

# Antitumor effects of combined granulocyte macrophage colony stimulating factor and macrophage inflammatory protein-3 alpha plasmid DNA

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(Received December 21, 2009/Revised July 5, 2010/Accepted July 26, 2010/Accepted manuscript online August 4, 2010/Article first published online August 27, 2010)

Dendritic cells (DC) are critical for priming adaptive immune responses to foreign antigens. However, the feasibility of harnessing these cells *in vivo* to optimize the antitumor effects has not been fully explored. The authors investigated a novel therapeutic approach that involves delivering synergistic signals that both recruit and expand DC populations at sites of intratumoral injection. More specifically, the authors examined whether the co-administration of plasmids encoding the chemokine macrophage inflammatory protein-3 alpha (pMIP3 $\alpha$ ) and plasmid encoding the granulocyte macrophage colony stimulating factor (pGM-CSF; a DC-specific growth factor) can recruit, expand and activate large numbers of DC at sites of intratumoral injection. It was found that the administration of pGM-CSF and pMIP3 $\alpha$  resulted in dramatic recruitment and expansion of DC at these sites and in draining lymph nodes. Furthermore, treatment with pGM-CSF and pMIP3 $\alpha$  generated the strongest MUC1-associated CD8+ T-cell immune responses in draining lymph nodes and in tumors, produced the greatest antitumor effects and enhanced survival rates more than pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone. It was also found that pGM-CSF plus pMIP3 $\alpha$  generated the strongest MUC1-associated CD4+ T-cell immune responses in draining lymph nodes and in tumors. The findings of the present study suggest that the recruitment and activation of DC *in vivo* due to the synergistic actions of pGM-CSF and pMIP3 $\alpha$  presents a potentially feasible means of controlling immunogenic malignancies and provides a basis for the development of novel immunotherapeutic treatments. (*Cancer Sci* 2010; 101: 2341–2350)

Several studies have demonstrated the trafficking of dendritic cells (DC) from sites of antigen (Ag) capture to draining lymphoid organs.<sup>(1–4)</sup> Immature DC capture tumor Ag, are activated, and then undergo extensive phenotypic and functional transformations under the influence of inflammatory stimuli. Mature DC subsequently migrate to T-cell-rich areas, such as the lymph nodes where they can present Ag to naive T cells and generate tumor-specific immune responses.<sup>(1,5–9)</sup> The DC also control the type of immunity by activating CD4+ T-helper cells to release T-helper type-1 (Th1) or Th2 cytokines.<sup>(10)</sup> Thus, the recruitment of DC to tumor sites provides a rational strategy for the induction of antitumor immune responses.

A variety of strategies have been devised to use DC to stimulate immunity against tumor Ag, based on this understanding of the central role played by DC in the initiation of immune responses. The majority of these strategies rely on the activation and maturation of DC *ex vivo* and their subsequent reinfusion to tumor-bearing recipients after being pulsed with tumor Ag expressed as peptides, protein or nucleic acids.<sup>(11)</sup> However, the

*ex vivo* manipulation of DC is time consuming, costly, requires the use of numerous cytokines and exposes patients to infection. To avoid the manipulation of DC *ex vivo*, we investigated an approach based on the expanding, loading and activation of DC *in vivo*.

Immature DC express CCR6, the only known receptor of macrophage inflammatory protein-3 alpha (MIP-3 $\alpha$ ) (CCL20),<sup>(12,13)</sup> and MIP-3 $\alpha$  triggers adaptive immunity by attracting immature DC to sites of inflammation. After antigen uptake, immature DC lose their responsiveness to MIP-3 $\alpha$ , strongly express CCR7, and are differentiated into mature DC. The mature DC migrate to lymph nodes in response to MIP-3 $\beta$  (CCL19), a ligand of CCR7.<sup>(14)</sup> Granulocyte macrophage colony stimulating factor (GM-CSF) is one of the most potent, specific, long-lasting inducers of antitumor systemic immunity,<sup>(15,16)</sup> and mediates its effect by stimulating the differentiation and activation of antigen-presenting cells (APC), such as dendritic cells and macrophages.<sup>(17,18)</sup> The APC in turn, process and present tumor antigens to helper T cells and CTL cells, thus augmenting the immune response.<sup>(19,20)</sup> Furthermore, GM-CSF is particularly effective at generating systemic immunity against a number of poorly immunogenic tumors.<sup>(21–23)</sup> Accordingly, we considered that these signals might exert synergistic effects. However, plasmid MIP-3 alpha (pMIP3 $\alpha$ ) (DC-specific chemotactic factor) and plasmid GM-CSF (pGM-CSF) (DC-growth factor) combinations have not been previously investigated in an established MUC1 expressing–murine thymoma cell line.

In the present study, we attempted to increase the uptake of tumor Ag by DC *in vivo* by directing the circulating DC. To do so, we used MIP-3 $\alpha$  chemokine, a potent chemoattractant for a subset of DC in both humans and mice.<sup>(24,25)</sup> This technique allowed us to determine if DC numbers at tumor sites critically determines the induction of antitumor immunity. We also used GM-CSF (a growth factor) to activate tumoral DC and to evaluate the effects of the maturational states of these cells on the development of antitumor immunity. By co-administering plasmid GM-CSF (pGM-CSF) and plasmid MIP-3 $\alpha$  (pMIP3 $\alpha$ ), we sought to explore the biology and the immune targets of each of these molecules *in vivo*.

In this study, we found that pGM-CSF plus pMIP3 $\alpha$  administration resulted in dramatic recruitment and expansion of DC at tumor sites and draining lymph nodes, and that it generated more MUC1-associated CD8+ T-cell immune responses in draining lymph nodes and in tumors, had a greater antitumor effect, and enhanced survival rates more than the other treatment

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regimens examined (pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone). We also found that pGM-CSF plus pMIP3 $\alpha$  generated greater MUC1-associated CD4<sup>+</sup> T-cell immune responses in draining lymph nodes and in tumors. Thus, our data suggest that co-treatment with pGM-CSF and pMIP3 $\alpha$  offers a potentially feasible means of controlling MUC1-associated malignancies. The clinical implications of this treatment are discussed.

## Materials and Methods

**Mice.** Specific pathogen-free 6-week-old female C56BL/6 mice were obtained from SLC Inc. (Hamamatsu, Japan). All experimental animals were housed under specific pathogen-free conditions and handled in accordance with the guidelines issued by the Seoul National University Animal Research Committee.

**Murine tumor cell line expressing hMUC1.** The human pancreatic mucin-1, hMUC1 (accession no. J05582), gene was cloned into the BamHI site of retroviral vector pLXIN (Clontech, Palo Alto, CA, USA). Packing cell line PA317 was transfected with the pLXIN-muc1 construct using Lipofectamine (Life Technologies, Carlsbad, CA, USA) and grown in 10% FBS-supplemented DMEM containing G418. The most productive clone, as determined by RT-PCR, was chosen and used to transduce the EL4 murine thymoma cell line, which is of the H-2<sup>b</sup> MHC type. Transduced EL4 cells were cultured in 1 mg/mL G418-containing DMEM supplemented with 10% FBS to establish stable cell lines expressing hMUC1, which were designated EL4/MUC1.

**Generation of complementary DNA constructs and plasmid preparation.** cDNA encoding GM-CSF or MIP3 $\alpha$  were obtained by RT-PCR from inflamed mouse skin and cloned into the pcDNA3.1 expression vector (Invitrogen, Carlsbad, CA, USA), which contains a CMV promoter. Plasmid DNA harboring GM-CSF, MIP3 $\alpha$  and the empty plasmid vector were introduced into *Escherichia coli* DH5 $\alpha$  competent cells. The DNA was prepared using endotoxin-free Giga Prep columns (Qiagen, Chatsworth, CA, USA). The DNA was dissolved in endotoxin-free buffer for storage.

