

Induction of Systemic and Mucosal Cross-Clade Neutralizing Antibodies in BALB/c Mice Immunized with Human Immunodeficiency Virus Type 1 Clade A Virus-Like Particles Administered by Different Routes of Inoculation

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We have recently developed a candidate human immunodeficiency virus type 1 (HIV-1) vaccine model, based on virus-like particles (VLPs) expressing gp120 from a Ugandan HIV-1 isolate of clade A (HIV-VLP_A), which shows the induction of neutralizing antibodies as well as cytotoxic T lymphocytes (CTL) in BALB/c mice by intraperitoneal (i.p.) administration. In the present study, immunization experiments based on a multiple-dose regimen have been performed with BALB/c mice to compare different routes of administration. i.p. and intranasal (i.n.), but not oral, administration induce systemic as well as mucosal (vaginal and intestinal) immunoglobulin G (IgG) and IgA responses. These immune sera exhibit >50% ex vivo neutralizing activity against both autologous and heterologous primary isolates. Furthermore, the administration of HIV-VLP_As by the i.n. immunization route induces a specific CTL activity, although at lower efficiency than the i.p. route. The HIV-VLP_As represent an efficient strategy to stimulate both arms of immunity; furthermore, the induction of specific humoral immunity at mucosal sites, which nowadays represent the main port of entry for HIV-1 infection, is of great interest. All these properties, and the possible cross-clade in vivo protection, could make these HIV-VLP_As a good candidate for a mono- and multicomponent worldwide preventive vaccine approach not restricted to high-priority regions, such as sub-Saharan countries.

The development of an effective, safe, and affordable vaccine strategy represents a crucial goal for both industrialized and developing countries. In fact, although highly active anti-retroviral therapy induces dramatic reductions in both human immunodeficiency virus (HIV)-related morbidity and mortality, it fails to eradicate the viral infection and selects resistant viral populations which cannot be controlled with alternative “salvage” strategies (21, 39).

Considering the discordant reports on the role of the humoral and cellular immune responses in the containment of HIV type 1 (HIV-1) disease progression (5, 9, 34, 41, 45, 47), a preventive HIV-1 vaccine should elicit both virus-specific neutralizing antibodies and cytotoxic T lymphocytes (CTLs). In this perspective, we have chosen a vaccine approach based on virus-like particles (VLPs), a model currently under investigation as a potential vaccine for different human viruses, such as hepatitis viruses, papillomavirus, rotavirus, parvovirus, and Norwalk virus (25, 30, 33, 36, 46, 53). The HIV-targeted VLPs (HIV-VLPs) are based on the fact that the HIV-1 Pr55^{gag} precursor protein assembles as immature, nonreplicating, non-infectious VLPs with effective induction of both arms of the immune response (10, 16, 27, 29, 51). Furthermore, HIV-VLPs

can be employed to deliver additional antigenic structures, such as whole proteins or specific individual epitopes; in particular, specific HIV-VLPs have been developed to present an entire gp120 molecule, anchored through the transmembrane portion of the Epstein-Barr virus gp220/350 (Env-Gag-hybrid VLPs), and to increase the expression and stability of the glycoprotein on the surfaces of VLPs without affecting its oligomerization (10). The gp120 glycoprotein selected for these HIV-VLPs derives from a Ugandan HIV-1 clade A isolate (11, 12), which represents the second most prevalent HIV-1 subtype worldwide (approximately 25%) and is predominant in many developing countries. These HIV-VLPs (HIV-VLP_As) show strong in vivo immunogenicity in BALB/c mice in the absence of adjuvants, and HIV-1-specific CTLs as well as cross-clade neutralizing antibodies have been detected in immunized animals (13).

The transmission of HIV-1 infection during heterosexual or homosexual intercourse accounts for as much as 80% of AIDS globally (59). Infection can involve both free and cell-associated HIV-1, which, for successful sexual transmission, engages in different strategies to cross the mucosal barriers of both the intestinal and genital tracts in order to infect CD4⁺ T cells (7, 31, 35, 49, 56). Mucosal secretory immunoglobulin A (sIgA) specific for HIV-1 envelope glycoproteins is consistently detected in seropositive subjects (3, 18) and has been strongly associated with protection from HIV-1 infection in uninfected

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individuals having unprotected sexual intercourse with HIV-1-seropositive partners (19, 32, 38, 42).

Considering this epidemiological and experimental evidence, it seems reasonable to believe that specific mucosal immunity is extremely relevant for controlling the primary HIV-1 infection. In this perspective, the nasal route of immunization, better than the oral route, appears to elicit both mucosal and systemic immunity with a limited induction of mucosal tolerance, which may compromise local vaccination with soluble antigens (8). These mucosal vaccination strategies, furthermore, would overcome the major problems faced in developing countries related to the necessity of disposable sterile syringes and needles, which not only result in higher costs but also, if not properly handled, may facilitate the spread of HIV-1 infection.

Several mucosal vaccination approaches have been developed in recent years for human pathogens transmitted mainly through the mucosal system, including influenza A virus (57), *Vibrio cholerae* (62), human papillomavirus (6), rotavirus (15), and herpes simplex virus type 2 (23). For HIV-1, in particular, a number of different mucosal vaccine strategies have been studied, including bacterial vectors (17, 28, 37, 50), proteins (2, 52), live-attenuated vectors (4, 26), inactivated HIV-1 (1, 20), VLPs (61), and naked DNA (40, 55).

