

Title: Natural phasic inhibition of dopamine neurons signals cognitive rigidity

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Abstract: When animals unexpectedly fail, their dopamine neurons undergo phasic inhibition that canonically drives extinction learning—a cognitive-flexibility mechanism for discarding outdated strategies. However, the existing evidence equates natural and artificial phasic inhibition, despite their spatiotemporal differences. Addressing this gap, we targeted a GABA_A-receptor antagonist precisely to dopamine neurons, yielding three unexpected findings. First, this intervention blocked natural phasic inhibition selectively, leaving tonic activity unaffected. Second, blocking natural phasic inhibition accelerated extinction learning—opposite to canonical mechanisms. Third, our approach selectively benefitted perseverative mice, restoring rapid extinction without affecting new reward learning. Our findings reveal that extinction learning is rapid by default and slowed by natural phasic inhibition—challenging foundational learning theories, while delineating a synaptic mechanism and therapeutic target for cognitive rigidity.

Main Text:

To thrive, animals must predict and secure essential rewards, such as food and water. When predictions fail, persistence is crucial to overcoming temporary obstacles. However, excess persistence, known as perseveration, is generally maladaptive (1-3).

These processes are often studied using Pavlovian assays in which neutral cues are paired with appetitive rewards. Seminal work using this paradigm established that ventral tegmental area dopamine (VTA_{DA}) neurons encode reward prediction error (RPE)—the difference between predicted and actual rewards (4-9). Investigating RPE's synaptic origins (10-12) revealed that phasic excitation dominates when rewards exceed predictions, producing a burst in VTA_{DA} activity (positive RPE). As animals learn to predict rewards, phasic inhibition counteracts reward-evoked excitation (approaching zero RPE). Finally, when a prediction of reward fails, phasic inhibition becomes unopposed, yielding a pause in VTA_{DA} activity (negative RPE).

Regarding behavioral roles, the RPE framework has inspired many causal experiments delineating VTA_{DA} bursts and pauses as opposing forces. Artificial phasic excitation of VTA_{DA} neurons induces bursts that promote Pavlovian conditioning, reinforcing new cue-reward associations (13-21). Conversely, artificial phasic inhibition induces VTA_{DA} pauses that drive Pavlovian extinction, suppressing preexisting cue-reward associations (22-24).

However, widefield optogenetic perturbations tend to produce synchronous events that closely resemble natural bursts, where nearly all VTA_{DA} cells are recruited simultaneously by an unexpected external event (25-27). By contrast, natural VTA_{DA} pauses, signaling the failure of an internally generated prediction, exhibit irregular patterns across cells and time (28). In principle, these spatiotemporally distinct patterns could serve an essential role. For instance, synchronous events might broadcast globally to promote the formation of new synaptic engrams (29), whereas irregular patterns might act locally to update preexisting ones.

Nevertheless, the complexity of natural pauses also complicates their elimination. Widefield optical excitation cannot counteract natural phasic inhibition without producing bursts in cells that would have paused weakly or not at all (28). This concern is compounded by tonic-firing variability, ranging from 0.5 to 10 Hz (5-7), and the sensitivity of extinction learning to the intervention strength, being slowed by 20-Hz (15, 30, 31) but unaffected by 5-Hz (31) optogenetic excitation. While single-cell optogenetics presents a potential solution (32, 33), such precision has yet to be applied to VTA_{DA} cells.

43 In this study, we address the complexity of natural phasic inhibition by shifting the focus
44 from VT_{DA} pauses to their receptor-mediated origin. Specifically, we test the hypothesis that
45 precisely blocking $GABA_A$ receptors on VT_{DA} cells would intercept natural phasic inhibitory
46 inputs, slowing the rate of behavioral extinction. While broadly believed to be true, experimental
47 support for this hypothesis has been indirect. Traditional $GABA_A$ pharmacology (34) and
48 optogenetic manipulations of GABA release in the VTA (10, 35, 36) support the canonical model,
49 but with the caveat of affecting non-dopaminergic cells that directly impact reward learning (37-
50 40). Knockout mice lacking the $GABA_A \beta_3$ subunit in DA neurons exhibit normal extinction, but
51 chronic compensatory changes were seen even in non-dopaminergic cells (41). To circumvent
52 these issues, we used DART (drug acutely restricted by tethering), a technology enabling cell-
53 specific, receptor-specific manipulations within minutes (42, 43).

54 **Gabazine^{DART} is a cell-specific, $GABA_A$ receptor-specific antagonist**

55 Our approach capitalizes on DART's ability to precisely block native $GABA_A$ receptors on VT_{DA}
56 neurons. To achieve cellular specificity, we use an AAV (adeno-associated viral vector) to express
57 HTP (HaloTag Protein) exclusively on VT_{DA} cells of $DAT::Cre$ mice. HTP expression is stable
58 and does not alter the physiology of VT_{DA} cells (43). At a later time of interest, we apply
59 gabazine^{DART}, a two-headed ligand whose HTL (HaloTag Ligand) is efficiently captured by HTP,
60 positioning the gabazine moiety to antagonize native $GABA_A$ receptors only on VT_{DA} cells
61 (Fig. 1A). We co-deliver gabazine^{DART} with a small amount of Alexa647^{DART} to serve as a
62 fluorescent proxy of drug delivery (Fig. 1A). Control mice are treated identically, except for use
63 of a double-dead HTP (ddHTP), which cannot bind the HTL (Fig. 1B).

64 In acute brain slices, dopamine neurons expressing ddHTP were unaffected by
65 gabazine^{DART}, whereas neurons expressing the active +HTP exhibited a rapid and nearly complete
66 block of $GABA_A$ -mediated synaptic transmission (Fig. S1A) (43). Regarding receptor specificity,
67 a saturating dose of tethered gabazine^{DART} did not alter excitatory glutamate receptors, nor did it
68 impact the intrinsic pacemaker properties or action-potential waveforms of VT_{DA} cells
69 (Fig. S1B-C). Furthermore, Alexa647^{DART} did not influence VT_{DA} physiology (Fig. S1D). Prior
70 *in-vivo* tests found no behavioral effects of ambient gabazine^{DART} when infused into the VTA of
71 awake ddHTP mice (43). In +HTP mice, gabazine^{DART} was tethered to VT_{DA} neurons within
72 minutes, with a single dose sufficing for two days of behavior (43). Together, these data validate
73 tethered gabazine^{DART} as a precise, cell-specific antagonist of native $GABA_A$ receptors.

74 **$GABA_A$ receptors mediate natural phasic inhibition of VT_{DA} neurons *in vivo***

75 In principle, $GABA_A$ receptors could regulate various aspects of VT_{DA} firing (44). We thus
76 recorded VT_{DA} action potentials in awake, head-fixed mice before and after delivery of
77 gabazine^{DART} (Fig. 1C). We examined a panel of tonic, burst, and pause metrics using a sliding-
78 window analysis spanning pre-gabazine^{DART} (15 min), drug infusion/equilibration (75 min), and
79 post-gabazine^{DART} (15 min) periods. In ddHTP mice, all metrics remained stable throughout the
80 recording, indicating negligible effects of ambient gabazine^{DART} (Fig. S2A).

81 In comparing +HTP mice to ddHTP controls, we found that gabazine^{DART} substantially
82 reduced the occurrence of spontaneous pauses. The effect is seen most readily in the main pause
83 metric, %PSI, the percent of interspike intervals longer than twice the median interval. This metric
84 was reduced by gabazine^{DART} in +HTP mice relative to ddHTP controls (two-sided permutation test,
85 $P=0.009$, Fig. 1D). The effect was robust to adjustments in the definition of a pause, and could not
86 be explained by a symmetrical change in interspike-interval variance (Fig. S2B). All other metrics
87 exhibited no significant difference in +HTP vs ddHTP mice (Fig. 1E-F, Fig. S2C-E). Thus, the
88 main effect of gabazine^{DART} is to prevent natural $GABA_A$ -mediated VT_{DA} pauses from occurring.

89 Histology confirmed ligand capture and specificity of viral expression: nearly all HTP
90 expressing cells (99.7%) were dopaminergic and most dopaminergic cells in the VTA (~64%)

91 expressed HTP (**Fig. S2F-H**). We did not opto-tag cells given concerns that overexpression of a
92 second membrane protein could hinder surface trafficking of HTP. Instead, we identified putative
93 dopamine neurons, as others have (45-47), by their unique electrophysiological features (48, 49),
94 criteria known to yield ~12% false-positives (49).

95 To further scrutinize the data, we used our manipulation's impact on pauses as a proxy for
96 HTP expression and gabazine^{DART} capture on individual cells. Reductions in the number of pauses
97 corresponded with a decrease in pause length (Pearson's $r^2=0.28$, $P=0.0006$, **Fig. S2I**), congruent
98 with a pause-reduction effect. By contrast, no correlations were found with respect to burst
99 parameters (**Fig. S2J**). Similarly, the median interspike interval showed no correlation to pause
100 reduction (Pearson's $r^2=0$, $P=0.95$) while total spikes trended very weakly (Pearson's $r^2=0.04$,
101 $P=0.2$, **Fig. S2K**). Thus, the underlying tonic rhythm was unaffected, while subtle total-spike
102 increments are an expected proxy of pause reduction itself.

103 The absence of even transient changes in tonic firing suggests homeostatic processes
104 operating faster than DART's 15-min onset, consistent with dynamic setpoint regulation by A-type
105 potassium channels (50). By contrast, millisecond phasic GABA signaling requires postsynaptic
106 GABA_A receptors. Thus, gabazine^{DART} selectively blocks phasic inhibition of VT_{DA} neurons
107 while preserving tonic- and burst-firing characteristics.

108 **Blocking GABA_A receptors on VT_{DA} cells accelerates Pavlovian extinction**

109 Given that gabazine^{DART} prevents natural VT_{DA} pauses, we expected it to slow extinction
110 learning. We tested this in water-deprived mice, trained for 10 days to associate cue A
111 (2.5 kHz tone, 1.5 sec) with sucrose-water reward in a head-fixed configuration (**Fig. 2A-B**).
112 Anticipatory licking during the cue (prior to reward delivery) served as the primary learning metric
113 (**Fig. 2C-D; Fig. S3A**). On day 11, mice received gabazine^{DART} and a 2 hr rest before resuming
114 rewarded cue A trials for 15 min. Thereafter, Pavlovian extinction (unrewarded cue A trials)
115 commenced, continuing into the final day (**Fig. 2E-F**, top). Contrary to expectations, we saw
116 significantly accelerated extinction in ⁺HTP mice compared to ^{dd}HTP controls ($P=0.0043$, two-
117 sided permutation test, **Fig. 2G**)—opposite the hypothesized direction of influence.

118 Mice were assayed during their dark (active) circadian phase, each being initially naïve to
119 the task. To avoid frustration from complete reward denial, extinction trials of cue A were
120 randomly interleaved with trials pairing a distinct cue B (11 kHz tone, 1.5 sec) with sucrose-water
121 reward (**Fig. 2E-F**, bottom), thereby maintaining overall reward availability (51). This design also
122 provided a within-mouse measure of Pavlovian conditioning, canonically driven by VT_{DA} bursts,
123 which we hypothesized would not be impacted by gabazine^{DART}. Confirming this hypothesis,
124 Pavlovian conditioning was unaffected by gabazine^{DART} ($P=0.53$, ⁺HTP vs ^{dd}HTP, two-sided
125 permutation test, **Fig. 2H**).

