

## Y-family DNA polymerases in mammalian cells

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**Abstract** Eukaryotic genomes are replicated with high fidelity to assure the faithful transmission of genetic information from one generation to the next. The accuracy of replication relies heavily on the ability of replicative DNA polymerases to efficiently select correct nucleotides for the polymerization reaction and, using their intrinsic exonuclease activities, to excise mistakenly incorporated nucleotides. Cells also possess a variety of specialized DNA polymerases that, by a process called translesion DNA synthesis (TLS), help overcome replication blocks when unrepaired DNA lesions stall the replication machinery. This review considers the properties of the Y-family (a subset of specialized DNA polymerases) and their roles in modulating spontaneous and genotoxic-induced mutations in mammals. We also review recent insights into the molecular mechanisms that regulate PCNA monoubiquitination and DNA polymerase switching during TLS and discuss the potential of using Y-family DNA polymerases as novel targets for cancer prevention and therapy.

**Keywords** Y-family polymerase · PCNA · Replication foci · Translesion DNA synthesis · Mutagenesis · Polymerase switching · Somatic hypermutation

### Introduction

The genomes of all living cells are continuously under attack by a variety of endogenous and exogenous genotoxic agents [1]. Multiple DNA repair pathways can remove the majority of DNA lesions [1]. However, some may escape the cellular repair machinery and persist during S-phase, creating the potential of blocked DNA replication and threatening the viability of dividing cells. Eukaryotic cells have evolved multiple strategies for mitigating the lethal effects of arrested DNA replication without prior removal of the offending DNA damage: so-called DNA damage tolerance [2]. Translesion DNA synthesis (TLS) is a mode of DNA damage tolerance that utilizes specialized low-fidelity DNA polymerases to replicate across sites of DNA damage, hence generating mutations. Eukaryotic cells, in particular those from higher eukaryotes, are endowed with multiple such enzymes that can catalyze DNA synthesis past sites of base damage *in vitro*. These enzymes are devoid of 3' → 5' proofreading exonuclease activity and replicate undamaged DNA *in vitro* with low fidelity and weak processivity [3]. It has been suggested that TLS in eukaryotes may sometimes require the sequential action of two specialized polymerases: an “inserter” and an “extender”. The inserter polymerase is thought to efficiently insert (correct or incorrect) nucleotides directly opposite the arresting lesion, while the extender polymerase is believed to incorporate further nucleotides downstream of the lesion [3].

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Some specialized DNA polymerases belong to a novel protein family called the Y-family [4]. These enzymes possess a spacious active site that can physically accommodate a variety of DNA lesions and facilitate their bypass [5]. Members of the Y-family in higher eukaryotic cells include Pol $\kappa$ , Pol $\iota$ , Pol $\eta$  and REV1 [3]. In this article we review the properties of the Y-family of DNA polymerases and their roles in modulating spontaneous and genotoxic-induced mutations in mammals. We also review recent insights into the molecular mechanisms that regulate PCNA monoubiquitination and DNA polymerase switching during TLS. Finally, we discuss the potential for identifying Y-family polymerases as novel targets for cancer prevention and therapy. Additional information on the properties and structures of Y-family DNA polymerases can be found in several recent reviews [3, 5].

## Overview of the Y-family of DNA polymerases

### Pol $\eta$

DNA polymerase eta (Pol $\eta$ ) encoded by the *Polh* gene is specifically required for the accurate replicative bypass of cyclobutane pyrimidine dimers (CPDs) in DNA generated by the exposure of mammalian cells to ultraviolet (UV) radiation [1, 6, 7]. Humans (and mice) defective for Pol $\eta$  manifest the symptoms and signs of the skin cancer-prone disease xeroderma pigmentosum (XP). The control of Pol $\eta$  function is presumably highly regulated, since the enzyme replicates undamaged DNA with an error rate of  $10^{-2}$  to  $10^{-3}$  and can presumably exhibit highly mutagenic functions if not appropriately controlled [3].

### *Polh* gene expression and regulation

The *Polh* gene (called *Rad30* in yeast and *XPV* in humans) was originally identified in the yeast *Saccharomyces cerevisiae* by its sequence homology to the UmuC homologs *DinB* (PolIV) and *REV1* [8, 9]. *Polh* is exclusively found in eukaryotic organisms, with homologs identified in both vertebrate and invertebrate species. The human *Polh* gene maps to chromosome 6p21.1 [10]. Human *Polh* mRNA transcripts are detected in most tissues, but are particularly low or undetectable in peripheral lymphocytes, fetal spleen and adult muscle [11]. The human *Polh* gene undergoes alternative splicing, most prominently in the testis and fetal liver where exon II is frequently spliced out. Since exon II is the first coding exon of *Polh* containing the ATG start site, an out of frame protein product is generated from this transcript that encodes a non-functional protein that is unable to complement the UV-sensitivity of XP-V cells [11].

In the yeast *S. cerevisiae* two sequences indicative of DNA damage-inducibility have been identified in the promoter region of the *Rad30* gene. Independent observations agree that the transcript of *Rad30* is induced  $\sim 3.5$ -fold in response to DNA damage by UV-irradiation [8, 12]. However, recent reports are contradictory as to whether or not RAD30 protein levels are increased/stabilized in response to UV-irradiation [12, 13]. In contrast to the yeast homolog, induction of mammalian *Polh* transcripts in response to UV-irradiation has not been observed, although in human cells DNA damage caused by camptothecin (an inhibitor of topoisomerase I that causes double strands breaks) induces the up-regulation of *Polh* expression in a p53-dependent manner [14]. Indeed, the promoter of *Polh* contains a p53 response element that can be bound and activated by p53, implicating *Polh* as a target of p53. However, since induction of *Polh* is not observed following exposure to UV-irradiation, it is unlikely that p53 has a significant functional role in TLS by *Polh*. Given that p53-defective cells are proficient for TLS, basal levels of Pol $\eta$  protein are apparently sufficient for its function in the bypass of CPDs [14–16].

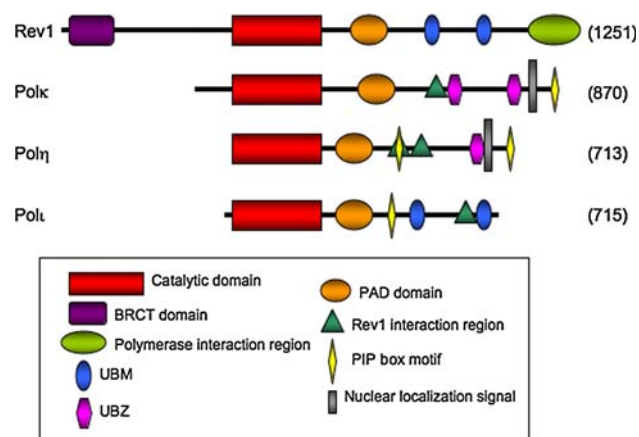
### *Polh* enzymatic activity

In yeast, *Drosophila*, humans, and mice Pol $\eta$  has been shown to replicate past CPDs accurately and efficiently [3]. The incorporation of an A opposite the 3'T and the 5'T of the dimer occurs with nearly the same efficiency and fidelity as opposite the two undamaged Ts [3]. Crystallographic analysis of yeast Pol $\eta$  reveals that Pol $\eta$  lacks the helices "O" and "O1" in the fingers domain and the distinctly open active site of Pol $\eta$  can accommodate both template nucleotides of a CPD [17]. Yeast and human Pol $\eta$  can also replicate DNA containing 7,8-dihydro-8-oxoguanine (8-oxo-G) efficiently and accurately in vitro by inserting C across these lesions and proficiently extending from this base pair [18, 19]. Consistent with in vitro findings, a recent paper from Pfeifer's lab provides evidence that Pol $\eta$  prevents error-prone bypass of this lesion in human skin fibroblasts [20], although evidence from two other groups indicates that Pol $\eta$  is not essential for the bypass of 8-oxo-G in mammalian cells [21, 22]. Moreover, Pol $\eta$  efficiently replicates past <sup>6</sup>O-methyl guanine (m6G) lesions, oxaliplatin and cisplatin GpG adducts in vitro [3, 23]. Data from human cells supports a role of Pol $\eta$  in error-free TLS across cisplatin GpG lesions [24, 25]. Additionally, Pol $\eta$  is remarkably error-prone when bypassing benzo[a]pyrene 7,8-diol 9,10-epoxide (BPDE) deoxyguanosine adducts (BPDE-dG) in vitro, preferring to misincorporate G and A at frequencies 3- to more than 50-fold greater than that for T or the correct base C [26]. In agreement with this, human *Polh* knockdown cells exhibit decreased mutagenic TLS across BPDE-dG lesions [24].