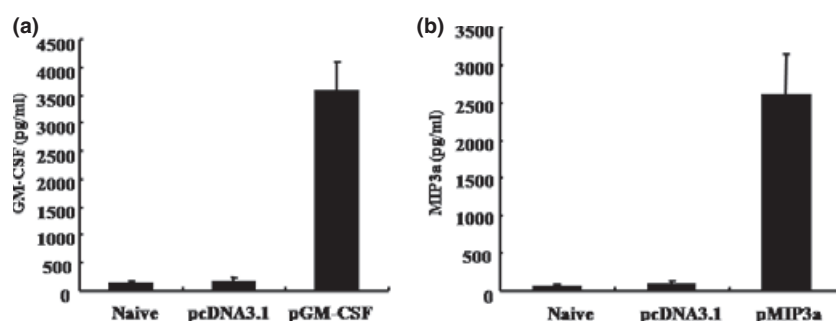
**Expression of pGM-CSF and pMIP3 $\alpha$  vector *in vitro*.** EL4/MUC1 tumor cells ( $1 \times 10^5$ ) were transiently transfected with pcDNA3.1 (0.4  $\mu$ g/ $\mu$ L), pGM-CSF (0.4  $\mu$ g/ $\mu$ L) and pMIP3 $\alpha$  (0.4  $\mu$ g/ $\mu$ L) vector using Lipofectamine reagents (Invitrogen). Two days after transfection, media supernatant samples were obtained. The presence of GM-CSF or MIP3 $\alpha$  was detected by ELISA (Endogen, Cambridge, MA, USA). Serial dilutions of known concentrations of purified recombinant mouse GM-CSF or MIP3 $\alpha$  were used to produce standard curves.

**Expressions of pGM-CSF and pMIP3 $\alpha$  vector *in vivo*.** EL4/MUC1 tumor cells ( $5 \times 10^4$  cells) were administered subcutaneously to C57BL/6 mice. After 10 days, tumors were injected intratumorally with pcDNA3.1 (100  $\mu$ g/100  $\mu$ L), pGM-CSF (100  $\mu$ g/100  $\mu$ L) and pMIP3 $\alpha$  (100  $\mu$ g/100  $\mu$ L) vector. Tumors were excised 3 days after these intratumoral injections. Total RNA of the tumor was extracted using Trizol reagents (Gibco BRL, Paisley, UK), and RT-PCR products were generated from the mRNA template using pairs of primers specific for GM-CSF or MIP3 $\alpha$ .  $\beta$ -actin was amplified using  $\beta$ -actin-specific primers as an RNA quality control. In addition, treated tumors were homogenized and treated with a protein inhibitor cocktail (Sigma, St Louis, MO, USA). Homogenates were centrifuged in a high-speed microcentrifuge for 10 min and analyzed for total protein contents using a bicinchoninic acid (BCA) protein assay reagent kit (Bio-Rad, Hercules, CA, USA). Levels of GM-CSF and MIP3 $\alpha$  in supernatants were determined by ELISA (Endogen). Cytokine results were normalized *versus* total protein concentrations for each tumor, and results are expressed as picograms per milligram of total protein.

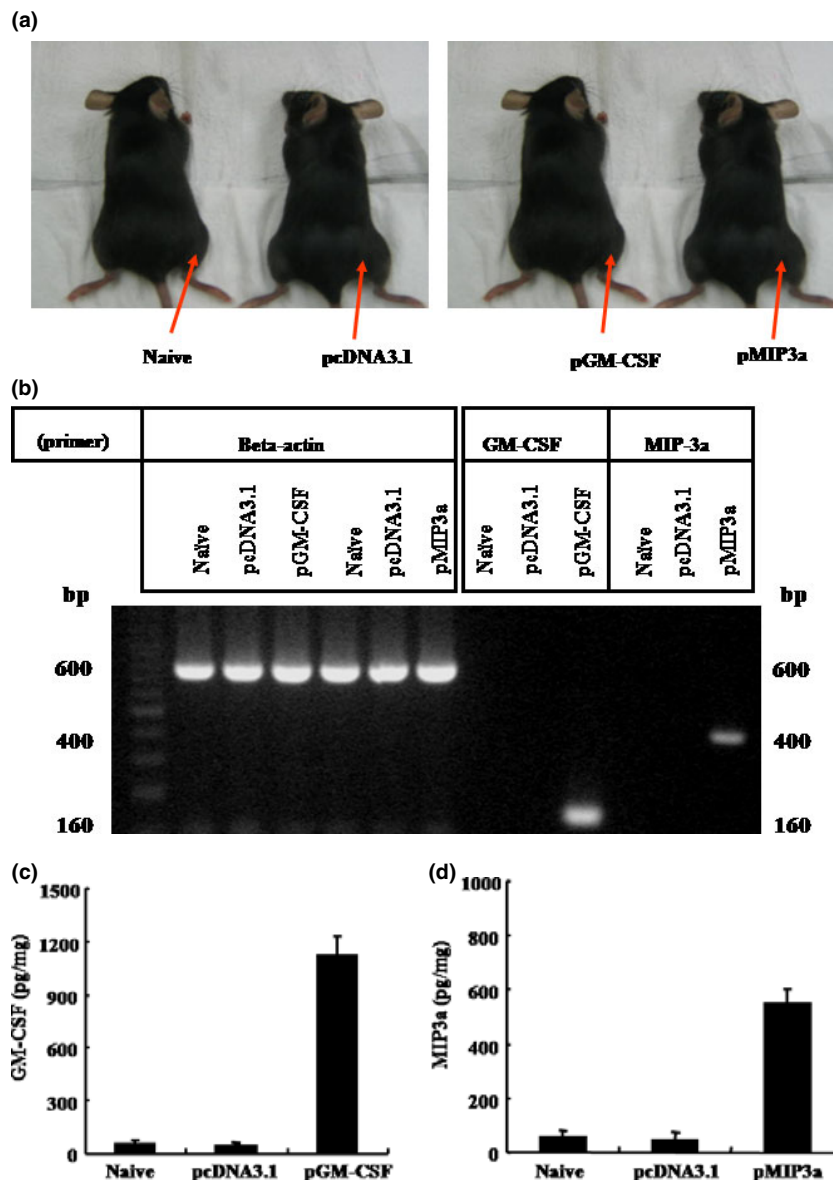
**Phenotype marker analysis.** To determine the levels of CD4 and CD8 surface molecule on EL4/MUC1, these cells were grown in 75-cm<sup>2</sup> flasks and incubated for 48 h at 37°C in DMEM supplemented with 10% FBS. These cells were stained with FITC-conjugated isotype antibody, FITC-conjugated anti-CD4 antibody (BD Pharmingen, Piscataway, NJ, USA) or FITC-conjugated anti-CD8 antibody (BD Pharmingen). Flow cytometric analysis was performed using Becton-Dickson FACScan CELLQuest software (Becton Dickinson Immunocytometry System, San Jose, CA, USA). Additional supporting information may be found in online version of this article.