126 During training (days 1-10), we encouraged mice to ignore environmental sounds other
127 than cue A by imposing a timeout for licking during the random (3-13 sec) inter-trial interval
128 (**Fig. 2B**). This allowed cue B to remain novel (52), while achieving cue discrimination in the
129 majority of mice: 89% (24 of 27) were unresponsive to rare probes of cue B presented on day 10,
130 despite robust anticipatory licking to cue A, thereby satisfying our behavioral inclusion criteria
131 (**Fig. S3B**).

132 Finally, after the session on day 12, we performed brain histology on every mouse to
133 quantify target engagement. Locomotor enhancements, known to occur with VT_{DA} disinhibition
134 (43, 53-56), showed no correlation with gabazine^{DART} target engagement, nor with either form of
135 Pavlovian learning (**Fig. S3C-E**). By contrast, a significant correlation between Pavlovian
136 extinction and gabazine^{DART} target engagement was seen (Pearson's $r^2=0.36$, $P=0.04$, **Fig. S3F**),
137 underscoring its dose-dependency.

138 **VT_{DA} neural activity dynamics during Pavlovian behavior**

139 Given these surprising behavioral findings, we further scrutinized the impact of gabazine^{DART} on
140 VT_{DA} neural dynamics during the Pavlovian assay. Photometry recordings were obtained from
141 medial VT_{DA} neurons that co-expressed HTP and jGCaMP8f (57), a cytosolic protein optimized
142 to detect rapid calcium decrements (**Fig. 3A**). Histology confirmed fiber placement, AAV co-
143 expression, and ligand capture (**Fig. 3B, Fig. S4A**). Of 18 mice that met behavioral criteria, 12
144 mice (6 experimental, 6 control) met a minimum signal-fidelity criterion (**Fig. S4B**).

145 Control mice exhibited a canonical VT_{DA} pause to reward omission (**Fig. 3C**, black data
146 within yellow boxes). These pauses were prominent during early extinction trials and diminished
147 quickly thereafter, aligning with prior studies (31). We thus focused on the first 4 extinction trials
148 and observed the elimination of pauses in ⁺HTP/gabazine^{DART} mice (**Fig. 3C**, pink data within
149 yellow boxes), with a significant difference from controls (two-sided permutation test, $P=0.001$,
150 **Fig. 3C**). In subsequent trials, VT_{DA} pauses diminished in control mice, becoming statistically
151 indistinguishable from manipulated animals ($P=0.658$, **Fig. 3D**).

152 We saw no group differences before ligand infusion, nor post-gabazine^{DART} effects on
153 baseline GCaMP signals (**Fig. S4C-E**), consistent with the stable tonic firing seen in our electrical
154 recordings (**Fig. 1F**). Bursts to cue A were not significantly altered (**Fig. 3C-D**,
155 **Fig. S4F-G**, gray boxes), also consistent with electrical recordings (**Fig. 1E**). As reported for
156 unrewarded cues (25), a biphasic burst-pause to cue B appeared during probe (**Fig. S4E**) and early
157 conditioning (**S4H**, top); this was unaffected by gabazine^{DART} suggesting no GABA_A involvement.
158 During later conditioning, bursts to cue B trended larger but did not reach statistical significance
159 (**Fig. S4H**, white boxes), consistent with unaltered behavioral conditioning (**Fig. 2H**).

160 Our data adhere to the canonical subtractive mechanism of RPE calculation (10), where
161 phasic inhibitory predictions diminish bursts if the predicted reward is received ($P=0.034$,
162 **Fig. S4F**, right inset) or produce a pause if the predicted reward is withheld ($P=0.001$, **Fig. 3C**).
163 However, this correlative adherence to RPE belies a starkly different causal picture—one in which
164 natural phasic inhibition of VT_{DA} neurons favors persistence over adaptation (**Fig. 2**).

165 **Gabazine^{DART} impacts perseverative, but not flexible, mice**

166 Mice exhibited variable rates of conditioning, which was anti-correlated with extinction in ^{dd}HTP
167 controls (Pearson's $r^2=0.73$, $P=0.0004$, **Fig. 4A**, black). We used conditioning, given its
168 insensitivity to gabazine^{DART}, to sort mice into upper and lower halves of this phenotypic spectrum.
169 Slow-conditioning mice exhibited rapid cue A extinction (**Fig. 4B**, black), whereas fast-
170 conditioning mice perseverated, responding to cue A despite repeated failure (**Fig. 4C**, black).

171 Gabazine^{DART} eliminated perseveration in the fast-conditioning subset of mice, selectively
172 accelerating extinction ($P=0.001$, two-sided permutation test, **Fig. 4D**, bottom) without altering
173 their naturally fast conditioning ($P=0.8$, **Fig. 4D**, top). When administered to the other phenotypic
174 category of mice, gabazine^{DART} had little impact on either form of Pavlovian learning (**Fig. 4B**).
175 Overall, gabazine^{DART} caused extinction to become uniformly rapid across the phenotypic
176 spectrum, eliminating its anti-correlation with conditioning (Pearson's $r^2=0.07$, $P=0.4$, **Fig. 4A**,
177 pink). Consequently, a unique phenotype not seen in the control population emerged—mice adept
178 at both rapid conditioning and rapid extinction (**Fig. 4C**, pink).

179 We did not observe trending sex differences (**Fig. 4A**), nor patterns in randomly interleaved
180 trials that could explain phenotypic differences (**Fig. S5A**), consistent with previously reported
181 intrinsic trait variability (58, 59). Initial training rates, which can be obscured by variability in task
182 familiarization, did not predict later phenotypes (**Fig. S5B**). By contrast, we consistently observed
183 the same phenotypic anti-correlation across a larger set of 25 mice pooled from the control arms
184 of ongoing studies (**Fig. S5C**). This underscores the unique rapid-conditioning / rapid-extinction
185 phenotype produced by VT_{DA}-specific GABA_A antagonism (**Fig. 4C**, pink).

186 **Discussion**

187 The notion that learning is amplified by surprise is a central tenet in behavioral neuroscience.
188 Canonically, surprise produces phasic dopamine signals that enhance cognitive flexibility, driving
189 rapid learning, while tonic dopamine serves primarily as a baseline. Here, we reveal a fundamental
190 inversion of these roles. Our finding that tonic dopamine allows rapid extinction learning aligns
191 with a recent study suggesting that animals exhibit a default learning rate in the absence of phasic
192 dopamine fluctuations (21). However, their focus on phasic excitation only allowed for transient
193 increases above this default learning rate. Our study extends these findings by revealing that
194 natural phasic inhibition can transiently slow the learning rate well below its default. Rather than
195 conveying surprise, which enhances cognitive flexibility, we propose that natural phasic inhibition
196 acts as a cognitive-rigidity signal, triggering skepticism toward new evidence that conflicts with
197 prior expectations.

198 Two technical considerations arise from our findings. First, while our approach is specific
199 to GABAergic over glutamatergic inputs, it remains unclear which subset of GABAergic inputs
200 encodes the cognitive-rigidity signal. Given the importance of postsynaptic VT_{DA} specificity to
201 our findings, new tools must be developed to maintain this feature while refining input
202 specificity—a constraint beyond the capability of existing tools (43). Second, since gabazine^{DART}
203 and excitatory optogenetics both counteract phasic inhibition of VT_{DA} neurons, their opposite
204 behavioral effects merit discussion. Early studies using 20-Hz optogenetic excitation to overpower
205 natural phasic inhibition induced artificial bursts, driving conditioning that could be mistaken for
206 slowed extinction. Efforts to mitigate this confound by using 5-Hz excitation still induce artificial
207 bursts that could obscure measures of extinction, consistent with the reported lack of behavioral
208 effects (31). Thus, despite similar population-average effects, adding an artificial signal is not the
209 same as blocking a natural one.

210 The sensitivity of behavior to VT_{DA} activity patterns suggests that the brain could employ
211 a vocabulary of patterns for different forms of learning. For instance, optogenetic inhibition of
212 VT_{DA} cells (22-24) resembles mildly aversive air puffs (10-12): both are unexpected external
213 events that induce synchronous phasic inhibition of VT_{DA} neurons, without the need for prior
214 training. By contrast, our study examines phasic inhibition tied to appetitive reward omission,
215 which exhibits complex spatial and temporal patterns (28), stems from distinct presynaptic origins
216 (11, 12, 60), and requires prior training (31, 61). These two patterns of phasic inhibition may
217 engage different dopamine-dependent plasticity rules (31, 62-64) with distinct objectives.
218 Synchronous pauses may signal aversive surprise (10-12, 65), enhancing cognitive flexibility to
219 predict and avoid future air puffs. Irregular pauses may signal cognitive rigidity—driving
220 persistence despite failure.

221 A persistence mechanism can be adaptive in moderation (31, 61), but may become
222 maladaptive, leading to perseveration as seen in schizophrenia (66), obsessive-compulsive
223 disorder (67), addiction (68), and Parkinson's disease (PD) (69). While many of these disorders
224 have been characterized as hyper- or hypo-dopaminergic, our study shows that subtle changes in
225 dopamine-neuron activity can have an outsized effect on behavior. This raises the question of
226 whether modulating specific phasic components of dopamine signaling could offer a better
227 therapeutic profile than current treatments that modulate dopamine signaling globally and
228 continuously. While much remains to be done, the precision of gabazine^{DART}, both in its specificity
229 for extinction over conditioning behaviors and for perseverative over non-perseverative
230 individuals, underscores the potential of targeted synaptic interventions for treating neurological
231 disorders within a diverse population (70).

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243 **Author Contributions**

244 See **Table S2** for detailed author contributions. **Conceptualization:** SCVB, MRT. **Methodology:**
245 SCVB, HY, SSSL, BCS, MRT. **Software:** SCVB, MRT. **Validation:** SCVB, HY, SSSL, BCS,
246 MRT. **Formal Analysis:** SCVB, HY, MRT. **Investigation:** SCVB, HY. **Resources:** HY, SSSL,
247 BCS, MRT. **Data Curation:** SCVB. **Writing, Original Draft:** SCVB. **Writing, Review and**
248 **Editing:** SCVB, HY, SSSL, BCS, MRT. **Visualization:** SCVB, HY, MRT. **Supervision:** MRT.
249 **Project Administration:** SCVB, BCS, MRT. **Funding Acquisition:** MRT.

250 **Competing Interests**

251 MRT and BCS are on patent applications describing DART. Other authors declare no competing
252 interests.

253 **IP Rights Notice**

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255 Author Accepted Manuscript (AAM) version arising from this submission.

256 **Data and Software Availability** — All data and software are publicly available.

257 **Protocols:** <https://doi.org/10.17504/protocols.io.j8nlk8ekdl5r/v1>

258 **Software:** https://github.com/tadrosslab/VTA_GABA_paper and
259 <https://doi.org/10.5281/zenodo.10951255>

260 **Datasets:**

- 261 • **Fig. 1, Fig. S1-2:** <https://doi.org/10.5281/zenodo.10904059>
- 262 • **Fig. 2,4, Fig. S3, 5:** <https://doi.org/10.5281/zenodo.10903566> and
263 <https://doi.org/10.5281/zenodo.10908572>
- 264 • **Fig. 3, Fig. S4:** <https://doi.org/10.5281/zenodo.10908502>

265 **Contact for Reagent and Resource Sharing**

266 Further information and requests for resources and reagents should be directed to and will be
267 fulfilled by the corresponding author Michael R. Tadross, MD, PhD (michael.tadross@duke.edu).

