### **RESEARCH ARTICLE**

# **Associations among plasma, MRI, and amyloid PET biomarkers of Alzheimer's disease and related dementias and the impact of health-related comorbidities in a community-dwelling cohort**

**Marc D. Rudolph<sup>1</sup> • Courtney L. Sutphen<sup>1</sup> Fhomas C. Register<sup>1</sup> Formulation Christopher T. Whitlow<sup>1</sup> | Kiran K. Solingapuram Sai<sup>1</sup> | Timothy M. Hughes<sup>1</sup> | James R. Bateman<sup>1</sup> | Jeffrey L. Dage<sup>2</sup> | Kristen A. Russ<sup>2</sup> | Michelle M. Mielke<sup>1</sup> | Suzanne Craft<sup>1</sup> | Samuel N. Lockhart<sup>1</sup>** 

1Department of Internal Medicine, Wake Forest University School of Medicine, Winston-Salem, North Carolina, USA

2Department Of Neurology, Indiana University School of Medicine, Indianapolis, Indiana, USA

#### **Correspondence**

Marc D. Rudolph, Wake Forest School of Medicine, 1 Medical Center Boulevard, Winston-Salem, NC 27157-1207, USA. Email: [mdrudolp@wakehealth.edu](mailto:mdrudolp@wakehealth.edu)

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# **Abstract**

**INTRODUCTION:** We evaluated associations between plasma and neuroimagingderived biomarkers of Alzheimer's disease and related dementias and the impact of health-related comorbidities.

**METHODS:** We examined plasma biomarkers (neurofilament light chain, glial fibrillary acidic protein, amyloid beta [A*β*] 42/40, phosphorylated tau 181) and neuroimaging measures of amyloid deposition (A*β*-positron emission tomography [PET]), total brain volume, white matter hyperintensity volume, diffusion-weighted fractional anisotropy, and neurite orientation dispersion and density imaging free water. Participants were adjudicated as cognitively unimpaired (CU; *N* = 299), mild cognitive impairment (MCI; *N* = 192), or dementia (DEM;*N* = 65). Biomarkers were compared across groups stratified by diagnosis, sex, race, and *APOE ε*4 carrier status. General linear models examined plasma-imaging associations before and after adjusting for demographics (age, sex, race, education), *APOE ε*4 status, medications, diagnosis, and other factors (estimated glomerular filtration rate [eGFR], body mass index [BMI]).

**RESULTS:** Plasma biomarkers differed across diagnostic groups (DEM > MCI > CU), were altered in A*β*-PET-positive individuals, and were associated with poorer brain health and kidney function.

**DISCUSSION:** eGFR and BMI did not substantially impact associations between plasma and neuroimaging biomarkers.

**KEYWORDS** dementias, neuroimaging, plasma biomarkers

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#### **Highlights**

- ∙ Plasma biomarkers differ across diagnostic groups (DEM > MCI > CU) and are altered in A*β*-PET-positive individuals.
- ∙ Altered plasma biomarker levels are associated with poorer brain health and kidney function.
- ∙ Plasma and neuroimaging biomarker associations are largely independent of comorbidities.

### **1 INTRODUCTION**

The need for enhanced detection earlier in the course of dementia by minimally invasive means has ignited a growing interest in blood-based biomarkers, which have advantages over cerebrospinal fluid (CSF) or imaging biomarkers due to the relative ease of collection and/or reduced expense. Plasma biomarkers are obtained via a blood draw and thus reduce the burden placed on study participants, limiting the need to undergo more invasive procedures (eg, lumbar puncture). Plasma biomarkers sensitive to both age- and dementiarelated neuropathological change include plasma amyloid beta 1-40 (A*β*40) and 1-42 (A*β*42), glial fibrillary acidic protein (GFAP), neurofilament light chain (NfL), and phosphorylated tau 181 (p-tau181). Plasma-derived analytes of amyloid (A*β*40, A*β*42) and p-tau181 are AD-specific. Conversely, NfL and GFAP are non-specific markers of axonal neurodegeneration and neuroinflammation that contribute to cognitive impairment and mixed pathological forms of dementia. $1-4$ 

Several health-related risk factors and comorbidities can elevate or lower plasma biomarker levels through physiological actions, thereby impacting the diagnostic accuracy of these biomarkers for AD pathology. For example, previous studies showed that chronic kidney disease (CKD) was associated with altered levels of all AD plasma biomarkers and that body mass index (BMI) was associated with lower levels.<sup>[5–8](#page-12-0)</sup> Compromised filtration of plasma/blood AD biomarkers due to kidney dysfunction is a primary concern even in individuals without CKD.<sup>[5,6](#page-12-0)</sup>

The degree to which Alzheimer's disease and related dementias (ADRD)-related plasma biomarkers are associated with wellestablished imaging biomarkers, not limited to amyloid deposition, of AD/ADRD in community-dwelling cohorts is uncertain. Further, comorbid health complications (eg, compromised kidney functioning) with the potential to alter detectable levels of plasma analytes $1-6$  may impact associations between plasma and neuroimaging biomarkers of ADRD. At present, it is uncertain how demographic factors such as age, sex, and race may alter associations between plasma and imaging biomarkers in the context of comorbid health complications. Thus, this study sought to examine associations between AD/ADRD plasma biomarkers (A*β*42/A*β*40, p-tau181, GFAP, and NfL) and neuroimaging measures of amyloid deposition (A*β*-PET), total brain volume (BVOL), global white matter hyperintensity (WMH) volume, diffusion-weighted fractional anisotropy (FA), and neurite orientation dispersion and density imaging (NODDI) free water (FW; white matter). Ultimately, this

study sought to understand better how clinical, physiological, and pathological factors alter levels of plasma biomarkers and the extent to which such factors may impact associations with well-established imaging biomarkers of AD/ADRD in a sample of community-dwelling participants.

