

# Cancer epigenomics: Implications of DNA methylation in personalized cancer therapy

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Genetic alterations in cancer can provide information for predicting a tumor's sensitivity to chemotherapeutic drugs. But although such information is certainly useful, the relatively low frequency of mutations seen in many cancers limits the utility of pharmacogenomics in large numbers of cancer patients, necessitating consideration of other approaches. Epigenetic changes such as DNA methylation are a hallmark of human cancers. Methylation of genes involved in DNA repair and maintaining genome integrity (e.g. *MGMT*, *hMLH1*, *WRN*, and *FANCF*), and cell-cycle checkpoint genes (e.g. *CHFR* and *14-3-3*  $\sigma$ , *CDK10*, and *p73*), all reportedly influence the sensitivity to chemotherapeutic drugs, suggesting that DNA methylation could serve as a molecular marker for predicting the responsiveness of tumors to chemotherapy. However, the comprehensive study of pharmacoepigenomics awaits the advent of genome-wide analysis of DNA methylation using microarrays and next-generation sequencers. (*Cancer Sci* 2009; 100: 787–791)

Cancer arises through the accumulation of multiple genetic changes, including point mutations, gene amplifications and gene deletions, which ultimately lead to activation of oncogenes and inactivation of tumor-suppressor genes.<sup>(1)</sup> Moreover, it was recently proposed that cancer cells are 'addicted' to oncogenes for maintenance of the malignant phenotype.<sup>(2)</sup> The most convincing evidence for the concept of oncogene addiction comes from the increasing number of studies showing the therapeutic efficacy of antibodies and drugs that selectively target specific oncogenes in human cancers. For example, mutation of *EGFR* indicates sensitivity to gefitinib,<sup>(3–5)</sup> the presence of *BCR-ABL* translocation or mutation of c-kit indicates sensitivity to imatinib,<sup>(6)</sup> and amplification or overexpression of human *EGFR*-related 2 (*Her-2*)/*ErbB2* indicates sensitivity to herceptin.<sup>(7)</sup> Thus genetic alterations in cancer can provide important information that enables one to predict the sensitivity of a given tumor to particular chemotherapeutic drugs.

Information about gene expression also can be used to predict the response to chemotherapy. Profiles of gene expression in cancer cell lines revealed an association between the expression of certain genes and the cells' sensitivity to chemotherapeutic drugs.<sup>(8,9)</sup> What's more, gene expression signatures have been used clinically to predict the likely responsiveness of tumors to chemotherapy.<sup>(10)</sup> But although information about genetic changes certainly contributes to our ability to predict sensitivity to chemotherapeutic drugs, the relatively low frequency of mutations seen in many cancers limits the utility of pharmacogenomics in large numbers of cancer patients, necessitating consideration of other approaches. In this review, we focus on the implications of epigenetic alterations such as DNA methylation in predicting the efficacy of chemotherapeutic drugs in the treatment of cancer.

## Role of DNA methylation in carcinogenesis

DNA methylation of the 5'-CpG islands of genes plays an important role in gene regulation. Under normal physiological conditions, DNA methylation is involved in regulating genome imprinting, X-chromosome inactivation, and inactivation of repetitive sequences. Three DNA methyltransferases (DNMT1, DNMT3A, DNMT3B) catalyze methylation of the promoter regions of a variety of genes, including genes involved in cell-cycle checkpoints, apoptosis, DNA repair, cell adhesion, and signal transduction.<sup>(11–13)</sup> Simultaneous methylation of multiple genes occurs in colorectal cancers that show the CIMP,<sup>(14)</sup> and the majority of sporadic colorectal cancers that show microsatellite instability are associated with CIMP, which leads to inactivation of the mismatch repair gene *hMLH1* and thus disruption of mismatch repair.<sup>(15)</sup> DNA methylation also plays a role in altering signaling pathways in cancer. For example, epigenetic inactivation of *SFRP1*, *SFRP2*, *SFRP5*, *DKK1*, *DKK2*, and *DKK3*, six negative regulators of WNT signaling, contributes to the full activation of T cell Factor (TCF)  $\beta$ -catenin activity in colorectal cancers (Fig. 1a,b),<sup>(16,17)</sup> whereas epigenetic inactivation of *RASSF1* and *RASSF2*, negative regulators of the Ras signaling pathway, contributes to full activation of oncogenic Ras signaling (Fig. 2).<sup>(18,19)</sup> Although the molecular mechanisms underlying DNA methylation remain unclear, recent studies suggest that inflammation and pathogens are likely involved.<sup>(20,21)</sup>