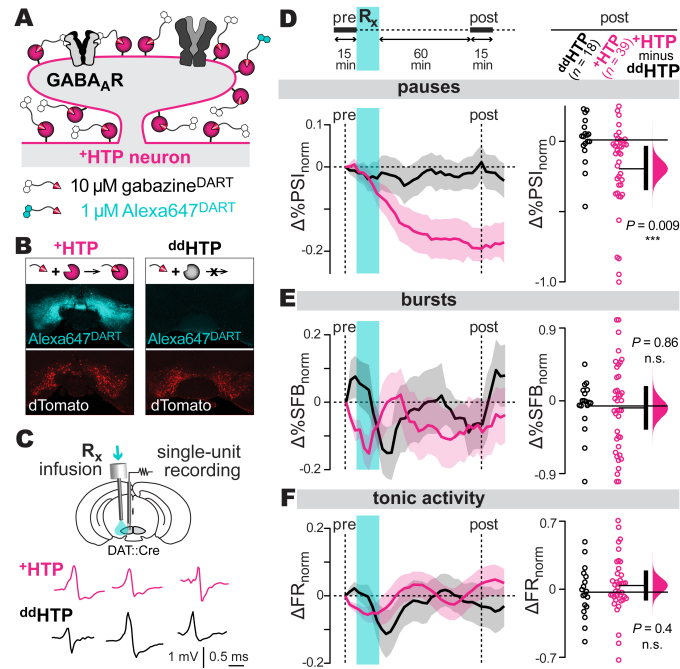


Fig. 1: GABA_A receptors mediate VTA_{DA} pauses *in vivo*

A: DART technology. AAV expression of the +HTP protein (pink) enables cell-specific covalent capture of gabazine^{DART} (black) and Alexa647^{DART} (cyan) ligands. Once tethered, gabazine^{DART} blocks native GABA_A receptors, while Alexa647^{DART} enables fluorescent visualization of target engagement.

B: Example histology. AAV expression of the active +HTP or control ^{dd}HTP in VTA_{DA} neurons is indicated by dTomato (red). All mice receive an intracranial ligand infusion of 10 μM gabazine^{DART} + 1 μM Alexa647^{DART}, and are perfused 36 hr later for histology. Alexa647^{DART} (cyan) quantifies ligand target engagement.

C: Electrophysiology. Top: an electrode bundle targeting the medial VTA enables *in vivo* extracellular recordings. A nearby cannula permits ligand infusion. **Bottom:** sample putative dopamine-neuron spikes, recorded in head-fixed animals, shown for +HTP and ^{dd}HTP mice.

D: Pauses in firing: Top: time course of recording, baseline 15-min (pre-gabazine^{DART}) followed by infusion and post-gabazine^{DART} recording. **Bottom:** pause metric, %PSI (percent of interspike intervals longer than twice the median interspike interval). Changes in %PSI compare a 15-min baseline (%PSI_{pre}) to a 15-min sliding window (%PSI_{post}) according to $\Delta\%PSI_{norm} = (\%PSI_{post} - \%PSI_{pre}) / (\%PSI_{post} + \%PSI_{pre})$. Left: $\Delta\%PSI_{norm}$ time course, mean ± SEM over cells ($n = 18$ ^{dd}HTP cells, 3 mice; $n = 39$ +HTP cells, 5 mice). **Right:** steady-state $\Delta\%PSI_{norm}$ (1-hr post-gabazine^{DART}) with individual cells (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar); +HTP and ^{dd}HTP cells differ significantly ($P=0.009$).

E-F: Burst/tonic firing: Analysis of %SFB (percent of spikes fired in bursts) and FR (firing rate) from the same cells; format as above.

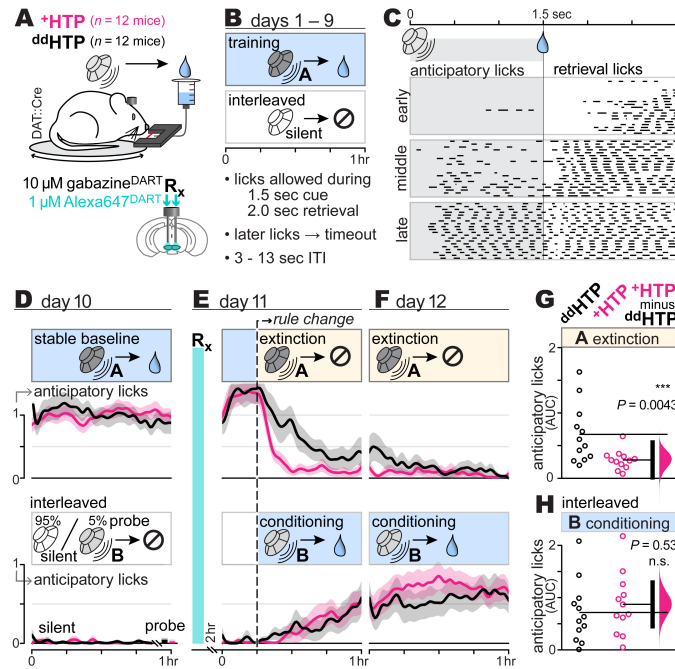


Fig. 2: Blocking GABA_A receptors on VTA_{DA} cells accelerates Pavlovian extinction

A: Pavlovian behavior paradigm. DAT::Cre mice with bilateral VTA cannula and +HTP or ddHTP expression in VTA_{DA} cells. Mice are head-fixed and presented with auditory cues and sucrose-water rewards. Licks detected with infrared beam; locomotion monitored via circular treadmill.

B: Training regimen. Over 9 days, mice undergo sessions where cue A (2.5 kHz tone, 1.5 sec) reliably signals reward, interspersed with silent trials (no cue, no reward) to monitor nonspecific licking. Licking during the random (3-13 sec) inter-trial interval is discouraged with a timeout, training mice to ignore environmental sounds other than cue A. Each session lasts 1 hour and comprises 100-150 cue A trials.

C: Anticipatory licking. Black line segments show beam breaks (licking) from a sample mouse on days 1 (early), 2 (middle), and 9 (late) of training.

D: Stable baseline. To account for individual-mouse differences, day 10 anticipatory licking to cue A is calculated for each mouse and used as a constant of normalization for that animal. The same normalization constant is applied to cue A trials (top) and silent / cue B trials (bottom). Lines and shading are the normalized anticipatory licking mean \pm SEM over mice ($n = 12$ ddHTP mice; $n = 12$ +HTP mice).

E-F: Pavlovian learning. On day 11, mice receive gabazine^{DART} and a 2 hr rest. The first ~15 min of the assay continue the prior day's rules. Following the rule change, unrewarded cue A trials (extinction) are randomly interleaved with rewarded cue B trials (conditioning), contingencies which continue into day 12. Lines and shading are $lick_{norm}$ mean \pm SEM over mice ($n = 12$ ddHTP mice; $n = 12$ +HTP mice).

G: Extinction AUC (area under the curve; $lick_{norm} \times hr$), integrating $lick_{norm}$ over 1.75 hr (post rule-change). $AUC = 1.75$ indicates no extinction (cue A anticipatory licking equal to that on day 10), while smaller values indicate greater extinction. AUC of individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar) indicate a significant difference between +HTP and ddHTP mice ($P=0.0043$).

H: Conditioning AUC. Format as above, showing cue B conditioning trials from the same mice ($P=0.53$).

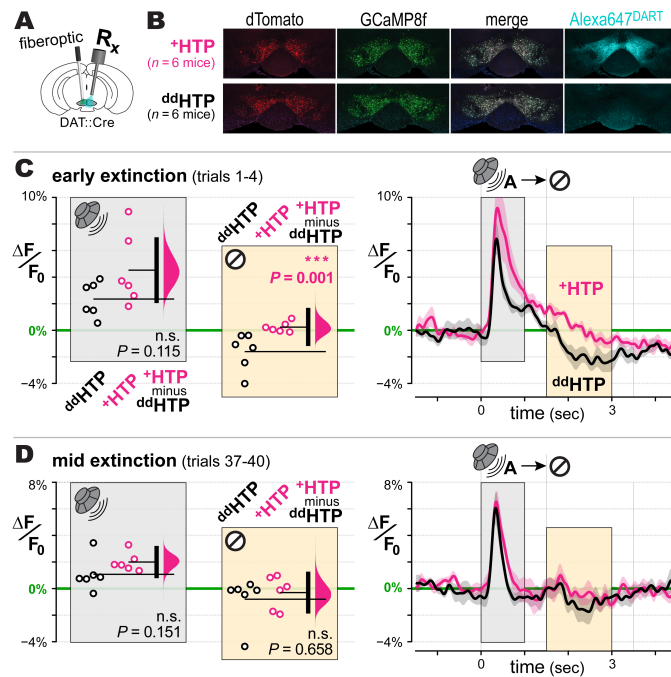


Fig. 3: VTA_{DA} dynamics during Pavlovian behavior

A: Experimental setup. DAT::cre mice injected with AAV-DIO-GCaMP8f and either AAV-DIO-⁺HTP_{GPI} or AAV-DIO-^{dd}HTP_{GPI} in the VTA. Cannula and optical fiber implants permit intracranial DART infusions and calcium recording from VTA_{DA} neurons throughout the 12-day Pavlovian assay.

B: Example histology. AAV expression of GCaMP8f (green) and the active ⁺HTP or control ^{dd}HTP indicated by dTomato (red). All mice receive an intracranial ligand infusion of 10 μM gabazine^{DART} + 1 μM Alexa647^{DART}, and are perfused 36 hr later for histology. Alexa647^{DART} (cyan) quantifies ligand target engagement.

C: Early extinction. GCaMP8f responses in ^{dd}HTP (black) vs ⁺HTP (pink) mice during the first four extinction trials. **Right:** time course of ΔF/F₀ mean ± SEM over mice (n = 6 ^{dd}HTP mice; n = 6 ⁺HTP mice). Analysis of ΔF/F₀ during cue-burst (0 - 1 sec) and omission-pause (1.5 - 3 sec) is plotted in the left panel of corresponding color. **Left:** individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar). ⁺HTP and ^{dd}HTP mice were not statistically different during cue Burst (P=0.115), yet differed significantly during omission-pause (P=0.001).

D: Middle extinction. Format as above, during extinction trials 37 – 40.