## **2 METHODS AND MATERIALS**

### **2.1 Participants**

We examined cross-sectional associations between plasma and neuroimaging biomarkers in a sample (*N* = 556) of cognitively unimpaired (CU) participants (*N* = 299) and individuals adjudicated as having mild cognitive impairment (MCI; *N* = 192) or dementia (DEM; *N* = 65; Table [1\)](#page-3-0). All participants were enrolled in the Wake Forest Alzheimer's Disease Research Center (ADRC) Clinical Core's Healthy Brain Study (HBS) focused on recruiting CU participants as well as those in preclinical stages of dementia. As previously described, $9$  adults between the ages of 55 and 85 were recruited from the surrounding community and by referral through our memory clinic into the HBS (2016 to 2022) with oversight by the Outreach, Engagement & Recruitment Core of the Wake Forest ADRC. Participants underwent a standard evaluation, including the National Alzheimer's Coordinating Center (NACC) protocol for clinical research data collection, clinical exams, neurocognitive testing, neuroimaging, and genotyping for the apolipoprotein E (*APOE*)*ε*4 allele. The Wake Forest Institutional Review Board approved all activities as described; written informed consent was obtained for all participants and/or their legally authorized representatives to voluntarily participate in this research study. No participants received or were recruited with the intent of receiving or being recommended for disease-modifying treatments or therapies. No participants were referred to our study for treatment. Self-reported race/ethnicity (eg, Black/White; non-Hispanic) and sex (eg, male/female) constructs were examined as dichotomous variables. *APOE* genotype was obtained by Taqman using single nucleotide polymorphisms (rs429358 and rs7412) to determine haplotypes of *ε*2, *ε*3, and *ε*4. *APOE* was dichotomized to represent the presence or absence of one or more *ε*4 alleles (eg, carrier vs non-carrier; *APOE ε*4). Exclusion criteria for recruitment into the HBS included large vessel stroke (participants with lacunae or small vessel ischemic disease were eligible); other significant neurologic diseases and uncontrolled *chronic* medical or psychiatric conditions (such as advanced liver or severe kidney disease [see Section [2.4.4](#page-4-0) below for more details]; poorly controlled congestive heart failure, chronic obstructive pulmonary disease or sleep apnea, active cancer treatment, uncontrolled clinical depression, or psychiatric illness; current use of insulin; and history of substance abuse or heavy alcohol consumption within preceding 10 years). HBS participants with a current or active history of traumatic brain injury (*N* = 15), myocardial infarction ( $N = 21$ ), or cerebral stroke ( $N = 5$ )<sup>10-14</sup> were excluded from analyses.

## **2.2 Adjudication**

An expert panel of investigators with extensive experience assessing cognitive status and diagnosing cognitive impairment in older adults, including neuropsychologists, neurologists, and geriatricians, provided adjudication of cognitive status. A consensus review of all available clinical, neuroimaging, and cognitive data followed current National Institute on Aging–Alzheimer's Association guidelines for diagnosing MCI $^{15}$  $^{15}$  $^{15}$  or dementia<sup>[16](#page-13-0)</sup> using clinical criteria.

## **2.3 Medications**

Participants provided all prescription and over-the-counter medication use.<sup>[17](#page-13-0)</sup> As previously described,  $^{18}$  $^{18}$  $^{18}$  participants were considered on antihypertension therapy (HTNmed) if they reported recent or current use of antiadrenergic agents, angiotensin-converting enzyme inhibitors, beta-blockers, calcium channel blocking agents, diuretics, vasodilators, angiotensin II inhibitors, or antihypertensive combination therapy agents. Statins and diabetic medications (DBmed) were permitted, except for insulin, which was an exclusion criterion at enrollment in the Wake Forest ADRC cohort. AD-related medications (ADmed) were permitted. Approximately 62% of the sample reported current or recent use of one or more medications (CU =  $51\%$ ; MCI =  $71\%$ ; DEM = 85%; see Tables  $S1$  and  $S2$  for a full characterization of medication use in our sample).

## **2.4 Biomarkers**

### 2.4.1 | Imaging biomarkers

Participants were scanned on a research-dedicated 3T Siemens Skyra magnetic resonance imaging (MRI; 32-channel head coil) scanner. We acquired T1, T2 fluid-attenuated inversion recovery (FLAIR) imaging, diffusion-weighted imaging diffusion tensor imaging (DTI), and neurite orientation density and dispersion imaging (NODDI). Detailed image acquisition parameters have been published elsewhere. $9,18$  Briefly, T1 processing included normalization and tissue segmentation using the CAT12 toolbox in SPM12 [\(www.fil.ion.ucl.ac.uk/spm\)](http://www.fil.ion.ucl.ac.uk/spm). Total intracranial and gray and white matter volumes were calculated using FreeSurfer

### **RESEARCH IN CONTEXT**

- 1. **Systematic review**: The authors reviewed the literature using traditional (eg, PubMed) sources and meeting abstracts and presentations. The degree to which Alzheimer's disease and related dementias (ADRD) related plasma biomarkers are associated with wellestablished imaging biomarkers of AD/ADRD, not limited to amyloid deposition, and the extent to which plasmaimaging association may be impacted by comorbid health complications (eg, compromised kidney functioning) in diverse cohorts is uncertain. Several publications assessing relationships between one or several plasma biomarkers and CSF pathology, comorbidities, diagnosis, and/or cognitive impairment are appropriately cited.
- 2. **Interpretation**: Our findings suggest that AD/ADRD plasma biomarkers are most associated with amyloid positron emission tomography (PET) deposition, followed by gray matter volume, and, to a lesser extent, with indices of white matter brain health in a diverse cohort.
- 3. **Future directions**: The combination of plasma and imaging biomarkers may help better establish biomarkerspecific cutoffs that both are clinically useful and generalize across studies and cohorts. Extending this work, incorporating additional analytes, including p-tau217, we aim to establish a range of clinically relevant cutoffs (eg, thresholds) for plasma biomarkers in reference to established imaging biomarkers, demographics, and diagnostic information.