We report here the induction of systemic and mucosal humoral responses as well as specific CTL activity in mice after intraperitoneal (i.p.) as well as intranasal (i.n.) immunization with HIV-VLP_{AS}, without any adjuvant. In contrast, in our model, neither a humoral nor a cellular immune response was obtained following oral administration, as previously shown for rotavirus and parvovirus VLPs (46, 54). Both systemic and mucosal antibody responses exhibit >50% *ex vivo* neutralization activity against autologous as well as heterologous primary isolates, indicating that the HIV-VLP represents an efficient approach to be employed alone or as part of a multicomponent vaccine strategy.

MATERIALS AND METHODS

Production of recombinant baculovirus and HIV-VLP_{AS}. Recombinant baculoviruses expressing both the subtype A gp120_{UG}-Epstein-Barr virus transmembrane fusion gene and the Pr55^{gag} cDNA were produced as previously described (10). Briefly, the HIV-1 coding sequences were transferred in a single step, by site-specific transposition, from the pFastBac dual-transfer vector (Gibco-BRL) to the baculovirus DNA. The baculovirus DNA was obtained in a DH10Bac *Escherichia coli* bacterial strain (Gibco-BRL) modified to propagate both a baculovirus shuttle vector (bacmid), which contains mini-attTn7 attachment sites, and the pMON7124 helper plasmid, which provides the Tn7 transposition functions *in trans*. The recombinant baculovirus DNA was used to transfect SF9 insect cells, and supernatants were collected at 48 to 72 h posttransfection; different multiplicities of infection were then used to infect High Five cells and scale up the production of VLPs. Particles were purified through a continuous 10-to-60% sucrose gradient, and their production was confirmed by standard electron microscopy analysis (10).

Immunization experiments. Female BALB/c mice, each group consisting of three animals 6 to 8 weeks of age, were administered 20 or 100 µg purified VLPs by the i.p., i.n., or oral route. Control mice were treated with endotoxin-free phosphate-buffered saline (PBS). The immunization schedule was based on a 4-dose regimen, where the booster inoculations were administered at weeks 3, 7, and 9 after the primary vaccine dose. All the immunization protocols were performed in two independent experiments without addition of adjuvants.

(i) **i.n. vaccination.** Prior to inoculation, mice were anesthetized by halothane. Animals were treated by placing 200 µl of inoculum, 50 µl at a time, into alternating nostrils and allowing mice to inhale. Animals were monitored until consciousness was regained.

(ii) **Oral vaccination.** Mice were gavaged with 40 µl of 5% bicarbonate buffer immediately prior to oral inoculation with VLPs, in order to neutralize stomach acidity. Each mouse was then gavaged with VLPs in a final volume of 200 µl. No adverse signs were noted in any mice receiving i.n. or oral inoculation.

Sample collection and processing. (i) **Serum samples.** Blood samples were collected from each animal 1 week after each vaccination dose by puncture of the retro-orbital vein. After the last dose, the entire blood volume was collected by tail bleeding; serum was obtained by standard methods and stored at -80°C until use.

(ii) **Vaginal secretions.** Vaginal secretions were collected from each animal 1 week after each vaccination dose by pipetting 50 µl of PBS in and out of the vagina gently until a discrete clump of mucus was recovered. This usually took four to eight cycles of pipetting and required cutting the pipette tip back to a diameter of 1 to 2 mm. A second vaginal wash with 50 µl of PBS was then done to ensure more complete recovery of the vaginal secretions, and this material was combined with the first wash. Vaginal washes were centrifuged at 12,000 × *g* for 10 min shortly after collection to separate the mucus from the PBS wash solution. The mucus and supernatant were then frozen separately at -80°C. The PBS wash solution contained a cocktail of proteinase inhibitors (153.8 nM aprotinin, 3.2 µM bestatin, and 10 µM leupeptin). In order to obtain complete recovery of sIgA and presumably also IgG from the vaginal mucus, samples were thawed, weighed, and extracted twice for 2 h each time in 100 µl of PBS per sample, with rotation at 20 rpm in a 12-ml polystyrene tube at 4°C. The two extracts and the original wash supernatant were pooled, made up to 300 µl per sample, and frozen at -80°C until needed (48).

(iii) **Fecal pellets.** Fecal antibody samples were collected from each mouse 1 week after each vaccination dose. Fecal pellets were resuspended at a 10% (wt/vol) concentration in a stool diluent (10 mM Tris, pH 7.5, 100 mM NaCl, 1 mM CaCl₂, 0.05% Tween 20, 5 mM sodium azide, supplemented with 1 mg of aprotinin/ml and 10 mg of leupeptin/ml). After incubation on ice for 20 min, suspensions were centrifuged at 18,000 × *g* for 15 min in order to remove fecal solids. Processed fecal antibody samples were stored at -80°C.

Serological assays. The presence and the titers of IgG and IgA antibodies, specific for the native conformational HIV-VLP_{AS} used in the immunization protocols as well as for the Env and Gag epitopes, were evaluated in samples obtained from immunized mice by an enzyme-linked immunosorbent assay (ELISA). Ninety-six-well MICROTTEST assay plates (Becton Dickinson) were coated with 2.5 µg of HIV-VLP_{AS}; alternatively, plates were coated either with 0.5 µg of the gp120-Env V3 loop peptide (CTRPYNTRQSTHIGPGQALYT TNIIGDIRQAH) (catalog no. EVA7017.1), representing the consensus sequence of Ugandan clade A HIV-1 strains, or with 0.5 µg of 20-mer peptides with a 10-amino-acid overlap (derived from the HIV-SF2 isolate) spanning the entire p24^{gag} protein (catalog no. ARP788.1-22). Both the V3 loop and p24 peptides were obtained through the NIBSC Centralised Facility for AIDS Reagents (http://www.nibsc.ac.uk/catalog/aids-reagent/catalog_2002).