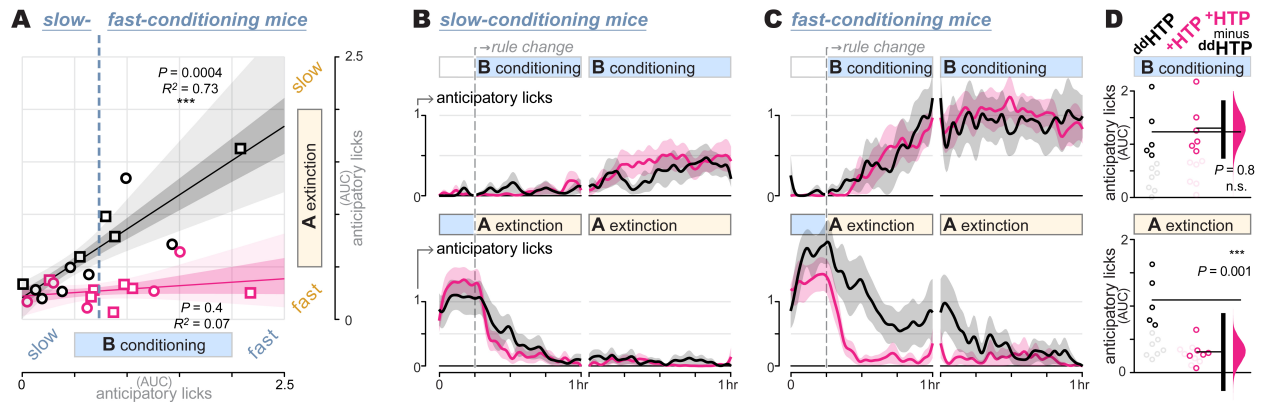


Fig. 4: Gabazine^{DART} impacts perseverative, but not flexible, mice

A: Phenotypic spectrum. Extinction-AUC vs conditioning-AUC measured within-mouse. Individual mice (squares = males, circles = females), regression fit (line), and regression 95% and 68% CI (light and dark shading) are shown for ^{dd}HTP (black, $n = 12$) and ⁺HTP (pink, $n = 12$) mice. In ^{dd}HTP mice, we observe an anti-correlation wherein fast-conditioning and slow-extinction (upper-right) tend to co-occur (Pearson's $r^2 = 0.73$, $P = 0.0004$). In ⁺HTP mice, the full spectrum of conditioning is seen, however extinction is uniformly rapid, independent of conditioning (Pearson's $r^2 = 0.07$, $P = 0.4$). Vertical dashed line illustrates the conditioning boundary ($AUC = 0.75$) chosen to divide mice into the approximate lower and upper halves of the phenotypic spectrum.

B: Slow-conditioning mice. Analysis of mice exhibiting slow conditioning ($AUC < 0.75$), with conditioning (top) and extinction (bottom). Lines and shading are normalized anticipatory licking, mean \pm SEM over mice ($n = 7$ ^{dd}HTP mice; $n = 6$ ⁺HTP mice);

C: Fast-conditioning mice. Analysis of mice exhibiting fast conditioning ($AUC > 0.75$), with conditioning (top) and extinction (bottom). Lines and shading are normalized anticipatory licking and mean \pm SEM over mice ($n = 5$ ^{dd}HTP mice; $n = 6$ ⁺HTP mice).

D: Fast-conditioning AUC. Summary data with the slow-conditioning subset of mice removed (faded circles), allowing a focused analysis of the fast-conditioning subset ($AUC > 0.75$). AUC of individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar) indicate that ⁺HTP and ^{dd}HTP differ significantly with regard to extinction learning ($P = 0.001$).

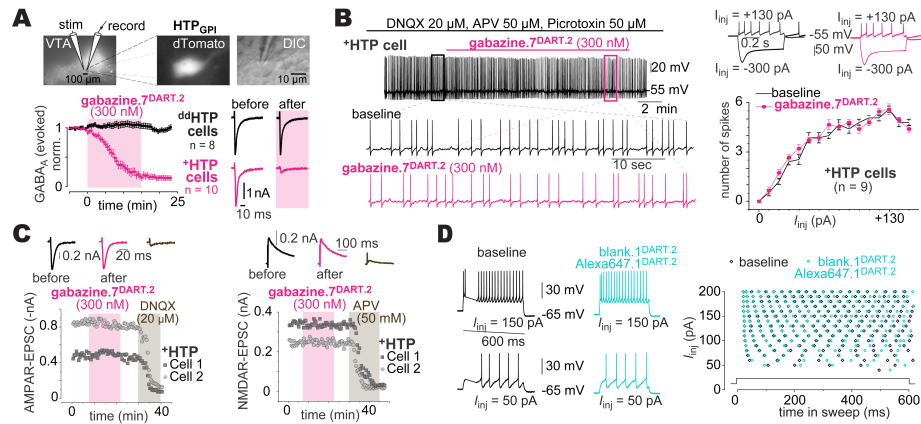


Fig. S1: Specificity of gabazine^{DART.2} to the GABA_AR

A: Gabazine^{DART.2} validation. Top: evoked-IPSC configuration in VTA slice. Bottom: 300 nM gabazine^{DART.2} has no impact on ddHTP neurons, while blocking IPSCs on +HTP cells in under 15 min ($86 \pm 4\%$ block). Data are mean \pm SEM, cells normalized to baseline (+HTP: n=10 cells; ddHTP: n=8 cells). Example traces to right.

B: Gabazine^{DART.2} and VTA_{DA} action potentials. Left: current clamp of a VTA_{DA} neuron in the presence of picrotoxin (GABA_A receptor blocker), DNQX (AMPA receptor blocker), and APV (NMDA receptor blocker). Right: quantification of action potential firing as a function of injected current; performed before (black) vs after (cyan) gabazine^{DART.2} was tethered on each cell. Representative traces shown above. Error bars are mean \pm SEM over cells (n = 9).

C: Gabazine^{DART.2} and AMPARs/NMDARs. Left: 300 nM gabazine^{DART.2} has no effect on +HTP neuron AMPAR-EPSCs, which are subsequently blocked by 20 μM DNQX. Example traces above. Right: 300 nm gabazine^{DART.2} has no effect on +HTP neuron NMDAR-EPSCs, which are subsequently blocked by 50 μM APV. Example traces above.

D: Alexa647.1^{DART.2} validation. Current clamp studies in VTA_{DA} +HTP neurons with 10:1 blank.1^{DART.2} + Alexa647.1^{DART.2}. No significant change was observed before vs after Alexa647.1^{DART.2} was tethered. Representative traces shown left.

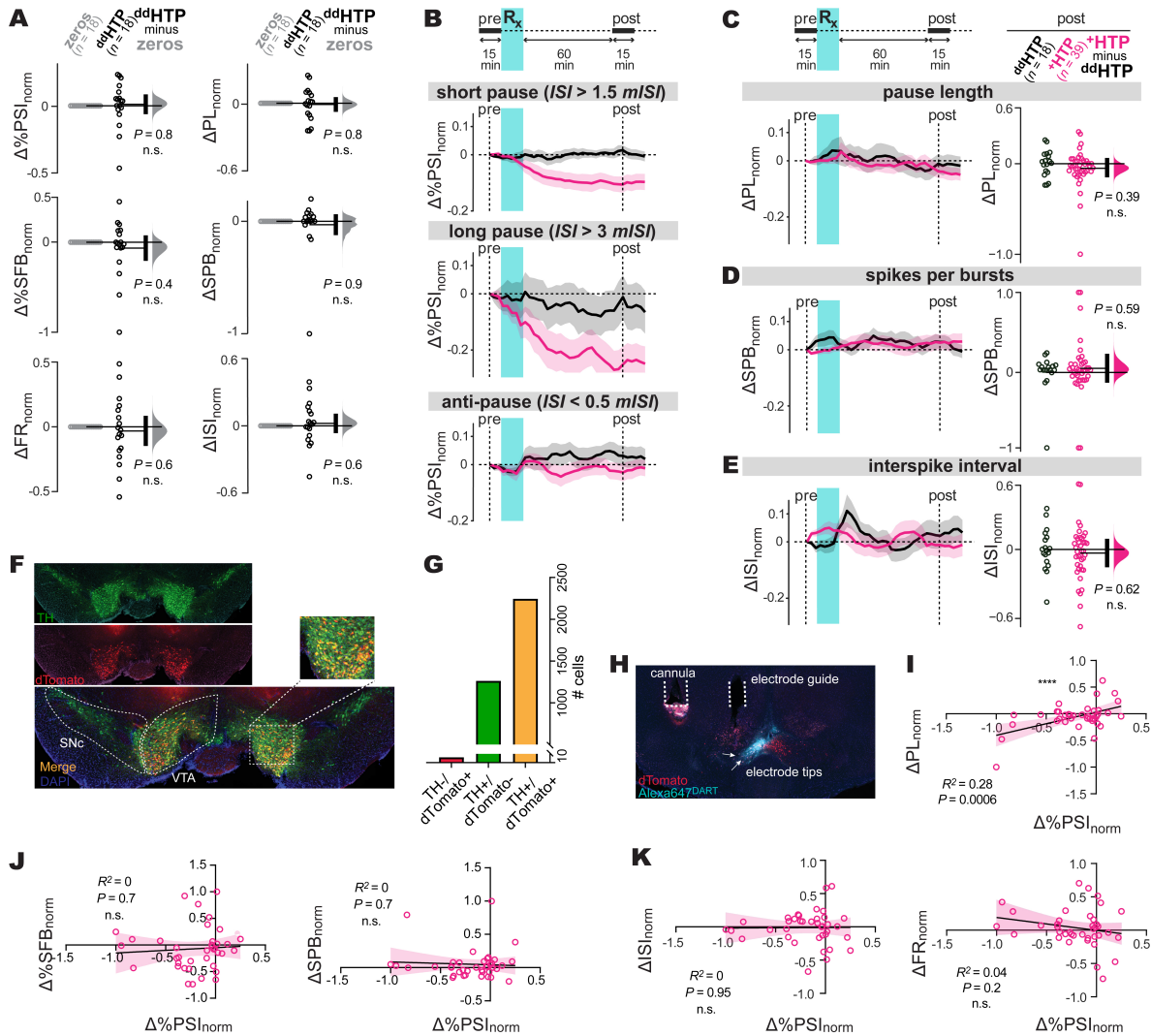


Fig. S2: Supporting data for *in vivo* electrophysiology

A: Control $ddHTP$ metrics. Analysis of tonic, burst, and pause stability in $ddHTP$ mice. Steady-state Δ_{norm} (1-hr post-gabazine^{DART}) with individual cells (circles), group means (thin horizontal lines), mean-difference bootstrap (grey distribution), and 95% CI of the two-sided permutation test (vertical black bar), comparing $ddHTP$ cells to zero.

B: Examining robustness of pause result. Robustness analysis of our primary pause metric, $\%PSI$. The top two panels examine various “longer-than-average” metrics of pause occurrence (format as in Fig. 1d). The bottom panel examines a “shorter-than-average” metric to test for the asymmetry of effects.

C-E: Pause length, bursts, and ISI. Analysis of PL (pause length), SPB (spikes per burst), and $mISI$ (median interspike interval); format as in Fig. 1d-f.

F-G: Cell counting. Representative histology and quantitative cell counting. Dopamine neurons (TH, tyrosine-hydroxylase, green). HTP expression (dTomato, red). Cell counting was performed from one representative brain. There were 2,244 double-labeled (dTomato⁺/TH⁺) cells. This represents 99.7% of all virus-positive cells (2,250 dTomato⁺), and 64% of all dopaminergic cells (3,507 TH⁺).

H: Electrode histology. Post-electrophysiology histology. HTP expression (dTomato, red); ligand capture (cyan); and electrode tips (arrows).

I: Pauses vs pause length. Correlation between PL (pause length) and $\%PSI$ from each HTP cell (circles, $n=39$), with regression $\pm 95\%$ CI (line and shading). Pearson’s $r^2 = 0.28$, $P = 0.0006$.

J-K: Pauses vs other features. Correlation between all other metrics and $\%PSI$; format as above.