version 7.2 [\(https://surfer.nmr.mgh.harvard.edu\)](https://surfer.nmr.mgh.harvard.edu). Global WMH volume was segmented by the lesion growth algorithm (LGA) as implemented in the Lesion Segmentation Toolbox (LST) version 2.0.15 in SPM12 from co-registered FLAIR and T1 images in native space.<sup>[19](#page-13-0)</sup> WMH was adjusted for total intracranial volume and log-transformed before analysis.[20](#page-13-0) Global average DTI FA and NODDI FW values were computed using the average signal across all white matter tracts from the Johns Hopkins University (JHU) DTI atlas<sup>[21](#page-13-0)</sup> overlaid on FA and NODDI images in template space.

# 2.4.2 A<sub>β</sub>-PET Imaging

As previously described,<sup>[22](#page-13-0)</sup> fibrillar Aβ brain deposition on PET was assessed with  $[$ <sup>11</sup>C]-Pittsburgh compound B ( $[$ <sup>11</sup>C]PiB).<sup>23</sup> Following a computed tomography (CT) scan for attenuation correction, participants were injected with an intravenous bolus of ∼10mCi (approximately 370 MBq)  $[$ <sup>11</sup>C]PiB and scanned from 40 to 70 min (6×5min frames) following injection on a 64-slice GE Discovery MI DR PET/CT scanner. All participants' CT images were co-registered to their

### <span id="page-3-0"></span>**TABLE 1** Participant characteristics.



Abbreviations: A*β*, amyloid beta; A*β*POS-PET, A*β*-PET positive (SUVr ≥ 1.21); BMI, body mass index; BVOL, total brain volume (adjusted for intracranial volume); CU, cognitively unimpaired; DEM, dementia; DWI, diffusion weighted imaging; eGFR, estimated glomerular filtration rate; FA, fraction anisotropy; FW, free water; GFAP, glial fibrillary acidic protein; MCI, mild cognitive impairment; NfL, neurofilament light; NODDI, neurite orientation dispersion and density imaging; SUVr, standardized uptake value ratio; WMH, log-transformed global white matter hyperintensity values. <sup>a</sup>*n* (%); median (IQR).

bPearson's chi-squared test; Kruskal-Wallis rank-sum test; Fisher's exact test.

cFalse discovery rate correction for multiple testing.

<sup>d</sup>Sum *Z*-scored composite of NfL, GFAP, Aβ42/40, and p-tau181 (PLASMA SUM; see Methods).

<span id="page-4-0"></span>structural MRI, and PET frames were co-registered to MRI space using the affine matrix from the CT-MRI co-registration. Standardized uptake volume ratio (SUVr) images were co-registered and resliced to the T1 structural MRI closest in time within ∼6 months on average (months: Mean = 0.98; standard deviation [SD] = 5.9). A*β* deposition (eg,  $[11C]$ PiB uptake visualized by PET) was quantified using a voxelwise SUVr, calculated as the PiB SUVr (40 to 70 min, cerebellar gray reference) signal averaged from a cortical meta-region of interest sensitive to the early pathogenesis of AD relative to the uptake in the cerebellum, using FreeSurfer-segmented regions.  $22,24$  Global  $[11C]$ PiB SUVR (A*β*-PET) served as a biomarker of amyloid burden used in all analyses. Amyloid positivity (A*β*− and A*β*+) was a previously defined threshold ( $\geq$  1.21 PiB SUVr).<sup>[25](#page-13-0)</sup>

## 2.4.3 | Plasma AD biomarkers

Blood was collected from fasting participants and processed within 30 min of collection. For plasma, 10-mL EDTA-treated tubes were inverted 10 times and placed on wet ice before centrifuging at 2000 × *g* at 4◦C for 15 min. Processed plasma was then aliquoted at a volume of 0.5 mL in 1.5-mL into non-sterile/skirted tubes (Fisherbrand cat. 02-681-338) and stored at −80◦C. Batch shipments were sent to the National Centralized Repository for Alzheimer's Disease and Related Dementias (NCRAD) Biomarker Assay Laboratory on dry ice for analysis of plasma AD biomarkers. Assay plates contained 31 samples each and were balanced on age and sex along with collection visit for longitudinal samples. Thawed plasma samples were vortexed and centrifuged at 10,000 × *g* for 5 min prior to analysis. Each sample was processed in duplicate per the manufacturer's instructions starting with  $4\times$  dilution with kit-specific diluent on a Tecan Fluent 1080 automated liquid handler. Plasma NfL, GFAP, A*β*42, A*β*40, and p-tau181 were analyzed utilizing the Quanterix Simoa Neurology 4-Plex E and p-tau181 version 2 Advantage Kits on a Quanterix Simoa HD-X. All assays were performed according to the manufacturer's instructions are reported in units of picograms per milliliter (pg/mL). The kit provided quality control samples that were used to verify results and met quality criteria for analytical performance provided by the manufacturer. Pooled plasma reference (PPR) samples were analyzed on each plate to monitor assay performance in the laboratory (Table S3). We also assessed A*β*42/40 ratio[26,27](#page-13-0) and a sum *z*-score composite of plasma NfL, GFAP, A*β*42/40 (inverted), and p-tau181 (PLASMA SUM).[28](#page-13-0) Potentially implausible plasma biomarker values greater than *four*times the interquartile ratio (NfL = 3; GFAP = 6; A*β*42/40 = 1; p-tau181 = 8 participants) were removed from analyses.