## Results

***In vitro* expressions of pGM-CSF and pMIP3 $\alpha$ .** The *in vitro* transient expressions of GM-CSF and MIP3 $\alpha$  from pGM-CSF and pMIP3 $\alpha$  were determined in transfected EL4/MUC1 tumor cells by ELISA. Observed GM-CSF expression levels were  $123 \pm 55$ ,  $155 \pm 75$  and  $3568 \pm 523$  pg/mL in naive, pcDNA3.1 and pGM-CSF transfected cells, respectively. ELISA assays showed that GM-CSF protein levels in pGM-CSF transfected EL4/MUC1 tumor cells were 29 times higher than in their pcDNA3.1 transfected counterparts (Fig. 1a). Observed pMIP3 $\alpha$  expression levels were  $55 \pm 36$ ,  $75 \pm 57$ , and  $2578 \pm 553$  pg/mL in naive, pcDNA3.1 and pMIP3 $\alpha$  transfected cells, respectively. These results showed that MIP3 $\alpha$  protein levels in pMIP3 $\alpha$  transfected EL4/MUC1 tumor cells were 46 times higher than in their pcDNA3.1 transfected counterparts (Fig. 1b).



**Fig. 1.** Expression of plasmid granulocyte macrophage colony stimulating factor (pGM-CSF) and plasmid macrophage inflammatory protein-3 alpha (pMIP3 $\alpha$ ) vectors *in vitro*. EL4/MUC1 tumor cells were transiently transfected with the pcDNA3.1 (0.4  $\mu$ g/ $\mu$ L), pGM-CSF (0.4  $\mu$ g/ $\mu$ L) and pMIP3 $\alpha$  (0.4  $\mu$ g/ $\mu$ L) vector using the Lipofectamine 2000 (Invitrogen). (a,b) The expression of pGM-CSF and pMIP3 $\alpha$  were determined by ELISA. Cell supernatants of EL4/MUC1 tumor cells transfected with pGM-CSF or pMIP3 $\alpha$  were evaluated after 2 days. GM-CSF and MIP3 $\alpha$  were detected by ELISA after serially diluting supernatants. A representative result of three experiments is shown. Columns contain mean values. Bars are means  $\pm$  SD.



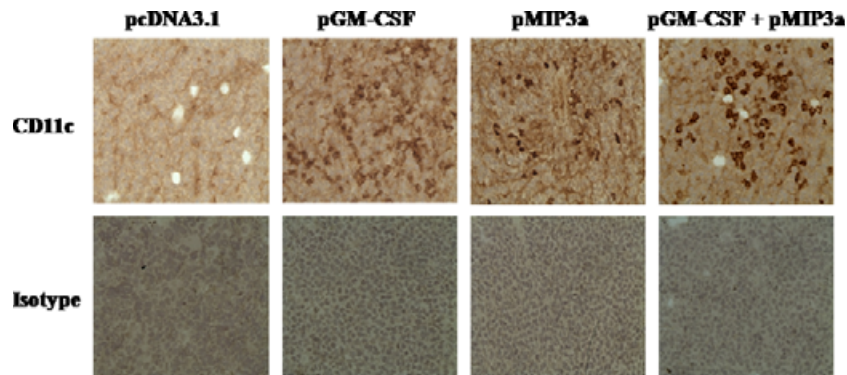
**Fig. 2.** Expression of plasmid granulocyte macrophage colony stimulating factor (pGM-CSF) and plasmid macrophage inflammatory protein-3 alpha (pMIP3 $\alpha$ ) vectors *in vivo*. EL4/MUC1 tumor cells ( $5 \times 10^4$ ) were administered subcutaneously to C57BL/6 mice. After 10 days, tumors were injected with various vectors (pcDNA3.1, pGM-CSF and pMIP3 $\alpha$ ). (a) A diagram of various vector injection sites of the tumor mass. Arrows indicate the injection sites. (b) RT-PCR analysis. Tumors were excised 3 days after injecting pcDNA3.1, pGM-CSF and pMIP3 $\alpha$ . Total RNA was extracted using Trizol reagents. RT-PCR products were generated from an mRNA template with a pair of primers specific for GM-CSF or MIP3 $\alpha$ .  $\beta$ -actin was amplified using a  $\beta$ -actin-specific primer as a RNA quality control. (c,d) ELISA analysis. Tumors were excised 3 days after injecting pcDNA3.1, pGM-CSF and pMIP3 $\alpha$ . GM-CSF and MIP3 $\alpha$  expression was quantified in tumor homogenates by ELISA. A representative result of three experiments is shown. Columns contain mean values. Bars are means  $\pm$  SD.

***In vivo* expressions of pGM-CSF and pMIP3 $\alpha$ .** Figure 2a shows a diagram of various vector injection sites of the tumor mass. Tumors were excised 3 days after intratumoral injection and intratumoral cytokines were determined by RT-PCR (Fig. 2b) and ELISA (Fig. 2c,d), as described in Materials and Methods. GM-CSF and MIP3 $\alpha$  mRNA were detected only in EL4/MUC1 tumors injected with pGM-CSF and pMIP3 $\alpha$ , respectively (Fig. 2b). Figure 2c,d shows the mean SD levels of GM-CSF and MIP3 $\alpha$  in picograms per milligram of total protein. The observed GM-CSF expression levels were  $62 \pm 17$ ,  $55 \pm 18$  and  $1050 \pm 155$  pg/mg in naive, pcDNA3.1 and pGM-CSF transfected tumor cells, respectively. ELISA assays showed that GM-CSF protein levels in pGM-CSF transfected EL4/MUC1 tumor cells were 17 times higher than in their pcDNA3.1 transfected counterparts (Fig. 2c). Furthermore, pMIP3 $\alpha$  expression levels were  $56 \pm 23$ ,  $48 \pm 24$  and  $546 \pm 55$  pg/mg in naive, pcDNA3.1 and pMIP3 $\alpha$  transfected tumor cells, respectively. These result showed that MIP3 $\alpha$  protein levels in pMIP3 $\alpha$  transfected EL4/MUC1 tumor cells were 11 times higher than in their pcDNA3.1 transfected counterparts (Fig. 2d).

***In vivo* functions of pGM-CSF plus pMIP3 $\alpha$  in tumors.** To quantify DC accumulations in tumors, EL4/MUC1 tumors were

examined with anti-CD11c antibody for the presence of DC at 7 days after the final administration of pcDNA3.1, pGM-CSF alone, pMIP3 $\alpha$  alone and pGM-CSF plus pMIP3 $\alpha$ . As shown in Figure 3, we analyzed the immunohistochemistry by counting the stained cells. Staining of CD11c $+$ -expressing cells was graded on a 0 ~ 4 scale (0, no positive staining/  $\times 200$ ; 1,  $<10$  stained cells/  $\times 200$ ; 2, 11~30 stained cells/  $\times 200$ ; 3, 31~50 stained cells/  $\times 200$ ; 4,  $>50$  stained cells/  $\times 200$ ). Treatment with pGM-CSF plus pMIP3 $\alpha$  recruited higher numbers of tumor infiltrating CD11c $+$  DC than in the other three groups (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ,  $0.57 \pm 0.53$  scale,  $1.86 \pm 0.38$  scale,  $2.00 \pm 0.58$  scale, and  $3.57 \pm 0.53$  scale, respectively). pGM-CSF alone ( $1.86 \pm 0.38$  scale) or pMIP3 $\alpha$  alone ( $2.00 \pm 0.58$  scale) recruited limited number levels of CD11c $+$  DC (Table 1). Furthermore, pMIP3 $\alpha$  alone did not increase CD11c $+$  DC infiltrates more than pGM-CSF alone (Fig. 3, Table 1).

