

# Association between increment of serum VEGF level and prognosis after transcatheter arterial chemoembolization in hepatocellular carcinoma patients

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We prospectively investigated the association between a change of serum vascular endothelial growth factor (VEGF) level after transcatheter arterial chemoembolization (TACE) and hepatocellular carcinoma (HCC) patient prognosis. The study involved 147 patients with unresectable HCC treated at the National Cancer Center, Korea, between July and December 2005. Serum samples were collected from each patient before TACE, and 1–2 days and 1 month after TACE. Serum VEGF concentrations were measured using an enzyme-linked immunosorbent assay (ELISA). The  $\log_e(\text{VEGF}/\text{platelets})$  increased transiently 1–2 days after TACE and declined thereafter. Frequency of previous TACE did not correlate with  $\log_e(\text{VEGF}/\text{platelets})$ . This study found that  $\log_e(\text{VEGF}/\text{platelets})$  1–2 days after TACE, but not  $\log_e(\text{VEGF}/\text{platelets})$  at baseline, was strongly correlated with vascular or nodal invasion and AJCC (American Joint Committee on Cancer)/UICC (International Union Against Cancer) stage, and was significantly greater in men. Relative changes in serum VEGF/platelet levels 1–2 days after TACE ( $\Delta\text{VEGF}$ )  $> 0.5$  were directly correlated with tumor size, vascular invasion and modified UICC and AJCC/UICC stage ( $P < 0.05$  for each). Additionally,  $\Delta\text{VEGF} > 0.5$  was significantly correlated with newly developed extrahepatic metastases one and six months after TACE ( $P = 0.005$  and  $0.003$ , respectively). Progression free survival of patients with  $\Delta\text{VEGF} > 0.5$  was significantly worse ( $P < 0.001$ ) and  $\Delta\text{VEGF} > 0.5$  was an independent prognostic factor for PFS (hazard ratio, 3.111;  $P < 0.001$ ). This study showed that a high increment in serum VEGF level 1–2 days after TACE in HCC patients was associated with distant metastasis and unfavorable outcomes. (*Cancer Sci* 2008; 99: 2037–2044)

Hepatocellular carcinoma (HCC) is a hypervascular tumor and radiologic identification of an arterial enhanced pattern has been included in the non-invasive criteria of HCC diagnosis.<sup>(1,2)</sup> Angiogenesis and the production of angiogenic factors are essential for tumor growth, invasion and metastasis.<sup>(3)</sup> One cause of unfavorable prognosis in HCC patients is the high incidence of early microvascular invasion and the presence of microscopic venous invasion was also predictive of recurrence and survival after surgical resection or liver transplantation.<sup>(4,5)</sup> Therefore, strategies that reduce angiogenesis and vascular invasion may lead to beneficial outcomes for patients with HCC, especially in advanced stage tumors.<sup>(3)</sup>

Vascular endothelial growth factor (VEGF) is a primary driving force for physiological and pathological angiogenesis<sup>(6)</sup> and overexpression of VEGF has been observed in HCC.<sup>(7,8)</sup> The concentration of circulating VEGF was found to correlate with advanced HCC tumor stage, with the highest level observed in patients with metastases<sup>(9)</sup> and also could be a significant predictive

factor of response to treatment and other clinical outcomes of HCC.<sup>(10–12)</sup> VEGF polymorphism might be significant genetic markers for HCC prognosis.<sup>(13)</sup> Therefore, inhibition of VEGF pathway represents a potential therapeutic target in HCC, and several antiangiogenic agents have entered clinical studies in HCC.<sup>(14,15)</sup>

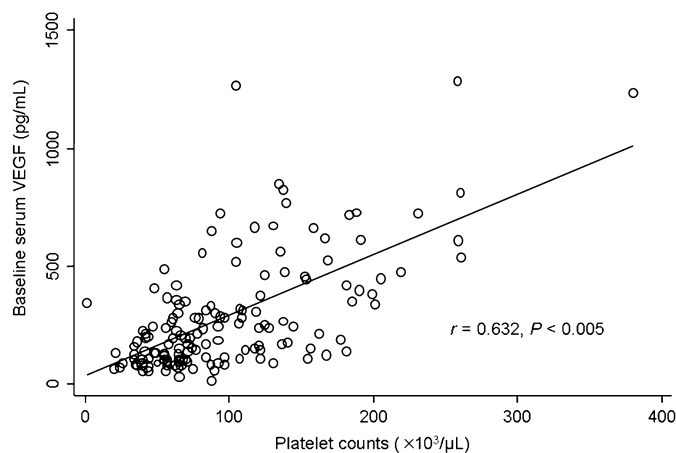
Because most HCC patients are diagnosed at advanced stages in Korea,<sup>(16)</sup> transcatheter arterial chemoembolization (TACE) is considered a key modality for palliative treatment in these HCC patients.<sup>(2)</sup> TACE consists primarily of directly targeted chemotherapy and embolization of arteries feeding the tumors, inevitably resulting in a hypoxic insult to HCC and surrounding liver tissues. Central tumor hypoxia was found to up-regulate proangiogenic growth factors, which are potent mediators of tumor angiogenesis.<sup>(6,17,18)</sup> Therefore, expression of circulating or tissue VEGF was enhanced after TACE in patients or animals with HCC<sup>(19–22)</sup> and there could be some probability of adverse effects of TACE in HCC patients. To date, however, there have been no large, prospective studies on the prognostic significance of TACE-induced change in serum VEGF concentration of HCC patients, which could provide a rationale of the adjuvant therapy of antiangiogenic agents after TACE. This prospective study was designed to investigate the effect of TACE on serum VEGF concentration and, in particular, the clinical and prognostic significance of altered serum VEGF concentration after a single session of TACE in 147 HCC patients.

## Materials and Methods

**Patients.** Between July and December 2005, 147 patients were diagnosed with unresectable or inoperable HCC at the National Cancer Center Hospital (Goyang, South Korea) and indicated for TACE. This study was approved by the Institutional Review Board at our institute. The diagnosis of HCC and the indication of TACE were based on the guidelines of the Korean Liver Cancer Study Group and the National Cancer Center.<sup>(23)</sup> Inclusion criteria included age over 18 years, Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1, adequate liver function (Child–Pugh classification A or B) and adequate renal function (serum Cr  $\leq 1.4$  mg/dL).

