

# FoxO transcription factors: their roles in the maintenance of skeletal muscle homeostasis

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**Abstract** Forkhead box class O family member proteins (FoxOs) are highly conserved transcription factors with important roles in cellular homeostasis. The four FoxO members in humans, FoxO1, FoxO3, FoxO4, and FoxO6, are all expressed in skeletal muscle, but the first three members are the most studied in muscle. In this review, we detail the multiple modes of FoxO regulation and discuss the central role of these proteins in the control of skeletal muscle plasticity. FoxO1 and FoxO3 are key factors of muscle energy homeostasis through the control of glycolytic and lipolytic flux, and mitochondrial metabolism. They are also key regulators of protein breakdown, as they modulate the activity of several actors in the ubiquitin–proteasome and autophagy–lysosomal proteolytic pathways, including mitochondrial autophagy, also called mitophagy. FoxO proteins have also been implicated in the regulation of the cell cycle, apoptosis, and muscle regeneration. Depending of their activation level, FoxO proteins can exhibit ambivalent functions. For example, a basal level of FoxO factors is necessary for cellular homeostasis and these proteins are required for adaptation to exercise. However, exacerbated activation may occur in the course of several diseases, resulting in metabolic disorders and atrophy. A better understanding of the precise functions of these transcriptions factors should thus lead to the development of new therapeutic approaches to prevent or limit the

muscle wasting that prevails in numerous pathological states, such as immobilization, denervated conditions, neuromuscular disease, aging, AIDS, cancer, and diabetes.

**Keywords** Forkhead box class O · Metabolism · Atrophy · Autophagy · Mitophagy · E3 ligase

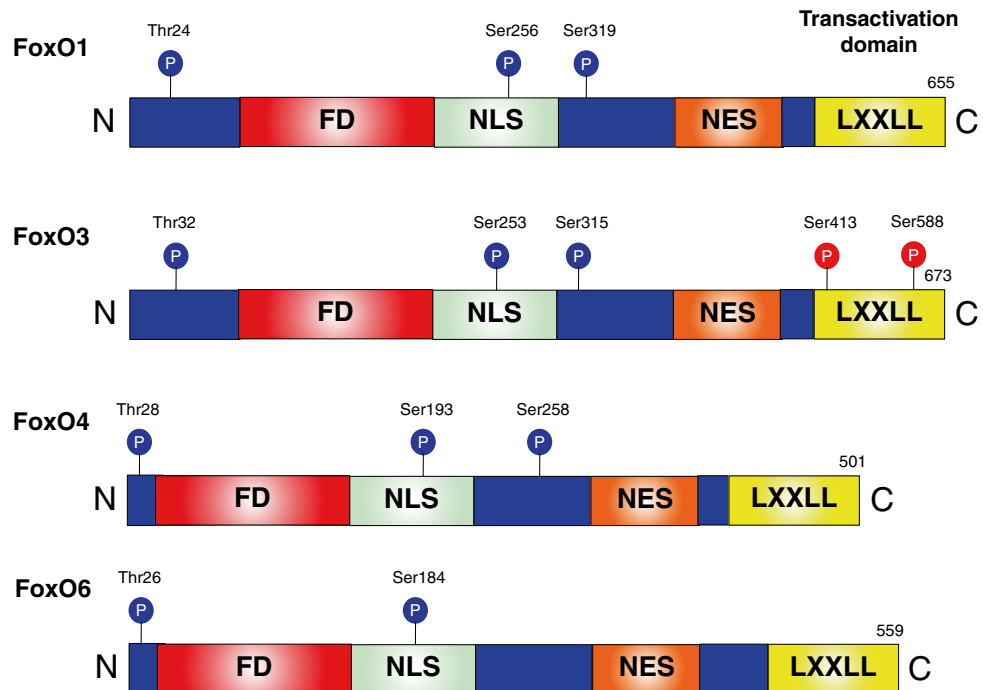
## Introduction

Skeletal muscle mass represents 40–50 % of human body weight, making it the largest tissue mass in the body. Muscle homeostasis is essential to the body's integrity and maintenance, and the muscle impairment associated with several diseases leads to a poor quality of life. Skeletal muscle exhibits remarkable adaptive capabilities in response to such stimuli as environmental factors (hypoxia), nutritional interventions, loading conditions, and contractile activity. All of these stimuli induce changes in energy metabolism and muscle mass, especially by altering fiber composition or the balance between protein synthesis and protein degradation [1, 2]. In this respect, the forkhead box class O (FoxO) subfamily proteins—which are transcription factors belonging to the forkhead box protein family (Fox proteins)—are increasingly taken into consideration. They are highly conserved through evolution and essential to various cellular processes such as regulating the expression of the genes involved in the cell cycle [3, 4], DNA damage repair [5], oxidative stress resistance [6–8], energy metabolism [9], and apoptosis [10, 11]. Fox proteins contain a sequence of 80–100 amino acids forming a motif that binds to DNA: the forkhead motif. This motif is also known as the winged helix due to the butterfly-like appearance of the loops in the protein structure of the domain [12]. In addition to the forkhead DNA-binding

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**Fig. 1** Description of mammalian FoxO proteins expressed in skeletal muscle. The following are indicated: locations of the forkhead domain (FD), nuclear localization sequence (NLS), nuclear export sequence (NES) and helical motif (LXXLL), Akt phosphorylation sites (blue circles) and AMPK phosphorylation sites (red circles)



domain motif, FoxO factors possess a nuclear localization sequence (NLS), a nuclear export sequence (NES), and a transactivation domain in their C terminal region in which a helical motif (LXXLL, where L is a leucine and X any amino acid) is quite important for full activation of FoxO transcriptional activity [13] (Fig. 1). Four FoxO family members are expressed in mammals, FoxO1 (also known as FoxO1a), FoxO3 (also known as FoxO3a), FoxO4, and FoxO6, and all of them are expressed in skeletal muscles [14, 15]. Nonetheless, it is notable that, contrary to FoxO1, 3 and 4, which are expressed relatively ubiquitously, FoxO6 is expressed predominantly in the central nervous system [16], although it is also present in oxidative muscles [15]. Also of note, FoxO3- and FoxO4-null mice are viable, but FoxO1-null mice die during embryonic development due to impaired vasculogenesis [17–19]. FoxO3-null mice, although viable, present age-dependent infertility due to abnormal ovarian follicular development [17, 18] and, interestingly, they are characterized by severely impaired muscle regeneration [20]. Indeed, FoxO3-null mice show a downregulation of MyoD transcription, a key regulator of myogenesis that is a direct target of FoxO3 in myoblasts [20]. Moreover, hearts from FoxO3-null mice have a hypertrophic phenotype associated with increased expression of the modulatory calcineurin-interacting protein 1, exon 4 isoform (MCIP1.4) [19]. These data suggest that FoxO3 contributes to cell growth in striated muscle. On the other hand, FoxO4-null mice do not seem to be affected by any consistent abnormalities under physiological conditions [18]. However, under stress conditions like acute colitis, FoxO4 deficiency has been associated with increased