## Epigenetic inactivation of DNA repair and altered sensitivity to chemotherapeutic drugs

Genomic instability is an important phenotype that allows cancer cells to generate oncogenic translocations, inactivate tumor-suppressor genes, and amplify oncogenes and drug-resistance genes. Genomic instability is caused by impairment or inactivation of DNA repair systems, which could represent a molecular target of cancer therapy. Evidence suggests, for example, that epigenetic inactivation of DNA repair underlies tumor responsiveness to DNA-damaging agents. The first reported epigenetic alteration associated with sensitivity to a chemotherapeutic drug was the association between methylation of the *MGMT* gene and sensitivity to alkylating agents.<sup>(22)</sup> *MGMT* is a DNA repair

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Abbreviations: 5-aza-dC, 5-aza-2'-deoxycytidine; 5-FU, 5-fluorouracil; BCNU, 1,3-bis(2-chloroethyl)-1-nitrosourea; BCR-ABL, breakpoint cluster region-ABL; CDK10, cyclin-dependent kinase 10; CHFR, checkpoint with ring finger; CIMP, CpG island methylator phenotype; DNMT, DNA methyltransferase; EGFR, epidermal growth factor receptor; FA, Fanconi anemia; FANCF, Fanconi anemia protein F; hMLH1, human mutL homolog 1; MAPK, mitogenactivated protein kinase; MGMT, O<sup>6</sup>-methylguanine-DNA-methyltransferase; RASSF, Ras association domain family; SFRP, secreted frizzled-related protein; shRNA, short hairpin RNA; siRNA, short interfering RNA; WRN, Werner syndrome protein.

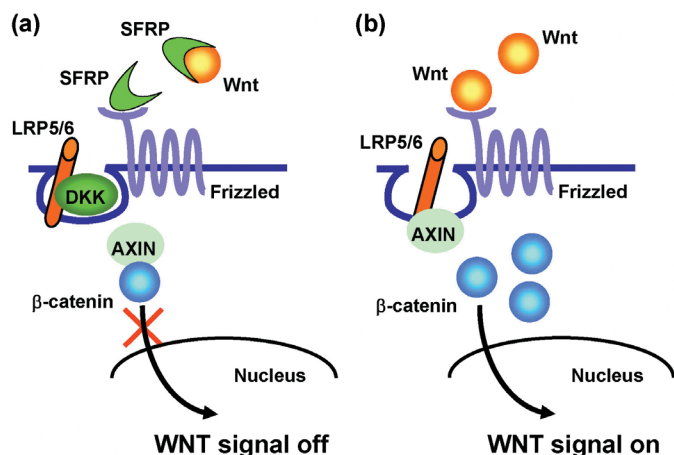
enzyme that removes mutagenic adducts from *O*<sup>6</sup>-guanine in DNA,<sup>(23)</sup> and its epigenetic silencing has been reported in a wide variety of tumors.<sup>(24)</sup> This silencing of *MGMT* is associated with G : C to A : T transition mutations in K-ras and p53, a mutator phenotype distinct from mismatch repair deficiency.<sup>(25,26)</sup> Alkylating agents are one of the most widely used classes of chemotherapeutic drugs and frequently act by modifying the *O*<sup>6</sup> position of guanine. Consequently, their toxicity, and thus their efficacy, is diminished in tumors expressing *MGMT*.<sup>(27)</sup> For example, Esteller *et al.* reported that *MGMT* gene methylation correlates with response of gliomas to BCNU (Fig. 3).<sup>(22)</sup> Moreover, several clinical trials have shown *MGMT* gene methylation to be an independent predictor of outcome in glioblastoma patients treated with methylating agents.<sup>(28,29)</sup>

Approximately 15% of colorectal cancers show microsatellite instability due to methylation of the mismatch repair gene *hMLH1*.<sup>(14)</sup> Clinically, colorectal cancers with *hMLH1* methylation are less aggressive, but they do not respond to 5-FU.<sup>(30)</sup> Thymidylate synthase catalyzes the conversion of dUMP to dTMP, which is necessary for DNA synthesis, and inhibition of this enzyme is the major mechanism underlying the anticancer effects of 5-FU. Ricciardiello *et al.* reported that colorectal cancers with *hMLH1* methylation express high levels thymidylate synthase.<sup>(31)</sup> Moreover, colorectal cancer cell lines displaying

microsatellite instability are resistant to 5-FU due to methylation of *hMLH1*, but they become susceptible to treatment upon exposure to 5-aza-dC.<sup>(32)</sup> Thus methylation of *hMLH1* appears to be a predictive molecular marker of the sensitivity of colorectal cancers to 5-FU.

