Immunoglobulin G fragment C receptor polymorphisms and KRAS mutations: Are they useful biomarkers of clinical outcome in advanced colorectal cancer treated with anti-EGFR-based therapy?

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KRAS mutations have been identified as a strong predictor of resistance to anti-epidermal growth factor receptor (EGFR) therapies. Besides inhibiting the EGFR pathway, anti-EGFR monoclonal antibodies may exert antitumor effects through antibody-dependent cell-mediated cytotoxicity (ADCC). Through this mechanism, the antibody fragment C portion ($Fc\gamma$) interacts with Fc receptors (Fc_YRs) expressed by immune effectors cells. We investigated the association of Fc γ R polymorphisms and KRAS mutation with the clinical outcome of 104 refractory metastatic colorectal cancer (mCRC) patients treated with anti-EGFR antibodies. $Fc\gamma RIIa-H131R$ and $Fc\gamma RIIIa-V158F$ polymorphisms were analyzed in genomic DNA using a 48.48 dynamic array on the BioMark system (Fluidigm, South Sanfrancisco, CA, USA). Tumor tissues from 96 cases were screened for KRAS mutations. KRAS mutation was associated with a lower response rate (RR) ($P = 0.035$) and a shorter progression-free survival (PFS) (3 vs 7 months; $P = 0.36$). $Fc\gamma RIIa-$ H131R and $Fc\gamma$ RIIIa-V158F polymorphisms did not show statistically significant associations with response, PFS, or KRAS status. In the logistic regression analysis, KRAS status ($P = 0.04$) and skin toxicity ($P = 0.03$) were associated with RR. By multivariate analysis, the clinical risk classification ($P = 0.006$) and skin toxicity (P < 0.0001) were found to be independent risk factors for PFS. In conclusion, the Fc_YRIIa and Fc_YRIIIa polymorphisms are not useful as molecular markers for clinical outcome in mCRC patients. To date, the EORTC (European Organization for Research and Treatment of Cancer Classification), skin toxicity, and KRAS status are the only reliable biomarkers to identify patients that would benefit from anti-EGFR therapy. (Cancer Sci 2010; 101: 2048–2053)

Colorectal cancer is one of the most frequent causes of can-
cer deaths worldwide. Survival has improved in the last
deaded due to the development of new combinations of chame decade due to the development of new combinations of chemotherapy and to the recent introduction of targeted therapies.

Two anti-epidermal growth factor receptor (EGFR) antibodies (cetuximab and panitumumab) show activity in metastatic colorectal cancer (mCRC). Cetuximab, a chimeric immunoglobulin 1 (IgG1) monoclonal antibody, targeted against the extracellular domain of the EGFR, has demonstrated efficacy in chemorefractory mCRC patients.^(1,2) Recently, the phase III trial CRYSTAL conducted by Van Cutsem et al. showed that first-line treatment with cetuximab plus Infusional fluorouracil/leucovorin plus irinotecan (FOLFIRI) reduced the risk of progression of metastatic colorectal cancer as compared with FOLFIRI alone. This study also demonstrated that the benefit of cetuximab was limited to patients with *KRAS* wild-type tumors.⁽³⁾ Panitumumab, a fully

human monoclonal IgG2 antibody that targets the EGFR, was approved as monotherapy for patients with KRAS wild-type tumors after failure of fluorpyrimidine-, oxaliplatin-, and irinotecan-based regimes.(4)

The investigation of molecular markers that could potentially predict clinical response or resistance to anti-EGFR is of high interest to avoid unnecessary drug toxicity and reduce treatment costs. *KRAS* mutation has been associated with the inefficacy of cetuximab and panitumumab^{(3.5)} and to date remains the most relevant biological marker of anti-EGFR resistance. However, as KRAS status cannot predict the clinical outcome in cases with a wild-type genotype, the search of novel markers independent of KRAS status is warranted. Cetuximab, and possibly panitumumab may exert anti-tumor effects by means of antibodydependent cell-mediated cytotoxicity (ADCC). The antibody fragment C portion (Fc γ) interacts with Fc receptors (Fc γ Rs) expressed by immune effector cells.⁽⁶⁾ Polymorphisms have been described in genes coding for $Fe\gamma RIIa$ and in $Fe\gamma RIIIa$. A histidine/arginine polymorphism at position 131 for the $Fc\gamma RIIa$ gene and valine/phenylalanine polymorphism at position 158 for the $Fc\gamma RIIIa$ gene have been reported to be functionally relevant in the ADCC mechanism.