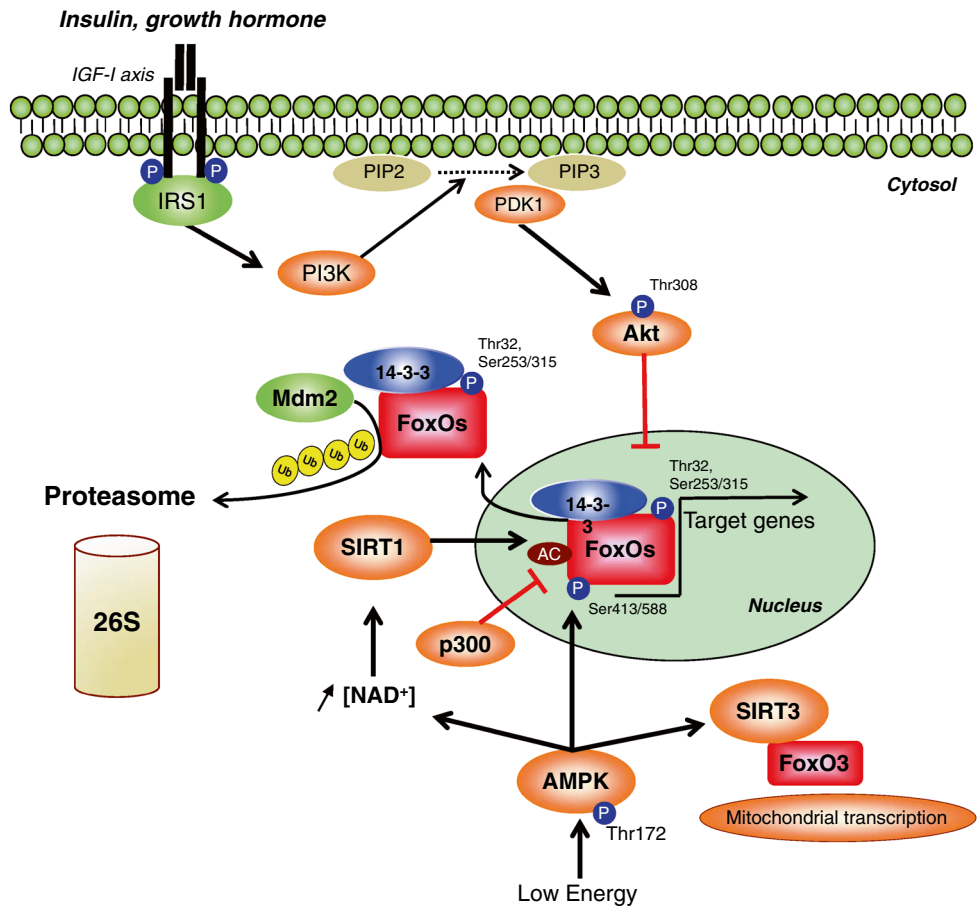
nuclear factor-kappa B (NF- $\kappa$ B) activity in colonic epithelial cells [21]. Last, mice lacking FoxO6 are viable but show substantial impairment of contextual and object memory consolidation [16]. However, the precise roles of this last member are largely unknown to date, especially in skeletal muscle.

In the present review, we outline the most recent advances on the roles and functions of FoxO proteins in the regulation of skeletal muscle homeostasis. The mode of regulation of these proteins, especially their dynamic control by two major actors in skeletal muscle homeostasis, Akt and AMPK, are detailed, as is the implication of each FoxO member in the regulation of cellular processes in response to physiological and pathophysiological conditions.

### Post-translational modifications of FoxO factors in skeletal muscle

Multiple signals converge on FoxO factors via various types of post-translational modifications, including phosphorylation, mono- or polyubiquitination, acetylation, glycosylation and arginine or lysine methylation (for a review, see Ref. [22]). Few of these post-translational modifications of FoxO have been investigated in skeletal muscle, but these changes can differentially regulate FoxO members and modulate their subcellular localization, DNA-binding ability, transcriptional activity, protein–protein interactions, and degradation. Thus, FoxO3 activity in skeletal muscle is negatively regulated by acetylation of lysine 262 and ubiquitination [23]. The histone acetyl-transferase

**Fig. 2** Antagonist regulation of FoxO proteins by the IGF-1/PI3K/Akt axis and AMPK. FoxO transcription factors are directly phosphorylated (at Thr32 and Ser253/315 for FoxO3) and inhibited by Akt via 14-3-3 binding in response to insulin/growth factor stimulation, whereas AMPK phosphorylates and activates FoxO3 under conditions of energy stress. AMPK also induces FoxO deacetylation and activation by modulating the histone deacetylases SIRT1 and SIRT3. Moreover, FoxO3 and FoxO4 can be acetylated by the histone acetyltransferase p300, and cytosolic FoxO3 can be degraded by the murine double minute 2 (Mdm2) via the ubiquitin–proteasome system. *IRS1* insulin receptor substrate 1, *PIP2* phosphatidylinositol 4,5-bisphosphate, *PIP3* phosphatidylinositol 3,4,5-triphosphate, *PDK1* phosphoinositide-dependent kinase 1



p300 targets and acetylates FoxO3, leading to its cytosolic relocalization and proteasomal degradation through the E3 ligase murine double minute 2 (Mdm2) [23]. Interestingly, overexpression of p300 in muscle alters FoxO3 nuclear localization and FoxO3 and 4 activities but, conversely, increases nuclear localization of FoxO1 [24]. In addition, an increase in p300 histone acetyltransferase activity raises some FoxO1-dependent gene transcription (Gadd45 $\alpha$  and cathepsin L) [24]. Thus, acetylation may be an important mechanism to differentially regulate the FoxO homologues.