*Pol $\eta$  protein–protein interactions and mechanisms for recruiting Pol $\eta$  to stalled replication foci*

Significant progress has been made toward understanding the molecular mechanisms by which Pol $\eta$  functions during TLS. Mammalian Pol $\eta$  possesses a polymerase catalytic domain located in its N terminus [27, 28] (Fig. 1). A ubiquitin-binding zinc finger domain (UBZ) responsible for mediating an interaction with monoubiquitinated PCNA as well as the monoubiquitination of Pol $\eta$  is located near the C terminus of Pol $\eta$  [29]. Two REV1-binding domains have been identified in human Pol $\eta$  comprising amino acid residues 509–557 and 369–491, respectively [30, 31]. Recently, it was found that two consecutive phenylalanines (483–4FF and 531–2FF) of human Pol $\eta$  are crucial for the Pol $\eta$ –REV1 interaction and for REV1-dependent suppression of spontaneous mutations by Pol $\eta$  [32, 33]. Notably, this function is apparently not conserved in yeast, where an *in vivo* interaction between REV1 and Pol $\eta$  is not observed [34]. Moreover, a consensus PCNA-interaction peptide (PIP) sequence is located at the extreme C terminus of Pol $\eta$  [35]. A second PIP-like domain was recently identified upstream of the UBZ domain and is required for Pol $\eta$  function in TLS in human cells [36]. Interestingly, the second PIP-like domain is embedded in one of the REV1-binding motifs of Pol $\eta$  (Fig. 1).

Pol $\eta$  interacts with PCNA and is recruited to replication foci in response to DNA damage caused by UV-irradiation [29, 37–39]. The C terminus of Pol $\eta$  (which includes the PCNA interaction domain) is required for TLS by Pol $\eta$  [28, 35, 40]. Pol $\eta$  manifests increased affinity for monoubiquitinated PCNA [40, 41], a process mediated by the UBZ domain of Pol $\eta$  [29]. The precise mechanistic significance of this interaction, observed both *in vivo* and *in*



**Fig. 1** The structural domains of the Y-family polymerases. Protein size is represented proportionately. *BRCT* BRCA1 C terminus-like domain, *UBM* ubiquitin binding motif, *UBZ* ubiquitin binding zinc finger motif, *PAD* polymerase associated domain, *NLS* nuclear localization signal, *PIP* PCNA interaction peptide

*vitro*, is not presently known. Zhuang et al. [42] demonstrated that monoubiquitinated PCNA is required for Pol $\eta$  to take over synthesis from stalled Pol $\delta$  on primed single-stranded M13 circular DNA. Monoubiquitinated PCNA was also reported to stimulate Pol $\eta$  activity in TLS [43], although a conflicting result was obtained by another group using different *in vitro* primer extension conditions [44].

Studies on the requirements for the localization of Pol $\eta$  in replication foci has further contributed to our understanding of how this DNA polymerase is utilized in cells. Notably, the localization of Pol $\eta$  in such foci appears to be critical for its function, since mutant forms of the protein that do not form foci cannot complement XP-V cells [28]. The UBZs of Pol $\eta$  are essential for the accumulation of Pol $\eta$  into foci [29] and DNA damage-induced focus formation by Pol $\eta$  is dependent upon Rad18 protein [41]. Although these observations implicate monoubiquitinated PCNA as a likely effector in the localization of Pol $\eta$ , a recent report shows that monoubiquitinated PCNA facilitates, but is not essential for the accumulation of Pol $\eta$  in foci [45]. Surprisingly, another study identified some Pol $\eta$  UBZ mutants (H650A, C635A) that are unimpaired for TLS in human cells as determined by foci formation and UV-sensitivity [46]. These data suggest that other UBZ mutants (D652A, H654A, and F655A) may suffer compromised activity due to the loss of an (unknown) function other than the interaction with monoubiquitinated PCNA [46].

Other possible mechanisms may operate for regulating access of Pol $\eta$  to sites of stalled replication. One such mechanism is suggested by the recent demonstration that human Pol $\eta$  is phosphorylated in response to UV-irradiation, and that site-specific mutagenesis of two putative PKC phosphorylation sites prevents the formation of Pol $\eta$  nuclear foci induced by UV-irradiation or other DNA-damaging agents [47]. Another proposed mechanism for the recruitment of Pol $\eta$  to sites of stalled DNA replication may involve interaction with the Y-family polymerase REV1 in mammalian cells [30, 31, 48]. Cellular localization studies demonstrate that REV1 is present with Pol $\eta$  in replication foci and is tightly associated with nuclear structures. These observations suggest that REV1 acts as a scaffold for Pol $\eta$  at sites of stalled replication [30, 48]. Another possible mechanism for the regulation of Pol $\eta$  localization involves p21 protein as a negative regulator of the interaction between Pol $\eta$  and PCNA. p21 is proteolytically degraded when cells are exposed to UV-irradiation; however, when p21 is not degraded it inhibits the interaction of Pol $\eta$  with PCNA and consequently impairs the assembly of Pol $\eta$  in replication foci following exposure to UV-irradiation [49]. Recently, it was found that Pol $\eta$  colocalized with WRN protein (a DNA helicase–exonuclease which is implicated in Werner’s syndrome) in replication

foci in response to UV-C radiation. However, foci formation of Pol $\eta$  does not require WRN, and vice versa [50]. Notably, WRN stimulates the polymerase and lesion bypass activity of Pol $\eta$  in vitro [50]. In addition, WRN increases the mutation frequency of Pol $\eta$  without altering its mutation spectra [50]. The functional interaction between WRN and TLS Pols may promote replication fork progression and suppress recombination events at stalled forks, at the expense of increased mutagenesis. Finally, analysis of the subcellular localization of Pol $\eta$  using high-resolution confocal microscopy reveals that the protein is highly mobile within the nucleus, even within individual foci, suggesting that multiple factors may play a role in facilitating Pol $\eta$  localization and function [45].

#### *Pol $\eta$ function(s) in vivo*

As noted above, the biological significance of Pol $\eta$  in the bypass of UV radiation-induced lesions is evident from the manifestation of disease in mice and humans lacking normal Pol $\eta$  protein [3, 51–54] (Table 1). Mutations in the *Polh* gene result in a variant form of the human genetic disorder xeroderma pigmentosum (XP-V), a disease characterized by extreme sunlight sensitivity and an early predisposition to skin cancer [55]. It has been proposed that in the absence of functional Pol $\eta$  another specialized polymerase(s) bypasses CPDs with reduced efficiency and accuracy, resulting in an increased frequency of UV-induced mutagenesis and hence carcinogenesis in XP-V cells [56]. This notion is supported by observations suggesting that the elevated UV-induced mutation frequency in XP-V cells is due to the activity of the highly error-prone Y-family polymerase iota (Pol $\iota$ ) [57, 58], which can replicate past CPDs by incorporating G or T opposite the 3' nucleotide base [59]. The specific requirement for Pol $\eta$  for the replicative bypass of a particular class of DNA damage (CPD in this case) prompts conjecture that each specialized

DNA polymerase possesses a “cognate” substrate for which it evolved to bypass accurately.

If the evolution of Pol $\eta$  was indeed driven by its selection for bypassing UV-induced CPDs during DNA replication, why is it expressed in mammalian tissues that are never exposed to UV-light? The answer to this question may lie in the observed participation of Pol $\eta$  in other aspects of DNA metabolism. In particular, some specialized DNA polymerases have been implicated in the diversification of immunoglobulin (Ig) genes at different stages of the B-cell differentiation pathway, a process known as somatic hypermutation (SHM). Given the inherent low-fidelity and weak processivity of specialized DNA polymerases on undamaged DNA, these proteins are prime candidates for the generation of mutations during SHM. Indeed, Pol $\theta$  (an A-family TLS polymerase) and Pol $\zeta$  (a B-family TLS polymerase) have been reported to play significant roles in the overall SHM process [60–62]. Several studies also demonstrated that Pol $\eta$  is an A  $\rightarrow$  T mutator during SHM of Ig genes [63, 64]. More recently, Delbos et al. used double *MSH2*<sup>-/-</sup>*Polh*<sup>-/-</sup> knockout mice to show that the residual A  $\rightarrow$  T mutagenesis observed in *MSH2*<sup>-/-</sup> mice is contributed solely by Pol $\eta$  [65]. Additionally, decreased levels of Ig gene conversion as well as a reduction in double-strand break-induced homologous recombination (HR) are observed in chicken DT40 cells lacking Pol $\eta$  [66, 67].