### 2.4.4 Assessment of comorbid health conditions

Kidney function was evaluated in blood from individuals free from severe CKD using the estimated glomerular filtration rate (eGFR; severe [Stage G4 and G5] CKD defined as eGFR  $<$  30; also see participant characteristics) via Labcorp assay presented in units of mL/min/1.73 m<sup>2</sup>. Total BMI was calculated as weight (lb)/[height  $(in.)$ ]<sup>2</sup>  $\times$  703. BMI and eGFR were assessed as continuous variables. Medications were assessed as described earlier.

## **2.5 Statistical analysis**

Associations between baseline plasma and neuroimaging data were assessed via unadjusted and adjusted general linear models (GLMs) using a model-building approach. GLMs were constructed using robust standard errors; all continuous predictors (X) and outcomes (Y) were standardized (eg, centered, and scaled by their standard deviation) prior to analysis to permit cross-model comparisons. Base (unadjusted) models linking plasma and neuroimaging biomarkers were compared with (1) a model adjusted exclusively for cardiometabolic factors (Adj: eGFR and BMI), (2) a fully adjusted model (F-Adj) combining cardiometabolic factors with demographic variables (age, sex, race, education), *APOE ε*4 carrier status, and medication use (HTNmed, DBmed, ADmed), and, finally, (3) a fully adjusted model incorporating clinical diagnosis in order to account for disease stage. The relationship between plasma biomarker levels and A*β*-PET positivity status was assessed using logistic regression. Raw unadjusted andWinsorized Pearson and Spearman correlation coefficients are provided in the supplemental material for all primary continuous measures of interest. Additional *supporting* stratification analyses were performed to assess whether relationships between plasma and imaging biomarkers differed by sex, race, *APOE ε*4 carrier status, A*β*-PET positivity, and clinical diagnosis. Stratification analyses are included in the supplemental material to provide a comprehensive assessment of biomarkers within the Wake Forest ADRC. Stratification analyses were performed using the non-parametric Wilcoxon signed-rank test to account for sampling biases. Corresponding effect sizes are reported to aid with interpretation in instances where there are a limited number of observations (eg, race) and are interpreted using established guidelines (Wilcoxon *r*: negligible  $< 0.10$ , small  $[S] = 0.10$  to 0.30, moderate  $[M] = 0.30$  to 0.50, large [L] > = 0.50; Cohen's *d*: negligible < 0.20, small < 0.50, medium < 0.80; large  $\geq$  0.80).<sup>[29](#page-13-0)</sup> Multiple-comparison corrections were performed using the Benjamini–Hochberg false discovery rate (q).[30](#page-13-0) All analyses were conducted in R (RStudio Team, 2020).

## **3 RESULTS**

### **3.1 Participant characteristics**

Participant characteristics overall and by cognitive diagnosis are shown in Table [1.](#page-3-0) The mean age of participants was 69.89 ± 8.01; ∼19% selfidentified as Black/African American and 66% as female, and 34% were *APOE ε*4 carriers. MCI and DEM groups were slightly older on average compared to CU participants, and a larger proportion of males were classified as either MCI or DEM. A full summary of participant medication use can be found in the supplementary material (Table S1 and Table S2). Of those with eGFR data (74%; *n* = 410), ∼14.1% were

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classified as having *normal-high* kidney function ( *n* = 58; eGFR > = 90), ∼71.2% ( *n* = 292) had *mildly decreased* eGFR values in the range of 60 to 90, and ∼14.7% ( *n* = 60) had values between 32 (lowest) and 59. Approximately 86% of participants thus met the criteria (eGFR  $<$  60) for monitoring of early-stage kidney disease. $31,32$  For BMI, 39% of the sample was characterized as overweight ( *n* = 217; BMI > = 25 and < 30), 28.2% as obese ( *n* = 157; BMI > 30), 1.44% as underweight ( *n* = 8; BMI < 18.5), and 31.3% in the normal range ( *n* = 174; BMI > = 18.5 and < 25) per established guidelines. Diagnostic groups differed on all measures assessed, except for eGFR. BMI was reduced in DEM and elevated in MCI participants compared to CU. Plasma biomarker levels were elevated in MCI and DEM participants (CU < MCI < DEM). Total brain volume and fractional anisotropy were reduced, while WMHs, NODDI FW, and A*β*-PET deposition were elevated in MCI and DEM participants (CU < MCI < DEM).