The aim of this study was to investigate the association of the above-mentioned Fc γ R polymorphisms and KRAS mutation with the clinical outcome of refractory mCRC patients treated with cetuximab or panitumumab in monotherapy or in combination with chemotherapy.

Materials and Methods

Eligible patients. A total of 104 patients (66 males, 38 females; median age, 64 years) with histopathologically proven metastatic colorectal adenocarcinoma, who failed at least one prior chemotherapy regimen, were included in this study. The patients were treated from 2004 to 2009 with cetuximab or panitumumab as monotherapy or in combination with chemotherapy. Relevant clinical data (gender, age, Eastern Cooperative Oncology Group [ECOG] performance status score, etc.) were obtained from clinical records. Patients were classified according to the European Organization for Research and Treatment of Cancer (EORTC) clinical model validated by Köhne et $al^{(7)}$ Skin toxicity was graded according to the National Cancer Institute Common Toxicity Criteria (version 2.0). The response to treatment was evaluated every 2 to 3 months by tomodensitometry according to the Response Evaluation Criteria in Solid

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ECOG, Eastern Cooperative Oncology Group; EGFR, epidermal growth factor receptor; FOLFIRI, fluororacil, leucovorin and irinotecan; FOLFOX, fluororacil, leucovorin and oxaliplatin.

Tumours $(RECIST)^{(8)}$ and classified as: (i) complete response (CR); (ii) partial response (PR); (iii) stable disease (SD); or (iv) progressive disease (PD). For the statistical analysis, the best tumor response was selected. The median follow-up time was 9.5 months (range, 0.5–44 months). All patients signed an informed consent for tissue and blood collection for the study of molecular correlates. This retrospective analysis was approved by the Institutional Ethics Committee.

KRAS mutational analysis. KRAS mutations in codon 12 and 13 were assessed on tumor DNA. Mutational analysis was performed by PCR amplification of exon 1 of the KRAS gene following previously reported conditions.⁽⁹⁾ The purified PCR products were automatically sequenced on an ABI Prism 3130 (Applied Biosystems, Foster City, CA, USA).

 $Fc_YRIIa-H131R$ and $Fc_YRIIIa-V158F$ genotyping. The genomic DNA was extracted from peripheral leucocytes by the saltingout procedure.(10) We genotyped all patient samples for the FcγRIIa-H131R and FcγRIIIa-V158F polymorphisms using a 48.48 dynamic array on the BioMark system (Fluidigm). The sample and the TaqMan SNP Genotyping assay (Applied Biosystems) mixes were prepared following the manufacturer's recommendations. Prior to loading the mixes into the inlets, the chip was primed in the NanoFlex IFC Controller. The sample and genotyping mixes were then loaded into the inlets of the dynamic array and again placed in the IFC Controller for loading and mixing for approximately 45 min. Polymerase chain reaction (PCR) was performed on the BioMark system with an initial 2 min at 50° C and 10 min at 95 $^{\circ}$ C, followed by 40 cycles of 15 s at 95°C for denaturation and 1 min at 60-C for annealing and extension. Endpoint fluorescent image data were acquired on the BioMark Real-Time PCR System and analyzed using Fluidigm SNP Genotyping Analysis software.

As a quality control, normal, heterozygous and homozygous sequenced samples were included on every array for each genotype.