An essential regulator of FoxO activity is the IGF-1/PI3K/Akt signaling pathway (Fig. 2), which represents the canonical pathway of skeletal muscle hypertrophy. Recently, its implication in muscle protein synthesis and hypertrophy was extended to loading models [25]. Akt, also known as “protein kinase B” (PKB), is a serine/threonine protein kinase that plays a key role in insulin and PI3K/Akt/MTOR signaling pathways, thus contributing to the regulation of energy metabolism and protein synthesis. In the presence of mitogenic growth factors, Akt activates the mechanistic target of rapamycin (MTOR) via phosphorylation and inhibition of tuberous sclerosis complex 2 (TSC2) [26, 27], which is a negative regulator of MTOR. Akt also phosphorylates and inhibits the proline-rich Akt

substrate of 40 kilodaltons (PRAS40), leading to increased MTORC1 signaling [28]. The IGF-1/PI3K/Akt pathway critically mediates FoxO inhibition since pharmacological inhibition of phosphatidylinositol 3-kinase (PI3K) reduces Akt phosphorylation and allows FoxO1 protein translocation to the nucleus [29]. Akt has been shown to phosphorylate FoxO3 on residues Thr32, Ser253, and Ser315, leading to its inhibition. Thr32 and Ser253 phosphorylation induces its exclusion from the nucleus and cytoplasmic retention through 14-3-3 chaperone protein binding [10]. Moreover, such phosphorylation promotes the alteration of FoxO binding to target DNA sequences [30, 31]. In non-muscle cells, FoxO1 and FoxO4 are also phosphorylated by Akt [32, 33]. Furthermore, using Akt1 and Akt2 knockout mice, a recent study demonstrated that BCL2/adenovirus E1B 19-kDa protein-interacting protein 3 (BNIP3) and GABA(A) receptor-associated protein-like 1 (GABARAPL1) transcripts, two autophagy-related genes regulated by FoxO3, are significantly elevated in muscle, confirming the importance of Akt in FoxO transcriptional activity regulation in vivo [34].

Unlike Akt, the adenosine monophosphate-activated protein kinase (AMPK), positively regulated by stimuli that decrease cellular energy levels (for a review, see Ref. [35]), phosphorylates FoxO3 at six regulatory sites (Thr-179,

Ser-399, Ser-413, Ser-355, Ser-588, and Ser-626) in vitro and promotes its activation [36] (Fig. 2). Importantly, the phosphorylation of Ser-413 and Ser-588 after AMPK activation by AICAR has been found in muscle cells [37, 38] and the AMPK-mediated activation of FoxO3 is associated with myofibrillar proteolysis through the activity of the muscle atrophy F-box (MAFbx/atrogen-1) and the muscle ring-finger protein 1 (MuRF1) [39].

The effects of AMPK on cellular movements of FoxO show discrepancies, depending on the duration of AMPK activation. In C2C12 myotubes, Sanchez et al. [37] showed that the activation of AMPK by AICAR activates FoxO3 and promotes transient nuclear translocation from 30 min to 6 h, but not at 24 h. These data are consistent with the results of Tong et al. [38] who reported that AICAR treatment in the same cell line caused FoxO3 nuclear relocation through a decrease in FoxO3 phosphorylation at Thr-318/321. Nevertheless, Williamson et al. [40] reported that longer AICAR treatment (24 h)—again, in the same cell line—led to a decrease in nuclear FoxO3 content through a peroxisome proliferator activator receptor  $\gamma$  coactivator-1  $\alpha$  (PGC-1 $\alpha$ )-dependent mechanism. Taken together, these data suggest that a pool of FoxO3 is rapidly directed toward the nucleus under AMPK activation and thereafter translocates into the cytosol and/or is degraded. Alternatively, Greer et al. suggested that AMPK does not modify FoxO3 localization and affects only the activity of the FoxO3 already present in the nucleus of HEK293T cells. Since FoxO3 cycles constantly in and out of the nucleus, AMPK may activate the fraction of FoxO3 that is always present in the nucleus [36].

Moreover, in a situation of energy stress, AMPK enhances the activity of histone deacetylase sirtuin 1 (SIRT1) by increasing the cellular NAD<sup>+</sup> level, which results in the deacetylation and activation of FoxO1 and FoxO3 in muscle [41]. During fasting and after exercise, AMPK promotes SIRT1-dependent deacetylation of FoxO1 and PGC-1 $\alpha$ , leading to the modulation of mitochondrial and lipid utilization genes [42]. Interestingly, the deacetylation of these substrates is reduced in AMPK $\gamma$ 3 KO mice, suggesting that the decreased SIRT1 activity could be consequent to the observed unresponsiveness of intramuscular levels of NAD<sup>+</sup> to fasting in these mice [42].

Concerning the transcriptional regulation of FoxO by AMPK, Nystrom and Lang found that 6 h after AICAR injection into mouse skeletal muscle, FoxO1 and FoxO3 mRNA levels increased but not FoxO4, which is also the case in response to sepsis [43]. In the same study, 24 h of AICAR and metformin (another AMPK activator) treatment decreased the mRNA content of FoxO1 and FoxO3 in C2C12 myotubes [43], and 2DG treatment for 8 h led to an increase in FoxO3 but a decrease in FoxO1 and FoxO4 mRNA levels. Furthermore, in the same cell model, AICAR

treatment of shorter duration (i.e., 6 h) increased the mRNA content of FoxO1 and FoxO3 [39]. Thus, these data suggest that pharmacological activators of AMPK increase FoxO1 and FoxO3 mRNA levels during short-term treatment in myotubes and in vivo, and that longer treatments lead to a decrease in said content in muscle cells. Concerning protein levels, it has been reported that dominant negative AMPK in muscle cells leads to a critical decrease in FoxO3, whereas AICAR treatment for 6 h elicits an accumulation of FoxO1 and FoxO3 [37, 39], in accordance with previously described AICAR effects on FoxO mRNA content.