**Strong increase in tumor-infiltrating DC cell numbers by pGM-CSF plus pMIP3 $\alpha$ .** The levels of activated CD11c $+$ MHCII $+$ , CD11c $+$ CD40 $+$  and CD11c $+$ CD86 $+$  DC in EL4/MUC1 tumors were measured in pcDNA3.1, pGM-CSF alone, pMIP3 $\alpha$  alone, and pGM-CSF plus pMIP3 $\alpha$  transfected tumor cells (Fig. 4). As



**Fig. 3.** Immunohistochemistry of tumor sections injected with various vectors (pcDNA3.1, plasmid granulocyte macrophage colony stimulating factor [pGM-CSF], plasmid macrophage inflammatory protein-3 alpha [pMIP3 $\alpha$ ], and pGM-CSF plus pMIP3 $\alpha$ ). EL4/MUC1 tumor cells ( $5 \times 10^4$ ) were administered s.c. to C57BL/6 mice. The various vectors, namely pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ , were injected intratumorally into the EL4/MUC1 tumors on days 10, 12 and 14 after tumor cell inoculation. Frozen tumor sections were stained with anti-CD11c antibody or isotype IgG as a control (magnification,  $\times 200$ ). No staining was observed using isotype-matched control antibodies. The result shown is representative of two experiments.

**Table 1.** Immunohistochemical analysis of tumor mass from C57BL/6 mice at day 7 after co-injection with pGM-CSF and pMIP3 $\alpha$

Plasmid injected	CD11c (SD)
pcDNA3.1	0.57 (0.53)
pGM-CSF	1.86 (0.38)
pMIP3 $\alpha$	2.00 (0.58)
pGM-CSF+ pMIP3 $\alpha$	3.57 (0.53)

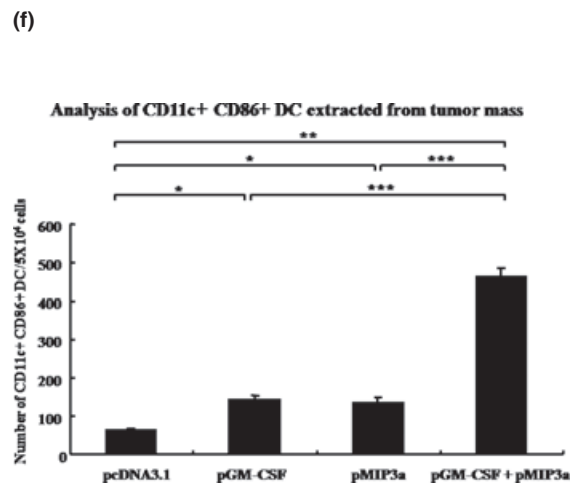
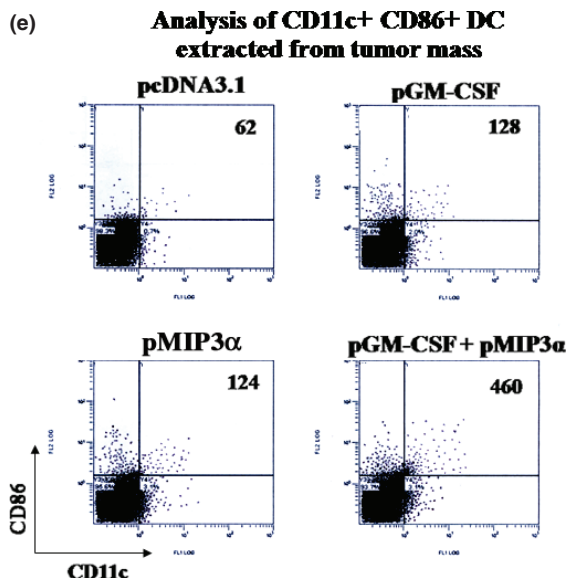
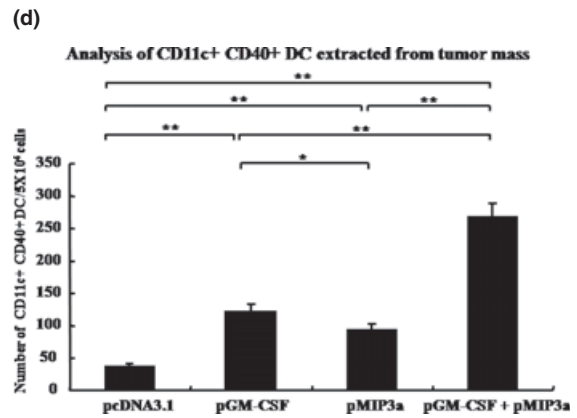
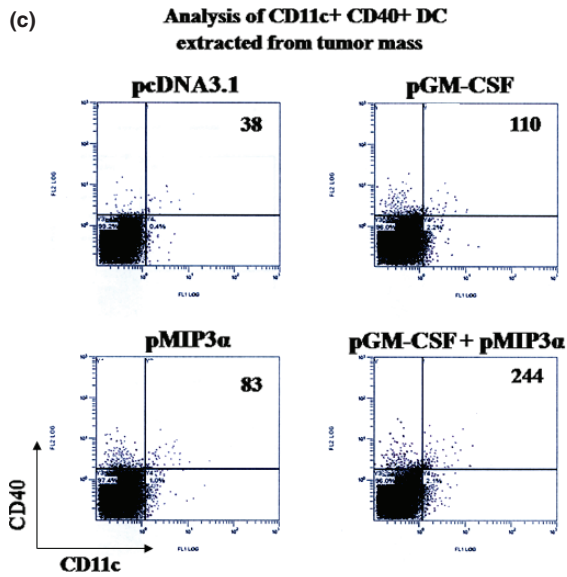
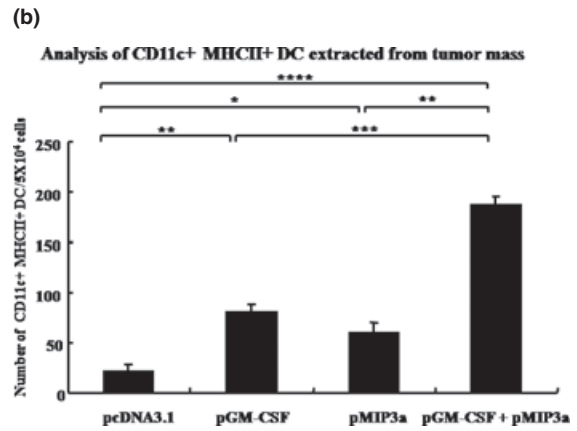
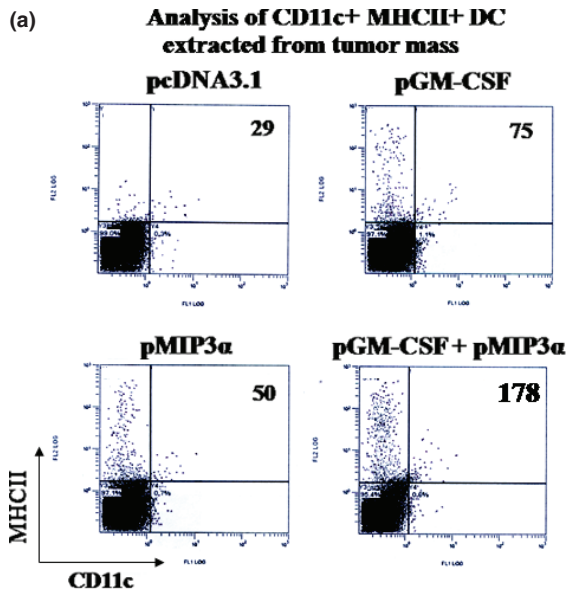
Immunohistochemistry of sections of tumors injected with the various vectors (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ). The various vectors, namely pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$  were injected intratumorally into EL4/MUC1 tumors on days 10, 12 and 14 after tumor cell inoculation. Frozen tumor sections were stained with anti-CD11c Ab or isotype IgG as a control (magnification,  $\times 200$ ). Staining of CD11c-expressing cells was graded on a 0 ~ 4 scale: 0, no positive staining/ $\times 200$ ; 1, <9 stained cells/ $\times 200$ ; 2, 11~30 stained cells/ $\times 200$ ; 3, <30-50 stained cells/ $\times 200$ ; 4, 31~50 stained cells/ $\times 200$ . Results are given as an average of eight tumor masses). pGM-CSF, plasmid granulocyte macrophage colony stimulating factor; pMIP3 $\alpha$ , plasmid macrophage inflammatory protein-3 alpha; SD, standard deviation.