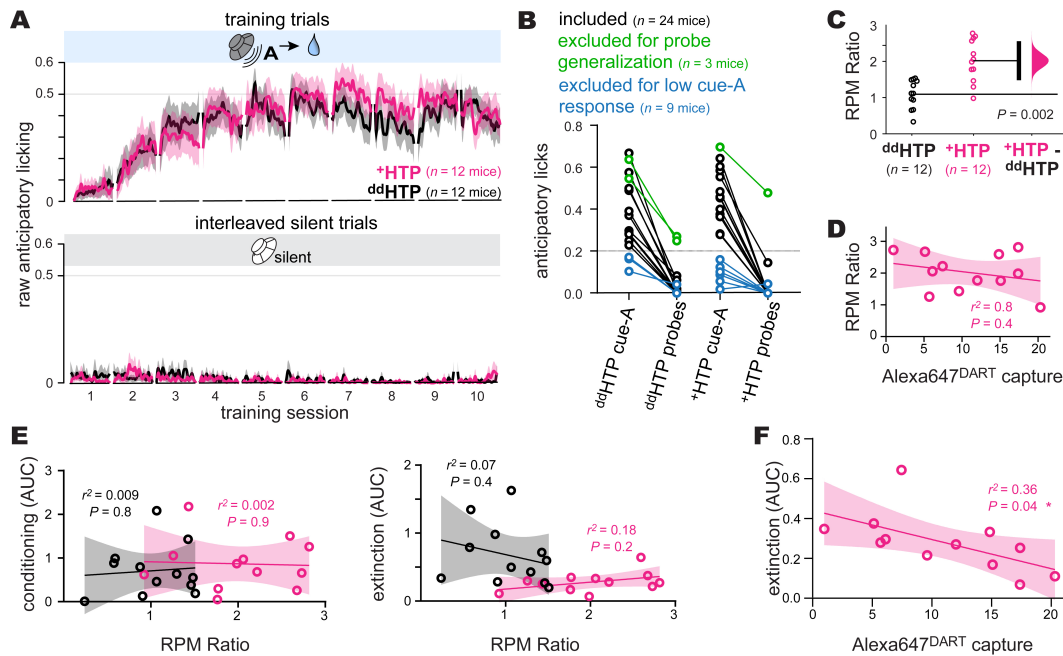


Fig. S3: Supporting data for Pavlovian extinction and conditioning assay

A: Training sessions. Lines and shading show anticipatory licking (fraction of time that beam is broken during the cue), mean \pm SEM over mice ($n = 12$ ^{dd}HTP; $n = 12$ +HTP). Both +HTP and ^{dd}HTP mice develop robust anticipatory licking to cue A across training, while exhibiting little to no background licking during silent trials. Note that this figure shows raw (non-normalized) anticipatory licking, whereas the main-text figures display normalized anticipatory licking, with day-10 anticipatory as a constant of normalization for each animal.

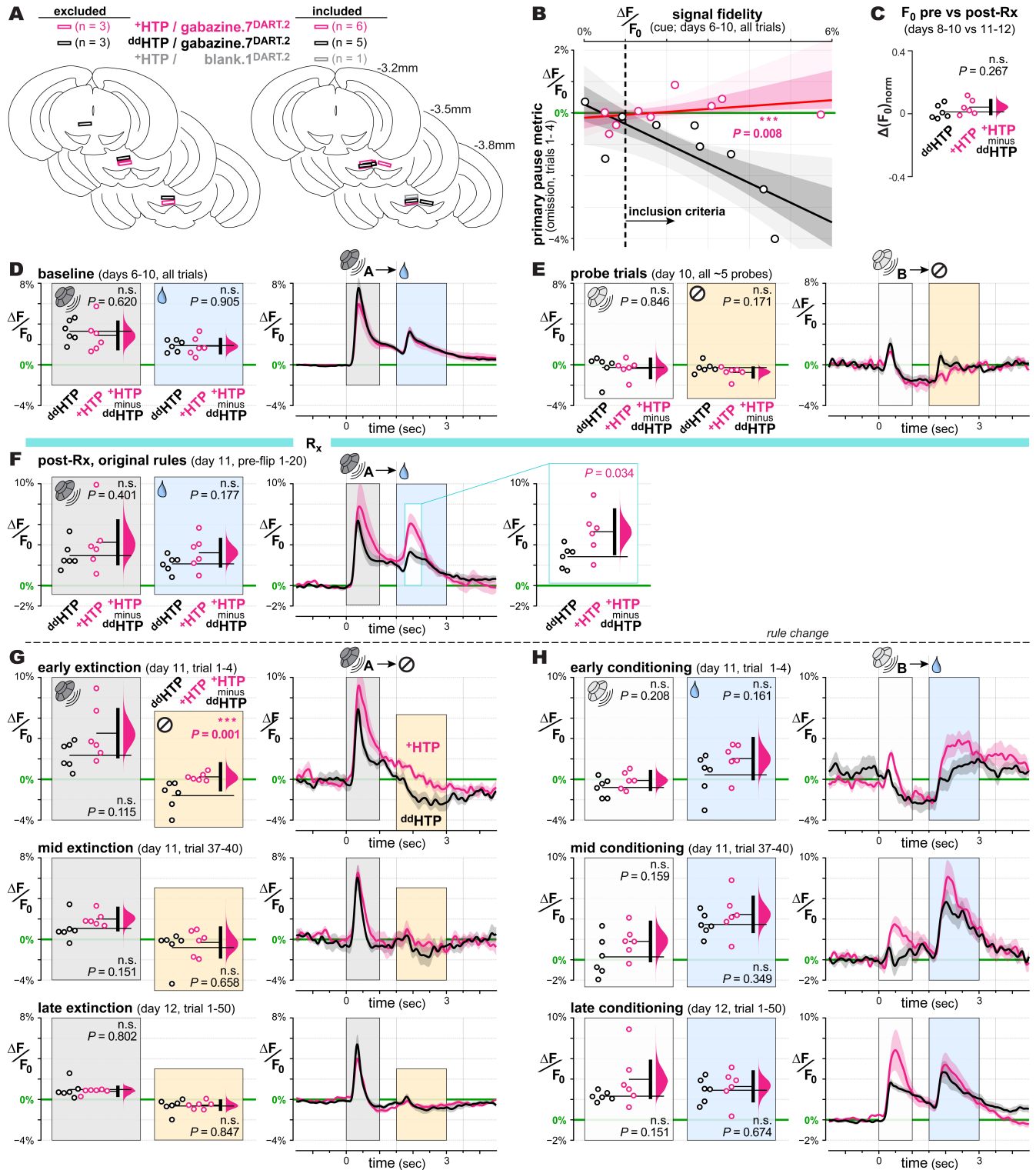
B: Behavioral inclusion criteria. We required robust anticipatory licking to cue A (raw anticipatory licking greater than 0.2) and low responsiveness to cue B probes (less than 30% of cue A anticipatory licking). A total of 9 mice (3 ^{dd}HTP and 6 +HTP) were excluded for lack of cue A responsiveness (blue). Of the remaining 27 mice, only 3 mice (2 ^{dd}HTP and 1 +HTP) were excluded for probe generalization (green). Thus 89% (24 of 27) successfully discriminated cue B.

C: Locomotion. Ratio of the average treadmill RPM post-gabazine^{DART} (day 11-12) divided by pre-gabazine^{DART} (day 8-10). Individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar). As previously reported (43), disinhibition of VTA_{DA} neurons enhances locomotion.

D: Locomotion vs histology. Correlation between RPM ratio and Alexa647^{DART} capture in the dorsal VTA of +HTP mice ($n=12$). Mice (circles), regression \pm 95% CI (line and shading). Pearson's $r^2 = 0.08$, $P = 0.4$ indicates no significant correlation.

E: Locomotion vs reward learning. Correlation between RPM ratio and our two measures of reward learning: conditioning AUC (left) and extinction AUC (right). Mice (circles; $n=12$ ^{dd}HTP; $n=12$ +HTP) and regression \pm 95% CI (line and shading). Pearson's tests show no significant correlation (r^2 and P values as indicated).

F: Extinction learning vs histology. Correlation between extinction (AUC) and Alexa647^{DART} capture in the dorsal VTA of +HTP mice ($n=12$). Mice (circles), regression \pm 95% CI (line and shading). Pearson's $r^2 = 0.36$, $P = 0.04$ indicates a significant correlation, with higher levels of target engagement corresponding to faster rates of extinction.



(legend on next page)

Fig. S4: Supporting data for fiber photometry studies

A: Fiber placement. Optic fibers were placed dorsal to the VTA and equivalently spread between control and experimental conditions. 6 mice (3 +HTP/gabazine^{DART} and 3 ^{dd}HTP/gabazine^{DART}) were excluded based on GCaMP8f signal levels (left). 12 mice (6 +HTP/gabazine^{DART}, 5 ^{dd}HTP/gabazine^{DART}, and 1 +HTP/blank^{DART}) were included in further analysis (right).

B: Photometry inclusion criteria. Signal-fidelity metric (cue-evoked burst, days 6-10) reflects GCaMP8f expression and its coupling efficiency to the fiber-optic. This pre-gabazine^{DART} signal-fidelity metric is plotted against our main post-gabazine^{DART} pause metric (omission pause, day 11 extinction trials 1-4). Data from individual mice (circles), regression fits (lines), and regression 95% and 68% CI (light and dark shading) are shown for ^{dd}HTP (black, $n = 9$) and +HTP (pink, $n = 9$) mice. With all data included, there is a clear statistical difference between ^{dd}HTP and +HTP mice (two-sided permutation slope test, $P = 0.008$). Mice above a signal-fidelity threshold (to the right of the dashed line at 1% $\Delta F/F_0$) are included in the subsequent analyses.

C: Tonic activity. Changes in baseline GCaMP8f intensity on days 8-10 ($F_{0,pre}$) vs days 11-12 ($F_{0,post}$) are analyzed according to $\Delta(F_0)_{norm} = (F_{0,post} - F_{0,pre}) / (F_{0,post} + F_{0,pre})$. Individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar); +HTP and ^{dd}HTP cells do not differ significantly ($P=0.267$).

D: Days 6-10 rewarded cue A trials. Right: GCaMP8f responses in ^{dd}HTP (black) vs +HTP (pink) mice during days 6-10 (average over all cue A trials). Right panel shows the time course of $\Delta F/F_0$ mean \pm SEM over mice ($n = 6$ ^{dd}HTP mice; $n = 6$ +HTP mice). Analysis of $\Delta F/F_0$ during cue (gray) and reward (yellow) is plotted in the left panel of corresponding color. **Left:** individual mice (circles), group means (thin horizontal lines), mean-difference bootstrap (pink distribution), and 95% CI of the two-sided permutation test (vertical black bar). +HTP and ^{dd}HTP mice were not statistically different during cue (0 – 1 sec interval; $P=0.620$) or reward (1.5 – 3 sec interval, $P=0.905$). Examination of an additional, narrow-time reward interval (1.75 – 2.25 sec) was also not significant ($P=0.968$; not shown).

E: Day 10 unrewarded cue B probes. Format as in panel **d**; for pre-gabazine^{DART} unrewarded cue B probes. Cue-evoked signals (0 - 1 sec) were not statistically different in +HTP vs ^{dd}HTP mice ($P=0.846$). Signals in the unrewarded interval displayed a non-significant trend (1.5 - 3 sec; $P=0.171$), which remained non-significant over a narrow interval (1.75 – 2.25 sec; $P=0.054$; not shown).

F: Day 11 rewarded cue A trials. Format as in panel **d**; post-gabazine^{DART} rewarded cue A trials (prior to rule change). Cue-evoked signals (0 - 1 sec) were not statistically different in +HTP vs ^{dd}HTP mice ($P=0.401$). For reward-evoked signals, +HTP mice exhibited a trending increase (1.5 - 3 sec; $P=0.117$) which was weakly significant within a narrow interval (1.75 – 2.25 sec; $P=0.034$, right inset).