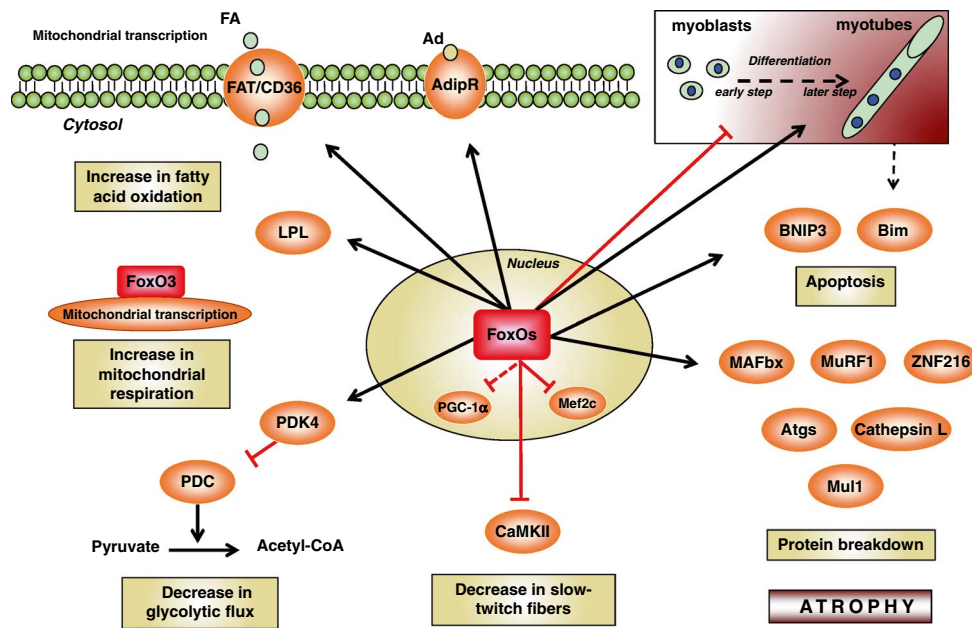
In summary, these cumulative data demonstrate that FoxO proteins are regulated on several fronts. In skeletal muscle, the most studied post-translational modifications of FoxOs are acetylation, ubiquitination, and phosphorylation (Fig. 2). AMPK and Akt have opposite effects on FoxO3 localization and activity. While Akt inhibits FoxO1, 3 and 4 by phosphorylation and 14-3-3 binding under mitogenic activation, AMPK phosphorylates FoxO3 at two regulatory sites (Ser-413/588) in skeletal muscle, leading to its activation under stress conditions. Moreover, AMPK activation is associated with increasing levels of FoxO1 and FoxO3 mRNAs and protein content.

### Regulation of skeletal muscle homeostasis by FoxO proteins

#### FoxO1 and FoxO3 regulate energy metabolism

FoxO1 plays a particularly significant role in the regulation of muscle energy homeostasis (Fig. 3). It is an important regulator of glucose metabolism in skeletal muscle, as it is in liver [44], pancreas [45], adipose tissue [45], and bone [46]. First, FoxO1 was shown to affect muscle energy homeostasis through a reduction in carbohydrate catabolism during fasting [47]. FoxO1 can bind directly to the promoter region of the pyruvate dehydrogenase kinase 4 (PDK4) gene, a key factor in maintaining the blood glucose level, to promote its expression during energy deprivation in skeletal muscle [47]. High levels of PDK4 induce a decrease in the activity of pyruvate dehydrogenase (PDH), which catalyzes the reaction from pyruvate to acetyl-CoA and leads to lower use of carbohydrates as an energy substrate [48]. Thus, the increase in PDK4 expression mediated by FoxO1 results in the conservation of glucose and gluconeogenic substrates (lactate, pyruvate, and alanine) and a decrease in glycolytic flux by inactivating the pyruvate dehydrogenase complex (PDC) [49]. Interestingly, it was recently found that FoxO1 activation correlates with PDK4 expression, and consequently with PDC activity, during exercise after several days of high-fat diet in humans [50]. Furthermore, FoxO1 is an important





**Fig. 3** Role of FoxO in skeletal muscle homeostasis. FoxO transcription factors negatively regulate glycolysis by increasing the transcription of the pyruvate dehydrogenase kinase 4 (PDK4), which leads to the inhibition of the pyruvate dehydrogenase complex (PDC), and promote fatty acid (FA) degradation by increasing lipoprotein lipase (LPL), fatty acid translocase/cluster of differentiation 36 (FAT/CD36), and adiponectin receptor (AdipR) transcription. FoxO3 regulates transcription of mitochondrial-encoded core or catalytic subunits of the oxidative phosphorylation machinery, thus increasing mitochondrial respiration. FoxO proteins increase the transcription

of the muscle atrophy F-box (MAFbx/atrogen-1), muscle ring-finger protein 1 (MuRF1), zinc finger protein 216 (ZNF216), mitochondrial E3 ubiquitin protein ligase 1 (Mull1), autophagic genes (Atgs), Bcl-2 interacting mediator of cell death (Bim) and BCL2/adenovirus E1B 19-kDa protein-interacting protein 3 (BNIP3) in myotubes leading to atrophy. FoxO1 inhibits the differentiation from myoblasts to myotubes in early steps but promotes myotube fusion in later steps of myogenic differentiation. FoxO1 binds and inhibits PGC-1 $\alpha$  and the Ca<sup>2+</sup>/calmodulin-dependent protein kinase II (CaMKII), leading to a decrease in type I fiber expression

metabolic regulator of muscle fat oxidation, a finding first reported in the 1980s in a study showing that FoxO1 levels are correlated with lipoprotein lipase (LPL) expression, the enzyme that hydrolyses plasma triglycerides into fatty acids and glycerol for uptake by muscle cells under fasting or exercise [51]. Moreover, overexpression of FoxO1 was found to increase LPL expression in C2C12 [52], strengthening these earlier results. FoxO1 also alters the subcellular localization of the membrane fatty acid translocase/cluster of differentiation 36 (FAT/CD36) [49] that permits fatty acid uptake into muscle cells. Last, FoxO1 regulates the expression of adiponectin receptors (AdipR), which transmit a signal for increased fatty acid oxidation in muscle, and adiponectin sensitivity [53]. Taken together, these data demonstrate that FoxO1 acts as a switch for a shift toward the use of lipids instead of glucose as fuel substrate under several stress conditions. Concerning FoxO3, its role in mitochondrial energy metabolism under nutrient restriction has recently been assessed [54]. In this study performed in myotubes, the authors showed that a low-glucose condition raises FoxO3 accumulation into mitochondria in an AMPK-dependent manner. Glucose restriction induces the formation of a multiprotein complex composed of FoxO3,

SIRT3 (a mitochondrial sirtuin [55]), and mitochondrial RNA polymerase at mitochondrial DNA-regulatory regions (mtDNA-RR). The association of FoxO3 with mitochondrial DNA was found to correlate with activation of mitochondrial transcription. In this model, SIRT3 mediates FoxO3 binding to mtDNA-RR and the transcription of mitochondrial-encoded core or catalytic subunits of the oxidative phosphorylation machinery, thus increasing mitochondrial respiration. These data extend our understanding of FoxO proteins function into mitochondria, the central governors of energy metabolism, and suggest attractive perspectives for metabolic disorder research.

In summary, in the FoxO family, FoxO1 appears to be the major regulator of muscle energy homeostasis through the regulation of glycolytic and lipolytic flux. Importantly, the function of FoxO3 in mitochondrial metabolism is emerging. The involvement of FoxO4 and 6 in skeletal muscle energy metabolism still remains to be characterized.

