A Beam Angle Selection Method to Improve Plan Robustness Against Position Error in Intensity-Modulated Radiotherapy for Left-Sided Breast Cancer

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Abstract

Purpose: We report a dosimetric study in whole breast irradiation (WBI) of plan robustness evaluation against position error with two radiation techniques: tangential intensity-modulated radiotherapy (T-IMRT) and multi-angle IMRT (M-IMRT). **Methods:** Ten left-sided patients underwent WBI were selected. The dosimetric characteristics, biological evaluation and plan robustness were evaluated. The plan robustness quantification was performed by calculating the dose differences (Δ) of the original plan and perturbed plans, which were recalculated by introducing a 3-, 5-, and 10-mm shift in 18 directions. **Results:** M-IMRT showed better sparing of high-dose volume of organs at risk (OARs), but performed a larger low-dose irradiation volume of normal tissue. The greater shift worsened plan robustness. For a 10-mm perturbation, greater dose differences were observed in T-IMRT plans in nearly all directions, with higher $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV Boost and CTV. A 10-mm shift in inferior (I) direction induced CTV Boost in T-IMRT plans a 1.1 ($\Delta D_{98\%}$), 1.1 ($\Delta D_{95\%}$), and 1.7 (ΔD_{mean}) times dose differences greater than dose differences in M-IMRT plans. For CTV Boost, shifts in the right (R) and I directions generated greater dose differences in T-IMRT plans, while shifts in left (L) and superior (S) directions generated larger dose differences in M-IMRT plans. For CTV, T-IMRT plans showed higher sensitivity to a shift in the R direction. M-IMRT plans showed higher sensitivity to shifts in L, S, and I directions. For OARs, negligible dose differences were found in V_{20} of the lungs and heart. Greater ΔD_{max} of the left anterior descending artery (LAD) was seen in M-IMRT plans. **Conclusion:** We proposed a plan robustness evaluation method to determine the beam angle against position uncertainty accompanied by optimal dose distribution and OAR sparing.

Keywords

left-sided breast, robustness, beam angle, intensity-modulated radiotherapy, position-error

Introduction

Whole-breast radiotherapy (WBRT) following breastconserving surgery reduced local recurrence and breast cancer (BC) mortality.¹⁻⁴ Cardio-protective strategies to further mitigate the injurious effects of radiotherapy (RT) are paramount for the cardiac complications that significantly affect the overall survival of BC patients.⁵ Intensity-modulated radiation treatment (IMRT) technique provided an optimal dose distribution, sparing of heart and the left anterior descending artery (LAD), and improved the target dose homogeneity and conformity, compared to the conventional three-dimensional conformal radiotherapy (3D-CRT) technique.⁶ Previous randomized trials implicated that the IMRT technique reduced acute radiation dermatitis, such as edema, erythema, moist desquamation, and breast pain.⁷⁻¹⁰ However, highly inverse optimized IMRT plans elevated the complexity of plans with smaller and irregular beam apertures and greater extent modulation of machine parameters,¹¹ thus further elevated the risk of inaccurate dose delivery induced by position error. Considering the higher risk of the IMRT technique, a deeper understanding of crucial factors associated with the robustness of IMRT plans is of pivotal significance

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to making a comprehensive evaluation by considering the plan robustness.¹²

Tangential intensity-modulated radiotherapy (T-IMRT) adopted the beam angle arrangement of the former 3D-CRT technique, and improved the conformity and homogeneity compared to 3D-CRT. Multi-angle IMRT (M-IMRT) sets even beam angles in the same range as T-IMRT to acquire a higher degree of beam angle. T-IMRT and M-IMRT techniques generated different dose distributions and organ at risk (OAR) sparing. To the best of our knowledge, few studies have evaluated the plan robustness of beam angle arrangement in photon radiotherapy. Thus, we aimed to evaluate the plan robustness of two IMRT plans with different beam angle arrangements and provide a comprehensive description and a reference for clinical use.

Materials and Methods

Ethics Approval and Consent to Participate

The study was approved by the institutional review board of the National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital & Shenzhen Hospital (Approval number: KYLX2022-122).

Patients and Inclusion Criteria

Ten patients diagnosed with left-sided invasive, lymph-node negative BC or ductal carcinoma in situ (DCIS) and treated with breast-conserving surgery, were included in this retrospective planning study. We state that the consents for participation of data have been obtained from all patients. All the patients included in this study are above 18 years old.

CT Simulation and Delineation

All the patients were immobilized by a breast bracket (CIVCO Medical Solutions, Orange City, IA, USA) in a supine position. The computed tomography (CT) images with a slice thickness of 5.0 mm were acquired using a 16-slice CT scanner (GE Discovery RT, GE Healthcare, Chicago, IL, USA). The clinical target volume (CTV), and clinical target volume boost (CTV Boost) were delineated by an experienced oncologist. The CTV was defined as the whole breast, and the CTV Boost was defined as a 2-cm margin of surgical clip. The plan target volume (PTV) and PTV Boost were generated by applying 5-mm radial and longitudinal margins from the CTV and CTV Boost, and subtracting the 5 mm of the skin surface. The OARs included bilateral lungs, heart, contralateral breast, the LAD, and esophagus.