Before study entry, written informed consent was obtained from all participants, and each patient underwent baseline laboratory examinations, including liver function tests, creatinine

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**Fig. 1.** Scatter plot showing the correlation between serum vascular endothelial growth factor (VEGF) levels and platelet counts. Baseline serum VEGF levels of the patients significantly correlated with their baseline platelet counts.

level, prothrombin time,  $\alpha$ -fetoprotein ( $\alpha$ -FP) level, complete blood count, and assays for viral hepatitis.

**Sample collection and serum VEGF measurement.** Serum samples were prospectively collected from each patient before TACE (baseline), and 1–2 days and 1 month after TACE. TACE was performed in all patients within 1 week of baseline examinations. Venous blood samples were drawn into a serum separator tube and centrifuged at 1800g for 10 min, and the serum samples were stored at  $-80^{\circ}\text{C}$ . Serum VEGF concentrations were quantitatively measured using an enzyme-linked immunosorbent assay (ELISA) kit (Quantikine Human VEGF Immunoassay; R & D Systems, Minneapolis, MN, USA) according to the manufacturer's instructions.<sup>(13)</sup> The VEGF concentration in each sample was measured in duplicate by an investigator blinded to the clinical information.

Baseline serum VEGF concentrations of the HCC patients significantly correlated with their baseline platelet counts ( $r = 0.632$ ,  $P < 0.005$ ; Fig. 1). Platelets are the main transporters of circulating VEGF, and serum VEGF concentrations showed high correlations with platelet counts.<sup>(24)</sup> Thus, serum VEGF concentrations were corrected for variation in platelet counts, and the VEGF/platelets ratios were logarithmically transformed for parametric statistical analyzes because of skewed distribution. To analyze the data, we used  $\log_e(\text{VEGF/platelets})$  values.

In addition, the relative change in serum VEGF/platelets ratio from baseline until 1–2 days after a single session of TACE ( $\Delta\text{VEGF}$ ) was defined as:

$$\Delta\text{VEGF} = \frac{\text{Serum VEGF/platelets 1-2 days after TACE} - \text{Serum VEGF/platelets at baseline}}{\text{Serum VEGF/platelets at baseline}}$$

**TACE methodology.** Details of the TACE procedure performed in our institution have been described previously.<sup>(25)</sup> Briefly, a solution containing 20–60 mg of doxorubicin hydrochloride and 2–20 mL of iodized oil (lipiodol) with absorbable gelatin sponge particles was infused through the catheter. The dosages of doxorubicin and lipiodol were determined for each patient based on tumor characteristics and underlying liver functions.

**Follow-up assessment.** Tumor characteristics, modified International Union Against Cancer (UICC; Union Internationale Contre le Cancer) TNM stage<sup>(26)</sup> and the American Joint Committee on Cancer (AJCC)/UICC TNM (tumor, node, metastases) stage<sup>(27)</sup> were initially evaluated in all patients by multiphasic spiral computed tomography (CT) scan and dynamic contrast-enhanced magnetic resonance imaging (MRI), if necessary. CT scans

covered the area from the hilum of the lung to the whole pelvis. Follow-up after TACE generally included monthly laboratory monitoring, including liver function tests and  $\alpha$ -FP level, chest X-ray and CT scan; patients showing a complete remission were evaluated every 2–3 months.

Therapeutic effect of TACE was assessed according to the pattern of lipiodol retention in the target tumors that could reflect tumor necrosis.<sup>(28)</sup> As assessed by CT scan, lipiodol uptake was considered compact if the oily contrast medium was distinctly visible and well scattered throughout all viable target tumors, and was considered non-compact in all other cases.<sup>(29)</sup> Intrahepatic tumor status was evaluated in terms of the pattern of lipiodol retention and the tumor extent. Tumor improvement was defined as a compact uptake of lipiodol in viable tumors, or a  $\geq 30\%$  decrease in the sum of the longest diameter of viable tumors despite non-compact lipiodol uptake; tumor aggravation was defined as a  $\geq 20\%$  increase in the sum of the longest diameter of viable tumors without compact lipiodol uptake, or the appearance of new tumors regardless of lipiodol labeling; tumor stabilization was defined as intermediate between tumor improvement and aggravation.

New nodal invasion was defined as the appearance of enlarged nodes in patients without preexisting nodal invasion; all others were regarded as 'stable' nodal invasion. New extrahepatic metastasis was defined as a metastasis at sites other than preexisting sites, or de novo development in patients without preexisting metastasis; all others were regarded as 'stable' extrahepatic metastasis.

Following TACE, patients were treated individually according to the guidelines of the Korean Liver Cancer Study Group and the National Cancer Center.<sup>(23)</sup> In particular, repetition of TACE was tailored to tumor response and liver tolerance. TACE was repeated every 1–2 months on demand if a viable but responsive tumor was observed.

**Statistical analysis.** The data were analyzed using STATA software v9.1 (StataCorp LP, College Station, TX, USA). Correlation between serum VEGF level and platelet count was assessed by Pearson's correlation coefficient. The  $\chi^2$  test or Fisher's exact test, if indicated, was used to assess categorical variables. In addition, Student's *t*-test or ANOVA test, and Wilcoxon rank-sum test or Kruskal–Wallis test were used to assess continuous variables. All data for continuous variables were expressed as the means  $\pm$  standard deviations. The Kaplan–Meier method was used to estimate progression free survival (PFS) and overall survival (OS) curves, and survival curves were compared using the log-rank test. Prognostic relevance of each variable to PFS was analyzed using the Cox proportional hazards models. All variables with a *P*-value  $< 0.2$  in univariate analysis were subjected to multivariate analysis to assess their values as independent predictors of PFS. *P*-values  $< 0.05$  were considered statistically significant for all comparisons.