Antigens were allowed to adhere to the plates by incubation at +4°C overnight, and nonspecific protein binding to the plates was blocked by incubation with 5% (wt/vol) dry milk in PBS for 1 h at room temperature (RT). Diluted mouse sera or mucosal fluids were added to the wells and incubated for 1 h at RT. After three washes, wells were treated for 1 h at RT with peroxidase-conjugated goat anti-mouse IgG or IgA; after three additional washes, the reaction was visualized with 0.075% 4-chloro-1-naphthol in 0.056% hydrogen peroxide and stopped with 2 N sulfuric acid. Absorbance was determined at 492 nm, and reactions were considered positive when the mean absorbance for immunized animals exceeded the mean absorbance of equal dilutions of sera collected from control animals by a factor of 3. Serum ELISA results are expressed as the log₁₀ geometric mean titer of the last positive dilution point from the animals of each set in the two independent experiments. Results for antibodies in vaginal or fecal samples are expressed as the optical density (OD) obtained with 1:5-diluted fluids (54).

HIV-1 neutralization assay. The neutralization assay was performed using as a target the U87 human glioma cell line transfected with the CCR5 chemokine receptors (14). The autologous clade A Ugandan and heterologous clade B Italian field isolates, used for the assays, are HIV-1 primary isolates identified in asymptomatic, recently seroconverted individuals by coculture with fresh peripheral blood mononuclear cells and frozen down with no additional passages on lymphocyte cell lines (11). Primary isolates replicate on CCR5-expressing U87 cells, and they were titrated in these cells for the *ex vivo* neutralization assays. No signs of replication are detectable on CD4- or CD4/CXCR4-expressing U87 cells.

U87 target cells were seeded in 96-well plates at a density of 1 × 10⁴ cells/well. On the following day, 10 50% tissue culture infective doses (TCID₅₀) of both viruses were mixed with serial dilutions of serum (1:20 to 1:80) or with mucosal

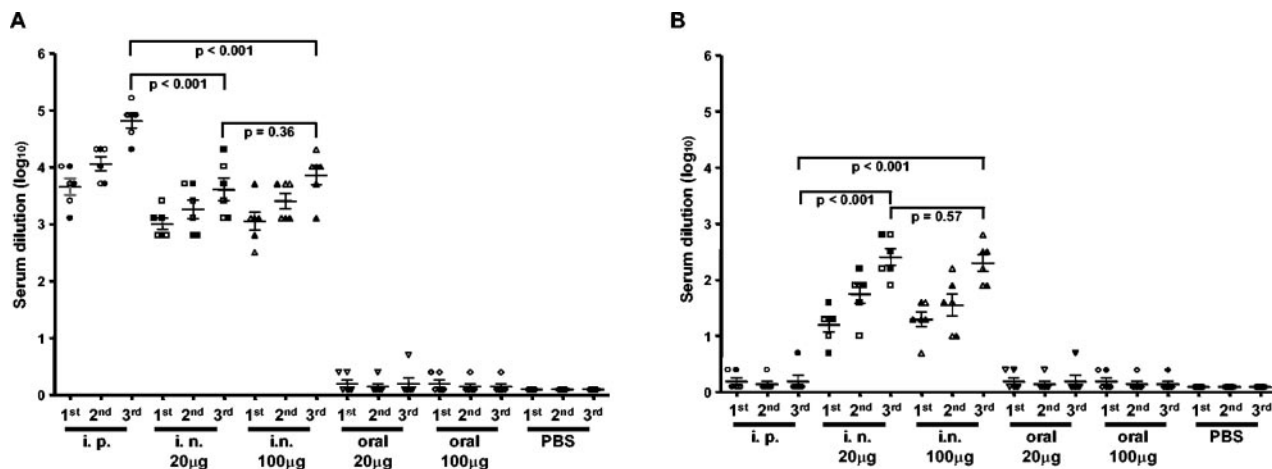


FIG. 1. Levels of specific humoral response induced in mice immunized with HIV-VLP_{AS}. Geometric mean titers of specific serum antibodies induced in each BALB/c mouse group by administration of different doses of HIV-VLP_{AS} via parenteral and mucosal routes in two independent experiments. At 3, 7, and 9 weeks following the primary immunization, each group was administered the same amount of antigen, and a week later, blood samples were drawn from the retro-orbital vein. Serum samples were analyzed for specific IgG (A) and IgA (B) antibodies by ELISA, performed in triplicate on 96-well plates coated with 2.5 µg of HIV-VLP_{AS}. In each panel, results are expressed as the individual average reciprocal last dilution with an OD at 492 nm threefold that of the preimmune sera. Data from the two independent experiments, with three mice per group per experiment, are indicated by open and full symbols. Bars represent the cumulative mean of each group ± the standard deviation. *P* values are indicated for intergroup comparisons.

fluids (1:5) and incubated for 1 h at 37°C. As controls, the viruses were either not treated or mixed with matched serum dilutions from a preimmunized animal. The mixture was added to well-adherent CCR5-expressing U87 cells in triplicate and incubated overnight. After three washes with phosphate-buffered saline, 200 µl of complete medium was added per well and cultures were incubated for 7 days. The levels of HIV-1 p24 antigen in the supernatants were determined at days 4 and 7 postinfection (p.i.) with a commercially available kit (NEN DuPont); the percentage of neutralization was calculated relative to a dilution-matched control. Cell viability was microscopically verified during incubation and at the end of the incubation period in all wells. The test was scored positive when, on day 7 p.i., the p24 concentration was ≥130 pg/ml in control wells and the tested serum dilution exhibited a >50% neutralization effect.