RecQ-like helicases also reportedly play a role in the maintenance of genetic stability, and disruption of their activity results in chromosome breakage syndromes such as Bloom syndrome, Rothmund–Thomson syndrome, and Werner syndrome, the last of which is an inherited disorder characterized by the premature onset of aging and susceptibility to various types of cancer. Recently, Agrelo *et al.* reported that the *WRN* gene is frequently silenced by DNA methylation in colorectal cancers<sup>(33)</sup> and that colorectal cancer cell lines showing *WRN* methylation are sensitive to the topoisomerase inhibitor camptothecin and to the interstrand crosslinker mitomycin C. Clinically, moreover, colorectal cancers exhibiting *WRN* methylation respond well to the topoisomerase inhibitor irinotecan. Hypermethylation of *WRN* in colorectal tumors could thus be a useful predictor of a robust clinical response to a topoisomerase inhibitor.

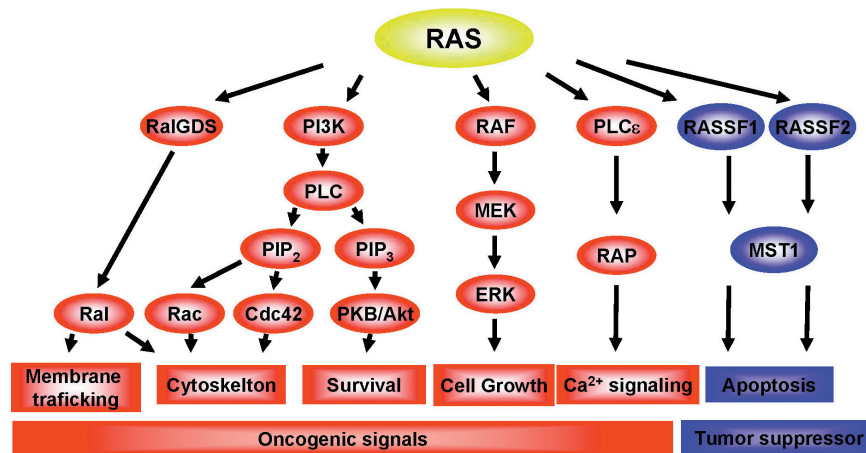
Fanconi anemia is an autosomal recessive chromosomal instability syndrome that causes FA patients to be prone to various types of malignancies. Taniguchi *et al.* reported that epigenetic inactivation of one of the FA complementation group genes, *FANCF*, is associated with resistance to cisplatin.<sup>(34)</sup> Defects in the FA–Breast Cancer (BRCA) pathway are associated with genomic instability and increased sensitivity to DNA-damaging agents such as mitomycin C and cisplatin, and there is a significant correlation between *FANCF* methylation and sensitivity to cisplatin in ovarian cancer cell lines, so that restoration of *FANCF* expression using 5-aza-dC induces resistance to cisplatin. Methylation of *FANCF* has been found in 20% of primary ovarian cancers not previously exposed to cisplatin,<sup>(34)</sup> but the correlation between chemosensitivity and *FANCF* methylation in primary tumors remains to be determined. Methylation of *FANCF* was also found in 30% of cervical cancers, 15% of head and neck squamous cell cancers, and 14% of non-small cell lung cancers.<sup>(35,36)</sup> Further study will be necessary to determine whether methylation of *FANCF* is a predictive marker of sensitivity to DNA-damaging agents.