Treatment Planning

The T-IMRT and M-IMRT plans were generated using Varian Eclipse (13.6 Version) treatment planning system (TPS) modeled with the VitalBeam (Varian, Palo Alto, USA) linac. In our center, we followed the hypo-fractionated radiotherapy initiated by Department of Radiation Oncology, National Cancer Center/ National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College.¹³ The prescription dose was 43.5 Gy in 15 fractions for PTV and a simultaneous integrated boost of 49.5 Gy in 15 fractions for PTV Boost. For T-IMRT plans (Figure 1(A)) contained 2 tangential fields and 4 neighboring fields spaced by $\pm 10^{\circ}$. For M-IMRT plans (Figure 1(B)), the fields were set as equal interval angles within the range of the 2 tangential fields. In both T-IMRT and M-IMRT plans, field 4 was set as 30° with the irradiated area solely covering PTV Boost. The same optimization objective, convolution optimization, and iterative optimization were used. All the original plans were normalized so that \geq 95% of the PTV and PTV Boost received 100% of the prescription dose.

Dosimetric Evaluation

The main parameters used to compare the T-IMRT and M-IMRT techniques were 98% dose coverage ($D_{98\%}$), 2 cm³ dose coverage (D_{2cc}), mean dose (D_{mean}) of PTV and PTV Boost, and the 95% dose coverage ($D_{95\%}$) of PTV. For OARs, V_{20} , V_5 , D_{mean} of the



Figure 1. Beam arrangements of T-IMRT and M-IMRT plans. (A). T-IMRT; (B) M-IMRT.



Figure 2. Steps in robustness evaluation of T-IMRT and M-IMRT plans.

ipsilateral lung (Lung L); V_{20} and D_{mean} of heart, $V_{5\text{Gy}}$ and D_{mean} of the contralateral lung (Lung R); D_{mean} of the contralateral breast (Breast R), D_{max} and D_{mean} of LAD and esophagus were evaluated. $D_{x\%}$ represented the dose (in Gy) received by x% of the volume, V_y the volume (in percentage) received by y Gy, and D_{2cc} the dose (in Gy) received by a volume of 2 cm³. D_{mean} and D_{max} represented the mean and max dose, respectively.

Robustness Quantification

Figure 2 illustrates the process of evaluating the robustness of T-IMRT and M-IMRT plans. We simulate the dose variations by introducing position shifts to the nominal plans. Eighteen perturbed plans were recalculated by shifting the isocenter from its reference point in the left-right (L-R), anterior-posterior (A-P), and superior-inferior (S-I) directions by 3, 5, and 10 mm. The differences in the dosimetric parameters ($\Delta D_{x\%}$ and ΔV_y) between the perturbed plans and the nominal plan were calculated. The band of the specific dose parameter, such as an example of the band of $D_{95\%}$, is the region between the nominal and perturbed curves at $D_{95\%}$, and was associated with the plan robustness. The absolute value of the dose difference corresponded to the plan robustness for the structure. The smaller value correlated with a better robustness for certain dosimetric parameters.

Biological Evaluation

The tumor control probability (TCP) of CTV and CTV Boost were calculated for the biological evaluation. The Schultheiss logit model was adopted to calculate TCP, according to Equation (1).

$$TCP = \frac{1}{1 + (TCD_{50} / EUD)^{4\gamma_{50}}}$$
(1)

 TCD_{50} is the radiation dose that locally controls 50% of the tumor cells when the dose is homogeneously irradiated. The γ_{50} describes

TCD₅₀ as 30.89 Gy, γ_{50} as 1.3.¹⁴ The equivalent uniform dose (EUD) was calculated according to Equations (2) and (3).

$$EUD = \left(\sum_{i=1}^{n} v_i EQD_{2i}^{1/n}\right)^n$$
(2)

$$EQD_{2i} = d_i \frac{\left(\left(\alpha \mid \beta\right) + \left(d_i \mid n_f\right)\right)}{\left(\left(\alpha \mid \beta\right) + 2\right)}$$
(3)

EQD₂ represented the equivalent dose in 2 Gy per fraction, which depended on the fraction size and α/β ratio for each case. v_i is the volume at dose D_i . Parameters m and n are specific dose–response constants.¹⁵ n_f is the number of fractions. The α/β values of the breast and lung were 4.0, and 3.7 for the heart.¹⁵ The TCP reduction (Δ TCP), which was the absolute value between the perturbed scenario and nominal scenario, corresponds to the plan robustness.

Statistical Analysis

We adopted either a two-tailed paired *t*-test (normal distribution) or the Wilcoxon signed-rank test (non-normal distribution) by using the IBM SPSS V22 software (IBM Incorporate, Armonk, USA). A *p*-value of less than 0.05 (*p < 0.05) was considered statistically significant.

Results and Discussion

PTV and PTV Boost Dosimetric Parameters

Both T-IMRT and M-IMRT plans were clinically acceptable. The dosimetric parameters of PTV, PTV Boost, and OARs

 Table 1. Dosimetric Parameters of PTV, PTV Boost, and OARs in

 T-IMRT and M-IMRT Plans.