CTL assay. Single-cell suspensions of spleens were obtained from immunized and control mice and cocultured in the presence of interleukin-2 (5 IU/ml) for 7 to 14 days with irradiated Env- or Gag-expressing syngeneic splenocytes (stimulators) in order to propagate specific effector T cells. In particular, stimulator cells were induced for 24 h with 5 µg/ml of phytohemagglutinin (PHA; Sigma), infected with 1 PFU/10³ cells of Env- or Gag-recombinant vaccinia virus, and, after an additional 16 to 24 h, γ-irradiated with 2,000 rads. For cytolytic assays, target syngeneic *H-2^d* P815 cells were infected with 1 PFU/10³ cells of either Gag- or Env-vaccinia virus 16 to 24 h prior to the start of the assay. Both Gag- and Env-vaccinia viruses were obtained from the NIBSC Centralised Facility for AIDS Reagents; the *gag* sequence derives from the clade B HIV-1_{BH10} isolate (14), and the *env* sequence derives from a Ugandan clade A HIV-1 isolate (24). Infected target cells were pulsed for 1 h at 37°C with ⁵¹Cr (Amersham Pharmacia Biotech) (30 µCi/10⁶ cells) and washed three times with culture medium before the addition of effector cells. Specific lysis was measured in a triplicate assay performed with 5 × 10³ target cells mixed with different ratios of effector cells (effector to target cell [E:T] ratio, 25:1 to 3.125:1) in a total volume of 200 µl. After a 5-h incubation at 37°C, 100 µl culture supernatant was collected for counting the amount of ⁵¹Cr release. Positive and negative controls, in the absence of effector cells, were treated with or without 1 N HCl, respectively. The percentage of specific lysis was calculated as follows: 100 × [(specific release – spontaneous release)/(total release – spontaneous release)].

Statistical analyses. Intergroup comparisons were performed with the Mann-Whitney U test (for univariate nonparametric group analysis). All *P* values were two tailed and considered significant if less than 0.05.

RESULTS

Induction of serum antibody response by HIV-VLP_{AS} injected by different routes. The humoral immune efficacy of

HIV-VLP_{AS}, produced in insect cells and purified on a 10-to-60% continuous sucrose gradient (10), was evaluated for 18 BALB/c mice, divided into six groups, by following a 4-dose immunization schedule and comparing different routes of administration. In particular, one animal group was treated with an i.p. injection of 20 µg purified VLPs, which has been shown previously to induce specific antibody as well as CTL responses (13); two animal groups received i.n. administration of 20 or 100 µg purified VLPs; two animal groups received oral administration of 20 or 100 µg purified VLPs; and the control group was i.p. injected with PBS alone. At weeks 3, 7, and 9, each group was treated with the same amount of antigen received in the primary vaccination dose, and a week later, blood samples were drawn from the retro-orbital vein. A second experiment with the same group distribution and modality was later performed independently, starting 2 weeks after the end of the first experiment. No animals showed any signs of toxicity, and all remained healthy up to the end of the vaccination protocol.

In order to evaluate specific HIV-VLP_{AS}-induced serum antibody titers, ELISAs were performed on microwell plates coated with HIV-VLP_{AS}. The data showed that serum IgG titers increased after each vaccination dose administered by the i.p. or i.n. route (up to 1:81,920 for the i.p. and 1:10,240 for the i.n. route), while the oral route did not induce a detectable antibody titer (Fig. 1A). The i.p. immunization protocol induced statistically significantly higher systemic IgG antibody titers (*P* < 0.001) than both i.n. immunization does (20 and 100 µg) (Fig. 1A) but failed to induce detectable IgA titers (Fig. 1B). IgA antibody titers (1:250, on average), instead, were detected in sera of mice immunized by the i.n. route, and no statistically significant difference was observed between the two HIV-VLP_{AS} doses (20 and 100 µg) (*P* > 0.5) (Fig. 1B).

ELISAs performed on microwell plates coated with HIV-1 peptides confirmed the same antibody titers for Gag-specific epitopes but showed 1- to 2-dilution-lower titers for Env-spe-