G: Days 11-12 cue A extinction. Format as in panel **d**; post-gabazine^{DART} cue A extinction (early, middle, and late trials from top to bottom). Cue-evoked signals (0 - 1 sec) showed a non-significant trend during early ($P=0.115$) and middle ($P=0.151$) but not late ($P=0.802$) trials. Omission-pause signals (1.5 - 3 sec) were evident in ^{dd}HTP mice yet absent in +HTP mice during early trials ($P=0.001$). This difference was not apparent during middle ($P=0.658$) and late ($P=0.847$) trials owing to the lack of pauses in control mice. Examination of a narrow interval (1.75 – 2.25 sec) upheld these results (early $P=0.00045$; middle $P=0.448$; late $P=0.945$).

H: Days 11-12 cue B conditioning. Format as in panel **d**; post-gabazine^{DART} cue B conditioning (early, middle, and late trials from top to bottom). Cue-evoked signals (0 - 1 sec) showed a non-significant trend (early $P=0.208$; middle $P=0.159$; late $P=0.151$). Reward-evoked signals displayed a similar trend for both the full (1.5 - 3 sec) interval (early $P=0.161$; middle $P=0.349$; late $P=0.674$) and narrow (1.75 – 2.25 sec) interval (early $P=0.123$; middle $P=0.306$; late $P=0.640$).

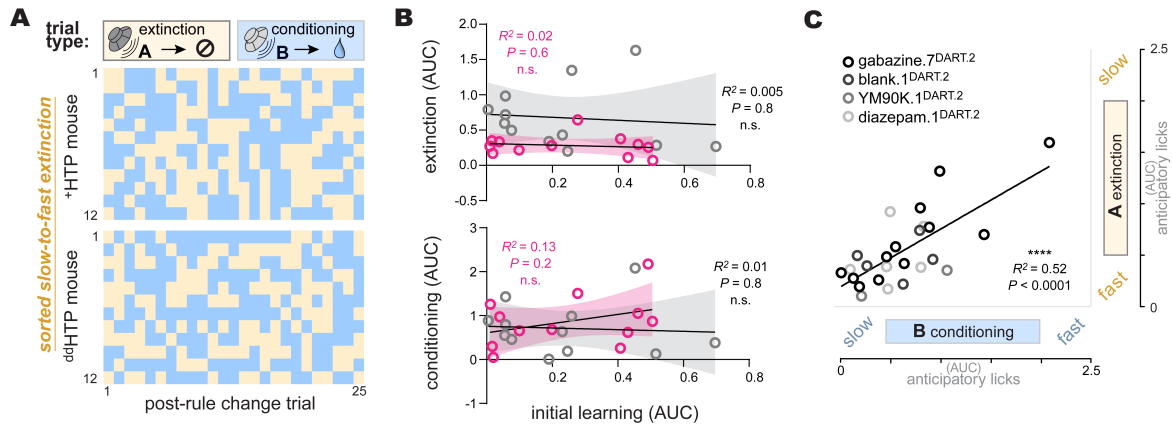


Fig. S5: Supporting data for within-mouse behavioral correlations

A: Visual inspection of random order of interleaved trials. Each row is one mouse, sorted by extinction from the slowest to fastest (top to bottom). Columns indicate the first 25 trials after the rule-change, with trial type indicated by color (yellow = cue A extinction, blue = cue B conditioning). No visually discernable pattern is apparent in either +HTP mice ($n=12$) or ddHTP mice ($n=12$).

B. Initial training vs later reward learning. Correlation between initial learning rates (AUC over training days 1-2) and our two measures of reward learning: extinction AUC (top) and conditioning AUC (bottom). Mice (circles; $n=12$ ddHTP; $n=12$ +HTP) and regression $\pm 95\%$ CI (line and shading). Pearson's tests show no significant correlation (r^2 and P values as indicated).

C: Phenotypic spectrum across pooled controls. Conditioning-AUC vs extinction-AUC measured within-mouse. Individual mice (circles), regression fit (line), are shown for mice pooled from ongoing control experiments. All data are from ddHTP mice infused with various ligands, including gabazine, 7^{DART.2}, blank, 1^{DART.2}, YM90K, 1^{DART.2}, or diazepam, 1^{DART.2}. Pearson's $r^2=0.52$, $P<0.0001$. 75

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268 METHODS

269 **Mice** — DAT-IRES-Cre (Jackson Labs 006660) mice were group housed by age and sex (max 5
270 per cage) in a standard temperature and humidity environment. For breeding, mice were housed
271 under a normal 12-hr light/dark cycle and with food and water provided *ad libitum*. Experimental
272 mice were transitioned to reverse-light-cycle and water-restriction conditions, as detailed below.
273 All experiments involving animals were approved by the Duke Institutional Animal Care and Use
274 Committee (IACUC), an AALAC accredited program registered with both the USDA Public
275 Health Service and the NIH Office of Animal Welfare Assurance, and conform to all relevant
276 regulatory standards (Tadross protocols A160-17-06, A113-20-05, A091-23-04).

277 **Recombinant Adeno-associated Viral (rAAV) Vectors** — All custom viral vectors were
278 produced by the Duke Viral Vector Core or VectorBuilder, kept frozen at -80°C until use, then
279 diluted to the desired titers using sterile hyperosmotic PBS and kept at 4°C for up to 4 weeks.

280 **Acute Brain Slice Electrophysiology** — DAT-IRES-Cre mice (5 females, 3 males, 8-10 weeks)
281 were anesthetized and stereotaxically injected with 400 nL of either AAV_{rh10}-CAG-DIO-⁺HTP_{GPI}-
282 2A-dTomato-WPRE or AAV_{rh10}-CAG-DIO-^{dd}HTP_{GPI}-2A-dTomato-WPRE (2×10^{12} VG/mL,
283 100 nL per site, two tracks with two depths per track: -3.2 mm AP, ± 0.5 mm ML, -5.0/-4.5 mm
284 DV) using a custom Narishige injector. After 3-5 weeks for expression, mice were deeply
285 anesthetized with isoflurane and euthanized by decapitation. Coronal brain slices (300 μ m)
286 containing VTA were prepared by standard methods using a Vibratome (Leica, VT1200S), in ice-
287 cold high sucrose cutting solution containing (in mM): 220 sucrose, 3 KCl, 1.25 NaH₂PO₄,
288 25 NaHCO₃, 12 MgSO₄, 10 glucose, and 0.2 CaCl₂ bubbled with 95% O₂ and 5% CO₂. Slices were
289 then placed into artificial cerebrospinal fluid (aCSF) containing (in mM): 120 NaCl, 3.3 KCl,
290 1.23 NaH₂PO₄, 1 MgSO₄, 2 CaCl₂, 25 NaHCO₃, and 10 glucose at pH 7.3, previously saturated
291 with 95% O₂ and 5% CO₂. Slices were incubated at 33°C for 40-60 min in bubbled aCSF and
292 allowed to cool to room temperature (22-24°C) until recordings were initiated.

293 Recordings were performed on an Olympus BX51WI microscope, where slices were
294 perfused with bubbled aCSF at 29-30°C with a 2 ml/min flow rate. To isolate GABA_A IPSCs, the
295 external solution was supplemented with DNQX (20 μ M, AMPA antagonist) and AP-5 (50 μ M,
296 NMDA antagonist). Alternately, to isolate AMPA-mediated EPSCs, aCSF was supplemented with
297 picrotoxin (50 μ M, GABA_AR antagonist) and AP-5 (50 μ M). Finally, NMDA-mediated EPSCs
298 were isolated with picrotoxin (50 μ M) and DNQX (20 μ M).

299 For voltage-clamp, the internal solution contained (in mM): 135 CsCl, 2 MgCl₂, 0.5 EGTA,
300 10 HEPES, 4 MgATP, 0.5 NaGTP, 10 Na₂-phosphocreatine, and 4 QX314 (lidocaine N-ethyl
301 bromide), pH 7.3 with CsOH (290 mOsm). For current-clamp, we used (in mM) 130 K-gluconate,
302 5 KCl, 2 MgCl₂, 0.2 EGTA, 10 HEPES, 4 MgATP, 0.5 NaGTP, and 10 phosphocreatine, pH
303 adjusted to 7.3 with KOH (290 mOsm). Internal solutions were used to fill glass recording pipettes
304 (4-6 M Ω). The liquid junction potential, estimated to be 15.9 mV, was not corrected.

305 Whole-cell recordings were obtained with Multiclamp 700B and Digidata 1440A, which
306 were controlled by pClamp 10.7 acquisition software (Molecular Devices). Signals were filtered
307 at 10 kHz. A stimulating electrode was placed 60-100 μ m from the recorded neuron. Evoked IPSC
308 or EPSC signals were elicited by electrical stimuli of 0.3 ms duration and 150-300 μ A (60-70%
309 maximum responses), with a repetition interval of 15 sec. Our inclusion criteria required that cells
310 maintain stable access and holding currents for at least 5 min. In particular, series resistance is
311 monitored using 5-10 mV hyperpolarizing steps interleaved with our stimuli, and cells are
312 discarded if series resistance changed more than ~15% during the experiment. The stored data
313 signals were processed using Clampfit 10.7 (Axon Instruments).

314 ***In Vivo Electrophysiology Experiments*** — Adult DAT-IRES-Cre mice (2 females, 6 males; 12
315 -16 weeks old) were anesthetized and stereotaxically injected with 400 nL of either AAV_{rh10}-CAG-
316 DIO-⁺HTP_{GPI}-2A-dTomato-WPRE, AAV_{rh10}-CAG-DIO-^{dd}HTP_{GPI}-2A-dTomato-WPRE (2×10^{12}
317 VG/mL), AAV_{rh10}-CAG-CreON-W3SL-⁺HTP_{GPI}-IRES-dTomato-Farnesylated, or AAV_{rh10}-
318 CAG-CreON-W3SL-^{dd}HTP_{GPI}-IRES-dTomato-Farnesylated (1×10^{12} VG/mL) (100nL per site,
319 two tracks with two depths per track: -3.2 mm AP, ± 0.5 mm ML, -5.0/-4.5 mm DV) with a custom
320 Narishige injector. Mice were implanted with a single-drive movable micro-bundle electrode array
321 (Innovative Neurophysiology, Inc.; 23 μ m Tungsten Electrodes, 16 / bundle; 0.008” silver ground
322 wire) above the left VTA (-3.2 mm AP, -0.5 mm ML, -4.0 mm DV). The silver ground wire was
323 wrapped securely around two ground screws, one placed in the skull above the cerebellum and one
324 above the right olfactory bulb. A unilateral metal cannula (P1Tech; C315GMN; cut to 13.5 mm)
325 was implanted laterally adjacent to the electrode bundle (-3.2 mm AP, -1.3 mm ML, -4.0 mm DV).
326 Mice were fitted with a plastic head bar adhered to the skull with OptiBond and dental cement.
327 Mice were singly or pair housed post-surgery, in a 12-hr light/dark cycle, with food and water
328 provided *ad libitum*. Pair-housed mice were outfitted with head hats that clip to specially designed
329 head bars to prevent cannula or electrode damage from chewing by cage mates (71).