Evaluated items		T-IMRT	M-IMRT	p-Value	
PTV Boost	D_{2cc} (Gy)	53.39 ± 1.25	53.06 ± 1.05	0.160	
	$D_{98\%}$ (Gy)	47.72 ± 2.92	48.17 ± 1.54	0.492	
	D_{mean} (Gy)	50.94 ± 0.26	50.88 ± 0.36	0.559	
PTV	$D_{98\%}$ (Gy)	37.13 ± 4.83	40.96 ± 1.74	**0.009	
	$D_{95\%}$ (Gy)	43.51 ± 0.25	43.69 ± 0.25	0.111	
	D_{mean} (Gy)	46.21 ± 0.68	46.01 ± 0.67	*0.049	
Lung L	V ₂₀ (%)	11.77 ± 3.06	11.32 ± 3.09	*0.027	
-	V_5 (%)	31.34 ± 5.66	38.88 ± 9.53	**0.003	
	D_{mean} (Gy)	7.53 ± 1.24	7.86 ± 41.43	*0.026	
Heart	V ₂₀ (%)	4.70 ± 1.85	3.45 ± 1.49	**0.005	
	D_{mean} (Gy)	4.30 ± 1.09	4.64 ± 1.19	0.131	
Lung R	V_5 (%)	0.05 ± 0.11	3.75 ± 2.65	**0.008	
	D_{mean} (Gy)	0.25 ± 0.13	1.50 ± 0.35	**0.002	
Breast R	D_{mean} (Gy)	0.49 ± 0.16	2.60 ± 0.97	**0.002	
LAD	$D_{\rm max}$ (Gy)	46.21 ± 2.43	42.24 ± 3.34	**0.006	
	D_{mean} (Gy)	22.70 ± 8.33	20.05 ± 5.82	0.098	
Esophagus	$D_{\rm max}$ (Gy)	2.76 ± 3.08	9.67 ± 5.90	*0.039	
	D_{mean} (Gy)	0.68 ± 0.45	2.36 ± 1.18	**0.001	

The results were exhibited by the mean dose \pm standard deviation (in bold **p* values < 0.05 and ***p* values < 0.01).

were summarized in Table 1. No significant differences were observed in D_{2cc} (p = 0.16), $D_{98\%}$ (p = 0.49), and D_{mean} (p = 0.56) of PTV Boost. For PTV, T-IMRT exhibited lower $D_{98\%}$ (** p = 0.009), $D_{95\%}$ (p = 0.11) but higher D_{mean} (*p = 0.05). T-IMRT plans produced lower V_5 (**p = 0.003) and D_{mean} (*p = 0.026), but with higher V_{20} (*p = 0.027) in the ipsilateral lung. Higher V_{20} (**p = 0.005) and D_{mean} (p = 0.131) of heart were observed in T-IMRT plans. M-IMRT plans had better sparing of LAD with lower D_{max} (**p = 0.006) and D_{mean} (p = 0.098). M-IMRT plans generated a larger volume of normal tissue irradiated by low dose, resulting in higher V_5 (**p = 0.008), D_{mean} (**p = 0.002) of the contralateral lung, higher D_{mean} (** p = 0.002) of the contralateral lung, higher D_{mean} (** p = 0.002) of the contralateral breast, and D_{max} (** p = 0.039) and D_{mean} (** p = 0.001) of esophagus.

Plan Robustness Evaluation

Figure 3 exhibited an example of dose-volume histogram of the nominal and perturbed plans for different isocenter shifts. The red solid line represented the nominal plan, while the colored dash lines represented the perturbed plans with position shifts in different directions. The band, which was marked in the pink shaded area, represented the degree of dose variation due to the position shift and was correspondent with plan robustness. When a 3-mm shift was introduced to the nominal plans, narrow bands were observed in both PTV (Figure 3(A), Figure 3(D)) and PTV Boost (Figure 3(G), Figure 3(J)) of T-IMRT and M-IMRT plans. When a 5-mm shift was introduced, an increased width of bands was found in PTV in both plans (Figure 3(B), Figure 3(E)). The width further increased with greater shifts. We noticed that the perturbed T-IMRT plans (Figure 3(C), especially in a 5- and 10-mm perturbed plan, resulted in an obvious decrease in the minimum dose of the PTV, which markedly increased the underdose risk of PTV. However, widened bands were observed in the PTV Boost of M-IMRT plans (Figure 3(K), Figure 3(L)) compared to T-IMRT plans (Figure 3(H), Figure 3(I)). We then further evaluated the dose differences of CTV and CTV Boost according to the formula (Figure 2).