## Results

**Patient characteristics.** The median age of the enrolled patients was 60 years (range, 40–76 years) and approximately 80% were men (Table 1). HCC etiology was related to hepatitis B in 105 patients (71.4%), hepatitis C in 16 (10.9%), and other causes in 26 (17.7%). The majority of patients had tolerable hepatic function, and over half of patients had tumors  $\leq 5$  cm in size and  $\leq 2$  tumors. According to modified UICC staging system, 15 patients (10.2%) had stage I, 52 (35.4%) had stage II, 55 (37.4%) had stage III, 14 (9.5%) had stage IVa and 11 (7.5%) had stage IVb tumors, whereas according to AJCC/UICC staging system, 54 patients (36.7%) had stage I, 45 (30.6%) had stage II, 35 (23.8%) had stage IIIA, 4 (2.7%) had stage IIIC and 9 (6.2%) had stage IV tumors. One hundred and seven patients (72.8%) previously underwent one or more sessions of TACE.

**Table 1. Baseline characteristics of 147 patients with hepatocellular carcinoma (HCC)**

	Characteristics	n = 147 <sup>†</sup>
Age (years) <sup>‡</sup>	<60	68 (46.3)
	≥60	79 (53.7)
Sex	Male	118 (80.3)
	Female	29 (19.7)
Etiology	HBV	105 (71.4)
	HCV	16 (10.9)
	Alcohol	15 (10.2)
	Others	11 (7.5)
Child–Pugh class	A	92 (62.6)
	B	55 (37.4)
Serum α-FP (ng/mL) <sup>§</sup>	<400	108 (73.5)
	≥400	39 (26.5)
Tumor size (cm)	≤2	31 (21.1)
	2–5	62 (42.2)
	5–10	36 (24.5)
	>10	18 (12.2)
Tumor number	1	63 (42.9)
	2	27 (18.4)
	3–4	22 (14.9)
	≥5	35 (23.8)
	Well-defined	83 (56.5)
Tumor type	Poorly defined	64 (43.5)
	Yes	23 (15.6)
Portal vein invasion	No	124 (84.4)
	Yes	2 (1.4)
Hepatic vein invasion	No	145 (98.6)
	Yes	24 (16.3)
Vascular invasion <sup>¶</sup>	No	123 (83.7)
	I	15 (10.2)
Modified UICC stage	II	52 (35.4)
	III	55 (37.4)
	IVa	14 (9.5)
	IVb	11 (7.5)
	I	54 (36.7)
AJCC/UICC stage	II	45 (30.6)
	IIIA	35 (23.8)
	IIIB	0 (0.0)
	IIIC	4 (2.7)
	IV	9 (6.2)
Number of prior TACE	None	40 (27.2)
	1–2	50 (34.1)
	3–4	32 (21.7)
	≥5	25 (17.0)
Laboratory parameters	Platelet count (10 <sup>3</sup> /μL)	84 (40–380)
	Albumin (g/dL)	3.8 (2.7–4.9)
	Total bilirubin (mg/dL)	1.0 (0.3–2.5)
	AST (IU/L)	47 (19–191)
	ALT (IU/L)	33.5 (8–151)
	PT (INR)	1.17 (0.84–1.55)

HBV indicates hepatitis B virus; HCV, hepatitis C virus; α-FP, α-fetoprotein; UICC, International Union Against Cancer; AJCC, American Joint Committee on Cancer; AST, aspartate aminotransferase; ALT, alanine aminotransferase; IU, international unit; PT, prothrombin time; INR, international normalized ratio.

<sup>†</sup>Values in the column are represented as number of patients (%) or median (range).

<sup>‡</sup>Range, 40–76 years (median, 60 years).

<sup>§</sup>Range, 2.1–120,551.0 ng/mL (median, 25.2 ng/mL).

<sup>¶</sup>Vascular invasion was defined as positive when either portal vein or hepatic vein invasion was present, which was radiologically observed.

**Serum VEGF/platelets level before and after TACE.** We found that serum VEGF levels were significantly higher 1–2 days after TACE than at baseline ( $289.62 \pm 243.08$  pg/mL *versus*  $326.26 \pm 271.11$  pg/mL,  $P < 0.001$ ). One month after TACE, serum VEGF levels declined to baseline levels ( $317.31 \pm 292.92$  pg/mL,  $P = \text{NS}$  compared with 1–2 days after TACE; Fig. 2a), significantly lower than on days 1–2 ( $P = 0.024$ ; Fig. 3). On the contrary, platelet counts were significantly elevated 1–2 days after TACE ( $94.0 \pm 52.4 \times 10^3/\mu\text{L}$ ) compared with baseline ( $99.5 \pm 59.8 \times 10^3/\mu\text{L}$ ,  $P = 0.005$ ) and then platelet counts were thoroughly recovered 1 month after TACE ( $107.3 \pm 60.0 \times 10^3/\mu\text{L}$ ,  $P < 0.001$ ), significantly greater than on days 1–2 ( $P = 0.001$ ; Fig. 2b).

In addition,  $\log_e(\text{VEGF/platelets})$  values were significantly higher 1–2 days after TACE than at baseline ( $1.088 \pm 0.612$  *versus*  $0.940 \pm 0.748$ ,  $P < 0.001$ ). Thereafter,  $\log_e(\text{VEGF/platelets})$  fell to baseline levels on 1 month of TACE ( $0.899 \pm 0.672$ ,  $P = \text{NS}$  compared with baseline), significantly lower than on days 1–2 ( $P < 0.001$ ; Fig. 3).

The number of prior TACE sessions was not significantly associated with  $\log_e(\text{VEGF/platelets})$ , either at baseline (Fig. 4a) or 1–2 days after TACE (Fig. 4b).

**Association between serum VEGF level and HCC characteristics.** Table 2 shows the association between serum VEGF levels before and after TACE and various clinical parameters. Serum VEGF levels at baseline were significantly greater in patients with Child–Pugh class A ( $P = 0.008$ ), and serum VEGF levels 1–2 days after TACE were significantly greater in men ( $P = 0.019$ ) and patients with Child–Pugh class A ( $P < 0.001$ ) or vascular invasion ( $P = 0.015$ ) and also, both of them had a significant correlation with tumor size ( $P = 0.007$  and  $P = 0.008$ , respectively) and tumor number ( $P = 0.010$  and  $P = 0.004$ , respectively).

