Meier estimates of TTME in the intention-to-treat (ITT) population, displayed by treatment arm.

related to pexa-vec or sorafenib: hepatic rupture, postprocedural hemorrhage, and gastrointestinal hemorrhage. No sorafenib-related TEAEs leading to death were reported in the sorafenib arm.

As outlined in Table 2, the most frequently reported TEAEs in the pexa-vec plus sorafenib arm overall (in  $\geq$ 25% of patients) were pyrexia, diarrhea, decreased appetite, nausea, palmar-plantar erythrodysesthesia syndrome, chills, fatigue, abdominal pain, weight decreased, and vomiting. In the sorafenib arm, the most frequently reported TEAEs (in  $\geq$ 25% of patients) were diarrhea, palmar-plantar erythrodysesthesia syndrome, fatigue, decreased appetite, nausea, and abdominal pain.

TEAEs in which there was a  $\geq 5\%$  difference in frequency in patients treated with pexa-vec plus sorafenib compared to sorafenib included the following: pyrexia (84.4% vs. 12.9%), chills (32.6% vs. 1.84%), rash, pustular (17.9% vs. 0.9%), hypotension (16.1% vs. 0.9%), influenza-like illness (17.0% vs. 2.3%), injection-site pain (12.4% vs. 0%), decreased appetite (39.0% vs. 29.5%), vomiting (25.7% vs. 16.6%), tachycardia (7.3% vs. 0%), abdominal pain, upper (19.7% vs. 13.8%), and back pain (13.3% vs. 7.8%). TEAEs in which there was a  $\geq 5\%$  frequency in patients treated with sorafenib compared to pexa-vec plus sorafenib included the following: palmar-plantar erythrodysesthesia syndrome (45.6% vs. 33.5%), alopecia (21.2% vs. 14.7%), and blood bilirubin elevation (13.4% vs. 7.8%).

As outlined in Table 3, the incidence of serious TEAEs was higher in the pexa-vec plus sorafenib arm compared to the sorafenib arm (117 [53.7%] versus 77 [35.5%] patients, respectively). The most frequently reported (in  $\geq$ 5 patients) serious TEAEs in the pexa-vec plus sorafenib arm were hepatic failure, pyrexia, abdominal pain upper, ascites, and hepatic encephalopathy. The most frequently reported serious TEAEs in the sorafenib arm were hepatic failure, abdominal pain, and asthenia. Overall, the occurrence of TEAEs and analyses of other safety assessments indicated that both study treatments were safe at the doses administered in this study. The most commonly reported TEAEs (in  $\geq 2$  patients) leading to death in the pexa-vec plus sorafenib arm were hepatic failure, HCC, neoplasm progression, tumor rupture, hepatic rupture, and esophageal varices hemorrhage. Within the sorafenib arm, the most common TEAEs leading to death were hepatic failure, neoplasm progression, ruptured liver carcinoma, asthenia, and sepsis.