shown in Figure 4a,b, higher numbers of CD11c+MHCII+ cells were found in mice treated with pGM-CSF plus pMIP3 $\alpha$  than in the other treated groups (pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone). Furthermore, pGM-CSF plus pMIP3 $\alpha$  generated a significantly greater increase in CD11c+MHCII+ cell numbers ( $174 \pm 18/5 \times 10^4$  tumor cells) than pcDNA3.1 ( $25 \pm 5/5 \times 10^4$  tumor cells,  $P < 0.00005$ ), which represents a 7.1-fold increase in the number of CD11c+MHCII+ cells. Injections of pGM-CSF alone ( $P < 0.0005$  vs pcDNA3.1 or  $P < 0.0001$  vs pGM-CSF plus pMIP3 $\alpha$ ) and of pMIP3 $\alpha$  alone ( $P < 0.05$  vs pcDNA3.1 or  $P < 0.0005$  vs pGM-CSF plus pMIP3 $\alpha$ ) also enhanced CD11c+MHCII+ cell numbers but to a lesser extent ( $70 \pm 7/5 \times 10^4$  tumor cells and  $45 \pm 8/5 \times 10^4$  tumor cells, respectively). As shown in Figure 4c,d,a higher number of CD11c+CD40+ cells were observed in mice treated with pGM-

CSF plus pMIP3 $\alpha$  than in the other treated groups (pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone). Furthermore, pGM-CSF plus pMIP3 $\alpha$  generated a significantly greater number of CD11c+CD40+ cells ( $231 \pm 32/5 \times 10^4$  tumor cells) than pcDNA3.1 ( $32 \pm 6/5 \times 10^4$  tumor cells,  $P < 0.005$ ), which represents a 7.2-fold increase. Injections with pGM-CSF alone ( $P < 0.005$  vs pcDNA3.1 or  $P < 0.005$  vs pGM-CSF plus pMIP3 $\alpha$ ) or pMIP3 $\alpha$  alone ( $P < 0.005$  vs pcDNA3.1 or  $P < 0.005$  vs pGM-CSF plus pMIP3 $\alpha$ ) still enhanced CD11c+CD40+ cell numbers but to a lesser extent ( $105 \pm 10/5 \times 10^4$  tumor cells and  $80 \pm 12/5 \times 10^4$  tumor cells, respectively). Figure 4e,f shows higher numbers of CD11c+CD86+ cells were found in mice treated with pGM-CSF plus pMIP3 $\alpha$  than in the other treated groups (pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone). Furthermore, pGM-CSF plus pMIP3 $\alpha$  generated a significantly greater number of CD11c+CD86+ cells ( $452 \pm 28/5 \times 10^4$  tumor cells) than pcDNA3.1 ( $55 \pm 5/5 \times 10^4$  tumor cells,  $P < 0.001$ ), which represents an 8.2-fold increase. Injections of pGM-CSF alone ( $P < 0.005$  vs pcDNA3.1 or  $P < 0.0005$  vs pGM-CSF plus pMIP3 $\alpha$ ) and pMIP3 $\alpha$  alone ( $P < 0.005$  vs pcDNA3.1 or  $P < 0.0005$  vs pGM-CSF plus pMIP3 $\alpha$ ) enhanced CD11c+CD86+ cells numbers but to a lesser extent ( $120 \pm 13/5 \times 10^4$  tumor cells and  $120 \pm 15/5 \times 10^4$  tumor cells, respectively), which is consistent with our immunohistochemical results. These data show that pGM-CSF plus pMIP3 $\alpha$  exerted synergistic effects that substantially exceeded the sums of their individual effects.

**Numbers of CD8+ IFN- $\gamma$ -expressing T cells generated by MUC1-loaded dendritic cells.** We analyzed the effect of generation of CD8+ T cells on the function of tumor-infiltrating DC. To determine whether GM-CSF plus MIP-3 $\alpha$  therapy increased hMUC-1 antigen presentation in tumor mass, various vectors (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ) were injected into EL4/MUC1 tumors on days 10, 12 and 14 after tumor inoculation. As shown in Supporting Information Figure S4a,b, enriched CD11c+ cells obtained from the tumor mass of animals administered the pGM-CSF plus pMIP3 $\alpha$  most effectively generated CD8+ IFN- $\gamma$ -expressing T

**Fig. 4.** Analysis of dendritic cells (DC) extracted from tumors. (a) Representative set of flow cytometry data. (b) Columns contain mean numbers of CD11c+MHCII+ DC per  $5 \times 10^4$  tumor cells. \* $P < 0.05$ . \*\* $P < 0.0005$ . \*\*\* $P < 0.0001$ . \*\*\*\* $P < 0.00005$ . (c) Representative flow cytometry data. (d) Columns contain mean numbers of CD11c+CD40+ DC per  $5 \times 10^4$  cells. \* $P < 0.05$ . \*\* $P < 0.005$ . (e) Representative flow cytometry data. (f) Columns contain mean numbers of CD11c+CD86+ DC per  $5 \times 10^4$  cells. \* $P < 0.005$ . \*\* $P < 0.001$ . \*\*\* $P < 0.0005$ . A representative result of three experiments is shown. Bars are means  $\pm$  SD. Five mice were examined per group. pGM-CSF, plasmid granulocyte macrophage colony stimulating factor; pMIP3 $\alpha$ , plasmid macrophage inflammatory protein-3 alpha.



cells (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ,  $25 \pm 7$ ,  $1610 \pm 85$ ,  $1130 \pm 102$ , and  $2850 \pm 310$ , respectively). In fact, a >110-fold increase in the number of IFN- $\gamma$ -secreting MUC1-associated CD8+ T cells was found in mice administered the pGM-CSF plus pMIP3 $\alpha$  therapy compared with mice administered pcDNA3.1 ( $2850 \pm 310$  vs  $25 \pm 7$ ). These results show that tumor-bearing mice treated with the pGM-CSF plus pMIP3 $\alpha$  therapy generated higher levels of antigen-loaded dendritic cells in the tumor mass, which are able to activate hMUC1-associated CD8+ T cell immune responses.

**Strong increase of DC cell numbers in draining lymph nodes by pGM-CSF plus pMIP3 $\alpha$ .** We also observed an increased number of CD11c+MHCII+ cells (Supporting Information Figure S2a,b), CD11c+CD40+ cells (Supporting Information Fig. S2c,d) and CD11c+CD86+ cells (Supporting Information Fig. S2e,f) in draining lymph nodes after injecting pGM-CSF alone, pMIP3 $\alpha$  alone, and pGM-CSF plus pMIP3 $\alpha$ . The pGM-CSF plus pMIP3 $\alpha$  resulted in a robust increase in the number of activated DC cells compared with single therapy with pGM-CSF alone or pMIP3 $\alpha$  alone. pGM-CSF alone or pMIP3 $\alpha$  alone also increased in the number of activated DC cells more than pcDNA3.1, but these increases were less than that of pGM-CSF plus pMIP3 $\alpha$ .