FoxO1 and FoxO3 govern protein breakdown

The role of FoxO transcription factors in the regulation of skeletal muscle protein degradation has been studied over

the last 10 years. FoxO has been shown to regulate the two major systems of protein breakdown in skeletal muscle, the ubiquitin–proteasome and the autophagy–lysosomal pathways [29, 56, 57] (Fig. 3).

The ubiquitin–proteasome system is assumed to play a major role in muscle protein degradation since the discovery of two E3 ubiquitin ligases, MAFbx/atrogen-1 and MuRF1, which are overexpressed in various atrophy models like fasting, denervation, immobilization, and hindlimb suspension [58, 59]. The function of E3 ubiquitin ligases is to target specific protein substrates for degradation by the 26S proteasome. FoxO1 and 3 are required for the transcription of MAFbx/atrogen-1 and MuRF1 [56, 60], which leads to the ubiquitination of several proteins involved in skeletal muscle maintenance. MAFbx/atrogen-1 catalyzes the breakdown of the myogenic transcription factors MyoD and myogenin, as well as the eukaryotic initiation factor of translation eIF3f [61–64]. As a result, MAFbx/atrogen-1 inhibits the transcription and translation of muscle genes and prevents the replacement of degraded muscle proteins. MAFbx/atrogen-1 has also recently been shown to ubiquitinate and target sarcomeric proteins (i.e., desmin and vimentin) for degradation, expanding its activities to the degradation of myofibrillar proteins [65]. MuRF1 recognizes myosin heavy chain protein (MyHC) [66] and other myofibrillar proteins such as myosin light chain 1 and 2 (MLC1 and 2, respectively) and myosin-binding protein C (MyBP-C) [67] for breakdown by the proteasome. Interestingly, the thin filament components (actin, tropomyosin, troponins) and Z-band ( $\alpha$ -actinin) decrease during atrophy by a mechanism not requiring MuRF1, but rather Trim32 (tripartite motif-containing protein 32), a distinct RING finger ubiquitin ligase [68, 69]. In addition to these E3 ligases, zinc finger protein 216 (ZNF216) also seems to be involved in muscle atrophy. Indeed, ZNF216 expression is upregulated in denervation and fasting-induced models of muscle atrophy, and mice lacking ZNF216 exhibit resistance to atrophy [70]. ZNF216's ability to directly bind to polyubiquitin chains and its association with the 26S proteasome suggests it may function by shuttling proteins targeted for degradation to the proteasome. Moreover, FoxO4 increases ZNF216 expression in C2C12 myoblasts, suggesting that ZNF216 may also function as a downstream effector of FoxO proteins in muscle atrophy [70]. Further studies are needed to determine the role of this potential FoxO4/ZNF216 axis in skeletal muscle homeostasis and its involvement in both physiological and pathological situations.

The second system is the autophagy–lysosomal pathway, an important mechanism for maintaining cell metabolism and protein turnover. This machinery is implicated in the turnover of organelles and long-lived proteins, as well as in the clearance of damaged cell components and the

degradation of cell material in order to allow energy supply and cell survival during starvation and stress [71]. It involves, first, the sequestration of substrates into a vacuolar system called the autophagosome. The autophagosome then fuses with the lysosome to form an autolysosome, in which the content is degraded by lysosomal hydrolases. Autophagy requires the Atg (autophagy-specific gene) proteins implicated in the formation of autophagosomes [72] and two ubiquitin-like conjugation systems. The first one involves the Atg12/Atg5/Atg16 complex [73, 74], which is essential for the formation of the autophagosomal membrane [75, 76]. The second implicates the conjugation system of Atg8, also known as microtubule-associated protein 1 light chain 3 (LC3) in mammals [77]. Pro-LC3 is first cleaved by the cysteine protease Atg4 to its mature form, LC3I. The former is then lipidated to LC3II by Atg7 and Atg3, this event contributing to membrane fusion and the substrate selection for degradation [78]. It is noteworthy that two other complexes (Unc-51-like kinase (Ulk1)/Atg1 and Beclin1/vacuole protein sorting 34 (Vps34)/PI3K) are important for the initiation of the autophagic process [79–82]. These proteins operate in conjunction with other Atgs to mediate the initial assembly of the autophagosomal membrane. Recently, it was shown in vivo during starvation-induced atrophy that FoxO3 regulates the transcription of several Atgs, including LC3B and BNIP3 [57]. FoxO3 controls the skeletal muscle ubiquitin–proteasome and autophagy–lysosomal pathways independently, and BNIP3 appears to mediate the effect of FoxO3 on autophagy. Moreover, FoxO3 regulates the transcription of several other Atgs, Beclin, GABARAP1, PI3KIII, Atg4B, Atg12 l, and Ulk2, during fasting [83]. This study [83] also reported that expression of a constitutively active form of FoxO3 promotes LC3 lipidation, which could reflect the induction of autophagy. Moreover, FoxO1 directly targets cathepsin L, a lysosomal protease in muscle [84], and induces its expression during fasting [84] or cachectic conditions [85], promoting a role of FoxO factors in lysosomal degradation. Although the implication of FoxO proteins in the transcription of Atg and lysosomal hydrolases has been partially described, their potential role in the genesis of lysosomes has not been investigated. Similarly, the potential role of FoxO1 in skeletal muscle autophagy remains to be characterized.

In order to assess the function of autophagy in skeletal muscle, Masiero and colleagues performed experiments on mice with muscle-specific knockout of Atg7, a gene necessary for the unfolding of the autophagy program. Interestingly, these mice showed obvious signs of muscle weakness and atrophy exacerbated by aging. Indeed, these mice presented an accumulation of degraded proteins and free radicals, deterioration in the internal cellular structures, and activation of the apoptotic program. The authors clearly

demonstrated that inhibition of basal autophagy does not protect from the skeletal muscle atrophy induced by denervation or starvation but, on the contrary, contributes greatly to muscle degeneration. A similar atrophic phenotype was also obtained in muscle-specific *Atg5* knockout mice [86]. Thus, autophagy in muscle is a complex process that can be beneficial or deleterious, depending on its activation level and cellular environment. Further work is needed to determine whether autophagy during muscle wasting has a protective function, a causative role, or is the result of the disease process itself.