We calculated the dose differences of $D_{98\%}$, $D_{95\%}$, and D_{mean} . The $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV Boost were summarized in Table 2. For a 3-mm perturbation, negligible $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV Boost were observed in both T-IMRT and M-IMRT plans. For a 5-mm perturbation, the shift in R and I directions exerted greater dose differences in $\Delta D_{98\%}$ of CTV Boost in T-IMRT plans (0.75 Gy for R direction, 1.40 Gy for I direction), while the shift in L and S directions induced greater dose differences in M-IMRT plans (1.13 Gy for L direction, 1.18 Gy for I direction). The shift in R and I directions generated greater dose differences in $\Delta D_{95\%}$ of CTV Boost in T-IMRT plans (0.63 Gy for R direction, 0.89 Gy for I direction), while the shift in L and S directions induced greater dose differences in M-IMRT plans (0.65Gy for L direction, 0.80 Gy for I direction). A 3- and 5-mm shift did not generate obvious dose differences of D_{mean} in both two techniques. For a 10-mm shift, greater dose differences



Figure 3. A sample of dose-volume histograms (DVHs) of the nominal and perturbed T-IMRT and M-IMRT plans for different isocenter shifts. (A) PTV of T-IMRT plans with a 3-mm shift; (B) PTV of T-IMRT plans with a 5-mm shift; (C) PTV of T-IMRT plans with a 10-mm shift; (D) PTV of M-IMRT plans with a 3-mm shift; (E) PTV of M-IMRT plans with a 5-mm shift; (F) PTV of M-IMRT plans with a 10-mm shift; (G) PTV Boost of T-IMRT plans with a 3-mm shift; (H) PTV Boost of T-IMRT plans with a 5-mm shift; (I) PTV Boost of T-IMRT plans with a 10-mm shift; (J) PTV Boost of M-IMRT plans with a 3-mm shift; (K) PTV Boost of M-IMRT plans with a 5-mm shift; (I) PTV Boost of T-IMRT plans with a 10-mm shift; (J) PTV Boost of M-IMRT plans with a 3-mm shift; (K) PTV Boost of M-IMRT plans with a 5-mm shift; (L) PTV Boost of M-IMRT plans with a 10-mm shift; (J) PTV Boost of M-IMRT plans with a 3-mm shift; (K) PTV Boost of M-IMRT plans with a 5-mm shift; (L) PTV Boost of M-IMRT plans with a 10-mm shift; (J) PTV Boost of M-IMRT plans with a 3-mm shift; (K) PTV Boost of M-IMRT plans with a 5-mm shift; (L) PTV Boost of M-IMRT plans with a 10-mm shift; PTV, plan target volume; PTV Boost, plan target volume boost; T-IMRT, tangential intensity-modulated radiation; M-IMRT, multiple-angle intensity-modulated radiation. L, left; R, right; A, anterior; P, posterior; S, superior; I, inferior.

were seen in T-IMRT plans in practically all directions, with higher $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV Boost. A 10-mm shift in S (5.47 Gy) and I (8.39 Gy) directions induced the

maximum dose differences in $\Delta D_{98\%}$ of the T-IMRT plans. A 10-mm shift in I direction induced the largest $\Delta D_{95\%}$ and ΔD_{mean} of CTV Boost in T-IMRT plans. Shifts in A-P

Position error	CTV Boost						
	$\Delta D_{98\%}$		$\Delta D_{95\%}$		$\Delta D_{\rm mean}$		
	T-IMRT (Gy)	M-IMRT (Gy)	T-IMRT (Gy)	M-IMRT (Gy)	T-IMRT (Gy)	M-IMRT (Gy)	
L (3 mm)	0.31 (0.01–1.03)	0.53 (0.02-2.00)	0.27 (0.00-0.94)	0.36 (0.04–1.72)	0.19 (0.01-0.54)	0.20 (0.03-0.82)	
R (3 mm)	0.37 (0.15-0.90)	0.22 (0.16-0.39)	0.35 (0.19-0.76)	0.24 (0.15-0.40)	0.25 (0.05-0.78)	0.43 (0.01-1.48)	
A (3 mm)	0.14 (0.03-0.40)	0.19 (0.01-0.95)	0.10 (0.02-0.23)	0.14(0.00-0.70)	0.01 (0.01-0.16)	0.06 (0.01-0.17)	
P (3 mm)	0.13 (0.03-0.21)	0.10 (0.03-0.20)	0.12 (0.00-0.24)	0.09 (0.01-0.19)	0.09 (0.03-0.21)	0.08 (0.01-0.20)	
S (3 mm)	0.38 (0.03-1.52)	0.61 (0.11-1.38)	0.34 (0.01-1.08)	0.40(0.01 - 1.00)	0.24 (0.08-0.40)	0.23 (0.03-0.39)	
I (3 mm)	0.50 (0.23-0.87)	0.37 (0.06-1.01)	0.41 (0.19-0.59)	0.29 (0.01-0.45)	0.28 (0.12-0.55)	0.26 (0.01-0.49)	
L (5 mm)	0.74 (0.04–1.64)	1.13 (0.08-2.91)	0.39 (0.06-1.49)	0.65 (0.03-2.55)	0.28 (0.02-0.74)	0.28 (0.01-1.08)	
R (5 mm)	0.75 (0.32-1.75)	0.39 (0.12-0.55)	0.63(0.34-1.01)	0.39(0.25-0.48)	0.44 (0.09–1.34)	0.80 (0.01-2.96)	
A (5 mm)	0.32 (0.00-0.75)	0.42 (0.02–1.61)	0.18 (0.06-0.47)	0.27 (0.00-1.19)	0.11 (0.03-0.23)	0.09 (0.01-0.21)	
P (5 mm)	0.31 (0.11-0.92)	0.22 (0.02-0.68)	0.24 (0.03-0.67)	0.18 (0.05-0.48)	0.15 (0.02-0.47)	0.16 (0.03-0.40)	
S (5 mm)	0.93 (0.08-3.99)	1.18 (0.10-3.06)	0.57 (0.02-2.07)	0.80 (0.03-1.63)	0.38 (0.11-0.50)	0.37 (0.06-0.63)	
I (5 mm)	1.40 (0.10-3.96)	0.93 (0.05-3.99)	0.89(0.10-1.54)	0.69 (0.01-1.98)	0.48 (0.24-0.94)	0.44 (0.03-0.90)	
L (10 mm)	4.01 (0.68–18.28)	3.74 (0.99-8.46)	1.39 (0.11-4.82)	2.09 (0.00-4.82)	0.37 (0.01–1.06)	0.48 (0.04-2.04)	
R (10 mm)	4.20 (1.13-8.48)	2.32 (1.34–3.77)	2.36 (0.84-5.97)	1.30 (0.72-2.00)	0.87 (0.01-2.29)	1.60 (0.33-6.16)	
A (10 mm)	2.72 (0.65-5.12)	2.49 (1.33-14.37)	1.63 (0.11-4.31)	1.44 (0.33-3.37)	0.30 (0.01-0.66)	0.20 (0.02-0.38)	
P (10 mm)	2.18 (0.41-6.17)	2.03 (0.35-5.69)	1.32 (0.03-5.68)	1.10 (0.03-5.00)	0.39 (0.00-1.69)	0.45 (0.02-1.54)	
S (10 mm)	5.47 (0.75-24.06)	4.07 (0.27–14.37)	2.30 (0.08-9.67)	2.14 (0.39-7.24)	0.65 (0.00-1.38)	0.59 (0.05-1.18)	
I (10 mm)	8.39 (1.76-20.67)	4.69 (1.28–15.03)	5.74 (1.04–16.35)	3.36 (0.54–12.40)	1.56 (0.21-2.55)	1.20 (0.09-2.04)	