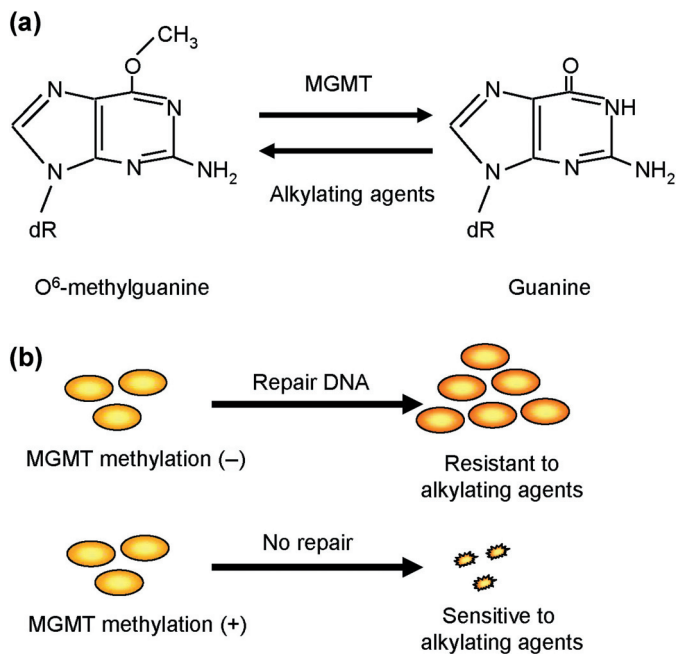
**Fig. 1.** Epigenetic inactivation of negative regulators of WNT signaling. (a) In normal cells, SFRP and DKK are associated with key WNT signaling molecules such as WNT ligands and LRP5/6, which prevent translocation of  $\beta$ -catenin to the nucleus. (b) In cancer cells, epigenetic inactivation of SFRP and DKK enables  $\beta$ -catenin to translocate to the nucleus, which leads to activation of WNT signaling. SFRP, secreted frizzled-related protein; DKK, Dickkopf; LRP, lipoprotein receptor-related protein.

### Cell-cycle checkpoint defects and sensitivity to chemotherapeutic drugs

Impairment of cell-cycle checkpoints is associated with sensitivity to chemotherapeutic agents. For example, overexpression of mitotic arrest deficient 2 (MAD2) sensitizes cancer cells to both cisplatin and vincristine,<sup>(37,38)</sup> whereas overexpression of Aurora A induces chemoresistance.<sup>(39)</sup> In addition, we recently found that



**Fig. 2.** Positive and negative regulators of Ras signaling. The oncogenic and anti-oncogenic functions of Ras are mediated by positive and negative effectors. Among the negative effectors of Ras, epigenetic inactivation of RASSF1 and RASSF2 is frequently observed in human tumors. Akt, v-akt murine thymoma viral oncogene homolog; Cdc, cell-division cycle; Erk, extracellular signal-regulated kinase; MEK, Mitogen-activated protein kinase; MST, mammalian STE20-like protein kinase 1; PI3K, Phosphoinositide 3-kinase; PIP, phosphatidylinositol phosphate; PKB, protein kinase B; RAP, ras-related protein; RASSF, Ras association domain family.

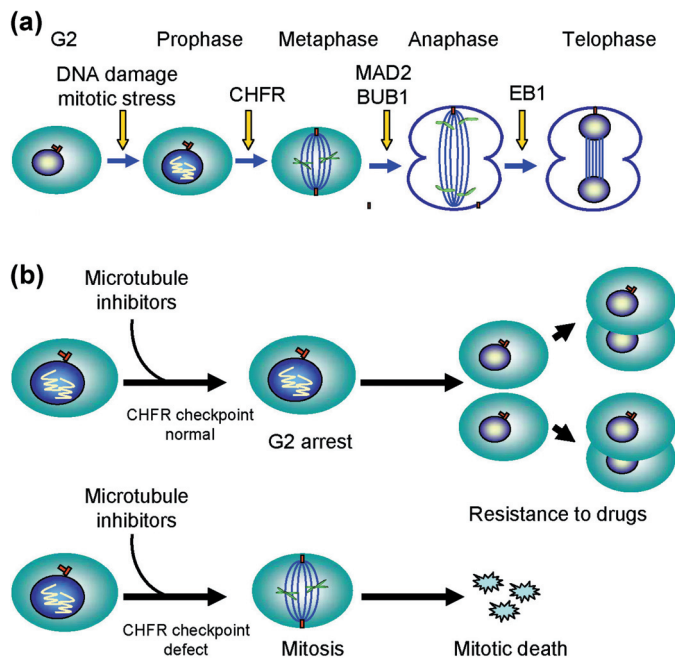


**Fig. 3.** Epigenetic inactivation of O<sup>6</sup>-methylguanine-DNA-methyltransferase (MGMT) and sensitivity to alkylating agents. (a) MGMT repairs O<sup>6</sup>-methylguanine. (b) Cancers with MGMT methylation are sensitive to alkylating agents due to the absence of O<sup>6</sup>-methylguanine repair activity.