## **3.2 Biomarkers**

Plasma biomarkers were moderately correlated with each other (absolute *rho* = 0.20 to 0.61; all *p* < 0.001; see Table S4 for Pearson and Spearman correlation tables) and all significantly elevated (A*β*42/40 lower) in both DEM and MCI participants compared to CU (Figure [1](#page-6-0) ; Table [1\)](#page-3-0), as well as in A*β*-PET-positive (SUVr ≥ 1.21) compared to A*β*-PET-negative individuals (all *p* < 0.001; also see Figure S1). NfL, GFAP, p-tau181, and PLASMA SUM significantly differed between MCI and DEM participants (all *p* < 0.05); A*β*42/40 did not differ between MCI and DEM ( $p = 0.076$ ). All plasma biomarkers became more abnormal with advancing age (NfL:  $rho = 0.59$ ,  $p<sup>-fdr</sup> < 0.001$ ; GFAP: *rho* = 0.49, *p* −fdr < 0.001; A*β*42/40: *rho* = −0.26, *p* −fdr < 0.001; p-tau181: rho = 0.36, *p* −fdr < 0.001; PLASMA SUM: *rho* = 0.51, *p* −fdr < 0.001). Except for A*β*42/40, plasma biomarkers were negatively associated with eGFR (NfL:  $rho = -0.41$ ,  $p<sup>-fdr</sup> < 0.001$ ; GFAP: *rho = −*0.33, *p*<sup>−fdr</sup> < 0.001; p-tau181: *rho = −*0.29, *p<sup>−fdr</sup> <* 0.001; PLASMA SUM:  $rho = -0.35, p^{-fdr} < 0.001$  and with BMI (NfL: *rho* = −0.24, *p*<sup>−fdr</sup> < 0.001; GFAP: rho = −0.27, *p*<sup>−fdr</sup> < 0.001; p-tau181: *rho* = −0.09, *p* −fdr < 0.001; PLASMA SUM: *rho* = −0.26, *p* −fdr < 0.001; Figure S2). Across diagnostic groups, eGFR and BMI were weakly negatively associated ( $r^2 = 0.01$ ;  $p = 0.048$ ); however, the negative association was strongest in CU participants ( $r^2 = 0.02$ ;  $p = .042$ ).

### **3.3 Biomarker associations**

Plasma biomarkers were significantly associated with neuroimaging biomarkers in unadjusted models and in models adjusted for eGFR and BMI (all  $p < 0.05$ ; Figure [2,](#page-7-0) Tables 2 and [3\)](#page-8-0), with the exception of no associations between A*β*42/40 and both FA and FW. We found small to moderate associations in unadjusted models (A*β*-PET:  $r^2 = 0.17$  to 38; BVOL:  $r^2 = 0.04$  to 22; WMH:  $r^2 = 0.02$  to 0.18; FA:  $r^2 = 0.001$  to 0.05; FW:  $r^2 = 0.001$  to 0.11). p-tau181, GFAP, and PLASMA SUM were most strongly associated with A*β*-PET deposition; NfL was most strongly associated with BVOL and WMH. In fully



DEM, dementia; DWI, diffusion weighted imaging; Dx, diagnosis; FA, fractional anisotropy; F-Adj, adjusted models plus additional covariates (age, sex, race, education, APOE e4 status, medications). F-Adj-Dx., fully DEM. dementia: DWI. diffusion weighted imaging: Dx, diagnosis: FA, fractional anisotropy: F-Adi, adjusted models plus additional covariates (age, sex, race, education, APOE e4 status, medications). F-Adi-Dx, fully adjusted models plus clinical diagnosis; FW, free water; GFAP, glial fibrillary acidic protein; logWMH, log-transformed global white matter hyperintensity values; MCI, mild cognitive impairment; NfL, neurofilament Abbreviations: Adj, linear models adjusted for comorbidities (eGFR, BMI); Aß, amyloid beta; baseline unadjusted models; BVOL, brain volume (adjusted for intracranial volume); CU, cognitively unimpaired; Abbreviations: Adj, linear models adjusted for comorbidities (eGFR, BMI); A*β*, amyloid beta; base, baseline unadjusted models; BVOL, brain volume (adjusted for intracranial volume); CU, cognitively unimpaired; adjusted models plus clinical diagnosis; FW, free water; GFAP, glial fibrillary acidic protein; log viral pog transformed global white matter hyperintensity values; MCI, mild cognitive impairment; NfL, neurofilament light chain; NODDI, neurite orientation dispersion and density imaging; SUVr, standardized uptake value ratio.ight chain; NODDI, neurite orientation dispersion and density imaging; SUVr, standardized uptake value ratio

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**FIGURE 1** Unadjusted group comparisons of plasma and neuroimaging measures by diagnostic status. Note that levels of plasma and neuroimaging biomarkers are compared across diagnostic groups (unadjusted; Dx: blue = CU; green = MCI; red = DEM). A*β*, amyloid beta; BVOL, brain volume (adjusted for intracranial volume); CU, cognitively unimpaired; DEM, dementia; DWI, diffusion weighted imaging; Dx, diagnosis; FA, fractional anisotropy; FW, freewater; GFAP, glial fibrillary acidic protein; MCI, mild cognitive impairment; NfL, neurofilament light; NODDI, neurite orientation dispersion and density imaging; PLASMA SUM = sum *Z*-scored composite of NfL, GFAP, A*β*42/40, and p-tau181; WMH, log-transformed global white matter hyperintensity volume.

adjusted models, age, sex, race, *APOE ε*4 carrier status, and diagnosis differentially attenuated associations between A*β*42/40, p-tau181, and NfL with imaging biomarkers, particularly for measures of white matter brain health (coefficients  $p < 0.05$ ; see Table S5 and also see Stratification Analyses in the supplemental material). GFAP remained significantly associated with all neuroimaging biomarkers after covariate adjustment (*p* < 0.05); no A*β*42/40 associations with imaging biomarkers survived adjustment (*p* > 0.05). p-tau181 remained significantly associated with A*β*-PET and BVOL. Attenuation effects and model fit statistics are provided in the supplementary material (Table

S6 and S7). Log-transformation of plasma biomarkers did not modify the reported findings.