Table 2. Absolute Dose Difference of Clinical Target Volume Boost (CTV Boost) Between the Reference and Perturbed T-IMRT and M-IMRT Plans for Different Isocenter Shifts.

The results were exhibited by mean value and range (in bold data $\Delta D_{x\%} > 1$ Gy).

directions induced negligible dose differences in both T-IMRT and M-IMRT plans.

The $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV were exhibited in Table 3. For a 3-mm perturbation, negligible $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV were observed in both T-IMRT and M-IMRT plans. For a 5-mm perturbation, $\Delta D_{98\%}$ and $\Delta D_{95\%}$ of CTV, shift in R direction exerted greater dose differences in T-IMRT plans (0.52 Gy ($\Delta D_{98\%}$) and 0.50 Gy ($\Delta D_{95\%}$)). The shift in L, S, and I directions induced greater $\Delta D_{98\%}$ (0.69 Gy (L), 1.21 Gy (S), and 1.62 Gy (I)) in M-IMRT plans. The shift in I direction induced higher $\Delta D_{95\%}$ (0.86 Gy) in T-IMRT plans. Both T-IMRT and M-IMRT plans exhibited lower sensitivity to shifts in A-P directions. Appreciably higher ΔD_{mean} of CTV was observed in T-IMRT plans. For a 10-mm perturbation, substantial dose differences were seen in T-IMRT plans in all directions, with higher $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV. The maximum dose differences appeared in $\Delta D_{98\%}$ of the T-IMRT plans with a 10-mm shift in S (12.02 Gy) and I (9.93 Gy) directions. A 10-mm shift in the I direction induced the largest $\Delta D_{95\%}$ and ΔD_{mean} of CTV.

TCP Evaluation

We calculated the Δ TCP of CTV and CTV boost when the 3-, 5-, and 10-mm shifts were introduced to T-IMRT and M-IMRT plans. When a 3- and 5-mm shift were introduced, higher Δ TCP of CTV (Figure 4(A), Figure 4(B)) was observed in T-IMRT plans, especially in L and S directions. A 10-mm shift in the L, A, and S directions exerted substantial TCP reduction (Figure 4(C)) in T-IMRT plans. As to CTV Boost, inappreciable TCP reduction was seen for 3 mm (Figure 4(D)) and 5 mm perturbations (Figure 4(E)). 10 mm shift in L, S, and I directions exerted evident Δ TCP of CTV Boost (Figure 4(F)).

OAR Sparing

We calculated the dose differences of vicinal OARs including bilateral lungs, heart, LAD, and contralateral breast. Negligible differences of ΔV_{20} (Figure 5(A)), ΔV_5 (Figure 5(B)), and ΔD_{mean} (Figure 5(C)) of the ipsilateral lung were found between T-IMRT and M-IMRT plans. However, the ΔEUD (Figure 5(D)) of the ipsilateral lung in T-IMRT plans is slightly higher than that in M-IMRT plans. Similarly, inappreciable differences of ΔV_{20} (Figure 5(E)), ΔV_5 (Figure 5(F)), and ΔD_{mean} (Figure 5(G)) of the heart were found between T-IMRT and M-IMRT plans, but higher Δ EUD (Figure 5(H)) was observed in T-IMRT plans. M-IMRT had a larger ΔD_{mean} of contralateral lung (Figure 5(I)) and breast (Figure 5(J)) than T-IMRT plans. The LAD exhibited the largest dose differences of ΔD_{max} (Figure 5(K)) and ΔD_{mean} (Figure 5(L)), implicating a high risk of overdose in both T-IMRT and M-IMRT plans. The shift in S-I and L-R directions induced more noticeable dose differences than in A-P directions.