**Table 2.** Treatment emergent adverseevents in the safety population

	Pexa-vec + sorafenib (n = 218), n (%)	Sorafenib (n = 217), n (%)
Any	218 (100.00)	214 (98.62)
Leading to treatment discontinuation <sup>a</sup>	65 (29.8)	41 (18.9)
Leading to study discontinuation	45 (20.6)	40 (18.4)
	00 (30.3)	70 (32.3)
Pyrexia	184 (84.40)	28 (12.90)
Diarrnea Decreased appetite	107 (49.08)	110 (53.40)
Nausea	74 (33 94)	63 (29.49)
Palmar-plantar erythrodysesthesia syndrome	73 (33.49)	99 (45.62)
Chills	71 (32.57)	4 (1.84)
Fatigue	65 (29.82)	64 (29.49)
Abdominal pain	62 (28.44)	60 (27.65)
Weight decrease	12 (26.61)	49 (22.58)
Vomiting	56 (25.69)	27 (16.59)
Constipation	52 (23.85)	51 (23.50)
Ascites	46 (21.10)	36 (16.59)
Hypertension	44 (20.18)	39 (17.97)
Abdominal pain, upper	43 (19.72)	30 (13.82)
Rash, pustular Influenza like illness	39 (17.89) 27 (16.07)	Z (0.92) E (0.90)
Hypotension	37 (10.97)	2 (2.30) 2 (0.02)
Anemia	33 (15 14)	2 (0.92) 23 (10.60)
Headache	33 (15.14)	23 (10.60)
Alopecia	32 (14.68)	46 (21.20)
Cough	31 (14.22)	25 (11.52)
Aspartate aminotransferase elevation	30 (13.76)	39 (17.97)
Back pain	29 (13.30)	17 (7.83)
Edema, peripheral	27 (12.39)	21 (9.68)
Injection site pain	27 (12.39)	0 (0.00)
Abdominal distension	26 (11.93)	26 (11.98)
Rash	23 (10.55)	28 (12.90)
Asthenia	22 (10.09)	21 (9.08)
Dizziness	20 (9.17) 20 (9.17)	13 (0.91)
Pain in extremity	19 (8 72)	12 (5 53)
Hypokalemia	18 (8.26)	19 (8.76)
Dyspnea	18 (8.26)	11 (5.07)
Blood bilirubin elevation	17 (7.80)	29 (13.36)
Stomatitis	12 (7.80)	24 (11.06)
Upper respiratory tract infection	17 (7.80)	23 (10.60)
Hyponatremia	16 (7.34)	10 (4.61)
Insomnia	16 (7.34)	20 (9.22)
Oropharyngeal pain	16 (7.34)	10 (4.61)
Pruritus	16 (7.34) 16 (7.34)	17 (7.83)
Dyspansia	10 (7.34) 15 (6.88)	0 (0.00)
Hypoalbuminemia	15 (0.88)	13 (3.99) 11 (5.07)
Platelet count reduction	15 (6.88)	15 (6 91)
Hyperkalemia	14 (6.42)	4 (1.84)
Musculoskeletal pain	14 (6.42)	12 (5.53)
Epistaxis	13 (5.96)	9 (4.15)
Sinus tachycardia	13 (5.96)	3 (1.38)
Procedural pain	12 (5.50)	2 (0.92)
Myalgia	11 (5.05)	8 (3.69)
Dysphonia	10 (4.59)	12 (5.53)
Muscle spasms	10 (4.59)	12 (5.53)

Data are sorted in decreasing frequency for the pexa-vec plus sorafenib treatment arm. The frequency threshold used for this table was 5% in either treatment group. All events were collected by systematic assessment. <sup>a</sup>Either or both study treatments in the pexa-vec + sorafenib treatment group or sorafenib in the sorafenib only treatment group.

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Further anti-cancer therapies were administered to 83 (35.5%) patients in the pexa-vec plus sorafenib arm and 88 (39.1) patients in the sorafenib arm (online suppl. Table S3). More patients in the sorafenib arm received rescue therapy prior to disease progression compared to the pexa-vec plus sorafenib arm (68 [30.2%]) patients in the sorafenib arm and 34 (14.5%) patients in the pexa-vec plus sorafenib arm). The most commonly administered further anti-cancer therapies were regorafenib (24 [10.3%]) patients in the sorafenib arm, and nivolumab (24 [10.3%]) patients in the pexa-vec plus sorafenib arm, 27 (2.0%) patients in the sorafenib arm.

# Discussion

With sorafenib providing limited benefit for patients with advanced HCC, it was evident at the time this clinical trial commenced that there was a significant unmet need for agents with novel mechanisms of action [2]. PHOCUS was a phase 3 study conducted to assess the clinical benefit and safety profile of pexa-vec followed by sorafenib treatment, compared with sorafenib only treatment, in patients with advanced HCC without prior systemic therapy. Due to lack of OS benefit observed at the first interim analysis, the study was terminated early, and the originally planned protocol efficacy analyses were modified according to a revised regulatory strategy of Orphan Drug Designation for pexa-vec for the treatment of HCC.