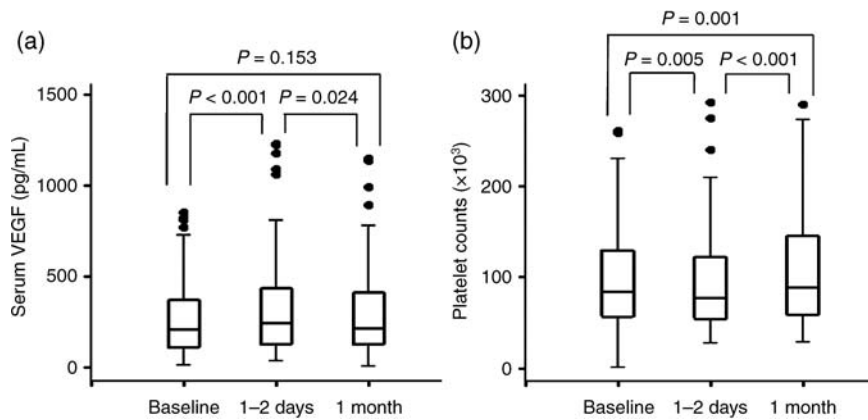
**Association between serum VEGF/platelets level and HCC characteristics.** At baseline,  $\log_e(\text{VEGF/platelets})$  did not correlate significantly with any characteristics of patients. In contrast,  $\log_e(\text{VEGF/platelets})$  1–2 days after TACE showed a significant direct correlation with vascular ( $P = 0.005$ ) or nodal ( $P = 0.008$ ) invasion and AJCC/UICC stage ( $P = 0.044$ ), and was significantly greater in men than in women ( $P = 0.034$ ). The pattern of lipiodol retention was not significantly associated with  $\log_e(\text{VEGF/platelets})$  either at baseline or 1–2 days after TACE (Table 3).

**Change in serum VEGF/platelets level after TACE and association with HCC characteristics.** We arbitrarily defined a cutoff of  $\Delta\text{VEGF} > 0.5$ ; i.e. a 50% increase in serum VEGF/platelets level from baseline, and we assessed the association between  $\Delta\text{VEGF} > 0.5$  and various clinical variables (Table 3). Of the 147 enrolled patients, 33 (22.4%) showed a  $\Delta\text{VEGF} > 0.5$ . There were significant correlations between  $\Delta\text{VEGF} > 0.5$  and tumor size ( $P = 0.04$ ), vascular invasion ( $P = 0.005$ ), and modified UICC ( $P = 0.009$ ) and AJCC/UICC stage ( $P = 0.025$ ).

#### Changes in serum VEGF/platelets level after TACE (ΔVEGF) and tumor responsiveness.

**One month follow-up.** All patients underwent regular follow-up 1 month after TACE and surveillance for tumor responsiveness by CT scan at least every 2–3 months (Table 4). The median duration of follow-up was 23.2 months (range, 1.5–25.5 months). At a median 1.1 months (range, 0.6–2.1 months) after TACE,  $\Delta\text{VEGF} > 0.5$  was not significantly associated with either intrahepatic tumor status or nodal invasion. However,  $\Delta\text{VEGF} > 0.5$  was observed in 20.1% (28/139) of patients with stable extrahepatic metastases and in 62.5% (5/8) of those with new extrahepatic metastases, indicating a significant correlation between  $\Delta\text{VEGF} > 0.5$  and newly developed extrahepatic metastases ( $P = 0.005$ ).

**Six month follow-up.** We obtained follow-up data from 126 of the 147 enrolled patients, at a median 6.1 months (range, 4.8–7.9 months) after TACE (Table 4). Four of the 21 patients who were not included in the 6-month evaluation had died, and an



**Fig. 2.** Box plots showing the comparison of serum vascular endothelial growth factor (VEGF) levels and platelet counts before and after transcatheter arterial chemoembolization (TACE). Serum VEGF levels were significantly higher 1–2 days after TACE than at baseline. One month after TACE, serum VEGF levels declined to baseline levels. Instead, platelet counts increased significantly 1–2 days after TACE ( $94.0 \pm 52.4 \times 10^3/\mu\text{L}$ ) compared to baseline ( $99.5 \pm 59.8 \times 10^3/\mu\text{L}$ ), although they were recovered 1 month after TACE ( $107.3 \pm 60.0 \times 10^3/\mu\text{L}$ ) beyond expectation. *P*-value was calculated using Wilcoxon rank-sum test.