Discussion

We compared the dosimetric parameters of T-IMRT and M-IMRT plans. Both T-IMRT and M-IMRT plans were clinically acceptable, with no significant differences of PTV and

	CTV						
	$\Delta D_{98\%}$		$\Delta D_{95\%}$		$\Delta D_{\rm mean}$		
Position error	T-IMRT (Gy)	M-IMRT (Gy)	T-IMRT (Gy)	M-IMRT (Gy)	T-IMRT (Gy)	M-IMRT (Gy)	
L (3 mm)	0.12 (0.01-0.56)	0.32 (0.09-0.80)	0.14 (0.09-0.17)	0.14 (0.02-0.50)	0.12 (0.03-0.23)	0.10 (0.00-0.38)	
R (3 mm)	0.21 (0.02-0.35)	0.16 (0.08-0.26)	0.24 (0.08-0.35)	0.15 (0.09-0.24)	0.15 (0.04-0.28)	0.12 (0.00-0.62)	
A (3 mm)	0.08 (0.00-0.15)	0.12 (0.02-0.37)	0.05 (0.00-0.09)	0.07 (0.01-0.23)	0.04 (0.00-0.08)	0.04 (0.01-0.10)	
P (3 mm)	0.05 (0.01-0.12)	0.06 (0.01-0.14)	0.04 (0.00-0.08)	0.05 (0.01-0.11)	0.04 (0.00-0.08)	0.05 (0.02-0.13)	
S (3 mm)	0.19 (0.00-0.84)	0.53 (0.02–1.44)	0.15 (0.01-0.23)	0.29 (0.02-1.08)	0.16 (0.04-0.30)	0.15 (0.01-0.44)	
I (3 mm)	0.34 (0.03-0.84)	0.43 (0.22-0.64)	0.36 (0.12-0.61)	0.29 (0.08-0.38)	0.21 (0.00-0.44)	0.16 (0.02-0.58)	
L (5 mm)	0.48 (0.03-2.34)	0.69(0.27 - 1.72)	0.20 (0.08-0.47)	0.27 (0.05-1.05)	0.18 (0.04–0.34)	0.17 (0.04-0.53)	
R (5 mm)	0.52 (0.24-0.92)	0.35 (0.21-0.51)	0.50 (0.34-0.65)	0.30 (0.21-0.43)	0.27 (0.08-0.51)	0.23 (0.01-1.07)	
A (5 mm)	0.19 (0.03-0.32)	0.28 (0.07-0.61)	0.10 (0.00-0.21)	0.15 (0.01-0.36)	0.07 (001-0.14)	0.06 (0.02-0.14)	
P (5 mm)	0.11 (0.00-0.27)	0.15 (0.07-0.28)	0.08 (0.01-0.18)	0.11 (0.00-0.23)	0.07 (001-0.12)	0.08 (0.03-0.23)	
S (5 mm)	1.15 (0.08-6.55)	1.21 (0.29–2.52)	0.22 (0.03-0.67)	0.57 (0.05-1.86)	0.24 (0.04-0.42)	0.25 (0.06-0.56)	
I (5 mm)	1.10 (0.60-2.73)	1.62 (0.67-3.26)	0.86 (0.45-1.48)	0.73 (0.49–1.20)	0.42 (0.07-0.84)	0.26 (0.09-0.73)	
L (10 mm)	6.06 (0.35-17.05)	2.80 (0.43-7.72)	0.87 (0.04-3.69)	0.85 (0.05-2.58)	0.25 (0.05-0.45)	0.28 (0.00-0.95)	
R (10 mm)	4.45 (1.60-8.23)	2.32 (0.87-5.15)	2.20 (0.90-3.59)	1.01 (0.59-1.58)	0.79 (0.28–1.46)	0.50 (0.01-1.59)	
A (10 mm)	1.33 (0.06-3.95)	1.20 (0.43-2.44)	0.41 (0.09–0.81)	0.47 (0.15-0.72)	0.21 (0.04–0.34)	0.15 (0.02-0.30)	
P (10 mm)	0.58 (0.05-1.77)	0.79 (0.40-1.55)	0.35 (0.06-0.81)	0.40 (0.22-0.62)	0.16 (0.00-0.38)	0.15 (0.01-0.42)	
S (10 mm)	12.02 (0.65-25.55)	8.74 (1.67–16.99)	2.99 (0.04–13.41)	2.63 (0.75-6.00)	0.39 (0.08–1.20)	0.52 (0.04–1.11)	
I (10 mm)	9.93 (3.97–16.66)	9.21 (5.44–12.96)	6.36 (1.58–13.73)	5.72 (1.79–10.27)	1.78 (0.90-3.06)	1.03 (0.59–1.51)	

Table 3. Absolute Dose Difference of Clinical Target Volume (CTV) Between the Reference and Perturbed T-IMRT and M-IMRT Plans for Different Isocenter Shifts.