Pol $\eta$  is also implicated in the reinitiation of DNA synthesis by HR, presumably at sites of replication fork collapse where double strand breaks may ensue. McIlwraith et al. [68] reported that Pol $\eta$  synthesizes DNA from D-loop recombination intermediates where an invading strand serves as the primer, and showed that cells lacking functional Pol $\eta$  exhibit severely reduced D-loop extension activity [68]. Furthermore, Rad51 recombinase interacts with Pol $\eta$ , and Rad51 stimulates D-loop extension by Pol $\eta$ , observations not made for Pol $\delta$  or Pol $\iota$ . However, XP-V cells do not

**Table 1** The functions of Y-family polymerases and their knockout mice phenotypes

Gene	Protein	Repair pathway	Mutation	Relevant phenotype	References
<i>Polh</i>	Pol $\eta$	TLS, HR, SHM	KO	Mice viable and fertile; altered mutational spectrum in Ig genes; mice are prone to skin cancer following exposure to UV radiation	[51–53]
<i>Poli</i>	Pol $\iota$	TLS, BER	Naturally occurring mutation in strain 129 mice	Mice are viable and fertile	[74]
<i>REV1</i>	REV1	TLS, SHM	KO	Mice display transient growth retardation; strand-biased defect in C/G transversions in hypermutating Ig genes	[130]
<i>Polk</i>	Pol $\kappa$	TLS, NER	KO	Mice are viable and fertile; MEFs sensitive to UV radiation; ES cells sensitive to UV radiation and to B[a]P	[157, 158]

Modified from reference [54]

manifest defects in double strand break repair, nor does Pol $\eta$  form foci in response to IR suggesting that the role of Pol $\eta$  may be limited to replication intermediates [68].

### Pol $\iota$

*Poli*, also called *Rad30b* [1], is a paralog of *Polh*, with homologs identified in humans, mice and fruit flies [9, 69–71]. The human *Poli* gene maps to chromosome 18q21.1 [9]. Unlike other Y-family DNA polymerases, structural homologs of *Poli* have not been identified in bacteria, yeast, or nematodes. Pol $\iota$  exhibits a catalytic function that is likely exercised during TLS in vivo [71, 72]. The in vitro bypass properties of Pol $\iota$  are highly error-prone, with the exception of *Drosophila* Pol $\iota$ , which exhibits catalytic efficiency and accuracy for CPDs strikingly similar to that of mouse and human Pol $\eta$  [7, 69, 73, 74]. Collectively, these observations lead to the speculation that *Poli* may have resulted from genetic duplication of the *Polh* gene shortly before the evolutionary appearance of insects, and that the mammalian homolog of *Poli* was subjected to evolutionary pressures that altered its biological function(s) [72].

### *Poli* gene expression and regulation

The *Poli* gene encodes five conserved N-terminal motifs characteristic of all Y-family polymerases. The polymerase active site responsible for the unique catalytic activity of *Poli* is contained in this region [27] (Fig. 1). A canonical PIP box motif, a peptide that mediates the interaction of Pol $\iota$  with PCNA, is located downstream of this conserved region [75]. Two ubiquitin binding motifs (UBMs) near the C terminus of Pol $\iota$  are required for the interaction of Pol $\iota$  with monoubiquitinated PCNA and with ubiquitin moieties [29].

Tissue-specific expression profiling reveals that human *Poli* gene is ubiquitously expressed in various adult tissues [76]. Both mouse and human *Poli* are highly expressed in the testis [9, 74, 76]. Mouse *Poli* expression in the testis is restricted to post-meiotic round spermatids, indicating a potential role in spermatogenesis [9]. Furthermore, expression of *Poli* in mammalian cells exhibits strain-specific properties [74]. In contrast to C57BL/6J, BALB/C, and ICR Swiss strains of mice, sequencing or genotypic analysis of genomic DNA from several strains of 129 mice reveals a homozygous nonsense mutation located in codon 27 of exon 2 of *Poli*, resulting in a truncated protein lacking catalytic function [74]. Furthermore, different alternative splice variants of *Poli* have been identified in various mouse strains as well as in human cells [57, 77, 78]. Remarkably, extensive differences in sequence conservation between various mouse strains have also been

observed. For example, the sequences of *Poli* in BALB/cJ and A/J mice differ by 25 nucleotide polymorphisms in the coding region accompanied by ten amino acid alterations [77]. Similarly, sequencing *Poli* cDNAs in the lungs of BALB/cByJ, C57BL/6J, A/J and C3H/HeJ mice revealed 21 BALB/cByJ-specific single-nucleotide polymorphisms (SNPs) in the coding region, as well as seven amino-acid substitutions [78]. Understanding the tissue-specific role of *Poli* alternative splice variants and the functional contribution of sequence variations of *Poli* in mice and humans will require further investigation.

### *Poli* enzymatic activity

The function of Pol $\iota$  in mammalian TLS has been primarily characterized in vitro, where the enzyme catalyzes highly error-prone TLS on undamaged or damaged templates, incorporating dGMP opposite thymine 3–10 times more frequently than dAMP in a manner that violates Watson–Crick base pairing [71, 79, 80]. Pol $\iota$  differs most strikingly from replicative DNA polymerases (as well as other Y-family polymerases) in its much higher proficiency and fidelity for nucleotide incorporation opposite template purines than opposite template pyrimidines [71, 79, 80]. Structural analysis of the active site of human Pol $\iota$  reveals that this enzyme uniquely utilizes a Hoogsteen base pairing mechanism for efficient nucleotide incorporation opposite adducted or unadducted purines [81, 82], and the template base is driven to the syn conformation by the incoming dNTP [83]. Furthermore, Pol $\iota$  can support insertion events opposite highly distorting or non-instructional lesions in vitro, such as [6–4] photoproducts and abasic sites, although this DNA polymerase apparently does not have the capacity for extension beyond these insertions [59, 80]. Rather, data suggest that Pol $\iota$  may function in conjunction with another specialized DNA polymerase with extension activity, such as Pol $\zeta$  [80, 84].

It has been reported that the processivity of Pol $\iota$  is enhanced by PCNA in a template-dependent manner [75]. However, these results have been contradicted in a study claiming that the processivity of Pol $\iota$  is not enhanced upon PCNA binding [85]. Rather, the efficiency of nucleotide incorporation (via a reduction in  $K_m$ ) by Pol $\iota$  is improved [85].

### *Poli* binding partners and recruitment to stalled replication foci

Pol $\iota$  co-localizes with the DNA replication machinery in response to UV-irradiation in vivo [86]. Details of the mechanism by which Pol $\iota$  functions in response to UV radiation have surfaced by the identification of several

factors affecting the regulation and recruitment of Pol $\iota$  to sites of UV-induced DNA damage. For example, Pol $\iota$  interacts with the C-terminal domain of REV1, and in response to UV-irradiation, Pol $\iota$  co-localizes with REV1 bound to nuclear structures in foci representative of replication factories [30, 31, 48]. A model for DNA polymerase switching at sites of stalled replication implicates a role for REV1 in facilitating a “switch” that likely ensues between Pol $\iota$  and other polymerases such as Pol $\zeta$  [56, 87]. Additionally, Pol $\iota$  interacts with PCNA via a conserved PIP box, an interaction that does not require PCNA to be bound to DNA [85]. A Pol $\iota$ -PIP box mutant fails to accumulate in replication foci, suggesting the importance of the PIP box in recruiting Pol $\iota$  to UV radiation-induced DNA damage [75]. Additionally, Bienko et al. [29] have demonstrated that Pol $\iota$  binds monoubiquitinated PCNA with greater affinity than non-ubiquitinated PCNA through an interaction facilitated by the UBMs of Pol $\iota$ . Mutations in these UBMs abolish the recruitment of Pol $\iota$  into replication foci in response to UV-irradiation, establishing the importance of PCNA and ubiquitin binding for Pol $\iota$  localization in cells [29, 38]. Additional complexity for the recruitment and regulation of Pol $\iota$  is provided by the demonstrated interaction between Pol $\iota$  and Pol $\eta$ . In XP-V cells lacking functional Pol $\eta$  only 10–20% of UV-induced Pol $\iota$  foci are formed, suggesting that the recruitment of Pol $\iota$  is at least somewhat dependent on Pol $\eta$  [86]. The added finding that a PIP box mutant of Pol $\iota$  retains its ability to interact with Pol $\eta$  in vivo suggests that this interaction does not require PCNA and is likely utilized for a subset of presently undefined events [75]. Collectively, these observations suggest that a conglomerate of events are required for Pol $\iota$  localization and function in replication factories generated in response to UV-irradiation.