### **3.4 A***β***-PET positivity status**

Plasma biomarkers were significantly associated with greater odds of being A*β*-PET positive in unadjusted models and in models adjusted for eGFR and BMI (all  $p < 0.05$ ; Table [4\)](#page-9-0). GFAP and p-tau181, but not NfL or A*β*42/40, remained associated with A*β*-PET positivity status after controlling for additional demographic factors irrespective



 $r^2 = 0.07, p < 0.001$ 

 $\overline{\mathbf{z}}$ 

500

 $r^2 = 0.04$ ,  $p = 0.002$ 

<span id="page-7-0"></span>



 $r^2$  = 0.00,  $p = 0.160$ 

 $r^2 = 0.07$ ,  $p < 0.001$ 

 $r^2 = 0.11, p \le 0.001$ 

 $0.25$ 

 $0.20$ 

 $0.15$ 

 $0.10$ 

**FIGURE 2** Unadjusted linear relationships between plasma and neuroimaging biomarkers. Note that unadjusted bivariate associations between plasma and neuroimaging markers are presented. r2 statistics and *p* values represent lines of best fit (solid black line) for the full sample of participants (irrespective of consensus diagnosis). Plasma-neuroimaging associations, stratified by clinical diagnosis, are provided for comparison (blue = CU; green = MCI; red = DEM). Plasma biomarkers are most strongly associated with Aβ-PET deposition. Adj, linear models adjusted for comorbidities (eGFR, BMI); A*β*, amyloid beta; BVOL, brain volume (adjusted for intracranial volume); CU, cognitively unimpaired; DEM, dementia; base, baseline unadjusted models; DWI, diffusion weighted imaging; Dx, diagnosis; FA, fractional anisotropy; FW, free water; GFAP, glial fibrillary acidic protein; MCI, mild cognitive impairment; NfL, neurofilament light; NODDI, neurite orientation dispersion and density imaging; SUVr, standardized uptake volume ratio; WMH, log-transformed global white matter hyperintensity volume; F-Adj, adjusted models plus additional covariates (age, sex, race, education, *APOE ε*4 status, medications); F-Adj\*, fully adjusted models plus clinical diagnosis.



TABLE 3 Unadjusted and adjusted linear models assessing associations between plasma and neuroimaging biomarkers. **TABLE 3** Unadjusted and adjusted linear models assessing associations between plasma and neuroimaging biomarkers.

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status (Dx. CU, MCI, DEW); Free water: GFAP, gilal fibrillary acidic protein; MCI, mild cognitive impairment: NH, neurofilament light chain; NODDI, neurite orientation dispersion and density imaging: a. Beniamini-Hochberg

false discovery rate; SUVr, standardized uptake value ratio; WMH, log-transformed global white matter hyperintensity values.

Bold values represent plasma biomarker coefficient significant at *p* < 0.05.

<span id="page-9-0"></span>

*Note*: Logistic regression models assessed *independent* associations between plasma biomarker levels and amyloid PET positivity status before and after adjusting for covariates and comorbid health complications. All continuous predictors were *z*-scored prior to analysis.

Abbreviations: Adj, linear models adjusted for comorbidities (eGFR, BMI); A*β*, amyloid beta; Base, baseline unadjusted models; CI, confidence interval; CU, cognitively unimpaired; DEM, dementia;Dx, Diagnosis; F-Adj, linear models adjusted for comorbidities (eGFR, BMI) and covariates (age, sex, race, education, *APOE ε*4 status); F-Adj-Dx, full adjusted model plus diagnosis; GFAP, glial acid fibrillary protein; MCI, mild cognitive impairment; NfL, neurofilament light chain; OR, odds ratio; p-Tau, phosphorylated tau at Threonine 181; *q*, Benjamini–Hochberg false discovery rate; SE, standard error. Bold value represent plasma biomarker coefficient significant at *p* < 0.05.

### **TABLE 5** Relationship between plasma biomarker levels and A*β*-PET positivity status.



*Note*: Logistic regression models assessed associations between plasma biomarker levels and amyloid PET positivity status before and after adjusting for covariates and comorbid health complications. Here, all plasma biomarkers were entered simultaneously to predict amyloid PET positivity status. All continuous predictors were *z*-scored prior to analysis.

Abbreviations: A*β*, Amyloid-Beta; Adj, linear models adjusted for comorbidities (eGFR, BMI); Base, baseline model with all plasma biomarkers as predictors; F-Adj, linear models adjusted for comorbidities (eGFR, BMI) & covariates (Age, Sex, Race, Education, *APOE*-*ε*4 status); F-Adj-Dx., Full adjusted model plus diagnosis; CI, confidence interval; NfL, neurofilament light chain; GFAP, glial acid fibrillary protein; p-Tau, Phosphorylated Tau at Threonine 181; OR, oddsratio; SE, standard error; *q*, Benjamini-Hochberg false discovery rate.

of clinical diagnosis. When plasma biomarkers were entered *simultaneously* as predictors in unadjusted models (Table 5), GFAP, A*β*42/40, and p-tau181 were associated with increased odds of being classified as A*β*-PET positive, in adjusted models only p-tau181 remained significantly associated with A*β*-PET positivity (standardized odds ratios: 2.03 to 5.61,  $p <$  = 0.003; Table 5) (model area under the receiver operating characteristic curve [AUC]: Base =  $0.866$ ; Adj =  $0.890$ ; F-Adj = 0.914; F-Adj-Dx = 0.944; all *p* < 0.001).

# **3.5 Supplemental exploratory stratification analyses**

Marginal group differences were observed when stratifying comorbid health complications (eGFR, BMI) and plasma and neuroimaging biomarkers by sex, race, *APOE ε*4, and A*β*-PET positivity status (Table [6;](#page-10-0) see Table S8 for a full statistical breakdown of results; also see Figure S3). In exploratory post hoc analyses (not shown), we did not observe

### <span id="page-10-0"></span>**TABLE 6** Stratification analysis effect-size summary table.



*Note*: Stratification analyses assessed unadjusted group mean differences between males and females (sex), White and Black participants (Race), *APOE ε*4 carriers versus non-carriers, participants clinically adjudicated as CU, MCI, or DEM (Dx), and A*β*-PET-positive versus negative individuals (A*β*-POS). Nonparametric Kruskal–Wallis effect size estimates are presented for models with significant group differences after correcting for multiple comparisons using the Benjamini–Hochberg false discovery rate. Magnitude of effect sizes is based on established norms (small: 0.01 to 0.059; moderate: 0.06 to 0.139;  $l$ arge  $> 0.14$ ).