Several factors related to the study design may have affected the survival results obtained at the interim analysis. As a live virus, pexa-vec can produce a number of progeny virions by replicating its own genetic material, eventually leading to lysis of the host cell. However, to promote tumor cell selectivity, the TK1 gene in pexa-vec has been deactivated. Thus, it cannot synthesize thymine nucleotides by itself and is dependent on an active cell cycle in its host cell for replication [15]. However, tyrosine kinase inhibitors, including sorafenib, are designed to stop the cell cycle of constantly dividing cells. While being an efficient mechanism of action, this effectively prevents the viral factory function required for the selfamplification of pexa-vec [17]. These antagonistic effects of agents in the cell cycle inhibitor family on pexa-vec replication have been confirmed in a number of studies [17, 27]. The PHOCUS study was thus designed to prevent concurrent active treatment with the two agents by allowing a 2-week wash-out period between the administration of pexa-vec and sorafenib. However,

the impact of sorafenib on sustained pexa-vec replication and immune activation beyond the washout period may have deleteriously impacted pexa-vec activity. It is notable that prior studies of pexa-vec showed continued tumor shrinkage and clinical benefit beyond a third IT dose, while sorafenib, in addition to the above impact on virus replication, has also shown immunosuppressive effects [17]. In the combined pexa-vec plus sorafenib treatment arm, sorafenib was not started until the completion of the pexa-vec therapy based on previously reported pre-clinical hypothesis [17]. Hypothetically, this delay of sorafenib therapy may have permitted tumor growth, resulting in the shorter TTP in the combination treatment arm.

At the time of study initiation, no drugs had been approved for the treatment of HCC after progression with sorafenib. However, during its course, several new agents were approved and administered to study patients [28, 29]. In addition, more patients in the sorafenib arm were administered rescue medication prior to progression, and one of the new protein kinase inhibitors (regorafenib) was more frequently administered in the sorafenib arm, which may have skewed post-progression survival results (online suppl. Table S3).

Additionally, OS might be influenced by post-study medication [28, 30]. Use of immune checkpoint inhibitors or new TKIs as a second line or later was not very common before 2015, when this study started patient recruitment. The relatively shorter PFS of 3 months with a longer OS of 14.0 months in the sorafenib arm of this study compared with the sorafenib arm from the phase III SHARP study with a PFS of 4.3 months and an OS of 10.7 months (19.2 vs. 13.4 months), published in 2008, may provide an insight into the effect of downstream treatment following progression.

Elevated AFP in patients with HCC is associated with a worse prognosis compared with the general HCC population [31–35], and a decline in serum AFP levels during treatment has been associated with tumor response in HCC patients who received various other systemic therapies. Zhu et al. [36] recently reported that HCC patients treated with ramucirumab were more likely to experience an AFP response (decrease) post-baseline compared with patients treated with placebo (ramucirumab: 35.4% vs. placebo: 9.3%; *p* < 0.0001) and were less likely to experience AFP progression (increase) at any time post-baseline compared with those treated with placebo (ramucirumab: 62.0% vs. placebo: 76.1%; p =0.0005). In the current study, we assessed the time to AFP >400 ng/mL; no statistically significant difference in TTME was observed between the two treatment arms.

**Table 3.** Serious treatment emergentadverse events by treatment, systemorgan class and preferred term – safetypopulation (in at least 2 patients in anytreatment group)