#### *Pol $\iota$ functions in vivo*

Primary mouse fibroblasts derived from 129/J mice harboring a nonsense mutation in exon 2 of *Poli* exhibit increased sensitivity to UV-irradiation compared to wild-type cells [58] (Table 1). Furthermore, cells deficient for both *Polh* and *Poli* are more UV radiation-sensitive than *Polh*<sup>-/-</sup> cells alone, and mutagenesis observed in XP-V cells is significantly reduced in *Polh*<sup>-/-</sup> *Poli*<sup>-/-</sup> cells, suggesting a function for Pol $\iota$  in TLS of UV radiation-induced damage [58]. Wang et al. [57] showed that the high frequency and abnormal spectrum of UV-induced mutations in XP-V cells is not observed when the slower migrating form of human *Poli* (a product of alternative splicing) is down-regulated. These data support the hypothesis that enhanced UV-induced mutagenesis in the absence of Pol $\eta$  derives from the error-prone activity of Pol $\iota$ . A different study has reported that mouse fibroblasts

from 129/J animals do not exhibit sensitivity to UV-irradiation, regardless of *Polh* status [88]. Yet, *Poli* deficiency compounded by XP-V in mice leads to mesenchymal tumors not observed in XP-V mice, implicating a role for Pol $\iota$  in UV-induced skin carcinogenesis [88].

In recent years there has been mounting evidence for a role for Pol $\iota$  in carcinogenesis. For example, the overexpression of *Poli* has been documented in several human breast cancer cell lines and is correlated with the hypermutation observed in these cells [89]. The mouse *Poli* gene is located within the Par2 (pulmonary adenoma resistance 2) locus on distal chromosome 18, which has been characterized as a major resistance locus with respect to urethane-induced pulmonary adenomas [77, 78]. In addition, among the known mouse *Poli* alleles, the defective 129X1/SvJ allele is associated with the highest susceptibility to urethane-induced lung tumors [90]. Direct evidence implicating *Poli* as the gene responsible for the resistance to urethane-induced lung tumors anticipates valuable insight into the biological significance of Pol $\iota$ .

Given the extreme low-fidelity of Pol $\iota$  when copying undamaged DNA, Pol $\iota$  has been proposed as a candidate for SHM [71, 74, 76]. However, the involvement of *Poli* in the diversification of Ig genes is controversial. In a human Burkitt's lymphoma cell line in which both alleles of *Poli* were deleted, stimulated BL2 cells showed a significant reduction in V mutation frequencies [91]. Mutations in BL2 cells were restored by rescuing the activity of Pol $\iota$  with wild-type cDNA, suggesting a role for *Poli* in SHM. In contrast, the frequency or pattern of mutations observed during SHM in 129/J *Poli*-deficient mice is not altered, nor does Pol $\iota$  appear to play a role in class switch recombination [74, 92]. In cells doubly deficient for *Poli* and *Polh* or *Poli*<sup>-/-</sup> and *Polk*<sup>-/-</sup>, it does not appear that Pol $\iota$  compensates for the absence of the other polymerase activity, suggesting dispensability of Pol $\iota$  in SHM in mice [92, 93].

Several explanations for these contradictory observations are tenable. If 129/J mice are truly deficient for Pol $\iota$ , it is possible that the mice have compensated for the loss of Pol $\iota$  function over time [92]. However, it is noteworthy that SHM in B-cells isolated from humans and mice is relatively proportionately distributed among G:C and A:T base pairs, yet in the BL2 cell line mutations consist mostly of substitutions of G:C base pairs [74, 91, 92]. These dissimilar results suggest that different proteins are involved in SHM in the BL2 cell line versus animals [92]. As an alternative explanation, human and mouse *Poli* may be utilized differently for SHM in Ig genes.

Pol $\iota$  is one of several specialized polymerases capable of bypassing 8-oxo-dG [94, 95], a lesion generated during hypoxia/reoxygenation. Recently, Ito et al. [96] reported that hypoxia and hypoxia mimetics enhance the expression of *Poli* in human tumor cell lines. Furthermore, the

hypoxia-inducible factor HIF-1 (which functions as a regulatory transcription factor during hypoxic conditions) binds a consensus sequence in intron 1 of the *Poli* gene, consequently up-regulating transcription of *Poli* mRNA in response to hypoxic conditions. Cells exposed to hypoxia show increased point mutations, supporting the notion that *Poli* may participate in the response to oxidative stress by playing a role in hypoxia-induced mutagenesis [96].

*PolI* may have functions other than those in TLS and SHM. The enzyme possesses 5' deoxyribose phosphate (dRP) lyase activity in vitro [97] suggesting the potential involvement of this enzyme in base excision repair (BER) [97]. In an effort to evaluate the BER capacity of *PolI*, its dRP lyase and DNA polymerase activities were analyzed with BER intermediate substrates and it was observed that *PolI* can complement the in vitro single-nucleotide BER deficiency of DNA polymerase  $\text{Pol}\beta$ -null cell extracts [98]. More recently, it was reported that human MRC5 fibroblasts with stably down-regulated *PolI* protein exhibit sensitivity to the DNA-damaging agent  $\text{H}_2\text{O}_2$ . A reduction in BER activity is observed in these cells. Additionally, in wild-type cells *PolI* accumulates at sites of oxidative damage and interacts with XRCC1 (another protein that participates in BER) [99]. These observations provide support for a role(s) for human *Poli* in protecting cells against oxidative damage [99].

## REV1

The *REV1* gene is highly conserved in eukaryotes and plays a central role in promoting mutagenesis from yeast to humans [100]. REV1 protein possesses a unique enzymatic activity in vitro, displaying a marked preference for inserting only dCMP opposite a template G and several DNA lesions. It is thus often referred to as a dCMP transferase [100]. However, since the dCMP transferase activity of yeast REV1 is not required for UV-induced mutagenesis, REV1 is suggested to have non-catalytic roles in TLS [101]. In addition, the expression of REV1 is tightly regulated in cells [102].

### *REV1 gene expression and regulation*

The human *REV1* gene is located between the chromosome band 2q11.1 and 2q11.2 [103] and encodes a protein of 1,251 amino acid residues, compared with 1,249 residues in the mouse protein [104]. A human *REV1* splicing variant that encodes 1,250 amino acid residues (one amino acid shorter than wild-type REV1 protein) has also been identified [105]. The shorter form of *REV1* (*REV1S*) is expressed similarly to *REV1* at the mRNA level and *REV1S* and *REV1* have the same biochemical properties [105]. Comparison of the amino acid sequences of human

and mouse REV1 reveals an overall amino acid identity of 84% and similarity of 90%, with all motifs in the human REV1 protein conserved in the mouse counterpart. The *REV1* gene is ubiquitously expressed in various human and mouse tissues [103–105] with highest expression of the human REV1 gene in human testis [105, 106]. Relative to other tissues, expression of the mouse *REV1* gene is higher in the heart, skeletal muscle, and testis [104].

The human *REV1* locus possesses an upstream out-of-frame ATG codon, suggesting that the cellular level of REV1 is probably very low [103]. In *S. cerevisiae*, REV1 protein levels are 50-fold higher in the G2/M phase of the yeast cell cycle than in S phase [107, 108]. Levels of REV1 mRNA exhibit a pattern of cell cycle regulation similar to that of the protein, peaking slightly before REV1 protein in G2/M [107]. However, the yeast cell cycle-dependent REV1 expression pattern is not conserved in mammalian cells, in which the cellular protein levels of REV1 were unaffected by UV irradiation or cell cycle progression [32].