**Strong increase in tumor-infiltrating CD4+ IFN- $\gamma$ + T cells and CD8+ IFN- $\gamma$ + T cell numbers by pGM-CSF plus pMIP3 $\alpha$ .** As shown in Figure 5a,b, greater numbers of MUC1-associated IFN- $\gamma$ -secreting CD4+ T cells were observed in mice treated with pGM-CSF plus pMIP3 $\alpha$  than in the other treated groups (pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone). pGM-CSF plus pMIP3 $\alpha$  generated a significantly greater increase in MUC1-associated IFN- $\gamma$ -secreting CD4+ T cell numbers ( $315 \pm 22/5 \times 10^4$  tumor cells) than pcDNA3.1 ( $38 \pm 12/5 \times 10^4$  tumor cells,  $P < 0.00005$ ), which represents an 8.2-fold increase (Fig. 5a,b). Injections of pGM-CSF alone ( $P < 0.0005$  vs pcDNA3.1 or  $P < 0.001$  vs pGM-CSF plus pMIP3 $\alpha$ ) and of pMIP3 $\alpha$  alone ( $P < 0.0005$  vs pcDNA3.1 or  $P < 0.001$  vs pGM-CSF plus pMIP3 $\alpha$ ) enhanced MUC1-associated IFN- $\gamma$ -secreting CD4+ T cell numbers but to a lesser extent ( $195 \pm 16/5 \times 10^4$  tumor cells and  $180 \pm 9/5 \times 10^4$  tumor cells, respectively). These results show that pGM-CSF plus pMIP3 $\alpha$  significantly enhanced the MUC1-associated CD4+ T cell immune response in the treated mice.

As shown in Fig. 5c,d, tumors from mice treated with pGM-CSF plus pMIP3 $\alpha$  ( $197 \pm 24/5 \times 10^4$  tumor cells) showed significantly greater numbers of MUC1-associated IFN- $\gamma$ -secreting CD8+ T cells than tumors from mice treated with pcDNA3.1 ( $15 \pm 3/5 \times 10^4$  tumor cells,  $P < 0.005$ ), pGM-CSF alone ( $65 \pm 6/5 \times 10^4$  tumor cells,  $P < 0.005$ ) and pMIP3 $\alpha$  alone ( $60 \pm 11/5 \times 10^4$  tumor cells,  $P < 0.005$ ). pGM-CSF alone ( $P < 0.001$  vs pcDNA3.1) and pMIP3 $\alpha$  alone ( $P < 0.005$  vs pcDNA3.1) also enhanced MUC1-associated IFN- $\gamma$ -secreting CD8+ T cell numbers but to a lesser extent. These results show that pGM-CSF plus pMIP3 $\alpha$  enhances MUC1-associated CD8+ T cell immune response. We also observed an increased number of CD4+ IFN- $\gamma$ + T cells (Supporting Information Fig. S1a,b) and CD8+ IFN- $\gamma$ + T cells (Supporting Information Fig. S1c,d) in the draining lymph nodes after injecting pGM-CSF alone, pMIP3 $\alpha$  alone, and pGM-CSF plus pMIP3 $\alpha$ . As shown shown in Supporting Information Figure S3, CTL against EL4/MUC1 cells in the pGM-CSF-plus-pMIP3 $\alpha$ -treated mice had specific lysis percentages of 40, 24 and 18% at effector:target ratios of 40:1, 20:1 and 10:1, respectively. However, the specific lysis percentages of CTL against MUC1-expressing EL4 cells in pcDNA3.1-treated mice were only 4, 1.8 and 2.1% at effector:target ratios of 40:1, 20:1 and 10:1, respectively. Also, CD8+ T cells from pGM-CSF+pMIP3 $\alpha$  did not exhibit a lysis against a control tumor (EL4; no MUC1 expression).

These results confirmed that pGM-CSF plus pMIP3 $\alpha$  induced a more potent antitumor response than any of the other treatments examined.

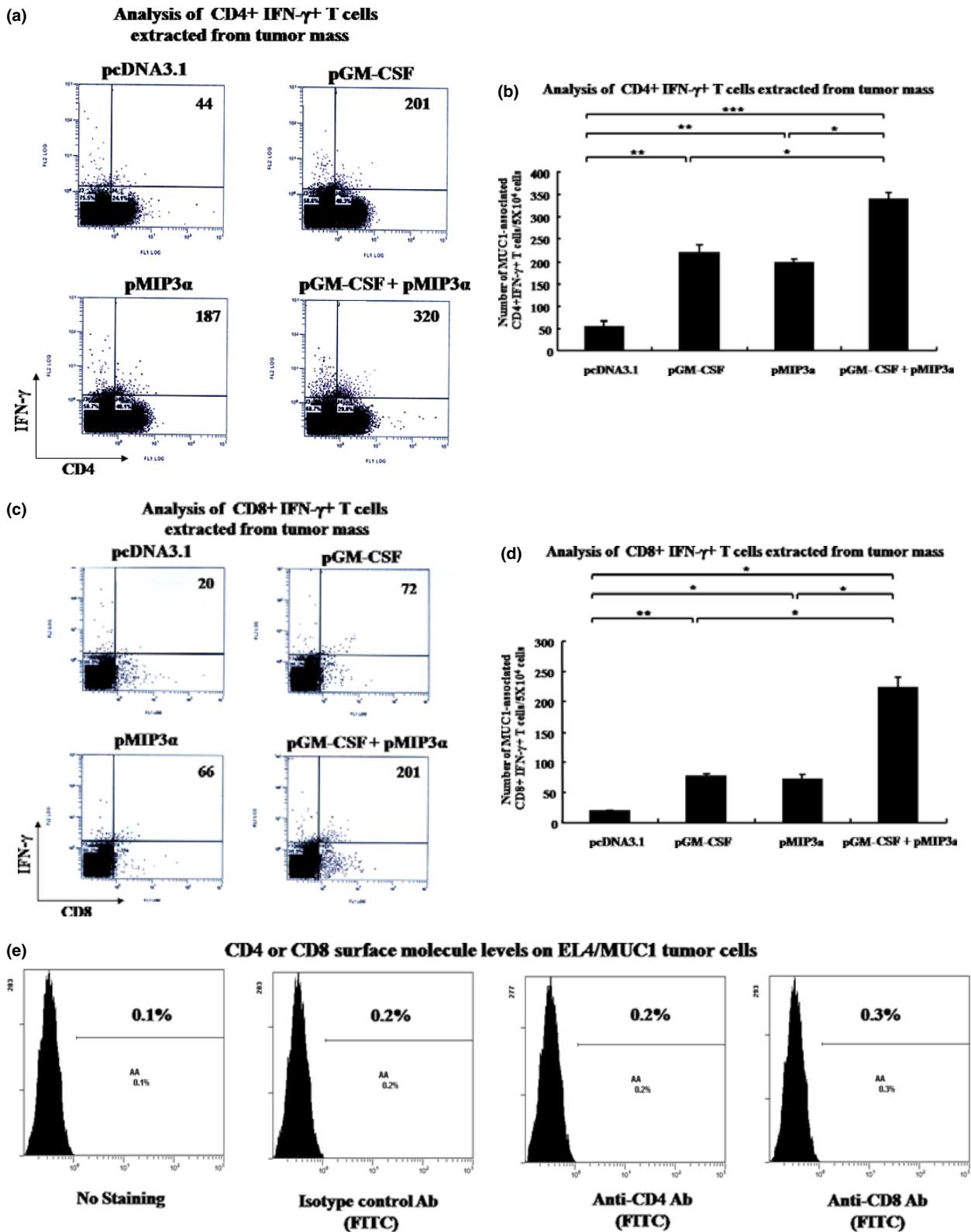
**Effect on the induction of specific CD8+ T cell and CD4+ T cell accumulation by hMUC1 peptide.** As shown in Supporting Information Figure S5a,b, the number of IFN- $\gamma$ -secreting MUC1-associated CD8+ T cells in pGM-CSF plus pMIP3 $\alpha$  was markedly higher than in the other three groups (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ,  $3 \pm 1/1 \times 10^4$  splenocytes,  $140 \pm 32/1 \times 10^4$  splenocytes,  $118 \pm 25/1 \times 10^4$  splenocytes, and  $263 \pm 51/1 \times 10^4$  splenocytes, respectively). Interestingly, the number of MUC1-specific CD8+ IFN- $\gamma$ + T cells in the GM-CSF-plus-MIP3 $\alpha$ -treated mice was 87-fold higher than in the pcDNA3.1 treated mice. Furthermore, the number of IFN- $\gamma$ -secreting MUC1-associated CD4+ T cells in the pGM-CSF plus pMIP3 $\alpha$  was markedly higher than in the other three groups (pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$ ,  $58 \pm 13/5 \times 10^4$  splenocytes,  $390 \pm 75/5 \times 10^4$  splenocytes,  $220 \pm 47/5 \times 10^4$  splenocytes, and  $760 \pm 152/5 \times 10^4$  splenocytes, respectively). The number of MUC1-specific CD4+ IFN- $\gamma$ + T cells in the GM-CSF-plus-MIP3 $\alpha$ -treated mice was 12-fold higher than in the pcDNA3.1-treated mice (as shown in Supporting Information Fig. S5c,d). These results show that a combination of pGM-CSF and pMIP3 $\alpha$  can significantly enhance tumor antigen-specific CD8+ T cell (and CD4+ T cell) immune responses.