Abbreviations: A*β*, amyloid beta; BMI, body mass index; BVOL, total brain volume; CU, cognitively unimpaired; DEM, dementia; Dx, diagnosis; eGFR, estimated glomerular filtration rate; FA, fractional anisotropy; FW, free water; GFAP, glial acid fibrillary protein; L, large effect size; M, moderate effect size; MCI, mild cognitive impairment; NfL, neurofilament light chain; p-Tau, phosphorylated tau at threonine 181; S, small effect size; WMH, white matter hyperintensities.

any effect modification for any of the imaging outcomes assessed when testing for interaction terms between plasma biomarkers and either Dx, race, sex, or *APOE ε*4 carrier status.

## **4 DISCUSSION**

While CSF remains the gold standard biofluid for ADRD biomarkers, plasma biomarkers are a feasible and low-cost means of detecting, tracking, and monitoring disease progression. At present, there is a critical need to understand better how clinical, physiological, and patho-logical factors alter levels of plasma biomarkers<sup>[1,4,33](#page-12-0)</sup> and the extent to which such factors may impact associations with well-established (imaging) biomarkers of AD/ADRD in more diverse cohorts. We systematically assessed the extent to which health-related risk factors and comorbidities may alter or weaken specific relationships between plasma and imaging biomarkers. Plasma biomarker levels significantly differed between clinically adjudicated groups (DEM > MCI > CU), were altered in A*β*-PET-positive individuals, and were overall *modestly* associated with poorer brain health (eg, lower total brain volume, higher amyloid deposition) in adjusted and unadjusted linear models. Plasma biomarkers were most associated with greater amyloid deposition (A*β*-PET) and lower total volume (BVOL) and, to a lesser extent, with neurodegeneration and pathology specific to white matter. Associations between plasma and imaging biomarkers were independent of eGFR and BMI.

## **4.1 Comorbidities and risk factors**

As observed in previous studies, and replicated here, levels of plasma biomarkers increased with age $^{34,35}$  $^{34,35}$  $^{34,35}$  and were altered by BMI and kidney dysfunction.<sup>5-7</sup> In the study by Mielke and colleagues (2022), however, both p-tau181 and p-tau217 only increased with age among Aβ-PET-positive but not negative individuals.<sup>[7](#page-12-0)</sup> Here, we found that this

effect was specific to measures of amyloid deposition (eg, p-tau181 and A*β*42/40) but not NfL or GFAP (Figure S1). Age was also not a significant factor over and above *APOE ε*4 status in models assessing the relationship between plasma biomarkers and A*β*-PET deposition, consistent with prior work documenting age-independent effects of APOE on amyloid deposition.<sup>[36](#page-13-0)</sup> Although comorbidities or common risk factors of AD/ADRD may alter *levels* of circulating plasma biomarkers in older adults, previous research suggested that they have modest effects in (linear) statistical models predicting CSF-derived measures of neuropathology, cognitive impairment, and diagnosis of MCI or dementia. $5,37$  In the current study, we also found a limited impact of eGFR and BMI on linear associations between plasma AD/ADRD biomarkers and neuroimaging measures. Of note, eGFR and BMI were not associated with one another in the whole sample or when stratified by clinical diagnosis. Critically, as noted earlier (also see Methods), no participants in our study had CKD (eGFR  $<$  30) at baseline, so were unable to assess further the impact that chronically abnormal levels of kidney functioning have on biomarker associations. The combined influence of eGFR and BMI most influenced associations with A*β*42/40 and least impacted associations with A*β*-PET in the current study. Interestingly, the inverse effects of BMI, as noted by Syrjanen and colleagues, may be attributed to impaired insulin signaling and glucose metabolism.[6,38–41](#page-12-0) It should be noted that the presence of CKD remains of critical importance and can help prevent incorrect etiological diagnoses and refinement of clinical cutpoints as clinical decisions come to rely more heavily on blood-based (eg, plasma) biomarkers. We focused primarily on the impact of kidney functioning and BMI as such factors are more directly linked to clearance of plasma proteins than other potential comorbidities. The Wake Forest ADRC cohort provides an array of data on cardiometabolic and other risk factors that may underlie an increased risk of dementia in a subset of participants. Thus, future work can help disentangle complex interactions between health-related and other risk factors, as well as explicitly model rather than covary for co-pathologies and medication use as more data become available.

### **4.2 Stratified analyses**

eGFR differed only by race, where estimates of eGFR were lower in White compared to Black/African American participants. We further observed marginal but significant group differences comparing biomarker levels between males and females and when stratifying by race or *APOE ε*4 status. Specifically, females exhibited slight elevations in GFAP, and the plasma composite as compared to males, while NfL and p-tau181 were elevated (A*β*42/40 reduced) in White compared to Black/African American participants. BMI–plasma biomarker associations were exclusive to the CU cohort. In adjusted models, BMIattenuated plasma–imaging biomarker associations for white but not gray matter pathology or amyloid deposition. Inconsistent findings concerning relationships between A*β*40 and A*β*42 (or other plasma biomarkers) with imaging biomarkers may further highlight the importance of examining markers within representative cohorts at different disease stages. How health-related risk factors and comorbidities impact overall health and the degree to which plasma and imaging biomarkers indicate overall risk of cognitive decline and dementia may be person-specific. In future work, we plan to utilize predictive modeling strategies to better account for high-dimensional interactions between both well-established and nascent risk factors captured in our cohort.