Statistical analysis. The differences between categorical variables were measured by the χ^2 -test. Logistic regression was used as a multivariate method to ascertain which variables independently predicted response after adjustment for other relevant clinical variables. The Kaplan–Meier estimates and log-rank tests were used in the univariate analysis of progression-free survival (PFS). Progression-free survival (PFS) was calculated from the beginning of treatment until progression of the neoplasia or death. The Cox regression model was used for multivariate analyses of PFS. Results were considered statistically significant when P -values were < 0.05 .

Results

A total of 104 Caucasian patients were studied. Clinical data are shown in Table 1. Overall, 92 patients (88%) were treated with cetuximab plus chemotherapy and 12 patients (12%) were treated with panitumumab alone or in combination with irinotecan. One patient had CR, 19 had PR (18%), 44 had SD (42%), and 35 progressed (34%). Five patients were not evaluated. The median PFS was 4 months (range, 0.5–30 months) and median overall survival was 9.5 months (range, 0.5–44 months).

Clinical parameters and outcomes. There were significant differences in the clinical response when patients were grouped in accordance with the clinical classification (EORTC model). While 21% of the low-risk patients achieved a CR/PR, only 4%

*Fisher's exact test; †log-rank test. CI, confidence interval; CR, complete response; EGFR, epidermal growth factor receptor; EORTC, European Organization for Research and Treatment of Cancer; mCRC, metastatic colorectal cancer; PFS, progression-free survival; PR, partial response; SD, stable disease.

of high risk patients presented a PR. In a similar way, 14% of low risk patients had a progressive disease compared to 72% of high risk patients ($P < 0.0001$). Accordingly, a longer median PFS was observed in low risk patients compared with high risk patients (8 months [95% confidence interval, CI, 6.1–9.9] vs 2 months [95% CI, 1–2.9] $P < 0.0001$ (Table 2). Overall survival also differed in accordance with the clinical classification (13 months in low- and intermediate-risk patients [95% CI, 7.3– 18.6 months] vs 5 months in high-risk patients [95% CI, 3.2– 6.7 months]; $P < 0.0001$).

Grade 2 or 3 skin rash was observed in 68 patients (65%). Skin rash severity was significantly associated with clinical response; 19 patients (29%) with severe skin toxicity responded to treatment versus only two patients (6%) with grade 0 or 1 of skin toxicity ($P < 0.009$). Skin rash severity was associated with a better PFS ($P < 0.0001$; Fig. 1a) and OS ($P < 0.006$; Fig. 1b).

Fig. 1. (a) Kaplan–Meier curve for progression-free survival and skin toxicity in metastatic colorectal cancer (mCRC) patients treated with anti-epidermal growth factor receptor (EGFR) therapy. (b) Kaplan– Meier curve for overall survival and skin toxicity in mCRC patients treated with anti-EGFR therapy.

Genetic determinants and outcomes. Table 3 shows the frequencies of the two polymorphisms in the $Fc\gamma RIIa$ and $Fc\gamma RIIIa$ genes and KRAS mutation status. Frequencies of the polymorphisms *studied* were similar to those reported previously in a Caucasian population. There was no linkage disequilibrium between the $Fc\gamma RIIa$ and $Fc\gamma RIIIa$ polymorphisms in our group of patients ($r^2 = 0.02$). KRAS status was evaluated in 96 cases and a mutation was detected in the tumor of 22 patients (21%). Epidermal growth factor receptor (EGFR) expression status obtained by immunohistochemistry was negative in 44% of cases, <10% in 12%, 10–30% in 18%, and >30% in 5%. No relation was observed between EGFR expression and response. There was no concordance between the $Fc\gamma RIIa$ and $Fc\gamma RIIIa$ polymorphisms and EGFR status, excluding the involvement of this parameter in the ADCC activity.

KRAS mutation was associated with a lower response rate: only one out of 22 patients with this mutation responded. Nineteen out of 69 nonmutated patients were responders (4.5% vs 27.5%; $P = 0.035$). Patients without KRAS mutation showed a trend to longer median PFS compared to mutated patients (7 vs 3 months; $\overline{P} = 0.36$) (Table 4).