**Table 2.** Association between serum vascular endothelial growth factor (VEGF) levels and clinical parameters

Characteristics	Serum VEGF (pg/mL)				
	Baseline <sup>†</sup>	<i>P</i> -value*	Day 1–2 after TACE*	<i>P</i> -value*	
Age (years)	<60	312.71 ± 292.67	0.287	351.34 ± 324.79	0.300
	≥60	269.75 ± 190.16		304.68 ± 214.35	
Sex	Male	306.94 ± 253.65	0.081	346.51 ± 277.62	0.068
	Female	219.17 ± 181.23		243.87 ± 228.97	
Etiology	HBV	290.58 ± 248.34	0.940	326.77 ± 280.37	0.971
	Others	287.24 ± 232.30		324.99 ± 249.68	
Child–Pugh class	A	325.59 ± 253.73	0.020	368.40 ± 267.96	0.014
	B	229.46 ± 213.02		255.78 ± 263.89	
Serum α-FP (ng/mL)	<400	272.78 ± 222.11	0.148	305.21 ± 244.81	0.105
	≥400	339.68 ± 294.66		388.84 ± 333.54	
Tumor size (cm)	≤2	252.31 ± 203.83	<0.001	260.63 ± 200.84	<0.001
	2–5	272.83 ± 206.39		306.76 ± 241.61	
	5–10	233.98 ± 178.94		290.77 ± 223.41	
	>10	523.01 ± 384.15		577.44 ± 411.25	
Tumor number	1	310.65 ± 252.73	0.004	334.00 ± 268.54	0.002
	2	178.33 ± 127.60		220.27 ± 155.98	
	3–4	217.76 ± 146.82		233.47 ± 152.60	
	≥5	382.80 ± 297.59		452.43 ± 346.61	
Tumor type	Well-defined	298.89 ± 232.51	0.600	335.99 ± 264.04	0.622
	Poorly defined	277.60 ± 257.50		313.65 ± 281.60	
Vascular invasion	Yes	392.32 ± 338.57	0.023	483.25 ± 301.42	0.002
	No	269.58 ± 215.92		295.63 ± 233.97	
Lymph node invasion	Yes	438.70 ± 232.99	0.125	480.19 ± 309.82	0.156
	No	283.28 ± 421.45		319.71 ± 268.63	
Extrahepatic metastasis	Yes	439.78 ± 473.02	0.056	461.30 ± 254.62	0.123
	No	279.83 ± 219.83		317.45 ± 457.46	
Modified UICC stage	I	267.24 ± 218.36	0.352	266.50 ± 214.38	0.182
	II	304.41 ± 218.00		332.75 ± 253.50	
	III	253.72 ± 228.22		286.40 ± 247.86	
	IVa	301.90 ± 221.43		416.87 ± 323.41	
	IVb	414.14 ± 426.99		461.08 ± 409.28	
AJCC/UICC stage	I	300.80 ± 222.79	0.186	328.10 ± 254.31	0.286
	II	236.55 ± 181.64		267.23 ± 222.13	
	IIIA	309.10 ± 263.73		363.24 ± 298.42	
	IIIC	227.38 ± 90.49		338.21 ± 142.32	
	IV	439.78 ± 473.02		461.30 ± 457.46	
Lipiodol uptake	Compact	237.28 ± 171.18	0.239	260.97 ± 209.74	0.187
	Noncompact	300.35 ± 253.47		339.64 ± 280.89	

HBV indicates hepatitis B virus; α-FP, α-fetoprotein; UICC, International Union Against Cancer; AJCC, American Joint Committee on Cancer.

\**P*-values for each clinical variable relative to serum VEGF calculated using Wilcoxon rank-sum test or Kruskal-Wallis test.

<sup>†</sup>Values in the columns are represented as means ± standard deviations.

**Table 3. Association between log<sub>e</sub>(vascular endothelial growth factor [VEGF]/platelets) and ΔVEGF > 0.5 and clinical parameters**

Characteristics		Log <sub>e</sub> (VEGF/platelets)			ΔVEGF > 0.5		
		Baseline <sup>†</sup>	P-value*	Day 1–2 after TACE <sup>†</sup>	P-value*	n = 147 <sup>†</sup>	P-value**
Age (years)	<60	0.957 ± 0.875	0.796	1.065 ± 0.649	0.676	15/68 (22.1)	0.916
	≥60	0.928 ± 0.623		1.107 ± 0.581		18/79 (22.8)	
Sex	Male	0.980 ± 0.771	0.186	1.140 ± 0.588	0.034	29/118 (24.6)	0.159
	Female	0.775 ± 0.631		0.872 ± 0.670		4/29 (13.8)	
Etiology	HBV	1.003 ± 0.762	0.101	1.122 ± 0.636	0.280	22/105 (21.0)	0.492
	Others	0.780 ± 0.694		1.001 ± 0.543		11/42 (26.2)	
Child–Pugh class	A	0.919 ± 0.773	0.674	1.094 ± 0.560	0.878	21/92 (22.8)	0.887
	B	0.973 ± 0.709		1.078 ± 0.695		12/55 (21.8)	
Serum α-FP (ng/mL)	<400	1.079 ± 0.890	0.176	1.214 ± 0.562	0.132	22/108 (20.4)	0.315
	≥400	0.890 ± 0.687		1.042 ± 0.625		11/39 (28.2)	
Tumor size (cm)	≤2	0.903 ± 0.833	0.151	1.037 ± 0.658	0.117	2/31 (6.5)	0.040
	2–5	0.908 ± 0.577		0.997 ± 0.586		13/62 (21.0)	
	5–10	0.839 ± 0.631		1.144 ± 0.540		12/36 (33.3)	
	>10	1.312 ± 1.178		1.376 ± 0.698		6/18 (33.3)	
Tumor number	1	1.018 ± 0.713	0.070	1.135 ± 0.637	0.093	11/63 (17.5)	0.583
	2	0.612 ± 0.676		0.837 ± 0.522		6/27 (22.2)	
	3–4	0.907 ± 0.498		1.063 ± 0.409		6/22 (27.3)	
	≥5	1.071 ± 0.925		1.211 ± 0.697		10/35 (28.6)	
Tumor type	Well-defined	0.855 ± 0.638	0.118	1.034 ± 0.569	0.225	19/83 (22.9)	0.884
	Poorly defined	1.049 ± 0.862		1.158 ± 0.660		14/64 (21.9)	
Vascular invasion	Yes	1.191 ± 0.677	0.072	1.026 ± 0.603	0.005	11/24 (45.8)	0.005
	No	0.891 ± 1.020		1.402 ± 0.569		22/123 (17.9)	
Lymph node invasion	Yes	1.308 ± 0.612	0.218	1.737 ± 0.520	0.008	2/6 (33.3)	0.514
	No	0.924 ± 0.751		1.060 ± 0.601		31/141 (22.0)	
Extrahepatic metastasis	Yes	0.943 ± 0.960	0.988	1.216 ± 0.670	0.519	2/9 (22.2)	0.987
	No	0.939 ± 0.736		1.079 ± 0.609		31/138 (23.7)	
Modified UICC stage	I	1.063 ± 0.975	0.633	1.163 ± 0.738	0.215	1/15 (6.7)	0.009
	II	0.930 ± 0.579		1.047 ± 0.579		8/52 (15.4)	
	III	0.848 ± 0.621		0.993 ± 0.588		13/55 (23.6)	
	IVa	1.169 ± 1.285		1.341 ± 0.589		8/14 (57.1)	
	IVb	0.985 ± 0.865		1.324 ± 0.672		3/11 (27.3)	
AJCC/UICC stage	I	0.966 ± 0.705	0.642	1.098 ± 0.626	0.044	9/54 (16.7)	0.025
	II	0.804 ± 0.608		0.895 ± 0.579		6/45 (13.3)	
	IIIA	1.058 ± 0.940		1.224 ± 0.575		14/35 (40.0)	
	IIIC	1.063 ± 0.236		1.625 ± 0.477		2/4 (50.0)	
	IV	0.943 ± 0.960		1.216 ± 0.670		2/9 (22.2)	
Lipiodol uptake	Compact	0.938 ± 0.602	0.991	0.940 ± 0.670	0.186	4/25 (16.0)	0.597
	Noncompact	0.940 ± 0.070		1.118 ± 0.597		28/122 (23.0)	

HBV indicates hepatitis B virus; α-FP, α-fetoprotein; UICC, International Union Against Cancer; AJCC, American Joint Committee on Cancer.