330 Electrophysiology recordings and DART infusions were performed at least 3 weeks after
331 surgery to allow for recombinant protein expression. The electrode bundle was manually advanced
332 three times: (1) 208 μ m at least one week after surgery, (2) another 208 μ m one week later, and
333 (3) 104 μ m one week later. This placed the electrodes at -4.5 mm DV, at the top of the VTA. After
334 a few days for recovery, electrophysiological recordings were made with an Intan RHD 16-channel
335 headstage with accelerometer (C3335) attached to an Open Ephys Acquisition Board via an Intan
336 RHD 1-ft ultra-thin SPI interface cable (C3211). Data was collected using the Open Ephys GUI
337 (72). Putative dopamine neurons were identified via their canonical features: tonic firing between
338 0 and 10 Hz, with bursting; wide biphasic or triphasic waveform; and large amplitude (48, 49). If
339 no putative dopamine neurons were observed online, electrodes were advanced an additional
340 26-52 μ m; this cycle was repeated until multiple channels with putative dopamine neurons were
341 observed, at which point a recording was obtained.

342 DART ligands, stored as pure-compound aliquots, were freshly thawed on the day of use
343 and dissolved in sterile artificial cerebrospinal fluid (aCSF) containing (in mM): 148 NaCl, 3 KCl,
344 1.4 CaCl₂, 0.8 MgSO₄, 0.8 Na₂HPO₄, 0.2 NaH₂PO₄. The final reagent solution contained
345 10 μ M gabazine.^{7DART.2} + 1 μ M Alexa647.^{1DART.2}. This solution was loaded into an internal
346 cannula designed to project 0.5 - 1.5 mm from the guide cannula, with progressively longer
347 internals used on successive infusions. Mice were head-fixed, the internal cannula inserted, and
348 the Innovative Neurophysiology electrode bundle was connected to the Intan headstage. After
349 obtaining a 15 min baseline recording, we infused 1.5 μ L of DART reagent over 15 min
350 (0.1 μ L/min; Harvard Apparatus PhD Ultra pump; 5 μ L Hamilton syringe), and continued the
351 recording (120 min total). After completion of the recording, electrodes were advanced 26-52 μ m
352 (73). Mice were given at least two weeks for recovery between recordings, which we have shown
353 is sufficient to allow for complete HTP protein turnover (43).

354 Spike sorting of the raw data was performed using SpyKING CIRCUS, an open-access
355 software package allowing for semi-manual spike sorting on multichannel extra-cellular
356 recordings (74). Detection parameters included: spike threshold = 4; N_t (width of templates) = 2
357 or 3; peaks = positive. Filtering parameters used 250 Hz as the cutoff frequency for the Butterworth
358 filter. All other parameters in the configuration file were standard as recommended by the
359 SpyKING CIRCUS documentation. Only templates that matched all features of putative dopamine
360 neurons and exhibited consistent spiking across the whole two-hour recording window were kept
361 for analysis. All semi-manual spike sorting and template extraction were performed by SCVB for
362 consistency.

363 Custom MATLAB code was used to extract the following metrics:

364 Tonic: *FR* — firing rate (spikes per second)
365 *mISI* — median interspike interval (ms)
366 Pause: *%PSI* — % pause-spike intervals (percent of all *ISI* > 2 × *mISI*)
367 *PL* — pause length, normalized (mean *PSI* duration divided by *mISI*, unitless)
368 Burst: *%SFB* — % spikes fired in bursts (percent of all spikes fired during bursts)
369 *SPB* — mean spikes per burst (unitless)

370 For burst metrics, a burst is defined as a sequence of 3-10 spikes in which the first *ISI* < 80 ms and
371 subsequent *ISI* < 160 ms (48, 49).

372 Changes in a given metric, *m*, were analyzed by comparing the 15-min baseline (*m*_{pre}) to a
373 15-min sliding window (*m*_{post}) according to: $\Delta m_{\text{norm}} = (m_{\text{post}} - m_{\text{pre}}) / (m_{\text{post}} + m_{\text{pre}})$. We then plotted
374 the time course of Δm_{norm} (as a function of the sliding-window time), and analyzed the steady-state
375 Δm_{norm} (1-hr post-gabazine^{DART}) using a two-sided permutation test (75). Correlations between
376 metrics were analyzed with a Pearson's test. **Behavior Experiments** — Adult DAT-IRES-cre mice
377 (17 females, 20 males; 12 -16 weeks old) were anesthetized and stereotaxically injected with
378 400 nL of either AAV_{rh10}-CAG-DIO⁺-HTP_{GPI-2A}-dTomato-WPRE or AAV_{rh10}-CAG-DIO-
379 ^{dd}HTP_{GPI-2A}-dTomato-WPRE (2 × 10¹² VG/mL, 100 nL per site, two tracks with two depths per
380 track: -3.2 mm AP, ±0.5 mm ML, -5.0/-4.5 mm DV) with a custom Narishige injector. Mice were
381 implanted with a bilateral metal cannula above the VTA (P1Tech; C235G-1.0; cut to 4 mm with a
382 1.0 mm spacing), which was lowered slowly to -3.75 mm. Mice were fitted with a plastic head bar
383 adhered to the skull with OptiBond and dental cement, enabling head fixation. Mice were singly
384 or pair housed post-surgery, in a 12-hr reverse light/dark cycle, with food and water provided *ad*
385 *libitum*. Pair-housed mice were outfitted with head hats that clip to specially designed head bars
386 (71) to prevent cannula damage from chewing by cage mates.

387 Mice were given a minimum of 9 days post-surgery for recovery and acclimation to the
388 reverse light cycle. For the subsequent 3 days, mice were habituated to head-fixation and water
389 restriction. Water was limited to 50-60 μL per gram of the mouse's baseline weight per day, while
390 dry food was provided *ad libitum*. The water restriction goal was 85% starting body weight;
391 additional supplementary water was provided if mice dropped below 77% original body weight or
392 did not pass a daily qualitative health assessment. Only 1 mouse was excluded for issues with
393 water restriction health.

394 During behavioral sessions, mice were head-fixed (custom 3D printed clamps that fit
395 custom head bars (71)) on a round plastic treadmill (Delvie's Plastics, 8" plexiglass disk covered
396 with silicone rubber) attached to a rotary encoder to collect rotation data (U.S. Digital H5-100-
397 NE-S). Cue tones were played through a Z50 speaker, lick detection was collected with an infrared
398 beam, and sucrose rewards were delivered via a Lee Company solenoid (LHDA1233315H HDI-
399 PTD-Saline-12V-30PSI). A custom MATLAB script controlled the behavioral sessions and data
400 collection via a National Instruments card (NI USB-6351 X Series DAQ). Behavior sessions lasted
401 1 hr per day for 12 consecutive days and were performed during the dark portion of the mouse's
402 circadian cycle. The order in which each mouse performed the task was pseudo-randomly
403 counterbalanced.

404 During training sessions (days 1-10), mice were conditioned to associate cue A (2.5 kHz
405 tone, 1.5 sec) with a 5 μL 10% sucrose-water reward. Conditioning trials were randomly
406 interleaved with silent trials (with neither cue nor reward), enabling consistency in the trial-
407 structure and reward-delivery quantities throughout training and testing sessions. On the final day
408 of training (day 10) we replaced 5-6 of the silent trials with probe trials in which an unfamiliar cue
409 B (11 kHz tone, 1.5 sec) was presented but unrewarded. Thereafter, on day 11, we infused
410 10 μM gabazine.^{7DART.2} + 1 μM Alexa647.^{1DART.2} dissolved in sterile aCSF; 0.6 - 0.8 μL was

411 infused per hemisphere at a rate of 0.1 μ L/min (Harvard Apparatus PhD Ultra pump using 5 μ L
412 Hamilton syringes). Following a 2 hr rest, mice resumed the original training rules for 15 min.
413 Thereafter the rules changed: cue A was now unrewarded (extinction trials) interleaved with cue
414 B rewarded (conditioning trials). These rules continued on day 12. Throughout the assay, mice
415 completed 200–300 total trials daily (half cue A; half cue B or silent). Licks were allowed during
416 the 1.5 sec tone (anticipatory licks) and the subsequent 2 sec period (retrieval licks). The inter-trial
417 interval (ITI) was random 3 - 13 sec (from the end of the retrieval period to the start of the next
418 cue). Licks occurring during the ITI resulted in a timeout penalty and resetting of the ITI to
419 discourage nonspecific licking. Timeouts were never imposed for licking during a cue or retrieval
420 period (regardless of whether the cue was rewarded or unrewarded).

421 Anticipatory licking (during the 1.5 sec cue) was our primary learning measure, which we
422 quantify as the fraction of time that the infrared beam was broken during the cue. Our behavioral
423 inclusion criteria required that mice exhibit mean cue A anticipatory licking greater than 0.2 on
424 the 10th training session (this was satisfied by 27/36 mice), and cue B probe-trial anticipatory
425 licking less than 30% of responses to cue A (satisfied by 24/27 mice). The main-text figures
426 include the 24 mice that met our behavioral inclusion criteria (12^{dd}HTP, 12^{+HTP}). The behavioral
427 experimenter was blinded to virus condition in half of the experimental cohorts. Fig. S4c contains
428 a total of 25^{dd}HTP mice (13 females, 12 males) which include the same 12^{dd}HTP mice (receiving
429 gabazine.^{7DART.2}) plus an additional 13^{dd}HTP mice that also met behavioral inclusion criteria and
430 had received a different infusion (blank.^{1DART.2}, diazepam.^{1DART.2}, or YM90K.^{1DART.2}) at doses
431 shown to have no behavioral ambient drug effects (43). Following the session on day 12, all mice
432 were perfused for histological visualization of tracer^{DART} capture. No mice were excluded based
433 on histology. All statistical comparisons were between ^{dd}HTP vs ^{+HTP} mice were determined
434 using two-sided permutation tests (75).

435 **Fiber Photometry** — Adult DAT-IRES-cre mice (12 females, 12 males; 12 -16 weeks old) were
436 anesthetized and stereotaxically injected with a mixture containing pGP-AAV9-CAG-FLEX-
437 jGCaMP8f-WPRE (5 x 10¹¹ VG/mL) and either AAV_{rh10}-CAG-DIO-^{+HTP}GPI-2A-dTomato-
438 WPRE or AAV_{rh10}-CAG-DIO-^{dd}HTP_{GPI-2A}-dTomato-WPRE (2 x 10¹² VG/mL) (400 nL total;
439 100nL per site, two tracks with two depths per track: -3.2 mm AP, \pm 0.5 mm ML, -5.0/-4.5 mm
440 DV) with a custom Narishige injector. Mice were implanted with a unilateral mini metal cannula
441 in one hemisphere above the VTA (PI Tech; C315GMN/SPC; cut to 7 mm), at a 5-10 degree angle
442 towards the midline and lowered slowly to -3.75 mm DV. They were also implanted with an optic
443 fiber (Doric Lenses, MFC_400/430-0.66_5mm_MF1.25_FLT) in the opposite hemisphere, at a
444 5-6 degree angle towards the midline and lowered slowly to -4.25 mm DV, just dorsal to the VTA.
445 Mice were fitted with a plastic head bar adhered to the skull with OptiBond and dental cement,
446 enabling head fixation. Mice were singly housed post-surgery, in a 12-hr reverse light/dark cycle,
447 with food and water provided *ad libitum*.