No statistically significant differences were observed in response to treatment or PFS based on the $Fc\gamma RIIa-H131R$ polymorphism. Neither did we observe a significant association when considering this polymorphism together with the KRAS status. However, patients with $Fc\gamma R I Ia-131R/R$ and wild-type $KRAS$ showed a better response rate than those with the H/H or H/R genotype (53% vs 22% and 19% respectively; $P = 0.1$) (Table 5).

Similarly, no statistically significant difference was observed for tumor response based on $Fc\gamma RIIIa-VI58F$ polymorphism, regardless of $\hat K RAS$ status (Table 6).

Table 3. Frequencies of genetic determinants

	No.	$\%$
KRAS status		
Wild type	74	71
Mutated	22	21
Non-assessable	8	8
$Fc\gamma$ Rila polymorphism		
H/H	27	26
H/R	54	52
R/R	23	22
$Fc\gamma$ RIIIa polymorphism		
V/V	16	15
V/F	41	39
F/F	47	45

F, phenylalanine allele; FcyR, fragment c y receptor; H, histidine allele; R, arginine allele; V, valine allele.

*Fisher's exact test; †log-rank test. CI, confidence interval; CR, complete response; EGFR, epidermal growth factor receptor; mCRC, metastatic colorectal cancer; PFS, progression-free survival; PR, partial response; SD, stable disease.

Table 5. Outcome of patients treated with anti-EGFR-based therapy according to Fc γ RIIa polymorphism and KRAS mutations

Whole group	FcγRlla						P-value
	H/H		H/R		R/R		
Response	No.	$\%$	No.	$\%$	No.	$\%$	
CR/PR	4	17	8	15	9	41	$0.13*$
SD	9	37	26	49	8	36	
PD	11	46	19	36	5	23	
Median PFS (months)	4		6		6		$0.61 +$
95% CI	$2.9 - 5$		$3.4 - 8.5$		$3.6 - 8.3$		
wt KRAS							
Response	No.	$\%$	No.	$\%$	No.	$\%$	
CR/PR	4	22	7	19	8	53	$0.1*$
SD	6	33	18	50	5	33	
PD	8	44	11	31	2	13	
Median PFS (months)	5		$\overline{7}$		7		$0.7+$
95% CI	$3.5 - 6.5$		$4.2 - 9.7$		$5.5 - 8.4$		
mut KRAS							
Response	No.	$\%$	No.	%	No.	$\%$	
CR/PR			1	7	-		$1.0*$
SD	2	50	7	47	2	67	
PD	$\overline{2}$	50	6	47	1	33	
Median PFS (months)	3		3		6		$0.7+$
95% CI	$0.6 - 5.9$		$0.7 - 5.2$		$0 - 12.4$		

*Fisher's exact test; †log-rank test. CI, confidence interval;

CR, complete response; EGFR, epidermal growth factor receptor;

Fc γ R, fragment c γ receptor; H, histidine allele; mut, mutated; PFS, progression-free survival; PR, partial response; R, arginine allele;

SD, stable disease; wt, wild-type.

Combining the $Fc\gamma RIIa-H131R$ and $Fc\gamma RIIIa$ polymorphisms we established a favorable genotype (patients homozygous 131 R/R and/or 158 F/F) and a non-favorable genotype (patients homozygous 131 H/H and/or 158 V/V). No significant difference was observed for tumor response and PFS between these genotype subsets (Table 7).

A logistic regression analysis indicated that KRAS status (odds ratio [OR] = 0.11; 95% CI, 0.01–0.93; $P = 0.04$) and skin toxicity (OR = 2.52; 95% CI, 1.09–5.85; $P = 0.03$) were the only independent predictive factors for response.

A multivariate Cox regression model that included baseline characteristics showed that the clinical risk classification (HR: 0.24; 95% CI, 0.08–0.66; $P = 0.006$) and skin toxicity (HR: 0.5; 95% CI, 0.37–0.67; P < 0.0001) were independent risk factors for PFS. Skin rash showed a trend to a better OS (HR: 0.73; 95% CI, 0.53-1; $P = 0.052$). $Fc\gamma RIIa-H131R$ and $Fc\gamma RIIIa-$ V158F polymorphisms were not shown to be independent predictors of PFS and OS.