two microtubule inhibitors, paclitaxel and docetaxel, induce apoptosis among gastric cancer cells showing *CHFR* methylation and that adenoviral introduction of *CHFR* into methylated cancer cell lines restores the checkpoint and reduces the incidence of apoptosis (Fig. 4).<sup>(40)</sup> This correlation between *CHFR* methylation and sensitivity to microtubule inhibitors appears to be specific, as there was no correlation between *CHFR* methylation and sensitivity to other chemotherapeutic agents (e.g. VP16) or to UV. This suggests that *CHFR* methylation could serve as a clinically useful predictive marker of the sensitivity of tumors to microtubule inhibitors. Consistent with that idea, Koga *et al.* found that six of seven (86%) patients with methylated *CHFR* tumors showed some regression or no progression of their disease when treated with a microtubule inhibitor, whereas four of five (80%) patients with an unmethylated *CHFR* tumor showed progressive deterioration.<sup>(41)</sup> A correlation between *CHFR* methylation and sensitivity to microtubule inhibitors also was noted in oral squamous cell carcinoma.<sup>(42)</sup>

The fact that *CHFR* is frequently inactivated by genetic or epigenetic alteration in human cancers suggests that this cancer-specific checkpoint defect also could be a useful therapeutic target.<sup>(40,43)</sup> Bearing that in mind, we recently established a system to knock down *CHFR* expression using shRNA.<sup>(42)</sup> We found that *CHFR* expression was significantly suppressed in cancer cells transfected with shRNA, and the resultant impairment of the prophase checkpoint led to an increased mitotic index in cells treated with microtubule inhibitors, which in turn led to an increased incidence of apoptosis. This effect was specific to microtubule inhibitors, as no effect was seen when a DNA-damaging agent (cisplatin or VP16) was used. In addition, an earlier finding that E3 ubiquitin ligases can be targeted using small molecules<sup>(44)</sup> suggests drugs that inhibit *CHFR*'s ubiquitin ligase activity also could be used to enhance the sensitivity of cancer cells to microtubule inhibitors.

Disruption of the G<sub>2</sub>-M checkpoint also appears to contribute to the sensitivity of chemotherapeutic drugs. Among the genes involved in the G<sub>2</sub>-M checkpoint, *14-3-3 σ*, a transcriptional



**Fig. 4.** Epigenetic inactivation of a mitotic checkpoint gene, *CHFR*, and sensitivity to microtubule inhibitors. (a) Genes involved in the mitotic checkpoint. (b) *CHFR* and sensitivity to microtubule inhibitors. Cancer cells that show an intact *CHFR* checkpoint arrest at G<sub>2</sub>-M phase after treatment with microtubule inhibitors, which allows the cells to grow. These tumors are resistant to the drugs (top). By contrast, cancer cells that show methylation of *CHFR* do not arrest after treatment with microtubule inhibitors. These tumors are sensitive to the drugs (bottom). BUB, budding uninhibited by benzimidazoles; *CHFR*, checkpoint with ring finger; EB, end-binding protein; MAD, mitotic arrest deficient.

target of p53,<sup>(45)</sup> is frequently silenced by DNA methylation in breast and gastric cancers,<sup>(46,47)</sup> and it has been suggested that 14-3-3 σ is a critical regulator of G<sub>2</sub>-M that also has tumor-suppressor activity. Knocking out 14-3-3 σ in cancer cells leads to mitotic catastrophe and cell death following DNA damage resulting from the absence of G<sub>2</sub>-M arrest.<sup>(48,49)</sup> Consistent with those data, the G<sub>2</sub>-M checkpoint is impaired in gastric cancer cell lines that show methylation of 14-3-3 σ,<sup>(47)</sup> and restoration of 14-3-3 σ expression using 5-aza-dC restores G<sub>2</sub>-M arrest induced by DNA damage. In addition, functional proteomic analysis revealed 14-3-3 σ to be a key molecule that contributes to resistance to mitoxantrone and adriamycin in breast cancer cells.<sup>(50)</sup>

Using high-throughput siRNA screening, Iorns *et al.* identified CDK10 as an important determinant of resistance to endocrine therapy in breast cancer, and thus a major factor limiting successful treatment of the disease.<sup>(51)</sup> They also found that knocking down CDK10 increases V-ets erythroblastosis virus E26 oncogene homolog 2 (ETS2)-driven transcription of C-Raf, resulting in activation of the MAPK pathway and loss of tumor cell reliance on estrogen signaling, and that breast cancer patients with estrogen receptor-α-positive tumors expressing low levels of CDK10 relapse early on tamoxifen, which suggests that downregulation of CDK10 contributes to resistance to endocrine therapy. In that regard, DNA methylation of CDK10 was found in 18% of breast cancers, suggesting that methylation of CDK10 could be a predictive molecular marker of breast cancer sensitivity to tamoxifen.