### *REV1 enzymatic activity*

REV1 is the most extreme of the Y-family DNA polymerases in terms of its nucleotide incorporation specificity [3]. Like yeast REV1, the mammalian protein is a dCMP transferase that specifically inserts a dCMP residue opposite a DNA template G. The REV1 transferase is also able to efficiently and specifically insert dCMP opposite an apurinic/apyrimidinic (AP) site or a uracil residue in vitro, but not opposite a CPD or a [6–4] photoproduct [103–105]. In addition, REV1 protein can incorporate dCMP opposite template A, T, or C and can extend a mismatched terminus by addition of a dCMP residue [104]. This mismatch-extension ability is strongly enhanced by the presence of a guanine residue (but not an AP site) on the template near the mismatched terminus. Kinetic analysis of the dCMP transferase reaction supports the high affinity of dCTP for template G. The crystal structure of the polymerase domain of *S. cerevisiae* REV1 complexed with a primer-template and incoming dCTP reveals that REV1 uses a novel mechanism of DNA synthesis whereby the incoming dCTP pairs with an arginine rather than the template base, and the template G is evicted from the DNA helix [109].

Single-stranded DNA (ssDNA) inhibits the transferase activity of REV1 due to sequestration of the catalytic site by high affinity binding [110]. The N- and C-terminal domains of REV1 are required for this sequestration. Furthermore, REV1 preferentially utilizes primer-templates that are followed by a long stretch of ssDNA, suggesting that REV1 is targeted specifically to the included primer termini, a property not shared by other DNA polymerases, including human DNA polymerases  $\alpha$ ,  $\beta$ , and  $\eta$  [110]. This

novel activity of REV1 protein may imply a role for ssDNA in the regulation of some modes of TLS.

*REV1 protein–protein interactions and mechanisms for recruiting REV1 to stalled replication foci*

Mammalian REV1 protein possesses a N-terminal BRCA1 C-terminal (BRCT) domain, a central catalytic domain, a C-terminal region containing two ubiquitin-binding motifs (UBMs) and a special polymerase-binding domain [5] (Fig. 1). The dCMP transferase activity of REV1 is conserved throughout eukaryotic evolution. However, this activity does not account for its role in mutagenesis [3, 100].

The presence of a BRCT domain in REV1 is unique among the Y-family of DNA polymerases [111]. This domain is required for mutagenesis and for resistance to DNA-damaging agents in yeast and mice [102, 112]. BRCT domains have been reported to mediate protein–protein interactions in many cell cycle and DNA repair proteins [113]. A functional BRCT domain is indeed required for a physical interaction between REV1 protein and PCNA [114]. Additionally, the over-expressed REV1 N-terminal fragments REV1<sub>1–240</sub> and REV1<sub>1–413</sub> (especially the latter) bind PCNA [114]. However, the possibility that this interaction is indirectly mediated by other protein(s) that bind the REV1 BRCT domain directly cannot be excluded.

In addition to the BRCT domain the UBMs in REV1 are required for its interaction with PCNA after DNA damage [115]. The UBMs mediate an enhanced interaction between REV1 and monoubiquitinated PCNA [115]. At present, conflicting data exist as to whether or not ubiquitination of PCNA increases the REV1 transferase function [43, 44, 116]. The UBMs in REV1 conceivably interact with other ubiquitinated proteins at stalled replication forks. Determining the identities of these proteins will provide further insights into the function of REV1 in vivo.

The C-terminal 100 amino acids of REV1 are required for its interaction with Pol $\kappa$ , Pol $\iota$ , Pol $\eta$  and the noncatalytic REV7 subunit of Pol $\zeta$  in mammalian cells [30, 31, 48, 106]. Additionally, the REV1 C-terminal interaction region is required for resistance to DNA-damaging agents in vertebrates ([117]; C. Guo, E. Sonoda and E. C. Friedberg, unpublished data). The extensive conservation of the REV1 polymerase-binding domain among higher eukaryotes suggests that the REV1-specialized polymerase interaction is conserved in all vertebrates [34]. This C-terminal region in yeast and other lower organisms was previously thought not to be relevant for interaction with REV7 due to poor conservation at the primary sequence level among various eukaryotes. However, a recent study has shown that the interaction between the REV1 C terminus and REV7 is

retained in yeast, flies and the nematode *C. elegans* [34]. Additionally, a comprehensive analysis of *S. cerevisiae* REV1<sub>886–936</sub> which is sufficient for a physical interaction with REV7 in vivo reveals that several novel motifs that, when disrupted, lead to a complete loss of function of the REV1 gene in vivo [118]. Overproduction of a region of the REV1 C terminus containing these motifs confers a dominant-negative effect on survival and mutagenesis after DNA damage [118]. In humans, the REV1–REV7 interaction is stable and results in the formation of a heterodimer [119]. However, neither REV7 nor Pol $\kappa$  affects the transferase activity or stability of REV1 protein in vitro [48, 119]. In addition, affinity purification and mass spectrometry analysis showed that REV1, Pol $\eta$  and Rad18/Rad6 form a complex in HeLa cell nuclear extracts [120]. The interaction of REV1 with Pol $\eta$  on chromatin is enhanced by replication fork arrest caused by nucleotide depletion or DNA lesions [120]. These observations support an important role(s) for REV1 in coordinating the activity of specialized DNA polymerases, possibly by providing a scaffold to facilitate polymerase switching at lesion sites [87].

Ectopically expressed REV1 is distributed homogeneously within the nucleus, although the primary sequence of REV1 does not contain a canonical nuclear localization signal (NLS). However, REV1 appears to be directed to the nucleus via two sequences located in the N-terminal and the C-terminal halves of the protein [30, 121]. In the absence of DNA damage REV1 is localized to replication foci in about 15% of cells [30, 114]. After treatment with UV-irradiation or BPDE, the number of cells containing REV1 foci significantly increases [30, 114, 121, 122]. The distribution of foci containing REV1 is similar to those observed for Pol $\eta$  and Pol $\iota$  [30, 114]. In addition, REV1 co-localizes with PCNA and Pol $\eta$  in replication foci [30]. Deletion or mutational inactivation of the BRCT domain abolishes the targeting of REV1 to replication foci in unirradiated cells [114]. Hence, the interaction between REV1 and PCNA is important for the localization of REV1 to replication foci. Moreover, in cells exposed to UV-irradiation the association of REV1 with replication foci is dependent on functional UBMs [115]. Interestingly, REV1 focus formation is seen not only in S phase but also in the G1 phase [121]. At present, it is not known whether the foci observed in G1 have biological significance. REV1 and activated FANCD2 co-localize in replication foci after replication arrest [123]. The recruitment of REV1 into replication foci depends on an intact FA core complex. Remarkably, FA core complex-dependent REV1 recruitment requires the BRCT domain of REV1 [124]. Furthermore, human Pol $\eta$  and REV7 are not required for ectopically expressed REV1 focus formation [30, 121] but deletion of the C-terminal polymerase-binding domain of



mouse REV1 significantly decreases the efficiency of foci formation (C. Guo and E. C. Friedberg, unpublished data). These observations suggest that the C terminus of mouse REV1 is required for the stabilization of other domains that are important for the formation of REV1 foci. Another possibility to be considered is that REV1 focus formation is dependent on its interaction with other specialized DNA polymerases. In support of this, a recent study shows that the localization of endogenous REV1 to UV-irradiated areas in the nucleus is largely dependent on Pol $\eta$ , and that formation of nuclear foci by ectopically expressed REV1 in un-irradiated cells is enhanced by the co-expression of Pol $\eta$  [32].

#### *REV1 functions in vivo*

REV1 is important for maintaining genomic integrity by TLS, and together with Pol $\zeta$  is required for most spontaneous and induced mutagenesis in yeast [102]. In DT40 cells REV1 not only facilitates Pol $\zeta$ -dependent bypass, but also modifies the catalytic behavior of Pol $\zeta$ , restraining its synthetic activity to ensure that it incorporates nucleotides in-frame with the damaged template [125]. Human cell lines expressing high levels of human REV1 antisense RNA or ribozyme exhibit a much reduced frequency of 6-thioguanine-resistant mutants induced by UV-irradiation or BPDE [122, 126, 127], indicating that REV1 in higher eukaryotic cells performs functions similar to its yeast homologs. This property has been confirmed in a different experimental system using RNA interference to down-regulate mouse REV1 [128]. However, in contrast with yeast *rev1* null mutants or REV1-deficient chicken DT40 cells (which show increased cytotoxicity in response to most of the DNA-damaging agents tested) [129] down-regulation of REV1 in human cells by antisense RNA or ribozyme does not alter sensitivity to UV-irradiation or BPDE [122, 126, 127]. In addition, Pol $\beta$ -null cells with reduced REV1 expression exhibit slightly enhanced resistance to cisplatin and MMS, but not to UV-irradiation and 4-NQO [128]. Differential survival observed in different species may result from variation in the number of specialized DNA polymerases that can accomplish replication of damaged templates to avoid the collapse of replication forks and cell death. Alternatively, the reduced, but not absent, levels of REV1 in the human cells may be sufficient to rescue cells. Consistent with this suggestion, cells from two different REV1 mutant mice are sensitive to a variety of genotoxic agents [112, 130].