Discussion

Although KRAS status has been identified as the most relevant molecular marker of non-response to anti-EGFR monoclonal antibodies,^(11–13) not all wild-type patients respond. Furthermore, some mutant patients experience long-term disease control,^(12,14,15) suggesting that *KRAS* mutation is not the only genetic alteration conferring resistance to cetuximab.

In addition to their EGFR antagonist function, cetuximab and possibly panitumumab have a functional fragment C portion with potential therapeutic properties. This fragment C portion can bind to the IgG fragment C receptor ($Fc\gamma R$) which is located on cytotoxic cells (natural killer lymphocytes or macrophages) and allows antitumor activity via ADCC.⁽¹⁶⁾

In an in vitro study, Parren et al. found the first evidence supporting the role of the $Fe\gamma R$ coding genes in the ADCC mecha-

*Fisher's exact test; †log-rank test. CI, confidence interval; CR, complete response; EGFR, epidermal growth factor receptor; F, phenylalanine allele; Fc γ R, fragment c γ receptor; mut, mutated; PFS, progression-free survival; PR, partial response; SD, stable disease; V, valine allele; wt, wild-type.

Table 7. Outcome of patients treated with anti-EGFR-based therapy according to the combination of Fc_YR IIa and Fc_YR IIIa polymorphisms

		H/H and/or V/V		R and F	
Response	No.	$\frac{0}{0}$	No.	$\%$	
CR/PR	8	22	13	21	$0.5*$
SD	13	36	30	48	
PD	15	42	20	32	
Median PFS (months)		5		7	
95% CI		$3.9 - 6.1$		$4.6 - 9.4$	
	R/R and/or F/F		H and V		
Response	No.	$\frac{0}{0}$	No.	$\%$	
CR/PR	13	25	8	17	$0.17*$
SD	25	48	18	38	
PD	14	27	21	45	
Median PFS (months)	7		5		$0.9 +$
95% CI	$5.4 - 8.6$		$3.8 - 6.2$		

*Fisher's exact test; †log-rank test. CI, confidence interval; CR, complete response; EGFR, epidermal growth factor receptor; F, phenylalanine allele; Fc γ R, fragment c γ receptor; PFS progression-free survival PR, partial response; SD, stable disease; V, valine allele.

nism. On studying the $Fc\gamma RIIa$ gene, they showed that the 131H allele had a higher binding efficiency for human IgG2 antibodies than the $131R$ allele.⁽¹⁷⁾

Pharmacogenetic studies have recently reported controversial results regarding the involvement of two genetic polymorphisms (H131R and V158F) located at the extracellular ligand-binding domain of two receptors $(Fc\gamma RIIa$ and $Fc\gamma RIIIa$, respectively) in immune cells. Here we discuss only the pharmacogenetic results obtained in colorectal cancer patients treated with anti EGFR antibodies.

Zhang et al. studied 39 mCRC treated with single-agent cetuximab.⁽⁶⁾ The two mentioned $Fc\gamma R$ gene polymorphisms were associated with clinical outcome. Patients with the $Fc\gamma RIIa-131H$ allele had a longer PFS than patients with the $Fc\gamma RIIa-131R$ allele. Combined analysis of these two polymorphisms showed that patients with the favorable genotypes $CFc\gamma RIIa$, any histidine allele, and $Fc\gamma RIIIa$, any phenylalanine allele) showed a median PFS of 3.7 months (95% CI, 2.4–4.4 months), whereas patients with any two unfavorable genotypes ($Fc\gamma RIIa$ arginine/arginine or valine/valine) had a **PFS** of 1.1 months $(95\% \text{ CI}, 1.0-1.4 \text{ months}; P = 0.04;$ long-rank test). These authors increased the sample to a total of 130 patients, all of whom were part of a phase II open-label multicenter clinical trial (IMC 0144) with cetuximab. This broad study of North American patients analyzed genetic markers in different genes, and the previous associations of the $Fc\gamma RIIa-Fc\gamma RIIIa$ polymorphisms with clinical outcomes could not be replicated.⁽¹⁸⁾