Event	Pexa-vec + sorafenib (n = 218), n (%)	Sorafenib ( <i>n</i> = 217), <i>n</i> (%)
Any Leading to death Leading to death, attributed to treatment	33 (15.14) 33 (15.14) 3 (1.38)	25 (11.52) 25 (11.52) 0 (0.00)
Leading to death, attributed to treatment Decurring in ≥0.5% (≥1) of patients in either group Hepatic failure Ascites Pyrexia Abdominal pain, upper Hepatic encephalopathy Abdominal pain Anemia Esophageal varices Gastrointestinal hemorrhage Neoplasm progression Peritonitis bacterial Upper gastrointestinal hemorrhage Asthenia Diarrhea Hemoptysis Hepatic function abnormal Hepatic rupture Liver carcinoma rupture Musculoskeletal chest pain Pneumonia Portal vein thrombosis Angina pectoris Aspartate aminotransferase elevation Bile duct stenosis Blood bilirubin elevation Cholecystitis Constipation Gastric hemorrhage General physical health deterioration Hematemesis HCC Hepatic hemorrhage Hepatorenal syndrome	3 (1.38) 11 (5.05) 8 (3.67) 7 (3.21) 5 (2.29) 4 (1.83) 4 (1.83) 3 (1.38) 3 (1.	0 (0.00)  8 (3.69) 4 (1.84) 1 (0.46) 3 (1.38) 4 (1.84) 5 (2.30) 1 (0.46) 3 (1.38) 1 (0.46) 4 (1.84) 0 (0.00) 1 (0.46) 5 (2.30) 3 (1.38) 1 (0.46) 5 (2.30) 3 (1.38) 0 (0.00) 1 (0.46) 5 (2.30) 3 (1.38) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 0 (0.00) 2 (0.92) 1 (0.46) 1 (0.46) 1 (0.46) 1 (0.46) 1 (0.46) 1 (0.46) 0 (0.00) 0 (0.
Hypotension Ischemic stroke Jaundice cholestatic Liver abscess Multiple organ dysfunction syndrome	2 (0.92) 0 (0.00) 2 (0.92) 2 (0.92) 2 (0.92)	0 (0.00) 2 (0.92) 0 (0.00) 0 (0.00) 1 (0.46)
Myocardial infarction Pulmonary embolism Rash, maculo-papular Respiratory failure Sepsis Tumor pain	2 (0.92) 2 (0.92) 0 (0.00) 2 (0.92) 1 (0.46) 2 (0.92)	0 (0.00) 1 (0.46) 2 (0.92) 1 (0.46) 4 (1.84) 1 (0.46)
Tumor rupture	2 (0.92)	0 (0.00)

HHC, hepatocellular carcinoma. SAEs were coded using Medical Dictionary for Regulatory Activities (MedDRA), version 22.0. The total number of SAEs counted all SAEs for patients. At each level of patient summarization, a patient was counted once for the most severe event if the patient reported 1 or more events. "*n*" represents the number of patients at each level of summarization. Data are sorted in decreasing frequency for the pexa-vec plus sorafenib treatment arm. As evident by recent findings across the oncolytic virus (OV) field, direct oncolysis of host cells is not the only, and perhaps not the most important, mechanism of action of OVs [37, 38]. The ability of OVs (particularly those armed with immune-boosting features) to induce long-term anti-tumor immune responses is emerging as an important factor in treatment success, and it is particularly interesting that efficient oncolysis may not always be required to initiate anti-tumor immunity [39, 40].

The occurrence of TEAEs and analyses of other safety assessments indicated that both study treatments (pexa-vec followed by sorafenib and sorafenib alone) were safe at the doses administered. TEAEs were observed in a high percentage of patients in both treatment arms, in 100% of patients in the pexa-vec plus sorafenib arm, and in 98.6% of patients in the sorafenib arm. However more TEAEs were observed in the pexa-vec plus sorafenib treatment arm than in the sorafenib arm (4,062 TEAEs in 218 patients treated with pexa-vec plus sorafenib vs. 2,890 TEAEs in 217 patients treated with sorafenib). The majority of TEAEs in both study arms were low grade, 89.2% in the pexa-vec plus sorafenib arm and 88.0% in the sorafenib arm. In the pexavec plus sorafenib arm versus sorafenib arm, TEAEs included pyrexia (84.4% vs. 12.9%), chills (32.6% vs. 1.84%), rash (17.9% vs. 0.9%), hypotension (16.1% vs. 0.9%), influenza-like illness (17.0% vs. 2.3%), and injection-site pain (12.4% vs. 0%), respectively. These TEAEs would be anticipated based on the toxicity profile of pexa-vec, which expressed GM-CSF. TEAEs leading to treatment discontinuation were higher in the pexa-vec plus sorafenib arm than in the sorafenib arm, 65 (29.8%) patients versus 41 (18.9%) patients, respectively. This could have been anticipated considering the increased toxicity with the combination regimen. Despite that, the frequency of TEAEs leading to study discontinuation were similar in the two treatment arms: 45 (20.6%) patients in the pexa-vec plus sorafenib arm and 40 (18.4%) patients in the sorafenib arm. The percentage of patients experiencing a serious adverse event (SAE) was moderately higher in patients treated with pexa-vec plus sorafenib compared to sorafenib, alone, 15.1% versus 11.5%, respectively. All SAEs were fatal in both treatment arms. However, the percentages of SAEs which led to death and which were attributed to treatment were similarly very low in both treatment arm, 1.4% and 0%, respectively. Thus, both treatment arms were reasonably well tolerated.