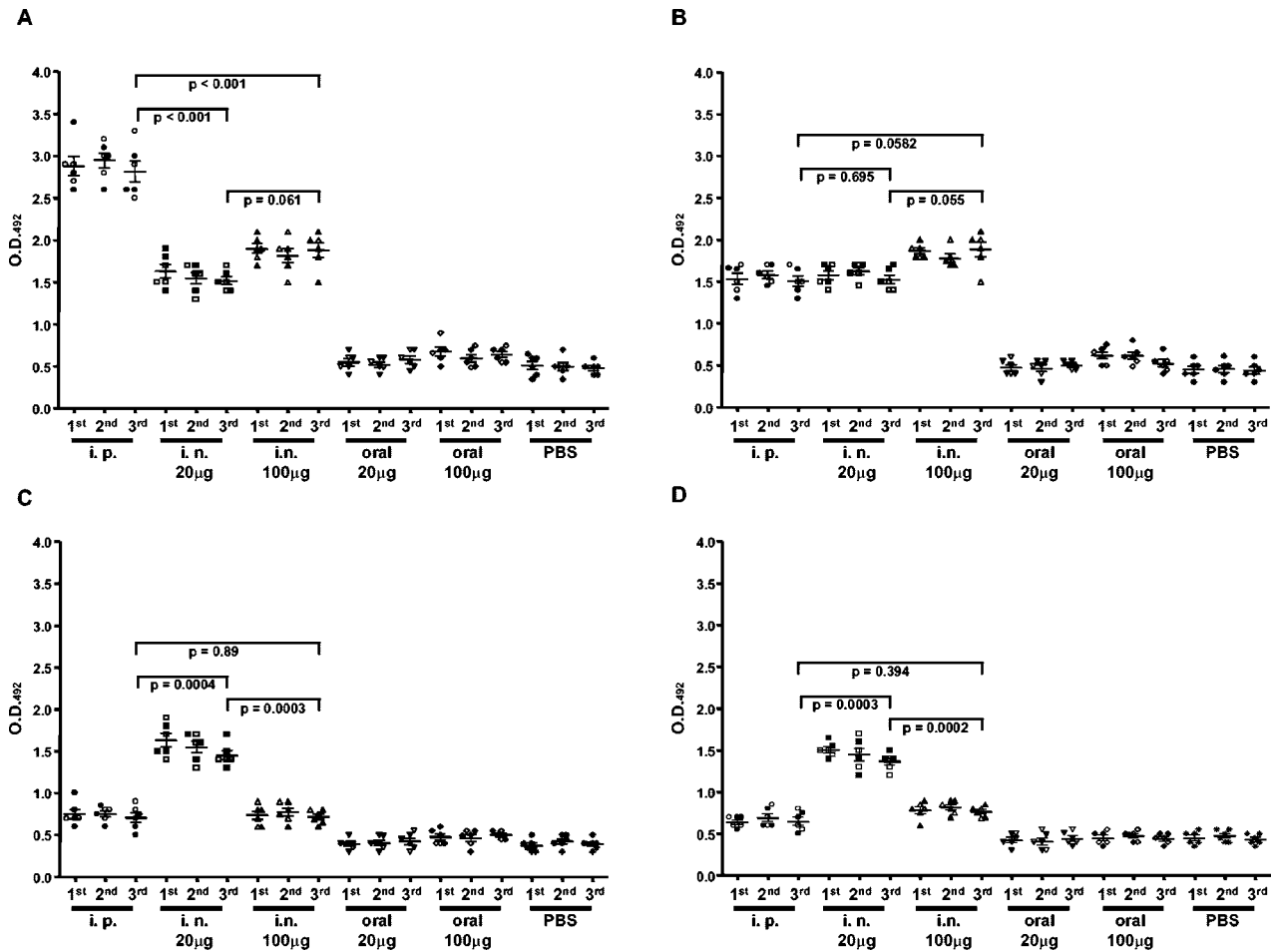


FIG. 2. Levels of specific antibodies in the feces and vaginal washes of mice immunized with HIV-VLP_{AS}. At 3, 7, and 9 weeks following the primary immunization, each group of mice was administered the same amount of antigen, and a week later, fecal samples and vaginal washes were obtained for each animal. Levels of specific IgG and IgA antibodies in the feces (A and B) and in the vaginal washes (C and D) were evaluated by ELISA, performed in triplicate on 96-well plates coated with 2.5 µg of HIV-VLP_{AS}. In each panel, results are represented as the average OD at 492 nm observed in individual animals at a 1:5 dilution of mucosal samples. Data from the two independent experiments, with three mice per group per experiment, are indicated by open and full symbols. Reactions were considered positive when the absorbance exceeded the average absorbance of samples from control animals by a factor of 2. Bars represent the cumulative mean of each group ± the standard deviation. *P* values are indicated for intergroup comparisons.

sific epitopes. Reactions were considered positive when the absorbance at 492 nm exceeded the average absorbance of equal dilutions of sera collected from control animals by a factor of 3 (data not shown). Within each group, the standard deviations of the antibody responses were always <10% of the mean value. In addition, a standard deviation <10% was observed between the same groups in the two independent experiments performed.

Induction of mucosal antibody responses by HIV-VLP_{AS} injected by different routes. In order to evaluate the antibody response at the mucosal level, vaginal washes and fecal pellets were collected from each animal a week after each HIV-VLP_{AS} vaccination dose and evaluated in an ELISA system. Given the low antibody titers at mucosal sites reported by several authors (54, 48, 60), ELISAs were performed at a single dilution, 1:5, and results were expressed as OD values (54). Reactions were considered positive when the absorbance at 492 nm exceeded the average absorbance of samples from control animals by a

factor of 2. The results showed that, at the intestinal level, the strongest IgG response was induced by the i.p. immunization route ($P < 0.001$), whereas the IgA response was equally induced by the i.p. and i.n. routes ($P > 0.05$) (Fig. 2A). In contrast, at the vaginal level, the 20-µg dose administered by the i.n. route induced the strongest IgG and IgA responses, compared to both the i.p. route and the 100-µg i.n. dose ($P < 0.001$) (Fig. 2B). Consistent with the systemic results, oral administration of HIV-VLP_{AS} did not result in a detectable antibody response at either the intestinal or the vaginal level.

Also for the mucosal antibody response, the standard deviations within each group were always <10% of the mean value, and a standard deviation <10% was observed between the same groups in the two independent experiments performed.

Neutralization activity against autologous and heterologous primary field isolates. The neutralization activities of antibodies induced by HIV-VLP_{AS} in mice immunized via different routes were verified *ex vivo* against primary field isolates char-