Graziano et al. investigated possible associations between genetic variants in genes that could influence cetuximab-related pathways and clinical outcomes in 110 mCRC European patients. They were treated with cetuximab–irinotecan salvage therapy. No statistically significant associations between the $Fc\gamma RIIa-Fc\gamma RIIIa$ polymorphisms and patients outcome were reported .⁽¹⁹⁾

More recently, other authors evaluated the association of $Fc\gamma RIIa$ and $Fc\gamma RIIIa$ polymorphisms and KRAS mutation with the outcome of irinotecan-refractory mCRC patients $(n = 69)$ treated with cetuximab plus irinotecan. Those patients with $Fc\gamma RIIa-131H/H$ and/or $Fc\gamma RIIIa-158V/V$ had a longer PFS than 131R and 158F carriers (5.5 vs 3.0 months; $P = 0.005$). The difference remained significant for mutated-KRAS patients. Multivariate analysis showed that KRAS mutation and $Fc\gamma R$ combined status were independent risk factors for PFS.⁽¹⁵)

The present study confirmed the prognostic clinical model proposed by Köhne et al. based on four baseline clinical parameters: performance status, level of white blood cell count, alkaline phosphatase, and number of involved tumor sites.⁽⁷⁾ As our results showed a very poor outcome in the high-risk group of patients, the use of a monoclonal antibody treatment should not be considered for this population. Our study also confirmed that the presence and severity of skin rash was associated with improved clinical efficacy, as previously reported by other authors.^{$(1,20,21)$} However, it should be pointed out that skin toxicity cannot be considered a clinically useful baseline feature

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to preselect those patients who would benefit from anti-EGFR therapies.

As reported in previous publications, the presence of a KRAS mutation in tumor DNA was highly associated with a poor response.⁽³⁾ In our series only one out of 22 mutated patients responded, while 19 out of 69 wild-type cases achieved a clinical response. In addition, patients with a wild-type KRAS status had a longer PFS (7 vs 3 months; $P = 0.36$). The low frequency of KRAS mutations in our group of patients was due to the fact that patients were treated with anti-EGFR therapies, without taking KRAS status into consideration until 2008. Since then, only wild-type KRAS patients have been treated with anti EGFR monoclonal antibodies.

In our study, as in those previously reported with a high num-
ber of patients, $^{(18,19)}$ Fc γ R polymorphisms did not show any significant association with response to anti-EGFR therapy, and no significant effect was detected in the PFS in relation with these polymorphisms, whatever the KRAS status.

The present study has its limitations. First, most patients analyzed were treated with chemotherapy plus anti-EGFR monoclonal antibodies, while the ideal scenario to analyze the ADCC mechanism would be to include patients treated with anti-EGFR in monotherapy. Second, like other authors, we examined only two polymorphisms in only two genes involved in the ADCC mechanism. The results regarding the involvement of the $Fc\gamma R$ polymorphisms in the efficacy of anti-EGFR are controversial. Differences in patients' characteristics, study design, therapeutic protocols, and even in the distribution of genotypes in the different patient groups might, in part, explain the discrepancies. The role of ADCC in anti-EGFR efficacy is, therefore, yet to be thoroughly investigated.

To the best of our knowledge, this is the first study to show that the clinical risk EORTC classification is a prognostic marker – independently of the skin toxicity and of the KRAS status – in mCRC patients treated with chemotherapy and monoclonal antibodies. Our findings allow us to conclude that the $Fc\gamma RIIa$ and $Fc\gamma RIIIa$ polymorphisms are not useful as molecular markers for clinical outcome in mCRC patients. Markers of poor prognosis other than KRAS mutation, such as v-raf murine sarcoma viral oncogene homolog B1 (BRAF) mutation, phosphatidylinositol 3-kinase, catalytic, alpha polypeptide (PIK3CA) mutation, and phosphatase and tensin homolog (PTEN) loss, should be investigated as a next step.

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