The results were exhibited by mean value and range (in bold data $\Delta D_{x\%} > 1$ Gy).

PTV Boost. T-IMRT improved the conformity and homogeneity of the target compared to CRT plans, however, retained a greater volume of OAR exposed to high dose. T-IMRT plans generated a higher V_{20} , lower V_5 , and D_{mean} of the ipsilateral lung and the heart compared to M-IMRT plans. M-IMRT has a wider range of beam angles to perform better sparing the ipsilateral lung, heart, and LAD, but leading to a larger volume of normal tissue irradiated by low doses and higher mean doses of contralateral lung, breast, and esophagus. Previous studies indicated that the scattered radiation might increase the second primary cancer risk.^{16,17} Chao¹⁸ carried out a study that involved 32 patients treated with IMRT and 58 patients treated with volumetric-modulated arc therapy (VMAT) for BC patients to evaluate the incidence of radiation-induced pneumonitis (RP) and secondary cancer risk (SCR). They suggested that lower V₄₀ of the ipsilateral lung reduced the potential for inducing secondary malignancies and RP complications, and even larger low dose volume of contralateral breast slightly elevated the risk of SCR of breast.¹⁸ M-IMRT significantly spared the max dose to LAD, and reduced the risk of coronary events, for the incidence of acute coronary events increased by 16.5% per Gy.¹⁹ An optimal treatment plan tailored to solve a multi-criteria optimization problem to achieve the best trade-off between the optimal dose distribution, OAR sparing, and better clinical outcomes.

Besides, it should be noted that highly optimized plans generated complex plans. With the increased plan complexity, the risks of inaccurate dose calculation and treatment delivery were elevated,¹¹ compared to non-modulated plans. The accuracy of dose calculation and delivery might be reduced in highly complex plans.^{20,21} A study by Hirashima²² uses plan complexity and dosiomics features to predict the performance of gamma passing rate, indicating the correlation between plan complexity and the accuracy of treatment plan dose delivery. Thus, we investigated the association between beam angle arrangement and plan robustness.

We evaluated the robustness of CTV Boost and CTV. A 3-mm position shift did not induce a marked dose difference (less than 1 Gy) in both T-IMRT and M-IMRT plans for CTV Boost and CTV. The greater shift worsened plan robustness. For a 10-mm perturbation, greater dose differences were observed in T-IMRT plans in almost all directions, with higher $\Delta D_{98\%}$, $\Delta D_{95\%}$, and ΔD_{mean} of CTV Boost and CTV. A 10-mm shift in I direction induced the largest dose differences of CTV Boost, with 1.8 ($\Delta D_{98\%}$), 1.7 ($\Delta D_{95\%}$), and 1.3 (ΔD_{mean}) times higher dose differences than those in M-IMRT plans. A 10-mm shift in I direction induced CTV Boost in T-IMRT plans a 1.1 ($\Delta D_{98\%}$), 1.1 ($\Delta D_{95\%}$), and 1.7 (ΔD_{mean}) times dose differences higher than dose differences in M-IMRT plans. The physical dose differences induced biological dose variation and affected clinical outcomes to some degree. A 5- and 10-mm shift induced the T-IMRT plans greater ΔTCP of CTV Boost. Substantially higher ΔTCP of CTV in T-IMRT plans were observed in 3, 5, and 10 mm perturbed plans. Under dosage in the targets may result in the possibility of tumor recurrence,¹⁵ for TCP predominately correlates with the minimum dose of tumor.¹⁴ T-IMRT plans were less robust than M-IMRT plans for the beam angles in a narrow range amplifying the dose deviation caused by the position shift. The perturbed effect had been averaged in M-IMRT plans due to the wide range of beam angles.



Figure 4. TCP reduction (Δ TCP) of CTV and CTV Boost between the reference and perturbed T-IMRT and M-IMRT plans for different isocenter shifts. (A). Δ TCP of CTV (3 mm); (B). Δ TCP of CTV (5 mm); (C) Δ TCP of CTV (10 mm); (D). Δ TCP of CTV Boost (3 mm); (E). Δ TCP of CTV Boost (5 mm); (F) Δ TCP of CTV Boost (10 mm).

1. Measurements should be taken to ensure the accurate dose delivery. Beyond the wide application of cone beam computed tomography (CBCT) and kilo-voltage image (KV) to minimize the set-up error, respiration-induced motion is a significant factor for geometric and dosimetric uncertainties during treatment planning and delivery.²³ Therefore, motion mitigation should be widely applied to obtain a precise dose delivery during radiotherapy. Optical surfaceguided radiotherapy (SGRT), combining deep inspiration breath-hold (DIBH) technique obtained, not only lowers irradiated heart and LAD doses by pushing them away but also reproducible decreased target motion.24,25 Continuous positive airway pressure (CPAP), which is the administration of positive pressure to the airways during the entire respiratory cycle, was proved to be an effective strategy to reduce tumor motion.²⁶ The plan robustness was elevated in a small range of position shift for a 3-mm shift induced negligible dose differences in both CTV and CTV Boost based on our results of robustness evaluation. The pseudo skin flash strategy to open the leaf of the control points was considered an effective function application that could significantly reduce the possibility of insufficient tumor target caused by inspiratory motion and ensure sufficient tumor target exposure.²⁷