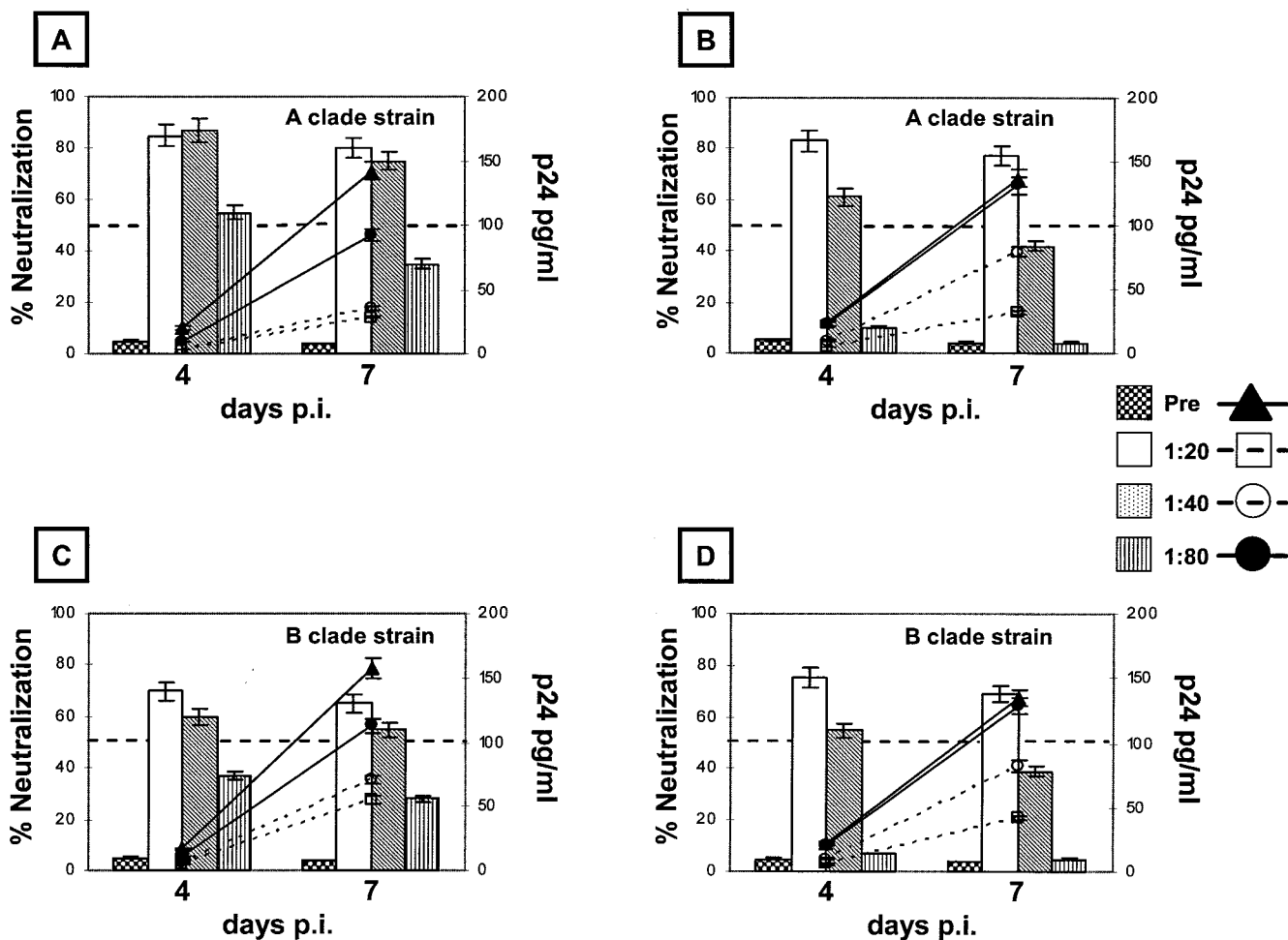


FIG. 3. Autologous and heterologous neutralization efficiencies of sera from mice immunized with HIV-VLP_As. The HIV-1 neutralization assay was performed on CCR5-expressing U87 cells against autologous and heterologous primary isolates, clade A Ugandan and clade B Italian HIV-1 isolates, respectively. Ten TCID₅₀ of each isolate were pretreated with serial dilutions of the immune sera, collected at the end of the complete immunization schedule, from the mouse groups immunized with 20 µg of HIV-VLP_As via the i.p. or the i.n. route. The neutralization activities of serum antibodies, at different dilutions, induced via the i.p. (A and C) or the i.n. (B and D) route are represented as percentages of the virus replication in control samples (vertical bars). Fifty percent neutralization has been considered the lowest limit for scoring the test positive. In all the panels the kinetics of virus growth during the 7-day neutralization assay is shown (lines). Each serum dilution was evaluated in triplicate, and the reported results include the cumulative data from two independent experiments with three mice per group per experiment.

acterized in our laboratory: the autologous Ugandan clade A isolate, whose gp120 was expressed on the HIV-VLP_As (10), and a heterologous clade B strain identified from an Italian intravenous drug user. The results show that immune sera derived from mice immunized via the i.p. or i.n. route are able to inhibit the replication of both autologous and heterologous isolates in CCR5-expressing U87 cells, up to a final dilution of 1:40 (i.p.) or 1:20 (i.n.). In particular, such serum dilutions show an average neutralization capacity >75% against the autologous isolate for the entire period of observation. In contrast, the next dilutions (1:80 i.p. and 1:40 i.n.) show a transient 55% neutralization on day 4 p.i. which is no longer detectable on day 7 p.i. (Fig. 3A and B). In parallel, the neutralizing serum dilutions are able to inhibit the replication kinetics of the heterologous clade B primary isolate, showing an average 64% neutralization on days 4 and 7 p.i. (Fig. 3C and D). Furthermore, moderate neutralizing activity (approx-

mately 57%) against both autologous and heterologous primary isolates was detected, at a 1:5 dilution, in both vaginal and intestinal fluids from mice immunized by the i.n. route (Fig. 4A to D) and only in vaginal fluids from mice immunized by the i.p. route (Fig. 4B and D). In all the neutralization assays, the mean standard deviation of the samples' triplicate cultures, in both control and immunized groups, was always lower than 5% of the mean value. The mean standard deviation of the combined results from the corresponding groups of the two independent experiments was always lower than 10% of the mean value.

Both primary field isolates, treated with preimmune sera or untreated, showed similar effective kinetics of replication in the 7-day-p.i. experiment, characterized by a progressive increase in virus production (by measurement of p24 or reverse transcriptase activity) and a final p24 concentration ≥130 pg/ml.