No new safety signal for pexa-vec was observed in this study. However, the full compliance rate of 3 IT injections in combination group was 75.2%, and 16 adverse events were reported as related to either IT

Pexa-Vec and Sorafenib Treatment in Hepatocellular Carcinoma injection or pexa-vec. Also, higher compliance showed the trend of longer OS. Therefore, another method of pexa-vec delivery may improve compliance, tolerability, and, thereby, perhaps the efficacy of treatment (online suppl. Table S2).

It is well known that the immune system plays a crucial role in hepatocarcinogenesis and HCC progression, and as such, immunotherapy and checkpoint inhibitor treatment, in particular, have been the main focus of recent research [40, 41]. The successful improvement of OS obtained with atezolizumab and bevacizumab in combination versus sorafenib with a hazard ratio of 0.66 (95% CI: 0.52, 0.85), leading to its approval for the treatment of advanced HCC, provides an indication of the importance of multi-pronged immune modulation in the HCC setting [5, 41].

Pexa-vec exerts its immune booster functions via expression of the hGM-CSF cassette and has been confirmed to induce both antibody-mediated complement-dependent cytotoxicity and adaptive responses with reactivity to tumor antigens [13]. This priming of anti-tumor immunity may complement the effect of immune checkpoint inhibitors, which are designed to enable an existing anti-tumor immune response, or other types of immunotherapies. As such, it is possible that potent immune modulation, aligning with the bevacizumab-atezolizumab strategy, could be achieved using a checkpoint inhibitor and immune booster (e.g., pexa-vec) combination. We hypothesize that multi-target treatments including tyrosine kinase inhibitors, angiogenesis inhibitors, and locoregional therapies together with immunotherapy may be the way forward for HCC treatment, with the aim of boosting response rates and minimizing resistance. Importantly, the cell cycle inhibitor family, e.g., tyrosine kinase inhibitors, antagonistic effects on pexa-vec replication, and immune stimulation, must be avoided by allowing a sufficient washout prior to pexa-vec treatment and combining with more rationale partner agents.

Despite the novel approach to therapy, the study had a few limitations. A key one was the lack of tissue collection for assessment of virus-tumor interactions. Baseline and on study tissue and blood collection including measurement of virus dissemination and replication, transgene expression, immune induction, or other tissue-based examinations, would have allowed the evaluation of the study population for patients who were more or less likely to benefit from pexavec. Along that line, the relatively limited number of patients with BCLC-C staged HCC is a reality reminder of the local therapeutic clinics approach of pexa-vec for BCLC-B patients, while BCLC-C patients could be provided a valuable clinical trial opportunity, and learns more with amply provided tissue. Such data may also have been of interest in order to evaluate any immune-based changes induced by pexa-vec and perhaps allowed for a more rationale selection of any future agents to pair with pexa-vec.

Future studies of pexa-vec in HCC and other potential cancer indications should uniformly attempt to address these shortcomings with appropriately conceived correlate assessments in order to more rationally apply this potential therapeutic and partner in a more scientifically based manner.

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# **Statement of Ethics**

The manuscript complies with the guidelines for human studies and was conducted ethically in accordance with the World Medical Association Declaration of Helsinki. In the manuscript, all 154 authors state that subjects have given their written informed consent and that the study protocol was approved by each institute's committee on human research. This study protocol was reviewed and approved by the US National Cancer Institute and was posted on clinicaltrials.gov under approval number NCT02562755. This study protocol was reviewed and approved by ethics committees at each of the participating sites. This full list of participating site and ethics committees can be found at clinicaltrials.gov/study/NCT02562755 and online supplementary Table S4. All patients received oral and written information about the study and signed a written informed consent before study inclusion. All study documentation was reviewed and approved by Local Institutional Ethics Committees/Institutional Review Boards, as applicable.