The Wake Forest ADRC cohort is relatively more racially diverse than most of the previously published populations used to analyze plasma biomarkers and provides an array of data on cardiometabolic and other risk factors that may underlie an increased risk of dementia. Importantly, however, the final sample analyzed here remains ∼75% White (∼75% female) and∼95%White concerning PET imaging, where the sample size of participants is reduced compared to those with MRI measures. Lower rates of A*β*-PET positivity and reduced estimates of A*β*-PET deposition and plasma A*β* have been reported on average in Black/African Americans, even in those who carry the *APOE ε*4 allele.<sup>[42,43](#page-13-0)</sup> We are committed to enhancing representation of underrepresented groups in our cohort and emphasize the continued need for increased representation across ADRCs to ensure proper inference when drawing conclusions about the diagnostic and prognostic value of biomarkers in the population.

Amyloid PET centiloid (CL) values were also calculated in the Wake Forest ADRC cohort following established guidelines.<sup>[44](#page-13-0)</sup> Initial estimates suggested our SUVr cutoff of 1.21 was equivalent to ∼18.8 CL. Continued exploration of biomarker outpoints in the context of both comorbidities and demographic characteristics is warranted. Cardiovascular risk factors implicated in AD may differentially impact the deposition of A*β* within a particular racial/ethnic group, including Black/African Americans. $45,46$  In our cohort, plasma and imaging biomarker levels were lower, on average, in Black/African Americans compared to White participants. Caution against overinterpretation of stratified analyses is warranted given the characteristics of our sample, particularly the limited number of non-White participants, considerable overlap in biomarker levels, and observed small effect sizes. Our intent was to provide a detailed examination of plasma–imaging associations given the characteristics of our cohort. Critically, highdimensional interactions among competing risk factors as assessed here (eg, age, sex, race, ethnicity, *APOE*) may modify associations between plasma and imaging biomarkers in a stage-specific manner and, thus, require longitudinal assessment. $47$  Likewise, although not unique to our ADRC cohort, $48$  the greater number of female than male participants in our cohort may bias future analyses assessing sex differences concerning. Recruitment is ongoing, and efforts to increase representation, particularly in our imaging cohort, are under way.

The Wake Forest ADRC cohort primarily includes participants with dementia with typical amnestic symptoms, etiologically due to AD; as such, this study is underpowered to examine differential effects by dementia subtypes. Regardless, combinations of plasma biomarkers and imaging biomarkers may better distinguish between biological subtypes of dementia in diverse cohorts. Here, we found that a simple sum composite of plasma biomarkers (PLASMA SUM) slightly improved associations with all imaging biomarkers assessed, except A*β*-PET deposition. Plasma biomarkers were moderately correlated with one another, and we observed small but significant differences when stratifying the sample by sex, race, *APOE ε*4 status, A*β*-PET positivity, or Dx. Thus, combining biomarkers in this fashion may result in a loss of critical information and not generalize well across cohorts. In terms of prediction, the inclusion of multiple plasma biomarkers has been shown to (1) outperform plasma biomarkers when assessed independently and (2) improve 4-year prediction of conversion of MCI to dementia and prediction of amyloid and tau neuropathological stages.[49](#page-14-0) Regardless, *plasma biomarkers may be best suited to serve as screening tools*, [1,3,5,50](#page-12-0) helping to identify at-risk individuals who should undergo additional testing (eg, PET and CSF). Further consideration of inflammation or vascular related blood-based biomarkers, such as interleukin 6, C-reactive protein, or placental growth factor, may provide additional insights into mechanisms that contribute to cognitive impairment and dementia seen in mid versus late life<sup>51-53</sup> and help distinguish subtypes of dementia.<sup>[54](#page-14-0)</sup> Future studies should attempt to understand how plasma biomarkers provide added sensitivity over and above other established risk factors and biomarkers in elucidating *pathways*to dementia.

# **5 CONCLUSION**

Plasma biomarker levels significantly differed across diagnostic groups (DEM > MCI > CU), were altered in A*β*-PET-positive individuals, and, overall, moderately associated with poorer brain health in adjusted and unadjusted linear models. Plasma biomarkers were most associated with higher amyloid deposition (A*β*-PET) and lower total brain volume (BVOL); associations with markers of white matter-specific neurodegeneration and pathology were limited. Overall, the addition of health-related risk factors did not alter associations between plasma and neuroimaging biomarkers. Except for GFAP and, to an extent, ptau181, associations between plasma and neuroimaging biomarkers were differentially impacted by covariate adjustment before and after stratifying by clinical diagnosis. The combination of plasma biomarkers and imaging biomarkers may help better establish biomarker-specific <span id="page-12-0"></span>cutoffs that are both clinically useful and that generalize across studies and cohorts. Extending this work, incorporating additional analytes including p-tau217, we aim to establish a range of clinically relevant cutoffs (eg, thresholds) for plasma biomarkers in reference to established biomarkers, demographics, and diagnostic information in the context of demographic and health-related information.

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### **CONFLICT OF INTEREST STATEMENT**

The authors declare the following financial interests/personal relationships, which may be considered as potential competing interests: Marc

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### **CONSENT STATEMENT**

Written informed consent was obtained for all participants and/or their legally authorized representatives.

#### **ORCID**

*Marc D. Rudolph* <https://orcid.org/0000-0001-7046-1169>

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### **SUPPORTING INFORMATION**

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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