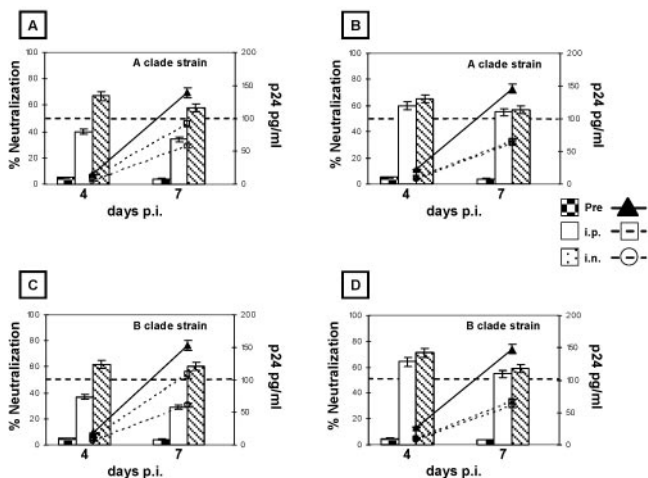


FIG. 4. Autologous and heterologous neutralization efficiencies of mucosal antibodies from mice immunized with HIV-VLP_{AS}. The HIV-1 neutralization assay was performed on CCR5-expressing U87 cells against autologous and heterologous primary isolates, clade A Ugandan and clade B Italian HIV-1 isolates, respectively. Ten TCID₅₀ of each isolate were pretreated with a 1:5 dilution of fecal (A and C) or vaginal (B and D) extracts, collected from the mouse groups immunized with 20 μg of HIV-VLP_{AS} via the i.p. or the i.n. route. Neutralization activity is represented as a percentage of the virus replication in control samples (vertical bars). Fifty percent neutralization has been considered the lowest limit for scoring the test positive. In all the panels the kinetics of virus growth during the 7-day neutralization assay is shown (lines). Each dilution was evaluated in triplicate, and the reported results include the cumulative data from two independent experiments with three mice per group per experiment.

CTL response to HIV-1 epitopes. To assess the induction of Env- and Gag-specific CTLs, spleens were removed from immunized as well as control mice. Purified splenocytes (effector cells) were restimulated *ex vivo* for 2 weeks with γ -irradiated autologous splenocytes infected with either Env- or Gag-vaccinia virus recombinants. The vaccinia virus recombinants express the *env* sequence derived from a Ugandan clade A HIV-1 isolate (44) and the *gag* sequence from the clade B HIV-1_{BH10} isolate (24). The cytotoxic activities of effectors were then evaluated in a ⁵¹Cr release assay against syngeneic (*H-2^d*) P815 cells (targets) infected with the same Env- or Gag-vaccinia virus recombinants by using an E:T ratio ranging from 25:1 to 3.125:1. As previously reported (13), a 30 to 35% CTL response was detected at the E:T ratio of 25:1 in each paired experiment performed with splenocytes from animals immunized via the i.p. route. Significantly lower CTL activity (16 to 20%) ($P < 0.001$) was detected at the same E:T ratio when the experiment was performed with splenocytes from animals immunized via the i.n. route. No CTL activity was induced by oral administration of HIV-VLP_{AS} (Fig. 5A and B). The HIV-1 Env and Gag epitopes were used independently for both the *ex vivo* restimulation and the lysis assay. In contrast, <5% lysis was observed when the effectors were restimulated *ex vivo* with Env and mixed with Gag-expressing targets (and vice versa), indicating that the reaction was specifically driven *ex vivo* by antigen-restimulated CTL clones (data not shown). The correlation between the percentage of observed lysis and the E:T ratio was approximately linear within the range analyzed. As expected, no cytotoxic activity was detected against

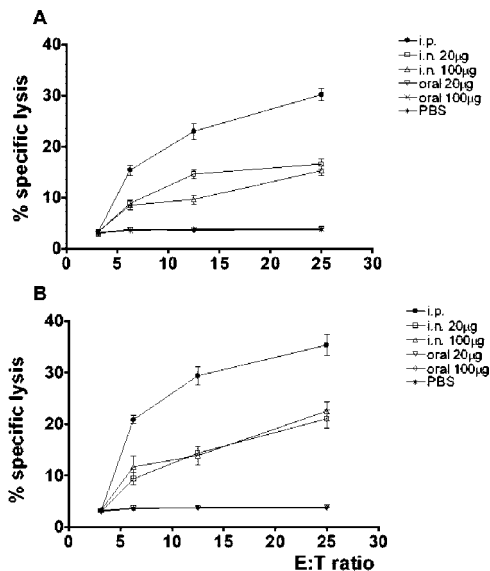


FIG. 5. Cytotoxic T-cell activation (CTL analysis) in mice immunized with HIV-VLP_{AS}. CTL response was evaluated in splenocytes from mice immunized with HIV-VLP_{AS}. Splenocyte cultures were restimulated *in vitro* with γ -irradiated splenocytes infected with either Env (A)- or Gag (B)-expressing vaccinia virus recombinants. Effector cells were then assayed for lysis of P815 target cells presenting either HIV-1 gp160_A or Gag_B epitopes, at an E:T ratio ranging from 25:1 to 3.125:1. Parental P815 cells were used as a negative control in both experiments. Specific cell lysis was measured as ⁵¹Cr release and expressed as the percentage of total lysis from acid-disrupted target cells. Data are means of triplicate cultures from all animals included in the two independent experiments with three mice per group per experiment.

parental P815 cells. Each end point for each animal was evaluated in triplicate, and the standard deviation was always lower than 5% of the mean value. The standard deviation of the mean was always lower than 8% in each experimental group of three animals and 10% between the two corresponding groups of the two independent experiments.