Last, the involvement of FoxO3 in mitochondrial autophagy (also called mitophagy) has recently been characterized. Fission and selective fusion govern mitochondrial segregation and elimination by autophagy, and an increase in mitochondrial fission was reported to be permissive for mitophagy [87]. Enhanced FoxO1 and FoxO3 activity during muscle wasting results in increased transcription of the mitochondrial E3 ubiquitin protein ligase 1 (*Mul1*), which in turn ubiquitinates and degrades mitofusin-2 (*Mfn2*) [88], a mitochondrial fusion protein critical for the maintenance and genomic stability of mitochondrial DNA [89]. These events promote fragmentation, depolarization, and clearance of mitochondria through the autophagy–lysosomal pathway [88].

Collectively, these recent studies highlight that FoxO1 and 3 are central regulators of protein breakdown. Although FoxO1 seems to be more involved in the ubiquitin–proteasome pathway, FoxO3 has a role in both the ubiquitin–proteasome and autophagy–lysosomal systems. Importantly, these two proteins were recently identified as having a role in skeletal muscle mitophagy through the regulation of the activity of the mitochondrial E3 ligase *Mul1*.

Cell proliferation, differentiation, and muscle regeneration are regulated by FoxOs

In addition to the effect of FoxO factors on protein degradation, their role in myogenesis has also been addressed. Muscle progenitor cells, called satellite cells, reside between the sarcolemma and basement membrane of terminally differentiated muscle fibers. These are normally quiescent in adult muscle, but play a major role in muscle cell differentiation and tissue regeneration in response to injury. Overexpressed FoxO3 decreases muscle precursor cell proliferation by increasing expression of *p27<sup>KIP1</sup>*, a cyclin-dependent kinase inhibitor [90]. Moreover, a decrease in the activation of the *p27<sup>KIP1</sup>* promoter was found to result from an IGF-I-related inhibition of FoxO1 activity in satellite cells [91]. In addition, the forkhead box protein K1 (*Foxk1*) interacts with the winged helix of FoxO4 and promotes muscle progenitor cell proliferation by repressing FoxO4 transcriptional activity [92, 93]. Reciprocally,

repression of FoxO4 results in decreased FoxO4 target gene (*p21<sup>CIP</sup>*, *p27<sup>KIP1</sup>*, *p57*, and *Gadd45 $\alpha$* ) expression and increased cellular proliferation of the muscle progenitor cells [93]. It is noteworthy that the gastrocnemius muscles of FoxO4-null mice, which show increased cellular proliferation, also show altered regeneration and smaller myofibers following cardiotoxin injury [93]. These deleterious effects seem to be linked to the decreased expression of cell cycle inhibitors, especially *p21<sup>CIP</sup>* [93], and suggest the prominent role of FoxO4 as a key cell cycle regulator of progenitor cells. Furthermore, the expression of a constitutively active form of FoxO4 controls C2C12 myoblast cycle progression by G(2) arrest [6]. In these cells, oxidative stress activates the stress-inducible gene *Gadd45* promoter in a FoxO-dependent manner, resulting in a greater abundance of *Gadd45* protein, as well as G(2) arrest [6]. In summary, these data indicate that FoxOs act as negative regulators of cell proliferation.

In addition to their role in the control of the cell cycle, FoxO proteins are also implicated in myogenic differentiation. Illustrating the great complexity of the FoxO system, the studies on myoblast differentiation have shown substantial differences, according to the step of differentiation. For example, Hribal et al. [94] reported that overexpression of a constitutively active form of FoxO1 in C2C12 myoblasts results in the inhibition of muscle differentiation induced by constitutively active Akt, whereas dominant-negative mutant FoxO1 causes a slight but significant increase in the expression of differentiation markers. The results from Kitamura et al. [95] confirmed the inhibitory effect of FoxO1 on myoblast differentiation and demonstrated that FoxO1 and Notch pathways cooperate in the regulation of muscle differentiation. Last, the study by Wu and coworkers also showed that FoxO1 inhibits C2C12 myoblast differentiation, and these authors demonstrated that activation of an inducible mutant of FoxO1 leads to proteasome degradation of MTOR pathway components (i.e., *RPTOR*, *MTOR*, *p70<sup>S6K</sup>*, and *TSC2*) [96], which are required for differentiation, by controlling the expression of IGF-II [97]. By contrast, Bois and Grosfeld reported that FoxO1 is required for myotube formation of primary mouse myoblasts after the initiation of differentiation [98]. These authors showed that FoxO1 translocates to the nucleus and regulates the fusion of differentiating primary myoblasts during later steps of myogenic differentiation. Taken together, these studies highlight the complex role of FoxO1 during myogenesis. FoxO1 may also have a dual role, depending on the stage of myogenesis.

Notably, inhibition of basal FoxO1 activity decreases myostatin expression and Smad transcriptional activity, whereas it increases *MyoD* expression, satellite cell proliferation, and fusion, and leads to muscle hypertrophy in normal muscle [95, 99]. Reciprocally, expression of

constitutively active FoxO1 in C2C12 myotubes enhances myostatin promoter activity, and mutation of the FoxO-binding sites in the myostatin promoter reduces its activity [100]. Thus, FoxO1 regulates the expression of myostatin, which contributes to the control of muscle cell growth and differentiation [100]. Collectively, these data demonstrate that basal levels of FoxO transcription factors play a role in limiting muscle growth, especially by repressing satellite cell activation.

In summary, while the muscle proteins FoxO1, 3, and 4 inhibit cell proliferation, FoxO1 expression inhibits myoblast differentiation, but only in the early steps. Indeed, it has also been reported that FoxO1 is required for myotube formation of primary myoblasts after the initiation of the differentiation process. Further experiments are needed to clarify the molecular basis of such divergent roles.

### Regulation of muscle mass by FoxO1 and 3

Skeletal muscle atrophy occurs under a variety of conditions and can result from alterations in both protein synthesis and protein degradation. The role of FoxO transcription factors in skeletal muscle atrophy has been investigated over the past few years. One study showed that FoxO1 is markedly upregulated in skeletal muscle under energy-deprived states such as fasting and severe diabetes [52]. The study by Kamei et al. [60] demonstrated that FoxO1 transgenic overexpression in skeletal muscle induces a decrease in body size and muscle mass associated with an upregulation of MAFbx/atrogen-1 and MuRF1 expression. It was also established that genetic activation of FoxO3 results in atrophy associated with upregulation of MAFbx/atrogen-1, whereas knockdown of FoxO3 by siRNA prevents muscle atrophy [56]. Similarly, the expression of a dominant-negative form of FoxOs inhibits the MAFbx/atrogen-1, MuRF1, cathepsin L, and BNIP3 mRNA increases associated with cancer cachexia and sepsis, resulting in an inhibition of muscle fiber atrophy [85]. Interestingly, inactivation of autophagic flux by LC3 silencing partially prevents FoxO3-mediated muscle loss, suggesting the major role of the autophagic pathway in FoxO3-mediated atrophy [57]. Concerning the E3 ligases, MuRF1 knockdown attenuates muscle atrophy in response to synthetic glucocorticoid treatment, contrary to MAFbx/atrogen-1 deletion. Moreover, the muscle sparing in MuRF1-null mice is related to the maintenance of protein synthesis rather than the attenuation of muscle protein breakdown [101]. In view of these elements, we can assume that FoxOs/MuRF1 controls both protein synthesis and degradation in skeletal muscle, but the underlying mechanisms remain to be elucidated. Furthermore, recent data have established a link between mitochondrial dysfunction and atrophy, showing that expression of the fission machinery leads to muscle wasting by triggering