<sup>†</sup>Values in the columns are represented as means ± standard deviations. <sup>‡</sup>Values in the column are represented as ratio (%). \*P-values for each clinical variable relative to log<sub>e</sub>(VEGF/platelet) calculated using Student's *t*-test or ANOVA test. \*\*P-values for each clinical variable relative to ΔVEGF > 0.5 calculated using  $\chi^2$  test or Fisher's exact test.

**Table 4. Effect of ΔVEGF (vascular endothelial growth factor) >0.5 on hepatocellular carcinoma (HCC) status after transcatheter arterial chemoembolization (TACE)**

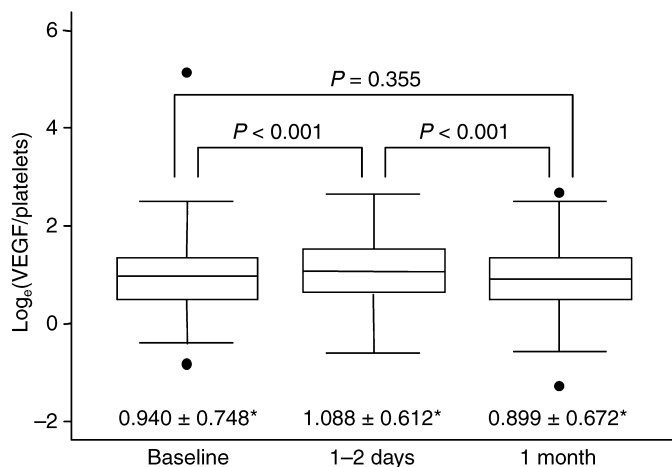
Characteristics	1 month (n = 147)			6 months (n = 126)			12 months (n = 95)			
	ΔVEGF > 0.5	%	P-value*	ΔVEGF > 0.5	%	P-value*	ΔVEGF > 0.5	%	P-value*	
Intrahepatic tumor status			0.178			0.246			0.920	
	Improved	9/59		15.3	10/60		13.4	8/41		19.5
	Stable	8/34		23.5	12/43		27.9	5/24		20.8
Aggravated	16/54	29.6		3/23	13.4	5/30	16.7			
Lymph node invasion			0.099			0.396			0.518	
	Stable	30/141		21.3	23/120		19.2	17/92		18.5
New <sup>‡</sup>	3/6	50.0		2/6	33.3	1/3	33.3			
Extrahepatic metastasis			0.005			0.003			0.627	
	Stable	28/139		20.1	19/115		16.5	17/87		19.5
	New <sup>‡</sup>	5/8		62.5	6/11		54.5	1/8		12.5

HCC indicates hepatocellular carcinoma; TACE, transcatheter arterial chemoembolization.

\*P-values for each clinical variable relative to ΔVEGF > 0.5 calculated using  $\chi^2$  test or Fisher's exact test.

<sup>†</sup>Development of nodal invasion in patients without prior enlarged nodes.

<sup>‡</sup>Development of de novo distant metastases regardless of the presence or progression of prior metastases.



**Fig. 3.** Box plots showing the comparison of  $\log_e$ (vascular endothelial growth factor [VEGF]/platelets) before and after transcatheter arterial chemoembolization (TACE).  $\log_e$ (VEGF/platelets) values were significantly higher 1–2 days after TACE than at baseline. One month after TACE,  $\log_e$ (VEGF/platelets) fell to baseline levels, significantly lower than on days 1–2. *P*-value was calculated using Student's *t*-test. (\*means  $\pm$  standard deviations)

objective response could not be determined in the others. In agreement with the results observed at 1 month,  $\Delta$ VEGF > 0.5 at 6 months was not significantly correlated with either intrahepatic tumor status or nodal invasion. However,  $\Delta$ VEGF > 0.5 was observed in 16.5% (19/115) of patients with stable extrahepatic

metastases and in 54.5% (6/11) of those with new extrahepatic metastases, indicating a significant correlation between  $\Delta$ VEGF > 0.5 and newly developed extrahepatic metastases at 6 months (*P* = 0.003).

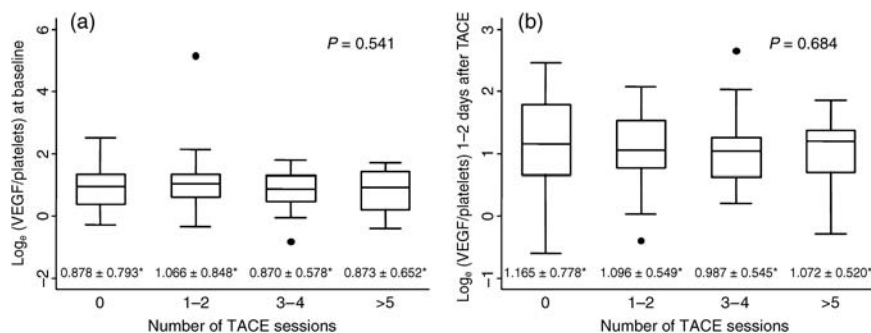
**One year follow-up.** Of the 126 patients evaluated 6 months after TACE, 95 were evaluated at a median 12.1 months (range, 10.7–14.6 months) after TACE (Table 4). At this follow-up,  $\Delta$ VEGF > 0.5 was not significantly correlated with the development of new extrahepatic metastases.