DISCUSSION

It has been reported previously that a candidate HIV-1 preventive/therapeutic vaccine recently developed in our laboratory, based on VLPs expressing a gp120 glycoprotein derived from an HIV-1 clade A isolate (HIV-VLP_{AS}) (10, 12), shows strong *in vivo* immunogenicity in BALB/c mice and induces both a CTL response and cross-clade neutralizing antibodies, in the absence of adjuvants (13).

In the present study, it is shown that the recombinant HIV-VLP_{AS}, administered by the i.p. or i.n. route in the absence of adjuvants, stimulate IgA and/or IgG antibody responses in sera as well as at vaginal and intestinal mucosal sites. Moreover, as already reported for the i.p. route, the i.n. immunization route also provides a way to induce CTL responses, although to a much lower extent.

An immunization protocol based on a 4-dose regimen was performed in parallel on four animal groups with two different doses of HIV-VLP_{AS} (20 and 100 μg, respectively) administered by the i.n. or oral route. A group was immunized under

standard conditions (20 μ g by the i.p. route) (13), and the control group was i.p. injected with PBS alone. The booster doses elicited increasing serum levels of specific IgG antibodies in animals immunized by the i.p. or i.n. route, while IgA antibodies were induced only by the i.n. route of administration. The i.n. 20- μ g dose appeared to be optimal, given that a five-fold-higher dose (100 μ g) does not correlate with a higher antibody titer, probably due to a tolerance effect. Local production of specific antibodies was demonstrated at the intestinal and vaginal levels, showing that the i.p. administration route is able to stimulate an IgG response preferentially, whereas the i.n. administration route induces both IgG and IgA responses. In the present study, oral administration of HIV-VLP_As failed to stimulate systemic as well as mucosal immune responses. In this regard, although mice were gavaged with bicarbonate buffer immediately prior to each oral inoculation with VLPs, this treatment could have been insufficient to neutralize stomach acidity, resulting in significant antigen degradation.

Immune sera were tested for ex vivo neutralization activity against primary field isolates, the autologous Ugandan clade-A isolate and a heterologous Italian clade B isolate, using the CR-expressing U87 human glioma cell line as a target (14). Sera from mice immunized with 20 μ g of HIV-VLP_As by the i.p. route showed neutralization activity against both the autologous and heterologous field isolates at a final dilution of 1:40. Equivalent neutralization activity against both HIV-1 field isolates was obtained also with a 1:20 dilution of sera from animals immunized by the i.n. administration route. Furthermore, moderate neutralizing activity (approximately 57%) against both autologous and heterologous primary isolates was detected in vaginal and intestinal fluids from mice immunized by the i.n. route and only in intestinal samples from mice immunized by the i.p. route. The breadth of this cross-clade neutralization activity is currently being evaluated using an expanded panel of primary HIV-1 isolates of different subtypes. The neutralization efficacy against HIV-1 primary isolates observed in this study is extremely significant, considering the poor neutralization activity against primary isolates in comparison to T-cell-adapted strains consistently reported in the literature (43, 58), and strongly suggests the potential applications of the VLP as a vaccine approach in countries characterized by multiclade HIV-1 epidemics.

Furthermore, the immunization of mice with HIV-VLP_As by the i.p. and, to a lesser extent, the i.n. administration route was able to induce in vivo CD8⁺ CTLs with specific cytolytic activity against target cells expressing either Env or Gag molecules derived from HIV-1 isolates of clade A or B, respectively.

All the results were obtained in two independent immunization experiments, where the standard deviation was between 5 and 10% of the mean value, and the standard deviation of the mean was <5% of the mean value, indicating significant consistency of the independent observations.

The data confirm that these HIV-VLP_As are able to induce a strong humoral as well as a cellular immune response, likely due to efficient presentation in a major histocompatibility complex class II context with a resulting stimulation of T-helper-cell responses, as previously reported (13). In particular, the induction of immune responses in mice immunized by the i.n. administration route, without adjuvants, shows that nonrepli-

cating VLPs are immunologically more effective than monomeric proteins or linear peptides, probably due to their particulate structure (6, 30, 54). Most proteins administered alone by mucosal routes, in fact, induce poor if measurable immune responses, and only a few natural antigens, including bacterial toxins such as cholera toxin or *E. coli* labile toxin, consistently stimulate strong mucosal responses (22). Furthermore, the lower serum antibody titers and CTL activity detected in animals immunized by the i.n. route compared to those in animals immunized by the i.p. route, as well as the lack of HIV-VLP_A immunogenicity following oral administration, suggest the need to optimize the immunization protocols. This goal will be pursued by exploring prime/boost strategies (i.e., DNA/HIV-VLP) as well as introducing nontoxic adjuvants in order to enhance the immunogenicity of mucosal immunizations and reduce the dose administered, which should also result in lower potential toxicity.

The results obtained with our HIV-VLP_As, in particular the induction of antibody responses with ex vivo cross-clade neutralization activity, suggest a possible broad in vivo protection which would make this strategy a good candidate for a monovalent as well as a multicomponent worldwide vaccine approach not limited to sub-Saharan countries with high incidences of HIV-1 clade A isolates.

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Recombinant vaccinia virus, expressing gp160 of HIV-1 clade A (EVA 289.1-4) and Pr55^{gag} of HIV-1 clade B (ARP 253), the gp120 V3 peptide (EVA7017.1), and overlapping peptides spanning the entire p24^{gag} protein (ARP788.1-22) were obtained through the NIBSC Centralized Facility for AIDS Reagents, supported by EU Program EVA (contract BMH4 97/2515) and the UK Medical Research Council. The original donors of the reagents were M. Esteban (EVA 289.1-4) and D. Nixon (ARP 253). We thank John McKnight for revising the English style of the manuscript.

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