**In vivo antibody depletion experiments.** To determine the subsets of lymphocytes that are important for the observed antitumor effect generated by GM-CSF plus MIP-3 $\alpha$  therapy, we performed an *in vivo* antibody depletion experiment (Supporting Information Fig. S5e); all of the mice depleted of CD8+ T cells grew tumors within 14 days of the EL4/MUC1 challenge. In contrast, 60% of mice with CD4+ T cell depletion and 80% of mice with no depletion remained tumor-free 31 days after the EL4/MUC1 challenge. These data indicate that CD8+ T cells play a vital effector role in the antitumor defense generated by GM-CSF plus MIP-3 $\alpha$  therapy. The percentage of tumor-free mice in the CD8-depleted group was significantly lower than the percentage of tumor-free mice in the non-depleted group ( $P < 0.05$ ). CD4+ T cells might also contribute to the antitumor effect, although the numbers of tumor-free CD4 depleted mice are not significantly different from the number of tumor-free non-depleted mice.

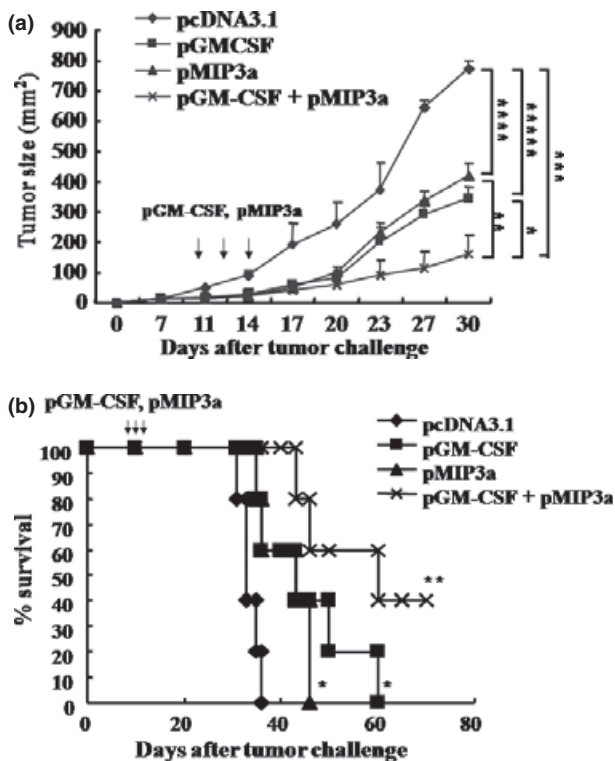
**Enhanced antitumor response induced by pGM-CSF plus pMIP3 $\alpha$ .** As shown in Figure 6a,b, the pGM-CSF plus pMIP3 $\alpha$  produced significantly better results than pcDNA3.1 ( $P < 0.005$  on days 23–30). pGM-CSF alone ( $P < 0.0005$  on days 27–30) and pMIP3 $\alpha$  alone ( $P < 0.001$  on days 27–30) also inhibited tumor growth more than pcDNA3.1, but these inhibitions were less than that of pGM-CSF plus pMIP3 $\alpha$  (Fig. 6a). Furthermore, mice treated with pGM-CSF plus pMIP3 $\alpha$  ( $P < 0.01$ ), pGM-CSF alone ( $P < 0.05$ ) and pMIP3 $\alpha$  alone ( $P < 0.05$ ) survived longer than mice treated with pcDNA3.1 (Fig. 6b). Treatment with pGM-CSF plus pMIP3 $\alpha$  ( $P < 0.01$  vs pcDNA3.1;  $P < 0.05$  vs pGM-CSF alone;  $P < 0.05$  vs pMIP3 $\alpha$  alone), pGM-CSF alone ( $P < 0.05$  vs pcDNA3.1; no significance vs pMIP3 $\alpha$  alone) and pMIP3 $\alpha$  alone ( $P < 0.05$  vs pcDNA3.1) increased the median survival time (MST;  $60.7 \pm 4.3$ ,  $43.5 \pm 3.2$  and  $43.2 \pm 2.2$ , respectively) (Table 2).

## Discussion

In the present study, we tested the efficacy of pGM-CSF plus pMIP3 $\alpha$  therapy against EL4/MUC1 tumors. We found that pGM-CSF plus pMIP3 $\alpha$  resulted in dramatic recruitment and expansion of DC at tumor sites (Figs 3,4) and at the draining



**Fig. 5.** Analysis of T cells extracted from tumor masses. (a) Representative set of flow cytometry data. (b) Columns contain mean numbers of MUC1-associated IFN- $\gamma$ -secreting CD4+ T cells per  $5 \times 10^4$  cells. \* $P < 0.001$ . \*\* $P < 0.0005$ . \*\*\* $P < 0.00005$ . (c) Representative flow cytometry data. (d) Columns contain mean numbers of MUC1-associated interferon- $\gamma$ -secreting CD8+ T cells per  $5 \times 10^4$  cells. \* $P < 0.005$ . \*\* $P < 0.001$ . (e) CD4 or CD8 surface molecule levels on EL4/MUC1 tumor cells. A representative result of three experiments is shown. Bars are means  $\pm$  SD. Five mice were examined per group. Ab, antibody; pGM-CSF, plasmid granulocyte macrophage colony stimulating factor; pMIP3 $\alpha$ , plasmid macrophage inflammatory protein-3 alpha.



**Fig. 6.** *In vivo* tumor growth inhibition induced by plasmid granulocyte macrophage colony stimulating factor (pGM-CSF) plus plasmid macrophage inflammatory protein-3 alpha (pMIP3 $\alpha$ ) treatment. (a) Tumor sizes were measured using a caliper at 7, 11, 14, 17, 20, 23, 27 and 30 days after the tumor challenge. Tumor sizes were defined as length (mm)  $\times$  width (mm). \* $P$  < 0.05. \*\* $P$  < 0.01. \*\*\* $P$  < 0.005. \*\*\*\* $P$  < 0.001. \*\*\*\*\* $P$  < 0.0005. (b) Survival was defined as the percentage of animals surviving on a given day. \* $P$  < 0.05. \*\* $P$  < 0.01. A representative result of three experiments is shown. Bars are means  $\pm$  SD. Five mice were examined per group.