**Changes in serum VEGF/platelets level and survival.** We assessed PFS and OS using the Kaplan–Meier method (Fig. 5a,b). Through 4.7 months after TACE, PFS was significantly higher in patients with  $\Delta$ VEGF  $\leq$  0.5 than in those with  $\Delta$ VEGF > 0.5 (*P* < 0.001). OS was also higher in patients with  $\Delta$ VEGF  $\leq$  0.5 than in those with  $\Delta$ VEGF > 0.5 through the entire follow-up period, but this difference was not statistically significant (*P* = 0.054).

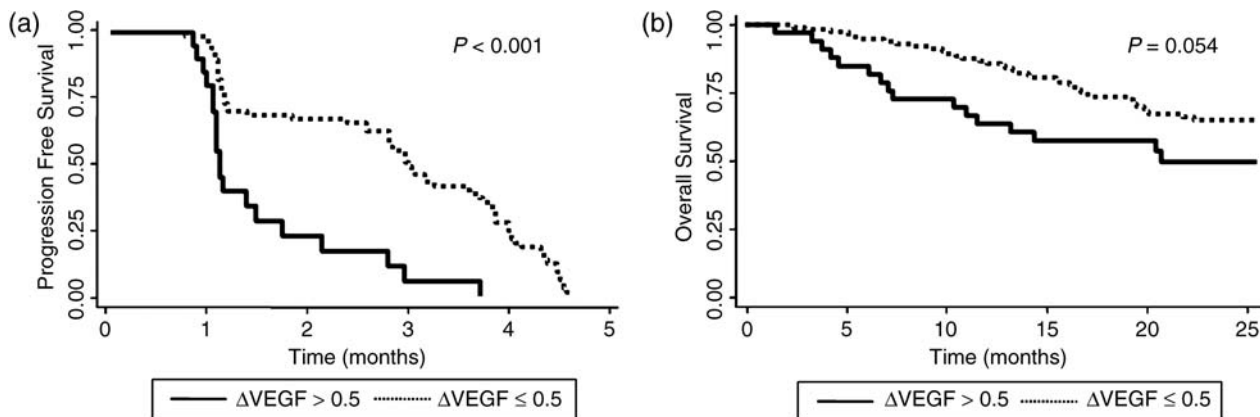
In univariate analysis, tumor number and  $\Delta$ VEGF had a statistically significant influence on PFS through 4.7 months after TACE (Table 5). In multivariate analysis, both  $\Delta$ VEGF and tumor type were the variables significantly related PFS through 4.7 months after TACE.  $\Delta$ VEGF > 0.5 increased the risk of progression by approximately 3-fold (hazard ratio, 3.111; 95% confidence interval, 1.680–5.762; *P* < 0.001; Table 5).

## Discussion

Most patients with unresectable or inoperable HCC are treated by various palliative therapies, including TACE,<sup>(2)</sup> which is thought to lead to tumor necrosis through the promotion of a hypoxic condition. Ischemic injury after TACE has been found to induce the up-regulation of circulating VEGF in patients with



**Fig. 4.** Box plots showing the effect of previous number of after transcatheter arterial chemoembolization (TACE) sessions on  $\log_e$ (vascular endothelial growth factor [VEGF]/platelets) (a) at baseline and (b) 1–2 days after TACE. The number of prior TACE sessions had no effect on  $\log_e$ (VEGF/platelets), either at baseline or 1–2 days after TACE. *P*-values were calculated using ANOVA test. (\*means  $\pm$  standard deviations)



**Fig. 5.** Relation of progression free survival (PFS) and overall survival (OS) with  $\Delta$ VEGF (vascular endothelial growth factor)\*. Kaplan–Meier survival plots dichotomized by  $\Delta$ VEGF  $\leq$  0.5 or > 0.5. (a) Through 4.7 months after transcatheter arterial chemoembolization (TACE), PFS was significantly higher in patients with  $\Delta$ VEGF  $\leq$  0.5 than in those with  $\Delta$ VEGF > 0.5; (b) OS was higher in patients with  $\Delta$ VEGF  $\leq$  0.5 than in those with  $\Delta$ VEGF > 0.5 through the entire follow-up period, but this difference was not statistically significant. *P*-values were calculated using the log-rank test.

$$*\Delta\text{VEGF} = \frac{\text{Serum VEGF/platelets 1-2 days after TACE} - \text{Serum VEGF/platelets at baseline}}{\text{Serum VEGF/platelets at baseline}}$$

**Table 5. Cox survival analysis of predictors for progression free survival (PFS) through 4.7 months after transcatheter arterial chemoembolization (TACE)**

Variable	Univariate			Multivariate		
	HR	95% CI	P-value*	HR	95% CI	P-value*
Age, ≥60 years	0.996	0.973–1.021	0.763	NE		
Sex, male	1.237	0.733–2.088	0.426	NE		
Etiology, HBV	0.980	0.606–1.587	0.936	NE		
Child–Pugh class, B	1.106	0.711–1.719	0.655	NE		
Serum α-FP, ≥400 ng/mL	1.350	0.813–2.242	0.246	NE		
Tumor size, 2–5 cm	0.980	0.506–1.898	0.953	1.550	0.790–3.043	0.203
Tumor size, 5–10 cm	1.167	0.578–2.355	0.666	1.564	0.738–3.318	0.243
Tumor size, >10 cm	1.914	0.812–4.513	0.138	1.632	0.533–4.998	0.391
Tumor number, 2	1.261	0.686–2.318	0.455	1.139	0.594–2.184	0.694
Tumor number, 3–4	1.006	0.561–1.806	0.983	1.085	0.574–2.052	0.801
Tumor number, ≥5	2.074	1.170–3.679	0.013	1.849	0.946–3.614	0.072
Tumor type, poorly defined	1.526	0.976–2.387	0.064	1.672	1.033–2.705	0.036
Vascular invasion, present	1.279	0.740–2.208	0.379	NE		
Lymph node invasion, present	2.177	0.773–6.130	0.141	1.250	0.422–3.700	0.687
Extrahepatic metastasis, present	1.655	0.791–3.463	0.181	1.294	0.478–3.506	0.612
ΔVEGF, >5	3.059	1.731–5.405	<0.001	3.111	1.680–5.762	<0.001
Lipiodol uptake, non-compact	1.786	0.853–3.737	0.124	1.709	0.823–3.546	0.150

PFS indicates progression free survival; TACE, transcatheter arterial chemoembolization; HR, hazard ratio; CI, confidence interval; HBV, hepatitis B virus; α-FP, α-fetoprotein; NE, not evaluated.