448 After three weeks, mice performed the Pavlovian assay with fiber photometry recordings
449 on every behavioral session (Tucker-Davis Technologies RZ10X; TDT Synapse software; 465 nm
450 excitation). Mice that did not meet behavioral inclusion criteria were excluded (4 for insufficient
451 anticipatory licking to cue A; 2 for insufficient discrimination of cue B). Prior to the first testing
452 session on day 11, ligands were freshly dissolved in sterile aCSF to 10 μ M gabazine.^{7DART.2} +
453 1 μ M Alexa647.^{1DART.2} or 10 μ M blank.^{1DART.2} + 1 μ M Alexa647.^{1DART.2}. Given the need to
454 achieve bilateral ligand delivery through a unilateral cannula, 0.8 nL was infused 2 or 3 times at a
455 rate of 0.1 μ L/min with 1 hour between each infusion (1.6-2.4 μ L total; Harvard Apparatus PhD
456 Ultra pump using 5 μ L Hamilton syringes). Behavior proceeded 2 hr after the last infusion.
457 Following the last session on day 12, histology was obtained to confirm jGCaMP8f expression,
458 Alexa647^{DART} capture, and fiber placement. No mice were excluded based on histology. The
459 behavioral experimenter was blinded to virus condition in all of the experimental cohorts.

460 To assess the effects of our manipulation on phasic activity, we computed:

461 $\Delta F/F_0 = (F - F_0) / F_0$ where

- 462 • F is the instantaneous fluorescence GCaMP intensity.
- 463 • F_0 is the baseline fluorescence signal (1 sec interval before each cue). Consistent with
- 464 photobleaching of GCaMP, a plot of the raw F_0 vs trial number adhered to a double-
- 465 exponential fit. We used this fit to estimate F_0 , thereby accounting for photobleaching
- 466 while minimizing trial-to-trial noise.

467 We then defined time intervals as follows: (where $t = 0$ at the start of the 1.5 sec cue).

- 468 • Cue-evoked responses were time-averaged from $t = 0.0$ to 1.0 sec.
- 469 • Reward responses (full width) were averaged from $t = 1.5$ to 3.0 sec.
- 470 • Reward responses (narrow) were averaged from $t = 1.75$ to 2.25 sec.

471 To assess the effects of our manipulation on tonic activity, we computed:

472 $\Delta(F_0)_{\text{norm}} = (F_{0,\text{post}} - F_{0,\text{pre}}) / (F_{0,\text{post}} + F_{0,\text{pre}})$ where:

- 473 • $F_{0,\text{pre}}$ is averaged over days 8-10.
- 474 • $F_{0,\text{post}}$ is averaged over days 11-12.

475 Given that all mice had met our behavioral inclusion criteria, having learned the cue A reward
476 association, cue-evoked bursts provide a quality-control metric for GCaMP expression and fiber
477 placement. Thus, our photometry inclusion criteria required that cue-evoked $\Delta F/F_0 > 1$, averaged
478 over days 6-10. To confirm the appropriateness of this threshold, we performed a regression
479 analysis against our primary metric, the early-pause $\Delta F/F_0$ (first 4 reward omissions). This
480 regression analysis, which included all mice, confirmed a statistically significant difference
481 between ^{dd}HTP and ⁺HTP mice ($P = 0.008$, two-sided permutation slope test, **Fig. S4B**), while
482 demonstrating the appropriateness of our inclusion threshold, below which pauses could not be
483 reliably detected in control mice. All statistical comparisons were between ^{dd}HTP vs ⁺HTP mice
484 were determined using two-sided permutation tests (75).

485 **Histology** — Mice were deeply anesthetized with isoflurane. Electrodes were briefly connected to
486 a 9V battery (1 sec) to mark electrode positions. Thereafter, mice were fixed by transcardial
487 perfusion of 15 mL PBS followed by 50 mL ice-cold 4% paraformaldehyde (PFA) in 0.1M PB,
488 pH 7.4. Brains were excised from the skull, post-fixed in 50 mL of 4% PFA at 4°C overnight, then
489 washed three times with PBS. Brains were embedded in 5% agarose and sliced along the coronal
490 axis at 50 μm (Leica, VT1200S).

491 For tyrosine hydroxylase immunostaining, sections were washed in PBS before a 2 hr
492 incubation in a blocking solution consisting of 5% goat serum, 3% bovine serum albumin, and
493 0.3% triton-x. Sections were then transferred to a half block solution containing 1:1000 rabbit
494 anti-TH (PelFreez, P40101) overnight at 4°C with agitation, and then washed in 0.1M PBS
495 containing 0.1% tween before a 4 hr incubation in a half block solution containing 1:1000 goat
496 anti-rabbit 488 (Invitrogen, A11008). Finally, sections were washed in PBS containing tween, then
497 PBS alone prior to mounting on glass slides.

498 Sections were mounted onto glass slides (VWR 48311-703) and coverslipped with
499 Vectashield mounting medium (Vector Labs, H-1400 or H-1800). Fluorescent images (DAPI,
500 FITC, TRITC, Cy5) were collected at 10X magnification with an Olympus VS200 slide scanner.

501 Cell counts were obtained using ilastik (76). Pixel Classification was used to predict cell
502 versus not-cell (background tissue), then Object Classification was used on these pixel predictions
503 to label cells as red (dTomato), green (TH+ or GCaMP), or red+green (both). Object identities
504 were exported and used to calculate the number of cells identified in each label class across all
505 sections from one brain. Pixel intensity analysis was performed with custom MATLAB code. For
506 each coronal section, the VTA was manually segmented in both hemispheres. Background

507 fluorescence was subtracted. Dye capture levels were calculated via a pixel-wise summation over
 508 15 coronal sections. Correlations between pixel intensity and behavior were analyzed with a
 509 Pearson's permutation test; trend lines are simple linear regressions, and shading is 95%
 510 confidence interval.

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Table S1: Key Resources

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Bacterial and virus strains		
AAV _{rh10} -CAG-DIO- ⁺ HTP _{GPI} -2A-dTomato-WPRE	Duke Viral Vector Core VectorBuilder	AAV10-X0117A, Lot 200807, 210215, 220331, VB220331
AAV _{rh10} -CAG-DIO- ^{dd} HTP _{GPI} -2A-dTomato-WPRE	Duke Viral Vector Core VectorBuilder	AAV10-M6360D Lot 200203, 210420, VB220331
AAV _{rh10} -CAG-CreON-W3SL- ⁺ HTP _{GPI} -IRES-dTomato-Farnesylated	Duke Viral Vector Core VectorBuilder	AAV10-6771A, Lot 220328, VB220209
AAV _{rh10} -CAG-CreON-W3SL- ^{dd} HTP _{GPI} -IRES-dTomato-Farnesylated	Duke Viral Vector Core VectorBuilder	AAV10-6829B, Lot 220328, VB220209
AAV9-CAG-FLEX-jGCaMP8f-WPRE	Addgene	RRID: 162382
Chemicals, peptides, and recombinant proteins		
gabazine.7 ^{DART.2}	Shields et al 2023	Lot 201109, 220512
Alexa647.1 ^{DART.2}	Shields et al 2023	Lot 200213
blank.1 ^{DART.2}	Shields et al 2023	Lot 210418
YM90K.1 ^{DART.2}	Shields et al 2023	Lot 180712c, 200725
diazepam.1 ^{DART.2}	Shields et al 2023	Lot 210628
Experimental models: Organisms/strains		
B6.SJL-Slc6a3tm1.1(cre)Bkmn/J	Jackson Labs	RRID:IMSR_JAX:006660
Software and algorithms		
MATLAB	MathWorks, Inc	Version 2017b, 2018a, 2020b
OpenEphys	Siegle et al 2017	Version 5.5.3, 6
Spyking Circus	Yger et al 2018	Version 1.0.1
Synapse	Tucker-Davis Technologies	Version 89-51248
Prism	GraphPad	Version 9.5.1, 10.0.3
ilastik	Berg et al 2019	Version 1.4.0.post1

Table S2. Detailed Author Contributions

Name	ORCID	Contribution
Sasha C.V. Burwell	0000-0003-3553-1365	<p>Fig. 1, Fig. S2: Conceived, designed, and performed all <i>in vivo</i> electrophysiology experiments. Optimized surgical and infusion procedures for dual electrode recording and DART manipulation of VTA dopamine neurons. Performed all spike-sorting analysis to ensure consistent extraction of putative dopamine neurons. Wrote software to extract and analyze sorted cell templates. Performed statistical analysis of spiking data. Performed histology (sample preparation, imaging, cell counting). Fig. 2, 4, Fig. S3, 5: Conceived, designed, and performed all behavior experiments. Designed and built the reward-learning assay. Wrote software to run the assay and to collect and analyze data. Performed statistical analysis of behavior data. Optimized AAV serotype, promoter, surgical procedure, and infusion procedure for utilizing DART in VTA dopamine neurons. Performed all histology (sample preparation, imaging, image segmentation, and image analysis). Fig. 3, Fig. S4: Conceived, designed, and performed all fiber photometry experiments. Optimized AAV, surgical procedure, and infusion procedure for dual GCaMP recording and DART manipulation of VTA dopamine neurons. Wrote software for extracting and calculating dF/F signals. Performed statistical analysis of fiber photometry data. Performed all histology (sample preparation, imaging, and image segmentation). Fig. S1: Performed virus injection surgeries for slice electrophysiology experiments. Wrote original manuscript draft and prepared original versions of Fig. 1-4 and Fig. S2-5. Edited and revised paper and figures. Managed and curated data, including managing deposition into repositories and preparing protocols, and scheduled/planned all experiments.</p> <p>CRedit: Conceptualization, Methodology, Software, Validation, Formal Analysis, Investigation, Data Curation, Writing - Original Draft, Writing - Review & Editing, Visualization, Project Administration</p>
Haidun Yan	0000-0003-0916-8865	<p>Fig. S1: Conceived, designed, and performed all electrophysiology experiments in VTA brain slices. Performed GABAR-mediated eIPSCs recording by voltage clamp and manipulated gabazine.^{7DART.2} effects from ⁺HTP or ^{dd}HTP virus injected VTA DA neurons. Performed spontaneous and evoked action potentials recording by current-clamp, evaluated which gabazine.^{7DART.2} effects on membrane excitability and endogenous channels from ⁺HTP virus injected VTA DA neurons. Performed AMPAR- and NMDAR-mediated eEPSCs recording by voltage clamp and evaluated gabazine.^{7DART.2} effects on excitatory synaptic function in ⁺HTP positive VTA DA neurons. Performed evoked action potentials recording in ⁺HTP positive VTA DA neurons, tested the effects of blank.^{1DART.2} + Alexa647.^{1DART.2}. Wrote original draft of methods for electrophysiology in brain slices, and prepared original version of Fig. S1. Reviewed and provided feedback on manuscript.</p> <p>CRedit: Methodology, Validation, Formal Analysis, Investigation, Resources, Writing - Review & Editing, Visualization</p>

Shaun S.X Lim	0000-0001-9312-6275	<p>Designed and cloned different variants of the HTP plasmid constructs, including the optimized variant in the experiment. Assisted with validating HTP expression and HTL capture in dopamine neurons. Separately validated locomotor effects from tethering gabazine.^{7^{DART.2}} on VTA dopamine neurons. Reviewed and provided feedback on manuscript.</p> <p>CRedit: Methodology, Validation, Resources, Writing - Review & Editing</p>
Brenda C. Shields	0000-0001-9036-2686	<p>Assisted in original development and characterization of DART reagents. Performed cloning and validation of viral constructs. Prepared all DART and virus aliquots.</p> <p>CRedit: Methodology, Validation, Resources, Writing - Review & Editing, Project Administration</p>
Michael R. Tadross	0000-0002-7752-6380	<p>Senior Author & Lead Contact.</p> <p>CRedit: Conceptualization, Methodology, Software, Validation, Formal Analysis, Resources, Writing Review & Editing, Visualization, Supervision, Project Administration, Funding Acquisition (Duke Startup. NIH: 1RF1MH117055, 1DP2MH1194025)</p>