organelle dysfunction and AMPK activation [102]. Importantly, the essential role of FoxO1- and FoxO3-dependent mitophagy has been characterized during muscle wasting. Thus, the previously described Mul1/mitophagy axis was reported to have a significant deleterious role in denervation and dexamethasone atrophy models [88].

In FoxO-dependent atrophy, FoxO1 activation induces apoptosis in a DNA-binding-dependent manner in mature myotubes [103]. Apoptosis can be directly linked to skeletal muscle atrophy through induction of mitochondria-associated proapoptotic genes and DNA fragmentation during mechanical unloading and denervation [104–107]. Thus, the apoptotic genes, Bcl-2-interacting mediator of cell death (Bim) and BNIP3—which can promote apoptosis through their ability to trigger mitochondrial disruption [108, 109]—are induced by FoxO1 during muscle atrophy [103]. Nonetheless, it is noteworthy that activation of FoxO1 does not lead to apoptosis in primary myoblasts, conversely to what has been found in other cell types [11, 98].

FoxO1 and FoxO3 mRNAs are upregulated during dexamethasone treatment [47, 110] and glucocorticoids seem to induce the activation of FoxO factors by decreasing the activity of the PI3K/Akt pathway. In agreement, FoxO transcription factors in cultured myotubes can be inhibited by IGF-1 following dexamethasone treatment [29]. Interestingly, under the same treatment, IGF-1 is not able to block MAFbx/atrogen-1 or MuRF1 expression in the presence of a constitutively active FoxO1 mutant that cannot be phosphorylated by Akt [10]. This finding demonstrated that IGF-1/PI3K/Akt-related inhibition of atrophy requires the blockade of FoxO1. Recently, it was shown in acute inflammation that the FoxO pathway activation linked to increased glucocorticoid production plays a more crucial role in the induction of proteolytic systems than decreased IGF-I production and an increased tumor necrosis factor  $\alpha$  (TNF- $\alpha$ )/NF- $\kappa$ B pathway [111].

The implication of FoxOs in aged-mediated atrophy has also been investigated. FoxO proteins play key roles in the aging process, especially with respect to their ability to reduce the generation of reactive oxygen species and DNA damage [112, 113]. Sandri and coworkers recently established that the modulation of the Akt/FoxO pathway and the proteolytic systems is modest in the aging process and that sarcopenia is not due to FoxO activation [114]. Similarly, it was suggested that apoptosis contribution could be more important than MAFbx/atrogen-1- and MuRF1-linked protein degradation in declining aged-muscle functions [115]. Pardo and colleagues reported a mechanosensitive signaling mechanism in the diaphragm, responsible for the regulation of FoxO transcription factors in aging [116]. Indeed, they found that the alteration in the mechanical properties of the aged diaphragm is associated with a decrease in FoxO1 and FoxO3 nuclear content. Such a decrease is correlated



with higher basal activation of Akt during aging. However, in the same model, nuclear FoxO4 content remains unaffected and its stability seems to be dependent on the c-Jun N-terminal kinases (JNKs), which are highly activated in sarcopenia [116]. Thus, these data suggest that FoxO4 is the principal homologue responsible for FoxO-dependent transcriptional activity in the aging diaphragm. In a study investigating the cause of the skeletal muscle mass loss in sarcopenia, Edström et al. [117] found that, contrary to the acute atrophies induced by caloric restriction, chronic atrophies induced by disuse, disease and denervation lead to MAFbx/atrogen-1 and MuRF1 downregulation in the skeletal muscle of 30-month-old rats. The authors suggested that Akt activated by the IGF-1 receptor is responsible for the inactivation of FoxO4 and the subsequent inhibition of MAFbx/atrogen-1 and MuRF1. Moreover, this study confirmed the results of Furuyama et al. [118] and showed that caloric restriction limits sarcopenia as well as the effects of aging by acting on Akt phosphorylation, FoxO4 inhibition, and MAFbx/atrogen-1 and MuRF1 transcript regulation.

Thus, FoxO1 and FoxO3 act through an exacerbation of the ubiquitin-proteasomal and autophagy-lysosomal degradation pathways in muscle atrophy. Moreover, FoxO factors, especially FoxO3, are involved in mitochondrial dysfunction and elimination by regulating the mitophagic pathway under atrophy. Nonetheless, the involvement of apoptosis in the aging process seems to be more important than FoxO-dependent proteolysis.

#### Regulation of muscle fiber type by FoxOs

Regarding muscle typology, FoxO-mediated atrophy is associated with a decreased expression of type I (slow oxidative) fiber-related genes and proteins (i.e., troponin I (slow) and myoglobin), a diminished number of type I fibers, and smaller type I and type II fibers [60]. A target of FoxO1 is PGC-1 $\alpha$ , a metabolic transcriptional coactivator that was originally identified *in vitro* as a coactivator of the peroxisome proliferator-activated receptor  $\gamma$  (PPAR- $\gamma$ ) [119]. PGC-1 $\alpha$ , which is notably induced by endurance exercise [120, 121], promotes fiber-type switching from glycolytic toward more oxidative fibers [122, 123]. Interestingly, FoxO1 expression stimulates the promoter activity of PGC-1 $\alpha$  via interaction with insulin response sequences (IRSs) in liver HepG2 cells [124], but to our knowledge, no muscle study has yet supported this finding. However, the study of Kamei et al. [60] showed that PGC-1 $\alpha$  mRNA levels are increased in muscle-specific FoxO1 transgenic mice, suggesting that FoxO1 may promote PGC-1 $\alpha$  gene expression in muscle. Thus, these studies suggest that the alteration in muscle oxidative capacity mediated by FoxO1 cannot be directly linked to an alteration in PGC-1 $\alpha$  mRNA content, but FoxO1 may bind to PGC-1 $\alpha$  and inhibit certain