**Table 2.** Median survival time (MST) of mice

Treatment	MST (days)
pcDNA3	133.4 $\pm$ 2.1
pGM-CSF	43.5 $\pm$ 3.2*
pMIP3 $\alpha$	43.2 $\pm$ 2.2*
pGM-CSF + pMIP3 $\alpha$	60.7 $\pm$ 4.3**

EL4/MUC1 tumor cells ( $5 \times 10^4$ ) were resuspended in 0.1 mL of PBS and inoculated subcutaneously into the right hind legs of mice. pcDNA3.1, pGM-CSF, pMIP3 $\alpha$ , and pGM-CSF plus pMIP3 $\alpha$  vectors (100  $\mu$ g each) were resuspended in 100  $\mu$ L of PBS and injected into EL4/MUC1 tumors on days 10, 12 and 14 after tumor cell inoculation. pGM-CSF plus pMIP3 $\alpha$  (\*\* $P$  < 0.01 vs pcDNA3.1; \* $P$  < 0.05 vs pGM-CSF alone; \* $P$  < 0.05 vs pMIP3 $\alpha$  alone), pGM-CSF alone (\* $P$  < 0.05 vs pcDNA3.1; no significance versus pMIP3 $\alpha$  alone) and pMIP3 $\alpha$  (\* $P$  < 0.05 vs pcDNA3.1). pGM-CSF, plasmid granulocyte macrophage colony stimulating factor; pMIP3 $\alpha$ , plasmid macrophage inflammatory protein-3 alpha.

lymph nodes (Supporting Information Fig. S2) and generated the greatest MUC1-associated CD8+ T-cell immune response in the draining lymph nodes (Supporting Information Fig. S1c,d). In addition, pGM-CSF plus pMIP3 $\alpha$  induced a greater antitumor effect and enhanced survival more than pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone (Fig. 6), and induced a more significant MUC1-associated CD8+ T cell immune response in tumors (Fig. 5c,d) than pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone.

Another interesting result was that pGM-CSF plus pMIP3 $\alpha$  induced more significant CD4+ T cell immune response in tumors (Fig. 5a,b) and the draining lymph nodes (Supporting Information Fig. S1a,b) than pcDNA3.1, pGM-CSF alone and pMIP3 $\alpha$  alone. It has been previously suggested that some MUC1-associated-CD4+ T cells help generate and expand the CD8+ T cells in these mice.<sup>(26)</sup> Thus, our data suggest that pGM-CSF plus pMIP3 $\alpha$  treatment potentially offers an effective means of controlling MUC1-associated malignancies.

The adjuvant action of MIP-3 $\alpha$  is less well recognized than those of chemokines such as MIP-1 $\alpha$ . However, complete tumor regression was achieved when tumor cells were transduced with a retroviral vector carrying the MIP-3 $\alpha$  gene in a mouse model.<sup>(27)</sup> In the present study, we are the first to provide evidence that pMIP3 $\alpha$  possesses significant immunoadjuvant activity when injected without a DNA vaccine. Furthermore, MIP-3 $\alpha$  is the only chemokine ligand for CCR6, which is expressed on immature DC,<sup>(28,29)</sup> memory T cells<sup>(13)</sup> and B cells.<sup>(30)</sup> In addition, the stimulation of epithelial cells induces recruitment of immature DC via the upregulation of MIP-3 $\alpha$  expression.<sup>(31)</sup> Because immature DC have high endocytosis capacity and tumor Ag concentration is highest at the tumor mass, it seems logical to attract immature DC into the tumor mass to induce an antitumor immune response. It has been demonstrated that the capacity of DC to recruit the site of tumor is determined by their ability to respond to selected MIP-3 $\alpha$ . It appears to be one of the most chemotactic chemokines for immature DC.<sup>(14)</sup> Thus, MIP-3 $\alpha$  triggers the initiation of adaptive immunity by attracting immature DC to sites of injection. In agreement with these observations, our study demonstrates that pMIP3 $\alpha$  more potently activated MUC1-associated CD8+ CTL than pcDNA3.1 (Supporting Information Fig. S3).

Granulocyte-macrophage colony-stimulating factor has been suggested to be essential for regulating the survival, proliferation, differentiation and function of DC both in human and murine systems.<sup>(21,32,33)</sup> Pan *et al.*<sup>(20)</sup> showed that APC, such as DC, are recruited and matured by the intratumoral gene delivery of GM-CSF. Furthermore, GM-CSF is a potential vaccine adjuvant and has been widely used as an adjuvant in clinical trials of cancer vaccines.<sup>(34,35)</sup>

In the present study, pGM-CSF alone or pMIP3 $\alpha$  alone recruited functionally immature DC to sites of inoculation, but was not enough to mobilize DC and induce effective antitumor activity (Fig. 4). In fact, prior studies have shown that MIP-3 $\alpha$  recruits DC to the sites of inoculation, and that GM-CSF triggers the expansion and maturation of the recruited DC.<sup>(32,33,36)</sup> However, we cannot exclude the possibility that plasmid GM-CSF may also induce the chemotaxis of DC.<sup>(20,37)</sup> Regardless of the precise mechanism involved, it is clear that pGM-CSF plus pMIP3 $\alpha$  was substantially more effective than treatment with either plasmid cytokine alone in terms of augmenting MUC1-associated antitumor immune responses. However, further studies are required to explore the details of this mechanism.

We speculate that DC acquire tumor-specific MUC1 antigen at tumor sites and then migrate to the draining lymph nodes where they prime naive T lymphocytes.<sup>(38)</sup> This possibility is supported by the observation that T lymphocytes and DC were found in large numbers in tumor (Figs 4,5) and draining lymph node sections (Supporting Information Figs S1,S2). However, additional detailed studies are clearly required to determine the precise cell trafficking pathways associated with this priming of the immune response. In summary, the findings of the present study suggest that the co-administration of pGM-CSF and pMIP3 $\alpha$  induces local accumulation of DC and a tumor-specific CTL response, and inhibits tumor growth *in vivo*.



## Acknowledgments

This work was supported in part by grants from the Cancer Research Center, the Korean Science and Engineering Foundation (KOSEF) through the Tumor Immunity Medical Research Center at Seoul National University College of Medicine, Korea. Y. Choi was supported by the BK21 Project for Medicine, Dentistry, and Pharmacy in South Korea (2009).

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## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Fig. S1.** Analysis of T cells extracted from tumor-draining lymph nodes.

## Disclosure Statement

The authors have no financial and personal relationships with other people or organizations that could inappropriately influence (bias) this work.

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**Fig. S2.** Analysis of DC extracted from tumor-draining lymph nodes.

**Fig. S3.** Cytotoxic T cells directed against tumors after the intratumoral administration of pGM-CSF plus pMIP3 $\alpha$ .

**Fig. S4.** Numbers of hMUC1-associated CD8<sup>+</sup> IFN- $\gamma$ <sup>+</sup> T cells stimulated by tumor-infiltrated dendritic cells of mice treated with the pGM-CSF plus pMIP3 $\alpha$  therapy.

**Fig. S5.** Flow cytometry analysis of MUC1-specific T cell immune response and *in vivo* antibody depletion experiment.

**Data S1.** Materials and Methods: polymerase chain reaction; tumor model; immunohistochemistry; extraction and analysis of infiltrating DC or T cells; *in vitro* splenocyte cytotoxicity assays; restimulation of hMUC1-associated CD8<sup>+</sup> T cells by enriched CD11c<sup>+</sup> cells from various vectors treated mice; the effect on the induction of specific CD8<sup>+</sup> T cells and CD4<sup>+</sup> T cells accumulation by hMUC1 peptide; *in vivo* antibody depletion experiment; and statistical analysis.

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