*Rev1<sup>B/B</sup>* (deletion of the REV1 BRCT domain) ES cells display an elevated spontaneous frequency of intragenic deletions at the Hprt locus. Additionally, UV-C light induces delayed progression through late S and G2 phases

of the cell cycle and many chromatid aberrations, specifically in a subset of mutant cells. UV-C-induced mutagenesis is reduced and mutations at thymidine–thymidine dimers are absent in *Rev1<sup>B/B</sup>* ES cells, the opposite phenotype of similarly exposed cells from XP-V patients. This suggests that the enhanced UV radiation-induced mutagenesis in XP-V patients may depend on error-prone REV1-dependent TLS [112]. *Rev1<sup>-/-</sup>* mice (with deletion of the REV1 catalytic domain and the polymerase-binding domain) have a strand-biased defect on C/G transversions in the hypermutation of Ig genes: C to G transversions are virtually absent in the non-transcribed strand and reduced in the transcribed strand [130] (Table 1). This defect is associated with an increase of A  $\rightarrow$  T, C  $\rightarrow$  A, and T  $\rightarrow$  C substitutions. These results indicate that REV1 incorporates dCMP during SHM, in agreement with a role of the REV1 catalytic domain in SHM in chicken DT40 cells [129].

Aside from TLS and SHM, REV1 has roles in other DNA damage responses in vertebrates. For example, REV1 participates in Pol $\zeta$ -dependent double strand break repair and Pol $\zeta$ -independent Ig gene conversion in DT40 cells [131]. However, there is no direct evidence to indicate that these additional functions are conserved in mammalian cells.

To date, no REV1-deficient human individuals have been identified. However, SNPs in the human *REV1* gene are associated with increased cancer risk. Notably, the REV1–Phe257Ser mutation (downstream of the BRCT domain) is associated with human lung cancer risk [132] and the Phe257Ser heterozygous and Ser257Ser homozygous genotypes are associated with a decreased risk for cervical carcinoma, while Asn373Ser (close to the catalytic domain) and Ser373Ser genotypes are associated with an increased risk [133].

#### *Pol $\kappa$*

Pol $\kappa$  protein is a eukaryotic member of the DinB/Pol $\kappa$  branch of the Y-family of DNA polymerases that is structurally conserved from bacteria to vertebrates [111]. The amino acid sequence of Pol $\kappa$  is different from its homologs Pol IV (*Escherichia coli*) and DNA polymerase 4 (Dpo4) (*Syfolobus solfataricus*) by an extension at the N terminus of  $\sim$ 75 amino acids which is indispensable for Pol $\kappa$  activity and is conserved only among eukaryotic Pol $\kappa$  proteins [134]. Pol $\kappa$  shares with Pol IV and Dpo4 a tendency to generate frameshift mutations [3, 135]. In comparison with human Pol $\eta$  and Pol $\iota$ , Pol $\kappa$  is the most resistant to bulky guanine N<sup>2</sup>-adducts and the most quantitatively efficient in catalyzing dCTP incorporation opposite bulky guanine N<sup>2</sup>-adducts, particularly the largest (N<sup>2</sup>-BPDE-dG)[136].

### *Polk gene expression and regulation*

The mouse and human *Polk* genes were cloned as homologs of the *E. coli* *DinB* gene (Pol IV) [111, 137]. The human *Polk* gene maps to chromosome 5q13 [111]. Mouse *Polk* is ubiquitously expressed, with highest expression levels in testis [111, 137, 138]. Multiple transcripts are present in this tissue [139] and *Polk* expression is confined to meiotic spermatocytes and postmeiotic spermatids, suggesting a specific role of *Polk* in spermatogenesis [138]. *Polk* mRNA is also highly expressed in the adrenal cortex in mouse embryos and adult animals [138]. Other cell-specific expression is observed in the epithelium of smaller bronchi and large bronchioles in the adult mouse lung, epithelial cells lining the stomach, the corpus luteum and germinal follicles of the ovary, epithelial cells of the skin, cornea, retina and iris of the eye, and in salivary glands [138]. The physiological explanation of this cell-specific expression is unknown.

Expression of *Polk* is transcriptionally upregulated in a p53-dependent manner in mouse cells exposed to doxorubicin or UV-irradiation [138], but not in human cells. The mouse *Polk* gene is developmentally regulated in the testis and utilizes two transcriptional start sites during spermatogenesis, while it utilizes only one site in tissues other than testis [140]. Both the mouse and human *Polk* genes have two arylhydrocarbon receptor (AhR)-binding sites in their promoter regions and expression of the mouse *Polk* gene is enhanced upon AhR-activation through its binding with aromatic compounds such as B[a]P and dioxin [140]. Additionally, a stimulating protein-1 (SP1) element and a cyclic AMP-responsive element have been identified in the human *Polk* promoter and are involved in activation of the *Polk* promoter [141]. Furthermore, the level of mouse *Polk* protein is increased in cells exposed to BPDE or UV-B radiation [142]. Therefore, expression of *Polk* is regulated by multiple factors, and its inducible expression displays species-specific properties.

### *Polk enzymatic activity*

In vitro primer extension assays have demonstrated that *Polk* can insert nucleotides opposite certain types of base damage in template DNA, including sites of base loss (AP sites) [143], guanine modified with *N*-acetylaminofluorene or BPDE [144–146], guanine modified with oxidized estrogens [147], 8-oxoG [146], and thymine glycol [148]. A recent study shows that *Polk* is particularly efficient in the bypass of bulky N<sup>2</sup>-guanine minor groove DNA adducts [136]. Additionally, *Polk* cooperates with *Polζ* in error-free TLS across BPDE-dG lesions in human cells [24]. However, *Polk* does not support primer extension past CPDs or [6–4] photoproducts generated in DNA exposed to

UV radiation, nor past cisplatin intrastrand cross-links and O<sup>6</sup>-MeG in vitro [3]. Unexpectedly, *Polκ* and *Polζ* contribute to a largely error-prone bypass of cisplatin-GG (an intra-strand adduct) in human cells [24]. This role for *Polκ* in TLS across cisplatin-GG may be enabled by interaction(s) with auxiliary proteins in vivo. Furthermore, the DNA synthetic activity of human *Polκ* is significantly enhanced by the PCNA/RFC/RPA complex [149], although the processivity of *Polκ* is not robustly increased in the presence of these protein factors [149]. In addition to its role in TLS, a recent study indicates that *Polκ* can synthesize DNA during NER [150].

A ternary complex crystal structure of *Polκ* lacking its C-terminal domain has been determined [151]. The structure reveals almost complete encirclement of the DNA by a unique “N-clasp” at the N terminus of *Polκ*, which augments the conventional right-handed grip on DNA by the palm, fingers, thumb, and PAD domains and provides additional thermodynamic stability [151]. The constrained active-site cleft in *Polκ* can accommodate only a single Watson–Crick base pair [151], which explains the high fidelity of *Polκ* to incorporate dCTP opposite N<sup>2</sup>-dG adducts [136] as well as the inability of *Polκ* to insert nucleotides opposite the 3′T of a CPD [3]. Notably, the N-clasp in mammalian *Polκ* is not present in the error-prone Dpo4.