functions of this factor. Along the same lines, FoxO6 was recently shown to form a regulatory loop with PGC-1 $\alpha$  for setting the oxidative metabolism level in muscle cells [15], but the authors did not investigate whether this mechanism is modulated during muscle wasting. Nevertheless, PGC-1 $\alpha$  is downregulated in various types of muscle atrophy, including denervation, streptozotocin-induced diabetes, renal failure, and cancer [125]. Electroporation of PGC-1 $\alpha$  in rodent muscles diminished FoxO3 transcriptional activity and reduced denervation- and fasting-induced atrophy by decreasing MAFbx/atrogen-1 and MuRF1 expression levels, while increasing several genes involved in glycolysis and oxidative phosphorylation [125]. Moreover, overexpression of PGC-1 $\beta$  or PGC-1 $\alpha$  in mouse muscles decreases denervation-induced atrophy, MAFbx/atrogen-1 and MuRF1 induction, and NF- $\kappa$ B activity [126], this last being especially activated during skeletal muscle wasting. In addition to PGC-1 $\alpha$ , type I fiber gene expression is also regulated by the transcription factor myocyte enhancer factor 2c (Mef2c) and the Ca<sup>2+</sup>/calmodulin-dependent kinase II (CaMKII), and levels of both proteins are significantly reduced in transgenic mice overexpressing FoxO1 [60]. It was also reported that FoxO1 is expressed preferentially in fast-twitch fibers and overexpression of FoxO1 induces the formation of fast-twitch fibers [127]. Yuan et al. [127] found that FoxO1 decreases oxidative capacity in C2C12 myotubes, at least in part through inhibition of the calcineurin pathway, a master chief regulatory signaling pathway of slow fiber-selective gene expression [128]. Interestingly, FoxO1 can exert different actions according to the fiber type, and it was shown that FoxO1 mRNA is upregulated in both the soleus and plantaris muscles during hindlimb unloading, but this effect is correlated only with a lower oxidative capacity of soleus muscle [129]. In this study, the percentage of type I fibers is decreased and the percentage of glycolytic fibers is increased in the soleus muscle but not in plantaris, strongly suggesting that slow fibers are affected by FoxO1 more than fast fibers.

Altogether, these studies clearly demonstrate that FoxO transcription factors are negative regulators of type I fiber-related gene expression in muscle atrophy, which results in a shift of muscle phenotype from slow oxidative to fast glycolytic (Fig. 3). The significance of the FoxO6-PGC-1 $\alpha$  regulatory loop during muscle wasting should be investigated in order to open new perspectives and assess the importance of this FoxO member in skeletal muscle homeostasis.

FoxO1 and 3 are involved in skeletal muscle adaptation to exercise

Besides the involvement of FoxO proteins in muscle wasting, recent studies have demonstrated that FoxO1 and FoxO3 are involved in skeletal muscle adaptation

to exercise. In human skeletal muscle, the FoxO1 gene increased at 3 h and returned to baseline by 48 h during recovery from an exhaustive bout of high-intensity cycling [130]. The FoxO3 gene also increases after a marathon [131]. Consistent with this, it was reported that certain autophagic markers (i.e., LC3bII and Atg12 protein expression), MuRF1 mRNA level, and proteasome  $\beta$ 2 subunit activity are increased after ultra-endurance exercise [132]. Furthermore, some of these adaptations also occur during moderate exercise [133–135]. Thus, the rise in catabolic pathways regulated by FoxO1 and 3 could provide amino acids as an alternative energy substrate during prolonged exercise and probably optimizes protein turnover during recovery. The removal or recycling of damaged cell constituents is also needed in skeletal muscle during exercise. In addition, mechanical stimuli induce changes in gene expression that affect metabolism and promote adaptations in muscle function and muscle mass. In support of a role for FoxOs in the adaptations of skeletal muscle to resistance exercise, Goodman et al. [136] showed that total and phosphorylated FoxO1 and 3 were elevated with chronic mechanical overload that induces significant hypertrophy and remodeling. Interestingly, the increase in total FoxO1 was an mTOR kinase-dependent event, suggesting that mTOR is involved in the protein degradation increase observed in response to mechanical overload. Furthermore, in mice, He and coworkers reported that the autophagic process is required for the beneficial metabolic effects of exercise on skeletal muscle [137]. Indeed, mice that have mutations in BCL2 phosphorylation sites, which prevent stimulus-induced disruption of the BCL2-beclin-1 complex and autophagy activation, present decreased endurance and altered glucose metabolism during acute exercise. These emergent studies support the essential role of FoxO transcription factors in muscle adaptations to exercise. Future research to further elucidate these events will be key to achieving a better understanding of the molecular adaptations of skeletal muscle to exercise. For example, directions for future research include the possible involvement of FoxO/mitophagy and mitochondrial network remodeling during exercise. Because exercise is associated with improved quality of life and constitutes one of the best approaches to limit atrophy and metabolic disorders, these research directions are crucial in the battle against a wide spectrum of metabolic and muscle diseases.

## Summary and conclusions

In summary, significant progress has expanded our understanding of the prominent roles played by FoxO transcription factors in skeletal muscle homeostasis. These proteins control muscle cell growth, differentiation, and apoptosis,

as well as energy metabolism, this last one by acting as a switch for a shift toward the use of lipids instead of glucose as fuel substrate and by regulating mitochondrial metabolism. FoxO factors regulate protein breakdown, with FoxO1 seemingly more involved in the regulation of the ubiquitin–proteasome pathway, whereas FoxO3 plays a role in both the ubiquitin–proteasome and autophagy–lysosomal systems. Furthermore, FoxO1 and FoxO3 have recently been implicated in the regulation of mitophagy through activation of the E3 ligase Mul1. A basal level of FoxO1 and 3 is necessary for maintaining cellular homeostasis and responding to the metabolic stress related to exercise, but the exacerbated activation that occurs in several diseases results in atrophy, mitochondrial dysfunction, and a detrimental shift in the muscle phenotype. A basal level of protein breakdown is necessary for maintaining muscle homeostasis, and the complex process of autophagy can be beneficial or deleterious, depending on its activation level. The physiological relevance of the autophagic pathway in skeletal muscle is emerging, as recent studies have demonstrated its deleterious role in wasting and, conversely, its crucial role in cellular homeostasis during exercise. In the light of these results, additional work is necessary to further elucidate the precise role of these proteins, especially in mitochondrial homeostasis, for the development of new therapeutic approaches. This will be important in preventing or limiting the muscle wasting that prevails in numerous physiological and pathological states such as immobilization, aging, denervated conditions, neuromuscular diseases, AIDS, cancer, and diabetes.

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