Meanwhile, plan robustness should be evaluated in highly optimized plans. In our study, the results suggested that IMRT plan

robustness is correlated to beam angle selection. M-IMRT plans produced a larger low dose volume and a higher mean dose of contralateral lung and breast but spared high dose volume of ipsilateral lung, heart, and LAD and elevated plan robustness to some degree. This robustness evaluation should be taken into consideration to help determine the beam arrangement in highly optimized treatment plans. We noticed that different beam angles have different sensitivity to uncertainty in different directions. For CTV Boost, T-IMRT showed sensitivity to position shifts in the R and I directions, and M-IMRT showed sensitivity to position shifts in the L and S directions. For CTV, T-IMRT plans exhibit higher sensitivity to the shift in the R direction. M-IMRT plans exhibit higher sensitivity to shifts in L, S, and I directions. Both T-IMRT and M-IMRT plans exhibited lower sensitivity to shifts in A-P directions. The CTV-to-PTV margin method, adopted based on the Van Herk margin formula²⁸ in the margin-based treatment planning, aimed to ensure the dose coverage of CTV by blurring dose distribution. This may result in overdose or underdose,^{29,30} especially in highly optimized treatment plans, for the bluff dose of the margin should not be identified by geometric margin but the spatial location of the vicinal OAR and the steepness of required dose fall-off. Gordon JJ³¹ proposed a coveragebased treatment planning (CBTP) to produce treatment plans that ensure target coverage by adjusting the margin until the specified CTV coverage is achieved, accompanied by CTV coverage probability analysis. The non-uniform beam-specific



Figure 5. Dose difference between the reference and perturbed T-IMRT and M-IMRT plans for different isocenter shifts. (A) ΔV_{20} of Lung L; (B) ΔV_5 of Lung L; (C) ΔD_{mean} of Lung L; (D) EUD of Lung L; (E) ΔV_{20} of heart; (F) ΔV_5 of heart; (G) ΔD_{mean} of heart; (H) EUD of heart; (I) ΔD_{mean} of Lung R; (J) ΔD_{mean} of Breast R; (K) ΔD_{max} of LAD; (L) ΔD_{mean} of LAD.

margin oriented towards different susceptibility with different beam arrangements may offer a new direction to improve the dose distribution and plan robustness.

Besides, empirical evidence suggested that plan robustness and plan complexity were positively correlated.³² The key factors that are closely related to plan robustness and plan complexity should be clarified, such as modulation complexity scores (MCS), MLC aperture area variability (AAV), MLC leaf sequence variability (LSV), modulation index (MI), aperture area (AA), aperture perimeter (AP), aperture irregularity (AI), irregularity (PI), and plan-averaged beam modulation (PM) and so on, to interfere with plan quality and robustness. 33,34

For OARs, no detectable dose differences in high dose volume (V_{20} of Lung L and V_{25} of Heart) were found, while M-IMRT plans exhibited slightly higher ΔV_5 in bilateral lungs and Heart. Greater D_{max} of LAD was seen in M-IMRT plans, for better LAD sparing in nominal M-IMRT plans generated steeper dose fall off. Levis³⁵ estimated the LAD PRV through the use of ECG-gated CT scans. They found that the LAD showed relevant displacements over the heart cycle, suggesting the need for a specific PRV margin to accurately estimate the dose received by these structures and optimize the planning process. Besides, the shifts in A-P directions exerted minimum dose difference, while S-I directions exerted maximum dose difference. Optimization using a LAD PRV with a non-uniform margin brings a new light to help mitigate the uncertainty by position shift.

Among the study's limitations, it has to be highlighted that the shifts were adopted in a single direction and calculated 18 times in each perturbed plan to simulate the dose deviation in one direction. Practically, the respiration-induced target motion was the combination of several directions in the regular breathing cycle.³⁶ During the breathing cycle, the tumor moved out of PTV, receiving a lower dose, then moved back,³⁶ resulting in a similar blurring effect for the respiration-induced relative motion is a regular event in a whole breathing cycle. Besides, the small size of the sample in a single institution was involved and the results were obtained according to our center's working protocols, which may reduce the statistical power and increase the margin of error. More quantitative research is in demand to define the plan robustness and plan quality evaluation.

Conclusions

We proposed a plan robustness evaluation method to determine the beam angle against position uncertainty accompanied with optimal dose distribution and OAR sparing. Different beam arrangements show different sensitivity to errors in different directions. The results can provide a reference for the clinical decision of adopting a non-uniform CTV-to-PTV margin to elevate plan robustness. More comprehensive measures of challenges in radiotherapy and treatment delivery may elevate the precision of treatment and ensure optimal clinical outcomes.

Authors Contributions

Zhen Ding did methodology, data Curation, and writing. Kailian Kang and Wenjue Zhang performed data curation and preparation of tables.

Qingqing Yuan and Yong Sang did preparation of figures.

Availability of Data and Materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Declaration of Conflicting Interests

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Ethics Approval and Consent to Participate

The study was approved by the institutional review board of National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital & Shenzhen Hospital. We confirm that all methods were carried out in accordance with relevant guidelines and regulations.

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