### *Polk protein–protein interactions and mechanisms for recruiting Polk to stalled replication foci*

Like Pol IV in *E. coli*, mammalian *Polκ* contains a catalytic domain as well as a conserved motif that forms the unique PAD structure in Y-family polymerases [5, 111]. However, mammalian *Polκ* differs from its prokaryotic and archaeal counterparts by the presence of unique N-terminal and C-terminal extensions (Fig. 1). The N-terminal region is indispensable for *Polκ* activity [152]. The C-terminal region shares 60% amino acid identity between mouse and human *Polκ* [5, 56, 111] and contains a bipartite nuclear localization signal (NLS) [111] as well as a conserved PIP box that contributes to PCNA binding [149]. The *Polκ*<sub>560–615</sub> region interacts with REV1 protein via a highly conserved domain in REV1 [31, 48]. Further analysis of the amino acid sequence of the human *Polκ*<sub>560–615</sub> fragment revealed a novel REV1-interacting region containing two consecutive phenylalanine (FF) residues (FF567–568) that are critical for interaction with REV1 [33]. The FF567–568AA mutant of *Polκ* that cannot interact with REV1 failed to correct BPDE- and UV-sensitivities of the *Polκ*<sup>−/−</sup> mouse embryonic fibroblast cells [33]. Additionally, recent studies have shown that the duplicated C<sub>2</sub>HC Zn-cluster domains in the *Polκ* C terminus are novel UBZs that mediate the interaction

between ubiquitin and Pol $\kappa$  as well as the monoubiquitination of Pol $\kappa$  [29, 142]. The UBZ domains enable Pol $\kappa$  to bind monoubiquitinated PCNA more robustly than non-ubiquitinated PCNA [142, 153].

Pol $\kappa$  is present in microscopically visible foci in cells treated with UV-irradiation or BPDE [142, 154–156]. Similar to the UBZ in Pol $\eta$  and the UBMs in Pol $\iota$  [29, 38], the UBZs in Pol $\kappa$  are critical for the accumulation of Pol $\kappa$  protein in replication foci when cells suffer from DNA damage [142]. In addition, the PIP box and the bipartite NLS are required for Pol $\kappa$  to form nuclear foci after DNA damage [154]. Surprisingly, the fraction of human Pol $\kappa$  foci-positive cells is consistently lower than that observed for mouse Pol $\kappa$  after UV-irradiation [142].

### *Polk functions in vivo*

Two different Pol $\kappa$  knock-out mouse models have been generated but no significant phenotypes have been reported to date [157, 158] (Table 1). Although Pol $\kappa$  is highly expressed in the testis, Pol $\kappa$ -deficient mice are fertile and viable, demonstrating that the gene is not essential. Pol $\kappa$ -deficient mice also display normal SHM [157]. However, they manifest elevated mutation rates in the male germline [159] and other tissues (J. N. Kosarek, L. D. McDaniel and E. C. Friedberg, unpublished data), suggesting an anti-mutator function in vivo. Some offspring of Pol $\kappa$  mice (any *Polk* genotype) have been shown to spontaneously manifest various disease states (S. Velasco, L. D. McDaniel and E. C. Friedberg, unpublished observations), suggesting that the absence of Pol $\kappa$  results in a spontaneous mutator phenotype.

Disruption of the *Polk* gene in mouse cells results in significant sensitivity to killing by BPDE [158], suggesting a specific requirement for Pol $\kappa$  to bypass this planar polycyclic lesion in DNA. Consistent with this finding, BPDE lesion bypass is reduced in *Polk*-deficient MEFs and is restored by *Polk* cDNA expression [160]. In addition, the frequency of BPDE-induced mutagenesis is increased in *Polk*-deficient MEFs. Along these lines, Pol $\kappa$  is downregulated in some human colorectal tumors [141] which may be associated with environmental and dietary exposure to polycyclic aromatic hydrocarbons. Further studies also suggest that Pol $\kappa$  is specifically required for recovery from BPDE-induced S-phase checkpoint arrest [156]. Furthermore, Pol $\kappa$ -deficient mouse embryonic stem and fibroblast cells manifest moderate sensitivity to UV radiation and methyl methanesulfonate [157, 161]. However, Pol $\kappa$  deficiency does not alter cellular sensitivity to ionizing radiation [158].

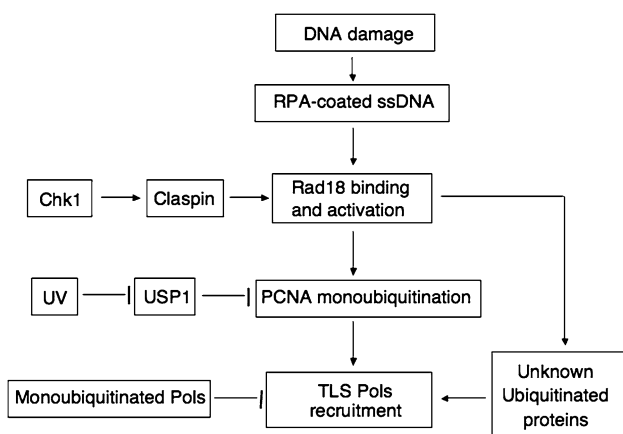
The over-expression of Pol $\kappa$  can also result in deleterious consequences [162]. Indeed, the over-expression of mouse Pol $\kappa$  in a mouse cell line results in about a tenfold

increase in spontaneous mutagenesis [137]. Furthermore, increased levels of Pol $\kappa$  in lung cancers correlate with increased genetic instability, which is detected with not only an enhanced mutation rate but also DNA breaks, increased genetic recombination, loss of heterozygosity, and aneuploidy [162, 163]. Consistent with these dysfunctions, Pol $\kappa$  excess combined with p53 deficiency favors tumorigenesis in nude mice [164]. Hence, spontaneous mutations may be generated by either deficient or excessive Pol $\kappa$  enzyme activity.

### **Mechanisms of PCNA monoubiquitination and DNA polymerase switching during TLS**

Regardless of the specific types of base damage in DNA bypassed by TLS in living cells, a question of considerable interest is how switching between high fidelity DNA polymerases in the replicative machinery and one or more specialized enzymes that support TLS is effected at sites of arrested replication. Recent studies indicate that PCNA provides the central scaffold to which various TLS polymerases can bind in order to access the replicative ensemble stalled at a lesion to execute their roles in lesion bypass [3, 87].

TLS in mammalian cells is apparently promoted (at least in part) by the monoubiquitination of PCNA [56, 87]. PCNA monoubiquitination involves the ubiquitin-conjugating enzyme Rad6 and its cognate ubiquitin ligase Rad18 [165, 166]. In response to UV radiation or BPDE treatment, Rad18 relocates to sites of replication stalling and is activated [39]. PCNA is monoubiquitinated at Lys164 in mammalian cells following various DNA damage treatments that cause stalling of the replication fork [37, 56, 167], including UV-irradiation, alkylating and adduct-forming agents, but not those that induce DSBs without associated base damage. The stalled replication intermediates appear to be both necessary and sufficient for activation of PCNA ubiquitination [168]. In addition to Rad18 [37, 39, 167, 168], the ssDNA binding replication protein A (RPA), which can directly interact with Rad18, is also required for DNA damage-induced PCNA ubiquitination in mammalian cells [167, 168]. PCNA ubiquitination also requires the uncoupling of helicase and polymerase activities which can produce stretches of ssDNA [169]. These results suggest that the upstream signal that activates PCNA ubiquitination in vivo is RPA-coated ssDNA at sites of stalled forks, in which RPA targets Rad18 to its sites of action [168] (Fig. 2). Furthermore, Rad18 is a rate-limiting factor for PCNA ubiquitination at stalled replication intermediates. Elevated levels of Rad18 can overcome the requirement for fork stalling and promote ubiquitination of PCNA whenever the clamp is associated with DNA [168].



**Fig. 2** A model depicting the regulation of PCNA monoubiquitination and TLS polymerases switching at sites of stalled replication. Following DNA damage treatments, RPA binds to ssDNA at sites of stalled forks, in which RPA targets Rad18 to its sites of action [168] and stimulate PCNA monoubiquitination. Monoubiquitination PCNA enables removal of replication polymerase and recruitment of TLS polymerases to the primer terminus. This process is regulated by Chk1 but not ATR. Claspin, which is stabilized by Chk1, regulates the binding of the ubiquitin ligase Rad18 to chromatin [173]. Meanwhile, UV inactivates USP1 to stimulate accumulation of monoubiquitinated PCNA [174]. Moreover, Rad18/Rad6 complex can also monoubiquitinate some other proteins at sites of stalled replication forks [179, 180], which may recruit TLS polymerases in parallel with monoubiquitinated PCNA. Furthermore, monoubiquitinated Y-family polymerases may contribute to regulation of their compartmentalization in or out of replication factories [29]

This observation, together with the finding that the accumulation of RAD18 at DNA damage occurs rapidly and persists for a long period of time in a cell cycle-independent manner, even without DNA replication [170], prompts speculation that the accumulation of Rad18 causes UV radiation-induced PCNA ubiquitination in human cells held in either G0 or G2 [171].