# **Conflict of Interest Statement**

Ghassan K. Abou-Alfa reports research support from Arcus, Astra Zeneca, BioNtech, BMS, Celgene, Flatiron, Genentech/ Roche, Genoscience, Incyte, Polaris, Puma, QED, Silenseed, Yiviva, and consulting support from Adicet, Alnylam, Astra Zeneca, Autem, Beigene, Berry Genomics, Boehringer Ingelheim, Celgene, Cend, CytomX, Eisai, Eli Lilly, Exelixis, Flatiron, Genentech/Roche, Genoscience, Helio, Helsinn, Incyte, Ipsen, Merck, Nerviano, Newbridge, Novartis, QED, Redhill, Rafael, Servier, Silenseed, Sobi, Vector, Yiviva, plus patent PCT/US2014/ 031545 filed on March 24, 2014, and priority application Serial No.: 61/804,907; filed: March 25, 2013. Peter R. Galle reports research support from Bayer and Roche and consulting support from Bayer, Boston Scientific, AstraZeneca, Adaptimmune, BMS, Eisai, MSD, Sirtex, Lilly, Roche, Guerbet, and Ipsen. Joseph Erinjeri reports consulting support from AztraZeneca. Jeong Heo received grants/research support from Roche, Yuhan, and Gilead and participates on trials steering committee for Sillajen, Oncolvs, Gilead, and AstraZeneca. Mitesh J. Borad report research support from Senhwa Pharmaceuticals, Adaptimmune, Agios Pharmaceuticals, Halozyme Pharmaceuticals, Celgene Pharmaceuticals, EMD Merck Serono, Toray, Dicerna, Taiho Pharmaceuticals, Sun Biopharma, Isis Pharmaceuticals, Redhill Pharmaceuticals, Boston Biomed, Basilea, Incyte Pharmaceuticals, Mirna Pharmaceuticals, Medimmune, Bioline, Sillajen, ARIAD Pharmaceuticals, PUMA Pharmaceuticals, Novartis Pharmaceuticals, QED Pharmaceuticals, Pieris Pharmaceuticals, and consulting support from ADC Therapeutics, Exelixis Pharmaceuticals, Inspyr Therapeutics, G1 Therapeutics, Immunovative Therapies, OncBioMune Pharmaceuticals, Western Oncolytics, Lynx Group, Genentech, Merck, Huya. James Burke is employed by CG Oncology and is consultant for Oncomyx, Kalivir, Western Oncolytics, Sonata, and Amped. Adina Pelusio is employed by Kalivir Immunotherapeutics and reports previous employment by SillaJen Biotherapeutics and Turnstone Biologics, Corp. Delphine Agathon reports previous employment by Transgene. Caroline Breitbach is an inventor on SillaJen patents. Edward Gane is a member of the Scientific Advisory Boards as an advisor and/or speaker for AbbVie, Abbott Diagnostics, Aligos, Arbutus, Arrowhead, Assembly, Dicerna, Gilead Sciences, GlaxoSmithKline, Janssen, Merck, Novartis, Roche, Surrozen, The Liver Company, Vaccitech, Virion Therapeutics, and Vir Bio and is on Speakers' Bureau for AbbVie and Abbott Diagnostics. Yee Chao, Angelo Luca, Monika Lusky, and Shukui Qin report no conflicts of interest.

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# **Author Contributions**

All authors Ghassan K. Abou-Alfa, Peter R. Galle, Yee Chao, Joseph Erinjeri, Jeong Heo, Mitesh J. Borad, Angelo Luca, James Burke, Adina Pelusio, Delphine Agathon, Monika Lusky, Caroline Breitbach, Shukui Qin, and Edward Gane planned the study and contributed to the interpretation of the data and revisions, and gave input at all stages of the study. All the authors have approved the final version of the manuscript.

# **Data Availability Statement**

All data generated or analyzed during this study are included in this article. Further inquiries can be directed to the corresponding author.

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