In general, the studies cited above were carried out using a candidate gene approach, but recent progress in genome-wide methylation analysis could enable performance of unbiased methylation analyses. For example, genome-wide gene expression profiles in NCI-60 cell lines are often used to assess the association between gene expression and sensitivity to chemotherapeutic

**Table 1. Stages toward the clinical application of DNA methylation markers for prediction of sensitivity to chemotherapeutic drugs**

Gene	Function	Cancer type	Stages in clinical application	References
<i>MGMT</i>	DNA repair	Glioma	Several clinical studies to define sensitivity to alkylating agents have been reported.	22,28,29
<i>hMLH1</i>	Mismatch repair	Colorectal cancer	Association with sensitivity to 5-fluorouracil has been reported. Independent experiments remain to be performed.	30
<i>WRN</i>	DNA helicase	Colorectal cancer	Association with sensitivity to cisplatin has been reported. Independent experiments remain to be performed.	33
<i>FANCF</i>	DNA repair	Ovarian cancer	Association with sensitivity to cisplatin has been reported. Independent experiments remain to be performed.	34
<i>CHFR</i>	Mitotic checkpoint	Colorectal cancer	Several clinical trials to define sensitivity to microtubule inhibitors have been reported.	41,42
<i>14-3-3σ</i>	G <sub>2</sub> -M checkpoint	Colorectal cancer	Association with sensitivity to DNA damaging agents has been shown in cell lines. Clinical studies remain to be performed.	47
<i>CDK10</i>	G <sub>2</sub> -M checkpoint	Breast cancer	Association with sensitivity to tamoxifen has been reported. Independent experiments remain to be performed.	51
<i>p73</i>	DNA damage checkpoint	Renal cancer	Association with sensitivity to cisplatin has been reported for cell lines. Clinical studies remain to be performed.	52

CHFR, checkpoint with ring finger; FANCF, Fanconi anemia protein F; hMLH1, human mutL homolog 1; MGMT, O<sup>6</sup>-methylguanine-DNA-methyltransferase; WRN, Werner syndrome protein.

drugs. By comparing the DNA methylation profiles for 32 genes with drug sensitivity in NCI-60 cell lines, Shen *et al.* were able to identify a correlation between *p73* methylation and sensitivity to alkylating agents.<sup>(52)</sup> *p73* is a member of the p53 family and, like other p53 family members, it is involved in cell-cycle checkpoint function, apoptosis, DNA repair, and cellular differentiation.<sup>(53)</sup> The findings of Shen *et al.* suggest that methylation of *p73* could be a predictive marker of sensitivity to alkylating agents.<sup>(52)</sup> Consistent with that idea, overexpression of *p73* has been observed in cancers of the bladder, lung, and ovary, and is often associated with resistance to treatment with DNA-damaging agents.<sup>(54–56)</sup> In addition, knocking down *p73* using siRNA reduced cellular viability after treatment with BCNU and cisplatin. The molecular mechanism by which silencing of *p73* sensitizes cancer cells to alkylating agents remains unknown, however.

### Epigenetic alteration of signaling pathways and resistance to therapy

Patients with *K-ras* mutations reportedly do not respond to treatment with monoclonal anti-EGFR antibodies such as cetuximab or panitumumab.<sup>(57,58)</sup> Situated downstream of EGFR, *K-ras* is a key component of the RAS–MAPK pathway and is involved in mediating cell proliferation. Its mutation may enable cells to circumvent the anti-EGFR activity of cetuximab and panitumumab. That colorectal cancers with *K-ras* mutations tend to show methylation of multiple CpG islands suggests that

resistance to anti-EGFR therapy in patients with *K-ras* mutations may be associated with CIMP,<sup>(59)</sup> and that DNA methylation of genes affected by CIMP may also contribute to the resistance to cetuximab or panitumumab. In fact, RASSF2, a negative effector of RAS, is silenced by DNA methylation in CIMP-positive colorectal cancers.<sup>(18,60)</sup>

### Future directions in cancer epigenomics: Genome-wide approaches

Although DNA methylation of certain genes appears to influence sensitivity to chemotherapeutic drugs, the majority of studies carried out to date were done using cell line models or only a small number of subjects (Table 1). Large-scale analyses will be necessary to confirm the utility of epigenetic information for prediction of responses to chemotherapeutic drugs. Comprehensive studies of pharmacoepigenomics in cancer await advances in genome-wide DNA methylation analyses using microarrays and next-generation sequencers.

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