It is likely that other cellular factors regulate Rad6/Rad18-dependent PCNA ubiquitination *in vivo*. In support of this notion, PTIP, Chk1 and the DNA replication proteins Claspin and Timeless are required for efficient PCNA ubiquitination in mammalian cells [172, 173]. Notably, the effect of depleting Chk1 on PCNA ubiquitination is not due to replication fork collapse resulting from comprised ATR signaling, but the reduction of Claspin, which regulates Rad18 chromatin binding [173].

Ubiquitination of PCNA is also regulated by the deubiquitinating enzyme USP1, which is able to remove monoubiquitin from Ub-PCNA [174]. USP1 is inactivated after UV-irradiation, thus enabling monoubiquitinated PCNA to accumulate and to activate TLS [174]. There is a temporal correlation between the disappearance of USP1 and the presence of PCNA ubiquitination after UV-irradiation, but this correlation was not observed after chemical mutagen treatment [167], suggesting that the ability of

USP1 to remove monoubiquitin from PCNA might be differentially affected by different DNA-damaging treatments. Interestingly, PCNA ubiquitination can persist for many hours after damage has been removed [167]. The underlying mechanisms for this remain unknown. However, recent work suggests that TLS occurs not only at stalled forks to allow fork progression, but also at the post-replicative gap-filling step [175, 176]. A model of TLS outside of S phase may explain the persistence of Ub-PCNA after DNA damage has been removed [167].

Conjugation of ubiquitin to PCNA may regulate protein–protein interactions at replication foci. Among the known specialized DNA polymerases, Ub-binding domains are confined to the Y-family polymerases [29]. As mentioned above, these domains are required for accumulation of these polymerases in replication foci, as well as their interaction with monoubiquitinated PCNA and TLS *in vivo* [29, 38, 56, 115, 142]. However, an alternative possibility is that a Ub moiety effects a conformational change on PCNA that destabilizes the PCNA-binding ability of the replicative polymerase and/or other PCNA-associated proteins that otherwise prevent binding of other specialized polymerases to PCNA via their PIP motifs [46]. The underlying mechanism by which ubiquitin on PCNA regulates the access of Y-family polymerases to replication foci remains uncertain.

Recently, Langerak et al. [177] observed that A → T mutations in hypermutated Ig genes were significantly reduced in PCNAK164R B-cells, while G → C mutations were not impaired in the absence of PCNAK164 modification. These observations suggest that not all specialized DNA polymerases depend on PCNA monoubiquitination specifically, but on other ubiquitinated factors [177, 178] such as the heterotrimeric Rad9/Rad1/Hus1 sliding clamp (9-1-1 complex) and replication factor C (which can also be ubiquitinated by the Rad6/Rad18 complex) [179, 180] or unidentified ubiquitinated components in the replication machinery. Recent data showing that ubiquitinated PCNA is not the only ubiquitinated target that drives Pol $\eta$  into foci, support this idea [45]. Notably, all Y-family polymerases can undergo monoubiquitination *in vivo* [29, 115, 142]. Although the precise role of this post-translational modification remains to be established, it is speculated that this phenomenon may contribute to the regulation of compartmentalization in or out of replication factories [29].

REV1 may also play a role in DNA polymerase switching during TLS. As mentioned above, the essential function of REV1 in TLS does not depend on its dCMP transferase activity, yet the protein is absolutely required for DNA damage-induced mutagenesis. The ability of REV1 to bind all other specialized DNA polymerases as well as to monoubiquitinated PCNA raises the possibility

that REV1 might act as a platform for different DNA polymerases during polymerase switching [56]. By employing two complementary assays that measure fork progression and post-replicative gap filling [181] one study observed that the control of TLS differs at stalled replication forks and post-replicative gaps in DT40 cells. TLS at stalled replication forks on UV- or NQO-damaged DNA requires both the polymerase-binding domain and UBMs in the C terminus of REV1. PCNA ubiquitination is not required to maintain normal fork progression on damaged DNA, but is essential for post-replicative gap filling.

As previously suggested, each specialized polymerase may have cognate lesion(s) for TLS. The intrinsic differences in substrate preferences between different polymerases may also regulate polymerase choice and switching during this process [182, 183]. A simple hypothesis is that polymerase switches occur during transitions from preferential to disfavored use of a damaged template primer, and that among multiple possibilities, the polymerase called upon following each successive nucleotide incorporated is the one whose properties ultimately result in the most efficient and least mutagenic bypass [182, 183]. In support of this hypothesis, Pol $\eta$  (which can bypass CPDs with much higher efficiency than that of other eukaryotic DNA polymerases [183, 184]) was found to copy thymine dimers and the flanking bases with higher processivity than undamaged DNA. Pol $\eta$  switched to less processive synthesis when the two damaged base pairs in the duplex primer-template were at positions allowing interaction with the PAD domain [183]. Since the PAD domains of various Y-family polymerases are unique [185] and each TLS enzyme has a different lesion bypass capacity [186], the exact locations of switches before and after TLS may vary depending on the identity of the competing polymerases, lesions, and DNA sequence context [182, 183].

Recently, a study from *C. elegans* suggested that an active mechanism might be employed in cells to trigger post-TLS polymerase exchange [187]. The study reveals that POLH-1 (the worm ortholog of Pol $\eta$ ) undergoes DNA-damage-induced proteolysis and that GEI-17 SUMO E3 regulates the timing of this proteolysis. Upon DNA damage, GEI-17 sumoylates POLH-1 and protects POLH-1 from Cul4–Ddb1–Cdt2-mediated destruction until it has performed its function in TLS [187]. The GEI-17/Cul4–Ddb1–Cdt2-based regulatory system, which controls POLH-1 function, may be important for removing POLH-1 from the replication forks after TLS. At present, it is unknown whether the GEI-17/Cul4–Ddb1–Cdt2-mediated regulation of POLH-1 is conserved in mammalian cells.

The regulation of PCNA monoubiquitination and TLS polymerase switching is complicated (Fig. 2). Several factors involved in multiple pathways apparently participate in this process to keep TLS under strict control. Future

work in this area is necessary to more clearly understand how these factors work together to regulate TLS in vivo.

### TLS polymerases as therapeutic targets for cancer prevention

Cancer cells exhibit a mutator phenotype [188] and it has long been postulated that mutations in specific genes are central to tumorigenesis. If the frequency of mutagenic events can be reduced despite the continued induction of DNA damage, the risk of tumorigenesis should also be reduced [189]. Since the majority of spontaneous and damage-induced mutations in vivo are caused by error-prone TLS, specialized TLS polymerases may be useful targets for anticancer drugs.

With respect to cancer prevention, strategies to prevent ongoing mutational events in exposed populations are likely to reduce the risk of cancer. Several results indicate that targeting mutagenic specialized polymerases can reduce the frequency of induced mutations [127, 190]. As mentioned above, reducing REV1 levels by expressing REV1-specific ribozymes or anti-sense RNA decreases mutagenic responses without altering cytotoxic responses to several common carcinogens [122, 126, 127]. Since many DNA polymerases have redundant functions, specific inhibition of certain specialized polymerases may be a promising approach to developing anticancer drugs.

In the case of cancer treatment, an obvious strategy is to inhibit DNA polymerases involved in TLS in order to increase the efficacy of chemotherapeutic agents that damage DNA. Proteasome inhibitors can prevent UV- or cisplatin-induced translesion replication in human cancer cells, but not in normal cells [191]. This inhibition is independent of cell origin, histological type or p53 status. In addition, proteasome inhibitors markedly reduce the viability of UV-irradiated or cisplatin-treated cancer cells. In view of the role of the proteasome in protein degradation during cell metabolism, proteasome inhibitors are not suitable drugs for cancer therapy. Nonetheless, since treatment of cells with the general proteasome inhibitor MG132 induces a depletion of the free ubiquitin pool and a concomitant reduction of monoubiquitinated target proteins such as ubiquitinated histones [192], identification of selected monoubiquitinated target(s) may further the development of novel selective chemotherapy drugs.

Developments in recent years have significantly increased our knowledge of the role of Y-family polymerases in TLS. However, many questions remain unsolved. For example: Do the properties of the enzymes as currently studied truly reflect in vivo properties? What are the cognate substrates for Y-family polymerases during TLS in vivo? What other roles do Y-family polymerases have in

living cells, especially in spermatogenesis? We anticipate that answers to these important questions will emerge with further study. Finally, the mechanisms that integrate Y-family members into different elements of the DNA damage response should be investigated further in order to better understand this very complex biological response.

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