\*P-values generated from the Cox proportional hazards model.

HCC, reaching a peak value 1–7 days after TACE.<sup>(19–22)</sup> We also found that serum VEGF concentrations increased transiently 1–2 days after TACE, but then declined to baseline levels, 1 month after TACE, suggesting that the effect of TACE on serum VEGF concentration nearly disappeared 1 month after TACE. Instead, platelet counts decreased temporarily 1–2 days after TACE, although they increased gradually up to 1 month of TACE, which might be due to TACE-related coagulopathy with thrombocytopenia<sup>(30)</sup> and myelosuppression induced by chemotherapeutics during TACE. In addition, this study showed that the number of prior TACE sessions had no effect on serum VEGF level, either at baseline or 1–2 days after TACE.

Platelets are the major physiological transporters of VEGF in blood, and serum VEGF concentrations highly correlated with platelet counts.<sup>(24)</sup> Moreover, the platelet load of VEGF in patients with malignancy correlated quantitatively with tumor expression of VEGF.<sup>(31)</sup> We observed a strong positive correlation between serum VEGF concentration and platelet count. Therefore, we used  $\log_e(\text{serum VEGF/platelet count})$  as a standardized measure of circulating VEGF and as an indirect estimate of tumor VEGF expression.

Previous studies have shown a significant correlation between pre-TACE level of circulating VEGF or VEGF up-regulation after TACE and HCC characteristics, including tumor size, vascular invasion and metastasis.<sup>(12,19,21)</sup> In the present study, serum VEGF level before and after TACE also correlated positively with tumor size and tumor number, and/or vascular invasion, although not with nodal and remote metastases. However,  $\log_e(\text{VEGF/platelets})$  values at baseline were not associated with any clinical parameters, although  $\log_e(\text{VEGF/platelets})$  values 1–2 days after TACE were significantly correlated with vascular or nodal invasion and tumor stage. It might be probably because the scales of  $\log_e(\text{VEGF/platelets})$  values at baseline were too small for their correlations with clinical variables to reach the statistical significance, compared with serum VEGF levels themselves, but instead,  $\log_e(\text{VEGF/platelets})$  values 1–2 days after TACE all increased by TACE-related hypoxia enough for them to reach the statistical significance.

A recent study reported a correlation between TACE-induced changes of angiogenic factors and overall survival.<sup>(32)</sup> Our

prospective study also found that a >50% increase in serum VEGF concentration relative to baseline (ΔVEGF) was related to the advent of extrahepatic metastases up to 6 months after TACE (Table 4), although the best predictive cut-off level should be defined through further research with a larger size cohort. Moreover, ΔVEGF may have the advantage of standardization, since absolute level of circulating VEGF varies widely. However, ΔVEGF > 0.5 did not had a pivotal role in *de novo* development of extrahepatic metastases at 1-year assessment points, which might be indubitably because, of the 147 enrolled patients, 52 patients who mostly had aggressive tumors had been dead, or were lost to follow-up at that time, and more predominantly, the repetition of subsequent TACE sessions could confound the effect of ΔVEGF > 0.5 on distant tumor spread. Previous studies suggested that basal level of circulating VEGF might be related to current distant metastases.<sup>(9,22)</sup> Further, we have shown clearly that the temporary overproduction of VEGF caused by a single session of TACE was related with future distant metastases, primarily in the lungs and bones. Therefore, this study suggested a rationale of additional treatment of antiangiogenesis to TACE in some cases of HCC. The findings presented here are the first to show that transiently increased serum VEGF level following a single session of TACE had a strong influence on PFS as an independent prognostic factor, even for a short period of time (Fig. 5a; Table 5). After multivariate analysis, both ΔVEGF and tumor type were statistically significant predictors for PFS through 4.7 months (Table 5). Although ΔVEGF did not lead to a significant difference in survival rate ( $P = 0.054$ ; Fig. 5b), a larger size of patients seems likely to lead to a positive relationship. In fact, it may be difficult to determine if ΔVEGF > 0.5 is significantly associated with OS, because the mortality of HCC patients is subject to many other factors, including underlying liver function and cirrhosis complications. Nevertheless, ΔVEGF > 0.5 may be a prognostic indicator, especially relevant to distant metastasis. We showed that although serum VEGF/platelets level at baseline showed no significant positive correlation with various parameters, serum VEGF/platelets level 1–2 days after TACE was significantly higher in patients with vascular or nodal invasion, especially in men (Table 3). These findings suggest that HCC and/or surrounding liver reacting

more sensitively to TACE-induced hypoxia may have a tendency to invade more aggressively.

Our study could suggest that measuring the difference between pre- and post-TACE concentrations of serum VEGF may be useful in selecting HCC patients with a predicted high risk of tumor progression after TACE, especially relevant to remote metastasis. These patients may benefit from strategies that inhibit VEGF signaling concurrently with TACE to prevent tumor spread. Recently, molecularly targeted agents that act directly on the VEGF signaling pathway have been approved for clinical use, with bevacizumab, a monoclonal antibody targeting

VEGF<sup>(33)</sup> and sorafenib, a multikinase inhibitor targeting VEGFR-2/-3,<sup>(14,34)</sup> being approved for patients with HCC. Better clinical outcomes may be accomplished by using anti-VEGF agents in the adjuvant setting after TACE,<sup>(14)</sup> especially in patients with advanced HCC or those with significant incremental increases of serum VEGF concentrations.

In conclusion, our results provide evidence that the transient up-regulation of VEGF expression after a single session of TACE was associated with extrahepatic metastases and PFS in HCC patients. This study may provide a rationale for use of VEGF antagonists in a select group of advanced HCC patients undergoing TACE.

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